

Does therapy with biofeedback improve swallowing in adults with dysphagia? A systematic review and meta-analysis

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1 **Does therapy with biofeedback improve swallowing in adults with dysphagia? A**
2 **systematic review and meta-analysis**

3

4 **ABSTRACT**

5 **Objective:** To describe and systematically review the current evidence on the effects of
6 swallow therapy augmented by biofeedback in adults with dysphagia (PROSPERO
7 2016:CRD42016052942).

8 **Data sources:** Two independent reviewers conducted searches which included MEDLINE,
9 EMBASE, trial registries and grey literature up to December 2016.

10 **Study selection:** Randomised controlled trials (RCTs) and non-RCTs were assessed,
11 including for risk of bias and quality.

12 **Data extraction:** Data were extracted by one reviewer and verified by another on
13 biofeedback type, measures of swallow function, physiology and clinical outcome, and
14 analysed using Cochrane Review Manager (random effects models). Results are expressed
15 as weighted mean difference (WMD) and odds ratio (OR).

16 **Data Synthesis:** Of 675 articles, we included 23 studies (n=448 participants). Three main
17 types of biofeedback were used: accelerometry, surface electromyography and tongue
18 manometry. Exercises included saliva swallows, manoeuvres and strength exercises. Dose
19 varied between 6-72 sessions for 20-60 minutes. Five controlled studies (stroke n=95; head
20 and neck cancer n=33; mixed aetiology n=10) were included in meta-analyses. Compared to
21 control, biofeedback augmented dysphagia therapy significantly enhanced hyoid
22 displacement (three studies, WMD=0.22cm; 95% CI [0.04, 0.40], p=0.02) but there was no
23 significant difference in functional oral intake (WMD=1.10; 95%CI [-1.69, 3.89], p=0.44) or
24 dependency on tube feeding (OR =3.19; 95%CI [0.16, 62.72], p=0.45). Risk of bias was high
25 and there was significant statistical heterogeneity between trials in measures of swallow

26 function and number tube fed (I^2 70-94%). Several non-validated outcome measures were
27 used. Subgroup analyses were not possible due to a paucity of studies.

28 **Conclusions:** Dysphagia therapy augmented by biofeedback using surface
29 electromyography and accelerometry enhances hyoid displacement but functional
30 improvements in swallowing are not evident. However data are extremely limited and further
31 larger well-designed RCTs are warranted.

32 **Key words:** Biofeedback, dysphagia, rehabilitation

33

34 Dysphagia is increasingly common in an aging population with reports of symptoms
35 occurring in 40% of adults over 65.¹ Prevalence of dysphagia varies according to aetiology;
36 55 % of stroke patients,² 11–81 % in Parkinson's disease,³ 11-93.5% in head and neck
37 cancer⁴ and more than 90% of patients with motor neurone disease.⁵ Dysphagia can cause
38 complications such as aspiration pneumonia, dehydration and malnutrition and lead to
39 increased mortality.⁶⁻⁸ Early assessment, tube feeding, texture modification and adaptive
40 strategies can reduce these risks⁹⁻¹¹ but can impact on quality of life.¹²

41

42 Therapeutic interventions which aim to maintain or rehabilitate swallowing vary from muscle
43 strengthening exercises, swallow skill exercises, sensory stimulation and emerging
44 techniques such as peripheral and central stimulation.¹³ Feedback is advocated for
45 enhancing outcomes in rehabilitation.¹⁴ Error-based learning where the learner has
46 knowledge of the errors they need to correct, can aid learning or re-learning a skill.¹⁵ When
47 this information about performance is given based on kinematic measures it is called
48 biofeedback.

49

50 In post stroke upper limb therapy, performance feedback enhances motor recovery.¹⁶
51 Further, biofeedback has resulted in moderate to large treatment effects in gait when
52 compared to usual therapy¹⁷ and has been shown to be beneficial with other physical,

53 psychological, cardiac and respiratory conditions;¹⁸ for example, using a decibel meter has
54 been widely used in speech therapy, for increasing voice volume and quality in patients with
55 Parkinson's disease.¹⁹ During swallowing, intrinsic feedback is acquired from sensation
56 within the oral cavity and pharynx, but it may be suboptimal or impaired in patients with
57 dysphagia. There is a progressive reduction in pharyngeal and laryngeal sensation with
58 increasing age²⁰ and sensory impairments can be one of the characteristics of dysphagia in
59 many aetiologies.²¹⁻²⁴ Making accurate judgements about subtle differences in the efficacy of
60 the pharyngeal stage of swallowing is difficult without instrumental measurements.²⁵

61

62 Biofeedback in swallowing therapy is not routinely used to augment dysphagia therapy²⁶ nor
63 is there national recognition and guidance regarding its use. However, it is gaining more
64 interest and several commercially available biofeedback instruments and software are on the
65 market and so there is a need to evaluate its effectiveness. We performed a systematic
66 review and meta-analysis to describe the current evidence on the effects of dysphagia
67 therapy with all types of biofeedback in adults with dysphagia in order to discover the most
68 superior methods.

69

70 **METHODS**

71 This review aimed to answer the following questions in adults with dysphagia: Does
72 biofeedback paired with dysphagia therapy, as compared with no biofeedback, improve (1)
73 Functional swallowing outcomes? (2) Clinical outcomes? (3) Swallow physiology?

74

75 The protocol was registered with Prospero (2016:CRD42016052942) in December 2016.
76 Studies were eligible for inclusion if they were full text, English language studies that
77 involved dysphagia therapy using biofeedback in adults with any aetiology resulting in
78 acquired oropharyngeal dysphagia and reported pre- and post-swallowing measures and/or
79 clinical outcomes. Two independent reviewers conducted electronic searches from when
80 records began until December 2016 of the following databases: Cochrane Stroke Group

81 Trials Register, MEDLINE, EMBASE, CINAHL, Conference Proceedings Citation Index-
82 Science (CPCI-S) and Web of Science. Reviews of reference lists, conference abstracts
83 and internet searches were conducted to ensure inclusion of unpublished or ongoing trials.
84 Authors were contacted where partial or incomplete data were not available. An example of
85 the search strategy for the MEDLINE search is included in Figure 1.

86

87 *Study selection*

88 Two reviewers (JB and LE) searched the title and abstracts of the studies and excluded
89 those that were not relevant. If there were any doubts the full text was sought. Once the full
90 text was obtained the same reviewers selected the relevant studies for (1) A descriptive
91 analysis of the types and application of biofeedback used in dysphagia therapy, and (2)
92 Those meeting criteria for inclusion in a meta-analysis. Any disagreements were resolved
93 with a third reviewer TE. Only those with a non-confounded control group and outcome data
94 were included in the meta-analysis.

95

96 *Data acquisition*

97 Data were extracted using a predesigned and piloted proforma by one reviewer, JB and then
98 verified by a second reviewer, LE. Authors were contacted if data were not available. TE
99 resolved any discrepancies.

100

101 *Risk of bias*

102 Randomised control trials (RCTs) were assessed for risk of bias and quality as
103 recommended in the Cochrane Handbook.²⁷ This included assessing methods of
104 randomisation, allocation concealment, blinding of participants and personnel, blinding of
105 outcome assessment, incomplete outcome data and selective outcome reporting. Non
106 RCTs were assessed using a combination of different tools for non RCTs and observational
107 studies²⁸⁻³⁰ and included assessing quality of study designs for small N and N=1 studies,
108 data analysis, generalisability, replicability, blinding, incomplete and selective reporting.

109

110 *Statistical analysis*

111 Review Manager (version 5.3) was used to derive odds ratios (OR) and confidence intervals
112 (CIs) for dichotomous data and mean difference (MD) and CIs for continuous data. Study
113 data were combined if the outcome measures used were comparable. In the Aoki 2015
114 study the mean and standard deviation (SD) were estimated from the median and range
115 using published formulae³¹. Heterogeneity was assessed between different studies for each
116 measure. Sub-group analysis was planned to examine whether biofeedback type, dose,
117 aetiology of dysphagia or setting made a difference to outcome.

118

119 **RESULTS**

120 Initial searches identified 669 articles, and a further 6 were found through searching grey
121 literature. After screening titles and abstracts, full text was sought for 53 studies. One full text
122 article could not be obtained but there was sufficient detail in the abstract to be included in
123 our analysis.³² Of those, 23 were suitable for inclusion in a qualitative synthesis and 5 met
124 the criteria for inclusion in the meta-analysis (Table 1, Figure 2).

125

126 **Study characteristics**

127 Twenty-three studies (n=448 participants) described dysphagia interventions with
128 biofeedback in adults with structural, neurological and psychological dysphagia (Table 1).
129 The three main types of biofeedback used were surface electromyography (sEMG, n=164),
130 accelerometry (n=150) and tongue manometry (n=67). Less frequent forms of biofeedback
131 included videoendoscopy (n=33), respiratory plethysmography (n=30) and external laryngeal
132 manometry (n=4). There was no type of biofeedback exclusive to a specific patient group.
133 Dosing and frequency of therapy varied across studies and across types of biofeedback;
134 from 4 to 72 sessions carried out twice daily to fortnightly.³³⁻³⁶ Over 80% of studies reported
135 2 or more sessions per week. Overall, treatment sessions varied in length across study and

136 type of biofeedback and lasted between 20-60 minutes with 45-60 minutes being the most
137 common (50%).

138

139 *Accelerometry*

140 Five studies used accelerometry as a means of biofeedback. This consists of a small
141 accelerometer being placed just above the thyroid cartilage. It measures the epidermal
142 vibrations caused by the internal sounds and vibrations of the superior/inferior and or
143 anterior/posterior movements of the hyoid and larynx during swallowing.³⁷ The vibrations are
144 converted into a voltage signal, which the patient can use as visual feedback to facilitate
145 their swallowing therapy. In three of the studies, feedback was presented as a graph on a
146 computer screen with instruction to match the shape of a signal derived from a normal
147 swallow.³⁸⁻⁴⁰ In one study, the signal from the accelerometer was converted into an
148 animation of a frog swallowing a mosquito at different locations on a screen.⁴¹ The target
149 was adjusted based on performance. Another study used signals from accelerometry and
150 surface electromyography (sEMG) in a similar virtual reality game.⁴² Only one of these
151 studies had a control group,⁴¹ which reported that accelerometry significantly improved
152 functional intake (functional oral intake scale, FOIS, $p=0.014$) and hyoid displacement
153 ($p=0.07$) compared to control which received the same intensity of exercise without
154 biofeedback. The other four accelerometry studies were of lower quality and also reported
155 functional improvements in swallowing following the therapy.

156

157 *Tongue manometry*

158 Five studies used tongue manometry for biofeedback.^{33, 43-46} This intervention consists of
159 using a 2cm x 1cm x 0.5 cm air filled pressure bulb which acts as a pneumatic pressure
160 sensor and measures isometric tongue strength. The bulb is placed on the tongue and the
161 participant is instructed to push the tongue against the hard palate. The pressure generated
162 is measured by a manometer and the signal can be displayed graphically on a screen to
163 give patients biofeedback. Four studies used the Iowa Oral Performance Instrument (IOPI)

164 ^{33, 43, 45, 46} and one used a Japanese version manufactured by Japan Medical Supply Ltd
165 (JMS).⁴⁴ Robbins and colleagues used isometric anterior and posterior tongue strength
166 exercises with the aim of increasing muscle strength and mass to lead to improvements in
167 functional swallow.³³ The other four studies used isometric tongue strengthening, tongue
168 strength accuracy exercises and tongue strength during saliva swallow exercises.⁴³⁻⁴⁶ One
169 study used a control group which received tongue exercises without biofeedback at the
170 same intensity.⁴⁴ They described significant differences in mean change between treatment
171 and control groups on maximum isometric pressure ($p=0.03$), swallowing tongue pressures
172 ($p=0.014$) and motor function of swallowing structures – Mann Assessment of Swallowing
173 Ability (MASA) ($p=0.04$), but no significant differences between groups on swallow function.
174 Four other studies of poor design reported positive outcomes in tongue strength^{33, 43, 45, 46}.
175 Moreover, reductions in vallecular⁴⁵ and pharyngeal wall residue³³ were observed on
176 videofluoroscopy but the findings are contradicted in other studies where residue scores
177 were neutral³³ or worse⁴³. Only one of the studies described a positive functional swallowing
178 outcome,³³ but no recognisable or specific outcome measures were presented.

179

180 *Surface Laryngeal Manometry*

181 One study used an air-filled balloon fixed externally to the cervical region to measure
182 changes in pressure during swallowing.⁴⁷ Participants practised an effortful swallow and
183 were given numerical feedback about their performance. It was a small study and there was
184 no control group but the 4 patients with dysphagia secondary to Parkinson's reported
185 improvements in swallow function following the intervention.

186

187 *Surface Electromyography (sEMG)*

188 Ten studies used sEMG as a means of providing biofeedback. sEMG measures the spatial
189 and temporal properties of muscle action potentials. The amplitude of the signal increases
190 with increased force of muscle contraction.⁴⁸ In 9 of 10 studies, sEMG was used to measure
191 the activity of the muscles which elevate and tilt the larynx during the pharyngeal swallow

192 (the remaining study utilised sEMG in a patient with psychogenic dysphagia).⁴⁹ Two small
193 electrodes are placed on the submental muscles (mylohyoid, geniohyoid, anterior belly of
194 digastric and genioglossus) and a third reference electrode is usually placed to one side.⁵⁰
195 The sEMG signal represents the timing and force of the muscle contraction and is displayed
196 graphically on a screen. sEMG has been employed using a variety of strategies, such as
197 providing progressively more challenging targets based on strength and timing,⁵¹ and
198 enhancing the design of a swallow protocol helping the participant with timing of muscle
199 contraction and respiratory patterns.⁵² The remaining studies used biofeedback to teach and
200 practice either or both effortful swallow and the Mendelsohn manoeuvre (holding the larynx
201 elevated for a target number of seconds).^{34, 36, 50, 53-56} Two studies met the criteria to be
202 included in a meta-analysis. McCullough et al used sEMG biofeedback to teach and practice
203 the Mendelsohn manoeuvre to patients who had dysphagia secondary to stroke. The data
204 was reported in two papers,^{34, 57} demonstrating significant improvements in duration of hyoid
205 elevation ($p=0.011$) and anterior hyoid movement ($p=0.009$) but no other physiological or
206 functional changes were found. Huimin et al provided swallow function training with
207 biofeedback compared to swallow function training without biofeedback and reported
208 significant changes post intervention in the biofeedback group in upper oesophageal
209 sphincter (UES) opening ($p=0.001$), pharyngeal transit time (PTT) ($p=0.038$) and maximum
210 hyoid displacement ($p=0.033$).³² Although in the remaining 8 studies design quality was
211 poor, significant improvements were reported in functional and physiological swallowing
212 measures.

213

214 *Videoesophoscopy*

215 One study used videoesophoscopy as a means of biofeedback.⁵⁸ This involves the insertion of
216 a flexible nasoendoscope to the level of the soft palate so that the pharynx and larynx can
217 be visualised. The timing, safety and efficiency of the swallow can also be visualised and
218 used for biofeedback. Denk et al taught patients to employ swallowing manoeuvres and
219 changes in posture using videoesophoscopy for direct visual biofeedback. The manoeuvres

220 included effortful swallow, Mendelsohn manoeuvre, supraglottic swallow and supra-supra
221 glottic swallow depending on the nature of each participant's dysphagia. This study met the
222 criteria for inclusion in a meta-analysis. The control group received the same intensity of
223 therapy and exercise type without the biofeedback. All participants were tube fed initially and
224 73% of patients achieved therapeutic success, defined as tube removal and full and
225 unrestricted oral intake. At 40 days, significantly more of the biofeedback group had
226 achieved therapeutic success ($p=0.041$) however there was no significant difference
227 between the intervention and control groups at 6 months.

228

229 *Respiratory plethysmography*

230 One study used respiratory inductance plethysmography and nasal airflow as a method of
231 biofeedback to train participants to adopt a natural respiration/swallow pattern.³⁵ Nasal
232 airflow is measured by a nasal cannula and respiratory inductance plethysmography
233 measures movements of the ribcage and abdomen. These devices were attached to a Kay
234 Pentax Digital Swallowing Workstation via Swallow Signals Lab which processed the signals
235 and presented the respiration patterns on a screen for the patients to use as feedback. They
236 went through identification, acquisition and mastery stages to learn to swallow mid expiration
237 with a mid to low lung volume and exhale post swallow. Significant improvements were
238 reported with swallow physiological measures and swallow respiratory patterns but there
239 was no control group to compare outcomes.

240

241 **Quantitative synthesis**

242 Five studies had a non-confounded control group and thus met the criteria for inclusion in
243 the meta-analysis ($N=138$).^{32, 34, 41, 44, 58} Two were excluded because two different
244 interventions were compared.^{45, 55} The remaining 18 were excluded because they did not
245 include a control group nor did they demonstrate an observational study design of sufficient
246 quality. Study quality was variable (Table 2) with at least one element of bias evident in all of
247 the studies.

248

249 Due to the range of outcome measures used, data from only three outcomes could be
250 synthesized. Biofeedback did not improve swallow function (FOIS, $t=2$, $n=51$, $MD=1.10$; 95%
251 CI [-1.69, 3.89], Figure 3A); or clinical outcome (feeding tube removal, $t=2$, $n=53$, $OR =3.19$;
252 95% CI [0.16, 62.72], Figure 3C). Biofeedback intervention had a significant positive effect
253 on swallow physiology, specifically hyoid displacement ($t=3$, $n=90$, $MD=0.22$; 95% CI [0.04,
254 0.40], Figure 3B); two of these studies used sEMG and one used accelerometry (Table 1).
255 There was significant statistical heterogeneity between trials in measures of swallow function
256 and number tube fed ($I^2 = 70-94\%$) and low in physiological measures ($I^2 = 8\%$). Sub-group
257 analyses were planned to explore effects of biofeedback type, aetiology of dysphagia,
258 setting and dose, including assessment for publication bias, but this could not be performed
259 due to the paucity of studies.

260

261 **DISCUSSION**

262

263 There is an absence of good quality, large-scale RCTs assessing biofeedback as an adjunct
264 to therapy for dysphagia in adults. Meta-analysis of controlled studies showed a positive
265 effect of biofeedback on one swallow physiology outcome; maximum displacement of the
266 hyoid bone. No conclusions can be drawn from other positive results in functional,
267 physiological and clinical outcome measures reported in several small, non-randomised
268 controlled trials.

269

270 Three controlled trials found that biofeedback augmented dysphagia therapy resulted in
271 increased hyoid displacement^{32, 41, 57} when compared to a control. Two of these studies
272 used sEMG and the other used accelerometry for biofeedback, both of which show patients
273 a representation of hyolaryngeal elevation. Studies with healthy subjects have demonstrated
274 that increases in sEMG amplitude correlate with onset and offset of hyoid⁵⁹ and laryngeal
275 elevation.⁶⁰ The sEMG signal represents activity predominantly from mylohyoid, anterior

276 belly of the digastric, and the geniohyoid muscles, confirmed using intra-muscular EMG.⁶¹
277 sEMG amplitude increases with effortful swallowing⁶² and the peak accelerometry signal
278 correlates with peak laryngeal elevation.⁶³ Biofeedback is used with the aim of improving
279 timing, strength and duration of hyolaryngeal elevation. Therefore, it stands to reason that
280 therapy targeting hyolaryngeal elevation results in corresponding physiological changes in
281 hyoid displacement. Li et al reported functional changes in swallowing in their accelerometry
282 study but unfortunately the other two studies did not report any data on functional outcome.
283 Whether physiological change results in improvements in functional swallowing remains
284 unclear. Three trials (using tongue manometry,⁴⁴ accelerometry⁴¹ and videoendoscopy⁵⁸)
285 reported improvement in swallow function^{41, 44} and tube removal post biofeedback
286 intervention.^{41, 58} However, when pooled in the meta-analysis these became neutral and non-
287 significant.

288

289 These results need to be interpreted with caution since different types of biofeedback were
290 used across studies and so heterogeneity was high. Included studies were also limited by
291 both trial design and small sample size. For example McCullough et al used a cross over
292 design in a heterogeneous population, a mix of subacute and chronic stroke participants,
293 which will naturally recover at different rates.⁵⁷ In addition, they did not report the time
294 allowed for treatment wash-out (if one exists) or any data in the crossover period, hence
295 both treatment and 'control' groups received the intervention. Aoki and colleagues also had
296 unmatched groups at baseline with more severe dysphagia in the intervention group, further
297 confounding interpretation.⁴⁴ The causes of dysphagia in this trial were also mixed, hence
298 understanding the results must be put into context of aetiology and the potential variation in
299 response to treatment.

300

301 Biofeedback might enhance recovery and improve aspiration risk in the short-term but may
302 not lead to significant gains in the long-term. In patients with head and neck cancer, Denk
303 reported a significant difference in means between groups at 40 days but not at the end of

304 the study (6 months).⁵⁸ The authors suggest that biofeedback helps patients learn
305 manoeuvres and exercises but once learnt, the biofeedback has no benefit. If so, these early
306 gains could be beneficial for those with dysphagia secondary to multiple causes – it may
307 mean quicker return to full normal intake, improve a patient's quality of life, reduce morbidity,
308 length of stay in hospital and health costs. Whether biofeedback for dysphagia is beneficial
309 or not in both the short and long term needs further investigation.

310

311 Across all the biofeedback intervention studies included in the qualitative analysis,
312 heterogeneity in method and therapy exercise was observed, hence it is important to use
313 appropriate outcome measures depending on the mechanism targeted. Accelerometry and
314 sEMG biofeedback enables a representation of the strength and duration of hyolaryngeal
315 elevation; 6 of 15 studies aimed to increase hyolaryngeal elevation^{32, 38, 39, 41, 50, 57} but only
316 four measured this as an outcome.^{32, 41, 50, 57} The remaining studies aimed to improve
317 swallowing skill and measured function or overall severity. Tongue manometry aims to
318 improve lingual strength and timing; 4 of 5 studies^{43, 45, 46, 64} measured this and oral control
319 appropriately as an outcome. The study utilising respiratory plethysmography measured
320 coordination of breathing and swallowing which is the mechanism it was targeting in
321 therapy.³⁵ Videoendoscopy enabled feedback should measure changes in swallow safety
322 and efficiency and physiological changes dependent on the strategies learnt i.e. Mendelson
323 manoeuvre targets hyolaryngeal elevation. However, in the included study only 'therapeutic
324 success' (defined as tube removal and return to full oral diet) was measured.⁵⁸

325

326 Biofeedback is often used in physiotherapy to augment skill based therapy and skill training
327 results in better functional outcomes than non-specific strength training in adults post stroke
328 ⁶⁵. All but one of the studies included in the qualitative synthesis used the task of swallowing
329 as either the target exercise or one of the exercises within the therapy sessions. This
330 involved exercises and strategies to improve the strength, timing and/or duration of the

331 swallow. Further work is needed to determine whether biofeedback paired with swallow skill
332 vs strength training results in better outcomes.

333

334 It is not known if biofeedback may be better focussed on specific types of dysphagia, or
335 whether it can be applied more generally. In the present review, only four studies included
336 patients with a specific type of impairment that the biofeedback targeted, none of which were
337 included in the meta-analysis. Three tongue manometry studies included patients if they had
338 poor oral control and/or reduced lingual strength.^{45, 46, 64} One of the sEMG studies included
339 patients only if they had evidence of reduced hyolaryngeal excursion.⁵⁰ The remainder
340 included patients with any type of swallowing impairment or any type of pharyngeal
341 dysphagia. The diverse range of methods used with biofeedback provides a challenge in
342 selecting the most appropriate technique for future studies. This will also depend on the
343 expected natural progression of the underlying cause of dysphagia in the population studied.
344 Defining the nature of the swallowing impairment in future studies will help to identify which
345 patients might benefit from specific forms of biofeedback.

346 Due to the paucity of studies, sub group analysis was not possible to investigate whether
347 one type of biofeedback was more efficacious over others, whether specific impairments
348 respond better to biofeedback, or the optimal dose of therapy relative to outcomes, and
349 timing of intervention. Therefore there is insufficient evidence to guide clinicians in the use of
350 biofeedback and its use will be dependent on the local resource.

351

352 **Study Limitations**

353 Several limitations should be considered when interpreting our results. Selection bias may
354 be present but this risk was minimised by searching a range of databases and grey
355 literature, and using two reviewers to search and select appropriate publications. Authors
356 were contacted when information was not available in the text, although there was a limited
357 response to these requests. Only English language studies were included which increases a
358 risk of bias towards publications in larger English language international journals, which

359 possibly tend towards studies with positive results. One Chinese article with sufficient detail
360 in an English abstract was included despite no access to the full text.³² However, there were
361 limited methodological details available such as the means of measuring hyoid elevation and
362 thus it was impossible to assess its full risk of bias and quality. A second limitation in
363 interpreting this review is the paucity of good quality RCTs with blinding and transparent
364 reporting of data. Most of the studies identified were single case studies or small studies with
365 no control groups. There is also an absence of good quality observational or longitudinal
366 studies which that use pre-interventional measures as a comparator. We have purposely
367 been broad on the inclusion of studies in the meta-analysis because there are so few. It
368 would be easy to exclude all of them on the basis of quality. Therefore, the outcomes must
369 be interpreted with caution. For example two of the five studies in the meta- analysis had a
370 control group that did not receive exactly the same intervention ^{44, 57}. The control groups in
371 the remaining three studies received the same type and intensity of exercise – the only
372 difference being biofeedback ^{32, 41, 58}. Thus, the meta-analysis may not solely tell us about
373 the augmentative effects of biofeedback per se but the effects of biofeedback paired with a
374 variety of exercises. Third, the variety of outcome measures limited the amount of data that
375 could be pooled in meta-analyses and some studies reported only outcomes in swallow
376 physiology or performance on a target exercise but these do not necessarily signify
377 meaningful change for patients.

378

379 **CONCLUSIONS**

380 Dysphagia therapy augmented by biofeedback seems to improve physiological outcome,
381 specifically hyoid displacement, but whether this translates to functional improvements is not
382 clear. However, data were obtained from small studies at high risk of bias and conclusions
383 must be interpreted with caution. Further good quality research is required to guide whether
384 biofeedback augmented dysphagia therapy leads to better outcomes for patients with
385 dysphagia. Particular attention should address specific populations (aetiology and dysphagia
386 type) with clearly defined timing of administration relative to the onset of dysphagia. Further,

387 the dose of swallow therapy (number, length and intensity of sessions) paired with
388 biofeedback is unknown and should be assessed using well-designed, randomised
389 controlled trials. Further research is also needed establishing validated and meaningful
390 outcome measures following swallow therapy.

391

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393

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558 **Figure Legends**

559 **Figure 1.** Search strategy for MEDLINE

560 **Figure 2:** Study flow diagram

561 **Figure 3.** Results from Meta-analysis (Review Manager 5.3) showing changes in A) function,
562 B) physiology and C) clinical outcome in patients receiving swallowing therapy with
563 biofeedback compared to usual care.

564

565 **Table Legends**

566 **Table 1:** Summary of included studies

567 **Table 2:** Risk of bias in the studies included in the meta-analysis

Table 1: Summary of included studies

Author	Biofeedback device	N	Exercise	Aetiology	Intensity	Frequency	Duration	Outcomes
Aoki 2015* ⁴⁴	Tongue manometry - JMS	34	TS and ES	23 stroke 11 mixed aetiology	45 mins	5 days/week	3 weeks	Improvement in tongue strength and swallow physiology (MASA) post therapy, (but no significant difference between groups). Control group received the tongue exercises at the same intensity.
Athukorala 2014 ⁵¹	sEMG	10	SS	Parkinson's Disease (PD)	60 mins	5 days/week	2 weeks	Improvement in swallow physiology (timed swallow test and VFS) post therapy
Bogaardt 2009 ³⁶	sEMG	11	MM	Stroke	20 mins	1-2 x fortnight	4-24 weeks	Improvement in swallow function (FOIS) and tube status post therapy
Bryant 1991 ⁵³	sEMG	1	MM and ES	Head & Neck Cancer (H&N Ca)	no info	3 x week	10 weeks	Subjective improvement in swallow severity and tube status
Carnaby-Mann 2009 & 2010 ^a ^{55, 56}	sEMG	24	MM and ES	Mixed	60 mins	5 x week	up to 3 weeks	Improvement in swallow function (FOIS) and tube status post therapy (less improvement than case group)
Crary 2004 ⁵²	sEMG	45	Fixed swallow protocol	Mixed	50 mins	5 days/week	3-4 weeks	Improvement in swallow function (FOIS) and tube status post therapy
Denk, 1997* ⁵⁸	Videoendoscopy	33	MM, ES, SGS, SSGS	H&N Ca	45 mins	2-5 days per week	up to 6 months	Improvement in tube status post therapy - no significant difference between groups at the end of the study (6 months). The control group received the same intensity and type of intervention without biofeedback.
Felix 2008 ⁴⁷	External laryngeal manometry	4	ES	PD	no info	5 days/week	2 weeks	Subjective improvement in swallow function post therapy
Hageman DASI web ⁴⁰	Accelerometry	103	SS	Mixed	no info	Unknown	3 months	Improvement in Swallow Function and Pneumonia Risk scale - 92% made average of 2-point improvement post therapy
Haynes 1976 ⁴⁹	sEMG	1	Relaxation	Psychogenic dysphagia	30 mins	1-2 x week	11 weeks	Subjective improvement in swallow function post therapy
Huckabee 1999 ⁵⁴	sEMG	10	MM and ES, Shaker, Masako	Brainstem injury	60 mins	2 x day	5 days	Improvement in swallow function (own scale) and tube status post therapy
Huimin 2015* [†] ³²	sEMG	36	Functional swallow training	Stroke	Unknown	6 days/week	4 weeks	Improvement in swallow physiology (pharyngeal transit time, UES opening and maximum hyoid displacement compared to control group (same intervention with no biofeedback)
Krishnan 2013 ³⁹	Accelerometry	1	SS with target	PD	30 mins	3 x week	2 weeks	Subjective improvement in oral intake post therapy
Li 2016* ⁴¹	Accelerometry	20	SS, ES & MM with targets	Stroke	60 mins	3 x week	5-6 weeks	Significant improvement in hyoid displacement, function (FOIS) and tube status compared to control group (same intervention with no biofeedback)

Li 2016 ⁴²	Accelerometry & sEMG	21	SS with target	Mixed	60 mins	3 x week	5 weeks	Improvement in swallow function (FOIS) and tube removal post therapy
Martin-Harris 2015 ³⁵	Airflow and inductance plethysmography	30	Swallows on expiration	H&N Ca	60 mins	2 x week	up to 4 weeks	Improvement in swallow breathing coordination, aspiration (PAS) and MBS Imp sub scores post therapy (no meaningful difference in swallow function/QOL (MD Anderson Dysphagia Inventory))
McCullough 2012 & 2013* ^a 34, 57	sEMG	18	MM	Stroke	45-60mins	2 x day	2 weeks	Improvement in hyoid displacement post therapy, no improvement in other physiological or functional measures. Cross over design – intervention vs no intervention
Reddy 2000 ³⁸	Accelerometry	5	SS, MM - with target	Mixed	30 mins	1-3 x week	3-9 weeks	Subjective improvement in dysphagia severity on VFS pre therapy
Robbins 2007 ³³	Tongue manometry - IOPI	10	TS	Stroke	no info	3 x day/3 days per week	8 weeks	Improvement in tongue strength and aspiration (PAS) post therapy but no or variable improvement in other physiological measures.
Steele 2012 ⁵⁰	sEMG	8	SS, ES & MM with targets	Mixed	Unknown	Unknown	Unknown	Improvement on swallow strength (sEMG) post therapy variable improvement on physiological measures
Steele 2013 ⁴³	Tongue manometry - IOPI	6	TS and ES	Traumatic Brain Injury	no info	2 x week	11-12 weeks	Improvement in tongue strength and aspiration (PAS) post therapy but no or variable improvement in other subjective and physiological measures. Worsening of residue.
Steele 2016 ⁴⁵	Tongue manometry - IOPI	14	TS and ES	Stroke	no info	2-3 x week	8-12 weeks	Improvement in tongue strength post therapy but no or variable improvement in other physiological measures pre and post therapy
Yeates 2008 ⁴⁶	Tongue manometry - IOPI	3	TS and ES	Mixed	45 mins	2-3 x week	8-12 weeks	Improvement in tongue strength post therapy but variable improvement in other subjective and physiological measures

* included in meta-analysis; ^a same data presented in both studies; [†] abstract data only

MM = Mendelsohn manoeuvre; SS = saliva swallow; ES = effortful swallow; SGS = supraglottic swallow; SSGS = super supraglottic swallow; TS = tongue strength.

Table 2: Risk of bias in the studies included in the meta-analysis.

Study	Suitable control	Random sequence generation	Allocation concealment	Blinding of participants/ therapist	Blinding of assessors	Incomplete data	Selective reporting
Aoki 2015 ⁴⁴	+	-	Unknown	+	+	+	+
Denk 1997 ⁵⁸	+	Unknown	Unknown	-	-	-	-
Li 2016 ⁴¹	+	-	-	-	-	+	+
McCullough 2012 & 2013 ³⁴	+	+	-	-	+	+	-
Huimin 2015 ³²	+	+	Unknown	Unknown	Unknown	Unknown	Unknown

+ low risk of bias/good quality; - high risk of bias/poor quality

1. exp Deglutition Disorders/
2. oropharyngeal dysphagia.mp.
3. oro-pharyngeal dysphagia.mp.
4. dysphagia.mp.
5. 'swallowing impairment'.mp.
6. deglutition disorder.mp.
7. 1 or 2 or 3 or 4 or 5 or 6
8. exp Biofeedback, Psychology/
9. biofeedback.mp.
10. Feedback, Physiological/ or Feedback/ or Feedback, Sensory/ or Feedback, Psychological/
11. feedback.mp.
12. 'skill therapy'.mp.
13. (swallow* adj3 (therap* or exercise* or intervention* or rehabilitat* or train*)).mp. [mp=title, abstract, original title, name of substance word, subject heading word, keyword heading word, protocol supplementary concept word, rare disease supplementary concept word, unique identifier]
14. 8 or 9 or 10 or 11 or 12 or 13
15. exp Deglutition/
16. deglutition.mp.
17. swallow*.mp.
18. 15 or 16 or 17
19. 7 and 14 and 18
20. limit 19 to (english language and humans)

Figure 1. Search strategy for MEDLINE

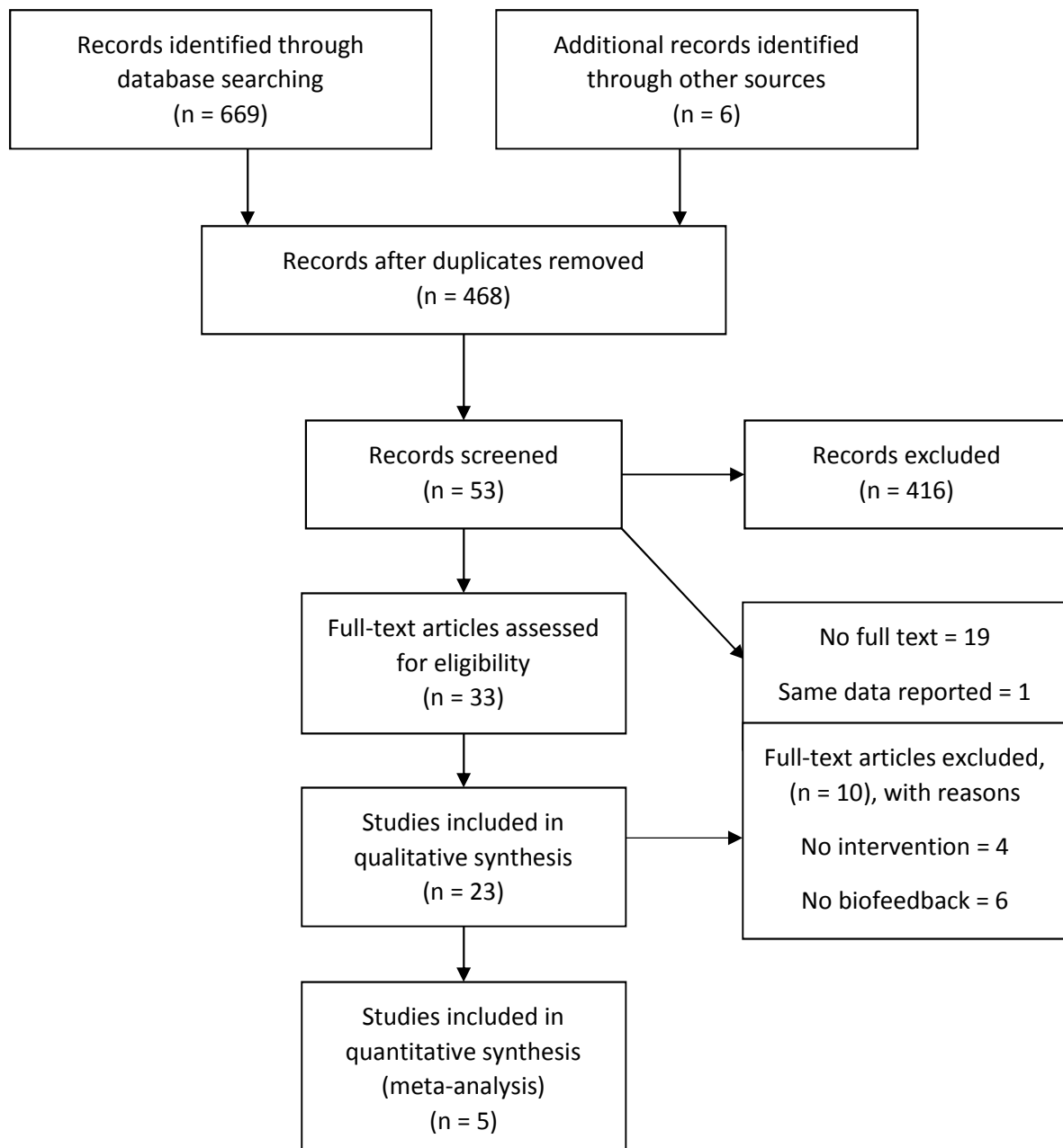


Figure 2: Study flow diagram

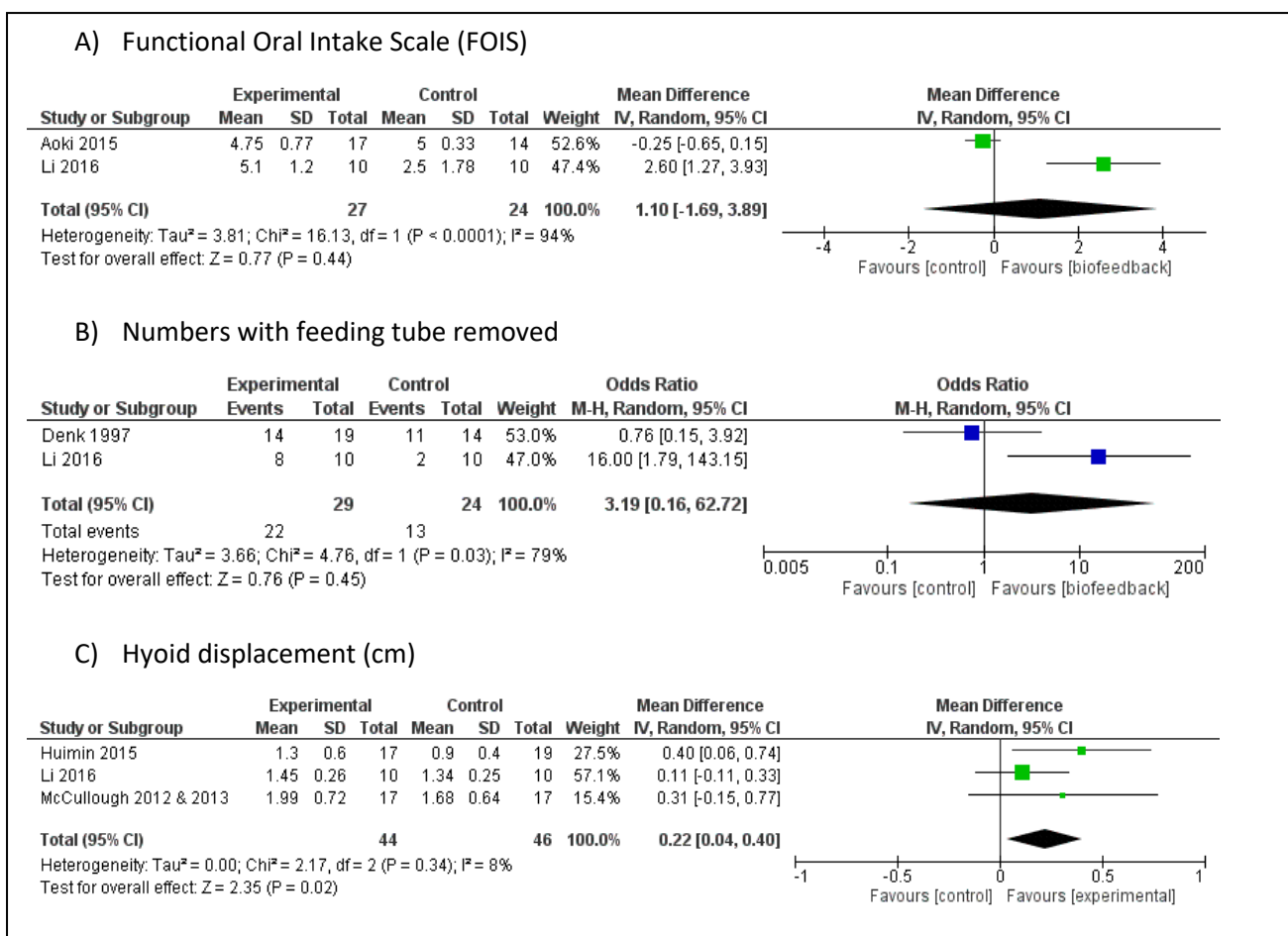


Figure 3. Results from Meta-analysis (Review Manager 5.3) showing changes in A) function, B) clinical outcome and C) physiology in patients receiving swallowing therapy with biofeedback compared to usual care.