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**Impact of SNR, masker type and noise reduction processing on sentence recognition performance
and listening effort as indicated by the pupil dilation response**

Barbara Ohlenforst^{1,4}, Dorothea Wendt^{4,5}, Sophia E. Kramer¹, Graham Naylor⁶, Adriana A. Zekveld^{1,2,3},
Thomas Lunner^{2,3,4,5}

¹Section Ear & Hearing, Dept. of Otolaryngology-Head and Neck Surgery, VU University Medical Center and Amsterdam Public Health Research Institute, Amsterdam, The Netherlands;

²Department of Behavioral Sciences and Learning, Linköping University, Sweden;

³Linnaeus Centre HEAD, The Swedish Institute for Disability Research, Linköping and Örebro Universities, Sweden;

⁴Eriksholm Research Center, Oticon A/S, Denmark;

⁵Department of Electrical Engineering, Technical University of Denmark, Denmark;

⁶MRC/CSO Institute of Hearing Research, Scottish Section, Glasgow, United Kingdom. Part of the University of Nottingham.

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Corresponding author: Barbara Ohlenforst,

Section Ear & Hearing, Dept. of Otolaryngology-Head and Neck Surgery, VU University Medical Center and Amsterdam Public Health Research Institute, Amsterdam, The Netherlands; P.O. Box 7057, 1007 MB, Phone: +31 20 4440952; Fax: +3120 444 2033

Eriksholm Research Centre, Rørtangvej 20, 3070 Snekkersten, Denmark;

E-mail: b.ohlenforst@vumc.nl; Phone: 0045-48298900 Fax: 0045-49223629

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62 **Abstract**
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65 28 Recent studies have shown that activating the noise reduction scheme in hearing aids results in a
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67 29 smaller peak pupil dilation (PPD), indicating reduced listening effort, and 50% and 95% correct
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69 30 sentence recognition with a 4-talker masker. The objective of this study was to measure the effect of
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71 31 the noise reduction scheme (on or off) on PPD and sentence recognition across a wide range of
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73 32 signal-to-noise ratios (SNRs) from +16 dB to -12 dB and two masker types (4-talker and stationary
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75 33 noise). Relatively low PPDs were observed at very low (-12 dB) and very high (+16 dB to +8 dB) SNRs
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77 34 presumably due to 'giving up' and 'easy listening', respectively. The maximum PPD was observed
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79 35 with SNRs at approximately 50% correct sentence recognition. Sentence recognition with both
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81 36 masker types was significantly improved by the noise reduction scheme, which corresponds to the
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83 37 shift in performance from SNR function at approximately 5 dB toward a lower SNR. This intelligibility
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85 38 effect was accompanied by a corresponding effect on the PPD, shifting the peak by approximately 4
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87 39 dB toward a lower SNR. In addition, with the 4-talker masker, when the noise reduction scheme was
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89 40 active, the PPD was smaller overall than that when the scheme was inactive. We conclude that with
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91 41 the 4-talker masker, noise reduction scheme processing provides a listening effort benefit in addition
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93 42 to any effect associated with improved intelligibility. Thus, the effect of the noise reduction scheme
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95 43 on listening effort incorporates more than can be explained by intelligibility alone, emphasizing the
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97 44 potential importance of measuring listening effort in addition to traditional speech reception
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109 48 **Keywords:** Hearing impairment, speech recognition, noise reduction scheme, hearing aids, pupil
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111 49 dilation, listening effort, signal-to-noise ratio
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1. Introduction

Audiological evaluations and research studies investigating hearing aid signal processing have typically focused on changes or benefits in intelligibility but often failed to provide a complete picture of the processes involved in speech recognition (Dillon et al., 1993; Ricketts et al., 2001; Sarampalis et al., 2009). Traditional speech reception measures have been shown to be insensitive to the possible benefits of hearing aid algorithms due to ceiling effects or great variability (Gatehouse et al., 1990). Baer and colleagues (Baer et al., 1993) suggested that the greatest benefit of noise reduction processing in hearing aids may be reduced listening effort rather than enhanced speech intelligibility.

According to the Framework for Understanding Effortful Listening (FUEL) (Pichora-Fuller et al., 2016), listening effort depends on a range of factors, including not only individual factors, such as hearing ability and motivation to continue listening, but also external factors, such as the task demands imposed by the listening situation (Brehm, 1999). Participants may invest less effort in their task performance when the task demands are too high or allocate less cognitive resources under very easy listening conditions (Ohlenforst et al., 2017a). Recently, an increasing number of studies have sought additional methods to gain information about effortful listening as a supplement to traditional audiological measures to assess individual hearing ability (McGarrigle et al., 2014; Ohlenforst et al., 2017b; Pals et al., 2013; Wu et al., 2016). These methods include subjective assessments, such as self-reports and questionnaires (McAuliffe et al., 2012; Panico et al., 2009; Picou et al., 2011); behavioral measures, such as dual-task paradigms or reaction time measures (Fraser et al., 2010; Houben et al., 2013; Tun et al., 2009); and physiological measures, such as the pupil response and functional magnetic resonance imaging (fMRI) or EEG measures (Kuchinsky et al., 2013; Obleser et al., 2012; Petersen et al., 2015). Importantly, the listening conditions may affect listening effort even when speech intelligibility is not affected, such as when speech intelligibility is at a ceiling and hence constitutes an insensitive outcome measure (Koelewijn et al., 2014; Wendt et al.,

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180 75 2017). For example, Wendt et al., (2017) showed that activating the noise reduction scheme at
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182 76 ceiling performance reduced listening effort, but speech in noise performance was unaffected.
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184 77 Therefore, simultaneously assessing listening effort and speech performance may uncover challenges
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186 78 or changes in processing speech that may not be evident with traditional measures.
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189 79 Numerous studies across different research areas have shown that pupil dilation increases as the
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191 80 processing load imposed by the task demands increases (Beatty, 1982; Engelhardt et al., 2010;
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193 81 Granholm et al., 1996; Kahneman, 1973; Van Der Meer et al., 2010). Pupillometry has repeatedly
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195 82 been verified as a valid measure for quantifying the effort required for speech recognition with
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197 83 background noise (Koelewijn et al., 2012; Koelewijn et al., 2014; Kramer et al., 1997; Ohlenforst et
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199 84 al., 2017a; Ohlenforst et al., 2017b; Wendt et al., 2017; Zekveld et al., 2011). For instance, the SNR
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201 85 (ranging from -20 dB to +16 dB) and masker type (stationary and 1-talker masker) have been shown
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203 86 to affect pupil dilation during listening (Ohlenforst et al., 2017a). Recent studies indicate that effort is
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205 87 not necessarily monotonically related to the task demands. The changes in effort follow an inverse U-
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207 88 shaped function, indicating that listeners may exert less effort due to 'giving up' under very difficult
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209 89 conditions and 'taking it easy' when listening at high SNRs (Ohlenforst et al., 2017a; Wu et al., 2016;
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211 90 Zekveld et al., 2014). Ohlenforst et al. (Ohlenforst et al., 2017a) investigated the peak pupil dilation
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213 91 (PPD) across a range of SNRs in hearing-impaired and normal-hearing listeners. These authors
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215 92 showed that the PPD, which is an indication of the cognitive processing load, was affected by an
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217 93 interaction between the masker type and hearing status of the individual. In the presence of a
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219 94 stationary noise masker, the hearing-impaired listeners showed relatively large PPDs across a wide
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221 95 range of SNRs, while the normal-hearing listeners showed a maximum PPD across a relatively narrow
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223 96 range of low (challenging) SNRs (Ohlenforst et al., 2017a). With a single-talker masker, the maximum
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225 97 PPD was in the mid-range of SNRs, while relatively smaller PPDs were observed at low and high SNRs
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227 98 in both groups of listeners. Interestingly, recent findings across a variety of studies in the field of
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229 99 listening effort suggest that the allocation of mental resources needed during listening to reach
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239 100 speech understanding in daily life listening situations may differ between normal-hearing and
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241 101 hearing-impaired listeners (Ohlenforst et al., 2017a; Ohlenforst et al., 2017b; Zekveld et al., 2011).
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244 102 Hearing aids are designed to improve the audibility of sounds and facilitate the intelligibility of
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246 103 speech in both quiet and noisy environments. These improvements may be accompanied by reduced
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248 104 listening effort. The advanced signal processing in hearing aids includes a digital noise reduction
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250 105 scheme, which aims to reduce the level of interfering background noise by improving the SNR.
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252 106 Recent studies indicate that the noise reduction scheme improves the recall of words presented in a
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254 107 competing multi-talker background (Lunner et al., 2016; Ng et al., 2015; Ng et al., 2013). The
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256 108 researchers concluded that the noise reduction scheme may reduce the adverse effect of noise on
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258 109 memory and thereby facilitate the segregation of the target from the multi-talker masker signal. This
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261 110 enhanced memory of the target words was interpreted to represent reduced listening effort (Lunner
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263 111 et al., 2016; Ng et al., 2015; Ng et al., 2013). Moreover, Wendt et al. (2017) presented speech in a 4-
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265 112 talker babble masker at two SNRs (SNR50 and SNR95) corresponding to the individual 50% or 95%
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267 113 sentence recognition level. These authors assessed the effect of the noise reduction scheme by
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269 114 applying a combination of a digital noise reduction scheme and directional microphones. When the
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271 115 scheme was activated in the hearing aid, the speech recognition performance at SNR50 was
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273 116 significantly improved and accompanied by significantly smaller PPDs. Interestingly, activating the
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275 117 noise reduction scheme did not affect the near-ceiling speech recognition performance at SNR95.
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277 118 Nevertheless, significantly smaller PPDs were observed, indicating that the noise reduction scheme
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279 119 had a beneficial effect on listening effort. Thus, measuring listening effort by assessing PPD could
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281 120 provide a sensitive outcome measure of hearing aid benefit even at high performance level
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283 121 traditional methods of audiological assessment are not sufficiently sensitive.
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286 122 The studies described above (Ng et al., 2015; Ng et al., 2013; Wendt et al., 2017) indicate that effort
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288 123 can be reduced with modern hearing aid signal processing. However, knowledge regarding the
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290 124 benefit of noise reduction processing on listening effort remains very limited as only a few listening
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298 125 conditions were tested in these studies. In contrast, the effect of noise reduction processing on
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300 126 intelligibility has been studied by several groups of researchers. In these studies, the inconsistency in
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302 127 the diverse noise reduction processing schemes studied renders generalization problematic,
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304 128 especially as processing schemes become increasingly sophisticated over time. Some research
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306 129 studies have indicated that the application of noise reduction processing may not always be
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308 130 beneficial for speech intelligibility (Bentler et al., 2008; Nordrum et al., 2006). Such negative effects
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310 131 suggest that while the background noise may be removed, the target speech might also be degraded.
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312 132 Stronger or more aggressive signal processing may cause more signal enhancement but could
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314 133 simultaneously introduce more degradation (Loizou et al., 2011). For example, in a recent study, the
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316 134 effect of noise reduction processing on sentence recognition was tested in the presence of a
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318 135 cafeteria background masker (Neher et al., 2013). Simulated hearing aid processing including
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320 136 coherence-based noise reduction was presented via headphones to hearing-impaired listeners. The
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322 137 algorithm was designed to suppress the reverberant signal components and diffuse the background
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324 138 noise at mid to high frequencies but did not include directionality. The results showed that sentence
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326 139 recognition was unaffected by the moderate noise reduction processing, but the strong noise
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328 140 reduction processing reduced speech recognition by approximately 5%. The effect was replicated in a
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330 141 follow up study in which the same acoustic test conditions were used in a group of habitual hearing
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332 142 aid users (Neher, 2014). Compared to the moderate or no noise reduction processing, the strong
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334 143 noise reduction processing reduced speech recognition at -4 dB and 0 dB SNR.

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338 144 How hearing-impaired listeners invest listening effort across a broader range of listening situations
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340 145 and how effortful listening relates to performance measures remain unclear. The current study
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342 146 aimed to examine how a noise reduction scheme influences sentence recognition and listening
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344 147 effort. The applied noise reduction scheme preserves speech and reduces noise in complex
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346 148 environments by a fast-acting combination of a beam-former (Kjems et al., 2012) and a single-
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348 149 channel Wiener post-filter (Jensen et al., 2015) to attenuate interfering sounds. Any effect of the
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357 150 noise reduction processing on intelligibility likely affects the PPD in a corresponding direction as the
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359 151 intelligibility of speech has a strong and reliable effect on the PPD (Koelewijn et al., 2014; Ohlenforst
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361 152 et al., 2017a; Zekveld et al., 2014). However, in addition to this intelligibility effect, the noise
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363 153 reduction processing may have additional effects on the PPD, as suggested by recent studies
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365 154 investigating listening effort that demonstrated that hearing aid processing has a beneficial effect on
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367 155 listening effort due to reduced background noise and reduced cognitive effort during speech
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369 156 processing (Picou et al., 2013; Sarampalis et al., 2009; Wendt et al., 2017). Demonstrating the effect
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371 157 of noise reduction processing on listening effort combined with simultaneous knowledge regarding
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373 158 speech in noise performance could further substantiate the value of measuring effort as an extra
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375 159 dimension in addition to traditional speech reception measures.

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378 160 Recent research found better SRTs in speech recognition in the presence of a single-talker masker
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380 161 than those in the presence of a stationary noise masker (Koelewijn et al., 2012). The envelope
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382 162 modulations of the multi-talker masker **might** allow the participants to listen in the energy dips in the
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384 163 spectral-temporal domain and glimpse parts of the target sentence (Festen et al., 1990; Francart et
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386 164 al., 2011; Koelewijn et al., 2012; Koelewijn et al., 2014; Rosen et al., 2013). Based on **the**
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388 165 **characteristics of the masker types and** recent findings, we hypothesize that speech recognition
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390 166 performance **is better with** the 4-talker masker than that with the stationary noise masker (Koelewijn
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392 167 et al., 2012; Koelewijn et al., 2014). However, recent studies suggest that the intelligibility of speech
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394 168 masked by additional interfering speech information may require more mental effort than that with
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396 169 an energetic mask (Larsby et al., 2008). Informational masking, including lexical interference or the
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398 170 competition for neural resources, may cause higher listening effort (Beatty, 1982; Koelewijn et al.,
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400 171 2012; Koelewijn et al., 2014; Scott et al., 2004; Scott et al., 2009). We hypothesized that the better
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402 172 speech recognition with the 4-taker masker compared to that with the stationary noise masker could
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404 173 be accompanied by larger PPDs. We hypothesized that sentence recognition is improved and
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406 174 listening effort is reduced with SNRs corresponding to approximately 50% correct or better
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416 175 performance with the active noise reduction compared to the inactive noise reduction scheme. This
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418 176 hypothesis is motivated by two arguments. First, in a previous study conducted by Wendt and
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420 177 colleagues (2017), SRT targeting 50% correct performance was significantly improved by the active
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422 178 noise reduction scheme compared to that with the inactive noise reduction scheme setting. Second,
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424 179 the segregation between the target and masker signal at very low SNRs might be more difficult for
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426 180 the algorithm, which might have an impact on the SNR improvement provided by the algorithm.
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432 182 2. Materials and methods

434 183 2.1 Participants

437 184 Twenty-five experienced hearing aid users were recruited from the Eriksholm Research Centre in
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439 185 Denmark. On average, the participants had used hearing aids for 7.7 years (SD=3.1 years). The
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441 186 participants were aged between 46 and 77 years (mean age 64.3 years, SD=9.4) and native Danish
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443 187 speakers. The audiometric inclusion criterion for the participants was symmetrical, with mild to
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445 188 moderate sensorineural hearing thresholds. The average pure tone hearing thresholds ranged
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447 189 between 35 dB and 60 dB HL (see Figure 1), and air-bone gaps less than 10 dB between 500 Hz and
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449 190 4000 Hz were required in both ears. All the participants had normal or corrected-to-normal vision
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451 191 and no history of neurological diseases, dyslexia or diabetes mellitus. All the participants provided
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453 192 written informed consent, and the study was approved by the local regional ethics committee (De
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455 193 Videnskabetiske Komiteer for Region Hovedstaden).
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464 196 2.2 Auditory stimuli

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475 197 Everyday Danish sentences from the Hearing in Noise Sentence Test (HINT) (Nielsen et al., 2009)
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477 198 were presented in a spatial setup with five loudspeakers in a sound proof measurement booth as
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479 199 shown in Figure 2. The target sentences were spoken by a male and presented from a loudspeaker
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481 200 located at 0 degree azimuth. All the sentences contained five words, 8-9 syllables were included in
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483 201 each sentence, and the single words did not contain more than four syllables (Nielsen et al., 2009).
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485 202 The following is an example of a presented sentence: "Filmen er rigtig godt lavet" (translation: "the
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487 203 movie was well made"). The sentence duration was on average 1.4 seconds. The listeners were
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489 204 presented with a training list of 20 sentences for each masker type, followed by eight lists of 25
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491 205 sentences for every SNR. To cover the large number of testing conditions, the sentence material was
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493 206 re-used across four experimental visits. Recent research assessed the possible learning effects due to
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495 207 repeated exposure to HINT sentences across three experimental visits with an interval of three
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497 208 weeks between visits. The results showed that the memory effects of the sentence material are not
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499 209 significant with limited exposure when the sentences were only presented once during each visit
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501 210 (Simonsen et al., 2016). The experimental visits in the current study were separated by at least three
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503 211 weeks, and identical sentence material was not repeated within each visit to prevent learning effects
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505 212 of the speech material. The speech recognition performance was measured in the presence of a
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507 213 stationary noise or a 4-talker masker background. The 4-talker masker was made from four single-
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509 214 talker maskers, including two different male voices and two different female voices. Each separate
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511 215 talker read a text passage from a newspaper, and one single talker was presented from one
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513 216 loudspeaker, each positioned at +/- 90 and +/- 150 degree azimuth (Wendt et al., 2017). We
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515 217 balanced the distribution of the talkers across loudspeakers for each SNR by switching the order of
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517 218 the talkers. There were never two talkers of the same gender next to each other or on the opposite
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519 219 position of each loudspeaker. In each trial, the masker started 3 seconds prior to the presentation of
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521 220 the sentence and ended 3 seconds after the sentence offset. The participants repeated the sentence
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523 221 aloud once the masker stopped. The same presentation procedure was applied for both masker
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534 222 types. The long-term average frequency spectrum of both masker types was identical to the
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536 223 spectrum of the target speech signal, and the masker was always presented at 70 dB SPL. The masker
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538 224 levels were kept constant to ensure that the noise would not become too loud at low SNRs. Changing
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540 225 the noise levels might also allow the listeners to estimate the upcoming task difficulty. The same SNR
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542 226 range was chosen for both masker types. We included a large range of positive SNRs as previous
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544 227 findings suggested that typical, ecologically sound environments for hearing-impaired listeners occur
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546 228 at SNRs of approximately +5 dB or better (Festen et al., 1990; Ohlenforst et al., 2017a; Smeds et al.,
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548 229 2015; Wu et al., 2014; Zekveld et al., 2014). Speech masked with a stationary masker and 4-talker
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550 230 masker was presented at eight SNRs between -12 dB and +16 dB and distributed in steps of 4 dB. Per
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552 231 the masker type, 25 sentences were presented for each SNR.
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561 234 2.3 Noise reduction scheme
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564 235 All the participants wore identical hearing aid models during the sentence recognition test and
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566 236 examined in the same two different settings. In one setting, the noise reduction scheme was turned
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568 237 off, but the hearing aid provided audibility based on each individual's hearing threshold via the Voice
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570 238 Aligned Compression (VAC) rationale (Le Goff, 2015). The VAC amplification rationale is based on a
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572 239 wide dynamic range compression scheme with compression knee points between 20 and 50 dB SPL
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574 240 depending on the frequency range and the individuals' hearing thresholds. The hearing aid was set to
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576 241 mimic the natural acoustic effect of the pinna; thus, the microphone setting was close to
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578 242 omnidirectional, and no actual noise reduction processing was applied. The other setting involved
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580 243 activating the noise reduction scheme. In this setting, a fast-acting combination of a minimum
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582 244 variance distortion-less response (MVDR) beam-former (Kjems et al., 2012) and a single-channel
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593 245 Wiener post-filter (Jensen et al., 2015) was applied before the VAC. In the algorithm, spatial filtering
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595 246 and Wiener filtering were applied to attenuate interfering sounds originating behind the listener.

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598 247 The output SNR method suggested by Naylor and Johannesen (2009) was used to directly measure
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600 248 the SNR effect of the complete noise reduction scheme. The hearing aid was placed in a sound field
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602 249 and exposed to running speech plus noise mixtures in SNRs ranging from -10 dB SNR to +20 dB in
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604 250 steps of 5 dB for the two different noise types (speech-weighted unmodulated noise and multi-talker
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606 251 babble noise). The output SNR method was applied to NR on and off. In the range of -10 dB SNR to
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608 252 +10 dB SNR, the listeners experience an articulation-index (AI) weighted SNR improvement ranging
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610 253 from 4.5 dB to 5.2 dB for NR on compared to that for NR off for the speech-weighted noise and an AI
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612 254 weighted SNR improvement ranging from 4.2 dB to 4.8 dB for the multi-talker babble noise. For SNRs
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614 255 above +10 dB, the SNR improvement gradually declined to a few dB because the noise estimates in
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616 256 the noise reduction algorithm decline at high SNRs, and thus, the noise reduction algorithm becomes
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618 257 less effective.

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627 260 2.4 Pupillometry

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630 261 During the experiment, the pupil location and pupil size were recorded using an eye tracking system
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632 262 by SensoMotoric Instruments (SMI, Berlin, Germany, 2D Video-Oculography, version 4), which
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634 263 applies infrared video tracking to measure the pupil diameter. The eye tracking system had a
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636 264 sampling frequency of 120 Hz and a spatial resolution of 0.03 mm. The pupil location and pupil size
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638 265 were recorded by the eye tracker and stored on a connected computer with time stamps
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640 266 corresponding to the start of each trial, including the masker onset, the sentence onset and the
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642 267 offset for the post-masker. The experimenter monitored the pupil recordings and applied corrective
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644 268 actions. In the case that a participant moved his/her head or upper body or the real-time pupil
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269 recordings were missing data regarding the pupil diameter, corrective actions, such as adjusting the
270 participants' position, the distance to the eye tracker, or light, were applied.

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272 2.5 Procedures

273 In total, 17 adults from the Eriksholm pool of participants with recent pure tone audiogram data and
274 recently made ear impressions (less than 6-month-old) were required to participate in four
275 experimental visits. We recruited 8 additional participants who required an additional recruitment
276 visit (total of five visits) to measure the pure tone audiogram and take ear impressions. In total, four
277 experimental visits, including two visits per masker type, were required for each participant. The
278 visits were distributed across approximately four months during the fall of 2016 with intervals of at
279 least three weeks between each visit to avoid learning effects of the sentence material as the
280 material was repeatedly used (Simonsen et al., 2016). During the four experimental sessions, each
281 participant sat on a fixed chair in front of the eye tracking system in a sound proof booth. The
282 experimenter observed the real-time recording of the pupil response from the eye tracking system to
283 evaluate the pupil recording quality. The height of the chair and the distance to the eye tracker (55
284 cm +/- 5 cm approximately) were adjusted individually until a stable, continuous pupil response was
285 measured. The illumination in the measurement booth was fixed during the experiment to an
286 average of 84.3 lux (SD=3.56 lux). The stationary noise and 4-talker masker were presented at eight
287 identical SNRs between -12 dB and +16 dB distributed in steps of 4 dB. During each visit, only 1 of the
288 2 masker types was presented in two blocks of four randomized SNRs. In one block, the noise
289 reduction scheme was turned on, and in the other block, the noise reduction scheme was turned off.
290 During each visit, each noise reduction scheme setting (on or off) was tested at four SNR levels. We
291 balanced the SNR levels for each visit, including two difficult and two easier SNRs (e.g., -12, -4, +4 and
292 +12 dB SNR or -8, 0, +8 and +16 dB SNR). We balanced the setting of the noise reduction scheme and

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710
711 293 the presented masker types across visits and blocks. Each participant's visit started with a practice
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713 294 session in which the noise reduction scheme setting was the same as that in the starting block, and
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715 295 20 sentences at an SNR of +4 dB were tested. The practice session ensured that the participants were
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717 296 confident with the experimental procedures as it may not be intuitive to inhibit movements and
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719 297 blinking during the sentence presentation. A sentence was scored as correct if all the words were
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721 298 correctly repeated.

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730 301 2.6 Pupil data selection and cleaning

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733 302 Pupil diameter values more than 2 standard deviations from the mean pupil diameter in a given trial
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735 303 were defined as blinks. Pupil traces with more than 25% of blinks between the start of baseline (final
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737 304 second pre-noise before the sentence onset) and the end of the post-masker were excluded from
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739 305 data analysis. For pupil traces with less than 25% of blinks, the blinks were interpolated linearly
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741 306 starting with 5 samples before and 7 samples after each blink (Siegle et al., 2008). The pupil response
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743 307 within each selected and de-blinked trace was smoothed by a 9-point moving average filter. The
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745 308 reference of the task evoked pupil dilation was the baseline, which corresponded to the average
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747 309 pupil diameter recorded during the final second of the three second presentation of the masker
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749 310 before the target speech onset. The PPD was calculated as the maximum pupil dilation between the
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751 311 onset of the sentence and the offset of the noise relative to the baseline pupil diameter for every
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753 312 trace (one pupil trace was recorded per sentence). For each participant and each condition, all the
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755 313 included de-blinked and smoothed traces (≤ 25) were time-aligned and averaged. For each SNR
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757 314 condition, at least 18 valid pupil traces ($n=25$ traces in total) with less than 25% of blinks were
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759 315 required per participant to consider the pupil data for the statistical analysis. Eighteen participants
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761 316 had the required number of valid pupil traces for each of the 32 testing conditions. Six participants
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770 317 had less than 18 valid pupil traces in at least one of the testing conditions, and two participants had
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772 318 missing data (<18 valid pupil traces) in at 3 test conditions. We calculated the average pupil trace
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774 319 across all the valid pupil traces per SNR condition and subject. The mean PPD was calculated based
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776 320 on the averaged pupil trace and thus provided the data for the statistical analysis per SNR and
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780 781 324 2.7 Statistical analyses

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787 325 Pupil data selection and cleaning were applied to the pupil data from 24 participants (50% female).
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789 326 One participant was excluded due to unexpected attention problems. We measured 800 pupil traces
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791 327 during the experimental sessions (excluding the practice traces) per participant, and on average, 38
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793 328 (SD=12.92) pupil traces were excluded per person. The corresponding sentence recognition scores
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795 329 for all 800 measured traces were included in the statistical analysis.
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801 330 We applied linear mixed models (LMM) to analyze the data as LMMs tolerate missing values, while
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803 331 repeated measures ANOVA tests only use complete cases contrary to multilevel analyses. Moreover,
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805 332 mixed-effects models are more flexible in processing the multilevel structure of the data (i.e., the 8
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807 333 different SNRs and 2 different hearing aid settings). We averaged over 25 sentences to obtain one
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809 334 'observation' under each hearing aid setting and listening condition (SNR and masker type), which is
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811 335 commonly performed in pupillometry research (Koelewijn et al., 2012; Koelewijn et al., 2014;
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813 336 Ohlenforst et al., 2017a; Zekveld et al., 2011). A linear mixed-effects model was built in R-studio
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815 337 using the packages lme4 (Bates et al., 2014) and lmerTest (Kuznetsova et al., 2016). The function
816
817 338 lmer was applied to fit the LMM to the data. First, we applied a 3-way LMM ANOVA to statistically
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819 339 compare the fixed effects of the masker types, SNR and noise reduction setting on the PPD and the
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829 340 sentence recognition performance separately to verify the hypothesis that the masker type and SNR
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831 341 range have an impact on speech recognition performance and the corresponding listening effort. The
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833 342 probability level of each LMM ANOVA was $p < 0.05$. We did not observe a significant 3-way
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835 343 interaction effect on the PPD, but we did observe a significant interaction between the SNR and
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837 344 noise reduction scheme setting. The model was collapsed across masker type, and an additional 2-
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839 345 way LMM ANOVA was applied to assess the effect of the SNR and noise reduction scheme setting
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841 346 and the corresponding interaction effect on the PPD.
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845 347 The three-way interaction among the masker type, SNR and noise reduction scheme setting on
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847 348 sentence recognition performance was significant. We created two additional separate LMM
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849 349 ANOVAs to test the effect of the SNR of each masker type independently (stationary noise and 4-
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851 350 talker masker) on the percent-correct sentence recognition. In these models, the averaged
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853 351 percentage of correct sentence recognition scores for each SNR was treated as a dependent
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855 352 measure, and the participants were treated as a repeated measure, i.e., random effects. The fixed
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857 353 effects in each separate LMM ANOVA included the categorical variable SNR, the categorical variable
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859 354 noise reduction scheme setting and the interaction between the SNR and noise reduction scheme
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861 355 setting. We included the random effect of the SNR and noise reduction scheme as a random slope of
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863 356 SNR to allow each participant to have their own mean PPD size and effect of SNR or noise reduction
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865 357 scheme on PPD with both factors nested within participants. The *phia* package, including the
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867 358 *testInteractions* functions, was used to apply a post hoc interaction analysis. Pairwise comparisons of
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869 359 the noise reduction scheme setting (on or off) at each SNR level were conducted. The pairwise post-
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871 360 hocpost hoc analysis was separately applied to both outcome measures (PPD and sentence
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873 361 recognition performance), and a p-value correction using the Holm method was applied to correct
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875 362 for the multiple comparisons.
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364 3. Results

365 3.1 Sentence recognition data

366 The results are displayed in Figures 3 and 4. Figure 3 shows the sentence recognition scores across
367 the range of stationary noise masker SNRs with the noise reduction scheme on (solid, gray curve) or
368 off (dashed, gray curve). The sentence recognition scores with the 4-talker masker are shown in
369 Figure 4 with the noise reduction scheme on (solid, gray curve) or off (dashed, gray curve). The error
370 bars represent the standard error of the mean.

371 The 3-way LMM ANOVA revealed significant main effects of SNR ($F_{[7,713]}=1382.5, p<0.001$), noise
372 reduction scheme ($F_{[1,713]}=524.4, p<0.001$), and masker type ($F_{[1,713]}=72.9, p<0.001$), indicating that
373 sentence recognition is affected by differences in the listening conditions (SNR and masker type) and
374 the noise reduction processing algorithm. Furthermore, we found significant interactions between
375 the SNR and noise reduction scheme ($F_{[7,713]}=93.7, p<0.001$), between the SNR and masker type
376 ($F_{[7,713]}=5.73, p<0.001$) and among the SNR, noise reduction scheme and masker type ($F_{[7,713]}=2.82,$
377 $p<0.01$). The interaction between the masker type and noise reduction scheme was not significant.
378 The interaction effects of among the masker type, noise reduction scheme and SNR are larger in the
379 mid-range of SNRs, while at relatively low and high SNRs, floor or ceiling effects of sentence
380 recognition were observed.

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382 Regarding the stationary noise masker, at relatively high SNRs between +16 dB and +8 dB, the
383 participants achieved 100% sentence recognition independent of the setting of the noise reduction
384 scheme. As the SNR decreased (+8 dB to -8 dB), sentence recognition rapidly decreased until the
385 participants were unable to perform correct sentence recall at -12 dB SNR when the noise reduction
386 scheme was turned off. At -12 dB SNR, the participants could correctly recognize approximately 12%
387 when the noise reduction scheme was turned on. Overall, the sentence recognition curve at the level

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947 388 of 50% correct speech recognition was shifted by approximately 5.5 dB (see Figure 3) toward lower
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949 389 SNRs when the noise reduction scheme was turned on compared to that when it was turned off. The
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951 390 LMM ANOVA revealed significant main effects of SNR ($F_{[7,345]}=846.2, p<0.001$) and noise reduction
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953 391 scheme ($F_{[1,345]}=332.5, p<0.001$) and a significant interaction between the SNR and noise reduction
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955 392 scheme ($F_{[7,345]}=68.8, p<0.001$). We performed pairwise post hoc comparisons between the two noise
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957 393 reduction scheme settings (on or off) at each SNR level. Post hoc analysis revealed significant
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959 394 differences between the noise reduction scheme settings at -12 dB, -8 dB, -4 dB and 0 dB SNR
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961 395 ($p<0.01$, as indicated by gray diamonds in Figure 3).
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967 397 Regarding the 4-talker masker, at SNRs between +16 dB and +8 dB, nearly 100% sentence recognition
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969 398 was achieved regardless of the noise reduction setting. The overall performance curve was shifted by
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971 399 approximately 5.1 dB toward the lower SNRs when the noise reduction scheme was turned on
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973 400 compared to that when it was turned off. By applying an LMM ANOVA, we found significant main
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975 401 effects of SNR ($F_{[7,345]}=617.3, p<0.001$) and noise reduction scheme ($F_{[1,345]}=223.8, p<0.001$) and a
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977 402 significant interaction between the SNR and noise reduction scheme ($F_{[7,345]}=36.2, p<0.001$). We
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979 403 performed pairwise post hoc comparisons between the two noise reduction scheme settings (on or
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981 404 off) at each SNR level. Significant differences were observed in the sentence recognition performance
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983 405 between the noise reduction scheme settings at -8 dB, -4 dB, 0 dB and +4 dB SNR ($p<0.01$, as
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985 406 indicated by gray diamonds in Figure 4).
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991 408 Arcsine transformation prior to analyzing proportion data, such as the percent of correct responses,
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993 409 is known to stabilize the variance and normalize proportional data (Studebaker, 1985). We applied
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995 410 the arcsine transformation to the speech scores and performed the statistical analysis described in
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997 411 section 2.7 by using LMM ANOVAs of the speech data. The results revealed small differences in the F-

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1006 412 and p-values compared to those obtained by analyzing the percentage scores. We chose to apply the
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1008 413 statistical analysis of the speech data because the prior arcsine transformation did not change the
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1010 414 results, and arcsine units are difficult to interpret as they fall into a numeric range that has little
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1012 415 intuitive relationship to the proportionate performances.
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1018 417 3.2 Pupil data

1021 418 Figure 3 shows the PPD data under the stationary noise masker conditions, and Figure 4 shows the
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1023 419 PPD data under the 4-talker masker conditions across SNRs. The 3-way LMM ANOVA revealed the
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1025 420 significant main effects of the SNR ($F_{[7,699.1]}=26.82, p<0.001$), noise reduction scheme ($F_{[1,699.1]}=25.34,$
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1027 421 $p<0.001$), and masker type ($F_{[1,699.1]}=21.37, p<0.01$), and a significant interaction was observed
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1029 422 between the SNR and noise reduction scheme ($F_{[7,699.1]}=9.97, p<0.01$). No significant interaction was
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1031 423 observed between the masker type and SNR or masker type and noise reduction scheme. The
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1033 424 interaction effect between the SNR and noise reduction scheme suggests that the SNR-dependency
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1035 425 of the PPD differs when the noise reduction scheme is on from that when the scheme is off. We did
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1037 426 not test two separate models for each masker type per sentence recognition performance. In an
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1039 427 additional 2-way LMM ANOVA that collapsed across the level of masker type, the noise reduction
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1041 428 scheme setting and masker type were not significant, which is similar to the interaction with the SNR.
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1043 429 The 2-way LMM ANOVA revealed a significant main effect of noise reduction scheme setting
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1045 430 ($F_{[1,715.05]}=25.08, p<0.001$), a significant main effect of SNR ($F_{[7,715.07]}=25.94, p<0.001$) and a significant
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1047 431 interaction effect between the noise reduction scheme setting and SNR ($F_{[7,715.05]}=9.72, p<0.001$) on
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1049 432 the PPD. Pairwise post hoc comparisons of the two noise reduction scheme settings (on or off) were
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1051 433 applied at each SNR level. Significant differences were observed between the noise reduction
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1053 434 scheme settings in the PPD measured at -8 dB, -4 dB, 0 dB and +4 dB SNR.
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1065 436 Figure 3 shows the averaged PPD data across SNRs for the stationary noise masker when the noise
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1067 437 reduction scheme was active (black, solid line) and when the noise reduction scheme was inactive
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1069 438 (black, dashed line). The PPD plateaued with relatively high SNRs between +16 and +8 dB where high
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1071 439 performance was reached independently of the noise reduction scheme setting. When the noise
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1073 440 reduction scheme was turned off, as the SNR further decreased, a steady increase in PPD was
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1075 441 observed until a maximum PPD was reached at -4 dB SNR. The corresponding sentence recognition
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1077 442 was approximately 38% correct. The maximum PPD was shifted by 4 dB toward lower SNRs when the
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1079 443 noise reduction scheme was turned on, and this maximum corresponded to an approximately 52%
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1081 444 correct sentence recognition. At the lowest SNR of -12 dB, relatively lower PPDs were observed
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1083 445 under both noise reduction scheme settings.

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1086 446 Figure 4 shows the PPD data across SNRs with the noise reduction scheme on (black, solid curve) or
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1088 447 off (black, dashed curve) under the 4-talker masker condition. The PPD measured with high SNRs
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1090 448 between +16 dB and +8 dB was overall consistent but larger when the noise reduction scheme was
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1092 449 off compared than that when it was on. Further decreases in the SNRs resulted in continuous
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1094 450 increases in the PPD until the maximum PPD was reached between -4 dB and 0 dB SNR when the
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1096 451 noise reduction scheme was off and between -8 and -4 dB SNR when the noise reduction scheme
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1098 452 was on. The range of the maximum PPD was shifted by approximately 4 dB toward the lower SNRs
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1100 453 when the noise reduction scheme was turned on compared to that when it was turned off.
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1105 1106 1107 455 3.3 Summary of the results

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1110 456 The preceding statistical analyses support the following summary of the results: The effect of the
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1112 457 noise reduction scheme applied in this study on sentence recognition was to shift the performance
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1114 458 function across SNRs by approximately 5.5 dB for the stationary masker and approximately 5.1 dB for
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1116 459 the 4-talker masker toward the lower SNRs. For both masker types, the effect of the noise reduction
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1124 460 scheme on listening effort (as measured by the PPD) was to shift the peak of the PPD function across
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1126 461 SNRs by approximately 4 dB toward the lower SNR. In addition, in the case of the 4-talker masker,
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1128 462 the noise reduction scheme lowered the average PPD by approximately 35% compared to the
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1130 463 inactive noise reduction scheme.
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1139 466 4. Discussion

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1142 467 In the present study, the effect of a noise reduction scheme on sentence recognition and PPD was
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1144 468 examined across a range of SNRs with two masker types. For both masker types, the noise reduction
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1146 469 scheme had a large beneficial effect on sentence recognition, which was accompanied by a
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1148 470 corresponding effect on listening effort, as indicated by the PPD.
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1154 472 4.1 Relationship among noise reduction scheme, SNR and speech recognition

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1156 473 For the stationary and 4-talker maskers, the sentence recognition performance was significantly
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1158 474 improved when the noise reduction scheme was active compared to that when it was inactive. The
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1160 475 results showed improved sentence recognition not only at performance levels of approximately 50%
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1162 476 and higher but also at lower sentence recognition performances. Notably, sentence recognition was
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1164 477 mainly improved across a large range of negative SNRs between 0 dB and -12 dB. The findings of the
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1166 478 present study confirm and extend the previously shown benefits of a noise reduction scheme on
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1168 479 sentence recognition with an approximately 50% successful performance rate (Wendt et al., 2017) at
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1170 480 higher and lower performance levels. Additionally, the present study confirmed that the currently
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1172 481 tested noise reduction scheme can significantly improve speech intelligibility in very challenging
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1183 482 sound environments. Hence, this finding might allow hearing-impaired listeners to participate in
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1185 483 communication situations that might otherwise be impossibly challenging.
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1191 485 4.2 Relationship among noise reduction scheme, SNR and PPD

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1194 486 In line with recent research (Ohlenforst et al., 2017a; Zekveld et al., 2014), the present results
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1196 487 confirm that the changes in speech recognition are accompanied by changes in PPD. We found the
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1198 488 maximum PPD with SNRs producing approximately 50% correct sentence recognition and relatively
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1200 489 smaller PPDs at very low and very high SNRs. The indication that listening effort follows an inverted
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1202 490 U-shape across a range of SNRs also supports the findings reported in a recent study (Wu et al., 2016)
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1204 491 in which dual-task paradigms were applied to assess listening effort across a wide range of SNRs. Wu
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1206 492 et al. (2016) found that second-task performance (reaction time) was the worst (i.e., longest) at SNRs
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1208 493 for 30-50% speech recognition and better at both lower and higher SNRs. The change in the PPD
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1210 494 function at positive SNRs when the percent-correct sentence recognition is saturated might be
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1212 495 affected by the type of speech material used in the sentence recognition test. The transfer function
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1214 496 of the speech intelligibility index is modifiable depending on the tested sentence material, and more
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1216 497 difficult speech material can change the transfer function. Thus, the transfer function at positive
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1218 498 SNRs might already be saturated for speech intelligibility index values that are not at the level of
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1220 499 saturation. However, we designed this experiment to intentionally reach a ceiling in performance,
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1222 500 although with very positive SNRs, a ceiling effect is achieved regardless of the presented speech
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1224 501 material.
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1228 502 The statistical analysis revealed that the level of the SNR and the noise reduction scheme setting
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1230 503 significantly affected the PPD. The impact of the masker type on the PPD was rather small, which
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1232 504 might contrast with previous studies reporting that listening effort required for speech recognition is
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1234 505 altered by the type of background masker (e.g., Koelewijn et al., 2012; Koelewijn et al., 2014).
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1242 506 Koelewijn and colleagues reported significantly larger pupil dilation responses for masker types
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1244 507 containing speech information, and the increase in effort was mainly explained by the semantic
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1246 508 inference with the target. However, Koelewijn and colleagues examined the impact of masker types
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1248 509 on the PPD at similar intelligibility levels corresponding to 50% correct speech recognition. Therefore,
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1250 510 comparisons between the PPDs of the different masker types were drawn at varying SNRs. Our data
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1252 511 indicate that the PPDs are strongly affected by the SNRs, which is in line with the results of previous
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1254 512 studies (Zekveld and Kramer, 2014; Ohlenforst et al., 2017). Hence, the differentiation between the
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1256 513 effect of the SNR and masker type is not possible based on these aforementioned studies by
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1258 514 Koelewijn and colleagues. Our results suggest that when examining the PPD across a range of
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1260 515 intelligibility varying between 0 to 100%, a non-linear change in the PPD, with maximum PPDs
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1262 516 occurring at approximately 50% recognition, could be observed independently of the masker type.
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1264 517 Furthermore, the impact of the masker type might be less pronounced when testing fixed SNRs,
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1266 518 which is in line with the results of previous work (see Wendt et al., 2018 in press).
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1273 520 One strength of the present study is the replication of previous findings, demonstrating the beneficial
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1275 521 effect of a noise reduction scheme in hearing aids on sentence recognition and the PPD (Wendt et
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1277 522 al., 2017). There were several factors that were kept constant between the setup of the recent study
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1279 523 by Wendt and colleagues (2017) and the current study. In both studies, the same noise reduction
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1281 524 scheme was tested during a sentence recognition task with identical stimulus material (HINT
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1283 525 sentences in a 4-talker masker). Additionally, the large number of listeners (n=17) that participated in
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1285 526 the study by Wendt et al., (2017) were used in the present study. Both studies contribute to the field
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1287 527 of hearing research and listening effort by providing new valuable knowledge showing the possible
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1289 528 benefits of a noise reduction scheme for hearing-impaired listeners wearing hearing aids.
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5. Conclusion

The present study demonstrates that a noise reduction scheme in commercial hearing aids can reduce the effort required during speech recognition in stationary noise and a 4-talker masker. With both maskers, the noise reduction processing resulted in a shift in the performance (sentence recognition) function toward lower (more challenging) SNRs, and a corresponding shift in the PPD function was observed. For the 4-talker masker, in addition to the speech recognition-related reduction in the PPD, a main effect of noise reduction processing on the PPD was observed, indicating that the cognitive processing load and some aspects of listening effort may be reduced independent of the SNR. These results also confirm previous findings by showing that for hearing-impaired listeners using hearing aids during speech recognition, listening effort changes in a non-monotonic way as a function of the SNR. This knowledge is essential for future research in the field of listening effort and the hearing aid industry for improving the development of better hearing aid algorithms.

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558 **Appendix**

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Table 1: Beta estimates of the sentence recognition performance scores and PPD at each SNR level show the mean differences between the inactive and active noise reduction scheme setting. The SNR levels are compared to the lowest SNR at -12 dB.

SNRs [dB] compared to the reference SNR of -12 dB	-8	-4	0	+4	+8	+12	+16
Beta estimates of performance with the stationary noise masker	-33.68	-40.57	-5.68	7.92	11.03	11.67	11.40
Beta estimates of performance with the 4-talker masker	-26.57	-46.05	-20.73	-4.07	4.57	4.40	5.97
Beta estimates of the PPD collapsed across stationary noise masker and 4-talker masker	-0.03	0.04	0.08	0.05	0.03	0.01	0.03

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1478 **562 References**
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717 **Figure legends**

718 Fig. 1: Averaged pure tone hearing thresholds of the left and right ears across frequencies
719 (125 Hz to 8 kHz) among the twenty-four hearing-impaired participants. Error bars show the standard
720 deviations of the mean.

721 Fig. 2: Spatial loudspeaker setup as used in Wendt et al., 2017. Target speech was presented
722 from the front. Masker signals were presented at 90, 150, 210 and 270 degree azimuth. The
723 stationary noise masker was presented as four individual point sources. For the four-talker masker,
724 one single talker was presented from one loudspeaker each.

725 Fig. 3: Peak pupil dilation (PPD) (black color) and percentage-correct sentence recognition
726 scores (gray color) are shown on the right y-axis across the signal-to-noise ratios (SNRs) with the
727 stationary masker and the noise reduction scheme turned on or off. Error bars represent the
728 standard error of the mean. Dark gray diamonds at -12, -8, -4, 0 and +4 dB SNR represent significant
729 differences in sentence recognition performance between the active and inactive noise reduction in
730 the pairwise comparison at each SNR level ($p < 0.01$).

731 Fig. 4: Peak pupil dilation (PPD) (black color) and the percentage of correct sentence
732 recognition scores (gray color) are shown on the right y-axis across the signal-to-noise ratios (SNRs)
733 with the 4-talker masker and noise reduction scheme on or off. Error bars represent the standard
734 error of the mean. Dark gray diamonds at -8, -4, 0 and +4 dB SNR represent significant differences in
735 sentence recognition performance between the active and inactive noise reduction in the pairwise
736 comparison at each SNR level ($p < 0.01$).

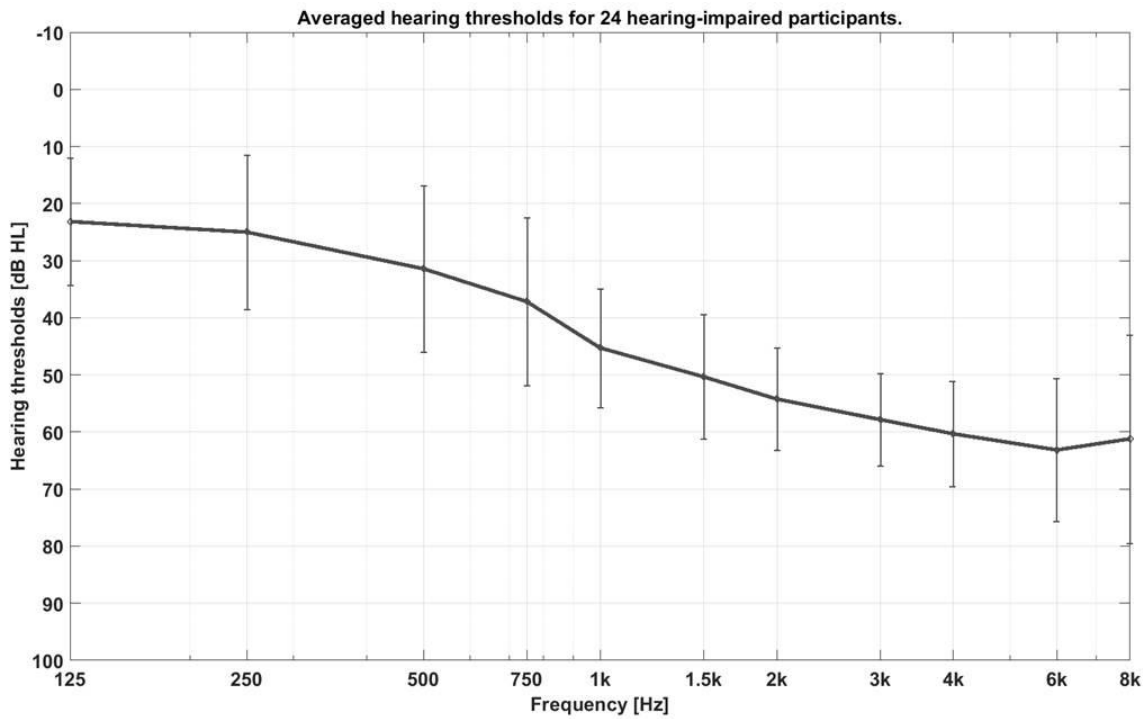


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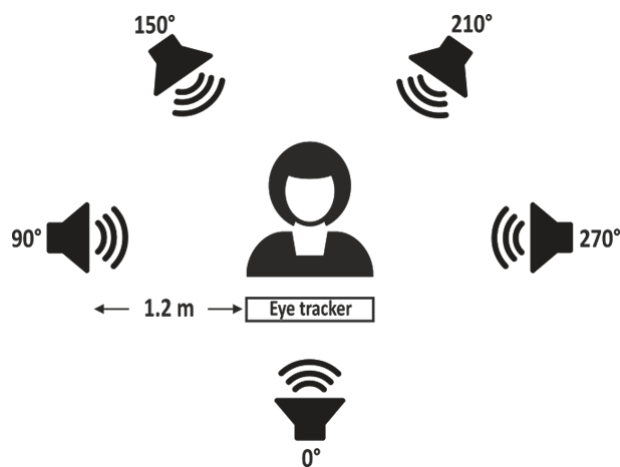


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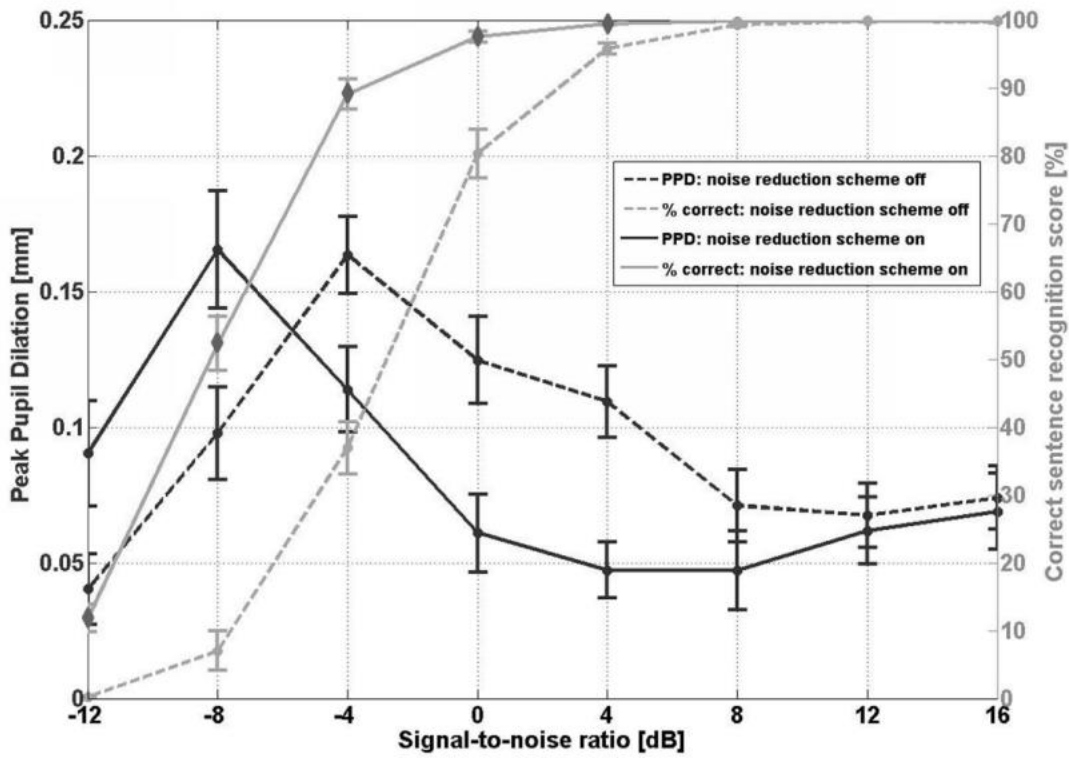


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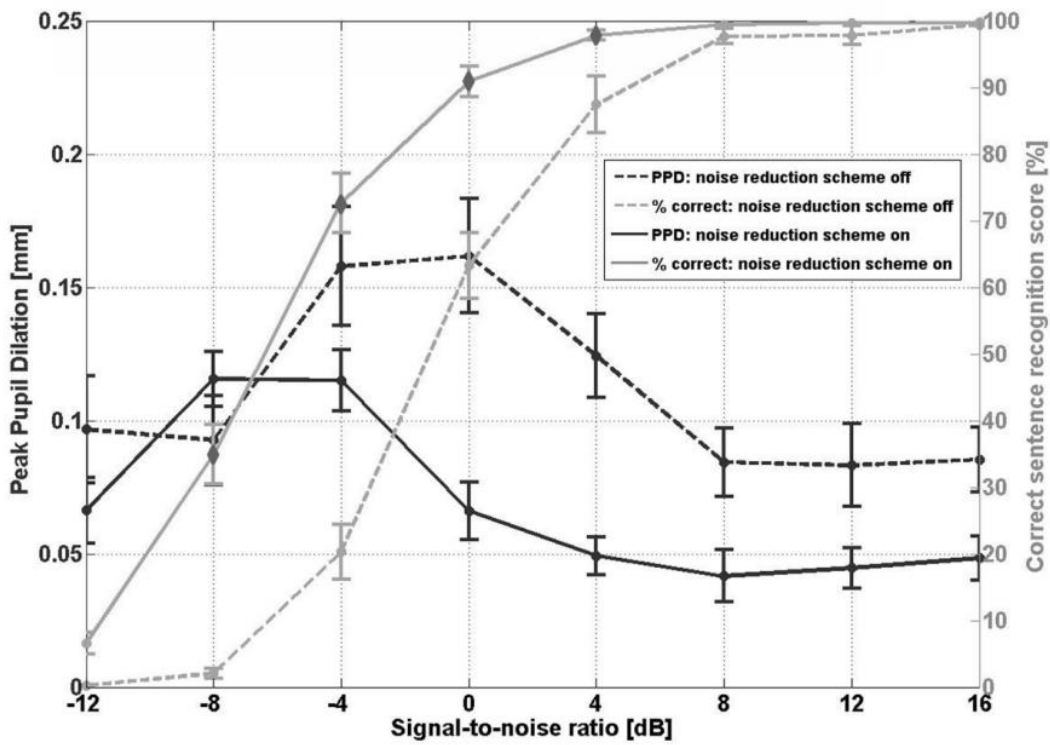


Fig. 4: Peak pupil dilation (PPD) (black color) and the percentage of correct sentence recognition scores (gray color) are shown on the right y-axis across the signal-to-noise ratios (SNRs) with the 4-talker masker and noise reduction scheme on or off. Error bars represent the standard error of the mean. Dark gray diamonds at -8, -4, 0 and +4 dB SNR represent significant differences in sentence recognition performance between the active and inactive noise reduction in the pairwise comparison at each SNR level ($p < 0.01$).