

Accepted Manuscript

Exploring factors influencing low back pain in people with non-dysvascular lower limb amputation: a national survey

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PII: S1934-1482(17)30175-2

DOI: [10.1016/j.pmrj.2017.02.004](https://doi.org/10.1016/j.pmrj.2017.02.004)

Reference: PMRJ 1852

To appear in: *PM&R*

Received Date: 13 July 2016

Revised Date: 2 January 2017

Accepted Date: 3 February 2017

Please cite this article as: Devan H, Hendrick P, Hale L, Carman A, Dillon MP, Ribeiro DC, Exploring factors influencing low back pain in people with non-dysvascular lower limb amputation: a national survey, *PM&R* (2017), doi: 10.1016/j.pmrj.2017.02.004.

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Title page

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Key words: Activity, cross-sectional, low back pain, musculoskeletal, risk factors

Ethical approval: The University of Otago Ethics Committee approved this study. All participants gave written informed consent along with returned surveys.

Source(s) of support: New Zealand Artificial Limb Service (NZALS) – A national body providing artificial limb services for persons with amputation funded the research project.

1 **Abstract**

2 *Background:* Chronic low back pain (LBP) is a common musculoskeletal impairment in people
3 with lower limb amputation. Given the multifactorial nature of LBP, exploring the factors
4 influencing the presence and intensity of LBP is warranted.

5 *Objective:* To investigate which physical, personal, and amputee-specific factors predicted
6 presence and intensity of low back pain (LBP) in persons with non-dysvascular transfemoral
7 (TFA) and transtibial amputation (TTA).

8 *Design:* A retrospective cross-sectional survey

9 *Setting:* A national random sample of people with non-dysvascular TFA and TTA.

10 *Participants:* Participants (N = 526) with unilateral TFA and TTA due to non-dysvascular
11 aetiology (i.e. trauma, tumours, and congenital causes) and a minimum prosthesis usage of one
12 year since amputation were invited to participate in the survey. The data from 208 participants
13 (43.4% response rate) were used for multivariate regression analysis.

14 *Methods (Independent variables):* Personal (i.e. age, body mass, gender, work status, and
15 presence of comorbid conditions), amputee-specific (i.e. level of amputation, years of prosthesis
16 use, presence of phantom limb pain, residual limb problems, and non-amputated limb pain), and
17 physical factors (i.e. pain provoking postures including standing, bending, lifting, walking,
18 sitting, sit-to-stand, and climbing stairs).

19 *Main outcome measures (Dependent variables):* LBP presence and intensity.

20 *Results:* A multivariate logistic regression model showed that the presence of two or more
21 comorbid conditions (prevalence odds ratio (POR) = 4.34, $p = .01$), residual limb problems (POR
22 = 3.76, $p < .01$), and phantom limb pain (POR = 2.46, $p = .01$) influenced the presence of LBP.
23 Given the high LBP prevalence (63%) in the study, there is a tendency for overestimation of POR

24 and the results must be interpreted with caution. In those with LBP, the presence of residual
25 limb problems (beta = 0.21, p = .01), and experiencing LBP symptoms during sit-to-stand task
26 (beta = 0.22, p = .03) were positively associated with LBP *intensity*, while being employed
27 demonstrated a negative association (beta = - 0.18, p = .03) in the multivariate linear regression
28 model.

29 *Conclusions:* Rehabilitation professionals should be cognisant of the influence that comorbid
30 conditions, residual limb problems, and phantom pain have on the presence of LBP in people
31 with non-dysvascular lower limb amputation. Further prospective studies could investigate the
32 underlying causal mechanisms of LBP.

33

34 Introduction

35 Low back pain (LBP) is a common musculoskeletal impairment affecting between 50 to 80% of
36 people with transfemoral (TFA) and transtibial amputation (TTA) [1-3]. While some prevalence
37 studies report that people with TFA experience more LBP than those with TTA [1, 4], other
38 studies show no differences [2, 5]. Regardless of the levels of amputation, LBP has been
39 reported as 'more bothersome' than phantom-or residual-limb pain in people with TFA and TTA
40 [1].

41 LBP is a multifactorial impairment with physical, personal, and amputee-specific factors
42 contributing to symptoms and disability [6]. Physical factors such as asymmetrical postures (e.g.
43 lifting) [7] and gait patterns (e.g. Trendelenburg gait) [8], reduced spinal muscle strength and
44 endurance [9], and postural asymmetries (e.g. leg-length discrepancy and increased anterior
45 pelvic tilt) [10] may contribute to the intensity of LBP in people with lower limb amputation
46 (LLA). Personal factors identified to influence LBP in the general population include: older age
47 [11], gender, increase in body mass [12], work status [6], and the presence of comorbid
48 conditions (e.g. heart disease, diabetes, depression, and arthritis) [13, 14]. In terms of amputee-
49 specific factors, the presence and intensity of LBP is thought to be worse for people with TFA
50 compared to TTA [1], longer years of prosthetic use [15], and the presence of phantom- or
51 residual-limb pain [2]. The interaction between the physical, personal, and amputee-specific
52 factors is best illustrated using an example. It is common for people with TFA to lateral trunk
53 lean toward prosthetic side during walking (i.e. Trendelenburg gait). As they age, and with
54 greater years of prosthetic use, they may be less able to adapt to this movement strategy and the
55 potential for LBP may increase; which, in the long-term may alter cortical pain mechanisms [16]
56 and contribute to the intensity of LBP.

57 Given the complex inter-relationship of physical, personal, and amputee-specific factors
58 influencing the presence and/or intensity of LBP in people with LLA, multivariate analyses
59 provide scope for identifying which of these factors are the most influential in people with LLA

60 and may help clinicians focus their treatment on the most critical factors that can modify the
61 presence and intensity of LBP.

62 To date, the only previous prediction study [2] found the odds for the presence of LBP were less
63 for men (OR = 0.7; 95% CI = 0.5 to 1.0) and older adults (OR = 0.6; 95% CI = 0.4 to 0.9), and
64 increased with household poverty (OR = 1.4; 95% CI = 1.0 to 2.0). The odds for the presence of
65 LBP did not vary across people with TFA or TTA ($p > .05$) and longer years of prosthetic use ($p >$
66 $.05$). While the study demonstrated the impact of personal factors (i.e. gender, age, and
67 economic status) affecting the presence of LBP, the potential influence of amputee-specific
68 factors such as phantom- and residual-limb pain contributing to the presence and intensity of
69 LBP were not investigated. Moreover, the study included participants with both upper- and
70 lower-extremity amputations which limited the generalisability of study results.

71 As such, there is a need for further research that aims to: (1) Identify which personal (i.e. age,
72 body mass, gender, work status, and presence of comorbid conditions), and amputee-specific
73 factors (i.e. level of amputation, years of prosthesis use, presence of phantom limb pain, residual
74 limb problems, and non-amputated limb pain) are associated with the *presence* of LBP in people
75 with non-dysvascular LLA. (2) In those who report LBP, identify which physical (i.e. pain
76 provoking postures including standing, bending, lifting, walking, sitting, sit-to-stand, getting in
77 and out of the car, and climbing stairs), personal, and amputee-specific factors are associated
78 with the *intensity* of LBP in people with non-dysvascular LLA.

79 **Methods**

80 Inclusion and exclusion criteria

81 Participants with unilateral TFA or TTA aged 18 to 65 years with amputation due to trauma and
82 tumours were included. A threshold of 65 years was decided a priori as the focus of the survey
83 was to investigate the LBP prevalence in younger and middle-aged adults with LLA. We included

84 only people with non-dysvascular amputation (i.e. trauma or tumour) because people with non-
85 dysvascular amputation tend to be younger, present with less comorbid conditions, and more
86 active prosthetic users [17-19] than those with non-dysvascular amputation (i.e. peripheral
87 vascular disease and diabetes) [20]. Thus, we sought to investigate a relative young and healthy
88 sample as a way to control for the influence of comorbid conditions that might influence LBP.
89 Furthermore, owing to younger age at the time of amputation, persons with non-dysvascular
90 amputation continue to live with their prosthesis for more years [21] potentially increasing the
91 risk of developing secondary musculoskeletal impairments such as LBP. A minimum prosthesis
92 usage of one year since amputation was chosen similar to previous surveys conducted in this
93 population [5, 20]. Participants with bi-lateral LLA and those with a history of lower back
94 surgery were excluded from the survey.

95 Design

96 A cross-sectional survey was administered to a national sample of people with TFA and TTA due
97 to trauma and tumours in XX.

98 Sample size calculation

99 This study was powered to be able to estimate the overall prevalence of LBP within a margin of
100 error of $\pm 5\%$. Based on Dillman's sample size formula [22], 295 participants were required with
101 non-dysvascular TFA and TTA in XX assuming: 95% confidence level and 50/50 split for
102 choosing a 'yes' or 'no' response to the LBP question. Given a recent national survey of the same
103 population had a 56% response rate [3], and that people with TTA are twice as common as TFA
104 [23], it was estimated that 526 surveys would need to be distributed to potential participants.

105 Survey implementation

106 A list of potential participants satisfying the inclusion criteria ($N = 1268$) was extracted *a priori*
107 from the XX Artificial Limb Service (XXXXXX) national electronic database (Updated in 2012)

108 [23]. For confidentially reasons, access to the XXXXX database is restricted only to executive
109 officials of regional artificial limb centres in XX. A simple random sampling method was chosen
110 using an online programme [24] to randomly select participants with non-dysvascular TFA and
111 TTA. Each participant received a personalised cover letter, a letter of invitation from the XXXXX,
112 an informed consent form, the survey questionnaire (Appendix), and a reply-paid envelope with
113 a unique number code. An electronic version of the questionnaire was created in
114 SurveyMonkey® (<http://www.surveymonkey.com/>) and survey respondents were given the
115 choice of completing either the paper-based or the online survey. The electronic link for the
116 survey was provided in the cover letter with specific instructions to respond either via mail or
117 online, but not both. Participants responding online were requested to provide the unique
118 number code as part of their response. After a period of 3 weeks from the initial mail-out, a
119 reminder letter was sent to all potential respondents to maximise the response rate [25]. The
120 survey was open for a period of 8-weeks.

121 Measures

122 The survey questionnaire (Appendix) comprised three sections: 1) Demographic information,
123 including: amputation history and comorbid conditions, 2) LBP presence and characteristics,
124 and 3) Functional activity questions.

125 *Section 1 – Demographic information, amputation history, and comorbid health and pain* 126 *conditions*

127 Questions forming this section of the survey (Appendix) were adapted from the Trinity
128 Amputation and Prosthesis Experience Scales questionnaire (TAPES) [26]. A good construct,
129 content, and predictive validity has been demonstrated for the TAPES questionnaire [26, 27].

130 Questions related to age, sex, ethnicity, years since amputation, and years of prosthesis usage
131 were included from the respondent characteristics section of the TAPES questionnaire [26].

132 Questions on the presence of phantom limb pain, pain in the non-amputated limb, and problems

133 in the residual limb affecting their walking ability were adapted from the comorbid pain
134 conditions section of the TAPES questionnaire [26]. An additional question focusing on presence
135 of comorbid conditions (e.g. heart disease, diabetes, and depression) was included, similar to
136 the previous national survey conducted in this population [3].

137 *Section 2 - Low back pain presence and intensity*

138 The LBP questions (Appendix) were adapted from standardised LBP definition questions
139 recommended by a global panel of LBP experts for conducting prevalence studies [28]. The
140 average LBP intensity over the last 4 weeks was measured on a 0 to 10 Numerical Pain Rating
141 Scale (NRS). The question on 'bothersomeness' due to LBP was adapted from a similar previous
142 survey conducted in persons with LLA [5]. This question was included as it represented the
143 affective dimension of pain [29].

144 *Section 3 - Functional activity questions*

145 Only participants who answered 'yes' to the LBP question "*In the past 4 weeks, have you had pain*
146 *in your low back region?*" completed Section 3: Functional Activity, of the questionnaire
147 (Appendix). The functional activity questions were developed from the findings of focus groups
148 conducted with people with LLA and LBP [30]. As the functional activity questions were
149 untested in people with LLA, a series of steps were undertaken in piloting functional activity
150 questions prior to administering the surveys.

151 *Step 1 - Questionnaire construction*

152 From the focus group study [30], those functional activities perceived to aggravate LBP
153 symptoms that could be categorised as '*uneven movements and compensatory postures*' were
154 identified. As most of the functional activities identified from the focus group study [30] were
155 already part of the Oswestry Disability Index [31], the questions were modified as: For example,
156 "*Do you often experience pain in your lower back while standing?*" with 'yes' or 'no' responses.

157 Oswestry Disability Index is a reliable and valid questionnaire specifically investigating the
158 influence of spinal disorders including LBP on functional activities and postures in the general
159 population [31]. The functional activities such as getting up from a chair and getting in and out
160 of car were included as they were indicated to increase LBP symptoms in the focus group study
161 [30].

162 Step 2 - Content validity

163 Members of the research team (PH, DR, and LH) reviewed the functional activity questions to
164 ensure content validity [32, 33]. This team included experts in LBP research (PH and DR) and
165 mixed methods (LH). The aim of the peer review was to identify whether the listed functional
166 activities sufficiently captured common everyday activities and postures at work and leisure in
167 persons with LLA. Each team member independently reviewed the functional activity questions
168 twice to identify issues related to wording and organisation of this section of the questionnaire
169 (PH, DR, and LH) [32]. The functional activity questions and responses were modified based on
170 the feedback.

171 A 'think-aloud' cognitive interview technique with concurrent probing [34] was then conducted
172 with two participants, one with a TFA and another with a TTA. The main advantage of using
173 think-aloud cognitive interview technique is to provide insights on participants' perspectives in
174 understanding the survey questions and responses [34]. Participants were requested to think
175 aloud their thoughts as they completed the questionnaire [34]. Further, participants were asked
176 about any difficulties they had in understanding the questions and in choosing the responses.
177 The questions and responses were modified based on this feedback.

178 Step 3 - Test-retest reliability

179 To assess the stability of responses to functional activity questions over two weeks, this section
180 of the questionnaire was sent to a convenience sample of participants (n = 11) with LLA and
181 ongoing LBP. Nine participants completed and returned the repeat surveys. The percentage

182 agreement between the responses over a two-week period was good (κ (unweighted) =
183 0.63) [35]. According to Landis and Koch classification [36], this was a substantial agreement. In
184 addition to assessing the test-retest reliability, item non-response was also assessed from the
185 responses over a two-week period. A 100% item response was achieved for the functional
186 activity questions in both instances.

187 Data coding and verification

188 The primary investigator (HD) verified the unique number codes of both online and paper
189 responses to minimise overlap of participants' responding through paper and online. The
190 primary investigator (HD) entered the paper responses in Microsoft Excel® and online
191 responses were exported directly to Microsoft Excel®. If there were missing data for LBP 'Yes
192 or No' question and/or missing responses for two or more functional activities in any survey,
193 then it was excluded from analysis [37].

194 Data analysis

195 Assumption testing was conducted in accordance with the techniques described by Pallant [38]
196 so to establish the validity of the regression model. Statistical analyses were performed using
197 SPSS version 21 (IBM corporation, Armonk, New York). For all inferential statistics (described
198 below) alpha was set at 0.05.

199 *Factors influencing presence of LBP in people with LLA*

200 A multivariate logistic regression was used to explore the factors influencing presence of LBP.
201 The presence of LBP was considered as the dependent variable, and was measured as a
202 dichotomous variable, i.e. 'yes' or 'no'. The following independent variables were included in the
203 unadjusted analyses: Personal factors included: age, height, weight, body mass index (BMI),
204 work status (Yes/No), and comorbid conditions including heart disease, diabetes, depression,
205 arthritis, kidney disease, Parkinson's disease, and peripheral vascular disease. The number of

206 comorbid conditions reported were categorised as: none, one or 2+ conditions. Amputee-
207 specific factors included: level of amputation (TFA or TTA), years of prosthesis use, and pain
208 conditions such as phantom limb pain (Yes/No), residual limb problems (Yes/No), and non-
209 amputated limb pain (Yes/No).

210 Unadjusted analyses were performed to assess the individual association between each
211 independent variable and dependent variable [39]. An a priori criterion of $p < .25$ in univariate
212 analysis was chosen to select independent variables for final adjusted analysis [40]. According
213 to Peduzzi's recommendations [39], a minimum of 10 events per independent variable is
214 required for logistic regression. For the current dataset, containing 208 participants (139 with
215 LBP, 69 without LBP), a maximum of six independent variables satisfying the a priori criterion
216 ($p < .25$) were chosen for adjusted analysis [39].

217 *Factors influencing LBP intensity in people with LLA*

218 In those who reported presence of LBP, a multivariate linear regression was used to investigate
219 the factors influencing LBP intensity. Given that pain intensity measured on a 0 to 10 NRS, we
220 tested the normal distribution of scores using visual methods (i.e. histogram and Q-Q plot) [41].
221 Debate exists in the literature in treating NRS as a ratio or ordinal scale [42-44]. As the data
222 were normally distributed, we considered NRS as a ratio scale for the purpose of this study [43].

223 Independent variables included: Personal and amputee-specific factors as described in the
224 multivariate logistic regression model. Physical factors included pain provoking postures such
225 as standing, bending, lifting, walking, sitting, sit-to-stand, getting in and out of the car, and
226 climbing stairs measured as a dichotomous variable, i.e. 'yes' or 'no'. Unadjusted analyses were
227 undertaken to assess the individual association between each independent variable and
228 dependent variable. Those independent variables satisfying the a priori criterion of $p < .25$ from
229 unadjusted analyses were chosen for final adjusted analysis [40].

230

231 **Results**

232 Survey response

233 Of the 526 surveys sent, 36 surveys were returned as non-deliverable. Thus, a total of 490
234 potential respondents could have completed the survey. We received 213 responses yielding a
235 43.4% response rate (213/490). Five questionnaires were excluded from the final analysis due
236 to incomplete data (n = 2), blank survey (n = 1), and response by both post and online (n = 2).
237 Thus, 208 questionnaires were included for final analysis.

238 Participant characteristics

239 Participant characteristics are presented in Table 1. Most respondents were middle-aged
240 (52±9), men (74%), XX - European (81%), and currently employed (64%). The number of
241 respondents with TTA (n = 130) was greater than those with TFA (n = 78).

242 Factors influencing presence of LBP in persons with LLA

243 The results of unadjusted analyses are presented in Table 2. Eight independent variables met
244 the a priori criterion of $p < .25$ (Table 2). As only six independent variables could be included in
245 the adjusted analysis [40], the criterion was further revised to $p < .10$. The predictors: (1) work
246 status, 2) phantom limb pain, 3) non-amputated limb pain, 4) residual limb problems, and 5)
247 presence of 2+ comorbid conditions presented a $p < .01$, and were included in the final adjusted
248 analysis (Table 2).

249 For the sixth predictor, the independent variable BMI had the lowest p value ($p = .07$) as
250 compared with age ($p = .08$) and weight ($p = .09$) as shown in Table 2 and was included in the
251 final adjusted analysis. Including BMI in the adjusted analysis reduced the sample size for final
252 analysis to 189. As the missing value accounted for greater than 10% of sample size (N = 208), it
253 was deemed appropriate to replace missing data using the multiple imputation approach [45].

254 Five iterations were performed to estimate the missing data in SPSS. The data from pooled
255 estimates of five iterations were used for final adjusted analysis [45].

256 In the final adjusted analysis (Table 3), the independent variables such as presence of more than
257 two comorbid conditions (prevalence odds ratio (POR) = 4.34, 95% CI = 1.34 to 14.04, $p = .01$),
258 presence of residual limb problems (POR = 3.76, 95% CI = 1.84 to 7.68, $p < .01$), and presence of
259 phantom limb pain (POR = 2.46, 95% CI = 1.24 to 4.89, $p = .01$) significantly predicted the
260 presence of LBP. Prevalence odds ratios (POR) were presented for all the independent variables.
261 Given the high LBP prevalence (63%) in the study, there is a tendency for overestimation of POR
262 and the results must be interpreted with caution [46].

263 Factors influencing LBP intensity in people with LLA

264 In those with LBP ($n = 139$), thirteen independent variables satisfied the a priori criterion of
265 $p < .25$ in the unadjusted analyses (Table 4), with all variables having an “n” of at least 130. Thus,
266 it was decided not to compute multiple imputations for the missing data.

267 Table 5 shows the final multivariate model influencing LBP intensity in people with LLA. Of the
268 13 independent variables, three were statistically significant. Work status had a negative
269 association with influencing LBP intensity (beta = -0.18, 95% CI = -1.33 to -0.06, $p = .03$). The
270 presence of residual limb problems (beta = 0.21, 95% CI = 0.20 to 1.47, $p = .01$), and
271 experiencing LBP symptoms during a sit-to-stand task (beta = 0.22, 95% CI = 0.09 to 1.69, $p =$
272 $.03$) significantly predicted the intensity of LBP in people with LLA. Our model $F((13,120) =$
273 $5.03, p < .0005)$ explained 28.3% (adjusted R squared=0.283) of variance in LBP intensity.

274 Discussion

275 This study is the first to test which physical, personal, and amputee-specific factors influenced
276 the *presence* and *intensity* of LBP in people with TFA and TTA. After adjusting for potential
277 confounders, the presence of LBP was associated with presence of two or more comorbid

278 general health conditions, residual limb problems, and phantom limb pain ($p < .05$) (Table 3). In
279 those with LBP, the presence of residual limb problems, and experience of LBP symptoms
280 during a sit-to-stand task had a positive association with LBP intensity, while work status had a
281 negative association with LBP intensity in the multivariate regression model (Table 5).

282 Factors influencing presence of LBP in people with LLA

283 The presence of two or more comorbid conditions significantly predicted the presence of LBP. It
284 must be noted that, the POR reported in the present study should not be interpreted as risk
285 ratios due to high LBP prevalence (63%) in this population. For example, a POR of 4.3 for the
286 independent variable (i.e. presence of 2+ comorbid conditions) translates to a risk ratio below
287 2.0 when the outcome is this common (63%) [47]. Thus, the risk is less than 2-fold for reporting
288 the presence LBP in those with 2+ comorbid conditions. Therefore, misinterpreting a POR of 4.3
289 as 4-fold increase in the risk of reporting the presence of LBP is not recommended [46].

290 Similar to the present study, positive association between comorbid conditions and LBP has
291 been previously reported in the general population [14]. Several possible mechanisms have
292 been proposed to explain the relationship between comorbid health conditions and LBP in the
293 general population [48]. For example, presence of comorbid conditions (e.g. heart disease and
294 diabetes) can directly increase the risk of developing LBP via altered physiological mechanisms
295 (i.e. viscerosomatic reflex) [14, 48]. Furthermore, psychological, behavioural, and social
296 adjustments to chronic health conditions and associated disability may impair coping strategies
297 of an individual thereby increasing the risk of reporting LBP [14]. It is also plausible that LBP
298 onset could consequently increase the risk of developing comorbid conditions via dysregulated
299 physiological mechanisms (i.e. somatovisceral reflex) [48]. Co-existent theory suggests LBP and
300 comorbid conditions can be co-existent with no possible sequences of causality [48]. The
301 presence of depression was also among the comorbid conditions which have been reported to
302 be associated with bothersome LBP in persons with LLA [49]. Presence of depression could lead
303 to dysregulated psychological, emotional, and behavioural adaptive mechanisms resulting in

304 increased pain sensitivity [14] and may be an important factor in contributing to LBP in people
305 with LLA.

306 The presence of residual limb problems had a strong association with presence of LBP as well as
307 LBP intensity. Suboptimal socket fit and/or comfort is a common physical factor which can
308 jeopardise the mechanics of prosthesis-residual limb interface leading to skin breakdown and
309 pain in the residual limb [50, 51]. Pain in the residual limb can cause people to adapt their gait
310 pattern. Given that these problems are often chronic in people with LLA, the prolonged
311 adaptations in gait patterns (e.g. lateral trunk lean) may, in turn, lead to LBP.

312 The presence of phantom limb pain was a significant predictor to the presence of LBP. The
313 presence of pain in multiple body sites has the potential to alter cortical pain mechanisms [16],
314 a neurophysiological mechanism in which chronic pain leads to changes in stress-regulation
315 systems [52]. Prolonged activation of stress-regulation systems can create breakdowns of
316 muscle and neural tissue that, in turn, cause more pain resulting in a vicious pain cycle of “pain-
317 stress-reactivity” [52]. Altered cortical mechanisms have been implicated in the causation of
318 phantom limb pain [53]. While it is unclear which of the pain conditions develop immediately
319 after amputation, clinical experience suggest phantom limb pain and/or residual limb pain is
320 often experienced immediately following amputation. The development of phantom limb pain
321 and residual limb pain early after amputation have been shown to increase the risk of
322 depression and affect long term prosthetic outcomes [54]. Future studies could investigate
323 whether early onset phantom limb pain and/or residual limb pain following amputation could
324 increase the risk of developing musculoskeletal impairments, such as LBP and/or non-
325 amputated limb pain, in people with LLA.

326 Factors influencing LBP intensity in people with LLA

327 The presence of residual limb problems was associated with increasing LBP intensity ($p = .01$,
328 $\beta = 0.21$). The presence of residual limb problems secondary to skin breakdown, profuse

329 sweating, and pain in the residual limb is an issue of major importance in people with LLA [55].

330 Studies have shown that the presence of pain in the residual limb is often associated with

331 depression and phantom limb pain [56] suggesting that this could be an important factor

332 mediating the intensity of LBP.

333 Getting up from a sitting position was associated with increasing LBP intensity ($p = .03$; beta =

334 0.22). This day-to-day activity is more demanding than walking due to increased muscle work

335 and movement control required performing this task [57]. From the previous focus group study,

336 participants with LLA reported that prolonged sitting often increased their LBP symptoms [30].

337 Similar to general population, it is possible that prolonged sitting could lead to spinal muscle

338 fatigue in persons with TFA and TTA [58, 59]. Spinal muscle fatigue is common in people with

339 LLA, because decreased spinal muscle endurance and strength has been reported in persons

340 with TFA and TTA with LBP [9]. Furthermore, reduced trunk postural control has been reported

341 in persons with TFA and TTA during sitting [60]. On getting up from a sitting position, fatigue

342 induced deficits in trunk postural control could lead to functional instability and LBP. While

343 evidence suggests increased lumbosacral loading during sit-to-stand task in persons with TFA

344 as compared to general controls [61], further research is required to investigate the spinal

345 movement and muscle characteristics during prolonged sitting and sit-to-stand tasks in persons

346 with TFA and TTA, with and without LBP.

347 Work status had a negative association with LBP intensity ($p = .03$, beta = -0.18). The result

348 suggests an employed person is less likely to report severe LBP and the converse is also possible

349 where a person with severe LBP is less likely to hold a job. This result could be explained by

350 workplace LBP taught or self-management strategies, such as pacing the activities and avoiding

351 prolonged postures at work. Psychosocial work factors, such as high job satisfaction, peer

352 support, and financial independence have been shown to decrease the odds of reporting severe

353 LBP [6]. Furthermore, persons being employed could be in a different socio-economic and

354 educated group thereby well-informed in self-managing their LBP symptoms. Firm conclusions

355 could not be made with regards to the association between work status and intensity of LBP as
356 the current study did not investigate the type of work (i.e. physical, desk work, or both) and
357 work-related psychosocial factors (e.g. job satisfaction, job control, and coworker support).

358 Limitations

359 The following limitations must be acknowledged in interpreting the results of this study. First,
360 this is a cross-sectional study, and can only detect statistical associations, without being able to
361 assess any causal relationship to LBP.

362 Importantly, the study included only participants with LLA mainly due to trauma and tumours
363 and hence the results cannot be generalisable to people with LLA due to other causes of
364 amputation (i.e. people with dysvascular amputation). People with dysvascular amputation are
365 often reported to be older at the time of amputation and physically inactive due to the presence
366 of comorbid health conditions preceding the amputation [18]. We sought to investigate a
367 relative young and healthy sample as a way to control for the influence of comorbid conditions
368 that might influence LBP. However, people with dysvascular amputation could be equally at risk
369 of experiencing LBP symptoms following amputation given the supporting evidence between
370 physical inactivity and chronic LBP in the non-disabled population [62]. Future investigations
371 could explore the prevalence and potential factors associated with LBP in people with
372 dysvascular amputation.

373 Given the multifactorial nature of LBP [6], the present survey did not investigate other key
374 factors associated with LBP such as psychosocial factors (e.g. catastrophising, depressed mood,
375 and anxiety) [6], prosthetic factors (e.g. prosthetic mobility, perceived socket fit and comfort),
376 physical factors (e.g. degree of gait asymmetry) as well as premorbid history of LBP and current
377 use of pain medications and assistive devices. Although a question on depression was included,
378 a specific tool on depression (e.g. Patient Health Questionnaire depression module - PHQ-9) was
379 not utilised. For pragmatic reasons, the aim of the present study investigated only the main

380 personal, amputee-specific, and physical factors associated with LBP. Future investigations
381 could focus on the psychosocial and prosthetic factors for a more thorough understanding of
382 their influence on the presence and intensity of LBP in this population.

383 Despite the best attempts to increase survey response rates by administering the surveys
384 through both postal and online formats and sending a reminder letter after 3 weeks from the
385 initial mail-out, the response rate was low (40.5%). This may have introduced bias in the results
386 because individuals who have LBP may be more likely to answer the survey than those who
387 have not had LBP. Further, the participant characteristics of non-respondents (66.6%) may
388 differ from those who responded may increase the risk of non-respondent bias [63]. Due to
389 confidentiality reasons, the participant characteristics of non-respondents could not be
390 extracted from the XXXXX database. However, the mean age of the respondents represents the
391 national mean age of people with LLA in XX [23] and therefore less likely to influence our
392 results.

393 Lastly, the section of the questionnaire on functional activities used in the survey was not fully
394 validated; for example, criterion and construct validity were not examined. These questions
395 were mainly adapted from the Oswestry Disability Index, which is a valid and reliable
396 questionnaire tested in the general population [31]. Therefore, we did not conduct a complete
397 validation procedure for these questions in an amputee population. Based on that, a complete
398 validation procedure for these questions was considered to be beyond the scope of this study.
399 As the questions were untested in the amputee population, the steps undertaken to pre-test the
400 questions by cognitive interviewing with a participant with TFA and TTA, and to establish
401 excellent test-retest reliability provided preliminary evidence for reliability and validity.

402 **Conclusions**

403 Our results from multivariate logistic regression suggest the presence of more than two
404 comorbid conditions, residual limb problems, and phantom limb pain influenced the presence of

405 LBP in people with lower limb amputation. In those with LBP, the presence of residual limb
406 problems, and experience of LBP symptoms during a sit-to-stand task increased LBP intensity,
407 while being employed reduced LBP intensity in the multivariate linear regression model.
408 Further prospective studies could investigate the underlying causal mechanisms of LBP in
409 people with non-dysvascular lower limb amputation. Importantly, the potential impact of
410 residual limb problems on physical functioning and LBP warrants further research.

411

412 Acknowledgements

413 We would like to thank Dr. XXXXXXXX (Biostatistician, University of XX) for his valuable inputs
414 on statistical analysis. The primary investigator (XX) was supported by University of XX
415 Postgraduate Publishing Bursary (Doctoral).

416

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417 **Declaration of interest**

418 The authors report no declarations of interest.

419

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Section I

1. Date of Birth (dd/mm/yyyy): ___/___/___

2. Gender: Male Female

3. Ethnicity: (Please mark all that applies to you)

- NZ European
- Māori
- Samoan
- Cook Island Maori
- Tongan
- Niuean
- Chinese
- Indian
- Other

4. Height: _____ m (ft) _____ cm (in) 4.a Weight: _____ kg (lbs)

5. Date of your amputation: _____

6. Side of amputation: Right _____ Left _____

7. How many years have you used a prosthesis?

_____ Years _____ Months

8. Are you currently working? Yes/No

9. Do you have a troublesome stump that affects your standing/ walking abilities?

- No
- Yes

If yes, please explain _____

10. Do you have pain in the missing part of your limb?

- No
- Yes

If yes, please explain _____

11. Do you have any of the following medical conditions? (Please mark all that applies to you)

- Arthritis, if yes, please specify what kind if known _____
- Cardiovascular (High blood pressure and heart disease)
- Depression, If yes, for how long _____ years
- Diabetes
- Parkinson's disease
- Kidney disease
- Peripheral vascular disease (poor blood circulation in arms/legs). If yes, for how long _____ years

12. Do you have any problems with your non amputated leg?

- No
- Yes

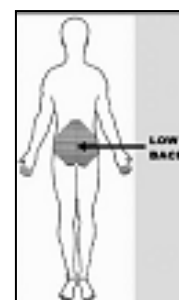
If yes, please explain _____

Section II. In this section, you will be asked about trouble you might have had around low back region (**IN THE AREA SHOWN ON THE DIAGRAM**). Please do not report pain from feverish illness or menstruation. (**Please mark that applies to you**)

2.1 Have you ever had a surgery to your lower back?

- No
- Yes

If yes, please explain _____



2.2. **In the past 4 weeks**, have you had pain in your low back region?

- No **If no, thanks for completing the survey**
- Yes..... **If yes, please continue below.**

If yes, was this pain bad enough to limit your usual activities or change your daily routine for more than one day?

- No
- Yes

2.3. If you had low back pain **in the past 4 weeks**, how often did you have the pain?

- On some days
- On most days
- Everyday

2.4. If you had low back pain **in the past 4 weeks**, how long was it since you had a whole month without any low back pain?

- Less than 3 months
- 3 months or more but less than 7 months
- 7 months or more but less than 3 years
- 3 years and more

2.5. If you had low back pain **in the past 4 weeks**, please indicate what was the usual intensity of your pain on a scale of 0 -10, where 0 is “no pain” and 10 is “the worst pain imaginable”?

0 1 2 3 4 5 6 7 8 9 10

No pain

Worst pain

2.6. If you had low back pain **in the past 4 weeks**, how bothersome has your back pain been?

- Not at all bothered
- Slightly bothered
- Extremely bothered

Section III In this section, you will be asked about common activities which may increase your lower-back pain. Please note that there are no right or wrong answers to these questions. Please mark ✓ that you feel best applies to you.

3.1 Do you often experience pain in your lower back while **sitting**? (e.g. reading, driving, watching TV or working at a desk or computer)

Yes No ***If no, please go to next question***

a. If yes, approximately how long do you have to **sit** before your back pain is aggravated?

- <15 minutes
- 15 minutes – 30 minutes
- >30 minutes
- Not sure

3.2 Do you often experience pain in your lower back while **standing**? (e.g. at home and at work etc.)

Yes No ***If no, please go to next question***

a. If yes, approximately how long do you have to **stand** before your back pain is aggravated?

- <15 minutes
- 15 minutes – 30 minutes
- >30 minutes
- Not sure

3.3 Do you often experience pain in your lower back while **lifting**? (e.g. lifting weights at work and at home, etc.)

Yes No ***If no, please go to next question***

a. If yes, approximately how long do you have to **lift** before your back pain is aggravated?

- <5 minutes

- 5-15 minutes
- >15 minutes
- Not sure

3.4 Do you often experience pain in your lower back while **bending**? (e.g. gardening, mopping etc.)

Yes No ***If no, please go to next question***

a. If yes, approximately how long do you have to **bend** before your back pain is aggravated?

- <5 minutes
- 5-15 minutes
- >15 minutes
- Not sure

3.5 Do you often experience pain in your lower back while **walking**? (e.g. at work and at home, walking for recreation, sport, and exercise)

Yes No ***If no, please go to next question***

a. If yes, approximately how long do you have to **walk** before your back pain is aggravated?

- <15 minutes
- 15 minutes – 30 minutes
- >30 minutes
- Not sure

3.6 Do you often experience pain in your lower back while **going up or down the stairs using hand rails**? (e.g. at home and at work etc.)

Yes No ***If no, please go to next question***

a. If yes, approximately how many **flights of stairs** do you have to climb before your back pain is aggravated?

- 3-5 steps

- 1-2 flights
- >2 flights
- Not sure

3.7 Do you often experience pain in your lower back while **getting up from a chair?**

Yes No

3.8 Do you often experience pain in your lower back while **getting in and out of a car?**

Yes No

3.9 For each of the following activities, please indicate the **effect of those activities on your lower-back pain.** Please mark ✓ that you feel best applies to you

	No effect on pain	Minimal effect on pain	Moderate effect on pain	Severe effect on pain
Sitting				
Standing				
Lifting				
Bending				
Walking				
Climbing Stairs				
Getting up from a chair				
Getting in and out of a car				

3.10 Are there any **other activities** which make your back pain worse?

Yes No

If yes, please specify.....

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Thank you for your time and consideration. It's only with the generous help of people like you that our research can be successful.

Table 1 Participant characteristics (n = 208)

Variables	Total (%)
Age mean (SD) year	52 (9)
Sex (% Men)	74
Ethnicity (n = 201)*	
NZ - European	169 (81)
Māori	13 (6)
Others	19 (9)
Years since amputation mean (SD) year	21 (13)
Level of amputation	
TFA	78 (37)
TTA	130 (62)
Employed (n = 207)*	
No	74 (36)
Yes	133 (64)

* Data had missing values

SD- Standard deviation; TFA-Transfemoral amputation; TTA-Transtibial amputation.

Table 2 Factors influencing presence of low back pain – Unadjusted analyses (n = 208)

Factors	Independent variable	p	Odds Ratio	95% CI for Odds Ratio
Personal factors	Age (years)	.09	1.03	1.00 to 1.05
	Height (cm)	.79	1.00	0.97 to 1.03
	Weight (kg)	.09	1.01	1.00 to 1.03
	BMI (kg/m ²)	.07	1.05	1.00 to 1.10
	Female sex	.10	1.80	0.89 to 3.65
	Work status (Yes/No)	<.01	0.35	0.18 to 0.70
	Comorbid conditions 1 (Yes/No)	.27	1.45	0.75 to 2.81
	Comorbid conditions ≥2 (Yes/No)	<.01	6.71	2.23 to 20.18
Amputee-specific factors	Level of amputation (TFA or TTA)	.24	0.69	0.38 to 1.28
	Years of prosthesis use	.73	1.00	0.98 to 1.03
	Phantom limb pain (Yes/No)	<.01	2.61	1.44 to 4.74
	Non-amputated limb pain (Yes/No)	<.01	2.58	1.43 to 4.66
	Residual-limb problems (Yes/No)	<.01	4.94	2.54 to 9.60

Dependent variable: Presence of low back pain (Yes/No)

BMI-Body mass index; CI- Confidence interval; LBP-Low back pain; TFA-Transfemoral amputation; TTA-Transtibial amputation.

Table 3 Factors influencing presence of low back pain – Adjusted analysis (n = 208)

Factors	p	Odds Ratio	95% CI for Odds Ratio
Work status	.26	0.65	0.30 to 1.40
BMI	.24	1.04	0.98 to 1.10
Comorbid conditions (≥ 2)	.01	4.34	1.34 to 14.04
Phantom limb pain	.01	2.46	1.24 to 4.89
Non-amputated limb pain	.07	1.87	0.96 to 3.62
Residual limb problems	<.01	3.76	1.84 to 7.68

Dependent variable: Presence of low back pain (Yes/No)

BMI-Body mass index; CI-Confidence interval; LBP-Low back pain.

Table 4 Factors influencing LBP intensity – Unadjusted analyses

Factors	Independent variable	n	p	95% CI for Beta
Personal factors	Age (years)	136	.61	-0.03 to 0.05
	Height (cm)	128	.26	-0.05 to 0.01
	Weight (kg)	129	.66	-0.01 to 0.02
	BMI (kg/m ²)	124	.46	-0.03 to 0.07
	Female sex	136	.72	-0.87 to 0.60
	Employed (Yes/No)*	136	<.01	-1.75 to -0.45
	Comorbid conditions ≥ 2 (Yes/No)	136	.14	-0.09 to 0.66
Amputee-specific factors	Level of amputation (TFA/TTA)	136	.17	-0.21 to 1.14
	Years of prosthesis use	136	.32	0.04 to 0.01
	Phantom limb pain (Yes/No)	136	.37	-1.07 to 0.40
	Non-amputated limb pain (Yes/No)	135	.01	0.19 to 1.54
	Residual-limb problems (Yes/No)	135	<.01	0.61 to 1.90
Physical factors (Pain provoking postures)	Sitting (Yes/No)	136	<.01	0.43 to 1.86
	Standing (Yes/No)	135	<.01	0.71 to 2.55
	Lifting (Yes/No)	136	<.01	0.66 to 1.96
	Bending (Yes/No)	135	.18	-0.27 to 1.44
	Walking (Yes/No)	136	<.01	0.51 to 2.04
	Stair climbing (Yes/No)	135	<.01	0.66 to 1.92
	Sit-to-stand (Yes/No)	135	<.01	0.76 to 2.04
	In and out of car (Yes/No)	135	<.01	0.59 to 1.89

Dependent variable: Low back pain intensity (0 to 10 Numerical Pain Rating Scale)

***Being employed had a negative relationship with low back pain intensity**

BMI-Body mass index; CI-Confidence interval; n-Number of eligible cases; TFA-Transfemoral amputation; TTA-Transtibial amputation.

Table 5 Factors influencing LBP intensity- Adjusted analysis (n = 132)

Factors	Independent variable	p	Beta	95% CI for Beta	Proportion of variance† %
Personal factor	Employed (Yes/No)*	.03	-0.18	-1.33 to -0.06	2.5
Amputee-specific factor	Residual-limb problems (Yes/No)	.01	0.21	0.20 to 1.47	3.6
Physical factors (Pain provoking postures)	Sit-to-stand (Yes/No)	.03	0.22	0.09 to 1.69	2.6

Dependent variable: Low back pain intensity (0 to 10 Numerical Pain Rating Scale)

† Proportion of variance calculated from part correlation coefficients of independent variables

*Being employed had a negative relationship with low back pain intensity

Adjusted R² value for the model: 28.3%

CI-Confidence interval