# Evolutionary algorithms for multi-objective flexible job shop cell scheduling

Derya Deliktas<sup>a,∗</sup>, Ender Özcan<sup>a</sup>, Ozden Ustun<sup>b</sup>, Orhan Torkul<sup>c</sup>

<sup>a</sup>Computational Optimisation and Learning (COL) Lab, School of Computer Science, University of Nottingham, NG8 1BB, Nottingham, UK

 $^{b}$ Kütahya Dumlupınar Universiy, Faculty of Engineering, Department of Industrial Engineering, Kütahya, Turkey <sup>c</sup>Sakarya University, Faculty of Engineering, Department of Industrial Engineering, Sakarya, Turkey

# Abstract

The multi-objective flexible job shop scheduling in a cellular manufacturing environment is a challenging realworld problem. This recently introduced scheduling problem variant considers exceptional parts, intercellular moves, intercellular transportation times, sequence-dependent family setup times, and recirculation requiring minimization of makespan and total tardiness, simultaneously. A previous study shows that the exact solver based on mixed-integer nonlinear programming model fails to find an optimal solution to each of the 'medium' to 'large' size instances considering even the simplified version of the problem. In this study, we present evolutionary algorithms for solving that bi-objective problem and apply genetic and memetic algorithms that use three different scalarization methods, including weighted sum, conic, and tchebycheff. The performance of all evolutionary algorithms with various configurations is investigated across forty-three benchmark instances from 'small' to 'large' size including a large real-world problem instance. The experimental results show that the transgenerational memetic algorithm using weighted sum outperforms the rest producing the best-known results for almost all bi-objective flexible job shop cell scheduling instances.

Keywords: Genetic algorithms; Memetic algorithms; Cell scheduling; Scalarization methods; Pareto frontier

### 1. Introduction

In a competitive world-wide market, the manufacturing companies should be more flexible and efficient to be able to respond rapidly to technological innovations and changing customer demands. The system flexibility along with the appropriate choice of planning and operational approaches becomes crucial providing means for effective allocation and use of required resources. Cellular manufacturing has emerged as a complex system that increases the productivity and flexibility of production by reducing the throughput times and enables the manufacturing of a variety of products in small batches [\(Salmasi, 2005\)](#page-25-0).

In a cellular manufacturing system, the machines are grouped into cells, and parts to be produced are grouped into families. Each regular part is processed in one cell only. Hence, there is no need for the flow of parts between different cells, apart from the exceptional parts. As a consequence, intercell moves caused by the exceptional parts, intercellular transportation times, and material handling costs are reduced. In addition since parts with similar processing requirements are processed in a cell, the setup time, work-in-process inventory, flow time, and production lead time are reduced [\(Kamrani & Logendran, 1998\)](#page-24-0). A major setup is also required for switching from one part family to another one while processing jobs, although a negligible or minor setup time is usually included within the processing time for each job. The time required for this part family setup may or may not depend on the sequence in which various families are processed. Hence, the cell scheduling problems involving setup times can be divided into two classes: *sequence-independent family setup* times and sequence-dependent family setup times (SDFSTs) [\(Li et al., 2015\)](#page-24-1). In cell scheduling problems,

<sup>∗</sup>Corresponding author: Address: Computational Optimisation and Learning (COL) Lab, School of Computer Science, University of Nottingham, NG8 1BB, Nottingham, UK

Email addresses: deryadeliktas@hotmail.com; derya.deliktas@nottingham.ac.uk (Derya Deliktas),

ender.ozcan@nottingham.ac.uk (Ender Özcan), ozden.ustun@dpu.edu.tr (Ozden Ustun), torkul@sakarya.edu.tr (Orhan Torkul)

there are *exceptional parts* that require processing on machines in two or more cells and *re-entrant parts* that are allowed to visit a (group of) machine(s) more than once.

The flexible job shop cell scheduling problem with SDFSTs and intercellular transportation times (FJCS-SDFSTs-ITTs) is the focus of this study. FJCS-SDFSTs-ITTs require minimization of both makespan, i.e., the completion time of the last job leaving the system, and the total *tardiness*, i.e., a measure of the delay in completing all jobs. A Pareto front represents a set of the 'optimal' trade-off solutions, for which one of the objectives cannot be improved without sacrificing the other one. In an earlier study, [Deliktas et al.](#page-23-0) [\(2019\)](#page-23-0) formulated the bi-objective FJCS-SDFSTs-ITTs as a nonconvex optimization problem and applied an exact approach based on a mixed-integer nonlinear programming model. In their study, based on a sample instance, they have illustrated that the Pareto front is not trivial, containing unsupported solutions that are optimal but not on the convex hull of the Pareto front. This implies that the Pareto optimal solutions would have been missed if solving FJCS-SDFSTs-ITTs optimally using linear combinations of the makespan and total tardiness and applying the exact approach since any linear combination will not be able to access the unsupported regions of the Pareto front. Hence, the authors used a conic scalarization method [\(Kasimbeyli,](#page-24-2) [2013\)](#page-24-2). However, the exact approach was still unable to solve the 'medium' to 'large' problem instances. The flexible job shop scheduling problem represents a simplified form of FJCS-SDFSTs-ITTs, which is a complex combinatorial problem proven to be NP-hard [\(Garey et al., 1976\)](#page-23-1). Moreover, [Deliktas et al.](#page-23-0) [\(2019\)](#page-23-0) applied their exact approach to the 'small' to 'medium' size FJCS-SDFSTs-ITTs problem instances considering minimization of makespan only. They were able to produce optimal results for the 'small' instances while the majority of the 'medium' instances couldn't be solved to optimality even after 24 hours. Metaheuristics are commonly preferred approaches when the exact approaches fail to produce even feasible solutions to the given problem instances (Sörensen & Glover, 2013). Population-based metaheuristics, such as evolutionary algorithms using multiple interacting solutions during the search process, are known to be successful in solving real-world problems, particularly that are multi-objective, being able to produce multiple trade-off solutions.

In this study, we present a variety of evolutionary algorithms with novel components for solving the bi-objective FJCS-SDFSTs-ITTs combinatorial optimization problem. In particular, we have investigated Genetic Algorithms (GAs) and Memetic Algorithms (MAs) hybridizing GAs with hill-climbing varying their operators and considering three scalarization methods: weighted sum (WSM), conic (CSM) and Tchebycheff (TSM) to detect the best algorithmic choices. We have also created a benchmark of fourty three bi-objective FJCS-SDFSTs-ITTs problem instances<sup>[1](#page-1-0)</sup> in various sizes ranging from small to large, each with a different characteristic. The forty-two benchmark instances are adopted from the existing problem domains, including the single objective FJCS-SDFSTs-ITTs cell formation and operations routing. The last instance is a realworld problem instance from a locomotive and wagon production factory. The empirical results on the benchmark are extremely encouraging since one of the proposed approaches, namely the memetic algorithm obtained the best-known solutions to all benchmark problem instances, even including the large scale ones.

The structure of this paper is as follows. Section [2](#page-1-1) introduces the problem formally and provides an overview of the previous work on cell scheduling problems as well as multi-objective flexible job shop scheduling problems. The proposed EAs are explained in Section [3.](#page-9-0) Section [4](#page-15-0) provides a case study from Turkey and benchmark instances from the literature with a comparison of the performance, and the experimental results and analysis in this section. Finally, Section [5](#page-21-0) concludes this study.

# <span id="page-1-1"></span>2. A flexible job shop cell scheduling problem

# 2.1. Problem description

Although the multi-objective scheduling problems have been widely studied in recent years, there is far less research in the literature on the multi-objective FJCS problems (including SDFSTs and ITTs or not). Hence, this study fills a gap by investigating different approaches to bi-objective FJCS-SDFSTs-ITTs. In this section, we provide a description of the problem.

Let's assume a flexible job shop environment in cellular manufacturing where c is the set of cells,  $l$  is the set of part families, i is the set of jobs, and q is the set of machines. Each job i includes  $op_i$  operations and

<span id="page-1-0"></span> $1$ This benchmark will be made publically accessible via ResearchGate ([https://www.researchgate.net/profile/Derya\\_](https://www.researchgate.net/profile/Derya_Deliktas/publications) [Deliktas/publications](https://www.researchgate.net/profile/Derya_Deliktas/publications))

each operation has its route to follow through the shop. It could be completely or partially different from the processing route of the other jobs. For each operation  $j$  of job  $i$ , there are identical parallel machines. A job is completed when all of its operations are handled. A cellular manufacturing system includes several manufacturing cells consisting of the different number of machines and allocated for the production of various parts. Each type of machine can process different parts and there are some particular parts referred to as exceptional parts that can move between cells. A work center indicates a production unit where a single or a group of machines can be run at the same time. Identical parallel machines are also allowed. For the reentrant parts, a job may visit a machine or work center more than once requiring the same or a different processing time [\(Pinedo, 2000\)](#page-25-1).

Each job has the processing time and a due date representing the desired completion time. Processing time includes the intracellular move time. Those moves are considered as independent from the operation sequence while intercellular transfer times are taken into account because of exceptional parts caused the intercellular movements. Moreover, this study deals with the job shop cell scheduling problem with flexible machines and sequence-dependent family setup times which indicate that the family setup times are determined by the current part family as well as the direct previous part family processed on the same machine. Makespan and the total tardiness time are the objectives of the problem, which are to be minimized simultaneously.

A more formal definition of the FJCS-SDFSTs-ITTs problem is provided as follows [\(Deliktas et al., 2019\)](#page-23-0). Firstly, we have the following assumptions:

- (1) The cells, part families, and layout of cells are known.
- (2) Machine failures and vehicle failures are ignored.
- (3) Input and output buffers are unlimited.
- (4) Each operation can be only assigned to one of the eligible parallel machines.
- (5) The processing time for operations of each part type on a machine is known and fixed.
- (6) The job release times and machine availability times are zero, which means all machines and jobs are available at the beginning of the planning horizon or time zero.
- (7) Intracellular transfer times are ignored.
- (8) Once an operation is treated on a machine, it can't be interrupted until it is finished (no preemption is allowed).
- (9) Intracellular setup times are included in the operation times.
- (10) Each machine can process at most one operation at the same time.
- (11) All jobs have equal priorities.

We use the following notation for defining FJCS-SDFSTs-ITTs.

- q Machine index,  $q = 1, 2, \ldots, m$
- i Job index,  $i = 1, 2, \ldots, n$
- $c$  Cell index,  $c = 1, 2, \ldots, C$
- l Part family index,  $l = 1, 2, \ldots, L$
- j Operation index for the *i*th job,  $j = 1, 2, \ldots, op_i$
- $op_i$ . The total number of operations of job i
- $t_{op}$  The total number of all operations  $(\sum_{\forall i} op_i)$
- m The total number of machines
- n The total number of jobs
- L The total number of part families
- C The total number of cells
- $O_{ij}$  The j<sup>th</sup> operation of job i
- $p_{ijq}$  The processing time of jth operation of job i if it is processed by machine q
- $t_{cc^{\prime}}$ Transportation time from cell $c$  to cell  $c^{'}$
- $s_{ll^{\prime}}\,$  Setup time for part family  $l^{\prime}\,$  if processed immediately after part family  $l$
- $x_{qc}$  1, if machine q is located in cell c and 0 otherwise
- $y_{il}$  1, if job i belongs to part family l and 0 otherwise
- $r_{ijq}$  1, if operation j of job i is processed on machine q among eligible machines, and 0, otherwise
	- $d_i$  The due date of job i
- M A large positive number
- $C_{ijq}$  The completion time of jth operation of job i on machine q
- $u_{ijq}$  1, if operation j of job i is processed on machine q in a flexible environment, and 0, otherwise
- $z_{iji'j'q}$  1, if jth operation of job i precedes j'th operation of job i' on machine q, and 0, otherwise

 $C_{max}$  Makespan

<span id="page-3-1"></span><span id="page-3-0"></span> $T_i$  The tardiness of job i

The bi-objective problem of FJCS-SDFSTs-ITTs requires optimization of makespan (Equation [\(1\)](#page-3-0)) and total tardiness (Equation [\(2\)](#page-3-1)) simultaneously subject to the following constraints.

$$
\min\{\ C_{max}\},\text{ and } \tag{1}
$$

$$
\min\{\sum_{i=1}^{n}T_i\},\tag{2}
$$

<span id="page-3-3"></span><span id="page-3-2"></span>subject to

$$
\sum_{q=1}^{m} u_{ijq} = 1, \qquad \forall i, j \tag{3}
$$

 $u_{ijq} \leq r_{ijq}, \qquad \forall i, j, q$  (4)

<span id="page-3-4"></span>
$$
\sum_{q=1}^{m} u_{ijq} \cdot C_{ijq} \geq \sum_{q=1}^{m} \sum_{q'=1}^{m} u_{ijq} \cdot u_{i(j-1)q'} \cdot (C_{i(j-1)q'} + p_{ijq} + \sum_{c=1}^{C} \sum_{c'=1}^{C} x_{qc} \cdot x_{q'c'} \cdot t_{c'c}), \qquad \forall i, j \geq 2
$$
\n
$$
(5)
$$

<span id="page-3-5"></span>
$$
C_{ijq} \geq C_{i'j'q} + \sum_{l=1}^{L} \sum_{l'=1}^{L} y_{i'l'} \cdot y_{il} \cdot s_{l'l} - M \cdot z_{iji'j'q} + p_{ijq} - M(2 - u_{ijq} - u_{i'j'q}), \ \forall i \geq 2, j, q, i', j', i \neq i'
$$
(6)

$$
C_{i'j'q} \ge C_{ijq} + \sum_{l=1}^{L} \sum_{l'=1}^{L} y_{il} y_{i'l'} s_{ll'} - M(1 - z_{iji'j'q}) + p_{i'j'q} - M(2 - u_{ijq} - u_{i'j'q}), \ \forall i \ge 2, j, q, i', j', i \ne i'
$$
\n(7)

<span id="page-3-7"></span><span id="page-3-6"></span>
$$
C_{ijq} \geqslant p_{ijq}, \qquad \forall i, j, q \tag{8}
$$

<span id="page-3-8"></span>
$$
C_{max} \geqslant C_{ijq}, \qquad \forall i, j, q \tag{9}
$$

<span id="page-3-9"></span>
$$
T_i \geqslant C_{ijq} - d_i, \qquad \forall i, j, q \tag{10}
$$

<span id="page-4-0"></span>
$$
C_{ijq}, C_{i'j'q}, T_i \geq 0, z_{iji'j'q}, u_{ijq} \in \{0, 1\}, \qquad \forall i, j, q, i', j'
$$
\n
$$
(11)
$$

Equation [\(3\)](#page-3-2) forces exactly one machine alternative to be selected for each operation j of job i. Equation [\(4\)](#page-3-3) determines the capable machines for each operation.  $r_{ijq}$  determines whether the operation j of job i is processed on machine q or not. For example, if the  $j<sup>th</sup>$  operation of job i does not get processed on machine 4, the  $r_{ij4}$  parameter is set to 0 in Equation [\(4\)](#page-3-3). The value of  $u_{ijq}$  depends on the value of  $r_{ij4}$  and in this case,  $u_{ij4}$  also gets assigned to 0. Equation [\(5\)](#page-3-4) ensures that each part is processed depending on the defined precedence of its operations and intercellular transportation times. Equations [\(6\)](#page-3-5) and [\(7\)](#page-3-6) guarantee that at most, one part is processed by each machine at a time considering the setup times for the part family. Equation [\(8\)](#page-3-7) imposes that the completion time of an operation cannot be less than its processing time. Equations [\(9\)](#page-3-8) and [\(10\)](#page-3-9) calculate the makespan and the tardiness of each job, respectively. Equation [\(11\)](#page-4-0) defines the binary decision variables.

For illustration purposes, let's use the FJCS-SDFSTs-ITTs problem instance#5 (see Table [5\)](#page-16-0) as an example, for further explanation of our problem. As characterized in Tables [1](#page-4-1) and [2,](#page-4-2) there are 4 parts and 4 machines in instance#5. The parts are grouped into 2 part families, each containing 2 items. The machines are also grouped into 2 cells, both containing 2 machines.

		Cell 1 $M_2(2)^*$ $M_1$		Cell 2			
				$M_3(2)$	$M_4$	Routing	Due Dates
		$4**$	$\mathbf b$			$1 - 2 - 3 - 2$	98
Part family 1	$P_{2}$	3				$2 - 1 - 2$	
	$P_3$				4	$4 - 2 - 3$	۰J
Part family 2	$P_4$				$\overline{2}$	$3 - 4 - 3$	75

<span id="page-4-1"></span>Table 1. Part-machine matrix, processing times, and routing

<sup>∗</sup> Value in parentheses shows that there are two identical parallel machines for machines 2 and 3 if the problem is in the flexible environment. There is no available identical machine in the job shop environment.

∗∗ M<sup>1</sup> is an eligible machine for the 1st operation of job 1, since this operation is processed on machine 1. Thus, the  $r_{111}$  parameter is set to 1 in Equation [\(4\)](#page-3-3). P: Part, M: Machine

As an example, Table [1](#page-4-1) shows that  $P_2$  with the due date of 77 belongs to the first part family requiring a processing time of 4 on  $M_2$ . The machine  $M_2$  is in the first cell and there are two identical parallel machines. The operations for manufacturing the part  $P_2$  should be routed through the machines  $M_2$ ,  $M_1$ , and then  $M_2$ in that order. Although this is not relevant to  $P_2$ , Table [2](#page-4-2) contains the required transportation times for the movement of operations between cells. For example, if an operation goes from the first cell to the second cell, that would require 3 units of time. The machine setup times are also provided in the table. So, for example, if a part  $P_2$  operation is scheduled to a machine that just completes the processing of operation for a part belonging to the second part family, then a setup time of 4 units of time will be required by that machine.

<span id="page-4-2"></span>Table 2. Family setup times for each part family and transportation times for each cell

		Transportation times: from cell c to cell $c'$	<i>Setup times:</i> from part family l to cell l'						
	row $c$ , column $c$		row $l$ , column $l'$						
cc	Cell 1	Cell 2			Part family1 Part family2				
Cell 1	$\hspace{0.1mm}-\hspace{0.1mm}$		Part family1	__					
Cell 2			Part family2						

Figure [1](#page-5-0) provides a feasible solution to instance#5. In the figure, each operation for the jobs 1, 2, 3, and 4 are indicated as solid red, solid black, dashed red, and dashed black line, respectively. The arrows show the direction of the routing sequence. The processing time of an operation is displayed above each line. The transportation and setup times are also shown over the forklift and gear icons, respectively. Let's illustrate how we compute the objective values based on that solution starting with the partial fitness calculation for part 1  $(P_1)$ . Reading the data depicted in Figure [5](#page-11-0) from left to right, it is observed that  $O_{11}$  is the second job of  $M_1$  as shown in Fig. [2](#page-6-0) (a). Since it is in the same part family with the first job  $(P_2)$  of  $M_1$ , part

family setup time between them is zero. Therefore,  $P_1$  processes on  $M_1$  with just its processing time. For the second process of this part, it is attained to  $M_2^2$  which is one of the identical parallel machines. This part visits  $M_2^2$  after  $P_2$  completes. After that, it is transferred to  $M_3^1$  which is one of the identical parallel machines in Cell 2 and the first part of  $M_3^1$  which will be processed. In addition to its processing time, there is also transportation time between cells as shown in Fig. [2](#page-6-0) (b). As the fourth process,  $P_1$  visits  $M_2^1$  in Cell 1 which  $P_3$  was processed before. In addition to its processing time, both transportation and part family setup times are added to the objective value of makespan (see Eq. [1\)](#page-3-0) because there is both intercellular movement between cells and setup process between part families. According to this, makespan is obtained as 34 as shown Fig. [2.](#page-6-0) The tardiness (see Eq. [2\)](#page-3-1) of each job is computed considering the completion time of each job and its due date. The tardiness of the third job (part) is computed as 17 because it is completed at 20 (see Fig. [2](#page-6-0) (b)) and its due date is 3 (see Table [1\)](#page-4-1), while the other jobs do not have any tardiness. The total tardiness objective value is obtained with the tardiness of all jobs which yields 17 for this problem instance.

<span id="page-5-0"></span>

Figure 1: Feasible solution for the illustrative example

### 2.2. Related work

The flexible job shop cell scheduling (FJCS) problem requires a search for the best sequence of part families and individual parts within each cell for manufacturing. The bi-objective FJCS-SDFSTs-ITTs is a variant of the FJCS problem that introduces additional problem complexities, including setup-dependent/independent family times, intercellular transportation times, reentrant parts, and flexible routes. This multi-objective problem is not investigated in sufficient depth yet in the field. However, there are many studies on various solution methods considering multiple objectives for FJCS, as summarised in Table [4.](#page-8-0)

Table [3](#page-7-0) shows that in almost all multi-objective flexible job shop scheduling problems makespan is the main objective taken into account, except [\(Li & Huo, 2009;](#page-24-3) [Piroozfard et al., 2018\)](#page-25-2). The majority of the studies in our sample survey present solution methods optimizing the objectives of makespan, the total workload of machines, and critical machine workload simultaneously. As for the solution methods, a variety of

<span id="page-6-0"></span>

Figure 2: The Gantt charts representing the solution (in Figure [1\)](#page-5-0) for instance#5 based on (a) machine and (b) parts.

evolutionary algorithms has been used for tackling each particular multi-objective flexible job shop scheduling problem.

During the past two decades, there are only a few studies on the FJCS problem. As seen in Table [3,](#page-7-0) four out of six studies belonging to the FJCS problem have a single objective. [De Giovanni & Pezzella](#page-22-0) [\(2010\)](#page-22-0), [Lu et al.](#page-25-3) [\(2018\)](#page-25-3), [Wu et al.](#page-26-1) [\(2017\)](#page-26-1), and [Chang & Liu](#page-22-1) [\(2017\)](#page-22-1) proposed different variants of genetic algorithm with minimization of the makespan for solving distributed and flexible job-shop scheduling (DFJS) problems which involve three scheduling decisions such as job-to-cell assignment, operation sequencing, and operation-to-machine assignment. [Ziaee](#page-27-0) [\(2014\)](#page-27-0) developed a heuristic algorithm for solving the DFJS problem minimizing the makespan. Only one study considers two objectives with minimization the weighted makespan and the total traveling distance [\(Kesen et al., 2010\)](#page-24-4). Table [4](#page-8-0) provides a brief overview of the previous work on cell scheduling from the scientific literature. This overview shows that most of those previous studies focus on the flow shop cell scheduling problem and only a few of them consider the multi-objective variant of those problems. Metaheuristics, including genetic algorithms, simulated annealing, tabu search, and their hybrids are commonly applied to those multi-objective cell scheduling problems as the solution methods. However, none of the previous work performed an investigation as substantial as ours looking into the particular bi-objective FJCS-SDFSTs-ITTs problem and comparing the performance of genetic as well as memetic algorithms.

In this study, we have designed four evolutionary algorithms for the bi-objective FJCS-SDFSTs-ITTs problem to minimize both makespan and the total tardiness times. In multi-criteria decision making, the

<span id="page-7-0"></span>

TCP-Total carbon footprint, TLWC: Total late work criterion, EC: Energy consumption, NE: Noise emission, TT: Total tardiness, RT: Robustness time, STD&TD: Starting time deviation&fotal deviation, ST: The total setup time o

WT: Waiting time of jobs, PC: The production cost

Table 3. An overview of previous (meta)heuristic optimization algorithms applied to the multi-objective flexible job shop scheduling Table 3. An overview of previous (meta)heuristic optimization algorithms applied to the multi-objective flexible job shop scheduling



<span id="page-8-0"></span>

AIS: Artificial immune system, SIFSTs: Sequence-independent family setup times, FSCS: Flow shop cell scheduling, JSCS: Job shop cell scheduling, PS:Part scheduling,<br>DOE: Design of Experiments, WT: Waiting time of jobs, PC: AIS: Artificial immune system, SIFSTs: Sequence-independent family setup times, FSCS: Flow shop cell scheduling, JSCS: Job shop cell scheduling, PS:Part scheduling, DOE: Design of Experiments, WT: Waiting time of jobs, PC: The production cost, MA: Memetic Algorithm

weighted sum is a commonly used scalarization method mapping multiple objective values into a single objective value that guides the metaheuristic search process. Table [4](#page-8-0) also shows that due to the lack of studies on multi-objective variants of the cell scheduling problem, there are only a few studies considering different scalarization methods in the area. None of those studies are on the flexible job shop scheduling problem in a cellular manufacturing environment with consideration of exceptional parts, intercellular moves, intercellular transportation times, sequence-dependent family setup times, and recirculation. As a disadvantage, the weighted sum scalarization method might not obtain all of the Pareto-optimal points for a non-convex optimization problem. A different scalarization method was considered reaching both supported and unsupported efficient solutions based on non-convex multi-objective models solving small and some medium-scale problem instances in [Deliktas et al.](#page-23-0) [\(2019\)](#page-23-0). However, to the best of our knowledge, none of the previously proposed algorithms are applied even to the large-scale FJCS-SDFSTs-ITTs instances as we do in this study.

# <span id="page-9-0"></span>3. Evolutionary algorithms for solving the bi-objective FJCS-SDFSTs-ITTs

FJCS-SDFSTs-ITTs is an NP-hard problem even in its simplified form [\(Garey et al., 1976\)](#page-23-1). It has been observed that obtaining even a near-optimal solution could be extremely time-consuming and sometimes not even possible using an exact approach, such as a mathematical model, especially to the large-scale problem instances as shown in [Deliktas et al.](#page-23-0) [\(2019\)](#page-23-0) which considered minimization of makespan. Many researchers and practitioners resort to (meta)heuristic optimization methods in such situations to obtain a solution to a problem instance of reasonable quality in a reasonable amount of time. Evolutionary algorithms (EAs) are nature-inspired population-based metaheuristics (Sörensen  $\&$  Glover, 2013), designed based on the principles of evolution and the concept of survival of the fittest. They have been successfully applied to a range of combinatorial optimization problems (Sörensen & Sevaux, 2006; [Beasley & Chu, 1996\)](#page-22-7). In this study, we investigate the performance of two classes of EAs, genetic and memetic algorithms for the bi-objective FJCS-SDFSTs-ITTs [\(Holland et al., 1992;](#page-23-12) [Moscato et al., 1989\)](#page-25-11). The description of those algorithms and their components are presented in the following sections.

### 3.1. Genetic and Memetic Algorithms

A generic genetic algorithm (GA) performs the search for the (near-)optimal solution(s) using a population (set) of candidate solutions which are referred to as individuals (or chromosomes) as illustrated in Algorithm [1.](#page-10-0) An initial randomly generated population of  $nPop$  solutions ( $Pop_{init}$ ) goes through a number of evolutionary cycles (generations) until the termination criteria are satisfied with the hope that the best solution in the final population is the (near-)optimal solution. The fitness function (objective function) evaluates the quality of a candidate solution, providing means for the comparison of solutions and guidance for the search process. At each cycle, a mating pool is formed using a mate selection method and then a pair of individuals (parents) from that pool undergo the *crossover* operation which exchanges genetic material between two solutions creating two new solutions, namely *offspring (children)*. Then both offspring are perturbed using *mutation* and added to the *offspring pool*. This pool forms the next generation of solutions and a *replacement* method is used to choose which individuals from the offspring pool and current generation survive to the next generation. A memetic algorithm (MA) is an extension to GA, hybridizing GA with hill-climbing. MA applies hillclimbing to both children for exploitation after mutation (see line [11](#page-10-0) of Algorithm [1\)](#page-10-0). Those population-based algorithms have been shown to be successful in solving many real-world discrete optimization problems [\(Wu](#page-26-11) [& Chow, 1994;](#page-26-11) [Yang et al., 2008;](#page-26-12) [Nalepa & Czech, 2013;](#page-25-12) [Nalepa & Kawulok, 2014;](#page-25-13) [Nalepa & Blocho, 2015;](#page-25-14) [Nalepa et al., 2015\)](#page-25-15). Hence, we tested both approaches for solving the discrete optimization problem of FJCS-SDFSTs-ITTs.

A variety of combinations of algorithmic components is studied to identify the best configuration for both genetic and memetic algorithms. The following sections provide the details for the domain-specific design of those components.

### 3.2. Representation

The first step in designing a metaheuristic is to represent a candidate solution for the problem. A chromosome in our representation is composed of two parts: (i) operation sequence vector denoted as  $v_1$ indicating the sequence of operations for each job, and  $(ii)$  machine assignment vector denoted as  $v_2$  indicating sequence of machine IDs selected to process the operations. Based on this information, we can generate the

<span id="page-10-0"></span>

1: Randomly generate an initial population  $Pop_{init}$  of  $nPop$  individuals 2: Calculate the fitness of each individual (see Eqs [\(12,](#page-11-1) [13,](#page-12-0) [14\)](#page-12-1)) 3:  $Pop_{current} \leftarrow Pop_{init}$ 4: while termination criteria not satisfied do 5: while *OffspringPool* is not full do 6: Parent<sub>1</sub> ← Select-Mate ( $Pop_{current}$ ) 7: Parent<sub>2</sub> ← Select-Mate ( $Pop_{current}$ ) 8: Child<sub>1</sub>, Child<sub>2</sub> ← Apply-Crossover (Parent<sub>1</sub>, Parent<sub>2</sub>) 9: Child<sup>'</sup><sub>1</sub> ← Apply-Mutation (Child<sub>1</sub>) 10: Child<sub>2</sub> ← Apply-Mutation (Child<sub>2</sub>) 11: Calculate the fitness of Child<sub>1</sub> and Child<sub>2</sub> (see Eqs  $(12, 13, 14)$  $(12, 13, 14)$  $(12, 13, 14)$ ) 12:  $OffspringPool \leftarrow Add(Child'_1, Child'_2)$ 13: end while 14:  $Pop_{current} \leftarrow Apply\text{-}Replacement}(Pop_{current}, OffspringPool)$ 15: end while 16: Return the best solution from  $Pop_{current}$ 

part family vector denoted as  $v_3$  indicating part family belonging to the corresponding job, and cell assignment vector denoted as  $v_4$  representing the cell belonging to the selected machine. From this point onward, when we use the term gene, that will refer to the locus from a given vector and allele will refer to the assigned value. As an example, for instance#5, let's assume that the manufacturing of each part i  $(P_i)$  is the job that is indicated as  $J_i$  and there are 13 operations to be scheduled.  $J_1$  has four operations  $O_{11}$ ,  $O_{12}$ ,  $O_{13}$ , and  $O_{14}$ ;  $J_2$  has three operations  $O_{21}$ ,  $O_{22}$ , and  $O_{23}$ ;  $J_3$  has three operations  $O_{31}$ ,  $O_{32}$ , and  $O_{33}$ ;  $J_4$  has three operations  $O_{41}$ ,  $O_{42}$ , and  $O_{43}$  (see 2.1 Problem description section).

### 3.2.1. Operation sequence vector

In this study, operation-based representation is used where an ordered array of integers indicates the operation that will be processed next for the given job [\(Zhang et al., 2011\)](#page-27-4). Hence, the length of the chromosome equals to the total number of operations. Thus, the chromosome includes 13 genes encoding the operation sequence. The index i of job  $J_i$  appears in the operation sequence vector  $(v_1)$   $n_i$  times to represent its  $n_i$  ordered operations. For example, the first appearance of number 2 represents  $O_{21}$ , the second appearance of 2 means  $O_{22}$ , and so on. Moreover, because of the existence of precedence constraints, using such representation provides a means to avoid repair generating feasible solutions.

For the instance#5, one possible solution encoding the operation sequence vector is shown in Fig. [3.](#page-10-1) Reading the data from left to right and increasing the operation index for each job, the operation sequence depicted in Fig. [3](#page-10-1) could be interpreted into a list of ordered operations as follows:

<span id="page-10-1"></span> $O_{21} \succ O_{41} \succ O_{22} \succ O_{31} \succ O_{11} \succ O_{32} \succ O_{23} \succ O_{12} \succ O_{42} \succ O_{13} \succ O_{33} \succ O_{43} \succ O_{14}$ 



Figure 3: An Illustration of a operation sequence vector as a part of the solution representation for the problem instance#5

### 3.2.2. Machine assignment vector

To generate a feasible schedule, all machines are assigned for a job following the machine assignment vector. Hence, the length of this vector also equals to the total number of operations. Each entry of the machine assignment vector  $v_2, v_2(s)$  represents the selected machine for the corresponding operation indicated at position s in the operation sequence vector. In Fig. [4,](#page-11-2) for instance, position 2 represents the  $O_{41}$ , and  $v_2(s)$ indicates the machine assigned for  $O_{41}$ . For operation  $O_{41}$ , two machines are eligible to assign: machines  $M_3^1$  and  $M_3^2$ . These are duplicate machines to manufacture a product in the flexible environment. For example,  $M_3^1$  indicates the first identical parallel machine for  $M_3$  while  $M_3^2$  represents the second identical parallel machine for  $M_3$ . The IDs 1 and 2 indicated in each machine assignment vector  $v_2$  show the array of the identical parallel machine set for each operation. If there is no identical parallel machine available for the corresponding machine, the allele for the corresponding machine should be 1 as given in Fig. [4.](#page-11-2) This assignment representation supports recirculation as well.

<span id="page-11-2"></span>

Figure 4: An illustration of a machine assignment vector as a part of the solution representation for the problem instance#5

## 3.2.3. Part family and cell assignment vectors

Two additional vectors  $v_3$  and  $v_4$  are used as a supporting data structure for the calculation of fitness. Those vectors are provided as input to our approach defining a problem instance and do not change during the search process.  $v_3(t)$  contains the part family of each job at locus t in  $v_1(t)$ .  $v_4(t)$  points to the cell that the selected machine at locus t belongs to. In Fig. [5,](#page-11-0) for instance,  $v_1(6)$  represents the operation  $O_{32}$ belonging to the job/part 3 assigned to  $M_2^1$  as indicated by  $v_2(6)$ . As for the supporting data structures since  $P_3$  belongs to the part family 2,  $v_3(6)$  which is set to 2 (Table [1\)](#page-4-1). Also,  $M_2^1$  belongs to cell 1, hence  $v_4(6)$  is set to 1 (Table [1\)](#page-4-1). After creating a new solution using any of the algorithmic components, if there is any change to a machine assignment at a locus, the supporting data structure  $v_4$  gets updated at that locus, accordingly.

<span id="page-11-0"></span>

Positon: $t$			$\overline{\phantom{a}3}$	$\overline{4}$		6 7		8	9	- 10	-11	-12	-13
Operation sequence: $v_1(t)$		4	2			3			4		3	$\overline{4}$	
Machine assignment: $v_2(t)$		$\mathcal{L}$					<sup>-</sup>	o			∍		
Machine selected	M <sub>2</sub>	$M_3^2$	$M_1$	$M_4$	$M_1$	M <sub>2</sub> <sup>1</sup>	$M2$ <sup>2</sup>	M <sub>2</sub>	M,	M <sub>2</sub> <sup>1</sup>	$M_2^2$	$M_3$ <sup>1</sup>	M <sub>2</sub>
Part family assignment: $v_3(t)$		2				2							
Cell assignment: $v_4(t)$		$\overline{ }$		$\mathbf{\hat{}}$						∍	$\rightarrow$		

**Figure 5:** An illustration of the solution representation  $(v_1, v_2)$  and supporting data structures  $(v_3, v_4)$  for instance#5

### 3.3. Fitness function

After computing the objective values for makespan and the total tardiness time, the fitness values are calculated using a scalarization method based on those values. We experimented with three different fitness functions using weighted sum (WSM), conic (CSM), and Tchebycheff (TSM).

WSM [\(Miettinen, 2012;](#page-25-16) [Ehrgott et al., 2014\)](#page-23-13) is one of the well-known scalarization methods which can be used to obtain Pareto efficient solutions to a multi-objective optimization problem. Based on a given solution, multiple objective values are crashed into a single objective value by computing their weighted sum.

<span id="page-11-1"></span>
$$
\min \{ w_1.C_{max} + w_2.\sum_{i=1}^{n} T_i \} \tag{12}
$$

where  $w_1$  and  $w_2$  represent the importance weights for makespan (Equation [1\)](#page-3-0) and tardiness (Equation [2\)](#page-3-1), respectively, and  $w_1 + w_2 = 1$  assuming  $w_1, w_2 \ge 0$ , and Equations [\(3\)](#page-3-2)-[\(11\)](#page-4-0) are all satisfied.

CSM introduced by [Gasimov](#page-23-14) [\(2001\)](#page-23-14) is based on supporting the image set of the problem by using cones instead of the hyperplanes used in weighted scalarization [\(Kasimbeyli, 2013\)](#page-24-2). The scalarization of makespan and total tardiness is computed using the following equation:

<span id="page-12-0"></span>
$$
\min \{ w_1.(C_{max} - Rf_1) + w_2.(\sum_{i=1}^n T_i - Rf_2) + \alpha.(|C_{max} - Rf_1| + |\sum_{i=1}^n T_i - Rf_2|) \} \tag{13}
$$

subject to Equations [\(3\)](#page-3-2)-[\(11\)](#page-4-0), where  $w_1, w_2 > 0$  are the importance degree of makespan and total tardiness, respectively,  $\alpha$  is used to change the apical angle of a supporting cone,  $0 \leq \alpha < \min\{w_1, w_2\}$ , and  $Rf_1$  and  $Rf_2$  are arbitrarily fixed reference points/values from a certain interval for makespan and total tardiness, respectively, in this study.

TSM was first presented in [Steuer & Choo](#page-26-13) [\(1983\)](#page-26-13) and [Steuer & Steuer](#page-26-14) [\(1986\)](#page-26-14), suggesting the use of a Tchebycheff metric based on reference points for the scalarization of multiple objective values. The previous work shows that if the reference points are feasible, the minimization of *achievement function* produces a solution that maximizes the distance to the Pareto optimal set. Otherwise, the minimization of achievement function produces a solution that minimizes the distance to the Pareto optimal set. The advantage of achievement function is that any arbitrary weakly Pareto optimal or Pareto optimal solution can be obtained by moving the reference points only. [Wierzbicki](#page-26-15) [\(1986\)](#page-26-15) discovered that the solution to the achievement function depends on Lipschitz-continuity of the reference points. Although many achievement functions are satisfying the imposed conditions [\(Miettinen, 2012\)](#page-25-16), the one used in this study is as follows:

<span id="page-12-1"></span>
$$
\min\left\{\max\left\{\,w_1.(C_{max} - Rf_1), w_2.(\sum_{i=1}^n T_i - Rf_2)\,\right\} + \rho.\left(\,(C_{max} - Rf_1) + (\sum_{i=1}^n T_i - Rf_2)\right)\,\right\} \tag{14}
$$

subject to Equations [\(3\)](#page-3-2)-[\(11\)](#page-4-0), where  $w_1, w_2 > 0$  are the importance degree of makespan and total tardiness, respectively,  $\rho > 0$  is a sufficiently small positive scalar, and Rf<sub>1</sub> and Rf<sub>2</sub> are arbitrarily fixed reference points/values from a certain interval for makespan and total tardiness, respectively, in this study.

# <span id="page-12-2"></span>3.4. Operators

All algorithmic components/operators specific to the FJCS-SDFSTs-ITTs problem are briefly explained in this section, including the parent selection, crossover, mutation, hill climbing, and replacement [\(Azadeh](#page-22-8) [et al., 2017\)](#page-22-8).

### 3.4.1. Crossover

As a mate selection method, the generic tournament method with a tour size of two is used in all evolutionary algorithms, and crossover is applied using the selected solutions, i.e., the crossover probability is 1.0. The possibility of crossover creating an infeasible offspring is eliminated by using tailored crossover operators. We implemented three different crossover operators: precedence preserving order-based crossover operator, order-based uniform crossover operator, and order-based one-point crossover operator, which are described below.

Precedence preserving order-based crossover (POX): We have used POX as one of the crossover operators which was proposed by [Lee et al.](#page-24-16) [\(1998\)](#page-24-16). This operator has been used in many previous studies as well [\(Zhang](#page-27-4) [et al., 2011;](#page-27-4) [Deng et al., 2017;](#page-23-15) [Rahmati et al., 2013;](#page-25-17) [Wang et al., 2012\)](#page-26-16). Fig. [6](#page-13-0) illustrates how POX works. A subset of jobs is randomly selected for an offspring. The operations belonging to that subset of jobs from the first parent (p1) are copied into the first offspring (o1) without changing their locations along with the machine assignments. For example, in Fig. [6,](#page-13-0) for the first offspring  $J_4$  and  $J_3$  are selected. Then the operations belonging to the selected jobs are deleted from the second parent (p2). Finally, all the remaining operation and machine assignment pairs from the second parent (p2) are copied into the empty locations into the first offspring in the same order. This process is repeated for the second offspring in the same manner.

<span id="page-13-0"></span>

Fig. 6: An example application of POX

<span id="page-13-1"></span>

Fig. 7: An example application of OUX

Order-based uniform crossover  $(OUX)$ : OUX has a parameter referred to as the mixing ratio which defines the percentage of inherited genes from the first and second parents [\(Li et al., 2014b\)](#page-24-17). In this study, the mixing ratio is fixed as 0.5. Fig. [7](#page-13-1) illustrates how OUX works. OUX randomly creates two partitions of jobs based on the mixing ratio, where each partition is used to create a separate offspring. Then OUX uses the same approach as POX for carrying out an exchange of the genetic material. Instead of a randomly selected subset of jobs, the associated partition is used.

<span id="page-13-2"></span>

Fig. 8: An example application of OOX

Order-based one-point crossover  $(OOX)$ : We have tailored a one-point crossover for FJCS-SDFSTs-ITTs. A random crossover point on the chromosome is selected first. Then all genetic material in parents to the crossover point is copied into two offspring. The operations already assigned are deleted from the other parents along with the associated machine assignments. Then the remaining genetic material from each parent is copied into the offspring without changing their order [\(Ruiz et al., 2006\)](#page-25-18). The OOX is illustrated in Fig. [8](#page-13-2).

## 3.4.2. Mutation

Mutation traverses all genes for each operation and perturbs the allele values at a locus with the standard probability of  $1/(chromosome\ length)$ . The mutation operator flips a coin and either applies a swap (SPM) operation [\(Piroozfard et al., 2018\)](#page-25-2) or randomly changes the machine assignment for the gene in question without changing the operation. SPM exchanges two randomly selected operations and their machine assignments keeping the routing of the operations over the machines unchanged.

## 3.4.3. Hill-climbing

The genetic algorithms (GAs) do not employ hill climbing. In this study, we tested memetic algorithms (MAs) using two different hill-climbing methods, denoted as  $HC_1$  and  $HC_2$  for local search. The hill climbing is applied to the offspring right after the mutation.  $HC_1$  is the same neighborhood structure as described in [Karimi et al.](#page-24-18) [\(2012\)](#page-24-18) as  $LN_1$ . We have built  $HC_2$  by integrating  $LN_1$  and  $LN_3$  in Karimi et al. (2012) as illustrated in Algorithm [2.](#page-14-0)  $HC_1$  operates similarly as  $HC_2$ , where the lines [5](#page-14-0) and [7](#page-14-0) of Algorithm [2](#page-14-0) are discarded.

<span id="page-14-0"></span>

### 3.4.4. Replacement

In this study, we have designed two types of evolutionary algorithms with (MA) and without using hill climbing (GA), namely transgenerational (TMA and TGA) and steady-state (SSGA and SSMA) approaches.

The transgenerational evolutionary algorithms (TMA and TGA) create a 'large' pool of offspring, then a replacement scheme is applied to choose the individuals surviving to the next generation. We have tested two replacement schemes here. Assuming that the population size is  $N$ , the first replacement scheme  $(R_1)$  creates a pool of  $N-1$  offspring. Then the best solution in the current population survives to the next generation along with the whole offspring pool.  $R_1$  is a generic replacement scheme that maintains the best solution found so far through the generations. The second replacement scheme  $(R_2)$  uses strong elitism merging the current population with the offspring pool, sorting their fitness values  $(2N)$ , and choosing the best half to survive to the next generation.

The steady-state evolutionary algorithms (SSMA and SSGA), two selected parents generate two offspring. Then, the replacement operator with strong elitism chooses the best two solutions from the offspring and

the worst two individuals of the current population to survive to the next generation  $(R_3)$ . Those best two solutions replace the worst two individuals in the current population.

# <span id="page-15-0"></span>4. Experimental results

We performed two main sets of experiments. In the first set of experiments, we tested all combinations of algorithmic components and scalarization methods to detect the best configuration for each of the evolutionary algorithms with different replacement strategies using arbitrarily selected small, medium, and large size problem instances. Afterward, we have extended our experiments to compare the performances of those tuned evolutionary algorithms on forty-three problem instances including the real-world case study. The hypervolume  $(HV)$  [\(Brockhoff et al., 2008\)](#page-22-9) is used as the performance indicator in this study. HV measures the quality of the trade-off solutions achieved by the algorithms at approximating the Pareto front [\(Zitzler](#page-27-5) [& Thiele, 1998a,](#page-27-5)[b\)](#page-27-6). The higher the  $HV$  value, the better the performance of an algorithm is.

In the following section, we discuss the details of the benchmark problem instances adopted in the experiments. Then we cover the results obtained from the algorithm tuning experiments on selected instances as well as the experimental results comparing the performance of the tuned evolutionary algorithms for the bi-objective FJCS-SDFSTs-ITTs. All approaches are implemented using C# 2013 and the experiments are run on a laptop with 2.1 GHz Core i7 CPU and 8.00 GB RAM. The population size is set to half of the total number of operations (chromosome length) to be scheduled and the initial population is generated randomly. An algorithm terminates when the maximum number of fitness evaluations (which is a factor of the population size) is exceeded.

#### 4.1. Benchmark problem instances

We have converted a set of forty-three problem instances from various relevant problem domains published in the scientific literature [\(Won & Kim, 1997;](#page-26-17) [Tang et al., 2010;](#page-26-6) [Deliktas et al., 2019;](#page-23-0) [Zeng et al., 2015;](#page-27-3) [Halat](#page-23-16) [& Bashirzadeh, 2015;](#page-23-16) [Tang et al., 2010;](#page-26-6) [Harhalakis et al., 1990;](#page-23-17) [Arkat et al., 2007\)](#page-22-10) into FJCS-SDFSTs-ITTs problem instances. We discuss the adaptation process briefly below for forming the new FJCS-SDFSTs-ITTs benchmark. Table [5](#page-16-0) provides the characteristics of each benchmark problem instance ranging from small to large sizes. The cellular information of each problem instance is used as reported in the corresponding papers as indicated in Table [5.](#page-16-0) Some of the instances already have cell formation, the processing time, routes, and setup and transportation times, whereas we randomly generated the missing relevant information for the others. For example, where missing, the processing times for each operation are randomly drawn as a discrete value from a uniform distribution within the interval of [1, 10], while setup and transportation times are obtained from the interval [1, 8]. The discrete due date for each job is generated randomly within the interval of [1, 110]. The size of a problem is classified considering the total number of parts, operations, machines, cells, and part families as summarized in Table [5.](#page-16-0)

The largest problem is our real-world case study, which has 58 parts, 41 machines, and 4 cells and part families while the smallest one has 4 parts, 6 machines, and 2 cells and part families adopted from [Won](#page-26-17) [& Kim](#page-26-17) [\(1997\)](#page-26-17). This case study is based on a real industrial problem occurring at a large locomotive and wagon production factory in Turkey. The actual production data from this factory was gathered to show the performance and characteristics of the proposed EA algorithms in the paper. Also, parts or products produced in this factory, which have a complex production process and long routing, and which are critical for the factory, have been identified. It is seen that the locomotive and wagon factory is suitable for cellular production because the plant has a functional plant layout, medium degree of product variety, and production volume. The production is based on customer's demand.

### 4.2. Tuning experiments

In this initial set of experiments, we have tuned two GA variants, and two MA variants embedding different algorithmic components to detect their best configurations for FJCS-SDFSTs-ITTs. The combinations of three different crossover operators  $\{CO_1, CO_2, CO_3\}$ , two different local search heuristics used with the memetic algorithms  $\{HC_1,HC_2\}$  and no hill-climbing for the genetic algorithms, three replacement schemes  ${R_1, R_2, R_3}$  under three different scalarization methods {WSM, CSM, and TSM} summing up to 81 different EA configurations are considered. The experiments to detect the best configuration for each EA variant

<b>Size</b>	Ref.	Inst.#	$n/L/m/C/t_{op}$	$I_{Rf_1}$	$I_{Rf_2}$	Size	Ref.	Inst.#	$n/L/m/C/t_{op}$	$I_{Rf_1}$	$I_{Rf_2}$
Small	Won & Kim (1997)		4/2/6/2/13	[28;33]	[33;34]	Large	Harhalakis et al. (1990)	22	20/4/26/4/81	[30;53]	[95;170]
		$\overline{2}$	5/2/6/2/14	[28;33]	[33;50]			23	20/5/26/5/81	[34;52]	[102;203]
	Tang et al. $(2010)$	3	4/2/7/2/13	[16;27]	[11;11]		Tang et al. $(2010)$	24	22/4/27/4/71	[62; 77]	[172;398]
		4	8/2/7/2/27	[31;71]	[23;54]		Zeng et al. $(2015)$	25	21/4/26/4/86	[63;93]	[200; 440]
	Deliktas et al. (2019)	5	4/2/6/2/13	[27;50]	[11;24]			26	24/4/22/4/96	[51:71]	[260; 494]
		6	6/2/6/2/21	[28;67]	[34;56]		Halat & Bashirzadeh (2015)	27	25/5/33/5/90	[67; 77]	[178; 466]
			6/2/8/2/18	[26;49]	[33;39]			28	25/5/35/5/96	[48;68]	159;375
		8	7/3/7/2/25	[37;81]	[21;56]			29	26/5/38/5/87	[57;66]	[146; 352]
		9	7/2/9/3/24	[29;63]	[25;38]			30	27/5/30/5/97	[67;81]	[208;502]
		10	7/3/8/3/24	[29;62]	[36;56]			31	27/5/30/5/132	[91;105]	[347;831]
		11	8/4/11/4/25	[29;74]	[38;63]			32	27/5/40/5/101	[42;59]	173;337
		12	9/3/9/3/30	[34:71]	[74;135]			33	27/5/40/5/101	[48;65]	158;375
Medium	Deliktas et al. (2019)	13	10/4/11/4/33	[34;73]	[60;108]			34	27/5/29/5/104	[33;48]	[130;219]
		14	10/4/13/4/31	[31;67]	[60;91]		Zeng et al. $(2015)$	35	30/5/26/5/111	[48; 74]	[261;519]
	Zeng et al. $(2015)$	15	11/3/11/3/37	[35;55]	[75; 134]		Tang et al. $(2010)$	36	30/5/30/5/105	[47; 75]	[152; 384]
	Halat & Bashirzadeh $(2015)$	16	10/2/8/2/33	[47;55]	[85;153]			37	35/5/30/5/126	[34;68]	149;330
		17	12/2/8/2/38	[52;61]	[117;233]		Arkat et al. $(2007)$	38	30/4/25/4/113	[51; 75]	[248; 551]
		18	12/4/12/4/38	[35;48]	[75;125]		Zeng et al. $(2015)$	39	35/5/27/5/132	[51;71]	[215;500]
	Tang et al. $(2010)$	19	12/3/9/3/38	[42;58]	[141;239]		Tang et al. $(2010)$	40	40/6/25/6/138	[59;94]	[239; 638]
	Deliktas et al. (2019)	20	14/4/13/4/45	[35; 77]	[84;192]			41	45/6/28/6/157	[59, 92]	[313;758]
		21	15/4/16/4/48	[31;86]	[63;129]			42	50/6/30/6/175	[59;93]	[340;820]
							Case study	43	58/4/41/4/269	1671;2181	[1449; 16595]

<span id="page-16-0"></span>Table 5. The characteristics of the benchmark problem instances and the intervals for generating the reference points  $Rf_1$  and  $Rf_2$  used in the fitness functions CSM and TSM for each instance.

are performed on the three sample instances. The FJCS-SDFSTs-ITTs instances  $\#12$ ,  $\#21$  and  $\#43$  are arbitrarily selected from the small, medium and large size instances, respectively.

Each EA is run for 1000 times using random weights generated within the interval of  $[0,1]$  and with the constraint  $w_1+w_2=1$  for each of the scalarization method for solving a problem instance. Additionally, 1000 different reference points for CSM and TSM are randomly created within a certain interval. The minimum value of makespan is determined as the lower bound based on the 31 runs by setting  $w_1 = 1$  ( $w_2 = 0$ ), while the maximum objective value of makespan is determined as the upper bound using 31 results obtained after setting  $w_1 = 0$  ( $w_2 = 1$ ). The lower and upper bounds of total tardiness are computed in the same way for the intervals. Table [5](#page-16-0) provides the intervals from which the reference points for CSM and TSM are randomly drawn.  $\alpha$  in Eq [\(13\)](#page-12-0) is computed as  $\alpha = [\min \{w_1, w_2\} - 0.01]$ .  $\rho$  in Eq [\(14\)](#page-12-1) is fixed as 0.01. The number of fitness evaluation is used as the stopping criterion, which is a factor of population size (for TMA with WSM approach, the number of maximum iteration is 100 and depth-of-search parameter is 4). The parameter settings of each operator used in the evolutionary algorithms are discussed in Section [3.4.](#page-12-2)

Each of the evolutionary algorithm variant with the scalarization method is run by considering different combinations of operators on the three selected sample instances for 1000 times. At each trial, fitness function (WSM, CSM, or TSM) is varied through a random setting of their relevant parameters, such as weights, reference points. Non-dominated solutions are obtained from those 1000 trials, for which hypervolume is calculated for each EA configuration per instance. Then the mean hypervolume for each EA configuration is computed averaging the normalized hypervolume values over the three sample instances.

Table [6](#page-18-0) summarises the results based on mean hypervolume  $(Avr)$ . The trivial observation is that the choice of algorithmic components is influential on the performance of the evolutionary algorithms for FJCS-SDFSTs-ITTs. The best approach for FJCS-SDFSTs-ITTs from the tuning experiments turns out to be the transgenerational genetic algorithm with the best mean hypervolume value of 0.9856. This evolutionary algorithm employs the order-based uniform crossover  $(CO_1)$ , generic replacement scheme  $(R_1)$ , and TSM as the fitness function. One point crossover makes the performance of the genetic algorithm poorer regardless of the replacement scheme used. The steady-state genetic algorithm using the one point crossover performs the worst among all evolutionary algorithms. In almost all cases, the use of local search regardless of the hill-climbing method used improves the performance of the genetic algorithm version. The two interesting exceptions are the best performing approach and also the steady-state genetic algorithm using  $CO<sub>3</sub>$  and TSM as the fitness function, which yields the mean hypervolume value of 0.9643. The proposed hill-climbing method  $HC_2$  seems to perform slightly better than  $HC_1$  in the overall. Similarly, the transgenerational evolutionary algorithm performs better than the steady-state evolutionary algorithms.

Based on the results in Table [6,](#page-18-0) the best configuration for each of the evolutionary algorithms is as follows: (i) TMA with CSM performs the best using the order-based one-point crossover  $(CO_3)$ , generic replacement scheme  $(R_1)$ , and  $LN_1$  ( $HC_1$ ), (ii) SSMA with TSM performs the best using the order-based one-point crossover (CO<sub>3</sub>), steady-state replacement with strong elitism  $(R_3)$ , and integrated LN<sub>1</sub> and LN<sub>3</sub> (HC<sub>2</sub>), (iii) TGA with TSM performs the best when using the order-based uniform crossover  $(CO<sub>1</sub>)$ , and generic replacement scheme  $(R_1)$ , and (iv) SSGA with TSM performs the best when using the order-based one-point crossover  $(CO_3)$ , and steady-state replacement with strong elitism  $(R_3)$ . Interestingly, if we consider the average performances of algorithms and choose the best algorithms focusing on the scalarization methods based on mean hypervolume turns out to be (i) for CSM, (iii) for TSM, however for WSM the winner becomes (v) TMA with WSM using the order-based one-point crossover  $(CO_3)$ , generic replacement scheme  $(R_1)$ , and  $LN_1$  ( $HC_1$ ). Hence, the hypervolume values belonging to the best configurations are (i) 0.9851, (ii) 0.9437, (iii) 0.9856, (iv) 0.9643, and (v) 0.9849 tuned evolutionary algorithms at their best are used in the next set of experiments.

# 4.3. Performance comparison of tuned evolutionary algorithms

To further evaluate the performance of the tuned EAs obtained from the previous set of experiments, namely TMA with WSM, SSMA with TSM, TGA with TSM, and SSGA with TSM, we have performed additional computational experiments on 43 different instances and analyzed the results. Each experiment is repeated 31 times under the same configuration of operators and parameters for all of the four evolutionary algorithms. Table [7](#page-19-0) illustrates the performance comparison of all MOEAs across all problem instances based on hypervolume. For statistical analysis of paired comparisons of TMA with WSM and each of the other EAs, we have applied Wilcoxon signed rank test using 31 results from the algorithms based on hypervolume. The following notation is adopted in Table [7.](#page-19-0) For a given instance,  $>$  ( $\lt$ ) indicates that the TMA with WSM performs better (worse) than the algorithm indicated on the right side of the notation in the column label and this performance difference is statistically significant within a confidence interval of 95%. If there is no statistically significant performance variation between TMA with WSM and the other evolutionary algorithm then this is indicated with the notation  $\approx$ .

We also performed comparison of each pair of four evolutionary algorithms considering the best results for each of the 43 instances. The total number of different pair-wise comparisons of algorithms sums up to 172 ( $43 \times 4$ ). TMA with WSM, 89 out of 172 comparisons performs better than the other algorithms. This performance difference is statistically significant for the 15 of the cases while there is no significant performance variation for 74 of them. More specifically, Table [7](#page-19-0) shows that TMA with WSM delivers a similar or better performance when compared to SSMA with TSM, TGA with TSM, and SSGA with TSM over 38, 42 and all of the 43 instances, respectively.

All evolutionary algorithms perform similarly on almost all the small instances and there is no winner. Only for instance#12 SSMA with TSM performs the best and this performance variation is statistically significant as indicated in Fig. [9\(a\).](#page-20-0) The second best performing EA is TMA with WSM on this problem instance. However, performance differences start to amplify on the medium instances. On 4 of the medium instances including  $\#13$ ,  $\#14$ ,  $\#16$  and  $\#18$  all four algorithms still perform similarly. As for the remaining medium instances, memetic algorithms, namely TMA with WSM and SSMA with TSM perform significantly better than the genetic algorithm variants. For the instances  $\#19-\#21$ , SSMA with TSM is the best, which is also confirmed for the medium instance  $\#21$  in Fig. [9\(c\).](#page-20-1) TMA with WSM is the best for instance  $\#15$ . Both memetic algorithms deliver a similar performance on the five out of nine medium instances. On 17 out of 22 large instances including the real-world case study, TMA with WSM outperforms all other evolutionary algorithms considering the Wilcoxon signed-rank test. This performance difference is statistically significant. Fig. [9\(c\)](#page-20-1) to [9\(f\)](#page-20-2) confirms this observation on the instances  $\#21$ ,  $\#35$ ,  $\#40$ ,  $\#43$ , respectively. SSGA with TSM is the worst performing algorithm among four EAs on the large instances. Hence, in the overall TMA with WSM using precedence preserving order-based crossover and  $LN_1$  hill-climbing is the best evolutionary algorithm for solving the FJCS-SDFSTs-ITTs. The number of fitness evaluations for each instance in each trial (run) is kept the same for each algorithm for fairness. Due to the data structures used and additional complexities such as the computation of the scalarization method used, an algorithm could run slightly more or less than the others. The total running time of an algorithm varies from 1 to 90 seconds for a single trial depending on the size of an instance. In overall, TMA with WSM runs slightly faster than the all others on the majority of the instances.

As a sample, we plotted the Pareto fronts consisting non-dominated trade-off solutions achieved by each MOEA on one small, two medium, and three relatively large arbitrarily chosen instances, including the instance#12 (Fig. [10\(a\)\)](#page-21-1), instance#15 (Fig. [10\(b\)\)](#page-21-2), instance#21 (Fig. [10\(c\)\)](#page-21-3), instance#35 (Fig. [10\(d\)\)](#page-21-4),

<span id="page-18-0"></span>Table 6. Normalized hypervolume values belonging to every evolutionary method with scalarization methods for every configuration. Bold entries highlight the best performing configurations for the tansgenerational/steady-state genetic and memetic algorithms based on the mean normalized hypervolume averaged over three problem instances.

			Transgenerational Genetic Algorithm		<b>Steady State Genetic Algorithm</b>						
${\rm SM}$	$\text{Inst.}\#$	CO <sub>1</sub> ; R <sub>1</sub>	CO <sub>1</sub> ; R <sub>2</sub>	CO <sub>2</sub> ; R <sub>1</sub>	CO <sub>2</sub> ; R <sub>2</sub>	CO <sub>3</sub> ; R <sub>1</sub>	CO <sub>3</sub> ; R <sub>2</sub>	$CO1$ ; R <sub>3</sub>	CO <sub>2</sub> ; R <sub>3</sub>	CO <sub>3</sub> ; R <sub>3</sub>	
	$\overline{12}$	0.7949	0.8462	0.8974	0.6410	1.0000	0.6410	0.8462	0.5641	1.0000	
$_{\rm WSM}$	$21\,$	0.9581	0.8878	0.2568	0.0324	0.6108	$\,0.1243\,$	0.9554	0.1487	0.6054	
	$43\,$	0.9774	0.9635	0.8244	0.8298	0.9741	0.9512	0.9708	0.8364	0.9615	
	Avr.	0.9101	0.8992	0.6595	0.5011	0.8616	0.5722	0.9241	0.5164	0.8557	
	$12\,$	1.0000	0.8205	0.5385	0.3846	0.9487	0.7180	0.7949	0.2051	0.8462	
$\mathbf{CSM}$	$21\,$	0.9730	0.1635	0.3595	0.2824	0.9622	0.8730	0.5608	0.4460	0.9081	
	$43\,$	0.9777	0.9586	0.8397	0.8214	0.9753	0.9455	0.9765	0.8070	0.9658	
	Avr.	0.9836	0.6476	0.5792	0.4962	0.9621	0.8455	0.7774	0.4860	0.9067	
	$12\,$	1.0000	0.8462	0.7436	0.4872	1.0000	0.8462	0.7436	0.0000	1.0000	
<b>TSM</b>	$21\,$	0.9797	0.4122	0.3257	0.0000	0.9730	0.1689	0.8784	0.1703	0.9338	
	$43\,$	0.9771	0.9536	0.8411	0.8222	0.9733	0.9530	0.9672	0.8025	0.9590	
	Avr.	0.9856	0.7373	0.6368	0.4364	0.9821	0.6560	0.8631	0.3243	0.9643	
${\rm SM}$	Transgenerational Memetic Algorithm $\overline{{\rm CO}_2; {\rm R}_1}$ CO <sub>1</sub> ; R <sub>1</sub> CO <sub>1</sub> ; R <sub>2</sub> CO <sub>1</sub> ; R <sub>1</sub> CO <sub>1</sub> ; R <sub>2</sub> CO <sub>2</sub> ;R <sub>1</sub> $\overline{{\rm CO}_2; {\rm R}_2}$ $\overline{\text{CO}_2;\text{R}_2}$ Inst. $#$										
		$HC_1$	$HC_1$	HC <sub>2</sub>	HC <sub>2</sub>	$HC_1$	$HC_1$	HC <sub>2</sub>	HC <sub>2</sub>	CO <sub>3</sub> ;R <sub>1</sub> $HC_1$	
	12	1.0000	1.0000	0.8462	0.9487	0.8205	0.7949	1.0000	1.0000	1.0000	
	$21\,$	0.9973	0.9743	1.0000	0.9905	0.9824	0.6014	0.9851	0.9946	0.9973	
<b>WSM</b>	$43\,$	0.9546	0.9742	0.9506	0.9310	0.9310	0.9551	0.9271	0.9220	0.9574	
	Avr.	0.9840	0.9828	0.9323	0.9567	0.9113	0.7838	0.9707	0.9722	0.9849	
CSM	$12\,$	1.0000	1.0000	1.0000	0.8974	0.8462	0.9744	1.0000	0.9487	1.0000	
	$21\,$	0.9973	0.9676	0.9946	0.9959	0.9770	0.9351	0.9892	0.9811	0.9973	
	$43\,$	0.9476	0.9675	0.9543	0.9653	0.9432	0.9449	0.9259	0.9148	0.9579	
	Avr.	0.9816	0.9784	0.9830	0.9529	0.9221	0.9515	0.9717	0.9482	0.9851	
	$12\,$	0.8462	0.9487	1.0000	0.8974	1.0000	0.7436	0.8974	0.8974	1.0000	
$_{\rm TSM}$	$21\,$	0.9919	0.9905	1.0000	0.9932	0.9838	0.9054	0.9973	0.9905	0.9973	
	$43\,$	0.9529	0.9700	0.9454	0.9558	0.9421	0.9459	0.9244	0.9049	0.9554	
	Avr.	0.9303	0.9697	0.9818	0.9488	0.9753	0.8650	0.9397	0.9309	0.9842	
				Transgenerational Memetic Algorithm			Steady Stade Memetic Algorithm				
${\rm SM}$	Inst. $#$	CO <sub>3</sub> ;R <sub>2</sub>	$\overline{\text{CO}_3;\text{R}_1}$	$\overline{\text{CO}_3;\text{R}_2}$	CO <sub>1</sub> ; R <sub>3</sub>	$\overline{{\rm CO}_1; {\rm R}_3}$	$\overline{{\rm CO}_2; {\rm R}_3}$	CO <sub>2</sub> ;R <sub>3</sub>	$\overline{CO_3;R_3}$	$\overline{\text{CO}_3;\text{R}_3}$	
	$\overline{12}$	$HC_1$	HC <sub>2</sub>	HC <sub>2</sub>	$\mathrm{HC}_1$	HC <sub>2</sub>	HC <sub>1</sub>	HC <sub>2</sub>	HC <sub>1</sub>	HC <sub>2</sub>	
	$21\,$	0.6923 0.9878	0.8462 0.9973	0.8462 0.9946	0.7179 0.9973	1.0000 1.0000	0.9744 0.9473	0.8462 1.0000	0.8974 0.9946	0.8974 1.0000	
<b>WSM</b>	43	0.9679	0.9533	0.9591	0.7993	0.8250	0.8251	0.8337	0.8206	0.8392	
	Avr.	0.8827	0.9323	0.9333	0.8382	0.9417	0.9156	0.8933	0.9042	0.9122	
	$12\,$	0.7949	1.0000	0.8974	1.0000	1.0000	0.7692	1.0000	1.0000	0.8974	
	$21\,$	0.9811	0.9973	0.9973	0.9932	0.9959	0.9297	0.9932	0.9905	0.9973	
CSM	$43\,$	0.9695	0.9556	0.9636	0.7837	0.8180	0.8124	0.8113	0.8008	0.8343	
	Avr.	0.9152	0.9843	0.9528	0.9257	0.9380	0.8371	0.9348	0.9304	0.9097	
	$12\,$	0.7436	1.0000	1.0000	1.0000	1.0000	0.4872	1.0000	1.0000	1.0000	
	$21\,$	0.9919	1.0000	0.9932	0.9919	0.9973	0.9149	0.9878	0.9932	0.9959	
<b>TSM</b>	43	0.9624	0.9518	0.9537	0.7891	0.8289	0.8107	0.8239	0.8217	0.8351	
	Avr.	0.8993	0.9839	0.9823	0.9270	0.9421	0.7376	0.9373	0.9383	0.9437	
				CO <sub>1</sub> : Order-based Uniform Crossover; CO <sub>2</sub> : Order-based One-point Crossover; CO <sub>3</sub> : Precedence Preserving Order-based Crossover							
				SM: Scalarization Method; HC <sub>1</sub> : LN <sub>1</sub> ; HC <sub>2</sub> : Integrated LN <sub>1</sub> &LN <sub>3</sub>							



<span id="page-19-0"></span>

<span id="page-20-0"></span>

<span id="page-20-2"></span><span id="page-20-1"></span>Fig. 9: Box-plots of the hypervolume values obtained from 31 runs of each evolutionary approach for six sample instances

instance#40 (Fig. [10\(e\)\)](#page-21-5), and instance#43 (Fig. [10\(f\)\)](#page-21-6). The Pareto fronts are obtained from each EA's best run yielding the best hypervolume. Fig. [10](#page-21-7) illustrates that the best sets of trade-off solutions from all MOEAs for the small instance#12 have the same Pareto front plots while the TMA with WSM produces better results when compared to the other algorithms for the remaining cases. This approach also produces a wider spread of trade-off solutions on the Pareto front for the instance#35. Moreover, the majority of the plots of the best Pareto fronts are not convex and the results show that a Pareto front obtained for an FJCS-SDFSTs-ITTs problem instance might contain unsupported trade-off solutions, for example, as in Fig. [10\(d\)](#page-21-4) where TGA with TSM has an unsupported solution with the makespan value of 58 which is not on the convex hull of the whole Pareto front.

<span id="page-21-7"></span><span id="page-21-2"></span><span id="page-21-1"></span>

<span id="page-21-6"></span><span id="page-21-5"></span><span id="page-21-4"></span><span id="page-21-3"></span>Fig. 10: The illustration of Pareto fronts consisting of non-dominated trade-off solutions obtained from the best of runs with each random weight considering 1000 weights in the WSM calculations for six sample instances

# <span id="page-21-0"></span>5. Conclusion and future work

In this study, we addressed the problem of multi-objective flexible job shop cell scheduling in the presence of exceptional and the reentrant parts, intercellular moves, intercellular transportation times, and sequencedependent family setup times. We have tested various algorithmic components as a part of genetic and memetic algorithms for solving the bi-objective FJCS-SDFSTs-ITTs problem. We have also compared the performance of the tuned evolutionary algorithms using weighted sum, conic, and tchebycheff scalarization methods. The empirical results illustrate the success of a novel transgenerational memetic algorithm using a 'new' hill-climbing algorithm, guided by an objective function based on weighted sum during the search. The proposed TMA with WSM approach outperforms the remaining evolutionary algorithms producing a similar or better performance over at least 38 of the 43 instances and all solutions to the instances represent the best-known solutions so far.

Moreover, the sample plots of the Pareto fronts obtained from the memetic algorithm concur with the same observations as in [Deliktas et al.](#page-23-0) [\(2019\)](#page-23-0). The Pareto fronts for the bi-objective FJCS-SDFSTs-ITTs problem instances are not trivial, and they contain unsupported trade-off solutions that are not on the convex hull of the Pareto front.

As a trivial future research direction, we can test single point based search methods for multi-objective optimization based on the selected operators that we have designed for the population-based evolutionary algorithms in this study. Moreover, the performance of those approaches using scalarization can be compared to the multi-objective evolutionary algorithms, such as NSGA-II, SPEA2. As future work, the proposed approach can be extended further and applied to the other variants of the problem, for example, that considers intracellular setup times and intracellular transfer times.

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