1 Cattle farmers' perception of biosecurity measures and the main predictors of behaviour

- 2 change: the first European-wide pilot study
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- 4 **Short running title:** Cattle farmers' perception of biosecurity measures
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- 32 ABSTRACT
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34 The importance of biosecurity as a strategy to prevent and control infectious diseases has increased substantially over the last few decades. Several studies have reported a low 35 36 implementation level of biosecurity measures (BSM), particularly in cattle farms. In addition, 37 a recent study demonstrated that cattle farmers are well aware of the recommended BSM and 38 recognise them as more effective (in terms of time and costs) than treatment for disease. 39 Therefore, other factors must be considered when it comes to understanding the decision-40 making process followed by a farmer regarding the adoption of BSM. This study analysed the 41 possible influence of five mental constructs described in the Health Belief Model (HBM) on 42 the adoption of BSM and assessed the possible association of these constructs with different 43 demographic and socio-psychological factors. Through an online survey, 988 questionnaires 44 were completed by cattle farmers originating from Belgium, France, Germany, Spain and the 45 Netherlands. The study revealed that the actual implementation of the BSM seems to be 46 significantly influenced by the farmers' perception of the measures' benefits and the perception 47 of health responsibility. Both constructs are influenced by the farmers' personality in terms of 48 risk aversion and biosecurity knowledge. It was also found that organic farmers had a 49 significantly lower perception of the BSM benefits and of their responsibility towards animal, 50 public and environmental health when compared with other types of farmer. Organic farmers 51 in this study seemed less likely to implement biosecurity measures. To increase the adoption of 52 BSM by cattle farmers, it is therefore important to emphasise the actual evidence-based benefits 53 of the measures and to investigate further how to strengthen cattle farmers' sense of 54 responsibility towards animal, public and environmental health.

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56 Keywords: Biosecurity measures; practices; health belief model; behaviour; cattle farmers; risk
57 perception; organic farming; brucellosis; calf diarrhoea; bovine viral diarrhoea.

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- 61 INTRODUCTION
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In animal production systems, the proper implementation of biosecurity measures (BSM) by farmers permits the prevention of infectious diseases and enables better control of transmission within or outside individual production units. The importance of biosecurity as a strategy to prevent and control infectious diseases has increased substantially over the past few decades. Since 2007, biosecurity measures have been part of the European Union Animal Health Strategy (European Comission, 2007).

69 Several studies have investigated the degree of implementation of BSM in cattle farming. These 70 studies have reported a low level of implementation (Brennan & Christley, 2013; Renault et al., 71 2018) and highlighted different constraints and barriers such as the efficacy or the cost-72 effectiveness of measures, the workload required, the BSM feasibility, the lack of motivation 73 and the relevance of the BSM with regard to the actual risks (Brennan et al., 2016; Gunn, 74 Heffernan, Hall, McLeod, & Hovi, 2008; Laanen et al., 2014; Renault et al., 2018). A recent 75 study showed that farmers have knowledge of the recommended BSM and recognise these as 76 more effective (in terms of time and costs) than disease treatment (Renault et al., 2018). 77 Therefore, other factors have to be considered when it comes to understanding the farmers' 78 decision-making processes regarding the adoption of BSM. The complexity of this decision-79 making process has been described in two recent reviews (Mankad, 2016; Ritter et al., 2017). 80 Based on these reviews, different factors are likely to have an influence: (i) the farmers' psycho-81 sociological profile (e.g. personality, culture, risk aversion, previous experiences, (ii) the 82 perceived responsibility towards animal, public and environmental health and biosecurity 83 knowledge), (iii) the farming context (e.g. national and international regulations, demands and 84 market prices), (iv) the perception of possible barriers, (v) the risks (disease susceptibility and 85 severity) and (vi) the cost-effectiveness of proposed BSM (benefits).

86 Over the last decade, the importance of socio-psychological studies in the field of veterinary 87 epidemiology has increased significantly, as illustrated by the increased number of publications 88 since 2012 (Wauters & Rojo Gimeno, 2014). Many behaviour change theories have been 89 developed over time to try to predict the implementation of a given behaviour by users, as well 90 as to identify the key factors to be targeted in order to increase the adoption rate of desired 91 practices. In the field of animal production and health, two of the most common models that 92 have been applied are the Theory of Planned Behaviour (Ajzen, 1985) and the Health Belief 93 Model (Janz & Becker, 1984; Irwin M. Rosenstock, 1974) (Fig. 1). In both cases, the models Page 3 / 24

94 assume that the behaviours are mainly depending on mental constructs and analyse the 95 relationship between these mental constructs and the behaviours. These constructs relate to 96 different beliefs and perceptions influenced by different demographic, socio-psychological and 97 contextual variables. The Health Belief Model (HBM) is made up of five mental constructs: (i) 98 the health responsibility (perceived responsibility towards animal, public and environmental 99 health); (ii) the risk susceptibility (likelihood of disease occurrence); (iii) the risk severity 100 (perceived impact of the disease if it occurs); (iv) the barriers (perceived difficulty of 101 performing a BSM and the possible level of control); and (v) the benefits (perceptions of the 102 possible positive outcomes related to the implementation of a BSM) (Janz & Becker, 1984; 103 Irwin M. Rosenstock, 1974). On the other hand, the Theory of Planned Behaviour (TPB) relies 104 on the influence of three main constructs on the intention of performing a behaviour: (i) the 105 attitude towards the behaviour (beliefs and evaluation of the expected behavioural outcomes); 106 (ii) the subjective norms (social factor referring to the social pressure to perform or not perform 107 a behaviour); and (iii) the perceived behavioural control (beliefs about capability and control) 108 (Ajzen, 1991). Different researchers have applied both models with slightly different results 109 from one study to another, based on specificities or new findings (e.g. some HBM models 110 included an additional element called "cue to action" and others considered the disease severity 111 and susceptibility as one sole element) (Carpenter, 2010; Janz & Becker, 1984). When 112 comparing both models, it appears that the elements taken into account are similar but 113 regrouped into different constructs (Fig. 1). In comparison to the TPB, the HBM is specific to 114 health behaviours. It is also the most frequently used model in veterinary medicine as illustrated by a search in PubMed with "name of the model" and "veterinary" used as keywords and 115 Boolean operator showing more than 42,818 results for the HBM model and 895 results for the 116 TPB (search effected on June 16th 2020). The HBM also appears more detailed as it includes 117 118 five constructs compared to three constructs in the TPB.

The aim of this study was to use the outcomes of an online survey to identify the important HBM constructs when assessing the intention to implement or the implementation of different BSM by cattle farmers. The possible associations between different demographic and sociopsychological factors and the different constructs were also investigated.

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124 MATERIAL AND METHODS

125 **Theoretical framework: the Health Belief Model**

The current study applied the HBM to explore the different factors influencing cattle farmers' behaviours. **Figure 2** provides an overview of the nine components included in the model. The components included different demographic variables, two psychological variables (risk aversion and biosecurity knowledge) expected to have an influence on the mental constructs, the five mental constructs of the HBM assessed through different questions (3 to 8 questions per construct) and the model outcome formulated as the intention to implement or the actual implementation of the behaviour.

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As the perception of susceptibility, severity and any benefits of the BSM are risk-specific, these perceptions were assessed for three different diseases: these were selected as they have a different susceptibility and severity and illustrate different possible transmission pathways of infectious diseases:

- 138 An eradicated disease: brucellosis (BRU)
- 139 An endemic disease: young calf diarrhoea (YCD)

- An endemic disease targeted by national or regional control programmes: bovine viral
 diarrhoea (BVD)

Perceived benefits were expressed as the perceived effectiveness of the different BSM at preventing and controlling the three diseases. In order to build the HBM model, 17 BSM (**Table** 1) were selected from the BSM listed in a previous study (Renault et al., 2018). The BSM selected were deemed efficient against one, two or three of the selected diseases, showed variability in terms of implementation level, and represented different stress levels (e.g. timeconsuming, costly, involving (or not) a specific organisation of work and requesting (or not) specific infrastructures).

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150 Survey development and methodology

151 The online survey included 66 questions, i.e. 64 closed questions and two open questions 152 (Appendix S1). The questions used to assess the different HBM constructs were identified and 153 formulated based on a previously validated questionnaire (Champion, 1984). The survey was

154 developed in an open source software, LimeSurvey, in five languages (English, Dutch, German, 155 French and Spanish). The survey was pre-tested among four farmers in each language before 156 its validation and disseminated by the different authors in six countries (i.e. Belgium, France, 157 the Netherlands, Germany, Spain and the United Kingdom). In Belgium, France and the 158 Netherlands, the invitations to fill-in the survey were sent by the regional animal health 159 associations through their mailing lists. In Germany, invitations were sent by farmers' insurance 160 companies and government veterinary offices. In the UK, invitations were sent through personal 161 contacts of the research team and social media (i.e. professional journals). In Spain, the 162 invitation to participate was disseminated through personal networks with a very low response 163 level due to Spanish farmers' poor access to online technologies. The survey in Spain was thus 164 mainly conducted through face-to-face interviews with farmers randomly selected in livestock 165 meetings (e.g. fairs or exhibitions) or farmers enrolled in a specific research programme 166 (managed by IREC (Instituto de Investigación en Recursos Cinegéticos) and the University of 167 Cordoba) to improve general biosecurity measures against bovine tuberculosis. In Belgium, the 168 Regional Association for Animal Health and Identification (ARSIA for the Walloon Region) 169 added a specific add-on to their "CERISE" application webpage (used by farmers for birth 170 notification and statements of purchases), in addition to the use of its mailing list. This add-on 171 opened a pop-up window inviting individuals to fill in the questionnaire when the farmer 172 connected to the application. It was addressed to all cattle farmers, independently of the herd 173 type and production system. Data were collected from November 2017 to February 2019; 174 several reminders were sent during this period to increase the number of respondents.

The selection of the different demographic and sociological variables was based on two articles describing the determinants of farmers' behaviours (Mankad, 2016; Ritter et al., 2017). The following demographic variables were considered in the survey: experience (years), education level, gender, herd type, herd size, business stage (starting or expanding, settled or stable, reducing or retiring) and production type (organic / conventional).

180 Two psychological characteristics were assessed: "risk aversion" was determined indirectly by 181 asking the farmers their degree of agreement with three sentences: (i) I consider myself as a 182 cautious person, (ii) I anticipate risks and take specific measures to mitigate them and (iii) I 183 always bring basic medical products with me for personal use (disinfectant, bandages and pain 184 killers) (**Appendix S2**). The farmers' "Biosecurity knowledge" regarding BSM was determined by asking them to list three BSM, in order to verify if she/he knew the term "biosecurity" andits associated measures.

The formulation of the questions regarding the different perceptions and beliefs were based on the good practices and practical examples provided in an article describing the construct validation of the HBM (Cummings, Jette, & Rosenstock, 1978). The questions were labelled and grouped in order to assess the five HBM constructs: risk susceptibility, risk severity if it occurs, health responsibility, benefits of BSM and barriers. For each construct, the farmers were asked to rate their degree of agreement with different statements via a visual analogue scale ranging from 0 (fully disagree) to 100 (fully agree).

194 The intention to implement or the actual implementation of the different BSM were assessed 195 through a 6-level Likert scale with the following levels: '0: Not implementing it and I do not 196 intend to', '1: Not implementing it but I might consider it','2: Not implementing it but I will do 197 it', '3: Yes, I do implement it but sometimes only', '4: Yes, I do implement it most of the time' 198 and '5: Yes, I always implement it'. An additional category ('Other') was proposed to allow 199 additional comments (e.g. not applicable, based on the production system). If the comments 200 provided were not useful (i.e. lack of comment or comment did not permit classification into 201 one of the 6 levels), the country-specific mode was used for unimodal distributions, and a 202 random value-based on the most frequent modes of the country in case of bi-modal

203 distributions.

Data were extracted into a Microsoft Excel spreadsheet and consolidated. The responses given by the participants were coded and the attributes of the different variables defined as explained in **Appendix S2**. The incomplete questionnaires and the questionnaires originating from countries with less than five respondents were excluded.

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209 Scoring methodology for the different constructs

In order to estimate the perception scores for each HBM construct, the scores of questions in which the construct was negatively formulated were reversed to enable uniformity across questions. The farmers' perception of the different constructs were determined either directly (for the disease susceptibility and BSM benefits constructs) or indirectly by calculating the degree of agreement (agreement score) with the different statements used in the questionnaire (**Appendix S2**). The degree of agreement was assessed through a visual analogue scale providing a score ranging from 0 (fully disagree) to 100 (fully agree), representing the degreeof agreement with the proposed statements.

The construct "Susceptibility" was assessed by asking the farmer what the probability of each disease occurrence was in his or her farm in the absence of general or disease-specific preventive measures. The overall susceptibility score represents the average of the diseasespecific scores.

222 The construct "Severity" was determined by asking the farmer her/his degree of agreement with 223 three statements: (i) If this disease was occurring, there would be an important negative effect 224 on my herd productivity (perception), (ii) The economic impact of this disease on my activity 225 over the last 10 years was very high (personal experience) and (iii) Many farmers I know have 226 been affected by this disease over the last 10 years (experience of other farmers). The questions 227 were repeated for each disease. The farmer's overall severity perception was calculated as the 228 average score obtained from the nine questions (three questions for each disease) and reflects 229 the farmer's overall degree of agreement with the statements.

The construct "Health responsibility" was assessed indirectly. The farmers were asked their level of agreement with eight statements illustrating their responsibility towards animal, public and environmental health (Table 2). The average score was then calculated accordingly.

The construct "Benefits" was calculated for each BSM (N = 17), as well as for the overall benefits (across the 17 BSM). For each BSM, the farmers were requested to provide their perception of BSM benefits regarding the prevention or control of each of the three diseases; the average score was then computed to obtain the BSM specific-benefit score. The benefits of a BSM were defined as "the measure of efficiency (in terms of cost and time effectiveness) and its capacity to prevent disease and/or reduce losses".

The construct "Barrier" assessed the farmer's perception regarding her/his level of disease control. The farmer was asked her/his degree of agreement with two sentences: "I am able to prevent the disease by implementing appropriate measures" and the opposite statement, "The prevention of the disease relies mainly on measures to be implemented by the authorities, there is not much I can do". The two questions were asked for the three diseases and the average score calculated in order to determine the "Barrier" score.

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246 Negative binomial regression models

247 Effects of demographic and psychological variables (explanatory variables) versus 248 psychological variables and Health Belief Model constructs (dependent variables)

A backwards stepwise regression model was applied in Stata SE/14 (StataCorp LP, College Station, TX, USA) to assess the influence of different explanatory variables on the five HBM constructs (**Fig. 2**). The possible influence of demographic variables on risk aversion and biosecurity knowledge were also considered. A negative binomial regression was used as the variables did not seem normally distributed (based on a Shapiro test) and the goodness of fit of the Poisson models were insufficient.

255 Firstly, a univariable analysis was performed using a negative binomial regression to assess the 256 level of influence of the different explanatory variables on each dependent variable. Secondly, 257 for each construct, a multivariable analysis including all the explanatory variables with a p-258 value below 0.1 (in order to be conservative) was conducted. The model was progressively 259 simplified by removing the least significant variable with a p>0.05. The model was considered 260 complete, either when all variables had a significant p-value (<0.05), or when it could not be 261 further simplified without having a significant difference between the most complex and the 262 simpler model (likelihood ratio test with a *p*-value < 0.05).

As the model presented in **Fig. 2** assumes a possible influence of the demographic variables on both the psychological variables and the perceptions, a test was performed in order to identify possible high associations between variables which could interfere with the multivariable regression models. If both a psychological and associated demographic variable (based on the Kruskal-Wallis test) were to be included in the multivariable regression model, the psychological variable was the only one included as it is assumed to have a higher direct influence on the HBM constructs.

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Association between the Health Belief Model constructs (explanatory variables) and the intention to implement or the actual implementation of BSM

A backwards stepwise regression model was applied in Stata SE/14 (StataCorp LP, College Station, TX, USA) to assess the influence of the different HBM constructs on BSM implementation (or intention to implement). This was performed for each BSM (N = 17) as well as for the overall BSM using the average scores of each BSM as an overall benefits score and BSM implementation score. As variables were not normally distributed based on a Shapiro test, a negative binomial regression was used (larger variance compared to the Poisson modelassumption).

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281 **RESULTS**

282 **Respondents' profile**

A total of 996 complete answers were received from nine countries with an average completion 283 284 time of 24 minutes (range: 4.7 to 3945.85 minutes; quartile 1: 16.2, median: 20.7 and quartile 285 3: 28.4 minutes). The four questionnaires received from the UK and three questionnaires from 286 unsolicited countries were not considered in the analysis due to the very small number of 287 answers (less than five). One Belgian questionnaire was deleted as the farmer mentioned "Not 288 Applicable" to all the BSM when asked about his or her intention or action regarding measure 289 implementation. Therefore, 988 respondents originating from five countries were considered in 290 the data analysis (Table 3): Belgium (701 farmers), Germany (128 farmers), France (68 291 farmers), Spain (64 farmers) and the Netherlands (27 farmers). Among the respondents, 12% 292 were organic farmers (or in conversion to organic farming) and the farm types identified were 293 49% beef farms, 33% dairy farms, 14% mixed farms and 4% other farm types (e.g. fighting 294 bulls). The herd size ranged from 0 to 1900 head of cattle. The farmers mentioning having zero 295 head of cattle were kept in the survey based on the assumption that they represent farmers 296 having cattle but not willing to provide the size of their herds as this is often a sensitive question. 297

298 Farmers' perceptions

The farmers' perception of the occurrence probability of BVD, BRU and YCD (susceptibility) ranged from 0 to 100 with a median of 50%, 28% and 73% respectively (**Fig. 3** [**A**]). Regarding the diseases' severity, the median of the degree of agreement was of 44%, 34% and 58% for BVD, BRU and YCD, respectively (**Fig. 3** [**B**]). The diseases' severity and their economic impact are therefore perceived as "not really important" by the farmers.

The farmers' perceptions regarding their responsibility towards animal, public and environmental health (health responsibility) was overall high (quartile 1: 63%, median: 72%, quartile 3: 81% and range: 0 to 100%). The majority of farmers agreed with the proposed statements on: the importance of risks, the need for proper health monitoring and the need for actions to preserve animal, environment and public health. The farmers' perception regarding the level of control required to prevent infectious diseases on their farm was used as an indirect measure of the perceived barriers. Overall, most farmers disagreed with statements relating to having little control or depending on others (e.g. the government) to prevent or control infectious diseases (median of the degree of agreement below 40%) (**Fig. 3** [**C**]).

The possible benefits of the 17 BSM proposed can be described for each disease (mean score of the BSM benefits for a given disease) or overall (mean score of all the benefits related to that BSM). The majority of the farmers (more than 50%) perceived the efficiency of the different BSMs as greater than 50% (**Fig. 4**), at the exception of having double fences for YCD for which the average farmers perception of the measure efficiency was below 50%.

The level of intention to implement the BSM was also assessed in the survey (**Fig. 5**). Seven measures were nominated as being implemented by more than 60% of the farmers (control programmes, closed herds, test at purchase, proper carcass disposal, feeding-dedicated equipment, regular screening of animal health status and litter and barns' hygiene) and four measures revealed a low level of implementation (<40%): all-in all-out system, isolation of sick animals, visitors' hygiene and clothing and double fences in pasture.

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326 Negative binomial regression models

327 Effects of demographic and psychological variables (explanatory variables) versus 328 psychological variables and farmers' perceptions (dependent variables)

329 The stepwise backward regression analysis highlighted an association between several 330 demographic and psychological variables and the farmers' perceptions, as well as between 331 some demographic and psychological variables (Fig. 6 [A]). The different regression models 332 are provided in Appendices S3 [A and B]. The Kruskal-Wallis analysis confirmed a high 333 association between country and risk aversion (p-value<0.0001), and country and biosecurity 334 knowledge (*p*-value<0.008). Additionally, there were high associations seen between education 335 level and the biosecurity knowledge (*p*-value=0.0001), and between gender and risk aversion 336 (p-value<0.0002). Therefore, if two associated variables were to be included in the 337 multivariable model (*p*-value<0.1 in the univariable model), the psychological variable was the 338 one included.

Regarding the association between demographic and psychological variables, risk aversion was significantly lower for males, and for farmers in two specific countries (i.e.: Germany and the Netherlands) when compared to Belgium. Biosecurity knowledge was significantly higher for farmers in Spain, those who were experienced and those with a higher education level.

343 The farmers' sense of responsibility towards animal, public and environmental health was 344 significantly higher for individuals with higher biosecurity knowledge and risk aversion as well 345 as for non-organic farms. It was also significantly higher for farmers in Spain, and significantly 346 lower for farmers in Germany, compared to farmers in Belgium. The overall perception of the 347 different disease susceptibility and severity was significantly higher for non-organic farmers, 348 farmers with a better biosecurity knowledge, and those with a larger herds size. The perceived 349 barrier were significantly lower for farmers with a higher biosecurity knowledge. The 350 perception of BSM benefits was significantly lower in organic farms and higher for farmers 351 owning a larger herd and having a higher risk aversion.

352 The influence of the different explanatory variables on the perceived benefits of the BSM were 353 also assessed individually for each BSM (N = 17) (Fig. 6 [B]). No significant explanatory 354 variable was identified in the final model for the measure M2 (closed herd), M3 (all-in all-out 355 system), M6 (control of vehicle access), M10 (feeding-dedicated equipment) and M17 (double 356 fences). The benefits of a proper work order when attending animals (attending animals from 357 the youngest to the oldest and from healthy to sick) were perceived as significantly lower for 358 farmers in Spain compared to farmers in Belgium. The benefits of isolated maternity boxes or 359 pens were perceived as significantly lower by organic farmers compared to conventional 360 farmers. The remaining 10 BSM varied significantly, based on two to three explanatory 361 variables. Among these variables, risk aversion and biosecurity knowledge were the most 362 frequent and were positively associated with 6 and 4 BSM respectively. Farmers with a higher 363 risk adverse profile were more likely to have a higher perception of the benefits of animal 364 testing at purchase, a proper quarantine, isolation of sick animals, regular screening of animal 365 health status, visitor s' hygiene and clothing, as well as providing dry, clean litter and suitable barns. The perception of farmers with a higher biosecurity knowledge was also higher for the 366 367 benefits of isolating sick animals, regularly screening the animals' health status, keeping the 368 calves in individual boxes and controlling visitors' hygiene and clothing.

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370 Association between the Health Belief Model constructs (explanatory variables) and the

371 level of intention to implement the BSM

372 The five HBM constructs were used as explanatory variables in 18 multivariable models; one 373 model was tested for each BSM, and an additional model tested the overall implementation of 374 BSM (Appendix S 3 [C]). The final models (Fig. 6 [C]) showed that the perception of disease 375 susceptibility and severity did not make any significant difference in terms of BSM 376 implementation with the exception of keeping the calves in individual boxes. This BSM seemed 377 more likely to be implemented when the farmers had a higher perception of the disease severity 378 and BSM benefits. The level of intention or implementation of a given BSM was significantly 379 higher when the perception of its benefits was higher and when the sense of responsibility 380 towards health was higher. When analysing the influence of HBM constructs on the 381 implementation of individual BSMs, health responsibility was associated with a significantly 382 higher level of implementation for nine measures, and perception of BSM benefits was 383 associated with a higher level of implementation for all 17 measures. Three BSM appeared to 384 be significantly less likely to be implemented when the perceived barriers were higher: 385 controlling vehicle access, the use of feeding-dedicated equipment and controlling the visitors' 386 hygiene and clothing.

387

388 **DISCUSSION**

389 The influence of psycho-socio-demographic factors on farmers' behaviour is now largely 390 recognised and taken into account in the different communication and awareness raising 391 strategies related to BSM or prevention of infectious diseases (Mankad, 2016; Ritter et al., 392 2017). As mentioned in a previous study, the theories of behaviour change (mainly HBM and 393 Theory of Planned Behaviour) have been increasingly tested or applied to behaviours related to 394 animal health (Brennan et al., 2016). Most of these studies used the HBM to assess the 395 implementation of a specific BSM or risk (e.g. the application of nematode control programmes 396 (Vande Velde, Charlier, Hudders, Cauberghe, & Claerebout, 2018; Vande Velde et al., 2015a)). 397 Nevertheless, to our knowledge, only one study has applied multiple behaviour change models 398 to actually identify the determinants of BSM implementation in general and assess their level 399 of influence on the actual behaviour (Richens et al., 2018). Being able to identify the most important beliefs and perceptions to address and change in order to facilitate the adoption andlong-term implementation of BSM is a key element of any communication strategy.

402 This study showed that, among the five HBM constructs, three significantly influenced the level 403 of BSM implementation. The perception of the benefits of using BSM and the farmers' 404 perception of their responsibility towards animal, public and environmental health influenced 405 significantly and positively the use of 17 and 9 BSM, respectively, as well as the overall level 406 of BSM implementation. The perception of the barriers to using BSM influenced significantly and negatively the implementation of 3 BSM. As the model assumes that the HBM constructs 407 408 are influenced by different demographic and socio-psychological variables, it is therefore 409 important to analyse which of these variables appear to influence these three HBM constructs.

One potential limitation of the study relates to questionnaire development and its lack of validation. The questionnaire's capacity to properly capture the HBM constructs has not been properly validated. However, the questions were developed along similar lines as in previous studies (Brennan et al., 2016; Mankad, 2016; Ritter et al., 2017; Vande Velde et al., 2015a; Vande Velde, Charlier, Hudders, Cauberghe, & Claerebout, 2018), and guidelines described by Champion (1984) were consulted.

416 The total number of complete questionnaires achieved (N = 988) was substantial. Nevertheless, 417 the over-representation of Belgium is likely to be a bias as well as the different methods used 418 in each country to contact farmers. For example, these disparities might explain the result 419 relating to the higher biosecurity knowledge in Spain compared to Belgium as the majority of 420 Spanish farmers who participated in the survey were involved in a biosecurity research 421 programme. The country specific context regarding mandatory BSM and/or disease control 422 programmes as well as the country specific disease status' might also have influenced the 423 farmers' perceptions. As an example, the perception of the disease susceptibility related to a 424 given disease is more likely to be lower in a disease free country compared to a country where 425 the given disease is endemic. The perception of a disease severity is also more likely to be 426 higher if the country has an eradication program targeting this disease, which could lead to 427 additional economic losses in case of outbreaks. The farmer differences between countries 428 should therefore be considered with caution. Nevertheless, the identified country bias should 429 only influence the correlation between the demographic variables and the HBM constructs 430 themselves and, based on the HBM model assumptions, should not affect the results of the

multivariable analysis performed to determine the influence of the mental constructs on the
behaviour. The use of a pop-up on the web page of the farmer associations' website (generating
the opening window inviting them to fill in the questionnaire) appeared to increase the number
of responses and should be considered for similar future studies.

The two psychological variables, risk aversion and biosecurity knowledge, were significantly associated with a higher health responsibility and a higher perception of the benefits of the BSM (for six and four BSM, respectively). The farmers with a higher biosecurity knowledge and a higher education level also had a significantly lower perception of the barriers. This would likely lead to a higher implementation of these BSM negatively influenced by barriers: controlling vehicle access, using feeding-dedicated equipment and ensuring proper hygiene and clothing for visitors.

442 Some demographic variables such as organic farms and herd size did not significantly influence 443 the psychological variables but seemed to influence the three most prominent HBM constructs: 444 the health responsibility, the benefits and the barriers. Organic farmers (N=119) showed a 445 significantly lower responsibility towards health and a lower perception of the benefits of four 446 BSM: existence of a disease control programme, preventing animal access to surface water, 447 existence of isolated maternity pens, and individual housing for calves. Therefore, their 448 implementation level was likely to be lower compared to non-organic farmers. As the number 449 of treatments per year and per animal is limited under organic farming systems, it would be 450 expected that effective BSM would be considered essential to reduce the occurrence of 451 infectious diseases on these farms. These finding are therefore somewhat surprising.

In the univariable model, country seemed to influence several perceptions significantly. Nevertheless, due to high associations with the psychological variables, country was not considered in the multivariable analysis whenever the psychological variables were included. The possible direct influence of country on the intention to implement or implementation of BSM was therefore not systematically assessed. In addition due to a possible country bias due to the different ways of diffusion of the survey invitations, no conclusions can be taken as to the eventual causal link between the country and the perceptions.

This study, performed across a number of EU Member States, is one of the first to analyse the influence of different perceptions on the overall implementation of BSM with the exception of work performed in Great Britain (Richens et al., 2018). Other previous studies have focused on 462 a specific behaviour. To our knowledge, the current study is also the first study to include the
463 "health responsibility" construct and some psychological factors as possible explanatory
464 variables.

This study confirms the findings of previous studies on behaviour change regarding the importance of farmers' perception of the efficacy or effectiveness of a BSM (Jansen, van Schaik, Renes, & Lam, 2010; Moya et al., 2019; Richens et al., 2018; Vande Velde et al., 2015b) and the communication strategies focusing on the BSM effectiveness to promote behaviour change (Jansen & Lam, 2012).

470 The influence of farmers' perceptions of their responsibility towards animal, public and 471 environmental health on whether BSM are implemented is an interesting finding in this study, 472 which is worth investigating further. The role of "the beliefs regarding the existence of a 473 problem" was previously identified as an important element in terms of behaviour change 474 (Jansen & Lam, 2012). The possible influence of the motivation behind the cattle farmers' 475 behaviours is also considered by some policy makers such as the Department for 476 Environmental, Food and Rural Affairs in the UK (DEFRA, 2008): they defined five categories 477 of farmers, influenced by different communication strategies, in order to better promote 478 behaviour changes. These five categories were: "custodians" (strongly influenced by their 479 commitment to protect the countryside; they see themselves as guardians of a farming heritage), 480 "lifestyle choice" (eager to achieve a high-standard of farming with their main source of income 481 being non-farming activities); "pragmatists" (they love farming and want to make enough 482 money to achieve a satisfactory standard of living), "modern family businesses" (focused on 483 profit and running an efficient business) and "challenged enterprises" (facing major challenges 484 and anxious about farm survival). It might be interesting to further explore how the farmers' 485 sense of responsibility towards animal, public and environment health could be used as a trigger 486 for behaviour change in a similar way to DEFRA.

487 A commonly held belief is that behaviour might be highly influenced by risk perception in 488 terms of disease susceptibility and severity and many communication strategies therefore rely 489 on the fear of a given disease. Nevertheless, this mental construct did not seem to influence our 490 results, as the risk perception, either in terms of susceptibility or severity, did not significantly 491 influence the implementation of BSM, with the exception of housing calves individually. 492 The results found in the current study confirms a number of previous findings in the veterinary 493 literature, demonstrating no influence of the risk perception (perceived susceptibility and 494 severity) on the level of intention to implement a specific BSM (Vande Velde et al., 2015b). 495 Nevertheless, it contradicts other studies (Garforth, 2015; Moya et al., 2019) in which any 496 public health problems or disease outbreaks on the farm or neighbouring farms were reported 497 as triggers to behaviour change. The possible influence of such events was taken into account 498 in the present study. Indeed, questions relating to individual experience of disease outbreaks on 499 the farm or neighbouring farms were included, in order to assess the perception of risk severity. 500 Nevertheless, this construct did not significantly influence the level of intention to apply BSM. 501 Based on these contradictory findings, future investigations into the influence of these personal 502 experiences as a unique construct with direct effect on BSM implementation should be 503 considered. This element has been included in some HBM and referred to as "Cues to action" 504 (I M Rosenstock, Strecher, & Becker, 1988).

505

506 CONCLUSION

507 It is now widely recognised that communication strategies should address different mental 508 constructs in order to improve the adoption of BSM by farmers. Nevertheless, the relative 509 importance of these constructs on actual behaviour change has not been properly investigated 510 in veterinary medicine so far. This study confirms previous findings, which highlight the 511 importance of basing communication strategies on evidence-based benefits whilst 512 acknowledging the low influence of risk perception in the decision-making process. It also 513 highlights a new psychological element, as health responsibility and attitude seem to highly 514 influence the perception of the BSM benefits. Future studies should focus on determining the 515 efficiency of the different communication strategies that have been targeted using the findings 516 from this current study.

517

518 ACKNOWLEDGMENTS

519 The authors would like to thank the colleagues who contributed to the development, 520 implementation and dissemination of the survey, as well as all the farmers who dedicated their 521 time to complete the questionnaire. We would also like to thank the two regional animal health associations in Belgium (ARSIA and DGZ) who agreed to help distribute the survey via their
mailing lists. This study was supported by the Belgian Federal Public Service of Health, Food
Chain Safety and Environment, as part of the BOBIOSEC research project RT15/4
implemented by Liège and Ghent Universities. The authors declare no conflict of interest.

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528 DATA AVAILABILITY STATEMENT

529 The data that support the findings of this study are available from the corresponding author530 upon reasonable request.

531

532 ETHICAL APPROVAL

533 Due to the nature of the study and the low risk exposure of the participants, formal approval

- from an Ethics Committee was not a requirement at the time of the study.
- 535

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644	
645	Figure captions
646	
647	Figure 1. Comparison of the Theory of Planned Behaviour and the Health Belief Model
648	
649	Figure 2. Health Belief Model applied in the study
650	Legend: * Calculated score: variable measured by a composite score in the multivariable
651	regression model (see Appendix S1).
652	
653	Figure 3. Farmers' perception of disease susceptibility and severity and of the possible
654	barriers to their control
655	Legend: Boxplot showing the different quartiles representing the three different diseases (three
656	shades of grey) and an overall score (black), with the horizontal line representing the median
657	"Overall": Perceived susceptibility of the three diseases (average of the three disease specific
658	susceptibility scores)
659	
660	Figure 4. Perceived benefits of the different biosecurity measures on the prevention and
661	control of: [A] Boying viral diarrhoop [B] Brugollogic [C] Young colf diarrhoop [D] the
001	control of. [A] bovine viral diarribea, [b] brucenosis, [C] roung can diarribea, [b] the

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Legend: M1- Control programmes, M2 - Closed herd; M3 - All-in all-out system; M4- Test at
purchase; M5- Proper quarantine; M6- Control of vehicle access; M7- Isolation of sick animals;
M8- No access to surface water; M9- Cemented area and cover for carcasses; M10- Feedingdedicated equipment; M11- Proper working order (from young to old and from healthy to sick
animals); M12- Isolated maternity boxes or pen; M13- Regular screening of animal health
status; M14- Individual boxes for calves; M15- Control of visitors' hygiene and clothing; M16Litter and barn hygiene; M17- Double fences in pastures.

- 670
- Figure 5. Intention to implement or implementation of biosecurity measures by the farmers

Figure 6. Significant associations identified from the different final models obtained by thebackward stepwise regression analysis

675 Legend:

[A] Significant associations between the demographic and psychological variables and the
 Health Belief model constructs: ^a Significant association compared to Belgium; ^b Significant
 association compared to Beef herds.

[B] Significant associations between the demographic and psychological variables and the
benefits of the biosecurity measures: ^a Significant association compared to Belgium; ^b
Significant association compared to Beef herds. The gender variable as well as the biosecurity
measures without any association were removed from the figure (M2, M3, M6, M10 and M17).

- [C] Significant associations between the Health Belief model constructs and the intention toimplement or the implementation of biosecurity measures
- 685
- 686

687 Table captions

- 688 **Table 1.** List and typology of the biosecurity measures addressed in the questionnaire
- 689 Legend: * Number of infectious diseases which can be partially controlled by this BSM among
- 690 the three diseases proposed.

691

692 **Table 2.** List of statements used to assess the perceived responsibility towards animal, public693 and environmental health

694

- 695 **Table 3.** Farmers and farms profile of the respondents
- 696 Legend: ^a Higher education refers to short or long term tertiary study; ^b Examples of "other"
- 697 categories: veal calves and fighting bulls.

698