

1 **In-situ Icing and water condensation observation on different topographical**
2 **surfaces**

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10 **Abstract**

11 Icephobicity is intrinsically affected by rough asperities and the surface voids provide
12 anchoring points for the ice. The anchor of ice is likely to form on the surface under
13 high humidity conditions. In-situ water condensation and icing observation were
14 conducted to understand water condensation and ice retracting patterns in controlled
15 humidity, pressure and temperature conditions. It was observed that water micro-
16 condensation and icing occurred on rougher surfaces and the water droplets
17 condensed along the surface cracks of the superhydrophobic polydimethylsiloxane
18 (PDMS) based nanocomposite coatings. Further analysis revealed that ice anchoring
19 was present on both aluminum and superhydrophobic coating surface, but it was more
20 severe and intensified on the as-received aluminum substrates. No water
21 condensation or subsequent icing was found on smooth PDMS hydrophobic surfaces
22 due to the incapacity of the smooth surfaces to anchor water drops. It is the first time
23 to validate ice anchoring over retracting ice on different wettability surfaces from in-
24 situ icing observation. Ice adhesion strengths were also measured on the studied

25 surfaces and the results indicated a strong linkage between centrifugal shearing of ice
26 and anchoring mechanism due to surface rough voids, and there was no clear
27 relevancy between ice adhesion strength and the surface wettability or hydrophobicity.

28 Keywords: icephobicity, superhydrophobicity, ice anchoring, in-situ icing

29 **1. Introduction**

30 For decades, the idea of deploying superhydrophobic surfaces for icephobic
31 performance was studied and widely experimented [1-4]. Superhydrophobic surfaces
32 practically suspend the water droplets in Cassie-Baxter wettability status which
33 minimizes the surface contact by suspending the water droplets on the air pockets or
34 void valleys of the surface [5] and reduces the possibility of anchoring of water on the
35 surface asperities [6]. The principle behind the use of superhydrophobic surfaces for
36 icephobic applications is to freeze the water droplets in the Cassie-Baxter stage,
37 sometimes also referred as 'Cassie ice', and form the weak bonding of ice on the
38 surfaces [7-9]. Intrinsically, the surface can be functionalized into hydrophobic by
39 chemical modifications with low surface energies and it was reported that receding
40 water contact angle of $\sim 120^\circ$ can be achieved via chemical modifications made by
41 Carbon fluoro and/or silane-based chemicals [10, 11]. Superhydrophobic surface is
42 mainly achieved by the combined effects of low surface energy modification and rough
43 asperities [12-15]. However, in some occasions, the introduction of rougher asperities
44 on the surface (which renders the surface superhydrophobicity) [16, 17] leads to higher
45 ice adhesion strength and require higher stress to break the ice on the surface with
46 complex topographical features [4, 18]. Zou et al [19] reported that water contact angle
47 (WCA) changed from 83° on aluminum surface to 37° after sandblasting. However, a
48 further modification of these aluminum surfaces with fluorinated-carbon molecules

49 resulted in water contact angles of 117° and 145° for the untreated Al and sandblasted
50 Al samples respectively. Ultra smooth surfaces (<10 nm) have also attracted some
51 attention in the anti-icing study. Jung et al [1] reported 150-times freezing delays on
52 surface having nano-scale roughness. It is suggested [20] that roughness near to ice
53 nuclei scale is particularly favorable for the anti-icing performance. Mishchenko et al
54 used highly ordered nano-sized surfaces ($R_a \approx 0.17$ nm) and demonstrated the delay
55 of ice formation for remarkable 25 hours [21].

56 Liu et al [22] used fluoroalkyl silane lubricated nano silicon oxide deposited surfaces
57 and demonstrated water contact angles of 163° . In terms of icephobic performance,
58 they reported water droplet icing delay (under static conditions) of 289 seconds in
59 comparison to the reference substrate which formed ice in just 29 seconds. They
60 further claimed a twofold decrease in ice adhesion strength as a comparison to pristine
61 substrates. Hancer et al [23] combined polysilicon (silsesquioxane) matrix with 12 nm
62 SiO_2 nanoparticles and the nanoparticles were rendered hydrophobic by chemical
63 modification using a self-assembled monolayer of perfluorodecyltrichlorosilane. Near
64 theoretical superhydrophobicity of 178° was reported at 3 wt% of nanoparticles to
65 polymer matrix and droplet bouncing and sliding behavior at -20°C ambient
66 temperatures was demonstrated. Cao et al [24] synthesized superhydrophobic
67 polymer nanocomposite using acrylic polymer by free radical polymerization and
68 reported no indication of ice accretion on superhydrophobic surfaces was observed at
69 sub-zero temperatures.

70 However, there are certain limitations in use of superhydrophobic surfaces for
71 icephobic performance and this hypothesis is valid until micro frost formation occurs,
72 for example, high-speed impingement of water droplets would wet the rough asperities
73 of surface and form micro condensation of water [6]. Under sub-zero temperature, the

74 micro condensation forms a thin layer of ice which effectively nullifies the
75 superhydrophobicity of surfaces [25, 26]. Murphy et al. [27] studied dynamic defrosting
76 on superhydrophobic surface and found that frost did form over both hydrophobic and
77 superhydrophobic surfaces. However during thermal de-icing, the melted water
78 droplets over the superhydrophobic surface was more mobile as compared to those
79 on the hydrophobic smooth polymers, as the superhydrophobicity of the surface was
80 restored after the removal of frost. It is reported that superhydrophobic surfaces have
81 promising icephobic performance down to -20 °C to -30 °C [21, 24, 28]. But under high
82 humidity conditions, the icephobicity of superhydrophobic surfaces is deteriorated due
83 to capillary action of surface asperities and micro condensation which leads to ice
84 build-up and/or the changes of the wetting model to Wenzel configuration from Cassie-
85 Baxter configuration [29, 30].

86 In the present work, in light of these experimental results and assumptions, in-situ icing
87 observations will be applied to acquire direct evidence of ice anchoring over rough
88 asperities of superhydrophobic/ aluminum under high humidity conditions. The
89 assumptions of a water anchoring mechanism over rougher surfaces will be validated
90 regardless of the surface wetting conditions via in-situ water condensation and icing
91 observations. A combination of a high humidity, sub-zero temperature, and low
92 pressure environment are ideal conditions for icephobicity tests in which the extreme
93 environmental conditions would be simulated for the development of passive ice
94 protection system for aviation applications.

95 **2. Experimental**

96 Five different material/coating types were used in this study: Pristine as received
97 aluminum substrates (AR-Al), smoothed aluminum substrates (S-Al), sandblasted

98 aluminum substrates (SB-Al), polydimethylsiloxane (PDMS) coating on aluminum
99 substrates and PDMS silicon oxide nanocomposite coatings on aluminum substrates
100 (Nano-SiO₂/PDMS).

101 **2.1 Substrates and raw materials**

102 Two part PDMS polymer R-2180 was procured from NuSil technology LLC and
103 hydrophobic functionalized silicon oxide nanoparticles were purchased from Evonik
104 AEROSIL. Aluminum 2024 (Al2024-T4) plates of size 50 mm X 20 mm X 3 mm, were
105 used as observation surfaces and coating substrates.

106 The AR-Al samples were washed thrice with ethanol and deionized water and dried
107 using compressed air. The S-Al samples were smoothed using grinding and
108 polishing with a series of steps employing sandpapers having grits sizes of 220, 320,
109 400 and 600, 1 μm polishing cloths, and 0.25 μm (chemically induced) polishing cloths
110 using Metprep colloidal silica suspension particles, respectively. The SB-Al samples
111 were roughened using Guyson F1200 sandblaster system using Guyson 180-220 μm
112 alumina particles. All of the samples were washed and dried before use.

113 **2.2 Preparation of coatings**

114 For PDMS coatings, 1 gram of PDMS Part A and Part B (1:1) were mixed in 3 ml
115 xylene using magnetic stirring for 3 hours. For Nano-SiO₂/PDMS coatings, 1 gram of
116 PDMS Part A and Part B were mixed (80% wt) in 1 ml xylene using magnetic stirring
117 for 3 hours and 0.5 gram nano-SiO₂ were dispersed (20% wt) in 2.5 ml xylene using
118 ultrasonic mixing for one hour. Both the solutions were then mixed using magnetic
119 stirring for one hour.

120 The coatings were applied on SB-Al samples using Chemat Technology spin-coater
121 kW-4A at a rotation speed 1500 RPM for one minute and were dried and cured at 60
122 °C for 60 minutes, and then 150 °C for 150 minutes.

123 **2.3 Hydrophobicity and icephobicity tests**

124 Water contact angles (WCAs) were measured using the sessile drop technique on
125 FTÅ200 goniometer and the volume of one water drop was kept constant at 5 µl.
126 Dynamic WCAs (advancing and receding angles) were measured using dispense dip
127 method. 5 µl drop was suspended over the surface and the dispense dip was
128 immersed in the droplet. Advancing and receding WCAs were measured when the
129 base length of the droplets were increasing or decreasing constantly. Flow rate of the
130 water was kept constant at 1 µl/s and dynamic WCAs values were measured as the
131 average of 5 consecutive values. Contact angles hysteresis (CAH) was calculated
132 from the difference of advancing WCAs to receding WCAs. The tests were conducted
133 at room temperature and humidity conditions.

134 Ice adhesion strength tests were conducted using the centrifugal method in a 500 mm
135 diameter drum via MOOG G403-2053A servo motor and the equipment was kept in a
136 Design Environmental ALPHA 1550-40H (environmental chamber) to mimic the icing
137 conditions. A controlled volume of de-ionized water was filled in silicone molds, the
138 molds were then flipped upside down and kept against gravity for overnight freezing
139 at -10 °C. The samples were then mounted on a carbon fiber reinforced arm via screws
140 and spun at a rotation speed up to 4500 rad/min at 30 rev/min/sec acceleration (3.14
141 radian/second²). The ice adhesion test was conducted at a temperature of -10 °C.

142 Ice adhesion strength of ice can be calculated by,

$$143 \quad F = mr\omega^2 \quad (1)$$

144 Where ω is the rotational speed (rad/s) at removal, r is the rotor length and m is the
145 mass of ice. Shear removal stress can be calculated by,

$$146 \quad \tau_{ice} = F/A \quad (2)$$

147 Where A is the substrate/ice contact area and F is the centrifugal shearing force.

148 **2.4 In-situ observation and surface characterization**

149 The microstructural analysis and In-situ icing and condensation observations were
150 carried out using a FEI Quanta650 eSEM system. The system is capable of generating
151 micro-level HD surface images, under controlled humidity and temperature by a Peltier
152 cooling stage. The chamber humidity (above 90% RH) was raised to wet the coating
153 surface and in-situ water condensation was studied. Secondly, the condensed water
154 on top of the coating surface was frozen at a temperature of -5 °C and high humidity
155 (85-95% RH) conditions. The retracting pattern of the formed grown ice was analyzed.

156 The surface roughness was evaluated out using a Zeta-20 non-contact optical
157 profiler. 100x magnification was used for surface roughness measurements and 5x
158 magnification was used for 3D surface profiling images. Surface roughness values in
159 this study were based on the average of several measurements.

160 **3. Results and discussion**

161 **3.1 Surface morphology, hydrophobicity, and ice adhesion strength**

162 AR-Al substrates have relatively high elastic modulus (72.4 GPa [31]) as compared
163 with PDMS (2.4 MPa [32]), and have surface topographic pattern ($\sim R_a$ 0.9 μm) as
164 shown in Figure 1a and 1c. AR-Al substrates surface has a considerable
165 heterogeneous solid surface (rougher asperities) [33, 34], which may acts as icing
166 seeds by reducing activation energy for ice nucleation [35].

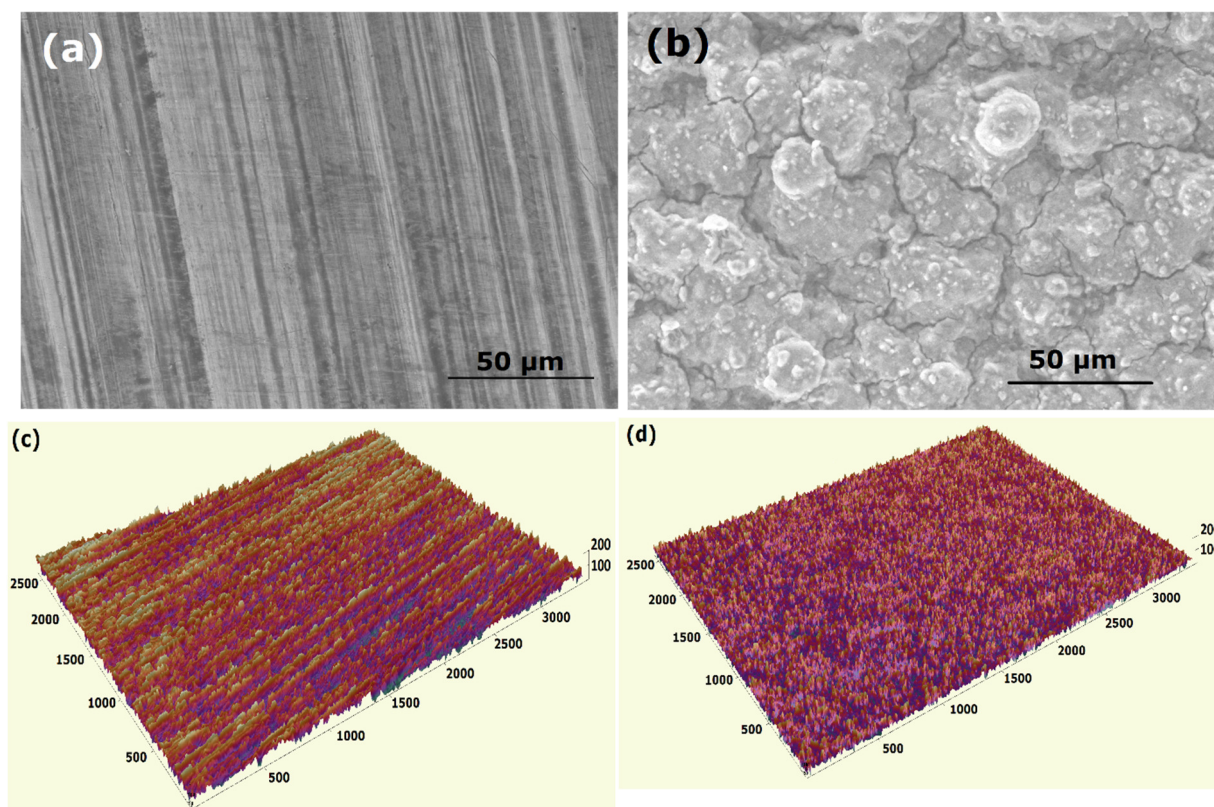


Figure 1 (a) SEM image of AR substrates, (b) SEM image PDMS-Nano SiO₂ coatings, and (c) 3D surface profile of AR substrates, (d) 3D surface profile of PDMS-Nano SiO₂ coatings

167 The Nano-SiO₂/PDMS coatings on aluminum substrates behaved in a
168 superhydrophobic manner with a rough surface, and had a medium elastic modules
169 of approximately 9.4 MPa [36]. These coatings were exceptionally rough surfaces ($\sim R_a$
170 1.9 μm) as shown in Figure 1b and 1d and rough voids present on the coating surface
171 were favorable to the superhydrophobic performance [22] but the cracks were
172 prominent and the cracks might be induced because of incorporation of silicon oxide

173 nanoparticles. It is hypothesized that reduction in ice adhesion strength is possible
174 with high levels of surface roughness as it increases the number of air pockets
175 presented between the inter-facial ice-substrate contacts, thus reducing the contact
176 area of ice/surface interface [5, 7, 37]. However, surface roughness also increases the
177 number of possible anchoring sites, which may lead to higher adhesion strengths in
178 some instance [33] or increasing the amount of energy required to break the adhesion
179 among the highly unordered rough voids [4, 25].

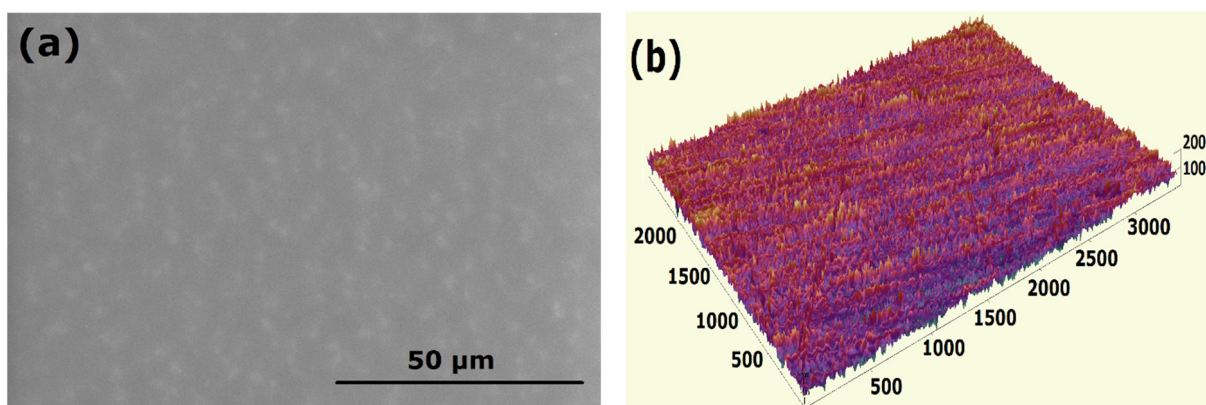


Figure 2: (a) microstructural image and (b) 3D surface profile of PDMS coatings
180 The selection of sample surfaces was entirely made to have in-situ icing and
181 observations on surfaces having different wettability and surface texturing. Static and
182 dynamic water contact angles and ice adhesion strength measured on the examined
183 surfaces are summarized in Table 1. AR substrates behaved in a hydrophilic manner
184 and demonstrated high CAH and ice adhesion strength. Smoother PDMS coatings
185 ($\sim R_a$ 0.12 μm) is indicated in Figure 2a and 2b and low CAH and ice adhesion strength
186 were measured. Obtained results of CAH and ice adhesion strength are in good
187 agreement with the observation of Zaid et al [38] that low ice adhesion strength can
188 be achieved when the CAH value is around 25° but contradictory to several studies [8,
189 39-42], which links low CAH to lower ice adhesion strength. The present results
190 indicated that the lowest ice adhesion strength on PDMS coatings, whereas the lowest

191 CAH, was found on Nano-SiO₂/PDMS coatings. Nano-SiO₂/PDMS coatings behaved
192 in a superhydrophobic manner and this could be primarily attributed to the rough
193 morphology and low surface energy. It is widely accepted that superhydrophobicity
194 could only be realized by inducing certain surface roughness either by nanoparticles
195 [23, 43] or controlled surface roughness [21]. For Nano-SiO₂/PDMS coatings, as the
196 chemical composition of PDMS and the nanoparticles used are hydrophobic and the
197 combined effect renders the surface superhydrophobic [22].

198 Ice adhesion strength results on AR substrates and Nano-SiO₂/PDMS coatings gives
199 us the idea that the surface energies and elastic modulus play a prominent role on
200 icephobic performance as both were not smooth samples but varied in surface
201 energies and elastic modulus. It is suggested that low surface energy had contributed
202 to low surface wettability in various studies [44-46]. It can also be assumed that PDMS
203 based coatings were low modulus elastic in nature and this elasticity could have
204 induced interfacial cavitation mechanism. Thus, the smoother topography of PDMS
205 based coating could have played a deciding factor in icephobicity [18, 47]. It is believed
206 that an ultra-smooth surface with a layer of low surface energy liquid at the interface
207 would nullify the effect of surface asperities and impart icephobicity [47].
208 Stamatopoulos et al. [48] demonstrated that a self-impregnating slippery surface is
209 able to delay the ice formation by 2-3 folds and reported reduction in ice coverage by
210 10-15 times as compared to superhydrophobic and smooth surfaces. However, the
211 durability and liquid retention are the major concerns in the liquid containing slippery
212 coatings [49].

213

214 Table 1: Wettability, icephobicity, and surface roughness results of experimented
 215 materials

Coating Types	Static WCAs (°)	Advancing WCAs(°)	Receding WCAs (°)	CAH (°)	Ice adhesion strength (KPa)	Roughness, R _a (μm)
AR substrates	78	95	32	63	145.7	0.9
PDMS coatings	109	118	95	23	3.1	0.12
Nano-SiO ₂ /PDMS coatings	152	142	141	1	42	1.9

216

217 3.2 In-situ water condensation

218 The sample substrates and coatings were exposed to high humidity levels (90-100%
 219 RH) and temperatures were dropped to 1 ~ 4 °C range to carry out in situ water
 220 condensation in a low vacuum chamber. The top and side views of micro-level water
 221 condensation formed on AR-Al samples during in situ water condensation are shown
 222 in Figure 3a and 3b. It was observed that water condensed on AR substrates
 223 randomly. The condensed water droplets were uniformly distributed but the droplet
 224 size varied throughout the observed surface.

225 The pattern of in-situ water condensation on superhydrophobic Nano-SiO₂/PDMS
226 coatings was interesting and the surface morphology is shown in figure 4a. It is clear
227 in Figure 4b and 4c that micro-condensation on superhydrophobic surfaces is
228 imminent under high humidity conditions and three points could be drawn based on

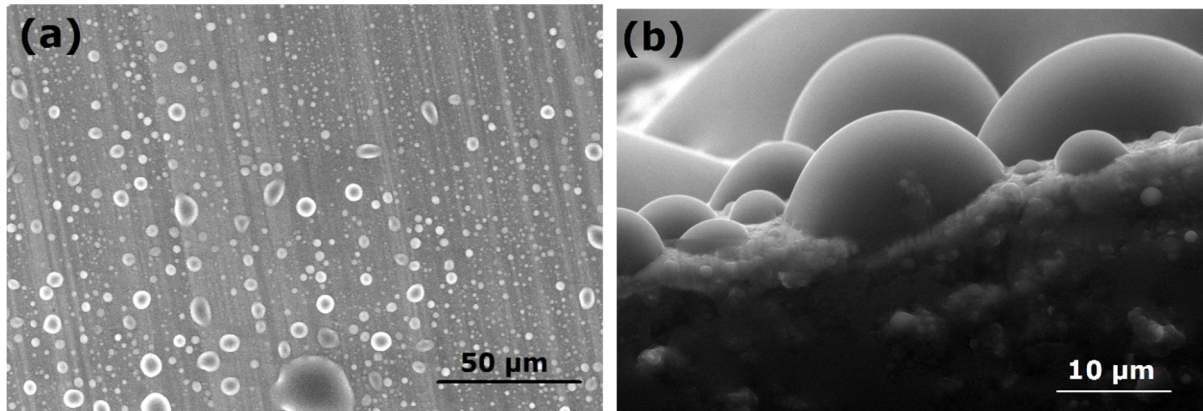


Figure 3: In-situ water condensation on AR substrates at 3 °C and 97% humidity

(a) Top view and (b) side view

229 the analysis. Firstly, the Nano-SiO₂/PDMS coatings had a rougher surface consisting
230 of the void valley along the surface and the in-situ water condensation results
231 confirmed that the water condensation commenced along the rough asperities of the
232 coatings. Thus, it can be assumed that the surface can only be entirely wetted when
233 the condensed droplets form a uniform water layer on the rough surfaces (Buoyancy),
234 i.e. wetting entire void valley and peaks. Ice grown from these condensed droplets will

235 require an extra shearing force (higher ice adhesion strength) as the formed ice will
236 be interlocked in the rough asperities.

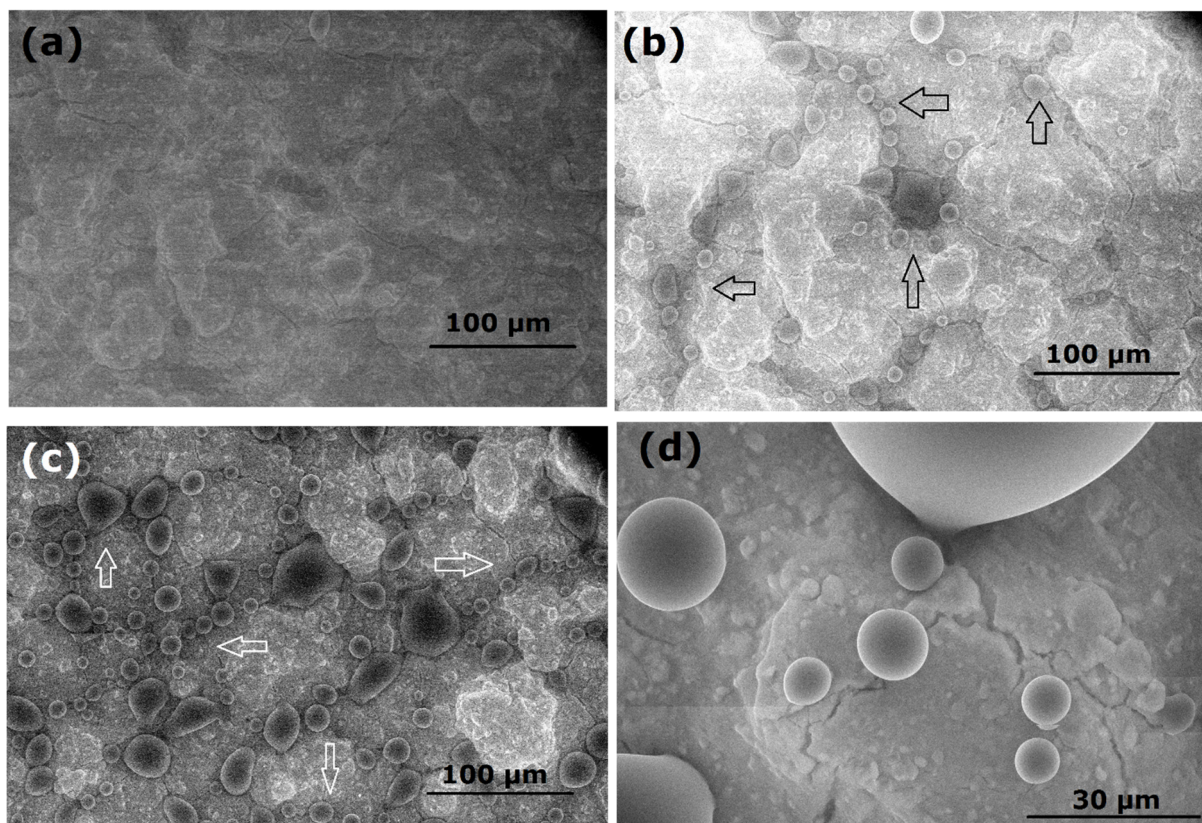


Figure 4: In-situ condensation on PDMS-Nano SiO₂ coatings at 4 °C and 98% humidity (a) at start and after (b) 10 seconds, (c) 20 seconds (side position) and (d) magnified image at 100% humidity conditions

237 Secondly, the incorporation of hydrophobic silicon oxide nanoparticles induced the
238 formation of cracks over the surface and the cracks are prominent over the entire
239 surface morphology. Examination of in-situ water condensation on these surfaces
240 reveals that initiation of micro condensation of water started in the cracks as indicated
241 (arrows) in Figure 4a and 4b. It can be assumed that cracks act as nucleation seeds
242 for micro-condensation of water [18] and the micro-condensation compromise/nullify
243 the superhydrophobic ability of the material after formation of a thin layer of ice [6, 47].
244 Thirdly, the Nano-SiO₂/PDMS coating surface demonstrated superhydrophobic

245 performance at the micro level as shown in Figure 4d and relatively large suspended
246 water droplets in a much more spherical shape in comparison to AR substrates as
247 shown in Figure 3a. The superhydrophobic ability of a material was also validated
248 under high humidity conditions and in low vacuum (pressure) conditions at the
249 microscale.

250 In-situ water condensation was also attempted on pristine hydrophobic PDMS
251 coatings but no condensation was formed on these coatings under 100% humidity
252 conditions. It is imperative to mention that the top view was adopted to validate in-situ
253 water condensation and a thin layer of water might have formed on PDMS coatings
254 which were not measured or observed due to equipment limitations and/or the
255 transparent nature of the polymer coating.

256 **3.3 In-situ Icing tests**

257 Further to the water condensation tests, the temperature was dropped to -5 °C, to
258 allow condensed water on the surface to be frozen for 30-60 minutes. To validate the
259 anchoring of ice over surfaces, frozen ice was forced to melt/retract by increasing the
260 temperature and ice retracting patterns were recorded.

261 Ice blocks formed on AR substrate are shown in Figure 5a. It is evident that ice was
262 formed indiscriminately. Figure 5b shows the grown ice over superhydrophobic
263 coatings based on Nano-SiO₂/PDMS mixture and ice growth was much more
264 consistent as compared with that on AR substrates. Layer by layer formation of ice
265 could be attributed to the high humidity conditions. Layers of water condensation were
266 frozen as they condense on the ice and coating/substrate surface.

267 Preliminary results on the anchoring of ice over rough asperities surface are shown in
268 Figure 6. The ice formation over the superhydrophobic surface is still observed in
269 Figure 6a, although the surface exhibited superhydrophobic behaviour at micro scale.
270 During the retracting process, which is intrinsically a shearing process [50, 51], some
271 ice stuck or anchored in the rough asperities at the highlighted area of the coating
272 surface as shown in Figure 6c-d and this is physically the first direct visual
273 representation of the ice anchoring process. Through the process, the entire grown
274 ice was retracted but the anchoring of ice over the surface was rigid and stubborn as

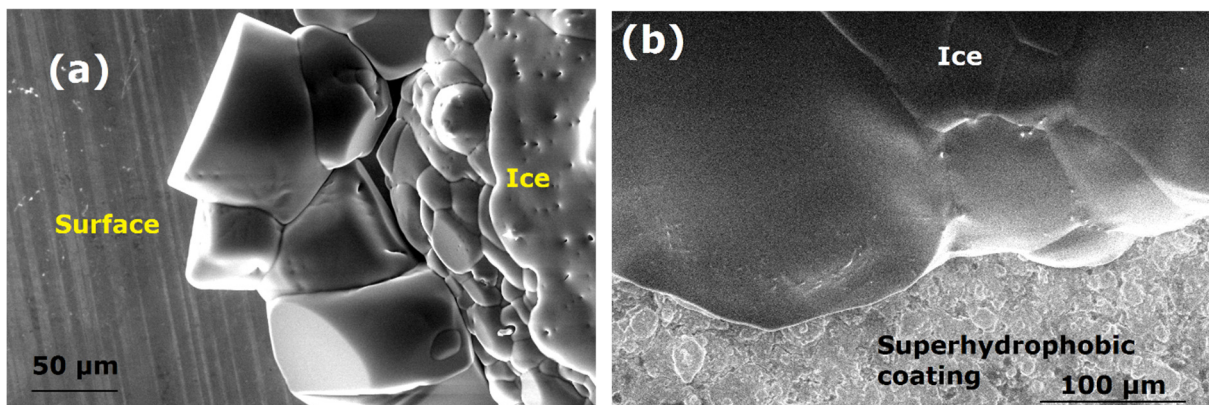


Figure 5: In-situ icing formation at 86% humidity and -5 °C on (a) AR substrates and
(b) superhydrophobic coating

275 shown in Figure 6e-f.

276 From the observed results, it indicates that the ice adhesion strength on these rough
277 surfaces will be significantly higher as compared to the surfaces with low surface
278 roughness and it may damage the material and/or alter the surface morphology if this
279 bulk ice is removed by means of shear force. This could be the main reason that
280 superhydrophobic surface loses superhydrophobicity/icephobicity as either the water
281 condensates in the void valleys under high humidity conditions and forms thin layer of
282 ice [6, 47] or the shearing of this anchored ice distorts the rougher asperities on the
283 surface and the superhydrophobicity could be mitigated as it is reliant on rough

284 asperities [23, 52]. In either case, the superhydrophobic performance of a coating
285 surface is nullified which has a domino effect on superhydrophobicity induced

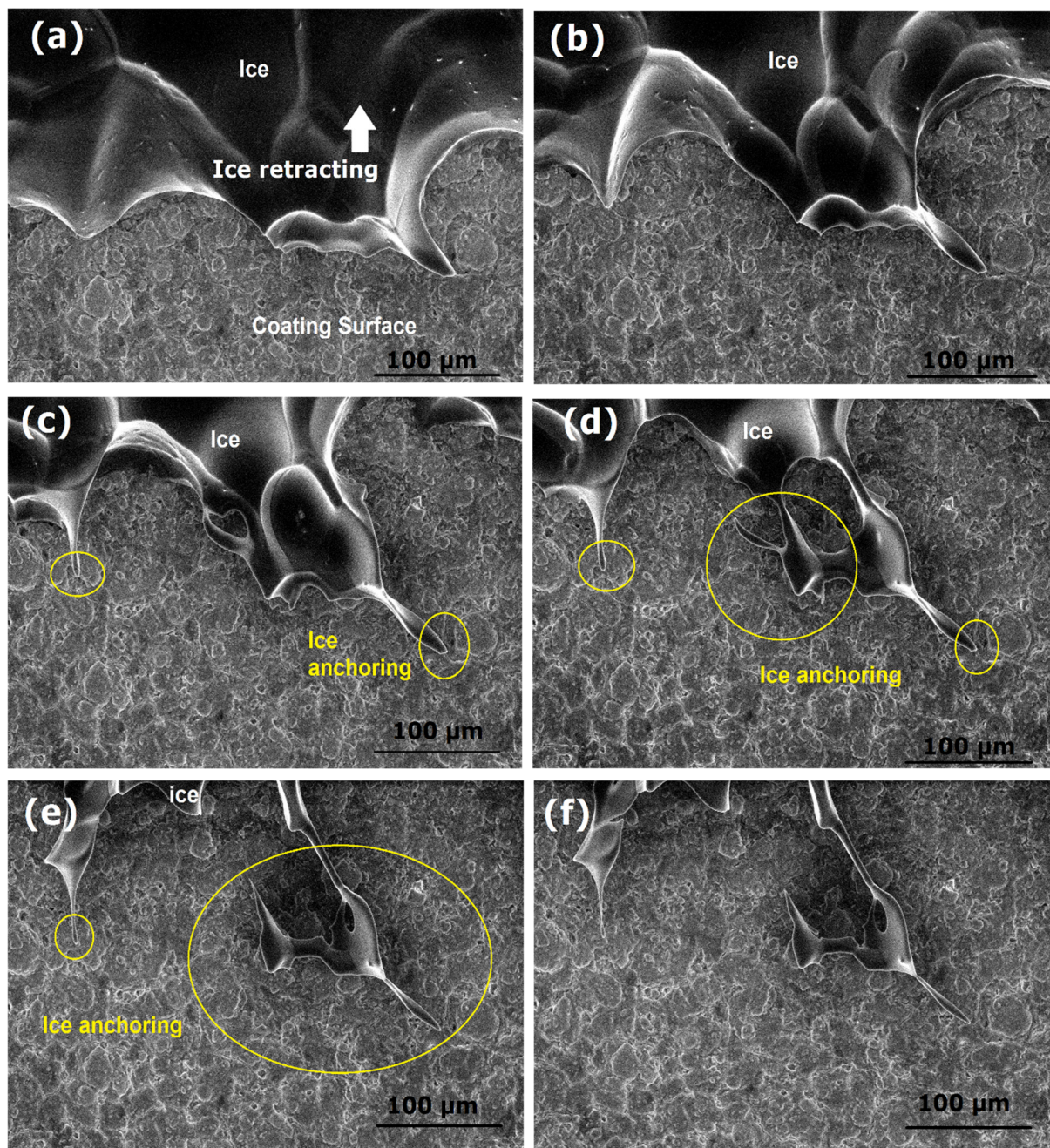


Figure 6: Ice anchoring mechanism on superhydrophobic surface after (a) 3, (b) 6, (c) 9, (d) 12, (e) 15 and (f) 18 seconds.

286 icephobic performance.

287 The in-situ icing observations were further extended on as-received hydrophilic
288 aluminum substrates as shown in Figure 7. Overview of the images indicates that the

289 ice formed on the superhydrophobic surface was visually more solidified as a
290 comparison to AR substrates. The ice retracting process (gradually increased in
291 temperatures up to -1°C and reduced humidity to around 80% RH) is shown in Figure
292 7a and 7b, the formed ice started to break apart in smaller ice segments. Further
293 analysis reveals that the ice anchoring on AR substrates was much more widespread
294 as compared to superhydrophobic surfaces. The intensity of ice anchoring on AR

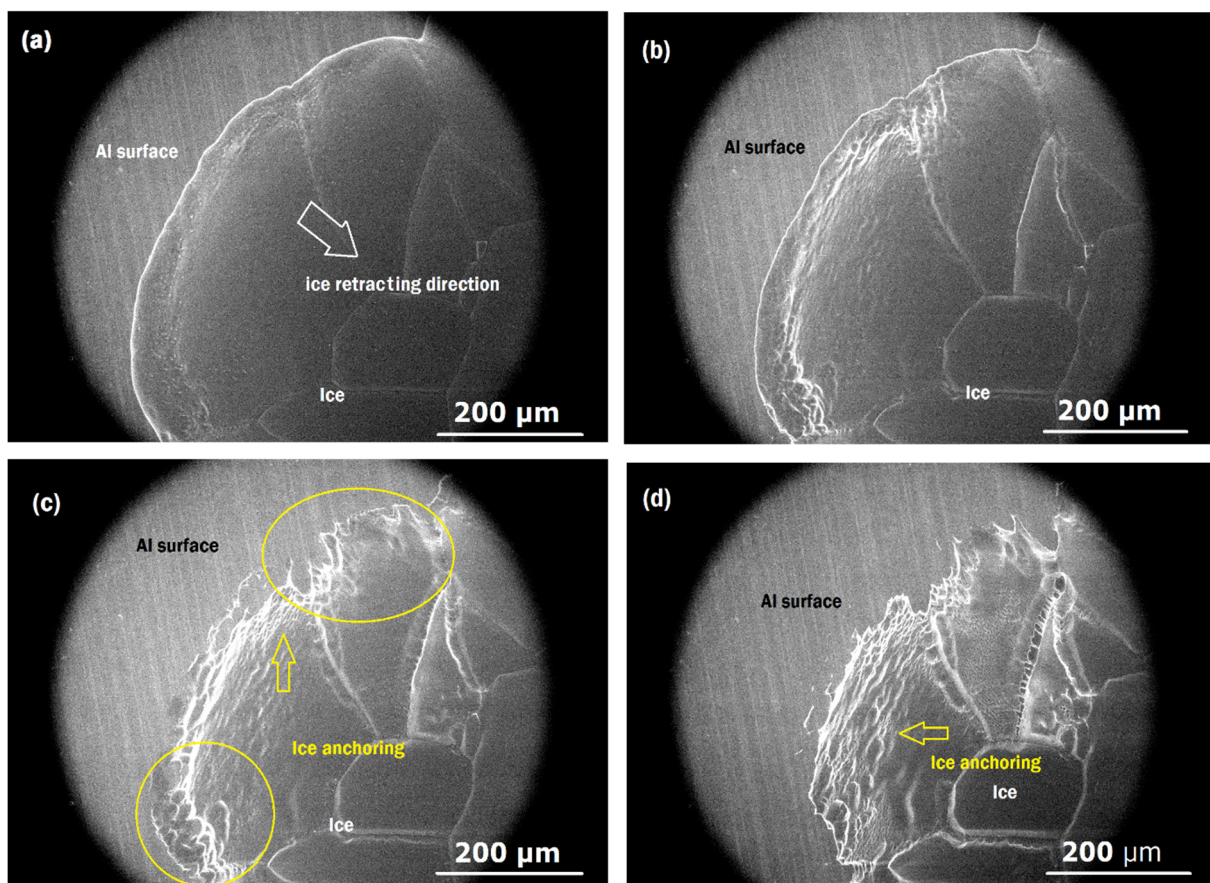


Figure 7: Ice anchoring mechanism on AR hydrophilic surfaces after (a) 3, (b) 6,
(c) 9, and (d) 12 seconds.

295 samples was abundant as shown in Figure 7c and 7d.

296 The evidence elaborated in this study is the first of direct validation of ice anchoring
297 over retracting ice on different wettability surfaces. Many static icing studies in rough
298 asperities were reported in the literature [33, 53, 54], and a few dynamic icing studies
299 were documented [21, 55] but no ice retracting study has been conducted at micro-

300 level scale. Many researchers had argued and attempted to validate the ice anchoring
301 over rough surfaces [56-58]. In-situ icing observation was also attempted on PDMS
302 coatings where no water condensations were observed, thus no ice can be formed
303 subsequently as the ice was formed from the condensed water on the surface.
304 Preliminary results of ice anchoring were in good agreement with measured ice
305 adhesion strength and indicated a strong linkage between centrifugal shearing of ice
306 and anchoring mechanism on the surface rough voids. AR substrates showed
307 enhanced ice anchoring and ice adhesion strength was much higher than
308 superhydrophobic surfaces as listed in Table 1.

309 **3.4 Roughness dependence on ice adhesion strength**

310 It is clear from initial results that rough surface asperities provide anchoring points for
311 the ice over the surface. To validate the ice anchoring mechanism and justify the
312 effects on ice adhesion strength, the AR Al samples were treated by (1) grinding and
313 polishing to smoothen ($\sim R_a$ 0.05 μm) and (2) sandblasting to roughen ($\sim R_a$ 1.2 μm).
314 Microstructural morphology of smoothened and roughened Al samples is shown in
315 Figure 8a and 8b respectively. The roughened substrates ($\sim R_a$ 1.2 μm) had disorder
316 surface features as compare to the smoothened samples as shown in Figure 8c and
317 8d.

318 The hydrophobicity and icephobicity values of these substrates are listed in Table 2.
319 The smoothened AR Al samples significantly reduced the ice adhesion strength on
320 aluminum substrates. It is understood that rough asperities play a deciding role and
321 ice anchoring over rougher surfaces is an influencing factor in icephobic studies.
322 Interestingly, the CAH of the as-received and the smoothened aluminum substrates
323 were similar but the ice adhesion strength varied by a factor of 11. The ice over

324 roughened substrates did not detach at the maximum rotation speed of centrifuge
325 equipment, i.e. 4500 rpm and the extrapolated results suggest that the formed ice had
326 an adhesion strength of above 170 KPa. The results are in good agreement with Zaid
327 et al studies [38] that different ice adhesion strength can be achieved with similar
328 CAHs. It is further assumed that wettability of substrates does not play a prominent
329 role in icephobicity studies and the substrates did not show any relevance in terms of
330 water contact angles, either static or dynamic water contact angles. Thus, it can be

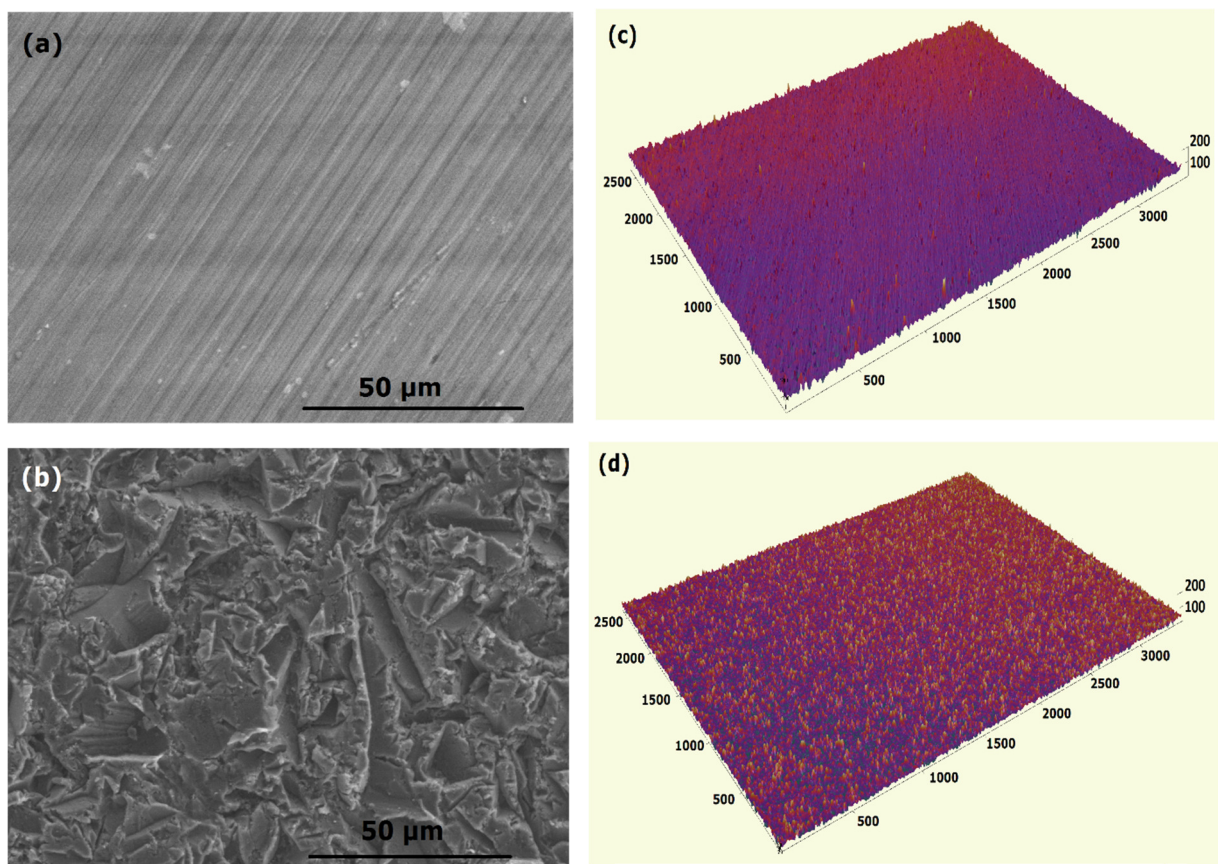


Figure 8: Microstructural images and 3D surface profile of (a) (c) smoothed
and (b) (d) roughened aluminium substrates

331 concluded that hydrophobicity is not entirely connected to icephobicity.

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333

334

335

336 Table 2: Wettability and icephobicity results of aluminum substrates.

Substrate types	Static WCAs (°)	Advancing WCAs(°)	Receding WCAs (°)	CAH (°)	Ice adhesion strength (KPa)	Roughness (μm)
As received	78	95	32	63	145.7	0.9
Roughen	54	56	14	42	>170 *	1.2
Smoothen	74	83	18	65	15.7	0.12

337 * Extrapolation was based on the centrifugal force generated at the maximum speed

338 of the centrifugal equipment while the detachment of ice did not occur.

339 4. Conclusions

340 The effect of rough asperities ice anchoring was long speculated in icephobicity
341 studies but no direct validation was reported. Ice anchoring mechanism on surface
342 voids was confirmed in the present work via in-situ icing observations and surface
343 roughness directly contributed to ice anchoring. The superhydrophobic surface can
344 only provide feasible ice protection before the formation of a thin layer of ice via micro-
345 condensation because the surface voids that induce superhydrophobicity also
346 provides possible anchoring points for the ice. In either case, the superhydrophobicity
347 induced icephobic performance of the coating surface is nullified. To validate this
348 hypothesis, five different types of surface/coatings were investigated via in-situ water
349 condensation and icing observations and the assumption was quantified using ice
350 adhesion strength and evaluated based on surface rough asperities.

351 In-situ water condensation observations on AR-Al substrates and Nano-SiO₂/PDMS
352 coatings revealed that water condensed on the surface indiscriminately, however
353 droplet size varied throughout the observed surface. On the Nano-SiO₂/PDMS

354 coatings, the water formed along rough asperities and surface cracks of the coatings
355 which imparted ice anchoring and acted as seeds for heterogeneous ice nucleation.
356 Ice grown from these condense droplets would require extra shearing force to remove
357 (higher ice adhesion strength) as it would be interlocked (anchored) in rough
358 asperities.

359 Strong visual evidence of the ice anchoring mechanism over surfaces has been
360 obtained from the in-situ icing observation. The intensity of ice anchoring was
361 dependent on surface asperities and the investigation revealed that the ice anchoring
362 on AR-Al substrates ($\sim R_a$ 0.9 μm) was much more widespread as compared to Nano-
363 SiO_2 /PDMS superhydrophobic coatings ($\sim R_a$ 1.9 μm). To further validate the ice
364 anchoring mechanism on different topographical surfaces, the AR-Al surface was
365 smoothed ($\sim R_a$ 0.05 μm) and roughened ($\sim R_a$ 1.2 μm) using polishing and
366 sandblasting, respectively. The CAH of the as-received and the smoothed
367 aluminum substrates were similar but the ice adhesion strength varies by a factor of
368 11. The ice on the roughened substrates did not detach at the maximum rotation speed
369 of centrifuge equipment (i.e. 4500 rpm) and the extrapolated results suggested that
370 the ice adhesion strength was higher than 170 KPa. Interestingly, the surface
371 roughness of Nano- SiO_2 /PDMS coating is higher than the roughened aluminum
372 surface, however, the ice adhesion strength of polymer nanocomposite coating was
373 lower. This signifies the combined effect of interfacial cavitation and
374 superhydrophobicity induced icephobic performance. Overall results confirm that
375 icephobicity is not entirely connected to hydrophobicity and ice anchoring occurs more
376 widely on the rougher surface which significantly affects the ice adhesion strength.

377

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387 **Declaration of interest statement**

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