

1 **DEVELOPMENT OF A 1000W ORGANIC RANKINE CYCLE MICRO-TURBINE-**  
2 **GENERATOR USING POLYMERIC STRUCTURAL MATERIALS AND ITS**  
3 **PERFORMANCE TEST WITH COMPRESSED AIR**

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10 **HIGHLIGHTS**

- 11 • Development of a 1,000 W micro-turbine for an organic Rankine cycle  
12 • Polymeric materials used as the functional parts of the micro-turbine  
13 • Achieved steady rotational speed of 32,000rpm and peak speed of 40,000rpm  
14 • Aerodynamic efficiency predicted to be up to 0.66

15 **ABSTRACT**

16 This paper presents the experimental advances on the implementation of structural polymeric materials in a  
17 micro-turbine-generator for an organic Rankine cycle (ORC) and through testing provides an insight of its  
18 performance. The aim is to create awareness of the huge techno-economical potential that polymers represent as  
19 metal replacement in ORC applications. A micro-turbine-generator is developed considering R245fa as the  
20 working fluid. The unit is built using polymeric components; these components include an impeller made from  
21 polyether-ether-ketone and a nozzle body made from polyethylene. A program for the simulation of the micro-  
22 turbine performance is developed, a series of tests are conducted with compressed air and the performance with  
23 R245fa is predicted. The impeller was experimentally demonstrated to be able to withstand a rotational speed of

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32,040rpm whereas the predicted results showed the aerodynamic efficiency and the aerodynamic power to be around 0.65 and 1,200W respectively. The future of polymeric materials in ORC looks promising though a long-term test using the refrigerant as the working fluid is still needed to verify material-fluid compatibility, lifespan and resistance to fatigue.

## 28 **KEYWORDS**

29 Organic Rankine Cycle; micro-turbine performance; waste heat recovery; CHP; polymer materials

## 30 **1. INTRODUCTION**

31 Waste heat recovery is a major line of action for improving the efficiency of energy systems where combined  
32 heat and power (CHP) or cogeneration can play an important role as it allows the demand of electrical and thermal  
33 energy to be satisfied in a very efficient way. Furthermore, distributed cogeneration downsized to a few-kilowatts  
34 helps for an agile response to the demand of such energy whilst reducing transmission and distribution losses. The  
35 need for distributed cogeneration in households becomes relevant when considering that the residential sector is  
36 a major contributor to the world energy consumption [1] and a significant fraction of the energy used in the sector  
37 is wasted. The cogeneration systems required by the residential sector are those of micro-capacity (under 3,000W)  
38 [2] but they are still under development with only a few products available in the market. Among the few  
39 technological options suitable for domestic cogeneration, organic Rankine cycle (ORC) has the advantages of  
40 being a mature, simple, scalable, and versatile technology. This last feature makes it possible to utilise waste heat  
41 or renewable energy as prime sources.

42 Micro-ORC has, however, the main shortcoming of high capital cost as exposed by Balcombe et.al. [3] and  
43 Nguyen et.al. [4], and therefore, acceptance of the technology has been limited to date. The expander is a major  
44 contributor to the problem of high capital cost, which can represent from 37% [4] to 65% [5] of the overall system  
45 cost. Moreover, the expander is also a critical component that impacts upon the reliability, operation and safety of  
46 the entire system [6]. Consequently, several investigations have been recently driven by the improvement of the  
47 expander as reported by Alshammari et.al. [7].

48 Although there is a wide variety of expanders, they can be classified into two categories [8, 9]: volumetric  
49 expanders (pistons, scrolls, screws and vanes) and turbo-expanders (turbines). In systems of micro-capacity,  
50 volumetric expanders are usually preferred [8-10] despite the short lifespan of wear parts and noisy operation,  
51 whereas micro-turbines are barely considered due to the perception on their cost and complexity. The fact that

52 micro-turbines are a mature and reliable technology has been overlooked. Micro-turbines have been adopted for  
53 various applications including automotive, aerospace, energy, dental and home appliances [11-15], where  
54 simplification strategies are successfully implemented to make turbines suitable for the intended purposes.  
55 Therefore, we consider that micro-turbines are not receiving enough attention as an option for the expander in  
56 downsized ORCs, which they deserve.

57 A review of the literature on the limited experimental work with micro-turbines used in ORC systems with a  
58 capacity of 3,500 W or lower has revealed that micro-turbines have proven to be an efficient and reliable option  
59 for ORC expanders despite their high speed which is their most criticised characteristic. Shao et.al. [16], for  
60 example, developed a mini ORC, containing a radial micro-turbine rated at 53,500rpm rotational speed and  
61 3,400W power output, and achieved an isentropic efficiency of 0.83 and 0.75 in their investigation [17]. Li et.al.  
62 [18] studied an ORC employing a high speed turboexpander and achieved a power output of about 700W with an  
63 isentropic efficiency of around 0.35. Pu et.al [19] tested an ORC containing a high-speed axial micro-turbine with  
64 a rated rotational speed of 18,000rpm and power of 2,000W, and achieved an isentropic efficiency of 0.59. Pei  
65 et.al. [20] studied a radial turbine rated at 60,000rpm rotational speed and 3,300W power, and achieved an  
66 isentropic efficiency of 0.65. Yagoub et.al. [21] tested a micro-turbo-generator rated at 1,500W power and  
67 60,000rpm rotational speed, and achieved an isentropic efficiency of 0.85. Yamamoto et.al. [22] studied an inflow  
68 radial micro-turbine, rated at 45,000rpm rotational speed and 150W power, and achieved an isentropic efficiency  
69 of around 0.5. Although the successful experimentation and the achieved efficiency in these previous  
70 investigations suggest that micro-turbines can be a good option for micro-ORC expanders, the problem of high  
71 capital cost remains to be addressed.

72 The low temperature characteristics of ORC systems indicate the use of polymers in ORC systems is feasible.  
73 Therefore, a line of action for reducing the cost of micro-turbines may be the replacement of metals by polymers  
74 in structural elements, such as blades, wheels, casings and bearings. This simplification may help to reduce  
75 production cost of turbine parts, which consequently would reduce the cost of the entire ORC system. A review  
76 of the state of the art revealed that only a few authors have conducted experimental research on the adoption of  
77 polymers in ORC, though the scientific community is gaining interest in this field. Novotny et.al. [23], for  
78 example, proposed the adoption of polymeric parts in expanders, aiming to achieve a reduction of the cost. They  
79 fabricated a few models of turbine parts through additive manufacturing (metal laser sintering, stereo-lithography  
80 and fused deposition of polymers) and concluded that the metal laser sintering was needed when operation

81 conditions of the prototype were demanding; however, for less-demanding conditions, such as low temperature  
82 and power, stereo-lithography and fused deposition of polymers could give satisfactory results for prototypes and  
83 small production. Zywicki et.al. [24], on the other hand, performed a comprehensive assessment on the  
84 implementation of polymers for the construction of ORC expanders. They suggested the upper practical limits of  
85 temperature and pressure of 423K and 1,000kPa respectively, whereas their assessment of the mechanical integrity  
86 and the chemical compatibility suggested that the materials they selected were suitable for fabricating some  
87 subassemblies of turbines, which can have a tremendous impact in the fast development of this units.

88 Previously, we presented the design and analysis of a polymeric impeller for an ORC radial turbine [25] and,  
89 in this paper, we present the experimental advances on the implementation of structural polymers in an expander,  
90 intended for a micro-scale ORC. The manuscript reports the development of a variable frequency micro-turbine-  
91 generator and the test of its nozzle body and impeller, both fabricated with polymers. The aim of this work is to  
92 create an awareness of the huge potential that polymers represent as metal replacements in low-temperature ORC  
93 micro-turbines. Additionally, this work intends to give an insight of the expected performance of the micro-  
94 turbine-generator set by using a combined numerical-experimental approach. A brief description of the methods  
95 is presented first, which includes design, analysis and experimental procedures. Then the results of the  
96 investigation are presented and discussed, followed by further discussion on operation capabilities, technical  
97 performance, contribution to enhancing the economy of the micro-turbine-generator and a foreseen adoption of  
98 this technology. Lastly, the conclusions of this work are given.

## 99 **2. METHODS**

100 The general development method is comprised of six consecutive stages, as shown in Fig. 1; the specific  
101 method of each stage is described in a dedicated sub-section. In the first stage, the cycle definition is performed,  
102 where the operation conditions are set and the resulting thermodynamic boundary conditions are fed to the second  
103 stage – the design of the turbine. In the second stage, a micro-turbine-generator is designed and analysed to fulfil  
104 the conditions of operation. In the third stage, a prototype of the micro-turbine-generator is fabricated using  
105 additive manufacturing as well as traditional machining. In the fourth stage, a program for the performance  
106 simulation of the micro-turbine is developed to assess the unit at design and off-design conditions. Three  
107 simulations of the performance are performed considering air, ideal-R245fa and real-R245fa as working fluids.  
108 The results of these three simulations are expected to be similar in a dimensionless interpretation, and should

109 therefore show a comparable performance using either air or refrigerant R245fa as the working fluid. In the fifth  
 110 stage, the prototype of the micro-turbine-generator is tested using compressed air as the working fluid and the  
 111 results are used to validate the simulation with air. In the sixth and final stage, the performance of the unit with  
 112 the refrigerant as the working fluid is predicted, based on the dimensional similarity principle, with the simulation  
 113 and the results of the test.



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Fig. 1. Process flow diagram of the general development method.

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### 2.1. Cycle definition

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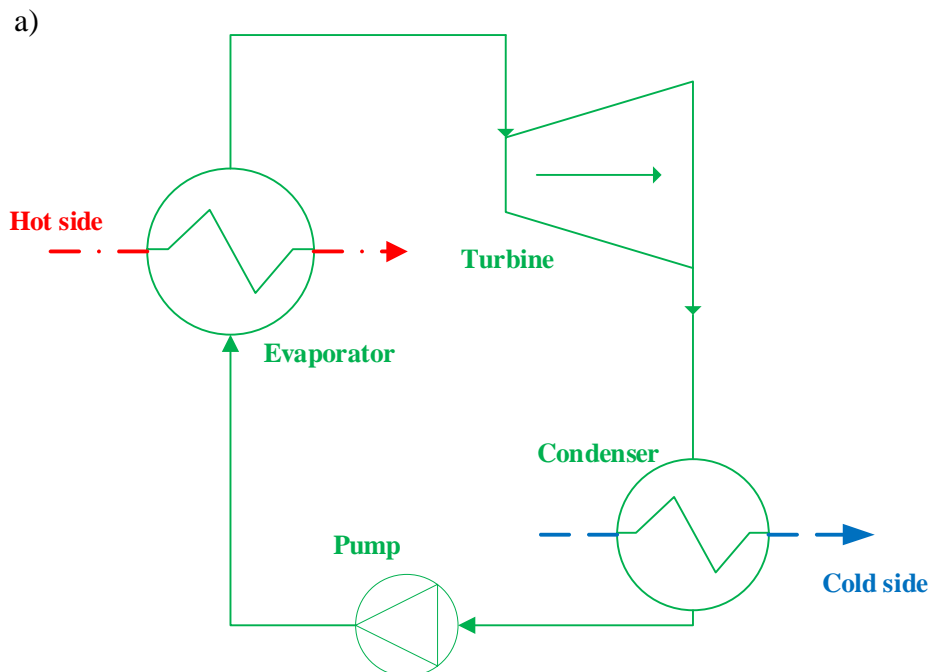
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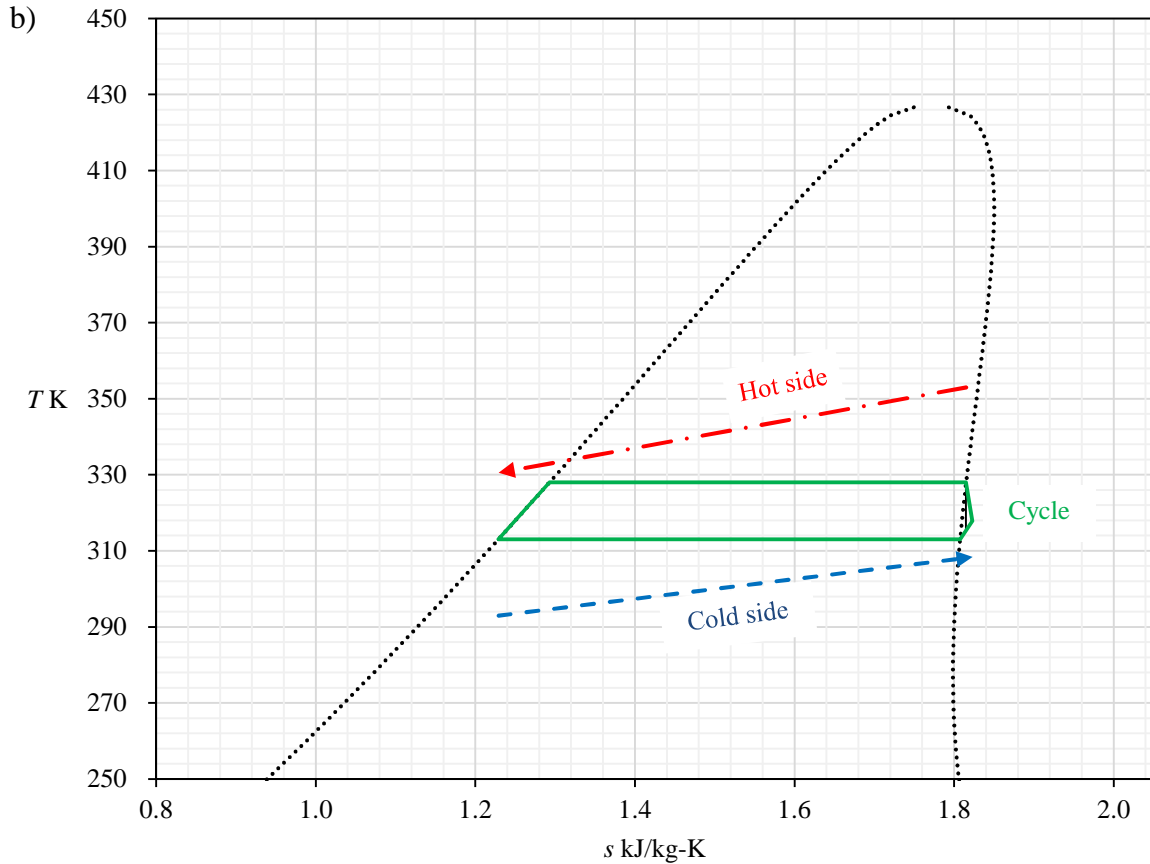
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During the definition of the cycle, the heat-mass balance is made, as reported by Hernandez-Carrillo et.al. [25]; a simple ORC illustrated in Fig. 2a) with real-R245fa as the working fluid is modelled using REFPROP [26]. The heat source and sink are assumed to be streams of hot water and cold air, with evaporator and condenser temperatures of  $T_{evap}=328K$  and  $T_{cond}=313K$  respectively, though they could be changed for others with minimal thermodynamic implications. The performance of the micro-turbine-generator is estimated as aerodynamic efficiency  $\eta_{aero}=0.7$  and gross power of  $\dot{W}=1,500W$ . The temperature-entropy diagram of the defined ORC is shown in Fig. 2b).



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Fig. 2. Definition of the organic Rankine cycle; a) schematic of the simple configuration; b) Temperature–entropy diagram, figure adapted from [25];  $T$ : Temperature,  $s$ : specific entropy.

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## 2.2. Design

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A radial inflow turbine is selected, *a priori*, as the expander type. The preliminary design is made through the mean-line method using the ANSYS© Vista RTD module, which uses the ideal gas law to calculate the thermo-physical properties of the working fluid. The preliminary design is then followed by a three-dimensional modelling of the nozzle body and the impeller, which are done separately using the ANSYS© BladeGen module.

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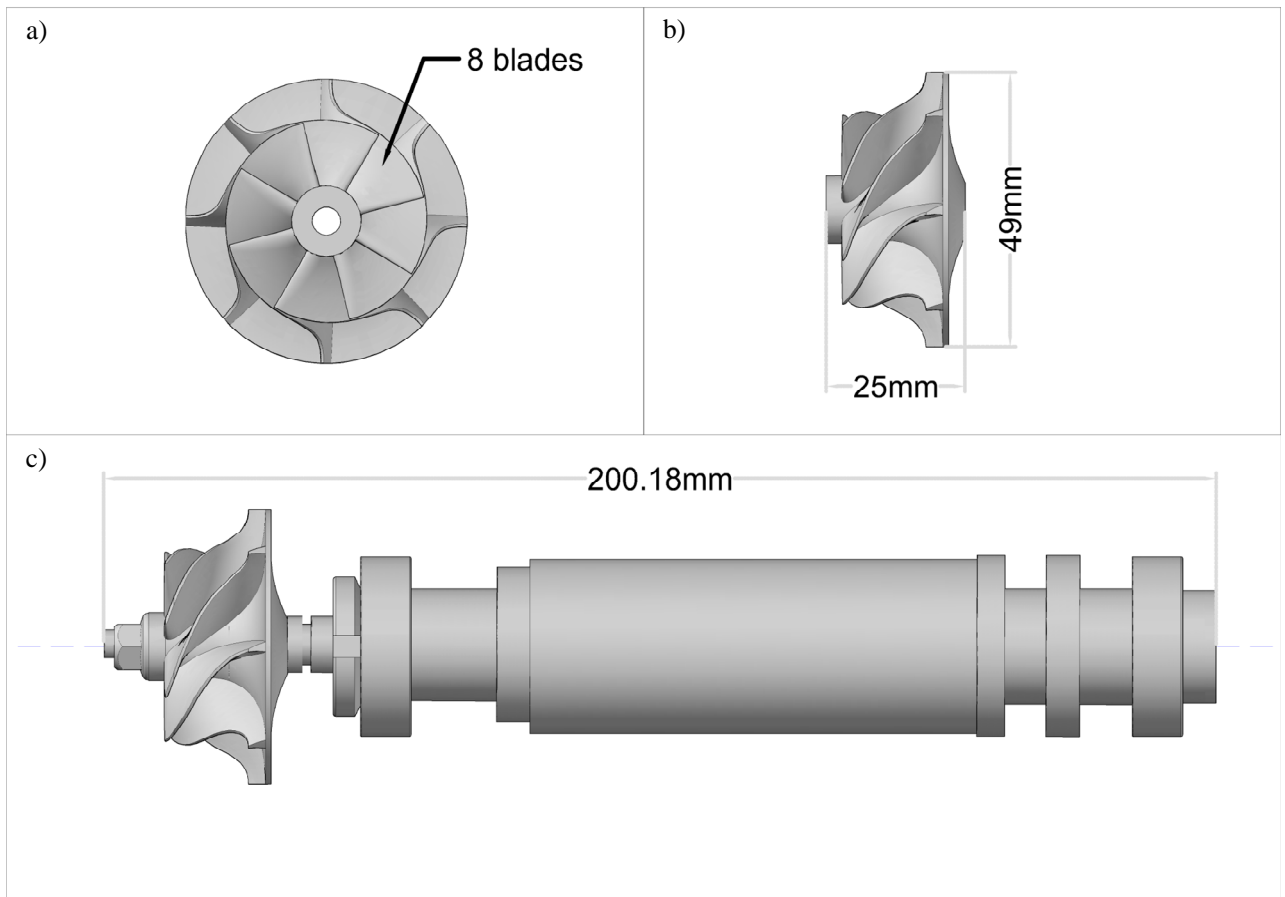
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The nozzle body is designed with angle-thickness definition and a uniform profile along the span of the blades. Polyethylene terephthalate glycol-modified (PETG) is selected as the structural material. The impeller, illustrated in Fig. 3a and Fig. 3b, is designed with angle-thickness definition and variable thicknesses through the span of the blades. Polyether-ether-ketone 30% glass-reinforced (PEEK-GF30) is selected as structural material based on the results of the fluid-structure-interaction (FSI) analysis performed by Hernandez-Carrillo et.al. [25]. Their study assessed the mechanical integrity of the rotor and revealed that PEEK-GF30 is 11% stronger than an aluminium in the worst condition of the application.



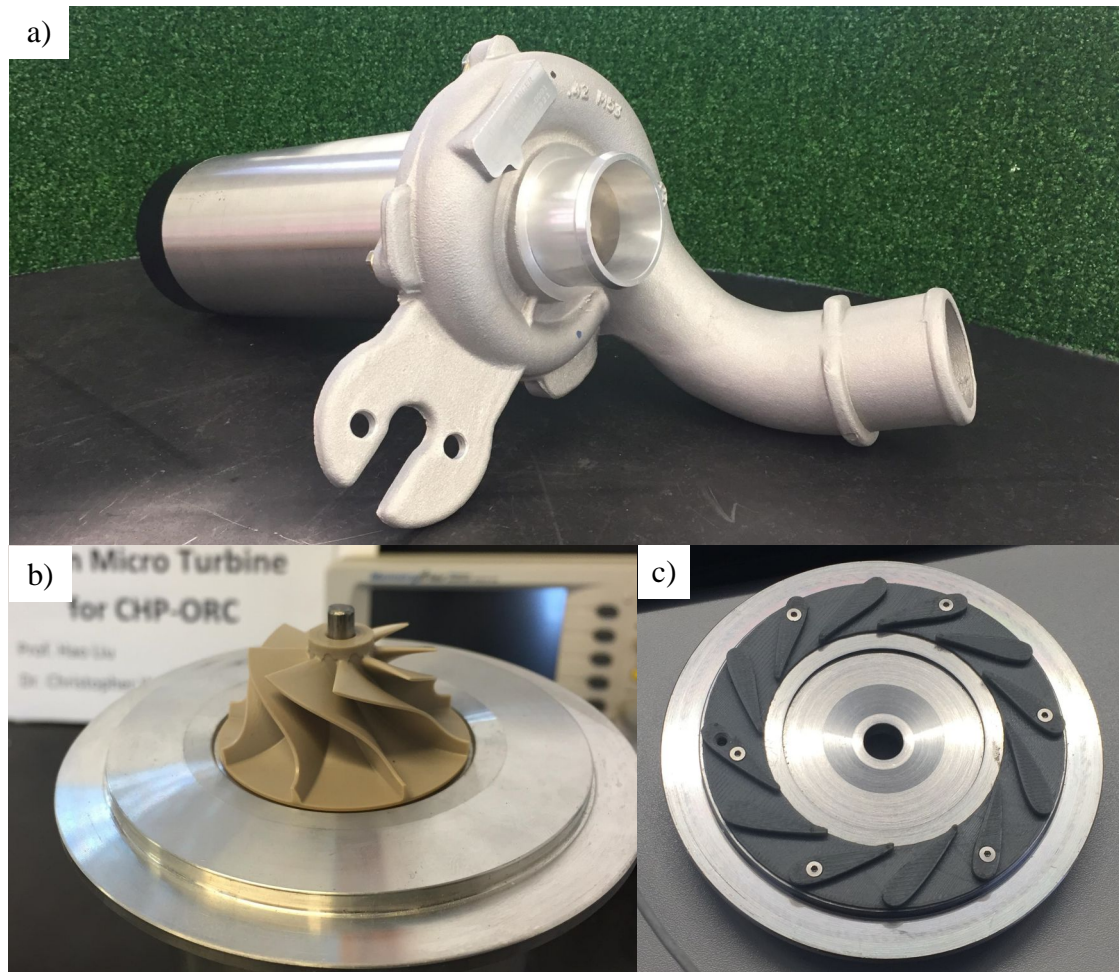
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142 Fig. 3. Schematic of the design of the micro-turbine-generator; a) turbine impeller, front view; b) turbine  
143 impeller, lateral view; c) rotor assembly lateral view; some dimensions in the drawing might not be at real scale.

144 The generator, illustrated in Fig. 3c, is of the type high-speed permanent magnet directly coupled with the  
145 turbine on a common shaft. The stator is as a three-phase winding in delta connection and the rotor is a single  
146 dipole magnet.

### 147 2.3. Prototyping

148 The micro-turbine-generator is built using several custom-made parts and a few elements adopted from  
149 turbochargers and high-speed electric motors. The fully assembled unit is presented in Fig. 4a whose aluminium  
150 volute can be seen in the front of the micro-turbine-generator.



151  
 152 Fig. 4. Prototype of the micro-turbine-generator; a) final assembly; b) impeller of the turbine made of a  
 153 polymeric material through machining; c) nozzle body of the turbine made of a polymeric material through  
 154 additive manufacturing.

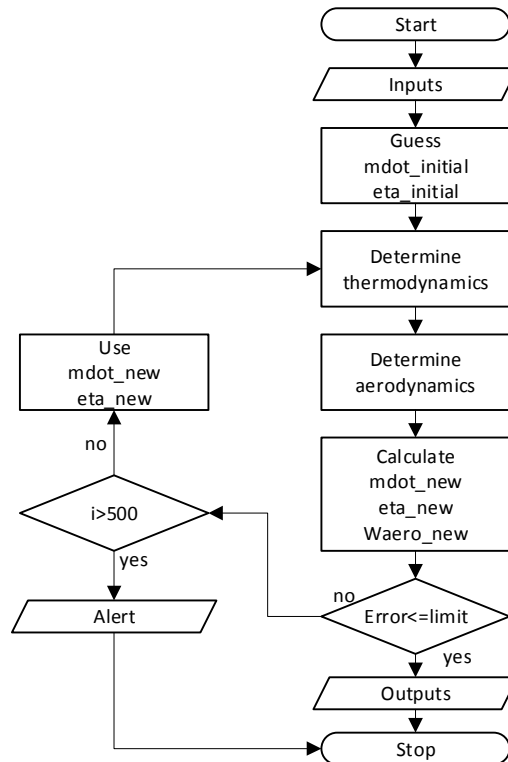
155 The nozzle body and the impeller are made of polymers; thus, they could be fabricated using mould injection.  
 156 However, polymer moulding demands complex and costly equipment, which is not cost-effective for fabricating  
 157 a small number of parts. Therefore, for prototyping purposes, additive manufacturing is selected to fabricate the  
 158 nozzle and computational numeric machining to fabricate the impeller. The impeller, presented in Fig. 4b, is  
 159 machined from a cylindric bar of PEEK-GF30 and machined into a Hurco VM10Ui five axis milling machine.  
 160 The nozzle body is fabricated through polymer fused deposition with a resolution of  $6.0 \times 10^{-5}$  m and 100% infill  
 161 density and then fastened to the baseplate of the casing, as shown in Fig. 4c. The generator, located in the rear  
 162 section of the unit in Fig. 4a, consists of a stator adopted from a high speed three phase motor and a custom-made  
 163 permanent magnet rotor.

164 **2.4. Program for simulation of the performance**



165 The assessment of the performance is done through the analysis of two parameters: the aerodynamic  
 166 efficiency  $\eta_{aero}$  and the aerodynamic power  $\dot{W}_{aero}$ . A program for the simulation of the aerodynamic performance  
 167 of the micro-turbine-generator is developed. The program follows the mean-line method, which assumes a single  
 168 streamline flowing through the gas path with constant transversal properties, as described by Rahbar et.al [27].

169 The program is an iterative routine made in Microsoft Excel and Visual Basic Applications whose simplified  
 170 flow-chart is presented in Fig. 5.



171  
 172 Fig. 5. Simplified flow-chart of the program for performance prediction; mdot\_initial: initial guess of  
 173 flowrate; eta\_initial: initial guess of aerodynamic efficiency; mdot\_new, calculated mass flowrate; eta\_new:  
 174 calculated aerodynamic efficiency; Waero\_new: calculated aerodynamic power; i: iteration.

175 The routine successively approximates the aerodynamic efficiency, mass flow and power of the turbine. It  
 176 starts reading the inputs presented in Table 1 and gives an initial guess of the aerodynamic efficiency (eta\_initial)  
 177 and mass flow (mdot\_initial). Then, the thermo-aerodynamic equations are resolved in accordance with sections  
 178 2.4.1 and 2.4.2 and new aerodynamic efficiency (eta\_new), mass flow (mdot\_new) and aerodynamic power  
 179 (Waero\_new) are obtained and used for resolving a new iteration. The iterative cycle continues until the maximum  
 180 allowed error or the maximum number of iterations are achieved; finally, the results are exported.

181 Table 1 Summary of inputs for the performance prediction program

Description	Symbol
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<b>Nozzle</b>	
Inlet total temperature	$T_{01}$
Inlet total pressure	$P_{01}$
Absolute exit angle	$\alpha_2$
<b>Rotor</b>	
Exit total pressure	$P_{03}$
Inlet diameter	$D_2$
inlet blade height / Inlet diameter	$\frac{b_2}{D_2}$
Outlet mean diameter / Inlet diameter	$\frac{D_3}{D_2}$
Exit blade height / Inlet diameter	$\frac{b_3}{D_2}$
Relative inlet angle	$\beta_2$
Relative outlet angle	$\beta_3$
Blade speed ratio	$BSR$

#### 182 2.4.1. Thermodynamics

183 The total specific enthalpy and the total pressure across the nozzle are assumed to be constant and, therefore,  
 184 the change in the static properties are given by Eqn. (1) and Eqn. (2) respectively. The actual change of the total  
 185 specific enthalpy across the rotor,  $\Delta h_0$ , which is a function of the isentropic change of the total specific enthalpy,  
 186  $\Delta h_{0s}$ , and the aerodynamic efficiency,  $\eta_{aero}$ , is given by the Eqn. (3).

$$h_{01} = h_{02} = h_1 + \frac{1}{2}c_1^2 = h_2 + \frac{1}{2}c_2^2 \quad (1)$$

$$P_{01} = P_{02} = P_1 + \frac{1}{2}\rho_1 c_1^2 = P_2 + \frac{1}{2}\rho_2 c_2^2 \quad (2)$$

$$h_{02} - h_{03} = \Delta h_0 = \Delta h_{0s}\eta_{aero} \quad (3)$$

187 The program gives the option of selecting the ideal gas law or the real gas formulation from REFPROP [26]  
 188 to calculate the thermophysical properties of the fluid. When the ideal gas law is selected, the specific enthalpy  
 189 and density for any given state  $i$  are calculated using Eqn. (4) and Eqn. (5) respectively; the isentropic change of  
 190 specific enthalpy across the rotor is calculated with Eqn. (6).

$$h_i = c_p T_i \quad (4)$$

$$\rho_i = \frac{P_i}{RT_i} \quad (5)$$

$$\Delta h_{0s} = h_{02} - h_{03} = c_p T_{02} \left( 1 - \left( \frac{P_{03}}{P_{02}} \right)^{\frac{k-1}{k}} \right) \quad (6)$$

191 When the real gas formulation is selected, the specific enthalpy and density for any given state  $i$  are calculated  
 192 using Eqn. (7) and Eqn.(8), respectively. The isentropic change of the specific enthalpy across the rotor is  
 193 calculated with Eqn. (9).

$$h_i = f_{REFPROP}(T_i, P_i) \quad (7)$$

$$\rho_i = f_{REFPROP}(T_i, P_i) \quad (8)$$

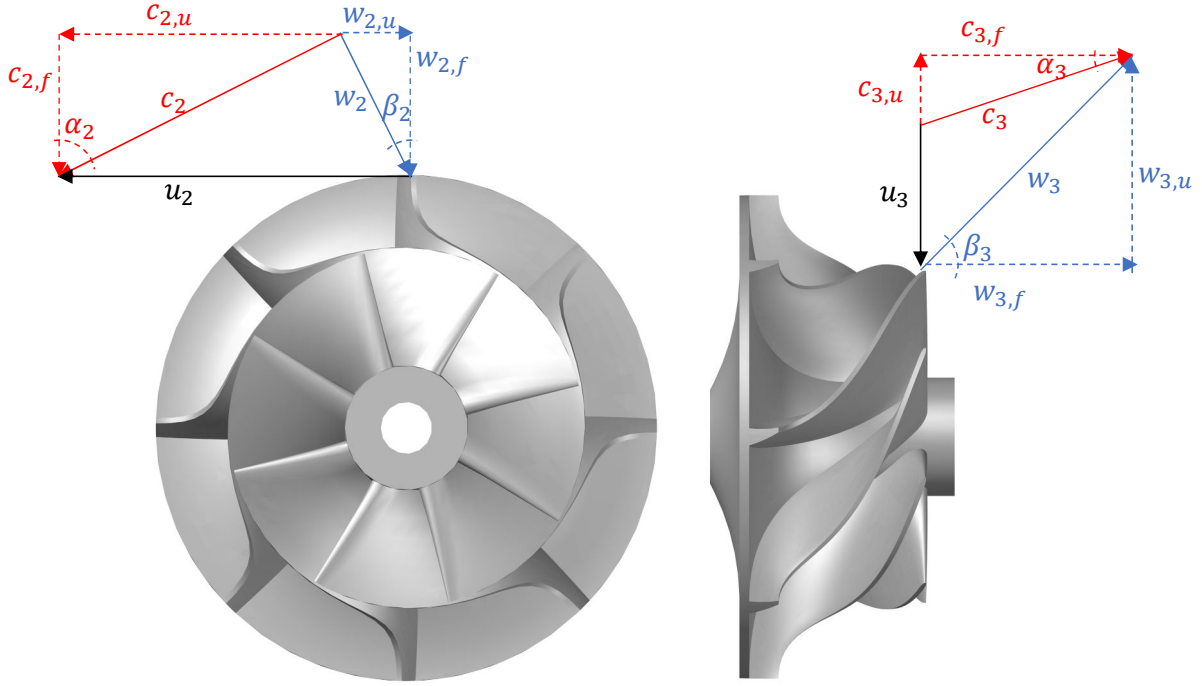
$$\Delta h_{0s} = (h_{02} - h_{03s})_{@constant\ entropy} \quad (9)$$

194 According with Lujan et.al. [28], the ideal gas law predicts with a reasonable accuracy the thermophysical  
 195 properties of real gases at temperatures and pressures considerably lower than those at the critical point. As the  
 196 range of pressure and temperature defined in section 2.1 fulfil that condition, the ideal gas formulation should  
 197 calculate the properties with a maximum error of 10% with respect to the real gas formulation. Thus, the results  
 198 of the simulation, at the design point, should be comparable with the results of the design tool, which relies on the  
 199 ideal gas law.

#### 200 **2.4.2. Aerodynamics**

201 The velocity triangles illustrated in Fig. 6 are determined by the combination of the equation of continuity,  
 202 the thermodynamic equations, the nozzle geometry and the rotor geometry presented in Table 1. These triangles  
 203 show the relationship of the absolute velocity  $c$ , the relative velocity  $w$  and the blade velocity  $u$  at the rotor-inlet  
 204 and the rotor-outlet. The rotor-inlet is denoted with the subscript 2 and the rotor-outlet is denoted with a subscript  
 205 3; the components on the flow direction are denoted with the subscript  $f$  whereas the components on the tangential  
 206 direction (movement of the blade) are denoted with the subscript  $u$ . Based on the velocity triangles, the actual  
 207 change of the total specific enthalpy can be calculated using the Euler's equation for pumps and turbines, Eqn.  
 208 (10).

$$\Delta h_0 = \frac{1}{2} [(c_2^2 - c_3^2) - (w_2^2 - w_3^2) + (u_2^2 - u_3^2)] \quad (10)$$



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Fig. 6. Definition of the velocity triangles for the turbine rotor at off-design conditions;  $c$ : absolute flow speed,  $u$ : blade speed;  $w$ : relative flow speed;  $\alpha$ : absolute angle of the flow with respect to the flow direction;  $\beta$ : relative angle of the flow with respect to the movement direction; 2: impeller inlet conditions; 3: impeller outlet conditions; sub index  $u$ : in tangential direction; sub index  $f$ : in the flow direction.

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### 2.4.3. Efficiency and power

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The actual aerodynamic power,  $\dot{W}_{aero}$  is predicted with Eqn. (11), which is a function of the mass flowrate of working fluid,  $\dot{m}$ , the isentropic change of total specific enthalpy across the unit,  $\Delta h_{0s}$  and the aerodynamic efficiency,  $\eta_{aero}$ . The aerodynamic efficiency,  $\eta_{aero}$  is calculated with Eqn. (12), which is a function of the isentropic change of total specific enthalpy across the unit,  $\Delta h_{0s}$  and the aerodynamic losses, represented as an overall loss of specific enthalpy,  $\Delta h_{loss}$ .

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Although the most employed models in literature for predicting aerodynamic losses in radial turbines are the model of Rohlik [29] and the model of Whitfield and Baines [30], the aerodynamic losses are evaluated using Eqn. (13), which follows the model proposed by Suhrmann et.al [31]. This is a compilation of models conceived for the application on low-capacity turbines; it accounts for the loss of specific enthalpy produced by: skin friction,  $\Delta h_f$ , secondary flow,  $\Delta h_s$ , incidence,  $\Delta h_i$ , clearance leaking,  $\Delta h_c$  and exiting speed,  $\Delta h_e$ , as illustrated in Eqn. (13).

$$\dot{W}_{aero} = \dot{m}\Delta h_0 = \dot{m}\Delta h_{0s}\eta_{aero} \quad (11)$$

$$\eta_{aero} = \frac{\Delta h_{0s} - \Delta h_{loss}}{\Delta h_{0s}} \quad (12)$$

$$\Delta h_{loss} = \Delta h_f + \Delta h_s + \Delta h_i + \Delta h_c + \Delta h_e \quad (13)$$

226 The skin friction loss,  $\Delta h_f$  is calculated with Eqn. (14), which is a function of the further corrected friction  
 227 factor,  $c'_{f,c}$ . The further corrected friction factor is obtained from the correlation of Musgrave [32] presented in  
 228 Eqn. (15), which depends on the corrected friction factor,  $c_{f,c}$ . The corrected friction factor is calculated with Eqn.  
 229 (16), which depends on the friction factor,  $c_f$ . Lastly, the friction factor is calculated with the correlation of  
 230 Colebrook et.al. [33], presented in Eqn. (17). In the aforementioned equations, the hydraulic diameter,  $D_h$  is the  
 231 average ratio of the “wet” area of the gas path over its diameter, the hydraulic length,  $L_h$  is the length of the main-  
 232 line of the flow, the curvature radio,  $r_c$  is the average curvature radio of the mean-line, the diameter,  $D_2$  is defined  
 233 at the rotor inlet and the average relative flow-speed across the rotor,  $\bar{w}_{2-3}$  is calculated with Eqn. (18).

234 The secondary flow loss,  $\Delta h_s$  is calculated with Eqn. (19), which is a function of the rotor diameter at the  
 235 inlet  $D_2$ , the number of rotor blades,  $Z$ , the curvature radio of the blades,  $r_c$ , and the flow-speed at the rotor inlet,  
 236  $c_2$ . The clearance loss,  $\Delta h_c$ , is calculated with Eqn. (20), which is a function of the tip clearance of the blades,  $t_c$ ,  
 237 the height of the rotor blade at the inlet  $b_2$  and the absolute tangential speed of the flow at the rotor inlet  $c_{2,u}$ . These  
 238 equations for calculating secondary and leaking losses are adopted from the work of Rodgers and Geiser [34]. The  
 239 incidence loss,  $\Delta h_i$  is calculated with Eqn. (21) proposed by Whitfield and Wallace [35], which depends on the  
 240 relative tangential speed of the flow at the rotor inlet  $w_{2,u}$ . Finally, the exit loss,  $\Delta h_e$ , produced by the exiting speed,  
 241  $c_3$ , is calculated with Eqn. (22). A schematic of the cross-sectional view of a radial turbine and the geometric  
 242 nomenclature used for the model is presented in Fig. 7.

$$\Delta h_f = c'_{f,c} \frac{L_h}{D_h} (\bar{w}_{2-3})^2 \quad (14)$$

$$c'_{f,c} = c_{f,c} \left[ \text{Re} \left( \frac{D_2}{2r_c} \right)^2 \right]^{0.05} \quad (15)$$

$$c_{f,c} = c_f \left( 1 + 0.075 \text{Re}^{\frac{1}{4}} \sqrt{\frac{D_h}{2r_c}} \right) \quad (16)$$

$$\frac{1}{\sqrt{4c_f}} = -2 \log \left( \frac{k}{D_h} + \frac{2.51}{\text{Re} \sqrt{4c_f}} \right) \quad (17)$$

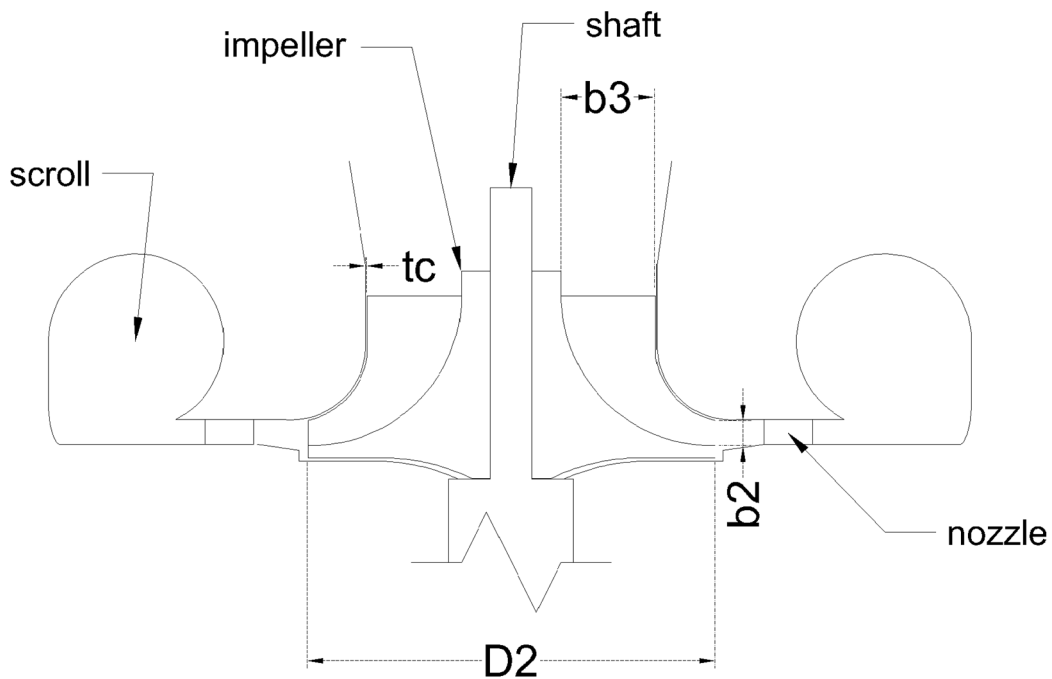
$$\bar{w}_{2-3} = \frac{w_2 + w_3}{2} \quad (18)$$

$$\Delta h_s = \frac{D_2}{Z r_c} (c_2)^2 \quad (19)$$

$$\Delta h_c = 0.4 \frac{tc}{b_2} (c_{2,u})^2 \quad (20)$$

$$\Delta h_i = \frac{1}{2} (w_{2,u})^2 \quad (21)$$

$$\Delta h_e = \frac{1}{2} (c_3)^2 \quad (22)$$



244 Fig. 7. Schematic of the cross-sectional view of a radial turbine and the nomenclature for the modelling;  
 245 D2: rotor inlet diameter; b2: rotor inlet blade height; b3: rotor outlet blade height; tc: tip clearance.

#### 246 2.4.4. Mass flow

247 The mass flow can be iteratively obtained by simultaneously solving all the above equations and the equation  
 248 of continuity for a volume of control shown in Eqn. (23).

$$\dot{m} = \rho_2 A_2 c_{f,2} = \rho_3 A_3 c_{f,3} \quad (23)$$

#### 249 2.4.5. Dimensionless interpretation

250 The most important parameter for assessing the performance of the micro-turbine-generator is the  
 251 aerodynamic efficiency. It can be represented as a function of two dimensionless parameters: the flow coefficient  
 252  $\phi$  and the loading coefficient,  $\psi$ , defined in Eqns. (24) and Eqn. (25), respectively. The aerodynamic efficiency  
 253 is, thus, three-dimensional in a space  $\phi - \psi - \eta_{aero}$ , which is commonly presented in Smith [36] type charts.  
 254 These charts show contours of aerodynamic efficiency in a plane  $\phi - \psi$  or, in other words, the projection of the  
 255 three-dimensional efficiency in such a plane. However, for convenience, the results in this work are presented in  
 256 four separate charts that are projections of the three-dimensional efficiency in different planes.

$$\phi = \frac{c_{f,2}}{u_2} \quad (24)$$

$$\psi = \frac{\Delta h_{0S}}{u_2^2} \quad (25)$$

257 The first chart is a representation of the relationship of the flow coefficient  $\phi$  and the loading coefficient  $\psi$   
 258 but the linearized parameter  $\sqrt{2\psi}$  is used instead of  $\psi$ ; this means that such a chart is the representation of the  
 259 efficiency in the plane  $\phi - \sqrt{2\psi}$ . This chart is homologous to the maps of radial turbines, adopted by Alshammari  
 260 et.al. [37] for example, to describe the relationship between the corrected mass flow rate  $\dot{m}_c$  and the expansion  
 261 ratio PR. Comparably, the flow coefficient and the corrected mass flow rate represent the mass flow rate whereas  
 262 the loading coefficient and the expansion ratio represent the expansion. The representation with the flow and  
 263 loading coefficients is, however, more convenient when presented in a  $\phi - \sqrt{2\psi}$  plane, where such a relationship  
 264 describes a straight line. The second chart is a projection of the efficiency in a plane  $\phi - \eta_{aero}$  and the third chart

265 is a projection of the efficiency in a plane  $\sqrt{2\psi} - \eta_{aero}$ . Finally, the fourth chart is a handy representation of the  
266 efficiency that shows the efficiency in a plane  $BSR - \eta_{aero}$ , with BSR being the blade speed ratio presented in  
267 Eqn. (26). This chart is analogous to the representation in a plane of  $\sqrt{2\psi} - \eta_{aero}$  because the blade speed ratio  
268 BSR is a function of the loading coefficient  $\psi$ . However, the chart  $BSR - \eta_{aero}$  is more common in specialised  
269 literature for representing the performance of radial inflow turbines as Dixon and Hall [38] had demonstrated.

$$BSR = \frac{u_2}{C_0} = \frac{1}{\sqrt{2\psi}} \quad (26)$$

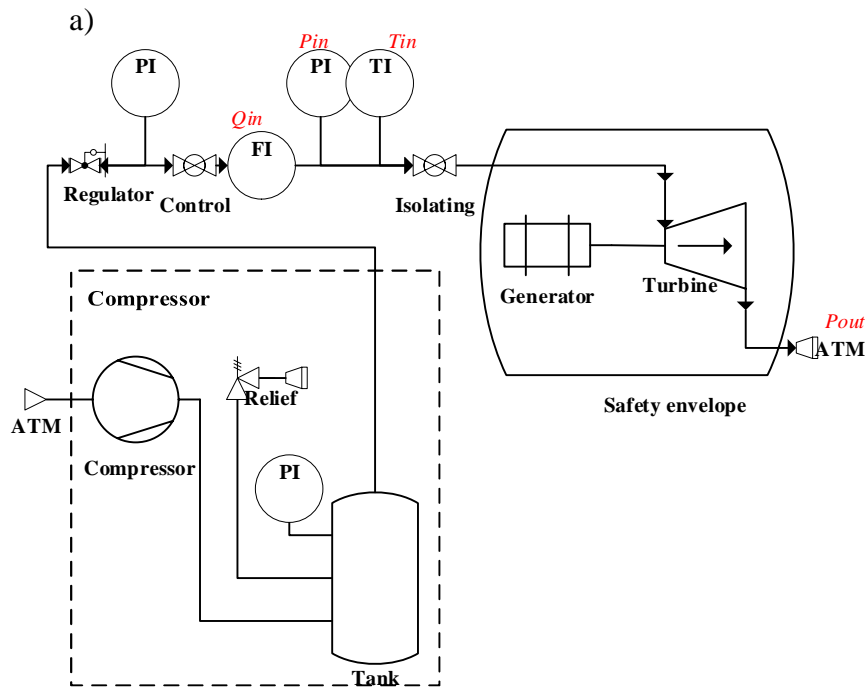
## 270 **2.5. Experimental validation through a performance test with air**

271 The simulation program is validated through a performance test under similar conditions; this means that the  
272 test is performed using air as the working fluid instead of the refrigerant and dynamic similarity of the flow is  
273 assured. With this technique, the aerodynamic performance of the unit should be confidently extrapolated through  
274 the principle of dynamic similarity to the real operational conditions, i.e. the unit working with refrigerant as the  
275 working fluid.

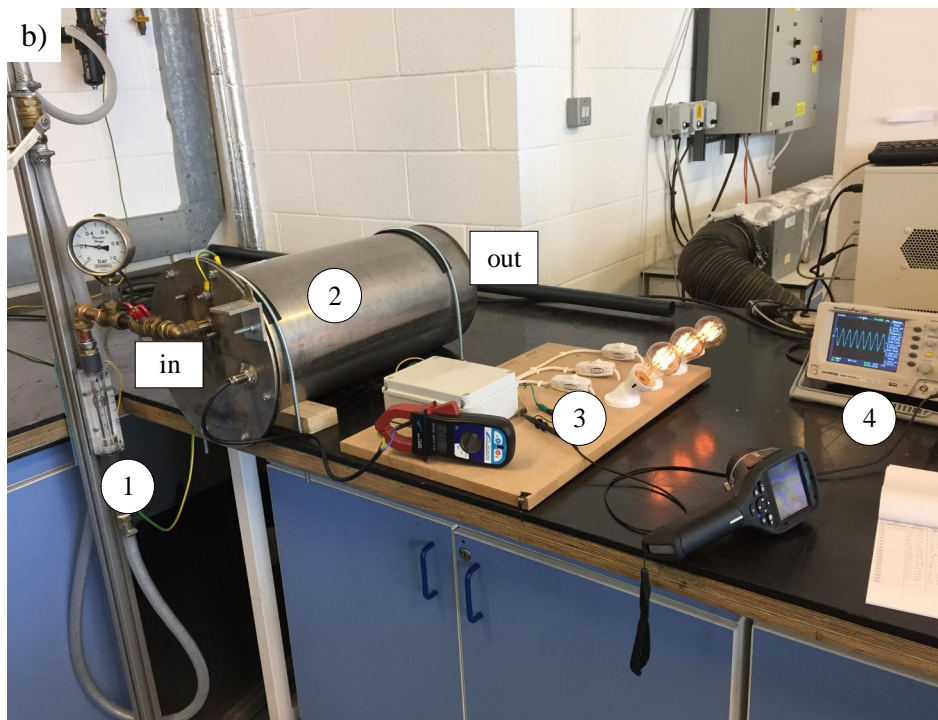
### 276 **2.5.1. Procedure**

277 The test rig is comprised of the micro-turbine-generator, a safety envelope, a supply system of compressed  
278 air, an electric load bank and monitoring instrumentation. The safety envelope is a 304 stainless steel tank with  
279 internal baffles for burst containment. The air supply system consists of a GX7FF compressor, a pneumatic  
280 regulator, a main control valve, a shutoff valve, piping and hose connections. The load bank is a three-phase array  
281 of electric bulbs with fused switches. The schematic of the performance testing rig is shown in Fig. 8a and the  
282 photo of the test rig with the key equipment clearly marked is shown in Fig. 8b, whereas the instrumentation of  
283 the test rig is described in section 2.5.3.





284



285

286 Fig. 8. Test rig using compressed air: a) schematic set up; b) photo of the actual array;  $Q_{in}$ : volume flow  
 287 rate at inlet;  $P_{in}$ : static pressure at inlet;  $T_{in}$ : static temperature at inlet;  $P_{out}$ : static pressure at outlet; PI:  
 288 pressure indicator, TI: temperature indicator, FI: flowrate indicator; ATM: atmosphere; 1: air supply, 2: safety  
 289 envelope, 3: load bank, 4: monitoring oscilloscope.

290

291

292

A stream of compressed air is supplied to the rig at the point labelled as “in” and discharged to the atmosphere  
 at the point labelled as “out” at the back of the rig (both “in” and “out” are indicated in Fig. 8b). Conventionally,  
 the performance test of turbines is performed at nominal capacity (or fractions of it) and the nominal rotational

293 speed (or selected fractions of it). Additionally, the rotational speed is controlled with a governor that responds to  
294 variations of the load keeping the speed constant. In this study, however, the unit comprises a variable frequency  
295 turbine not equipped with a governor, because the control of the unit lies on a controlled expansion ratio.  
296 Consequently, the rotational speed responds to changes of the load and conventional testing methods may not suit  
297 the requirements of this application. Thus, a simple procedure for the test consisting of ten simple steps, described  
298 below, is defined.

- 299 I. Perform preliminary checks, ensure the control valve is fully closed and the isolation valve is fully  
300 open, supply compressed air to the system.
- 301 II. Connect the first load combination.
- 302 III. Initiate the trial by opening the control valve until achieving a rotational speed  $\omega=3,000\text{rpm}$ .
- 303 IV. Let the unit settle down, 15 minutes for the first trial and 5 minutes for subsequent trials, to allow  
304 bearings and lubricants to warm up.
- 305 V. Let the unit settle down 10 minutes for the parameters to achieve a steady state.
- 306 VI. Register the parameters in the corresponding sheet and number the register as a record.
- 307 VII. If the control valve is fully open, proceed to step VIII, otherwise, open the control valve to increase  
308 the inlet pressure  $\Delta P_{in}=2\text{kPa}$  and repeat from step V for starting a new record.
- 309 VIII. If three trials have been recorded for the current load, proceed to step IX, otherwise, close the control  
310 valve to reset the rotational speed to  $\omega=3,000\text{rpm}$  and repeat from step IV for starting a new trial.
- 311 IX. If the total number of loads have been tested, proceed to step X, otherwise, connect the next load  
312 and repeat from step III.
- 313 X. Fully close the control valve, fully close the isolation valve and suspend the supply of compressed  
314 air.

315 The test is performed with a close monitoring of the unit while a register of measurements from the  
316 instruments is maintained. A set of readings of the experimental parameters for a given time is called a record,  
317 whereas a set of 8 records at 0.25, 0.37, 0.50, 0.6, 0.7, 0.8, 0.9 and 1 of span of the inlet pressure is called a trial.  
318 Three different loads are tested: load 1 consists of three 40W bulb connected to the phases *a*, *b* and *c*, load 2  
319 consists of two 40W bulb connected to the phases *a* and *b*, respectively, and load 3 consists of one 40W bulb  
320 connected to the phase *a*. Thus, a total of 72 records are generated in the test, as shown in in Table 2. The records

321 1 to 24 correspond to the trials 1, 2 and 3 of load 1; the records 25 to 48 correspond to the trails 4, 5 and 6 of load  
 322 2; the records 49 to 72 correspond to the trials 7, 8 and 9 of load 3. This assured repeatability of the test.

323 Table 2 Convention for numbering records, trials and loads for the experimental test.

		Record number								
Span of $P_{in}$		0.25	0.37	0.5	0.6	0.70	0.8	0.9	1	
Load1	Trial1	1	2	3	4	5	6	7	8	
	Trial2	9	10	11	12	13	14	15	16	
	Trial3	17	18	19	20	21	22	23	24	
Load2	Trial4	25	26	27	28	29	30	31	32	
	Trial5	33	34	35	36	37	38	39	40	
	Trial6	41	42	43	44	45	46	47	48	
Load3	Trial7	49	50	51	52	53	54	55	56	
	Trial8	57	58	59	60	61	62	63	64	
	Trial9	65	66	67	68	69	70	71	72	

324 **2.5.2. Experimental determination of the dimensionless parameters**

325 The heat losses at the inlet and outlet are negligible and therefore, the thermo-aerodynamic analysis is also  
 326 valid for the testing boundary conditions “in” and “out” as defined in the procedure. The total specific enthalpy  
 327 and the total pressure can then be assumed constant across the inlet and the outlet.

328 In conventional applications, the mechanical and electrical losses are not a considerable fraction of the  
 329 aerodynamic power and the aerodynamic efficiency is approximately equal to the electromechanical efficiency  
 330 measured at the brake. For this reason, the electromechanical efficiency can be reasonably extrapolated through  
 331 dynamic similarity of the flow. In this study, however, the mechanical and electrical losses account for a  
 332 considerable fraction of the aerodynamic power and, consequently they must be accounted in the test and  
 333 considered for the determination of the aerodynamic efficiency.

334 **Aerodynamic efficiency**

335 The aerodynamic efficiency  $\eta_{aero}$  can be experimentally obtained from Eqn. (27), which is a function of the  
 336 power consumed in the load bank,  $\dot{W}_{bank}$ , the mechanical losses  $\dot{W}_m$  and the electrical losses  $\dot{W}_e$ . Then, the Eqn.  
 337 (12) and Eqn. (27) can be used for the comparison of analytical and experimental results.

$$\eta_{aero} = \left( \frac{\dot{W}_{bank} + \dot{W}_m + \dot{W}_e}{\dot{m}\Delta h_{0s}} \right) \quad (27)$$

338 The power measured at the load bank  $\dot{W}_{bank}$  can be calculated with Eqn. (28), which is a function of the root  
 339 mean square voltage  $V_{rms}$  and current  $I_{rms}$  in the windings. It should be pointed out that the voltage  $V_{rms}$  is the

340 uniform in all the phases, whereas the current  $I_{rms}$  is the average value among the three phases a, b and c of the  
 341 generator, in accordance with Eqn. (29).

$$\dot{W}_{bank} = \sqrt{3}V_{rms}I_{rms} \quad (28)$$

$$I_{rms} = \frac{I_{rms}^a + I_{rms}^b + I_{rms}^c}{3} \quad (29)$$

342 The mechanical loss  $\dot{W}_m$  produced by friction in the bearings is obtained from Eqn. (30), which requires the  
 343 frictional moment  $\tau_m$ . This is a very small dynamic moment with an absolute value within the range of  $\tau_m \in (2.0 \times 10^{-2} - 1.0 \times 10^{-1})$  N-m, which is difficult to measure as it requires sophisticated equipment that is unavailable at the time  
 344 of testing. Consequently, the frictional moment is estimated through the mathematical model provided by the  
 345 manufacturer [39].  
 346

$$\dot{W}_m = 2(2\pi f)\tau_m \quad (30)$$

347 The electrical loss  $\dot{W}_e$  produced by joule effect through the generator windings can be determined with Eqn.  
 348 (31), which is a function of the mean root square current  $I_{rms}$  in the windings and the internal resistance  $R_w$  per  
 349 phase.

$$\dot{W}_e = \sqrt{3}I_{rms}^2 R_w \quad (31)$$

### 350 **Flow and loading coefficients**

351 With a careful examination of the thermo-aerodynamic analysis, it can be realised that the flow and loading  
 352 coefficients can be experimentally determined if the seventeen parameters presented in Table 3 are known. These  
 353 parameters can be classified as either 'variable' or 'constant' throughout the test. Variables are those parameters  
 354 that change and must be continuously monitored, whereas constants are those parameters that are not expected to  
 355 change and can be measured only once throughout the test or assumed as a constant reference value.

356 Table 3 Experimental parameters

#	Parameter	Symbol	Type	Source
1	Current, root mean squared phase a	$I_{rms}^a$	Variable	Measured
2	Current, root mean squared phase b	$I_{rms}^b$	Variable	Measured
3	Current, root mean squared phase c	$I_{rms}^c$	Variable	Measured

4	Frequency	$f$	Variable	Measured
5	Pressure, static inlet	$P_{in}$	Variable	Measured
6	Temperature, static inlet	$T_{in}$	Variable	Measured
7	Voltage, root mean squared	$V_{rms}$	Variable	Measured
8	Volume flow rate, inlet	$Q_{in}$	Variable	Measured
9	Diameter, inlet	$D_{in}$	Constant	Measured
10	Diameter, outlet	$D_{out}$	Constant	Measured
11	Diameter, rotor-inlet	$D_2$	Constant	Measured
12	Height, blade rotor-inlet	$b_2$	Constant	Measured
13	Resistance, generator windings resistance per phase	$R_w$	Constant	Measured
14	Angle, nozzle exit	$\alpha_2$	Constant	Referenced
15	Coefficient, heat capacity at constant pressure	$c_p$	Constant	Referenced
16	Coefficient, isentropic expansion	$k$	Constant	Referenced
17	Pressure, static outlet	$P_{out}$	Constant	Referenced

### 2.5.3. Measurement devices and error propagation

The experimental parameters are defined and/or measured as explained below. The nozzle exit angle is extracted from the design stage of this work. The real gas heat capacity  $c_p=1,005\text{J/kg}\cdot\text{K}$  and the coefficient of isentropic expansion  $k=1.4$  are assumed to be constant and taken from the specialised literature [40]. The outlet pressure  $P_{out}=101.9\text{kPa}$  is assumed to be constant and equal to the average atmospheric pressure recorded in September 2018 at the UK East Midlands weather station [41]. The root mean squared electric current per phase  $I_{rms}^a$ ,  $I_{rms}^b$  and  $I_{rms}^c$  are measured with a clamp ammeter. The frequency  $f$  and the root mean squared voltage  $V_{rms}$  are measured with a two-channel oscilloscope. The inlet static pressure  $P_{in}$  is measured with a pressure gauge. The inlet static temperature  $T_{in}$  is measured with an electronic thermometer. The inlet volume flowrate  $Q_{in}$  is measured with a variable area flowmeter (rotameter). The inlet, outlet and rotor inlet diameters  $D_{in}$ ,  $D_{out}$ ,  $D_2$ , and the blade height  $b_2$  are measured with a digital caliper. The electrical resistance  $R_w$  of the generator windings is measured with an ohmmeter. Table 4 presents details of all the instruments used during the test.

Table 4 Instruments used for the measurement of the parameters

Parameter	Quantity	Units	Instrument	Range	Accuracy
$I_{rms}^a$	Current	A	Ammeter	0 to 2	0.01A
$I_{rms}^b$	Current	A	Ammeter	0 to 2	0.01A
$I_{rms}^c$	Current	A	Ammeter	0 to 2	0.01A
$f$	Frequency	Hz	Oscilloscope	10 to $7 \times 10^6$	2% of reading
$P_{in}$	Gauge pressure	kPa	Manometer	0 to 100	1.6kPa
$T_{in}$	Temperature	K	Electronic thermometer	323 to 1,573	2.5K
$V_{rms}$	Electric potential difference	V	Oscilloscope	0 to 600	2% reading
$Q_{in}$	Flow rate	$\text{m}^3/\text{s}$	Rotameter	0 to $2.4 \times 10^{-2}$	$7.2 \times 10^{-4} \text{ m}^3/\text{s}$
$D_{in}$	Length	m	Calliper	1 to 0.2	$1 \times 10^{-5} \text{ m}$
$D_{out}$	Length	m	Calliper	1 to 0.2	$1 \times 10^{-5} \text{ m}$
$D_2$	Length	m	Calliper	1 to 0.2	$1 \times 10^{-5} \text{ m}$
$b_2$	Length	m	Calliper	1 to 0.2	$1 \times 10^{-5} \text{ m}$
$R_w$	Resistance	$\Omega$	Ohmmeter	0-200	0.8% of reading

370 The dimensionless parameters of interest are not measured but calculated with the experimental parameters,  
 371 thus an analysis of propagation of errors must be performed to assess how the errors of measurements affect the  
 372 dimensionless parameters. Such an analysis is made using the software Maple-2017 where the uncertainties of the  
 373 experimental parameters reported in Table 4 are combined with the equations of the thermo-aerodynamic analysis.  
 374 The results are shown in Table 5 and suggest that the aerodynamic efficiency can be obtained with a maximum  
 375 uncertainty of 9%, the flow coefficient with maximum uncertainty of 4% and  $\sqrt{2\psi}$  with a maximum uncertainty  
 376 of 4%.

377 Table 5 Results of the analysis of propagation of error

Parameter	Value	Uncertainty	Referred to the value
$\eta_{aero}$	0.632	$5.90 \times 10^{-2}$	9%
$\phi$	0.406	$1.69 \times 10^{-2}$	4%
$\sqrt{2\psi}$	2.163	$8.48 \times 10^{-2}$	4%

### 378 2.6. Prediction of the performance with refrigerant

379 Once the simulation program is validated with the air test, the performance of the micro-turbine-generator  
 380 working with the refrigerant R245fa can be predicted. The performance of turbomachines is similar if they have  
 381 geometric and dynamic similarity; this principle has been extensively explained by Dixon and Hall [38] and  
 382 implemented by Zhang et.al [42] and White and Sayma [43]. The geometry of the turbine does not change  
 383 throughout this investigation; thus, the flow can be considered dynamically similar for identical values of the flow  
 384 coefficient  $\phi$  and  $\sqrt{2\psi}$ . Accordingly, the aerodynamic efficiency should be comparable in different scenarios, for  
 385 example air, ideal-R245fa, or real-R245fa as working fluids, if the flow coefficient  $\phi$  and  $\sqrt{2\psi}$  are identical, as  
 386 shown in Eqn. (32).

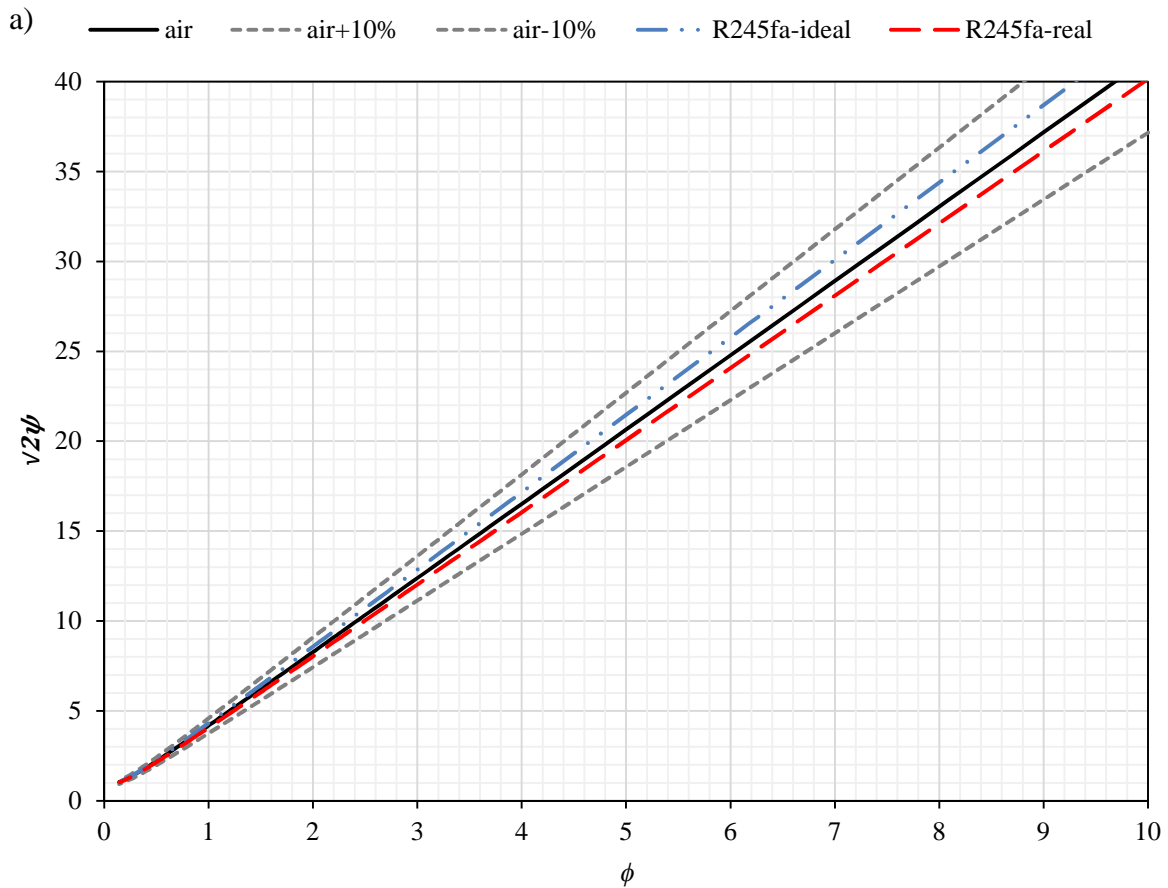
$$\eta_{aero}^{real-R245fa} \cong \eta_{aero}^{ideal-R245fa} \cong \eta_{aero}^{air} = f(\phi, \sqrt{2\psi}) \quad (32)$$

## 387 3. RESULTS AND DISCUSSION

388 In this section, the results of the simulation and the test are presented and discussed, with an aim of validating  
 389 the simulation program via the correlation to the test results. Additionally, both, the test with compressed air and  
 390 the simulations with air will be used to predict the performance of the micro-turbine-generator operating with  
 391 R245fa as the working fluid.

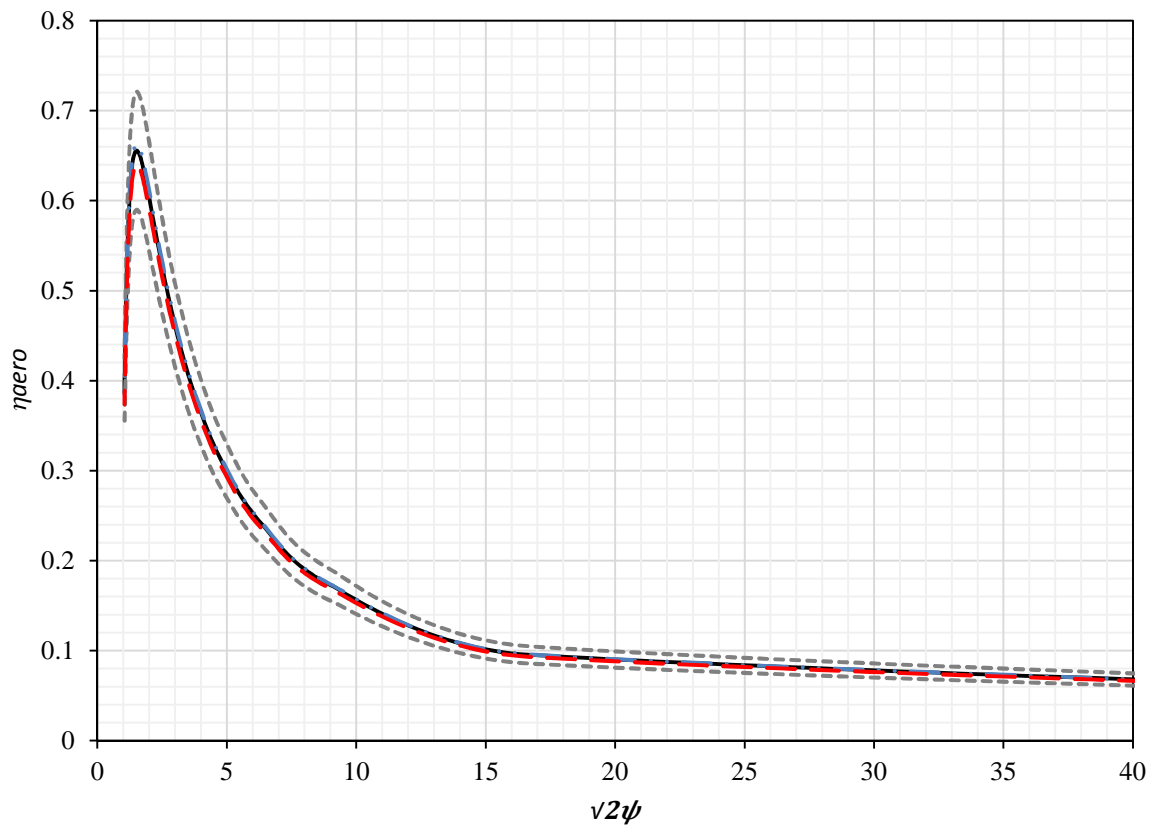
### 392 3.1. Simulation of the performance

393 The program for performance simulation described in section 2.4 presented a good agreement with the design  
 394 tool, at the design point, using both ideal-R245fa and air as working fluids. Additionally, the program shows a  
 395 deviation under 10% for the off-design performance. This can be observed in Fig. 9, which shows the simulation  
 396 with air, air with  $\pm 10\%$  error, ideal-R245fa and real-R245fa as the working fluid. The results in the plane  $\phi -$   
 397  $\sqrt{2\psi}$  are shown in Fig. 9a where the parameter  $\sqrt{2\psi}$  with respect to  $\phi$  follows a straight line with a positive slope,  
 398 which is expected for an uncontrolled turbine. As shown in Fig.9a, the deviations of the simulations for ideal-  
 399 R245fa and real-R245fa with respect to air increase for large values of  $\phi$  and  $\sqrt{2\psi}$ , though the differences remain  
 400 under 10% error. On the other hand, the results in the planes  $\phi - \eta_{aero}$  and  $\sqrt{2\psi} - \eta_{aero}$ , shown in Fig. 9b and  
 401 Fig. 9c, respectively, predict a maximum aerodynamic efficiency of  $\eta_{aero}=0.66$  at the values  $\phi=0.3$ ,  $\sqrt{2\psi}=1.6$ , and  
 402  $\psi=1.3$ . The aerodynamic efficiency could be higher according to the White-Sayma [44] if the flow coefficient of  
 403  $\phi=0.3$  and a loading coefficient  $\psi=0.95$  could be achieved. However, the simulated aerodynamic efficiency seems  
 404 to be within the range reported in experimental literature [16-22].



405

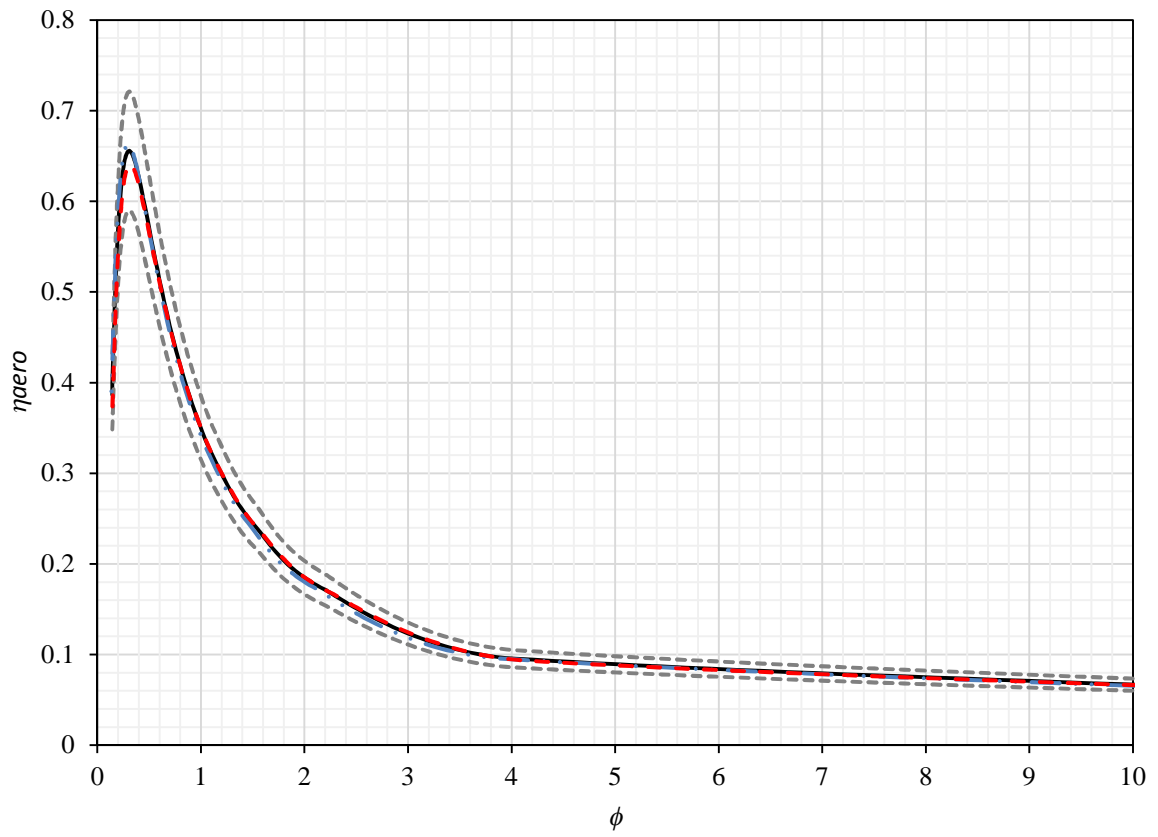
b) — air    - - - air+10%    - - - air-10%    · · · R245fa-ideal    - - - R245fa-real



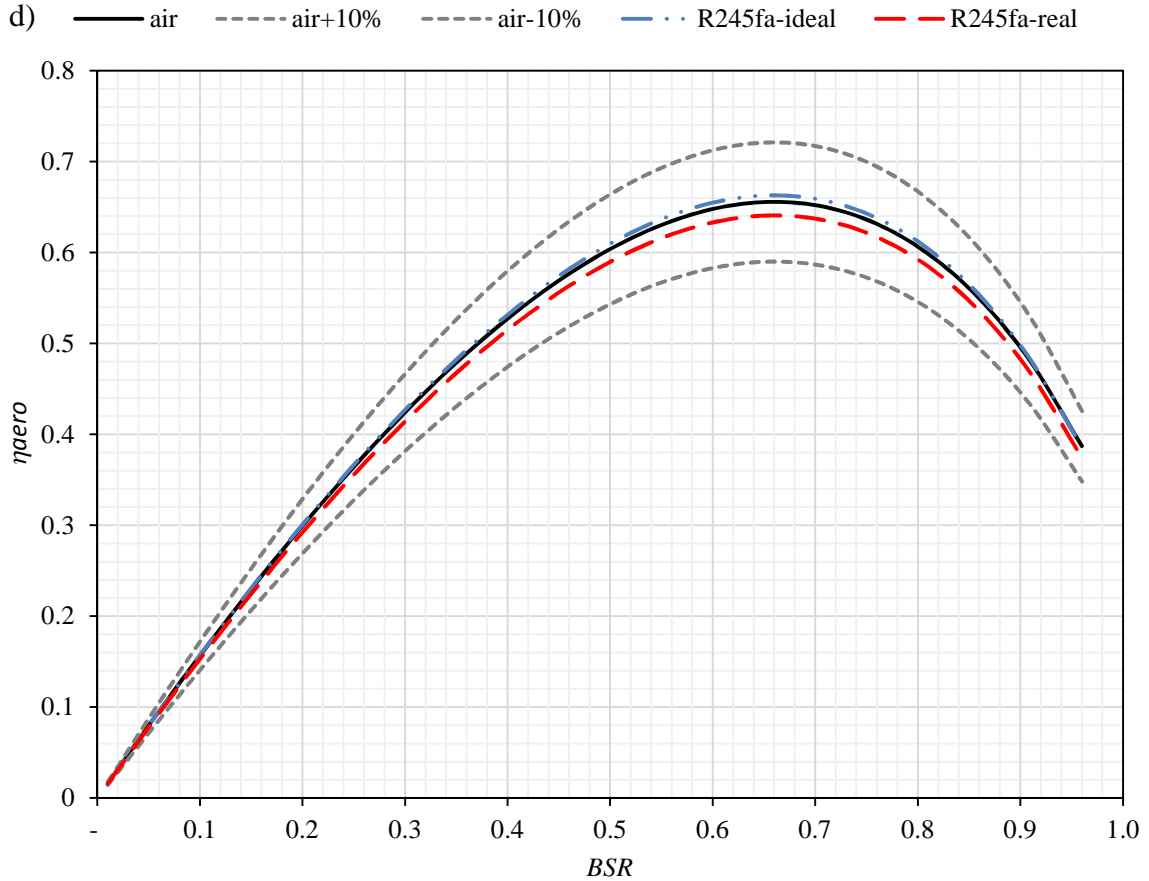
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c) — air    - - - air+10%    - - - air-10%    · · · R245fa-ideal    - - - R245fa-real



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Fig. 9. Comparison of the performance between simulation with air, simulation with air  $\pm 10\%$  error, simulation with ideal-R245fa and simulation with real R245fa; a) plane  $\phi - \sqrt{2\psi}$ ; b) plane  $\sqrt{2\psi} - \eta_{aero}$ ; c) plane  $\phi - \eta_{aero}$ ; d) plane  $BSR - \eta_{aero}$ ;  $\phi$ : flow coefficient,  $\psi$ : loading coefficient,  $BSR$ : blade speed ratio,  $\eta_{aero}$ : aerodynamic efficiency.

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The results in the plane  $BSR - \eta_{aero}$  show a typical behaviour of a radial inflow turbine where the maximum aerodynamic efficiency  $\eta_{aero}=0.66$  corresponds to a blade speed ratio of  $BSR=0.66$  as can be seen in Fig. 9d. Additionally, a good agreement between the simulation with air, ideal-R245fa and real-R245fa is also evident. As a conclusion, it can be said that the simulation program is expected to give an acceptable insight of the performance in a dimensionless interpretation. Moreover, the error remains under  $\pm 10\%$  in the calculation of the aerodynamic efficiency between air, ideal-R245fa and real-R245fa as the working fluid. This suggests that the dimensionless performance is equivalent for the three studied working fluids.

420

### 3.2. Performance test with air

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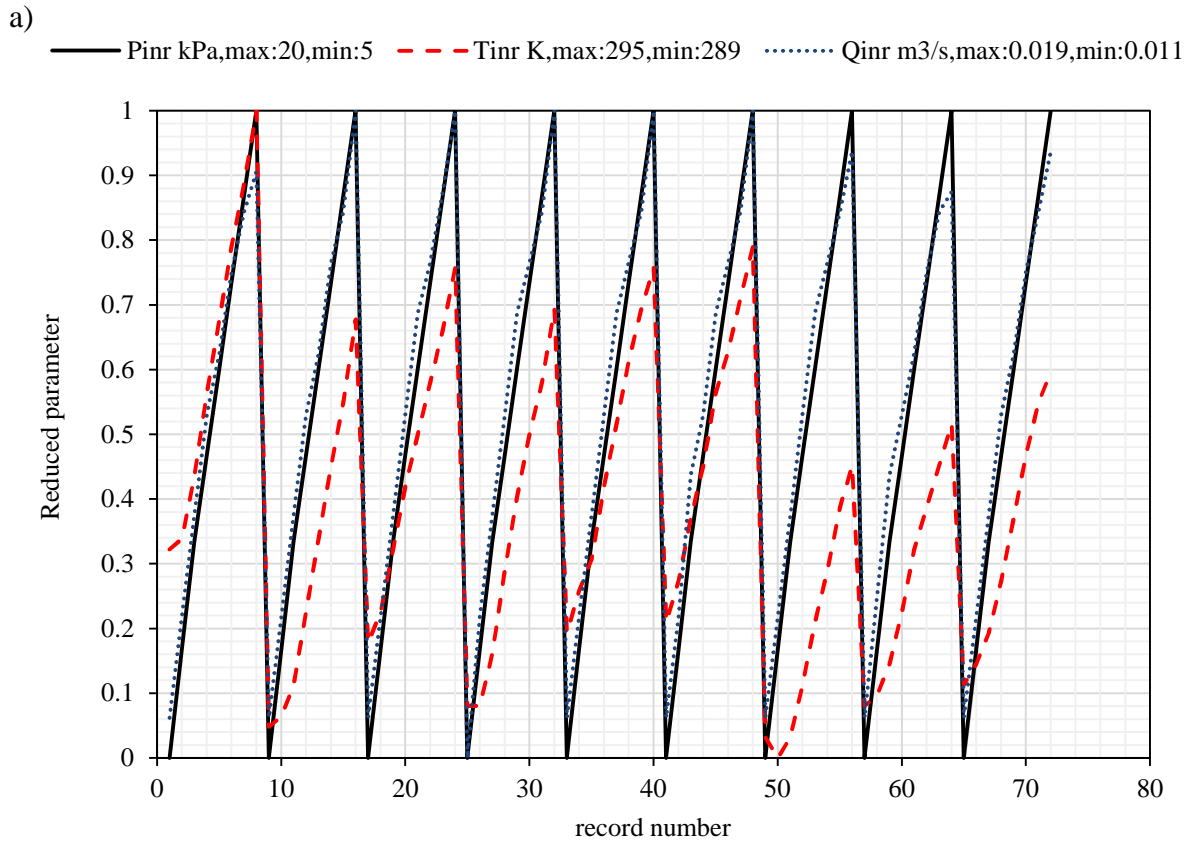
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The unit exhibited a stable behaviour during the test with compressed air while operating at off-design conditions. Although the design point could not be reached due to limitations on the air supply system, the results provided enough information to conclude about its performance. The experimental parameters are recorded and

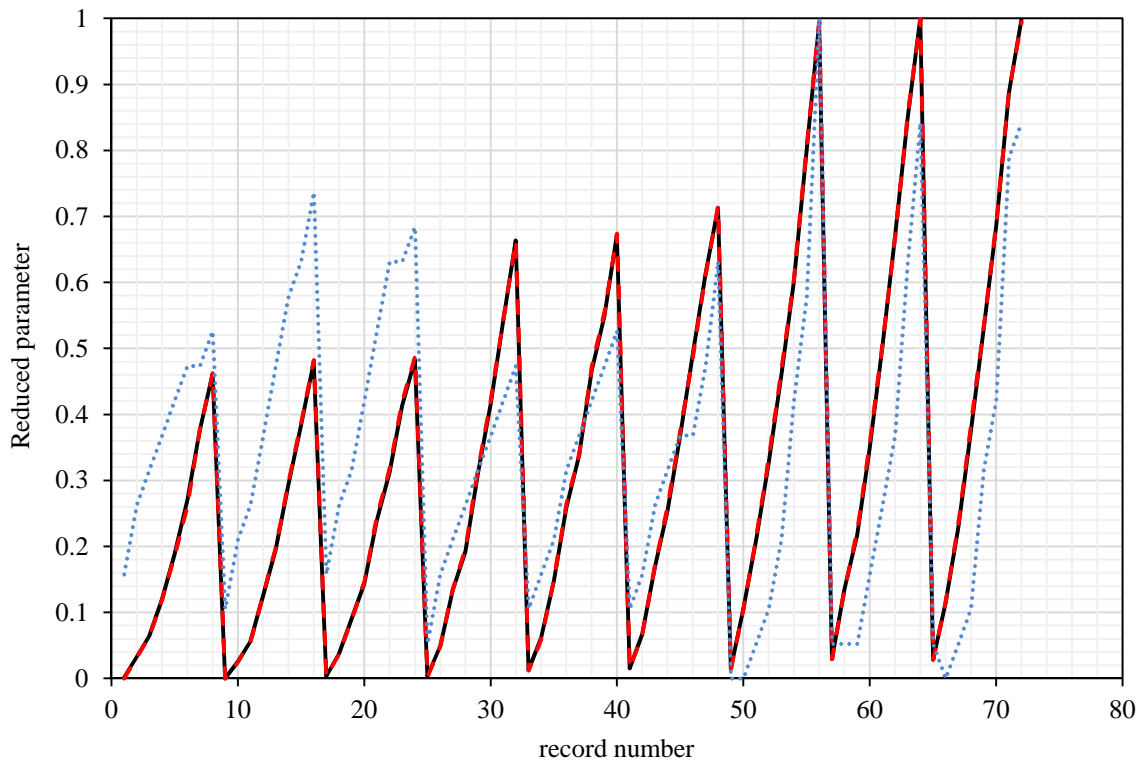
424 presented in a reduced form in Fig. 10. A total of 72 records are generated in the test as described in Table 2. A  
 425 summarised register of the thermodynamic parameters is shown in Fig. 10a whereas a register of the electric  
 426 parameters is shown in Fig. 10b. In these figures, the reduced form is a dimensionless way to represent the  
 427 parameters referred to its maximum and minimum limits throughout the experiment. The calculation of the  
 428 reduced frequency  $f^r$  is presented as an example in Eqn. (33), where the reduced form is denoted with the  
 429 superscript  $r$  and its maximum and minimum limits are denoted with the subscripts  $max$  and  $min$ , respectively,  
 430 all the parameters are reduced analogously.

$$f^r = \frac{f - f_{min}}{f_{max} - f_{min}} \quad (33)$$



431

b) ———  $fr$  Hz,max:534,min:17.8 - - -  $V_{rmsr}$  V,max:200,min:6.8 .....  $I_{rmsr}$  A,max:0.19,min:0



432

433 Fig. 10. Parameters of the test presented in a reduced form; a) thermodynamic parameters; b) electric  
 434 parameters;  $P_{inr}$ : reduced inlet pressure,  $T_{inr}$ : reduced inlet temperature,  $Q_{inr}$ : reduced inlet volume flowrate,  
 435  $fr$ : reduced frequency;  $V_{rmsr}$ : reduced root mean squared voltage;  $I_{rmsr}$ : reduced root mean squared current.

436

The main experimental parameter is the inlet pressure, which is governed by the aperture of the control valve.

437

Therefore, it is expected to rise uniformly, from the minimum to the maximum values of the inlet pressure span

438

in each of the trials; the inlet pressure showed this consistent behaviour throughout the test.

439

Air is throttled from a storage tank to the inlet of the unit, and as the temperature and pressure in the tank

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should be kept constant, the inlet temperature is governed by the temperature in the tank. The inlet temperature

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is, thus, expected to mimic the behaviour of the inlet pressure and their curves should overlap in Fig. 10a.

442

Unfortunately, unlike the pressure, the temperature at the tank is not precisely controlled because the tank is cooled

443

with surrounding air whose temperature varied during the test. Consequently, some difference is found between

444

the behaviour of the inlet temperature and the inlet pressure as can be observed in Fig. 10a.

445

The inlet volume flowrate is driven by the pressure difference from the inlet to the outlet and the hydraulic

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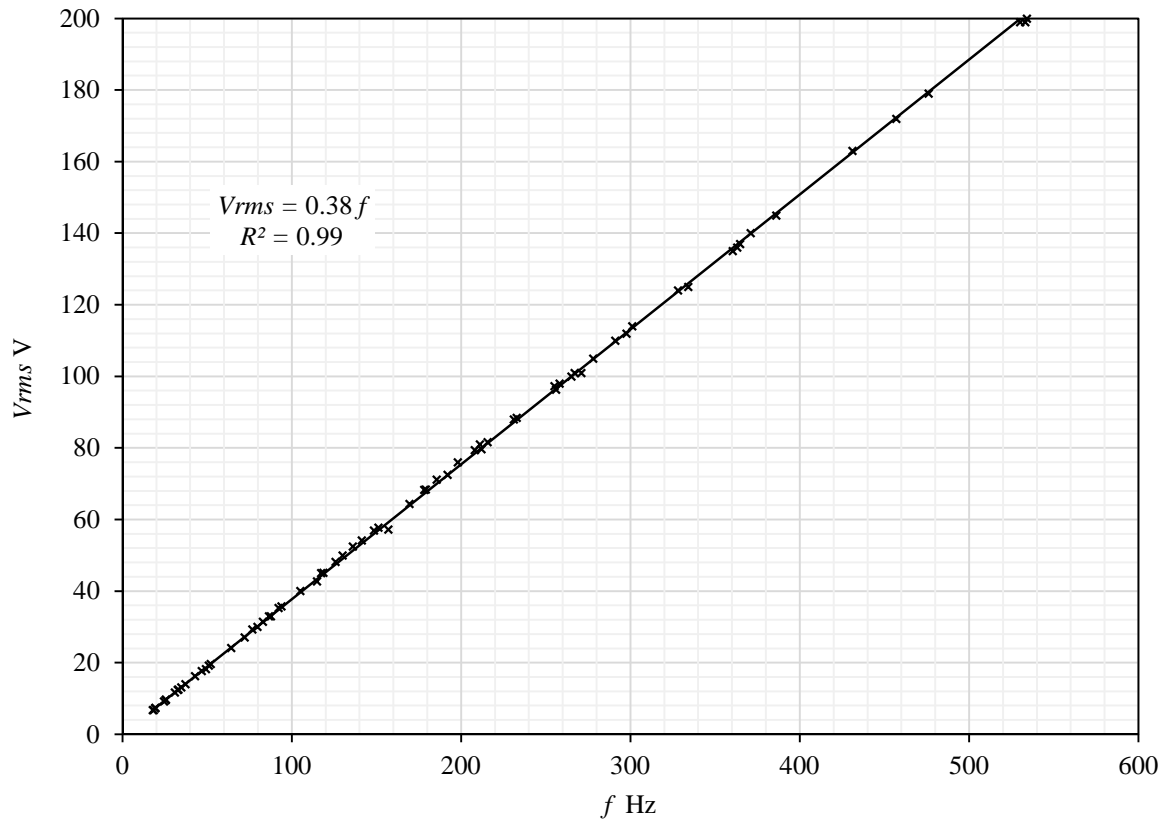
resistance across the rig. As the hydraulic resistance does not change, the volume flowrate is also expected to

447 mimic the behaviour of the inlet pressure. As expected, a good correlation between the behaviour of the inlet  
448 volume flow rate and the inlet pressure can be seen in Fig. 10a.

449 Frequency is an indirect indicator of the rotational speed and therefore, readings of the frequency allowed  
450 indirectly determining the rotational speed, which simplified the setup of the experiment considerably. The  
451 rotational speed responds to changes of the inlet pressure and the load because the rotational speed is ruled by the  
452 expansion ratio and the electromagnetic brake. The frequency presented a good correlation with the inlet pressure,  
453 though a different span is identified for each of the loads. The maximum frequency is  $f=534\text{Hz}$ , which corresponds  
454 to a rotational speed  $\omega=32,040\text{rpm}$ . Nevertheless, a greater frequency of  $f=675\text{Hz}$  corresponding to  $\omega=40,500\text{rpm}$   
455 was achieved but not stabilised due to intermittent operation of the air supply system under the highest air flow  
456 condition.

457 The root mean squared voltage is expected to have a linear correlation with the frequency in accordance with  
458 the Faraday law. As shown in Fig. 11, they have a good linear correlation whose slope corresponds to the product  
459  $nBA$  of the generator windings, where  $n$  is the number of wire turns,  $B$  is the magnetic field and  $A$  is the area of  
460 one spire of the winding.

461 The root mean squared current has a complex relationship with the inlet pressure as it depends, proportionally,  
462 on the available power, and inversely on the load. This means that the current, like the frequency, is expected to  
463 mimic the behaviour of the inlet pressure but with a different span for each of the loads.



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Fig. 11. Electric output of the micro-turbine-generator;  $V_{rms}$ : voltage;  $f$ : frequency.

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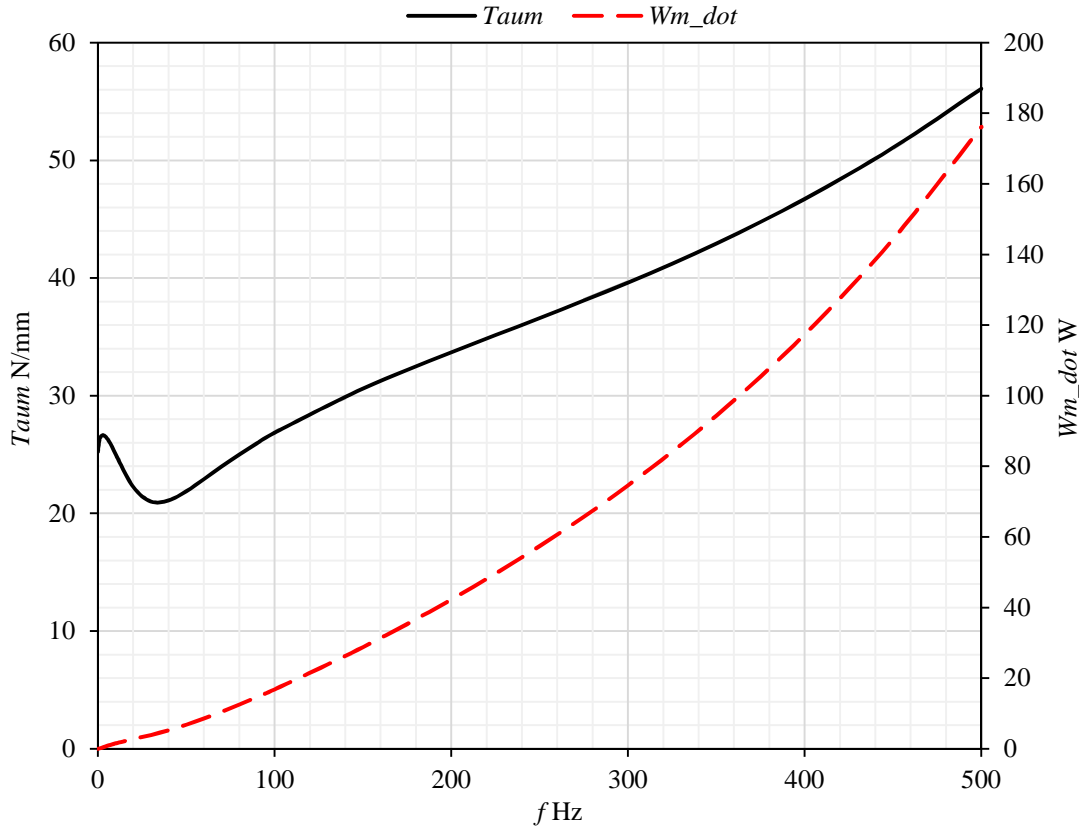
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The power at the load bank is calculated with the current and voltage, as described in Section 2.4. The mechanical loss is estimated and presented in Fig. 12. Although the results mimic the behaviour of the frictional moment reported in the literature of the manufacturer [39], the accuracy of this results should be experimentally confirmed for the specific application. Finally, the electrical losses are found negligible during the test as the power loss in the windings is determined to be under 0.2% of the aerodynamic power.



471

472 Fig. 12. Model for the estimation of mechanical loss;  $f$ : frequency;  $T_{aum}$ : frictional moment;  $Wm\_dot$ :  
 473 mechanical power loss.

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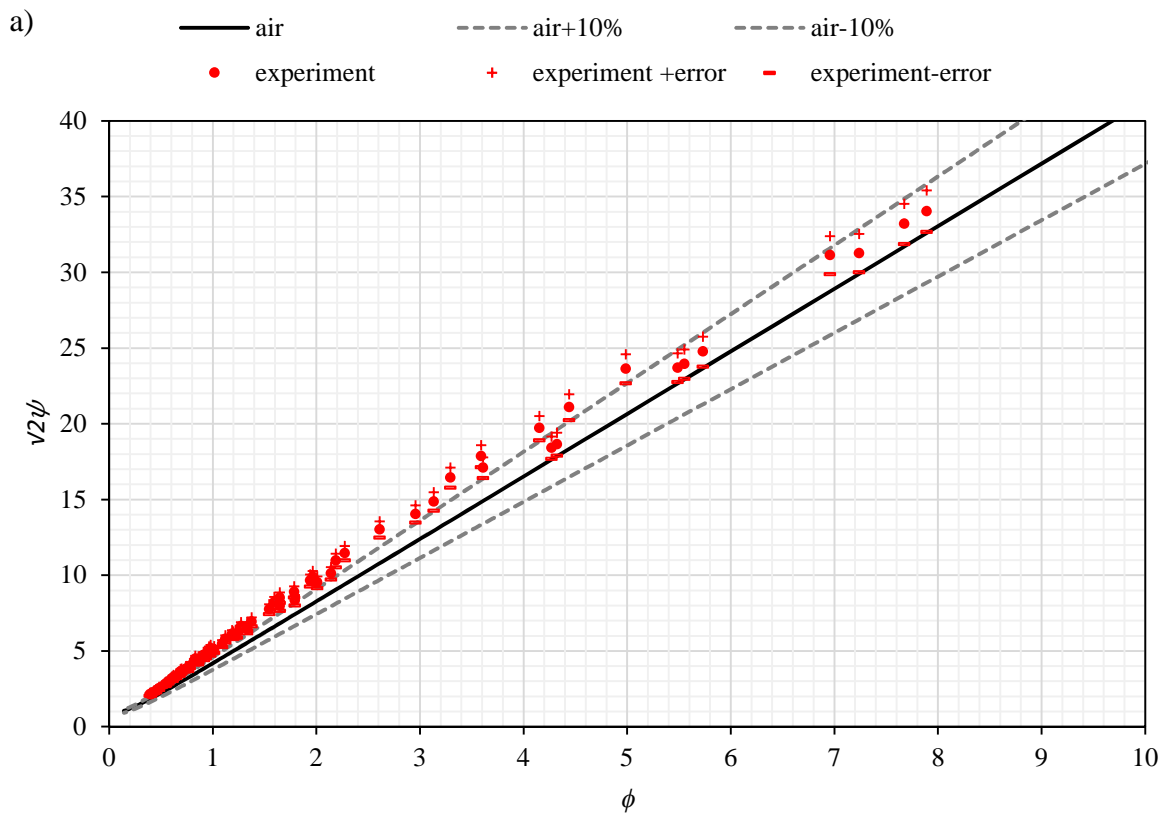
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The aerodynamic efficiency obtained from the air test is compared with the aerodynamic efficiency obtained from the simulation with air, including the expected errors of both results. A comparison in the plane  $\phi - \sqrt{2\psi}$  is shown in Fig. 13a, where the expected linear behaviour for an ungoverned turbine can be clearly identified. Additionally, the correlation of the simulation, with respect to the experiment, seems to be under the expected errors for a wide range of  $\phi$  and  $\sqrt{2\psi}$ . In contrast, Fig. 13b and Fig. 13c show this comparison in the planes  $\sqrt{2\psi} - \eta_{aero}$  and  $\phi - \eta_{aero}$ , respectively. In some regions of these figures the simulation seems to have an error greater than the expected with respect to the test but, in general, both show a similar behaviour. The simulation is apparently overestimating the aerodynamic efficiency with respect to the test at high values of the flow coefficient  $\phi$  and  $\sqrt{2\psi}$  but the results have a better agreement near the point of maximum aerodynamic efficiency. This difference could suggest greater losses than those estimated in the simulation and the causes for this are diverse, however, an inaccurate estimation of the mechanical losses could be the principal reason as explained later in this section.

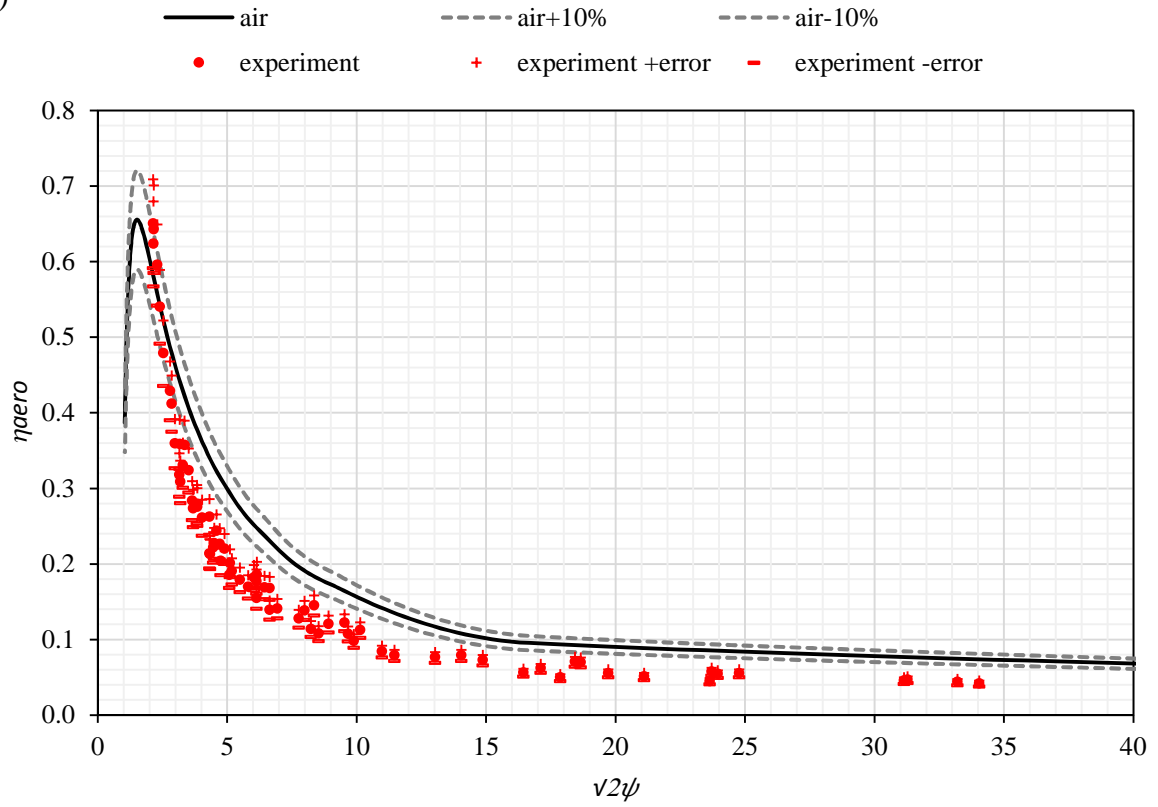
486 The comparison in the plane  $BSR - \eta_{aero}$  is presented in Fig. 13d where absence of data from the test can  
 487 be observed for values of BSR greater than 0.5. This situation might be caused for the lack of available fluid  
 488 power during the test, which suggests the design point and hence the maximum efficiency not being achieved.  
 489 The problem of deviation of experimental results with respect to simulations does not necessarily mean a wrong  
 490 simulation since a deviation of results in experimental campaigns of turbines is not rare. This can be observed in  
 491 the investigation performed by Kang [45], for example, where the variations of the turbine efficiency of around  
 492 0.3 are reported. However, the error might hide a systematic deviation of the simulation with respect to the test,  
 493 and for that reason, further validation should be considered in future work with a reduction of sources of error.



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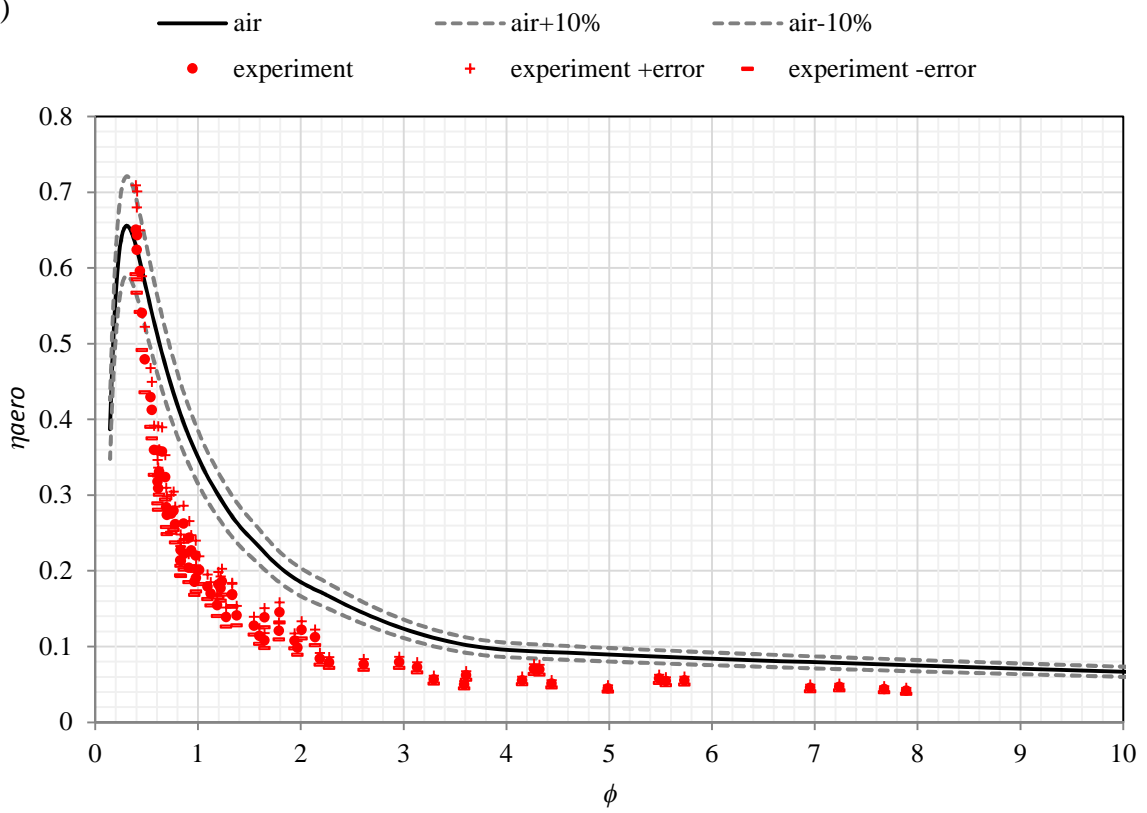


b)

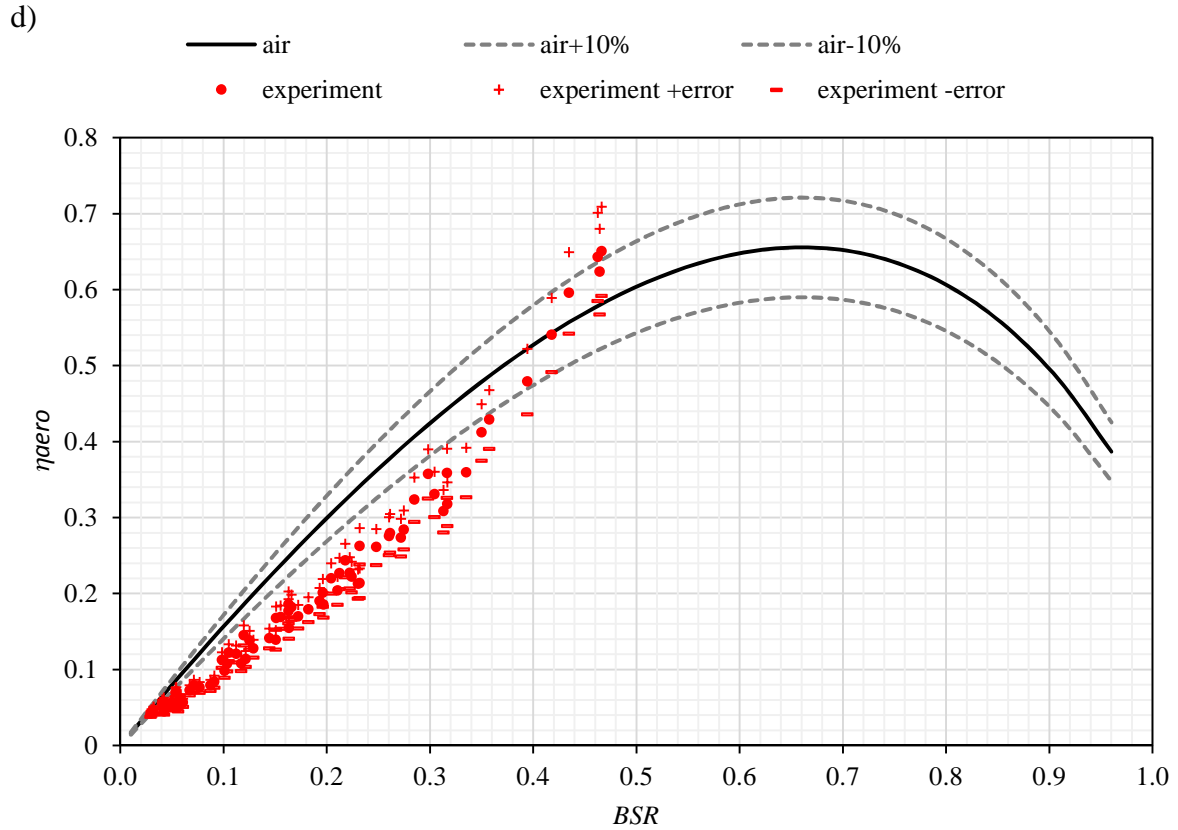


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c)



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498 Fig. 13. Comparison of the performance between the simulation using air and the test using compressed air,  
 499 including their respective  $\pm$  errors; a) plane  $\phi - \sqrt{2\psi}$ ; b) plane  $\eta_{aero} - \sqrt{2\psi}$ ; c) plane  $\phi - \eta_{aero}$  and d) plane  
 500  $BSR - \eta_{aero}$ ;  $\phi$ : flow coefficient,  $\psi$ : loading coefficient,  $BSR$ : blade speed ratio,  $\eta_{aero}$ : aerodynamic efficiency.

501 Four major sources of error are explored: inaccuracy of the estimation of the mechanical loss, imprecise  
 502 fabrication of the prototype, poor control of the inlet temperature and intermittent operation of the air supply  
 503 system.

504 The inaccuracy of the estimation of the mechanical loss may be the principal contributor to error. The  
 505 mechanical loss at the bearings consumes an important fraction of the aerodynamic power, thus, inaccuracy in its  
 506 determination has a major impact on the aerodynamic efficiency. Furthermore, a strong influence of the  
 507 mechanical loss on the aerodynamic efficiency can be observed in *Fig. 13d*, where the lower edge of the cloud of  
 508 points shows a similar behaviour with the mechanical loss shown in *Fig. 12*. This great impact of the mechanical  
 509 loss on the aerodynamic efficiency could be minimised by increasing the aerodynamic power. If the micro-turbine-  
 510 generator aerodynamic power is considerably greater than the mechanical loss, using a denser fluid, for example,  
 511 the aerodynamic efficiency would be barely affected by mechanical loss. Moreover, if such condition is met, the  
 512 rotational speed might not be limited by the lack of fluid power. This could allow the experiment to cover a greater

513 range of blade speed ratio BSR in *Fig. 13d* and consequently, the point of maximum aerodynamic efficiency may  
514 be achieved.

515 An imprecise manufacturing of the prototype is a possible source of error since conventional manufacturing  
516 methods are used to simplify the fabrication of the unit. However, defects of fabrication can cause greater  
517 clearances than assumed in the simulation. With greater clearances, clearance losses could be considerably greater  
518 and consequently, the aerodynamic efficiency in the test results lower than the aerodynamic efficiency in the  
519 simulation. A solution for this could be straightforward: having strict control of clearances during fabrication and  
520 assembly. However, tighter clearances demand high precision equipment and specialised labour, which could  
521 contradict the philosophy of simplifying the fabrication of turbines of this investigation. Thus, greater clearance  
522 losses may be acknowledged in the simulation instead.

523 The lack of control of the inlet temperature results in the dispersion of the experimental aerodynamic  
524 efficiency. This problem is originated in the utility room where the compressor is located, which is scarcely  
525 ventilated and heats-up during operation. Although this problem could be resolved with an additional control of  
526 the temperature, a later analysis revealed that the maximum difference of the inlet temperature is 2.1K between  
527 comparable records. This difference would produce itself an error of 0.04% in the aerodynamic efficiency, 0.01%  
528 in the loading coefficient and 0.7% in the  $\sqrt{2\psi}$ , which is not of a major concern.

529 The intermittent operation of the air supply system resulted in repeated and unpredictable hydraulic transient  
530 effects. The hydraulic transience is an important source of dispersion in the experimental results as it prevents  
531 steady state readings from being reached. Two practical solutions can be implemented to reduce their impact on  
532 the experiment: the first is to replace the existing compressor with a larger flow capacity compressor and the  
533 second is to significantly increase the storage capacity of the current system. Both solutions should be considered  
534 in the future when the required resources become available.

535 The deviation of the simulation is not negligible with respect to the experimental results but the simulation  
536 program can still be accepted according to the reasons given below. Firstly, the simulation presents a good  
537 agreement with the design tool. Secondly, the simulation and the experimental data show a good agreement in the  
538 plane  $\phi - \sqrt{2\psi}$ . Thirdly, the maximum simulated aerodynamic efficiency agrees with the efficiency reported in  
539 specialised literature. Finally, the experimental results are close to the simulation near the maximum efficiency

540 point. Therefore, the program can be used to predict the performance of the turbine using a refrigerant as the  
541 working fluid, with some deviation being acknowledged.

### 542 **3.3. Prediction of the performance with a refrigerant**

543 A prediction of the performance with a refrigerant as the working fluid can be done through simulations.  
544 However, the validation shown that in some cases the differences between the test and the simulation are greater  
545 than 10%. In these instances, the confidence in the prediction can be increased by using an equivalent prediction  
546 from a correlation with test data. Accordingly, two predictions of the performance with refrigerant as the working  
547 fluid are performed; the first based on the simulation program and the second based on the air test.

548 In accordance with the simulation program, the maximum aerodynamic efficiency of  $\eta_{aero}=0.66$  can be  
549 achieved with a flow coefficient  $\phi=0.3$  and squared loading coefficient  $\sqrt{2\psi}=1.6$ . The micro-turbine-generator  
550 operating with R245fa in dynamic similarity should achieve identical values for those parameters. For example,  
551 for a specific enthalpy drop of  $\Delta h_{0s}=8,400\text{J/kg}$  proposed by Hernandez-Carrillo et.al. [25], the rotational speed  
552 would be  $\omega=32,500\text{rpm}$ , the mass flowrate  $\dot{m}=0.22\text{kg/s}$ , the aerodynamic efficiency  $\eta_{aero}=0.66$  and the  
553 aerodynamic power  $\dot{W}_{aero}=1,200\text{W}$ .

554 On the other hand, according to the test, the aerodynamic efficiency  $\eta_{aero}=0.65$  corresponds to a flow  
555 coefficient  $\phi=0.4$  and a squared loading coefficient  $\sqrt{2\psi}=2.15$ . Therefore, for a specific enthalpy drop of  
556  $\Delta h_{0s}=8,400\text{J/kg}$ , the unit would achieve those parameters with a rotational speed of  $\omega=32,500\text{rpm}$ , a mass flow  
557 rate of  $\dot{m}=0.2\text{kg/s}$  and an aerodynamic power of  $\dot{W}_{aero}=1,100\text{W}$ .

## 558 **4. FURTHER DISCUSSION**

### 559 **4.1. Operation capabilities**

560 The micro-turbine-generator has proved its capability to operate in a safe manner at a high rotational speed,  
561 with a power capacity sufficient to satisfy the energy demand of an average single household. Its maximum  
562 aerodynamic efficiency is predicted to be 0.66 which is within the range of efficiency (0.35-0.8) reported by other  
563 investigations with similar capacities and applications, as reviewed by Park et.al. [46]. Therefore, the micro-  
564 turbine-generator presented in this work could be a good option of expander for domestic cogeneration systems  
565 of the ORC type. However, the prototype is yet to be tested in a real environment, i.e., using R245fa as the working  
566 fluid to confirm its capabilities in terms of reliability and performance.

567 The mechanical integrity of the rotor is critically governed by the centrifugal force on the blades, as exposed  
568 by Verstraete et.al. [47], hence it must be verified that the rotor withstands the required rotational speed. If this is  
569 assured, the mechanical integrity of the impeller is likely to be adequate to operate at the ORC conditions as the  
570 bending forces may not be significant. The polymeric impeller, implemented in the micro-turbine-generator,  
571 withstood rotational speeds of up to  $\omega=40,500\text{rpm}$ . This is 13% above the rated rotational speed  $\omega=36,000\text{rpm}$  as  
572 reported by Hernandez-Carrillo et.al. [25]. However, it is 11% lower than the maximum allowed rotational speed  
573  $\omega=45,720\text{rpm}$  defined as 127% of the rated rotational speed, based on the standard ISO10437 [48].

574 The datasheet of PEEK-GF30 reports a maximum service temperature of 533K but the glass transition  
575 temperature of the polyether-ether-ketone is  $T_g=423\text{K}$  according to Jean-Fulcrand et.al.; therefore, the maximum  
576 service temperature should be kept under the glass transition temperature of the polymeric matrix. The differential  
577 pressure drives the bending moment in the blades and is estimated to be lower for air than for the refrigerant.  
578 However, the bending moment does not have a critical influence on the stress of the blades, according to the  
579 analysis performed by Hernandez-Carrillo et.al. [25] and, thus, this issue should not compromise the mechanical  
580 integrity of the impeller.

581 From the thermodynamic, aerodynamic and mechanical perspective, the impeller should perform acceptably  
582 under the design conditions. The evidence, to this point, suggests that PEEK-GF30 can be considered a suitable  
583 candidate for replacing aluminium for the fabrication of the impeller. Nevertheless, important aspects such as the  
584 cyclic loading of the blades and the chemical interaction between the fluid and material, must be addressed before  
585 giving a final verdict.

586 The nozzle body, made of 3-D printed polyethylene, demonstrated to perform well whilst tested with air, and  
587 additionally, the adequate flow pattern and finishing of the surface allowed the micro-turbine-generator to achieve  
588 a state-of-the-art aerodynamic efficiency. However, the nozzle body is a static element whose principal  
589 mechanical load is the differential pressure across itself. Then, when it is exposed to an expansion of a refrigerant,  
590 the stresses in the blades may be considerably greater than the stresses experienced under an expansion of air.  
591 This issue could have a considerable influence on its mechanical performance, and consequently, a comprehensive  
592 study to examine the ability of the nozzle body to withstand the real conditions must be performed. Moreover, the  
593 unresolved issues that are identified for the impeller in terms of cyclic loading and chemical compatibility should  
594 also be addressed for the polyethylene-made nozzle body.

595 The generator demonstrated to perform well at high speeds. The expected voltage per phase, at the design  
596 frequency  $f=600\text{Hz}$ , is  $V_{rms}=224\text{V}$ , which is comparable with the three-phase domestic utility voltage of several  
597 countries, for example. However, as the frequency is unconventional and variable, a power converter is needed  
598 for standardising the output frequency. The maximum current is estimated to be 25% lower than the maximum  
599 allowed current of the generator windings considering the nominal application; this could reduce the electrical  
600 losses and hence the cooling needs for the generator.

#### 601 **4.2. Contribution to the enhancement of expanders**

602 The replacement of metals by polymers can provide an important contribution to the improvement of ORC  
603 expanders as it impacts their technical performance and economy as explained below.

604 Among the technical enhancements, the reduction in weight is probably the most important as it provides  
605 lightness, an attractive feature in several elements. In the case of the impeller, for example, the implementation of  
606 a low-density material proportionally reduces the centrifugal forces in the wheel. This reduction is favourable as  
607 it enhances the mechanical integrity, promotes an agile dynamic response of the rotor and extends the lifespan of  
608 the blades. Moreover, the implementation of a low-density material reduces the imbalance due to manufacturing  
609 defects, which could make balancing less critical. A light impeller also minimises the necessity of a robust  
610 containment in the case of rotor bursting; this represents an important enhancement when compared to metallic  
611 impellers as burst containment becomes critical in domestic applications, where an enhanced safety is greatly  
612 valued.

613 Another attractive characteristic of polymers is the potential reduction in the production cost of parts, when  
614 compared to metal options. An example of this benefit is presented by Crawford [49], who estimated that the  
615 replacement of metals by thermoplastics in the industry of automotive parts can reduce the production cost by up  
616 to 50%. Impellers like the one used in this investigation would ordinarily be machined from a piece of metal. The  
617 fabrication process of metal impellers is typically time-consuming, labour-demanding, energy-intensive and  
618 requires the use of high-precision equipment and tools. In contrast, the production of polymeric parts by moulding  
619 is a fast process that demands minimum labour and energy per unit, which often drives an important reduction of  
620 cost in mass-production. The polymeric material itself may be more expensive compared to metal alternatives;  
621 however, the lower cost of polymeric impellers may lie with the greater savings of labour, energy and time during  
622 manufacturing. In fact, polymeric parts can be fabricated using conventional techniques that are highly economic

623 and moreover, they give acceptable tolerances, good finishing, and short periods of fabrication. These  
624 characteristics are highly beneficial for the assurance of quality and the achievable tight tolerances may minimise  
625 the necessity of post-processing of parts, which makes the fabrication process leaner.

626 In fact, light, compact and easy to manufacture elements for ORC, are particularly attractive in applications  
627 where a reduced footprint and/or portability are strong requirements. The domestic cogeneration and the power  
628 conversion from waste heat of combustion engines are good examples of this, with the latter requiring portable  
629 and cost-effective ORC systems to convert waste heat to useful power.

### 630 **4.3. The future of structural polymers in organic Rankine cycles**

631 The polymeric impeller and the nozzle body of this study have shown their suitability for being implemented  
632 into a low-temperature expander. These findings may promote the adoption of polymers in other elements of  
633 expanders, e.g. casings and bearings. Furthermore, the adoption of structural polymers can be feasible for other  
634 elements of ORCs, and more generally for the refrigeration industry. The elements where polymers may be  
635 adopted include tanks, pipes, heat exchangers, pumps and valves, and beyond.

## 636 **5. CONCLUSIONS**

637 A micro-turbine-generator is designed, analysed, fabricated and tested during this investigation. The micro-  
638 turbine-generator includes a radial inflow turbine and a synchronous generator, both mounted in a common shaft.  
639 The turbine has two structural parts made of polymeric materials: the impeller and the nozzle body. The  
640 performance test of the micro-turbine-generator is conducted with compressed air as the working fluid. The main  
641 conclusions of this investigation are:

- 642 (1) The performance of micro-turbine-generator can be predicted by the developed performance simulation  
643 program with acceptable accuracy. The comparison of the results with air, ideal-R245fa and R245fa  
644 revealed a difference under 10% amongst them; therefore, a dimensionless simulation of the performance  
645 with air should reflect the aerodynamic behaviour of the unit working with R245fa.
- 646 (2) The prototype micro-turbine-generator unit has been demonstrated to work satisfactorily with air as the  
647 working fluid at off-design conditions. The impeller, machined of PEEK-GF30, responded adequately  
648 and withstood the centrifugal forces at a rotational speed of 32,040rpm and a peak rotational speed of  
649 40,500rpm, which is a good indicator of its mechanical integrity. The 3-D printed nozzle body had an  
650 acceptable surface finishing and allowed to achieve acceptable flow angles. The generator responded as

651 expected providing a maximum voltage of 200V at 534Hz, however, a power converter would be required  
 652 to standardise the output signal.

653 (3) The simulation program is validated by the compressed air test. The deviation seems to be greater than  
 654 10% in some cases, therefore, two predictions of the performance, with R245as as the working fluid, are  
 655 made. The first, based on the simulation program, estimated an aerodynamic efficiency of 0.66 and an  
 656 aerodynamic power of 1,200W. The second, based on the air test, showed an aerodynamic efficiency of  
 657 0.65 and an aerodynamic power of 1,100W.

658 The results of this study suggest that polymers may be suitable for components of expanders and other  
 659 elements of ORC and refrigeration systems. However, important questions regarding their lifespan and chemical  
 660 compatibility with working fluids need to be addressed in future research.

## 661 INTEREST DECLARATION AND ACKNOWLEDGMENTS

662 Declarations of interest: none

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## 668 NOMENCLATURE

Symbol	Description	Units
$\dot{W}$	Power	W
$c_p$	Heat capacity, constant pressure	kJ/kg-K
$\dot{m}$	Flowrate, mass	kg/s
$A$	Area	m <sup>2</sup>
$B$	Field, magnetic	T
$b$	Blade height	m
$BSR$	Blade speed ratio	
$c$	Speed, flow absolute	m/s
$D$	Diameter	m
$f$	Frequency, electrical	Hz
FSI	Fluid Structure Interaction	-
$h$	Specific enthalpy	kJ/kg
$I$	Current, electrical	A
$k$	Coefficient, isentropic expansion	-
$L$	Length	m
$n$	Number of spires	-
ORC	Organic Rankine cycle	-



$P$	Pressure	kPa
PEEK-GF30	Polyether-ether-ketone 30% glass-reinforced	-
PETG	Polyethylene Terephthalate Glycol	-
$Q$	Flow rate, volume	m <sup>3</sup> /s
$R$	Resistance, electrical	$\Omega$
$r$	radius	m
R245fa	Pentafluoro-propane, refrigerant	-
REFPROP	Reference Fluid Thermodynamic and Transport Properties- REFPROP	-
$T$	Temperature	K
$t_c$	Tip clearance	m
$u$	Speed, turbine blade	m/s
$V$	Voltage, electrical	V
$Z$	Number of rotor blades	-
$R$	Constant, ideal gas	kJ/kg-K
$w$	Speed, flow relative	m/s
$\Delta h$	Specific enthalpy drop	J/kg
$\alpha$	Angle, absolute velocity	radian
$\beta$	Angle, relative velocity	radian
$\eta$	Efficiency (total to total)	-
$\rho$	Density	kg/m <sup>3</sup>
$\tau$	Moment, torsional	N-m
$\psi$	Coefficient, loading	-
$\omega$	Speed, rotational	rpm
$\phi$	Coefficient, flow	-

669

Subscripts	Description
$0$	Stagnation state, spouting
1	Nozzle inlet
2	Impeller inlet/nozzle outlet
3	Impeller outlet
4	Turbine exhaust
<i>aero</i>	Aerodynamic
<i>bank</i>	Load bank
$c$	Clearance loss, curvature
$c$	Corrected, curvature
cond	Condenser
$e$	Electrical
$e$	Exit loss
evap	Evaporator
$f$	Component in the flow direction, skin friction loss
$g$	Glass transition
$g$	Glass transition
$h$	hydraulic
$i$	Given state, iteration, incidence loss
<i>in</i>	Test rig inlet
<i>loss</i>	Aerodynamic losses
$m$	Mechanical
<i>max</i>	Maximum
<i>min</i>	Minimum
<i>out</i>	Test rig outlet
<i>rms</i>	Root mean square
$s$	Isentropic, secondary flow losses
$u$	Component in the blade direction
$w$	Winding

670

Superscripts	Description
'	further
a	Phase a of generator
b	Phase b of generator
c	Phase c of generator
<i>r</i>	Reduced

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