

1 Abstract

2 JBI have recently began the process of updating and revising their suite of critical appraisal
3 tools to ensure that these tools remain compatible with recent developments made within risk
4 of bias science. Following a rigorous development process led by the JBI Effectiveness
5 Methodology Group, this paper presents the revised critical appraisal tool for the assessment
6 of risk of bias of randomized controlled trials.

7 This paper also presents practical guidance on how the questions of this tool are to be
8 interpreted and applied by systematic reviewers, while providing topical examples. We also
9 discuss the major changes made to this tool compared to the previous version and justification
10 for why these changes facilitate best-practice methodologies in this field.

11

12 Introduction

13 Systematic reviews are a foundational and fundamental component in the practice of
14 evidence-based health care. Systematic reviews involve the collation and synthesis of the
15 results of multiple independent studies that address the same research question. Prior to the
16 creation of these synthesized results, all studies that have been selected for inclusion (i.e.
17 those that meet the *a priori* eligibility criteria)¹ need to be subjected to a process of critical
18 appraisal.^{2,3} The purpose of this appraisal (for quantitative evidence) is to determine the extent
19 to which a study has addressed the possibility of bias in its design, conduct and analysis. By
20 subjecting every study included in a systematic review to rigorous critical appraisal, it allows
21 reviewers to appropriately consider how the conduct of individual studies may impact the
22 synthesized result, thus enabling the synthesized result to be correctly interpreted.⁴

23 Recent advancements in the science of risk of bias assessment⁵⁻⁷ have argued that only
24 questions related to the internal validity of that study, should be considered in the assessment
25 of that study's inherent biases. The assessment of a study's risk of bias often occurs during a
26 structured and transparent critical appraisal process. For example, a question related to how
27 generalizable a participant sample is to the broader population does not impact on that study's
28 internal validity, and thus it's inherent biases,⁵⁻⁸ but is still useful to describe the external
29 validity of that study. There is also now an expectation, that assessments of bias occur at
30 different levels, including outcome level and result level assessments, that may be different
31 within the same study depending on the outcome or result being assessed.^{5,8} These (and
32 other) advancements have been discussed previously in an introduction to this body of work.⁸

33 It is acknowledged that the existing suite of JBI critical appraisal instruments are not aligned
34 to these recent advancements and conflate and confuse the process of 'critical appraisal' with
35 that of 'risk of bias assessment'. Therefore, the JBI Effectiveness Methodology Group, under
36 the auspices of the JBI Scientific Committee updated the entire suite of JBI critical appraisal
37 tools to be better aligned to best practice methodologies.⁸ This paper serves to introduce the
38 revised critical appraisal tool for randomized controlled trials and to provide step-by-step
39 guidance for how to use and implement this tool in future systematic reviews. We also clearly
40 document and justify each major change made for this revised tool.

41

42 Methods

43 In 2021, a working group of researchers and methodologists known as the JBI Effectiveness
44 Methodology Group was tasked by the JBI Scientific Committee⁹ to revise the current suite of

45 JBI critical appraisal tools for quantitative analytical study designs. The aim of this work was
46 to improve the longevity and usefulness of these tools and to reflect current advancements
47 made in this space,⁵⁻⁷ whilst adhering to the reporting and methodological requirements as
48 established by PRISMA 2020¹⁰ and GRADE.¹¹ To summarise this process, the JBI
49 Effectiveness Methodology Group began with cataloguing the questions asked in each JBI
50 critical appraisal tool for study designs that employ quantitative data. These questions were
51 ordered into constructs of validity (internal, statistical conclusion, comprehensiveness of
52 reporting, external) through a series of roundtable discussions between members of the JBI
53 Effectiveness Methodology Group. For questions that were related to the internal validity
54 construct, they were further catalogued to a domain of bias through a series of mapping
55 exercises and round-table discussions. Finally, questions were then separated based on
56 whether they were answered at either the study, outcome, or result level. The full
57 methodological processes undertaken for this revision, including the rationale for all decisions
58 made have been documented previously.⁸

59

60 How to use the revised tool

61 The key changes

62 Similar to previous versions of these tools, the revised JBI critical appraisal tool for RCTs
63 presents a series of questions. These questions aim to identify whether certain safeguards
64 have been implemented by the study to minimize risk of bias or to address other aspects
65 relating to the validity or quality of the study. Each question can be scored as being 'met' (yes),
66 'unmet' (no), unclear or not applicable. As described previously⁸ the wording of these
67 questions presented in the revised JBI critical appraisal tool for RCTs have not been altered
68 in any way from the wording of the questions presented in the previous version of the JBI
69 critical appraisal tool for RCTs.⁴ However, the organization of these questions, the order in
70 which they should be addressed and answered, and the means to answer them have been
71 changed.

72 The questions of this revised tool have been presented according to the construct of validity
73 to which they pertain. The specific validity constructs that are pertinent to the revised JBI
74 critical appraisal tool for RCTs include internal validity and statistical conclusion validity.
75 Questions that have been organized under the 'internal validity' construct have been further
76 organized according to the domain of bias that they are specifically addressing. The domains
77 of bias relevant to the revised JBI critical appraisal tool for RCTs includes bias related to:
78 "selection and allocation", "administration of intervention/exposure", "assessment, detection
79 and measurement of the outcome" and "participant retention". A detailed description of these
80 validity constructs and domains of biases has been reported previously.⁸

81 The principal difference of the revised JBI critical appraisal tool for RCTs in comparison to its
82 predecessor resides in its structure and organisation, which is now deliberately designed to
83 facilitate judgments related to risk of bias at different levels (e.g. bias at the study level,
84 outcome level or bias at the result level) where appropriate.⁸ For the questions that are to be
85 answered at the outcome level (questions 7-12), the tool provides the ability to respond to the
86 questions for up to seven different outcomes. The limit of seven outcomes ensure it aligns with
87 the maximum number of outcomes recommended to be included in a GRADE Summary of
88 Findings Table and/or Evidence Profile.¹² For the questions to be answered at the result level
89 (questions 10-12) the tool presents the option to record a different decision, for three results
90 for each outcome presented (by default). Reviewers may face cases where the number of

91 outcomes being appraised from a particular RCT are less than seven, and the results being
92 appraised are greater than three per outcome. The tool can be edited as required by the review
93 team to facilitate their use in these cases.

94 For example, let us consider a hypothetical RCT that has included two outcomes relevant to
95 a question of a systematic review team. These outcomes are mortality and quality of life, both
96 of which have been measured at two time points within the study. When using this tool,
97 questions 1-6 and 13 are universal to both outcomes as they are addressed at the study level
98 and are only answered once. The reviewer should then address question 7-9 twice, once for
99 each outcome that is being appraised. Likewise, question 10-12 should be addressed
100 separately for both outcomes but should also be assessed for each of the results that has
101 contributed data towards that outcome (e.g., mortality at time point 1 and 2). In this example,
102 the reviewer would assess question 10-12 four different times. It is also important to note that,
103 as with other critical appraisal tools^{3,13} this tool should also be applied in duplicate and
104 independently during the systematic review process. Reviewers should also be wary to only
105 appraise outcomes that are relevant to their systematic review question. If the only relevant
106 outcome from this RCT for the systematic review question was mortality, then appraising the
107 outcome 'quality of life' would not be expected.

108

109 Interpretation of critical appraisal

110 Some reviewers may take the approach to 'remove' studies from progressing to data
111 extraction or synthesis in their review following this critical appraisal process. Removal of a
112 study following critical appraisal may involve considering whether a certain criterion had not
113 been met (e.g., randomization not being demonstrated may warrant removal, assuming the
114 review was not also including other study designs with lesser internal validity due to not
115 attempting randomization). Another procedure may include the review team weighting each
116 question of the tool (e.g., randomization may be twice as important as blinding of the outcome
117 assessors), if a study fails to meet a predetermined 'weight' (decided by the review team) then
118 it may be removed. Other approaches may be to use simple 'cut-off' scores (e.g. if a study is
119 scored with ten "yes" responses then it is included), or to exclude studies that have been
120 "judged" as having a high-risk of bias.⁸ However, we do not recommend that studies are
121 removed from a systematic review following critical appraisal.

122 By removing studies, it presupposes that the purpose of a systematic review is to only permit
123 'high-quality' studies being synthesized together. While it may readily promote alignment to
124 the 'best available' evidence, it limits the full potential of the processes of evidence synthesis
125 to fully investigate eligible studies, their data, and provide a complete 'view' of the evidence
126 available to inform the review question.^{14,15} There are several other approaches to incorporate
127 the results of critical appraisal into the systematic review or meta-analysis. These approaches
128 can include meta-regression, elicitation of expert opinion, using prior distributions, and quality-
129 effect modelling.¹⁶ However, these techniques demand appropriate statistical expertise, and
130 fall beyond the scope of this paper. Regardless of the approach ultimately decided upon by
131 the reviewers, importantly, the results of the critical appraisal process should always be
132 considered in the analysis and interpretation of the findings of the synthesis.

133

134 Overall assessment and presentation of results

135 Previous iterations of the JBI critical appraisal tool for RCTs intuitively supported reviewers
136 assessing the overall quality of study using a checklist- or scale-based tool structure (each
137 item can be quantified, which is enumerated to provide an overall quality score).⁸ The revised
138 tool has been designed to also facilitate judgments specific to the domains of bias in which
139 the questions belong. To provide an example, assuming we have two included studies, their
140 response to questions one, two and three may appear as follows (Table 1):

141 <insert Table 1 here>

142 A reviewer may determine that for study 1, there was a low risk of bias for the domain of
143 'selection and allocation' as all questions responded with a 'yes'. However, for study 2, a
144 reviewer may determine a moderate risk of bias for the same domain, as the response to one
145 of the questions was a 'no'. Importantly, we provide no thresholds for grading of bias severity
146 (i.e. low, moderate, high, critical or other approaches) and leave this to discretion of the user
147 and specific context in which they are working. Considering the questions and assessments
148 in this regard, looking across all included studies (or a single study) can permit the reviewer
149 to readily comment on how the risk of bias may impact on the certainty of their results at this
150 domain-level in the GRADE approach. A judgments-approach as described above is one way
151 for users to adopt the revised JBI critical appraisal tool for RCTs, however the tool is still
152 compatible with either a checklist- or scale-based structure⁸ and the decision for which
153 approach to follow is left to the discretion of the review team.

154 Current tools to appraise RCTs⁵ ask the reviewer to establish an overall assessment to the
155 risk of bias for each appraised study and for the overall body of evidence (i.e., all appraised
156 studies). The revised JBI critical appraisal tool for RCTs does not strictly prescribe to this,
157 regardless of the approach followed. However, if reviewers opt to establish an overall
158 assessment, then these assessments should not take into consideration the questions
159 regarding statistical conclusion validity (questions 11,12, and 13). As risk of bias is only
160 impacted by the internal validity construct.⁸

161 Irrespective of the approach taken, the results of critical appraisal using the revised JBI critical
162 appraisal tool for RCTs should be reported narratively in the review. This narrative summary
163 should provide both an overall description of the methodological quality and risk of bias at the
164 domain level of the included studies. There should also be a statement made regarding any
165 important or interesting deviations from the observed trends. This narrative summary can be
166 supported with the use of a table or graphic that showcases how each included study
167 responded. We recommend presenting the results of critical appraisal for all questions via a
168 table rather than summarizing with a score. For example, a reviewer may use the same
169 example introduced earlier (Table 1), or an additional example (Table 2) attached in the
170 appendix. (Note: these designs are not prescriptive, and only serve as an example).

171

172 The Revised JBI Critical Appraisal Tool for Randomized Controlled Trials

173 The criteria and considerations that should be made by reviewers when answering the
174 questions of the revised JBI critical appraisal tool for RCTs has been provided as Table 3 in
175 the Appendix.

176

177 **Question 1: Was true randomization used for assignment of participants to treatment**
178 **groups?**

179 *Category: Internal validity*

180 *Domain: Bias related to selection and allocation*

181 *Appraisal: Study level*

182 If participants are not allocated to treatment and control groups by random assignment there
183 is a risk that this assignment to groups can be influenced by the known characteristics of the
184 participants themselves. These known characteristics of the participants may distort the
185 comparability of the groups (i.e. does the intervention group contain more people over the age
186 of 65 as compared to the control?). A true random assignment of participants to the groups
187 means that a procedure is used that allocates the participants to groups purely based on
188 chance, not influenced by any known characteristics of the participants. Reviewers should
189 check the details about the randomization procedure used for allocation of the participants to
190 study groups. Was a true chance (random) procedure used? For example, was a list of random
191 numbers used? Was a computer-generated list of random numbers used? Was a statistician,
192 external to the research team consulted for the randomization sequence generation?
193 Additionally, reviewers should check that the authors are not stating they have used random
194 approaches when they have instead used systematic approaches (such as allocating by days
195 of the week).

196

197 **Question 2: Was allocation to groups concealed?**

198 *Category: Internal validity*

199 *Domain: Bias related to selection and allocation*

200 *Appraisal: Study level*

201 If those allocating participants to the compared groups are aware of which group is next in the
202 allocation process, (i.e., the treatment or control group) there is a risk that they may
203 deliberately and purposefully intervene in the allocation of patients. This may result in the
204 preferential allocation of patients to the treatment group or to the control group. This may
205 directly distort the results of the study, as participants no longer have an equal and random
206 chance to belong to each group compared. Concealment of allocation refers to procedures
207 that prevent those allocating patients from knowing before allocation which treatment or
208 control is next in the allocation process. Reviewers should check the details about the
209 procedure used for allocation concealment. Was an appropriate allocation concealment
210 procedure used? For example, was central randomization used? Were sequentially
211 numbered, opaque and sealed envelopes used? Were coded drug packs used?

212

213 **Question 3: Were treatment groups similar at the baseline?**

214 *Category: Internal validity*

215 *Domain: Bias related to selection and allocation*

216 *Appraisal: Study level*

217 As with question 1, any differences between the known characteristics of participants included
218 in compared groups constitutes a threat to internal validity. If differences in these
219 characteristics do exist, then there is potential that the 'effect' cannot be attributed to the
220 potential 'cause' (the examined intervention or treatment). This is because the 'effect' may be
221 explained by the differences between participant characteristics and not due to the
222 intervention/treatment of interest. Reviewers should check the characteristics reported for
223 participants. Are the participants from the compared groups similar with regards to the
224 characteristics that may explain the effect even in the absence of the 'cause', for example,
225 age, severity of the disease, stage of the disease, co-existing conditions and so on? Reviewers
226 should check the proportions of participants with specific relevant characteristics in the
227 compared groups. [Note: **Do NOT** only consider the P-value for the statistical testing of the
228 differences between groups with regards to the baseline characteristics.]

229

230 **Question 4: Were participants blind to treatment assignment?**

231 *Category: Internal validity*

232 *Domain: Bias related to administration of intervention/exposure*

233 *Appraisal: Study level*

234 Participants that are aware of their allocation to either the treatment or the control may behave,
235 respond, or react differently to their assigned treatment (or control) than compared to
236 participants that remain unaware of their allocation. Blinding of participants is a technique used
237 to minimize this risk. Blinding refers to procedures that prevent participants from knowing
238 which group they are allocated. If blinding has been followed, participants are not aware if they
239 are in the group receiving the treatment of interest or if they are in any other group receiving
240 the control interventions. Reviewers should check the details reported in the article about the
241 blinding of participants with regards to treatment assignment. Was an appropriate blinding
242 procedure used? For example, were identical capsules or syringes used? Were identical
243 devices used? Be aware of different terms used, blinding is sometimes also called masking.

244

245 **Question 5: Were those delivering the treatment blind to treatment assignment?**

246 *Category: Internal validity*

247 *Domain: Bias related to administration of intervention/exposure*

248 *Appraisal: Study level*

249 Like question 4, those delivering the treatment that are aware of participant allocation to either
250 treatment or control, may treat participants differently than compared to those that remain
251 unaware of participant allocation. There is the risk that any potential change in behaviour may
252 influence the implementation of the compared treatments and the results of the study may be
253 distorted. Blinding of those delivering treatment is used to minimize this risk. When this level
254 of blinding has been achieved, those delivering the treatment are not aware if they are treating
255 the group receiving the treatment of interest or if they are treating any other group receiving
256 the control interventions. Reviewers should check the details reported in the article about the
257 blinding of those delivering treatment with regards to treatment assignment. Is there any
258 information in the article about those delivering the treatment? Were those delivering the
259 treatment unaware of the assignments of participants to the compared groups?

260

261 **Question 6: Were treatment groups treated identically other than the intervention of**
262 **interest?**

263 *Category: Internal validity*

264 *Domain: Bias related to administration of intervention/exposure*

265 *Appraisal: Study level*

266 To attribute the 'effect' to the 'cause', (assuming no bias related to selection and allocation)
267 there should be no other difference between the groups in terms of treatment or care received,
268 other than the treatment or intervention controlled by the researchers. If there are other
269 exposures or treatments occurring at the same time with the 'cause' (the treatment or
270 intervention of interest), then the 'effect' can potentially not be attributed to the examined
271 'cause' (the investigated treatment). This is because it is plausible that the 'effect' may be
272 explained by these other exposures or treatments that occurred at the same time with the
273 'cause'. Reviewers should check the reported exposures or interventions received by the
274 compared groups. Are there other exposures or treatments occurring at the same time with
275 the 'cause'? Is it plausible that the 'effect' may be explained by other exposures or treatments
276 occurring at the same time with the 'cause'? Is it clear that there is no other difference between
277 the groups in terms of treatment or care received, other than the treatment or intervention of
278 interest?

279

280 **Question 7: Were outcome assessors blind to treatment assignment?**

281 *Category: Internal validity*

282 *Domain: Bias related to assessment, detection and measurement of the outcome*

283 *Appraisal: Outcome level*

284 Like question 4 and 5, those assessing the outcomes that are aware of participant allocation
285 to either treatment or control, may treat participants differently than compared to those that
286 remain unaware of participant allocation. Therefore, there is a risk that the measurement of
287 the outcomes between groups may be distorted, and the results of the study may themselves
288 be distorted. Blinding of outcomes assessors is used in order to minimize this risk. Reviewers
289 should check the details reported in the article about the blinding of outcomes assessors with
290 regards to treatment assignment. Is there any information in the article about outcomes
291 assessors? Were those assessing the treatment's effects on outcomes unaware of the
292 assignments of participants to the compared groups?

293

294 **Question 8: Were outcomes measured in the same way for treatment groups?**

295 *Category: Internal validity*

296 *Domain: Bias related to assessment, detection and measurement of the outcome*

297 *Appraisal: Outcome level*

298 If the outcome is not measured in the same way in the compared groups, there is a threat to
299 the internal validity of a study. Any differences in outcome measurements may be due to the
300 method of measurement employed between the two groups, and not due to the

301 intervention/treatment of interest. Reviewers should check if the outcomes were measured in
302 the same way. Same instrument or scale used? Same measurement timing? Same
303 measurement procedures and instructions?

304

305 **Question 9: Were outcomes measured in a reliable way?**

306 *Category: Internal validity*

307 *Domain: Bias related to assessment, detection and measurement of the outcome*

308 *Appraisal: Outcome level*

309 Unreliability of outcome measurements is one threat that weakens the validity of inferences
310 about the statistical relationship between the 'cause' and the 'effect' estimated in a study
311 exploring causal effects. Unreliability of outcome measurements is one of the different
312 plausible explanations for errors of statistical inference with regards to the existence and the
313 magnitude of the effect determined by the treatment ('cause'). Reviewers should check the
314 details about the reliability of the measurement used, such as the number of raters, training of
315 raters, the intra-rater and the inter-raters reliability within the study (not as reported in external
316 sources). This question is about the reliability of the measurement performed in the study, it
317 is not about the validity of the measurement instruments/scales used in the study. Finally,
318 some outcomes may not rely on instruments or scales (e.g. death) and reliability of the
319 measurements may need to be assessed in the context of the study being reviewed. [Note:
320 Two other important threats that weaken the validity of inferences about the statistical
321 relationship between the 'cause' and the 'effect' are low statistical power and the violation of
322 the assumptions of statistical tests. These other two threats are explored within Question 12).]

323

324 **Question 10: Was follow up complete and if not, were differences between groups in
325 terms of their follow up adequately described and analysed?**

326 *Category: Internal validity*

327 *Domain: Bias related to participant retention*

328 *Appraisal: Result level*

329 For this question, follow up refers to the period from the moment of randomization to any point
330 in which the groups are compared during the trial. This question asks if there is complete
331 knowledge (measurements, observations etc.) for the entire duration of the trial for all
332 randomly allocated participants. If there is incomplete follow up from all randomly allocated
333 participants, this is known as post-assignment attrition. As RCTs are not perfect, there is
334 almost always post-assignment attrition, and the focus of this question is on the appropriate
335 exploration of post-assignment attrition. If differences do exist with regards to the post-
336 assignment attrition between the compared groups of an RCT, then there is a threat to the
337 internal validity of that study. This is because these differences may provide a plausible
338 alternative explanation for the observed 'effect' even in the absence of the 'cause' (the
339 treatment or intervention of interest). It is important to note that with regards post-assignment
340 attrition, it is not enough to know the number of participants and the proportions of participants
341 with incomplete data; the reasons for loss to follow up are essential in the analysis of risk of
342 bias.

343 Reviewers should check if there were differences with regards to the loss to follow up between
344 the compared groups. If follow up was incomplete (incomplete information on all participants),
345 examine the reported details about the strategies used to address incomplete follow up. This
346 can include descriptions of loss to follow up (absolute numbers; proportions; reasons for loss
347 to follow up) and impact analyses (the analyses of the impact of loss to follow up on results).
348 Was there a description of the incomplete follow up including the number of participants and
349 the specific reasons for loss to follow up? Even if follow up was incomplete, but balanced
350 between groups, if the reasons for loss to follow up are different (e.g., side effects caused by
351 the intervention of interest), these may impose a risk of bias if not appropriately explored in
352 the analysis. If there are differences between groups with regards to the loss to follow up
353 (numbers/proportions and reasons), was there an analysis of patterns of loss to follow up? If
354 there are differences between the groups with regards to the loss to follow up, was there an
355 analysis of the impact of the loss to follow up on the results? [Note: Question 10 is NOT about
356 intention-to-treat (ITT) analysis; question 11 is about ITT analysis.]

357

358 **Question 11: Were participants analysed in the groups to which they were randomized?**

359 *Category: Statistical conclusion validity*

360 *Appraisal: Result level*

361 This question is about the intention-to-treat (ITT) analysis. There are different statistical
362 analysis strategies available for the analysis of data from RCTs, such as intention-to-treat
363 analysis (known also as intent to treat; abbreviated, ITT), per-protocol analysis, and as-treated
364 analysis. In the ITT analysis the participants are analysed in the groups to which they were
365 randomized. This means that regardless of whether participants received the intervention or
366 control as assigned, were compliant with their planned assignment or participated for the entire
367 study duration, they are still included in the analysis. The ITT analysis compares the outcomes
368 for participants from the initial groups created by the initial random allocation of participants to
369 those groups. Reviewers should check if an ITT analysis was reported; check the details of
370 the ITT. Were participants analysed in the groups to which they were initially randomized,
371 regardless of whether they participated in those groups, and regardless of whether they
372 received the planned interventions?

373 [Note: The ITT analysis is a type of statistical analysis recommended in the Consolidated
374 Standards of Reporting Trials (CONSORT) statement on best practices in trials reporting, and
375 it is considered a marker of good methodological quality of the analysis of results of a
376 randomized trial. The ITT is estimating the effect of offering the intervention, that is, the effect
377 of instructing the participants to use or take the intervention; the ITT it is not estimating the
378 effect of receiving the intervention of interest.]

379

380 **Question 12: Was appropriate statistical analysis used?**

381 *Category: Statistical conclusion validity*

382 *Appraisal: Result level*

383 Inappropriate statistical analysis may cause errors of statistical inference with regards to the
384 existence and the magnitude of the effect determined by the treatment ('cause'). Low statistical
385 power and the violation of the assumptions of statistical tests are two important threats that

386 weaken the validity of inferences about the statistical relationship between the 'cause' and the
387 'effect'. Reviewers should check the following aspects: were the assumptions of the statistical
388 tests were respected; if appropriate statistical power analysis was performed; if appropriate
389 effect sizes were used; if appropriate statistical methods were used given the nature of the
390 data and the objectives of statistical analysis (association between variables; prediction;
391 survival analysis etc.).

392

393 **Question 13: Was the trial design appropriate and any deviations from the standard**
394 **RCT design (individual randomization, parallel groups) accounted for in the conduct**
395 **and analysis of the trial?**

396 *Category: Statistical conclusion validity*

397 *Appraisal: Study level*

398 The typical, parallel group RCT may not always be appropriate depending on the nature of the
399 question being asked. Therefore, some additional RCT designs may have been employed that
400 each come with their own additional considerations.

401 Crossover trials should only be conducted in people with a chronic, stable condition, where
402 the intervention produces a short-term effect (i.e. relief in symptoms). Crossover trials should
403 ensure there is an appropriate period of washout between treatments. This may also be
404 considered under question 6.

405 Cluster RCTs randomize groups individuals or groups (e.g. communities, wards etc.) , forming
406 'clusters.' When we are assessing outcomes on an individual level in cluster trials, there are
407 unit-of-analysis issues, as individuals within a cluster are correlated. This should be
408 considered by the study authors when conducting analysis, and ideally authors will report the
409 intra-cluster correlation coefficient. This may also be considered under question 12.

410 Stepped wedge RCTs may be appropriate to establish when and how a beneficial intervention
411 may be best implemented within a defined setting, or due to logistical, practical, or financial
412 considerations in the roll out of a new treatment/intervention. Data analysis in these trials
413 should be conducted appropriately, considering the effects of time. This may also be
414 considered under question 12.

415

416 Conclusion

417 Randomized controlled studies are the ideal, and often, the only included study design for
418 systematic reviews assessing the effectiveness of interventions. All included studies must
419 undergo rigorous critical appraisal, which in the case of quantitative study designs, is
420 predominantly focussed on assessment of risk of bias in the conduct of the study. The revised
421 JBI critical appraisal tool for randomized controlled trials presents an adaptable and robust
422 new method for assessing this risk of bias. The tool has been designed to complement recent
423 advancements in the field while maintaining its easy to follow questions. The revised JBI
424 critical appraisal tool for randomized controlled trials offers systematic reviewers an improved
425 and up to date method to assess the risk of bias for randomized controlled trials included in
426 their systematic review.

427

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Appendix

Table 2 – Example presentation of results following critical appraisal using the revised JBI critical appraisal tool for randomized controlled trials.

| | | INTERNAL VALIDITY Bias related to: | | | | | | | | | | STATISTICAL CONCLUSION VALIDITY | | | |
|----------|-----------|---------------------------------------|--------------------------|---|---|---|---|---|---|---|---|---------------------------------------|----|----|----|
| | | DOMAIN | Selection and Allocation | | | Administration of intervention/exposure | | | Assessment, detection, and measurement of the outcome | | | Participant Retention | | | |
| | | QUESTION NO. | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
| STUDY ID | OUTCOME | RESULT | | | | | | | | | | | | | |
| Study 1 | Mortality | Time 1 | | | | | | | Y | Y | Y | Y | Y | Y | Y |
| | Mortality | Time 2 | Y | Y | Y | N | Y | Y | | | | Y | Y | Y | Y |
| | QOL | Time 1 | | | | | | | N | Y | Y | Y | Y | Y | Y |
| | QOL: | Time 2 | | | | | | | | | | N | Y | Y | Y |
| Study 2 | Mortality | Time 1 | | | | | | | Y | Y | Y | Y | Y | Y | Y |
| | Mortality | Time 2 | Y | Y | N | Y | Y | Y | | | | Y | Y | Y | Y |
| | QOL | Time 1 | | | | | | | Y | Y | Y | Y | Y | Y | Y |
| | QOL: | Time 2 | | | | | | | | | | Y | Y | Y | Y |

Table 2 – Example of how the results of critical appraisal may be presented when using the revised JBI critical appraisal tool for RCTs. This example has presented the results that clearly distinguishes the relationship between the result to the outcome, and the outcome to the study. Reviewers can also provide summary judgements for each domain of bias and validity construct presented. For example, for study 1, there may be a low risk of bias for the domain of 'selection and allocation' as all questions responded with a 'yes'. However, study 2, may be considered to have moderate risk of bias for the same domain, as the response to one of the questions was a 'no'.

| | | |
|----------------------|---------------------------|-----------------------|
| RoB Assessor: | Date of Appraisal: | Record Number: |
| Study Author: | Study Title: | Study Year: |

| Internal Validity | | Choice - Comments/Justification | Yes | No | Unclear | N/A |
|---|---|--|--------------------------|--------------------------|--------------------------|--------------------------|
| Bias related to selection and allocation | | | | | | |
| 1 | Was true randomization used for assignment of participants to treatment groups? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 | Was allocation to treatment groups concealed? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 | Were treatment groups similar at the baseline? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Bias related to administration of intervention/exposure | | | | | | |
| 4 | Were participants blind to treatment assignment? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 5 | Were those delivering the treatment blind to treatment assignment? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 6 | Were treatment groups treated identically other than the intervention of interest? | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Bias related to assessment, detection and measurement of the outcome | | | | | | |
| 7 | Were outcome assessors blind to treatment assignment? | | Yes | No | Unclear | N/A |
| | Outcome 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 4 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

| | | | | | |
|-----------|--|--------------------------|--------------------------|--------------------------|--------------------------|
| Outcome 5 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 6 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 7 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

| | | | | | | |
|----------|---|--|--------------------------|--------------------------|--------------------------|--------------------------|
| 8 | Were outcomes measured in the same way for treatment groups? | | Yes | No | Unclear | N/A |
| | Outcome 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 4 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 5 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 6 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 7 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

| | | | | | | |
|----------|---|--|--------------------------|--------------------------|--------------------------|--------------------------|
| 9 | Were outcomes measured in a reliable way | | Yes | No | Unclear | N/A |
| | Outcome 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 4 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 5 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 6 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

| | | | | | |
|-----------|--|--------------------------|--------------------------|--------------------------|--------------------------|
| Outcome 7 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|-----------|--|--------------------------|--------------------------|--------------------------|--------------------------|

Bias related to participant retention

| | | | | | | |
|------------------|---|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| 10 | Was follow up complete and if not, were differences between groups in terms of their follow up adequately described and analysed? | | | | | |
| | Outcome 1 | | Yes | No | Unclear | N/A |
| | Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 2 | | Yes | No | Unclear | N/A |
| | Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Outcome 3 | | Yes | No | Unclear | N/A |
| | Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 4 | | Yes | No | Unclear | N/A | |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

| Outcome 5 | | Yes | No | Unclear | N/A |
|------------------|--|--------------------------|--------------------------|--------------------------|--------------------------|
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 6 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 7 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Statistical Conclusion Validity

11

Were participants analysed in the groups to which they were randomized?

| Outcome 1 | | Yes | No | Unclear | N/A |
|------------------|--|--------------------------|--------------------------|--------------------------|--------------------------|
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 2 | | Yes | No | Unclear | N/A |

| | | | | | |
|------------------|--|--------------------------|--------------------------|--------------------------|--------------------------|
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 3 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 4 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 5 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 6 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 7 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

| | | | | | |
|----------|--|--------------------------|--------------------------|--------------------------|--------------------------|
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

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| | | | | | |
|---|--|--------------------------|--------------------------|--------------------------|--------------------------|
| Was appropriate statistical analysis used? | | | | | |
| Outcome 1 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 2 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 3 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 4 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 5 | | Yes | No | Unclear | N/A |

| | | | | | |
|------------------|--|--------------------------|--------------------------|--------------------------|--------------------------|
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 6 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Outcome 7 | | Yes | No | Unclear | N/A |
| Result 1 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 2 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Result 3 | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

| | | Yes | No | Unclear | N/A |
|-----------|---|--------------------------|--------------------------|--------------------------|--------------------------|
| 13 | Was the trial design appropriate and any deviations from the standard RCT design (individual randomization, parallel groups) accounted for in the conduct and analysis of the trial? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Overall appraisal: Include: Exclude:

Seek Further Info:

| |
|------------------|
| Comments: |
|------------------|

Table 3 – The JBI Critical Appraisal Tool for RCTs