

1 **Surface Thermodynamics of Hydrocarbon Vapors and Carbon Dioxide Adsorption**
2 **on Shales**

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8
9 **Highlights:**

10 Hydrocarbon vapor and carbon dioxide adsorption models on shales are validated.

11 Intrinsic thermodynamic potentials of vapor adsorption on shales are calculated.

12 Isothermic enthalpy and entropy shows temperature-dependent behavior.

13 Isothermic enthalpy and entropy positively correlate to vapor molecular mass.

14
15 **Abstract:** Understanding hydrocarbon vapors and carbon dioxide adsorption mechanism
16 on shales lays the foundation for in situ hydrocarbon resource estimation and enhanced
17 hydrocarbon recovery via carbon dioxide injection. However, surface thermodynamic
18 potentials of hydrocarbon vapor and carbon dioxide adsorption on shales have rarely been
19 reported. This work develops a rigorous framework for direct description of hydrocarbon
20 vapors and carbon dioxide adsorption isotherms on shales and for straightforward
21 calculation of the intrinsic thermodynamic potentials by considering non-ideal gas behavior.
22 On the basis of the Langmuir adsorption model, the maximum adsorption capacity of
23 methane, ethane, propane, n-butane, iso-butane and carbon dioxide adsorption on shales
24 positively correlates to each gas' molecular mass. Carbon dioxide adsorption capacity is
25 higher than methane and ethane but is lower than propane, n-butane and iso-butane.
26 According to the generalized multilayer adsorption model, the monolayer adsorption
27 capacity of n-hexane is slightly higher than that of n-heptane due to the small molecular
28 diameter of n-hexane. The temperature-dependent behavior of isothermic enthalpy and
29 entropy for these vapors is attributed to their non-ideal gas behavior and the temperature-
30 dependent adsorption uptakes. Isothermic enthalpy and entropy in general positively
31 correlate to the molecular mass of vapors. Isothermic enthalpy and entropy of carbon dioxide
32 and propane are almost identical in behavior given that their molecular masses are very
33 close. Isothermic enthalpy and entropy of iso-butane are lower than that of n-butane due to
34 their molecule polarity difference. The shale selectivity of propane, n-butane and iso-
35 butane is higher than carbon dioxide while the shale selectivity of methane and ethane is
36 lower than carbon dioxide. These surface thermodynamic characteristics therefore
37 provide new perspectives on understanding the interaction of hydrocarbon vapors/carbon
38 dioxide and shales for enhanced hydrocarbon recovery via carbon dioxide injection.

39 **Key words:** Shale, Hydrocarbon vapor, Adsorption, Enthalpy, Entropy

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42 **1 Introduction**

43 The application of hydraulic fracturing and horizontal drilling unlocks hydrocarbon
44 resources in low permeability shale formations around the world and revolutionizes the
45 world energy supply chain (1-3). Natural gas production from unconventional shale
46 reservoirs has been a primary focus of energy companies in North America over the past
47 decades. Natural gas produced from subsurface shale formations can be generally
48 grouped into dry natural gas and wet natural gas (4-5). Dry natural gas is composed of
49 mostly methane (CH₄), and wet natural gas contains methane and a descent amount of
50 natural gas liquids (NGLs). The NGLs are hydrocarbon mixtures of ethane (C₂H₆),
51 propane (C₃H₈), n-butane (nC₄H₁₀), isobutane (isoC₄H₁₀), and natural gasoline (known
52 as pentane (C₅H₁₂) and heavier hydrocarbons) (6). While NGLs are gaseous at
53 subsurface reservoir conditions, they condense under atmosphere pressure and
54 transform into liquids. NGLs are also central ingredients in many industrial processes like
55 the petrochemical process, ethylene production, ethanol denaturing and fuel uses, which
56 plays an essential role in most sectors of the economy (5-7). NGLs production therefore
57 provides incentives to drill in liquids-rich shale reservoirs with significant NGLs content
58 and also yields added benefits for shale gas development in major shale basins in North
59 America.

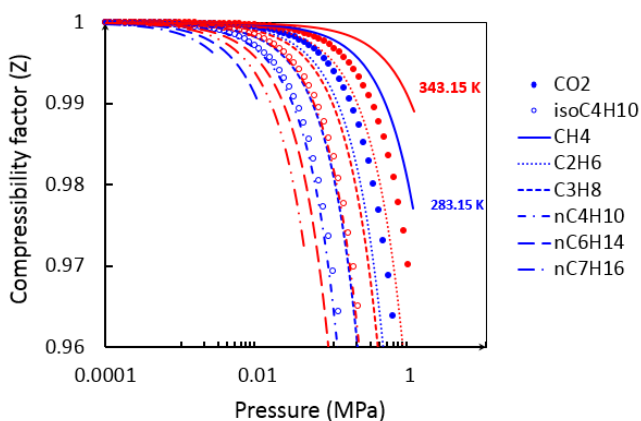
60 Organic-rich shale is a complex natural material manifesting nanoscopic properties such
61 as abundant nanopores and extremely low permeability (nanoDarcy), as well as complex
62 compositions, including minerals and organic matter (8-12). These nanoscopic
63 characteristics along with the high temperature and high pressure in situ reservoir
64 conditions limit a realistic understanding of hydrocarbon fluid storage and transport
65 mechanisms in shale formations. Previous studies argue that the phase behavior of
66 hydrocarbons in a confined nanospace is different from the conventional equation of state
67 (13-15). It has also been shown that a good history match for oil production from wells in
68 the middle Bakken formation is obtained only after considering the fluid phase behavior in
69 small pores (14). Therefore, a true understanding of hydrocarbon phase behavior in shales
70 is important for developing new, enhanced hydrocarbon recovery technologies.

71 Adsorption is generally defined as the enrichment of molecules, atoms or ions in the
72 vicinity of an interface. In the case of gas/solid systems, adsorption takes place in the
73 vicinity of the solid surface and outside the solid structure (16-18). Adsorption is a
74 consequence of surface energy and is generally classified as physical and chemical
75 adsorption. The physical adsorption is the characteristic of weak of van der Waals forces
76 and chemical adsorption is characteristic of covalent bonding covalent bonding (17). Gas
77 adsorption approach, as a physical adsorption process, has been widely used for
78 evaluating nanoconfinement effect on phase behavior, separating pure gas from mixtures
79 as well as probing nanopore structure of porous media (16-23). This routinely used
80 approach has been adopted by researchers in shale gas industry to understand
81 hydrocarbon vapores and shales interaction. Butane adsorption and condensation
82 behavior in shale and semectite clay were studied using NMR, which indicates that when
83 the pore size and pressure satisfy the Kelvin equation and the surface is hydrophobic,
84 both nanopores in kerogen and nano/micropores between clay crystals can provide
85 storage for hydrocarbons (24-25). Adsorption isotherms of n-hexane and n-heptane on
86 two retorted oil shales were measured to probe the surface area of the shale samples

87 which indicates the internal surface area of both shales are relatively low (26). Sorption of
88 methane, ethane, propane, butane, carbon dioxide and nitrogen on asphaltene shows that
89 asphaltene is a swellable amorphous sorbent and it also has a comparable adsorption
90 capacity of microporous carbon with specific surface area over 1000 m²/g (27). Sorption
91 of methane, ethane, propane, butane, carbon dioxide and nitrogen on kerogen shows that
92 these vapors not only adsorb on the surface of kerogen but also dissolve in kerogen itself,
93 and the heat of enthalpy was studied using Clausius-Clapeyron relationship (28). Pore
94 structure and surface properties of shales were characterized by octane and heptane
95 through Zeta adsorption isotherms (29). Based on the assumption that an organic pore is
96 hydrophobic and a mineral pore is hydrophilic, three vapors, water, hexane and nitrogen,
97 were used to probe the surface area of different shales (30). Energetic effects of
98 adsorption of heptane, heptene, octane, hexene and hexane on hard coal samples of
99 different metamorphism were studied, which shows that hexane behaves differently than
100 other hydrocarbons of longer chains which may represent micropore penetration (31).
101 Adsorption properties of hydrocarbons (n-decane, methylcyclohexane and toluene) on
102 clay minerals were investigated, which shows that the adsorption properties of n-decane,
103 methyl cyclohexane and toluene are associated with the specific surface areas, the pore
104 volumes of mesopores and equilibrium pressures (32). A n-alkane adsorption evaluation
105 model was developed on the basis of n-decane adsorption data on shales, and this study
106 reveals that the adsorbed and condensed amounts of n-decane are directly controlled by
107 total pore volume provided mainly by organic matters and pyrite and siderite in shales (33).
108 Ad/desorption isotherms of various hydrocarbons and carbon dioxide on two shales were
109 measured, which shows the significant adsorption hysteresis of ethane, propane, n-
110 butane and iso-butane adsorption on shales and the hysteresis is more pronounced under
111 high pressure conditions (34-35). Apart from these experimental studies, molecular
112 dynamics simulation of adsorption was also used to study phase behavior of hydrocarbon
113 vapors on shale pores. The phase behavior of various hydrocarbons in nanopores was
114 studied via an engineering density functional theory (DFT) combined with Peng Robinson
115 equation of state, which shows that capillary condensation and hysteresis are more likely
116 in heavier hydrocarbons, at lower temperatures and in smaller pores, and phase change
117 always occurs below the critical temperature and saturation pressure (36). Grand
118 canonical Monte Carlo (GCMC) simulation for CH₄ and nC₄H₁₀ mixture adsorption
119 indicates that decreasing gas pressure accelerates CH₄ desorption but enhances
120 nC₄H₁₀ adsorption, and CO₂ injection has the capacity to enhance heavier hydrocarbon
121 recovery (37). GCMC simulation combined with engineering DFT was also applied to
122 study the effect of pressure, temperature, and nanopore sizes on the bubble/dew point
123 and hysteresis of hydrocarbons in nanopores, and it was found that the dew point of pure
124 confined fluids approaches bulk saturation point as pore size increases, but bubble point
125 can be very different from bulk even for very large pores (38). These modeling insights
126 were also validated by experimental data using differential scanning calorimetry, which
127 indicates that as pore diameter decreases from 37.9 to 4.1 nm, the bubble point increases
128 by as much as 15 K above bulk until the observed supercritical state (39-40). A phase
129 equilibrium model inside shales by considering capillary pressure and adsorption effects
130 was developed, and the modeling results of hydrocarbon mixtures show that adsorption
131 and capillary pressure can significantly change the bulk phase composition and its
132 corresponding phase envelope (41). The heavier components are always preferentially
133 adsorbed, the adsorption selectivity of heavier components is moderate at high pressure

134 and low temperature compared to that at low pressure and high temperature, and the
135 adsorption effects are stronger for the gas bulk phase region, leading to bigger changes
136 in the gas phase composition and the shift of the dew point curve (41).

137 Even though previous studies advance our understanding about heavier hydrocarbons
138 and shale interactions, some fundamental questions still remain unclear for the
139 hydrocarbon vapor adsorption process in shales. First, current available adsorption
140 models such as the classic Langmuir model and the multilayer adsorption model have
141 rarely been employed to describe measured hydrocarbon vapors adsorption isotherms on
142 shales. Even though high quality adsorption isotherm data were measured, quantitative
143 analysis of these adsorption isotherms via adsorption models were not conducted (34-35).
144 The lack of a suitable adsorption model makes it challenging to study the impact of shale
145 material properties on hydrocarbon vapor adsorption behavior on shales quantitatively.
146 Second, investigation of surface thermodynamic potentials such as enthalpy and entropy
147 for hydrocarbon vapors and carbon dioxide on shales were rarely reported. The isosteric
148 enthalpy of adsorption is historically estimated by applying the Clausius-Clapeyron (C-C)
149 relationship to measured adsorption isotherm data, and the C-C approach is based on the
150 ideal gas law and ignores the contribution of the adsorbed phase (42-43). This means a
151 non-ideal gas behavior cannot be considered using the C-C approach (44-45). The non-
152 ideal behavior of hydrocarbon vapors and carbon dioxide is presented via the
153 compressibility factor (Z), which is a function of temperature and pressure as shown in
154 Figure 1. Even though an analytical approach has been developed for calculating the
155 thermodynamic potentials of supercritical fluids and water vapor adsorption on shales and
156 coal accurately (46-49), whether the same principle can be used for hydrocarbon vapors
157 adsorption on shales has not been validated yet. Thermodynamic potentials are essential
158 indicators of adsorbate-adsorbent interactions, and a suitable adsorption model is the
159 basis of thermodynamics analysis. Therefore, it is urgent to find suitable adsorption
160 models for describing hydrocarbon vapors and carbon dioxide adsorption behavior on
161 shales and to develop a rigorous framework for accurate estimation of the corresponding
162 thermodynamic potentials.



163

164 **Figure 1 Compressibility factor (Z) of hydrocarbon vapors and carbon dioxide as a**
165 **function of pressure and temperature; blue color represents 283.15K and red color**
166 **represent 342.15K. The data is obtained using NIST Reference Fluid**
167 **Thermodynamic and Transport Properties Database (REFPROP) version 8.0.**

168 This work first develops a rigorous framework for describing vapor adsorption behavior in
169 porous media based on the classic Langmuir model and the generalized multilayer
170 adsorption model. The framework also allows the direct calculation of the thermodynamic
171 potentials relevant to adsorption by considering non-ideal gas behavior. The developed
172 frame is then tested and validated by measured hydrocarbon vapors (methane, ethane,
173 propane, n-butane, iso-butane, n-heptane and n-hexane) and carbon dioxide adsorption
174 isotherms on four shales. Finally, the adsorption capacity, isosteric enthalpy and entropy
175 as well as some insights for engineering application are discussed in detail. These results
176 provide initial answers for modeling hydrocarbon vapor and CO₂ adsorption isotherms on
177 shales as well as analyzing corresponding thermodynamic potentials.

178 2 Theory

179 2.1 Adsorption model

180 Adsorption isotherms present the relation, at constant temperature, between adsorption
181 uptake and equilibrium pressure of gas. The experimentally measured adsorption uptake
182 is Gibbs excess adsorption uptake and is always lower than the absolute (true) adsorption
183 uptake (50). The difference between Gibbs excess adsorption uptake and absolute
184 adsorption uptake cannot be ignored for gas adsorption measurement under higher
185 pressures, however, when the equilibrium pressure is relatively low, the Gibbs excess
186 adsorption uptake is identical to the absolute (true) adsorption uptake (17-18,51). Previous
187 studies reveal that hydrocarbon vapors adsorption isotherms on shales and kerogen follow
188 type I and type II style isotherms according to IUPAC recommendations (26, 28, 34-35).
189 Type I and II style isotherms could be described by the monolayer Langmuir model and
190 unrestricted monolayer-multilayer models, respectively.

191 The classic Langmuir model is the most widely used one because of its simplicity,
192 effectiveness, and the reasonable explanation of its parameters. Langmuir's model and
193 the corresponding surface coverage (θ^L) can be shown in the following form,

$$194 \quad n_a = \frac{n_0 K_0 P}{1 + K_0 P} \quad (1)$$

$$195 \quad \theta^L = \frac{n_a}{n_0} = \frac{K_0 P}{1 + K_0 P} \quad (2)$$

196 where n_a is the adsorption uptake, n_0 is the maximum adsorption capacity determined by
197 material properties, P is the adsorption pressure, K_0 is the Langmuir constant which is a
198 function of temperature, $K_0 = A_0 e^{\left(\frac{E_0}{RT}\right)}$, where A_0 is prefactors, E_0 is the binding energy of
199 the adsorption site, R is universal gas content, T is temperature.

200 For monolayer-multilayer adsorption, BET (Brunauer–Emmett–Teller) model is the first
201 and basic equation for describing multilayer adsorption behavior in porous media (16).
202 The BET equation is adopted to evaluate the surface area of porous materials according
203 to nitrogen adsorption data (18). In practice, the BET model could only describe adsorption
204 behavior under a relative low pressure (52). In order to extend the application of the
205 multilayer adsorption concept, the BET model was updated as a generalized multilayer
206 adsorption model which is called GAB (Guggenheim-Anderson-de Boer) or Dent's model
207 (53-55). The generalized multilayer adsorption model and the corresponding surface
208 coverage (θ^M) have the following form,

209
$$n_a = \frac{n_m K_1 P}{(1 - K_2 P + K_1 P)} \frac{1}{(1 - K_2 P)} \quad (3)$$

210
$$\theta^M = \frac{n_a}{n_m} = \frac{K_1 P}{(1 - K_2 P + K_1 P)} \frac{1}{(1 - K_2 P)} \quad (4)$$

211 where n_a is the adsorption uptake, n_m is the monolayer adsorption capacity, K_1 and K_2
 212 are related adsorption energies of different adsorption sites as a function of temperature,
 213 $K_1 = A_1 \exp(\frac{-E_1}{RT})$ and $K_2 = A_2 \exp(\frac{-E_2}{RT})$, where A_1 and A_2 are corresponding prefactors,
 214 E_1 and E_2 are corresponding binding energy of the adsorption sites, respectively. If one
 215 assumes there is only one type of adsorption sites and set $K_2=1$, equation (3) is reduced
 216 to the classic BET model. It is worth emphasizing the different derivation routes of the BET
 217 model and its generalized forms here. Both BET model and GAB model are on the basis
 218 that that the adsorbed phase in higher layers is in a condensed states and the heat of
 219 adsorption in higher layers is either equal to or less than the heat of liquefaction (16,53,55)
 220 while Dent's model is developed from kinetics theory and does not necessarily assume
 221 the condensed state of higher adsorbed layers (54). Although these adsorption models
 222 are not physically and mathematically equivalent, they do yield essentially equivalent
 223 results and interpret the same adsorption process.

224 2.2 Isotheric enthalpy

225 Based on the Clausius–Clapeyron relationship (42-43), it is known that,

226
$$\Delta H_{ad} = \left(\frac{dP}{dT}\right)_{n_a} \cdot T \cdot \Delta v \quad (5)$$

227 where ΔH_{ad} is the isosteric enthalpy of adsorption, $\Delta v(= (v_g - v_a))$ is the volume change of
 228 the phase change, v_g is the molar volume of the bulk gas phase, v_a is the molar volume
 229 of the adsorbed phase. If the volume of the adsorbed layer can be ignored ($V_a \rightarrow 0$) and
 230 the gas is approximated as an ideal gas ($P v_{g-IG} = RT$, the subscript IG denotes ideal gas)
 231 under low vapor pressure conditions. Equation (5) can be written as the classic C-C
 232 equation as seen in equation (6).

233
$$\Delta H_{ads-IG} = \left(\frac{dP}{dT}\right)_{n_a} \frac{RT^2}{P} = -R \left[\frac{\partial(\ln P)}{\partial(1/T)} \right]_{n_a} \quad (6)$$

234 Equation (6) is historically used to calculate isosteric enthalpy of adsorption for gas (vapor)
 235 adsorption from adsorption isotherms. First, a linear relationship $\ln(P)$ and $1/T$ at a specific
 236 adsorption uptake is obtained from multiple adsorption isotherms at different temperatures
 237 (47,56-57). Then, the isosteric enthalpy of adsorption is approximated using the slope of
 238 the linear relationship. This routinely adopted procedure is tedious and the obtain isosteric
 239 enthalpy are not accurate because it is not easy to obtain the linear relationship $\ln(P)$ and
 240 $1/T$ at a specific adsorption uptake, and the temperature-dependency feature of the
 241 isosteric enthalpy of adsorption cannot be revealed either (47). Observing equation (5), it
 242 is clear that if the derivative term, $\left(\frac{dP}{dT}\right)_{n_a}$, is obtained from measured adsorption isotherms,
 243 the isosteric enthalpy is readily available (58).

244 If one assumes the adsorption process follows the Langmuir equation (eq.1) and applies
 245 the Chain Rule for implicit differentiation (58), one form of the derivative term can be
 246 obtained as shown in equation (7),

$$247 \left(\frac{dP}{dT}\right)_{n_a}^L = \left(\frac{\partial\theta}{\partial P}\right)_{n_a}^{-1} \left(\frac{\partial\theta}{\partial K}\right)_{n_a} \left(\frac{\partial K}{\partial T}\right)_{n_a} = -E_0 \cdot \frac{P}{RT^2} \quad (7)$$

248 If one assumes the adsorption process is monolayer-multilayer adsorption following
 249 equation 3, another form of the derivative term can be obtained as shown in equation (8)
 250 (46),

$$251 \left(\frac{dP}{dT}\right)_{n_a}^M = \left(\frac{\partial\theta}{\partial P}\right)_{n_a}^{-1} \left(\frac{\partial\theta}{\partial K}\right)_{n_a} \left(\frac{\partial K}{\partial T}\right)_{n_a} = -\frac{[E_1(1-K_2P)(1-K_2P) + E_2K_2P(2-2K_2P + K_1P)]}{(1+K_1K_2P^2 - K_2^2P^2)} \cdot \frac{P}{RT^2} \quad (8)$$

252 For dilute gas adsorption, the contribution of the adsorbed phase ($V_a \rightarrow 0$) is ignorable. If
 253 the real gas law is then applied ($P_{v_{gRG}} = ZRT$, Z is the compressibility factor of gas and
 254 the subscript RG denotes real gas), two different forms of isosteric enthalpy can be
 255 obtained by substituting equations (7- 8) into equation (5),

$$256 \Delta H_{ad_RG}^L = -Z \cdot E_0 \quad (9)$$

$$257 \Delta H_{ad_RG}^M = -Z \cdot \frac{[E_1(1-K_2P)(1-K_2P) + E_2K_2P(2-2K_2P + K_1P)]}{(1+K_1K_2P^2 - K_2^2P^2)} \quad (10)$$

258 The negative sign means the adsorption process is an exothermic process as shown in
 259 equation (9 & 10). Equation (9) indicates that the isosteric enthalpy derived from Langmuir
 260 model shows temperature-dependent behavior as the compressibility factor (Z) is
 261 temperature-dependent and the binding energy (E_0) remains constant. Equation (10)
 262 shows temperature-dependent behavior as the compressibility factor (Z), K_1 and K_2 are
 263 temperature-dependent.

264 When gas pressure is extremely low and the gas can be treated ideal gas ($Z=1$), equation
 265 (9) actually represents the heat of adsorption in Henry's law region, also called mean
 266 isosteric heat of adsorption,

$$267 \Delta H_{ad_IG}^H = -E_0 \quad (11)$$

268 Equation (11) is consistent with previous studies that the heat of enthalpy in Henry's law
 269 region is independent of temperature and essentially reflects the overall heterogeneous
 270 properties of the adsorbent (47, 56). The heat of enthalpy in Henry's law region can also
 271 be used an index to evaluate the gas adsorption affinity of adsorbents such as coal and
 272 shale in the limit of very dilute adsorption (47, 56). When thermodynamics analysis is
 273 outside the Henry's law region, equations (9 or 10) have to be employed to consider the
 274 non-ideal gas effect.

275 **2.3 Isosteric entropy**

276 The isosteric entropy of adsorption (ΔS_{ads}) and its change with surface coverage reveals
 277 the mobility of adsorbed phase. The isosteric entropy of adsorption refers to the change

278 in entropy due to adsorption at a constant absolute adsorption uptake, which is readily
 279 defined by the Clausius–Clapeyron relationship (42-43),

280
$$\Delta S_{ads} = \left(\frac{dP}{dT}\right)_{n_a} \cdot \Delta v \quad (12)$$

281 Comparing to equation (5), it is clear that ΔS_{ads} is readily available when ΔH_{ads} is known
 282 as shown in equation (13),

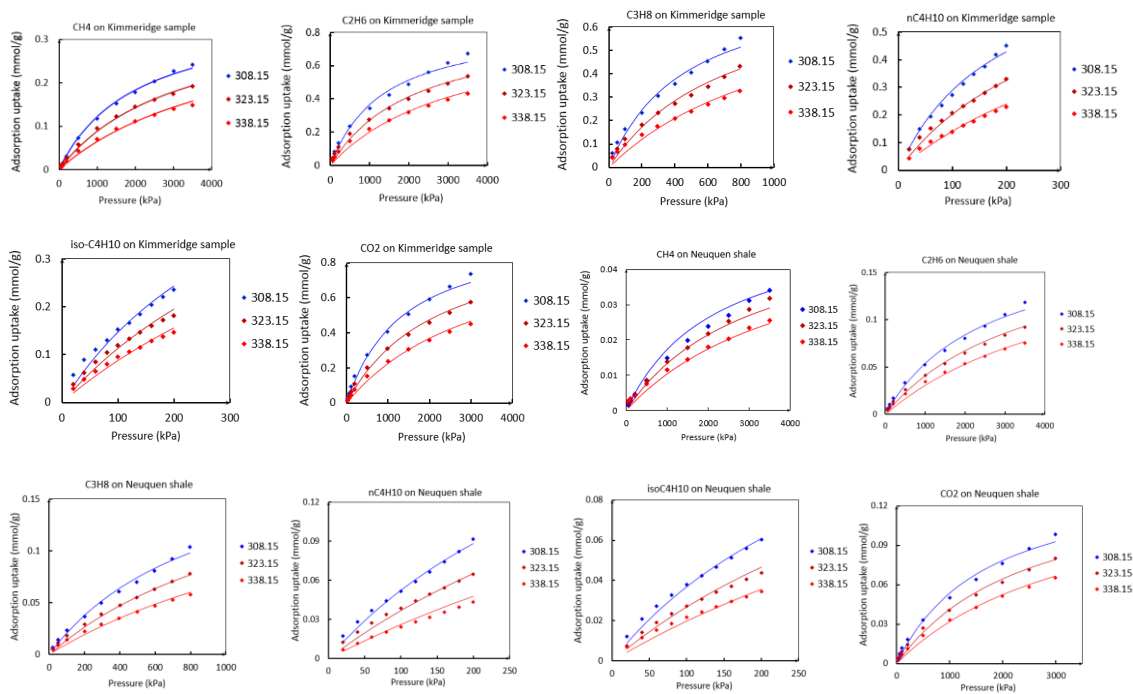
283
$$\Delta S_{ads} = \frac{\Delta H_{ads}}{T} \quad (13)$$

284 Equation (13) indicates the isostereic entropy is negative and the negative entropy means
 285 that the adsorption process is an enthalpy driven process.

286 **3 Data acquisition**

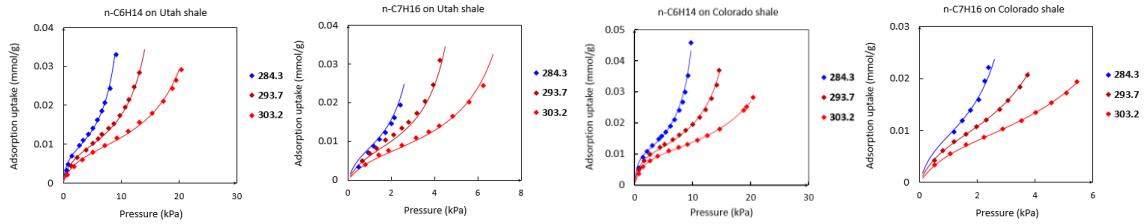
287 The hydrocarbon vapors and CO₂ adsorption in shales are directly retrieved from two
 288 publications (26, 34). In Pedram’s work, hexane (nC6H14) and heptane (nC7H16) vapor
 289 adsorption isotherms on Colorado and Utah retorted shales at temperatures of 284.3,
 290 293.7 and 303.2 K. In Zhao’s work, CH₄, C₂H₆, C₃H₈, nC₄H₁₀, isoC₄H₁₀ and CO₂
 291 sorption on Kimmeridge and Neuquen shales are conducted at temperatures of 308.15,
 292 323.15 and 338.15 K. The adsorption isotherms are measured using gravimetric approach
 293 in both works, and detailed information about these tests and shale properties can be
 294 found in the original publications (26, 34). The pertinent data from these papers are shown
 295 in Figure 2, which includes 48 adsorption isotherms with 498 measured data. Since the
 296 maximum equilibrium pressure is less than 4000 kPa for all test data, the measured
 297 adsorption uptakes are treated as the absolute adsorption uptakes in the following
 298 discussions according to Gibbs adsorption concept.

299



300

301



302

303 **Figure 2 Adsorption isotherms of hydrocarbon vapors and CO₂ on shales (26, 34);**
 304 **the solid points are measured data and solid lines are fitting curves using eq. 1 for**
 305 **Kimmeridge sample and Neuquen shales and eq. 3 for Colorado and Utah retorted**
 306 **shales.**

307 The observed CH₄, C₂H₆, C₃H₈, nC₄H₁₀, isoC₄H₁₀ and CO₂ adsorption isotherms on
 308 Neuquen and Kimmeridge shales under different temperatures are fitted simultaneously
 309 using equation (1) within the limits of the fitting parameters (0 < n₀ < 10 mmol/g, 0 kJ/mol <
 310 E₀ < 100 kJ/mol, 0 < A₀). The observed nC₆H₁₄ and nC₇H₁₆ adsorption isotherms on
 311 Colorado and Utah retorted shales under different temperatures are fitted simultaneously
 312 using equation (3) within the limits of the fitting parameters (0 < n_m < 10 mmol/g, 0 kJ/mol
 313 < E₁, E₂ < 100 kJ/mol, 0 < A₁, A₂). The test data is processed using a Universal Global
 314 Optimization method of the Auto2Fit software (7D-soft High Technology Inc., China), and
 315 the UGO has the capacity to find the global maximal/minimal value of any functions without
 316 using the initial start values (46-49). The obtained fitting parameters are shown in Table 1
 317 and RMSE represents the root of mean square error.

318 **Table 1 Fitting parameters for shale samples**

Sample	Vapors	Langmuir model- Equation 1					Sample	Vapors	Multilayer model- Equation 3						
		n ₀ (mmol/g)	A ₀ (Pa ⁻¹)	E ₀ (J/mol)	R ²	RMSE			n _m (mmol/g)	A ₁ (Pa ⁻¹)	E ₁ (J/mol)	A ₂ (Pa ⁻¹)	E ₂ (J/mol)	R ²	RMSE
Neuquen Shale	CH ₄	0.0548	2E-10	19843.67	0.9829	0.0015	Colorado shale	nC ₆ H ₁₄	0.0103	6.10E-12	47062.14	3.43E-11	34598.99	0.9985	0.0005
	C ₂ H ₆	0.1908	1.30E-10	20541.44	0.9948	0.0030			nC ₇ H ₁₆	0.0090	1.71E-09	33412.31	2.41E-10	32713.09	0.9994
	C ₃ H ₈	0.2089	1.61E-10	22644.35	0.9937	0.0026									
	nC ₄ H ₁₀	0.2083	2.86E-10	22999.97	0.9846	0.0028									
	isoC ₄ H ₁₀	0.1751	6.58E-10	21282.21	0.9931	0.0020									
CO ₂	0.1456	1.52E-10	21144.50	0.9949	0.0027										
Kimmeridge sample	CH ₄	0.3694	3.68E-11	24353.751	0.9965	0.0044	Utah shale	nC ₆ H ₁₄	0.0085	2.99E-11	41851.66	1.01E-10	32199.98	0.9969	0.0007
	C ₂ H ₆	0.8576	3.07E-11	25874.329	0.9905	0.0226			nC ₇ H ₁₆	0.0080	9.00E-10	35000.00	3.21E-10	32209.10	0.9864
	C ₃ H ₈	0.8093	7.23E-11	26425.353	0.9882	0.0194									
	nC ₄ H ₁₀	0.8244	1.05E-10	27778.863	0.9890	0.0120									
	isoC ₄ H ₁₀	0.8000	6.61E-11	27000.083	0.9812	0.0174									
	CO ₂	0.7533	2.95E-11	26210.224	0.9907	0.0213									

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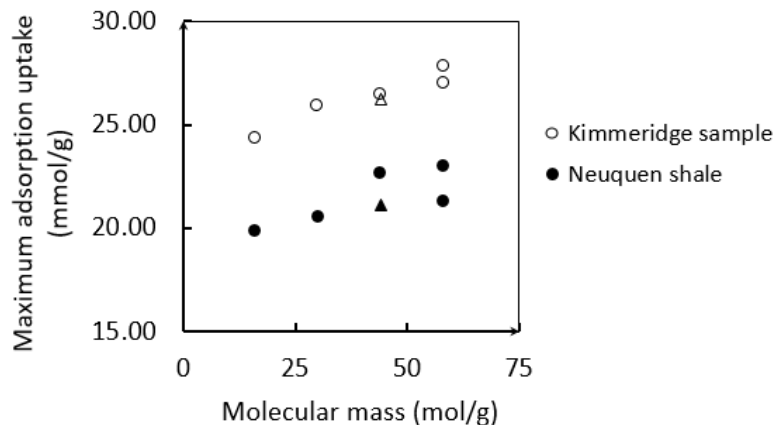
320 Once all the fitting parameters are obtained from simulating the observed adsorption
 321 isotherms using equations (1 or 3), the isosteric enthalpy and entropy of adsorption is
 322 readily available using equations (9, 10, 11 & 13).

323 4 Results and Discussion

324 4.1 Adsorption isotherm modeling

325 The Langmuir model (eq.1) simulates CH₄, C₂H₆, C₃H₈, nC₄H₁₀, isoC₄H₁₀ and CO₂
 326 adsorption isotherms on Neuquen and Kimmeridge shales very well as shown in Figure 2,
 327 and this was also indicated by the fitting parameter R² and RMSE in Table 1. The
 328 maximum adsorption uptake (n₀) of different hydrocarbons has a positive relationship with
 329 the molecular mass of hydrocarbon vapors and CO₂ on Neuquen and Kimmeridge shales;
 330 the higher the molecular mass the higher the maximum adsorption uptake as shown in
 331 Figure 3. The maximum adsorption uptake of Kimmeridge sample is much higher than

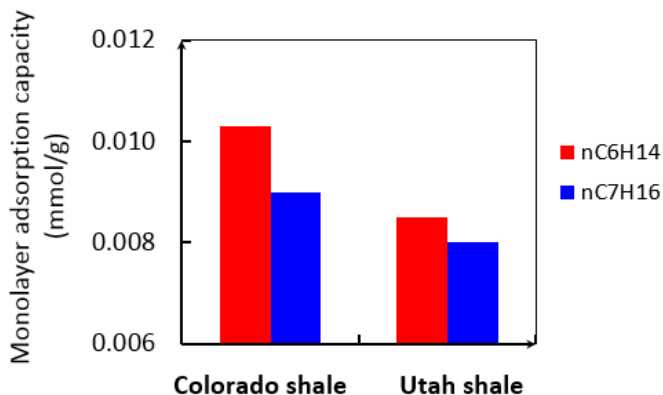
332 that of Neuquen shale, which can be attributed to the higher total organic content (TOC),
 333 nitrogen effective surface area and BJH pore volume. The TOC, nitrogen effective surface
 334 area and BJH pore volume of Kimmeridge and Neuquen shale is 51.19%, 7 m²/g, 0.038
 335 cm³/g and 3.65 %, 5.6 m²/g, 0.023 cm³/g, respectively (34).



336

337 **Figure 3 Maximum adsorption capacity of hydrocarbons and carbon dioxide on**
 338 **Neuquen and Kimmeridge shales: triangle represents carbon dioxide, and circle**
 339 **represents hydrocarbons**

340 The multilayer adsorption model (eq.3) has the capacity to describe nC6H14 and nC7H16
 341 adsorption behavior on Colorado and Utah retorted shales as well as calculate the
 342 monolayer adsorption capacity of each vapor shown in Figure 2. The monolayer
 343 adsorption capacity of Colorado shale is higher than that of Utah shale, which is consistent
 344 with the nitrogen effective surface area (Figure 4). The measured nitrogen effective
 345 surface area of Colorado and Utah shale is 3.4 m²/g and 2.1 m²/g, respectively (26). The
 346 monolayer adsorption capacity of nC6H14 is slightly higher than that of nC7H16. This
 347 could be attributed to the smaller diameter of nC6H14 compared to nC7H16, and some
 348 local surface area may be inaccessible to larger molecular. The kinetic diameter of
 349 nC6H14 and nC7H16 is 0.45 and 0.47 nm, respectively (59).



350

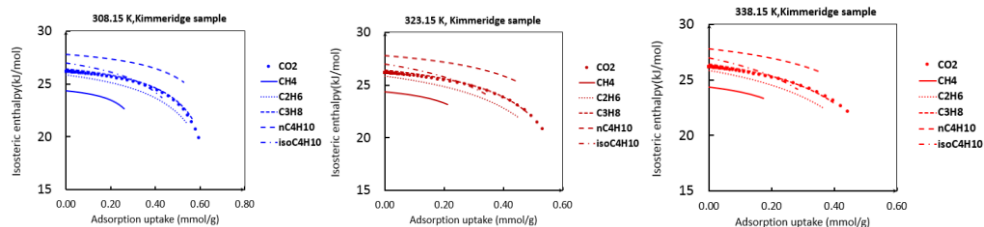
351 **Figure 4 Monolayer adsorption capacity of nC6H14 and nC7H16 on Colorado and**
 352 **Utah retorted shales**

353 In the following sections, only Kimmeridge shale and Colorado shales are taken as an
 354 example to discuss isosteric enthalpy and entropy behavior of hydrocarbon vapors and
 355 carbon dioxide during the adsorption process. The isosteric enthalpy and entropy in all
 356 figures are presented as absolute/positive values for convenience. The isosteric enthalpy
 357 and entropy of hydrocarbon vapors and carbon dioxide on Neuquen and Utah shales are
 358 shown in the *Supplementary Materials*, which is similar to that on Kimmeridge shale and
 359 Colorado shales.

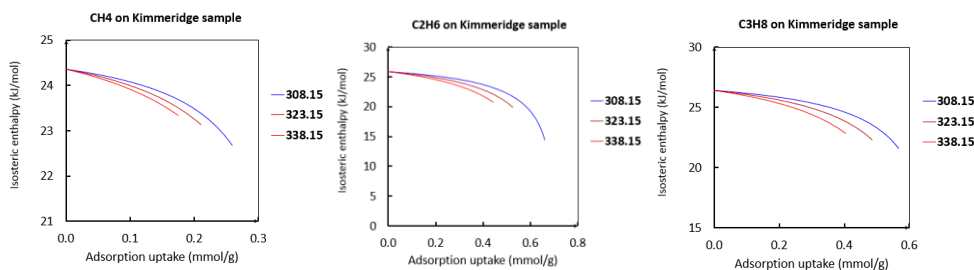
360 4.2 Isosteric enthalpy

361 For CH₄, C₂H₆, C₃H₈, nC₄H₁₀, isoC₄H₁₀ and CO₂ adsorption on Kimmeridge shale,
 362 the isosteric enthalpy is less than 30 kJ/mole, which indicates the adsorption process is
 363 physical adsorption (47,56). The isosteric enthalpy also shows adsorption uptake,
 364 temperature and gas type dependent behavior (Figure 5). When the adsorption uptake
 365 increases, the isosteric enthalpy decreases following a concave-curve trend. The isosteric
 366 enthalpy also decreases with increasing temperature. These characteristics can be
 367 attributed to the non-ideal behavior of vapors as shown in equation (9), where the isosteric
 368 enthalpy is a function of the binding energy (E_0) and gas compressibility factor (Z). The
 369 binding energy is constant, and gas compressibility factor (Z) changes with temperature
 370 and pressure. For different vapors, the higher the molecular mass, the higher the isosteric
 371 enthalpy. As molecular mass of CO₂ and C₃H₈ are very close, the isosteric enthalpy are
 372 almost the same. The isosteric enthalpy of isoC₄H₁₀ is lower than nC₄H₁₀, which is
 373 attributed to the polarity difference. The similar behavior has been observed from
 374 adsorption uptake where the adsorption uptake of nC₄H₁₀ is higher than isoC₄H₁₀ under
 375 same conditions.

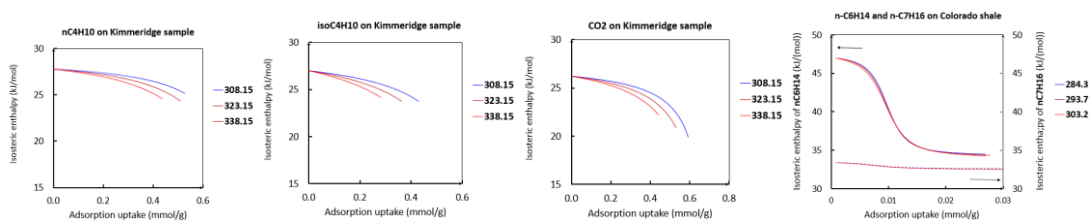
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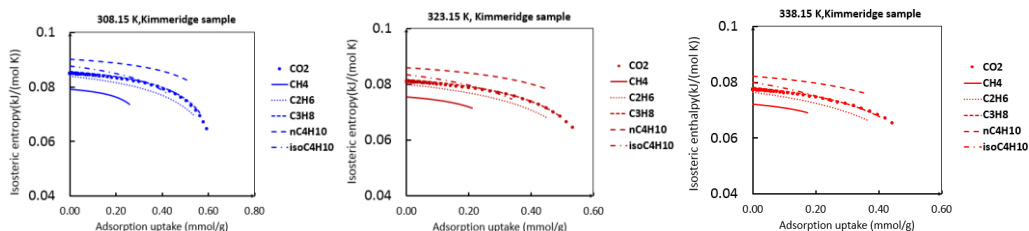


379 **Figure 5 Isostatic enthalpy of CH₄, C₂H₆, C₃H₈, nC₄H₁₀, isoC₄H₁₀ and CO₂**
380 **adsorption on Kimmeridge shales and nC₆H₁₄ and nC₇H₁₆ adsorption behavior on**
381 **Colorado shale**

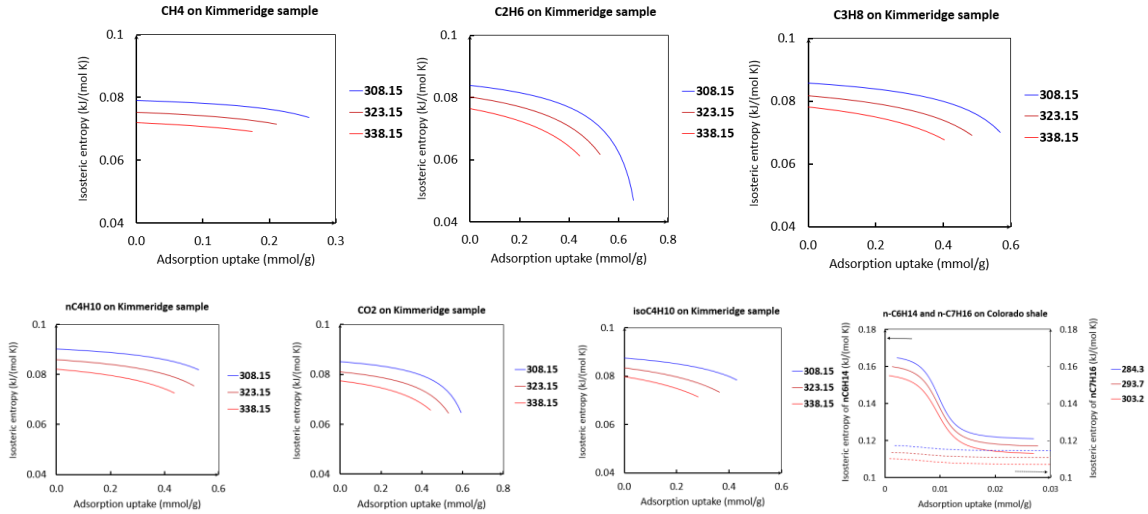
382 Isostatic enthalpy of nC₆H₁₄ and nC₇H₁₆ on Colorado shale ranges from 30 kJ/mol to
383 48 kJ/mol indicating a physical adsorption process, and these values are also higher than
384 that of light hydrocarbons and CO₂ on Kimmeridge shales. Figure 5 also indicates that the
385 isosteric enthalpy of nC₆H₁₄ and nC₇H₁₆ sharply decreases following a convex-curve
386 trend and then levels off. This characteristic follows a monolayer, multilayer and capillary
387 condensation behavior of vapors during the adsorption process. When capillary
388 condensation dominates, the isosteric enthalpy almost levels off. High temperature also
389 results in a small shift of the isosteric enthalpy of adsorption, and the temperature-
390 dependent characteristic is readily available. It is also interesting to find that isosteric
391 adsorption of nC₆H₁₄ is much higher than that of nC₇H₁₆, which does not follow the trend
392 that the higher molecular mass the higher the isosteric enthalpy. More experiments are
393 needed to reproduce and clarify this unusual behavior in the future.

394 4.3 Isostatic entropy

395 For CH₄, C₂H₆, C₃H₈, nC₄H₁₀, isoC₄H₁₀ and CO₂ adsorption on Kimmeridge shale,
396 the isosteric entropy is lower than 0.09 kJ/(mol K). Since the process is an enthalpy driven
397 process, the isosteric entropy shows similar behavior of isosteric enthalpy, which depends
398 on adsorption uptake, temperature and gas type. Figure 6 also shows that as the
399 adsorption uptake increases, the isosteric entropy decreases. The reason is that the
400 freedom of gas molecular decreases when free gas molecular becomes adsorbed
401 molecular. When temperature increases, the isosteric entropy also decreases as the
402 adsorbed phase is “less restricted” promoted by higher temperature. It is also clear that
403 the temperature-dependent characteristics is more pronounced for isosteric entropy
404 compared to isosteric enthalpy. For different vapors, the higher the molecular mass, the
405 higher the isosteric entropy. As molecular mass of CO₂ and C₃H₈ are identical, the
406 isosteric entropy are almost the same. The isosteric entropy of isoC₄H₁₀ is also lower
407 than nC₄H₁₀. It is worth emphasizing that the decreasing trend of the isosteric entropy
408 does not violate the second law of thermodynamics which states that the entropy of the
409 universe always increases. This is because the adsorption process is not an isolated
410 system. The entropy of the adsorption process does decrease but is more than
411 compensated by the increased entropy of the surroundings due to the heat release.



412



413

414

415 **Figure 6 Isothermic entropy of CH₄, C₂H₆, C₃H₈, nC₄H₁₀, isoC₄H₁₀ and CO₂**
 416 **adsorption on Kimmeridge shales and nC₆H₁₄ and nC₇H₁₆ adsorption behavior on**
 417 **Colorado shale**

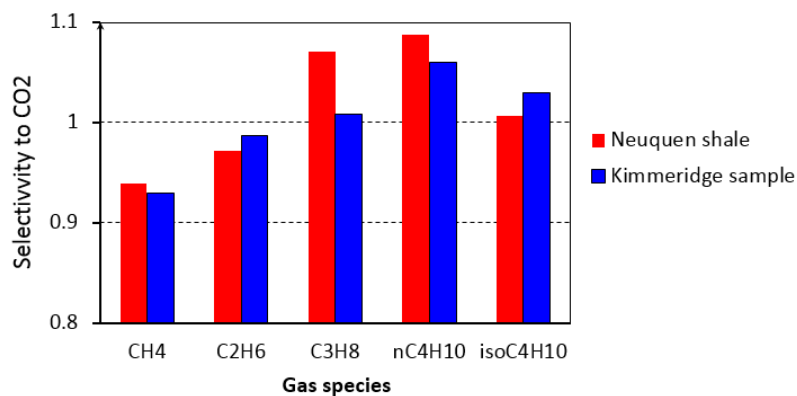
418 Isothermic entropy of nC₆H₁₄ and nC₇H₁₆ on Colorado shale ranges from 0.11 kJ/mol to
 419 0.17 kJ/mol, which is higher than that of light hydrocarbons and CO₂ on Kimmeridge shale.
 420 The isothermic entropy sharply decreases and then levels off as the adsorption uptake
 421 increases. Considering the monolayer, multilayer and capillary condensation process, the
 422 isothermic entropy indicates the freedom of the molecular decreases by the following order:
 423 adsorbed molecular of a monolayer, adsorbed molecular of a multilayer, and liquids of
 424 pore surface. Higher temperature leads to a lower isothermic entropy as less energy is
 425 needed to increase the freedom of molecular. The isothermic entropy of nC₆H₁₄ is much
 426 higher than that of nC₇H₁₆. This does not follow the trend that the higher molecular mass
 427 the higher the isothermic entropy, and the abnormal behavior needs in-depth study in the
 428 future.

429 **4.4 Insights for enhanced hydrocarbons recovery through CO₂ injection**

430 It has been evidenced that CO₂ has the capacity to displace CH₄ in coal seams through
 431 competitive adsorption as the adsorption affinity of CO₂ on coal is much higher than CH₄,
 432 and this lays the foundation for enhanced coalbed methane recovery through CO₂
 433 injection (60). A CO₂ huff-and-puff test in shale formations demonstrates injected CO₂
 434 can also enhance heavier hydrocarbons recovery including C₂H₆, C₃H₈, and C₄H₁₀ (61-
 435 62). It is worth noting that the heavier hydrocarbons like C₅H₁₂, C₆H₁₄ and C₇H₁₆ have
 436 not been reported in this work because the gas chromatography–mass spectrometry
 437 cannot quantify these heavier hydrocarbons (61-63).

438 Since the isothermic enthalpy in Henry's law region is independent of temperature and
 439 reflects the material properties of adsorbent, it may be useful to show the selectivity of
 440 hydrocarbons over CO₂. The selectivity of hydrocarbon is defined as the isothermic enthalpy
 441 ratio of hydrocarbons to CO₂ in Henry's law region, i.e., $S = \Delta H_{hydrocarbon}^H / \Delta H_{CO_2}^H$. As
 442 expected, the selectivity describes the shale affinity of hydrocarbons over CO₂ very well.
 443 The higher the molecular mass the higher the selectivity of both shales, and the selectivity

444 of nC4H10 is higher than isoC4H10, as shown in Figure 7. It is also found that the
 445 selectivity is higher than one for C3H8, nC4H10 and isoC4H10 but is lower than one for
 446 CH4 and C2H6. This finding coincidentally agrees with the fact that the maximum adsorption
 447 capacity of CO2 is higher than CH4 and C2H6 but is lower than C3H8, nC4H10 and
 448 isoC4H10, as shown in Table 1. This means both shales favorably adsorb C3H8, nC4H10
 449 and isoC4H10 compared to CO2. Even though CO2 is less competitive compared to
 450 heavier hydrocarbon (C3H8 and heavier), injected CO2 still has the capacity to change
 451 bulk phase composition of mixture via competitive adsorption especially under high
 452 pressure conditions and thus affect the phase envelope of hydrocarbon mixture (38-41).
 453 Molecular simulation also indicates that low pressure CO2 can prevent the capillary
 454 condensation of nC4H10 (37). Furthermore, CO2 injection has been historically adopted
 455 to enhanced oil recovery as CO2 can dissolve into hydrocarbon liquids and enhance the
 456 mobility of these liquids (64-66). The enhanced fluid mobility and phase change of heavier
 457 hydrocarbon could finally lead to the enhanced C2H6, C3H8, and C4H10 in the production
 458 flows as observed in shale formations (61-62).



459

460 **Figure 7 Selectivity of hydrocarbons over carbon dioxide in Henry's law region**

461 **Conclusions**

462 The Langmuir model and the generalized multilayer adsorption model can be applied over
 463 an arbitrary range of pressure at elevated temperatures for the chosen hydrocarbon
 464 vapors and carbon dioxide adsorption on shales. An analytical approach for the direct
 465 analysis of the thermodynamic quantities of interest from both models has then been
 466 validated in this work. Some preliminary conclusions can be obtained,

- 467
- 468 1. The Langmuir model can describe methane, ethane, propane, n-butane, iso-
 469 butane and carbon dioxide adsorption isotherms at multiple temperatures via a
 470 global fitting approach, respectively. The maximum adsorption capacity of
 471 methane, ethane, propane, n-butane, iso-butane and carbon dioxide adsorption
 472 on shales positively correlates to the molecular mass of each gas.
 - 473 2. The generalized multilayer model has the capacity to simulate n-hexane and n-
 474 heptane adsorption behavior accurately under elevated pressures and pressures
 475 via a global fitting method for the test results in this work. The monolayer
 476 adsorption capacity of n-hexane is slightly higher than that of n-heptane, and this
 477 could be attributed to the smaller diameter of n-hexane compared to n-heptane,
 and some local surface area may be inaccessible to larger molecular.

- 478 3. The isosteric enthalpy and entropy exhibit temperature-dependent as well as
479 adsorption uptake-dependent behavior, which is attributed to the non-ideal gas
480 behavior and temperature-dependent adsorption uptake. Isosteric enthalpy and
481 entropy in general positively correlate to the molecular mass of vapors.
482 4. Carbon dioxide and propane adsorption on shales shows almost identical behavior
483 in isosteric enthalpy and entropy as their molecular masses are very close.
484 Isosteric enthalpy and entropy of iso-butane is lower than that of n-butane because
485 of the molecule polarity difference.
486 5. The shale selectivity of propane, n-butane, iso-butane is higher than carbon
487 dioxide while the shale selectivity of methane and ethane is lower than carbon
488 dioxide.

489 Overall, the proposed framework lays the foundation for investigating hydrocarbon vapors
490 and carbon dioxide adsorption behavior on shales and the associated thermodynamics
491 potentials. This method also allows investigators to analyze how material properties of
492 shales such as compositions, pore structure and surface chemistry affect the surface
493 thermodynamic potentials in the future.

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735 **Supplemental Materials**

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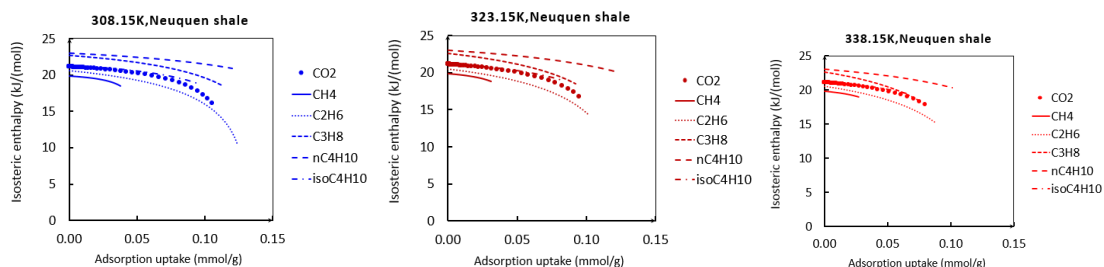
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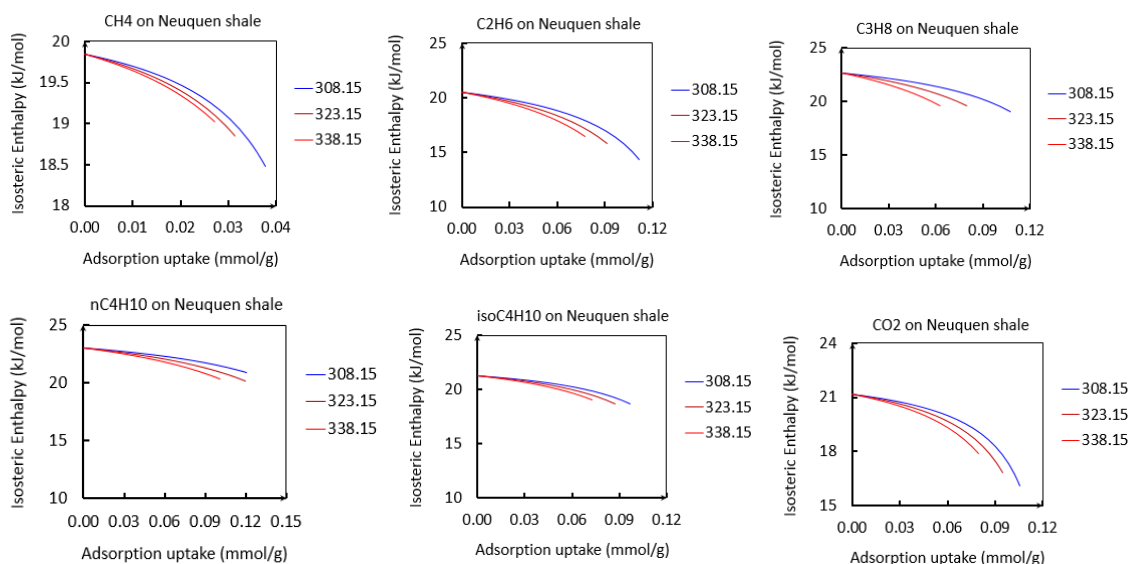
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744 Supplemental Materials show the isosteric enthalpy and entropy of hydrocarbon vapors
745 and carbon dioxide adsorption on Neuquen and Utah shales including Figures S-1 to S-
746 4.

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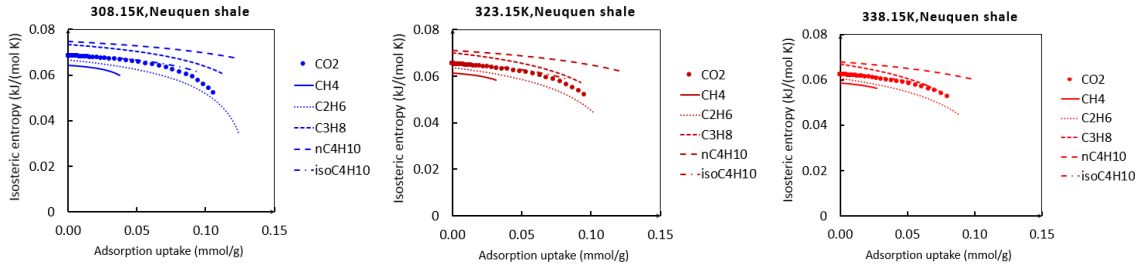


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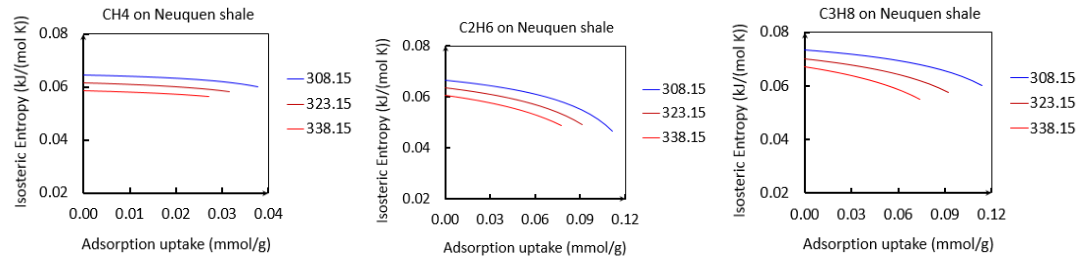


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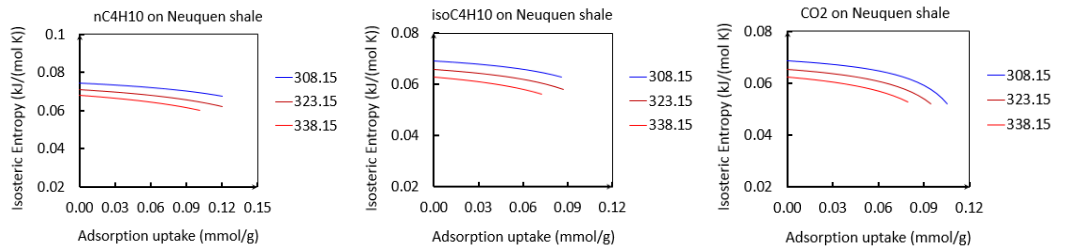
750 **Figure S-1 Isosteric enthalpy of hydrocarbons and carbon dioxide on Neuquen**
751 **shale**



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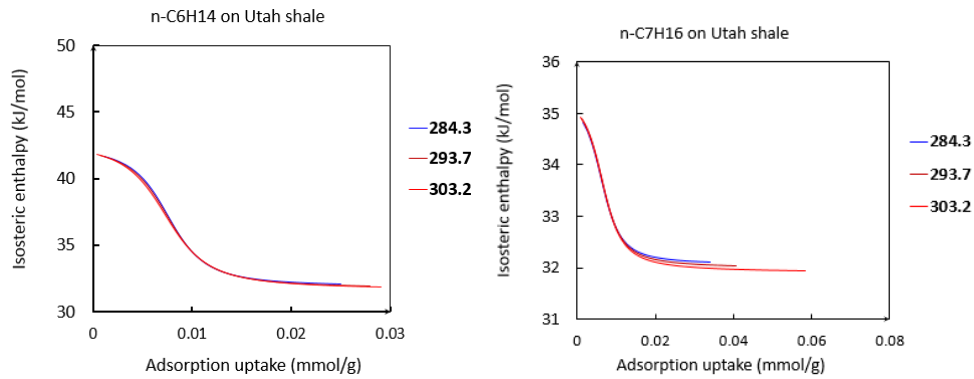


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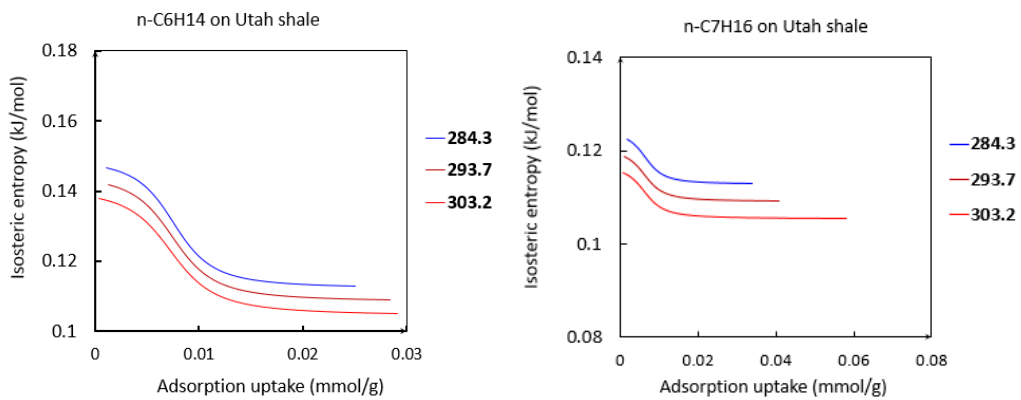
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755 **Figure S-2 Isosteric entropy of hydrocarbons and carbon dioxide on Neuquen**
 756 **shales**



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758 **Figure S-3 Isosteric enthalpy of nC₆H₁₄ and nC₇H₁₆ on Utah shale**



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760 **Figure S-4 Isosteric entropy of nC6H14 and nC7H16 on Utah shale**

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