

1 **Mapping long-term temporal change in imperviousness using topographic**
2 **maps**

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21

22 **Abstract**

23 Change in urban land use and impervious surface cover are valuable sources of information for
24 determining the environmental impacts of urban development. However, our understanding of
25 these impacts is limited due to the general lack of historical data beyond the last few decades.
26 This study presents two methodologies for mapping and revealing long-term change in urban
27 land use and imperviousness from topographic maps. Method 1 involves the generation of maps
28 of fractional impervious surface for direct computation of catchment-level imperviousness.
29 Method 2 generates maps of urban land use for sub-sequent computation of estimates of
30 catchment imperviousness based on an urban extent index. Both methods are applied to estimate
31 change in catchment imperviousness in a town in the South of England, at decadal intervals for
32 the period 1960–2010. The performance of each method is assessed using contemporary
33 reference data obtained from aerial photographs, with the results indicating that both methods are
34 capable of providing good estimates of catchment imperviousness. Both methods reveal that
35 peri-urban developments within the study area have undergone a significant expansion of
36 impervious cover over the period 1960–2010, which is likely to have resulted in changes to the
37 hydrological response of the previously rural areas. Overall, results of this study suggest that
38 topographic maps provide a useful source for determining long-term change in imperviousness in
39 the absence of suitable data, such as remotely sensed imagery. Potential applications of the two
40 methods presented here include hydrological modelling, environmental investigations and urban
41 planning.

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45 **1. Introduction**

46 Accurate estimates of impervious surface coverage (commonly known as
47 imperviousness) within watersheds (catchments) are required for hydrological modelling and
48 urban land use planning because increased imperviousness results in decreases in infiltration and
49 soil storage capacities (Kidd and Lowing, 1979). Furthermore, replacement of natural drainage
50 with artificial conveyance pathways can also reduce catchment response times (Packman, 1980).
51 These impacts can subsequently combine to increase the frequency and magnitude of flood
52 events through increased and more rapid runoff (Huang et al., 2008; Villarini et al., 2009), and
53 lead to disruption of natural groundwater recharge (Shuster et al., 2005; Im et al., 2012).
54 Moreover, the hydrological alterations caused by increasing imperviousness typically give rise to
55 environmental issues, such as degraded water quality, decreased biodiversity in water bodies,
56 and increased stream-bank erosion (Schueler, 1994; Arnold and Gibbons, 1996; Hurd and Civco,
57 2004; Amirsalari et al., 2013). Such impacts can be especially pronounced in peri-urban
58 developments; areas surrounding existing towns, which convert previously permeable rural land
59 into highly impermeable and artificially drained catchments (Tavares et al., 2012). Understanding
60 and modelling the long-term hydrological impacts of increased urban development requires
61 concurrent information on the change in impervious surface coverage. Maps of impervious
62 surfaces can be produced from either field surveys, manually digitising from hard-copy
63 topographic maps, or the use of remote sensing (RS) data. Whereas field surveys and manual
64 digitisation can be time-consuming and laborious, the large continuous areal coverage provided
65 by RS datasets can be exploited using image processing algorithms to rapidly map impervious
66 surfaces for only a fraction of the time and cost. Accordingly, RS is becoming increasingly
67 recognised as a valuable tool for mapping imperviousness. A comprehensive, authoritative

68 review of the different methodologies employed to map impervious cover from RS data is
69 provided by Weng (2012). To summarise, RS-based approaches to mapping imperviousness
70 generally fall into three broad categories: per-pixel, object-based and sub-pixel. Per-pixel
71 approaches commonly involve producing a binary map by determining whether individual image
72 pixels correspond to either pervious or impervious surfaces, typically through aggregating the
73 classes of an initial land cover classification (Yuan and Bauer, 2006; Im et al., 2012; Amirsalari
74 et al., 2013). In contrast, object-based approaches involved the classification of groups of
75 contiguous image pixels (i.e., objects or regions) by also considering various shape, contextual
76 and neighbourhood information (Benz et al., 2004; Weng, 2012). Classifying an image based on
77 objects helps to overcome the “speckled” effect often encountered with per-pixel classification in
78 urban areas (Van de Voorde et al., 2003), thus enabling improved mapping results (Yuan and
79 Bauer, 2006; Zhou and Wang, 2008). A major limitation of per-pixel approaches is that they
80 assume each pixel comprises a single land use or land cover type. However, pixels containing a
81 mixture of land use or cover types are common in low-to-moderate resolution imagery acquired
82 over complex heterogeneous landscapes such as urban areas (Weng, 2012). Sub-pixel
83 approaches can be used to overcome this to derive accurate estimates of imperviousness because
84 they decompose the pixel spectra into their constituent parts, therefore providing fractional
85 measures of impervious surface area. Popular approaches in this category include unmixing the
86 pixel spectra to determine the fractional abundance of each constituent end-member surface type
87 (Lu et al., 2006), or modelling fractional imperviousness through statistical regression and
88 scaling of spectral vegetation indices (Bauer et al., 2004; Van de Voorde et al., 2011). With the
89 earliest source of RS data comprising panchromatic aerial photograph lacking in sufficient
90 spectral information, the mapping of imperviousness using RS is restricted to the last few

91 decades since the emergence of spectral satellite imagery (e.g., Landsat). Consequently, few
92 studies have assessed long-term land cover change using RS data (e.g., Gerard et al., 2010;
93 Tavares et al., 2012), and even fewer have mapped long-term changes in impervious cover
94 (Weng, 2012). Therefore, our understanding of the hydrological impact and non-stationary
95 flooding trends in relation to impervious surface change is somewhat limited (Ogden et al., 2011;
96 Vogel et al., 2011; Dams et al., 2013). Linking imperviousness to alternative sources of digital
97 geo-information could provide a means of mapping long-term changes in impervious cover.
98 However, such datasets are not usually available at the national scale or comparable over long
99 periods of time. National land cover mapping products such as the UK Land Cover Map (LCM)
100 1990, 2000 and 2007 (Centre for Ecology and Hydrology) cover only a short time period and are
101 inconsistent due to the different processing algorithms applied to derive each product from the
102 RS data (Morton et al., 2011). While methods such as land use trajectory analysis (Verbeiren et
103 al., 2013) could be applied to help improve the consistency of the time-series somewhat, there
104 will still likely be a residual error arising from the use of contrasting algorithms for generating
105 each data product. Physical settlement boundaries and land use change statistics may be a useful
106 alternative source of information (e.g., Bibby, 2009) but can only be loosely regarded as proxies
107 for imperviousness. In most cases, the only consistent and long-term sources are topographic
108 maps produced by national agencies. Within the UK topographic maps have been produced by
109 the Ordnance Survey — the national mapping agency for Great Britain — since the mid-19th
110 century. Despite representing a potentially valuable source for deriving long-term change in land
111 use or land cover, studies assessing the use of such information are scarce (e.g., Hooftman and
112 Bullock, 2012). The aim of this study is to utilise historical topographic maps for semi-
113 automated mapping of urban land use change and change in impervious cover. Two novel

114 methods are presented that utilise topographic maps to: (i) derive maps of fractional impervious
115 surface for direct computation of catchment-level imperviousness; (ii) derive maps of urban land
116 use for subsequent computation of estimates of catchment-level imperviousness based on an
117 urban extent index. Impervious surface cover estimates computed using these two methods are
118 validated using reference data generated through a RS-based image classification of high-
119 resolution aerial photographs. The methods presented herein are employed in an attempt to
120 determine their suitability for indicating change in urban land use and imperviousness — here
121 throughout a 50-year period from 1960 to 2010 in a number of hydrological catchments
122 surrounding a UK town that exemplifies rapid peri-urban development.

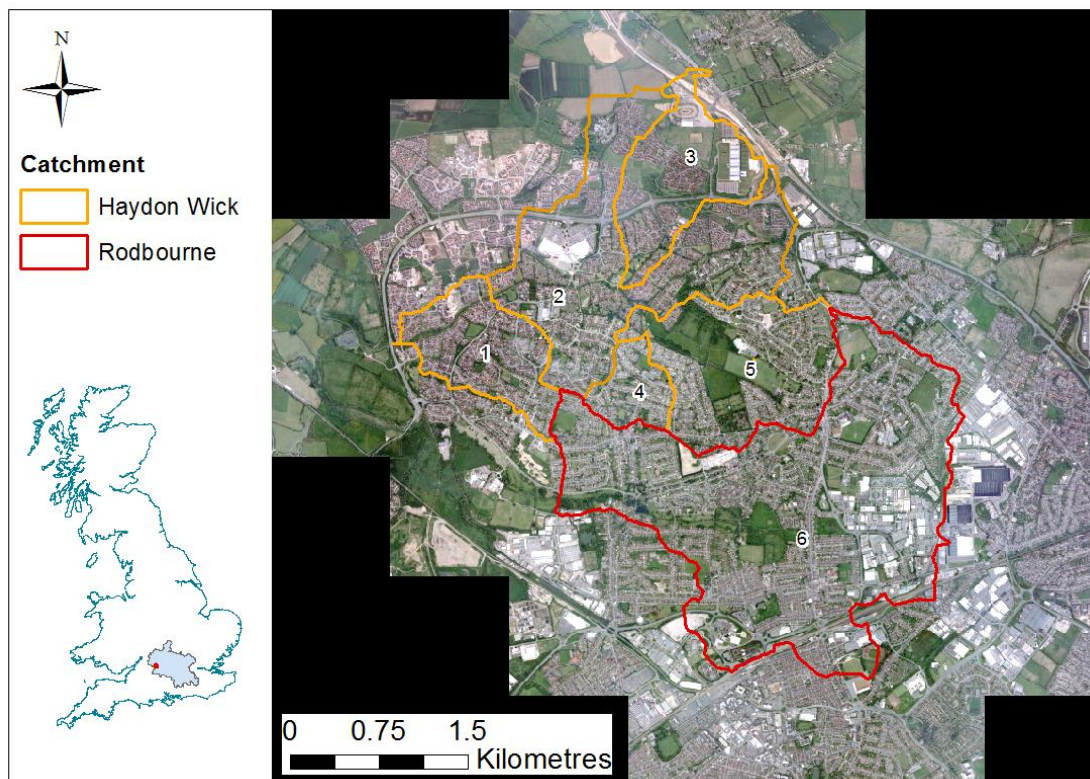
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125 **2. Study area**

126 The study area (Fig. 1) encompasses two adjacent small urban stream catchments located
127 to the north of Swindon in the south of England; comprising the Haydon Wick brook and
128 Rodbourne stream, both tributaries of the River Thames (Fig. 1 inset). Swindon was designated
129 as an Expanded Town under the Town Development Act in 1952 which encouraged town
130 development in county districts to relieve over-population elsewhere. The Rodbourne stream
131 catchment has been highly urbanised since the 1950s and comprises a large area of commerce
132 and industry on the northern edge of Swindon town, along with highly urbanised housing
133 developments. The Haydon Wick brook catchment is located further to the north of Swindon and
134 has undergone widespread development since the 1990s, prior to which it was a predominantly
135 agricultural landscape. Within the Haydon Wick catchment a number of distinct catchments (1–
136 5) have been selected (Fig. 1) that capture and reflect the diversity and age of different

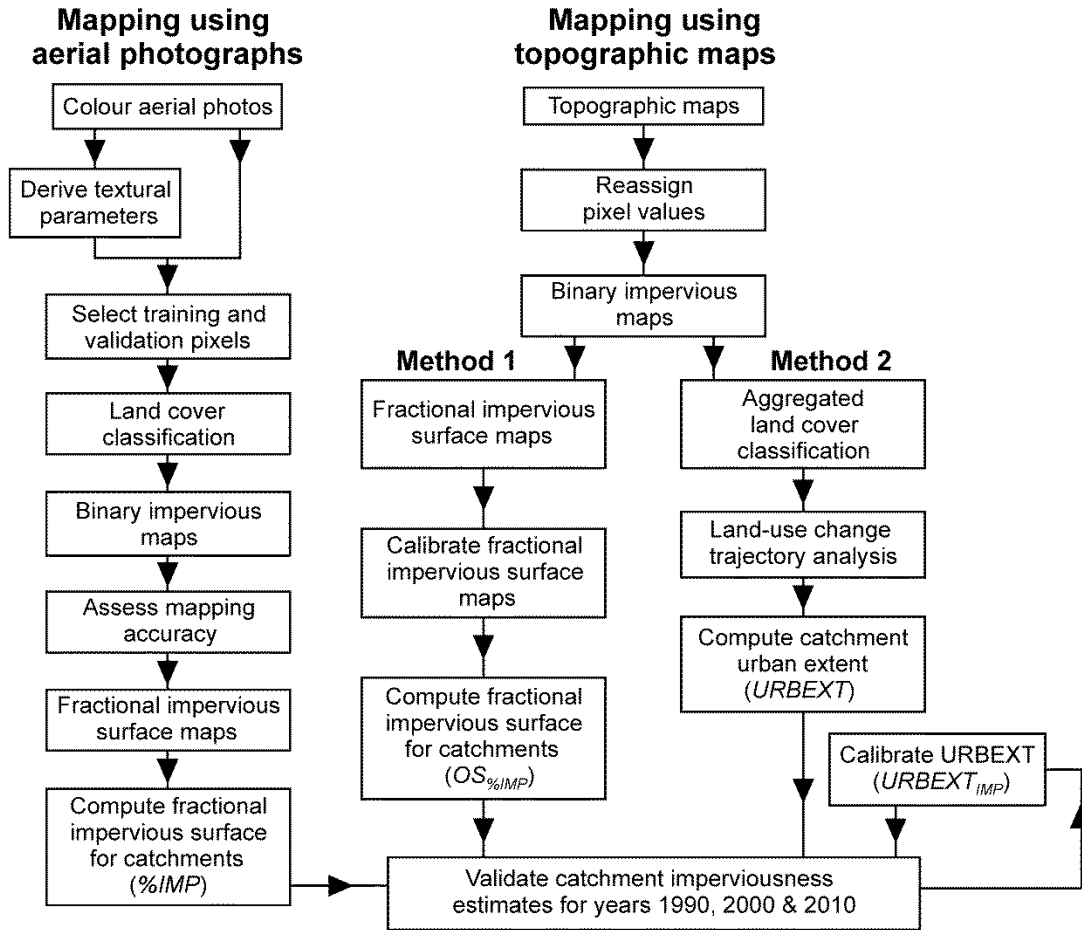
137 developments within the area. The Rodbourne catchment, in which development has
138 incrementally expanded since the 1950s, remains one single catchment unit (6) for this study.
139 The focus of this study is to test two methodologies for mapping changes in urban land use and
140 associated imperviousness in each of these six catchments during the period 1960 to 2010.3.



141
142 **Fig. 1. Map of the study area showing catchment boundaries and location of the study area within**
143 **the Thames Basin (inset). RGB aerial photography – © UK Perspectives: License Number**
144 **UKP2006/01.**

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148 **Fig. 2. Overview of methodological approach used to assess the utility of traditional topographic**
 149 **maps for long-term, historical mapping of urban extent and estimation of catchment**
 150 **imperviousness.**

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153 3. Material and methods

154 The ability to utilise traditional topographic maps for long-term, historical mapping of
 155 urban extent and estimation of catchment imperviousness is assessed using a three-pronged
 156 approach (Fig. 2). The approach involves first estimating contemporary catchment fractional
 157 impervious surface area directly from aerial photographs for use as reference data. These
 158 reference data are then used to validate the two methods presented in this paper for mapping

159 historical change in impervious cover topographic maps. Following validation, a comparison of
160 the two methods is undertaken to assess their relative performance revealing long-term change in
161 catchment impervious cover between 1960 and 2010. More detailed information regarding the
162 methodological approach is provided in the following sub-sections.

163

164 *3.1 Deriving catchment imperviousness from aerial photographs*

165 Reference data for quantifying the catchment fractional impervious cover were obtained
166 from aerial photographs for three decadal time-slices within the 50-year period of interest —
167 namely 1991, 1999 and 2010 (herein referred to as 1990, 2000 and 2010, respectively). The
168 reference data were generated by first classifying 0.5 m true-colour aerial photographs into
169 pervious land cover classes: grass, trees, bare soil and water; and impervious land cover classes:
170 roads/pavements, commercial buildings and residential buildings. It was anticipated that land
171 cover classes such as bare soil and roofing tiles could be particularly difficult to discriminate
172 using the limited spectral information contained in only the red, green, blue bands of the aerial
173 photographs. Therefore, textural information was also incorporated in the form of the Grey-Level
174 Co-occurrence Matrix (GLCM) parameters of entropy, dissimilarity, second moment and
175 homogeneity (Haralick et al., 1973; Herold et al., 2003). These parameters were derived from the
176 green band in the ENVI 4.8 software package (Research Systems, Inc.) for a 3×3 pixel (i.e. 1.5
177 m \times 1.5 m) window and a co-occurrence window shift of 4 pixels (i.e., 2 m) in both the x- and y-
178 direction. This combination of window size and shift was chosen as it maximised visual
179 discrimination of the different land cover classes. Classification of the three time-slices
180 employed a neural network (NN) classification algorithm in conjunction with the seven
181 associated spectral and textural bands. A NN classifier was chosen because they are capable of

182 producing better classification results for complex heterogeneous urban areas than their
183 conventional counterparts (e.g., Maximum Likelihood), since they are non-parametric and more
184 robust in handling noisy and non-normally distributed data (Foody, 2002). The NN used in this
185 case was a Multi-Layered Perceptron NN with a back-propagation learning algorithm for
186 supervised learning (Richards and Jia, 2006). Using a three-layered NN (i.e., input, output and
187 one hidden layer), land cover classifications were performed in ENVI 4.8 with the default
188 training parameters confirmed through a set of trial-and-error experiments. Each classification
189 was supervised with the aid of a set of training pixels that were carefully selected in the imagery
190 to represent each of the defined land cover types (~6000 pixels for each class). Land cover
191 classifications were converted to binary imperviousness maps by collapsing the classes into just
192 two corresponding to pervious or impervious surfaces (Yuan and Bauer, 2006; Im et al., 2012;
193 Amirsalari et al., 2013). The accuracies of the resulting binary imperviousness maps were
194 determined by comparing the true class identities of a sample of validation pixels to the classes
195 assigned through classification. Validation pixels were selected from regions of interest (ROIs)
196 of known pervious or impervious surface class identities that were defined in each time-slice
197 image based on extensive knowledge of the study area. Validation pixels were then selected from
198 the ROIs using a random stratified sampling protocol to ensure each class was represented
199 proportionately, and to avoid spatial autocorrelation within the validation dataset (Chini et al.,
200 2008; Pacifici et al., 2009). The minimum validation sample size required to derive statistically
201 valid accuracy estimates for the entirety of each binary map was determined from the normal
202 approximation of the binomial distribution (Fitzpatrick-Lins, 1981). Consequently — based on
203 an expected accuracy of 50% and a precision of $\pm 0.5\%$ at the 95% confidence level —

204 approximately 19,000 validation pixels for each class were selected to determine the accuracy of
 205 each binary imperviousness map.

206 Binary imperviousness map accuracies were assessed by way of the overall (OA), user's
 207 (UA) and producer's (PA) accuracies and the Kappa coefficient (K) derived from a confusion
 208 matrix (Congalton, 1991). The overall accuracy is the percentage of all validation pixels
 209 correctly classified, whereas the user's and producer's accuracies provide information regarding
 210 the commission and omission errors associated with the individual classes, respectively.
 211 Following validation, the 0.5 m binary impervious maps were aggregated to 50 m grid cells to
 212 generate fractional impervious surface maps, with the value for each grid cell corresponding to
 213 the proportion of impervious pixels within it. The value of 50 m was selected as it was found to
 214 best represent homogeneous scale of urban land use classification (see Section 3.2.2). The
 215 imperviousness of each of the six catchments ($\%IMP$) was then computed from these fractional
 216 impervious surface maps for use as reference data, using:

$$217 \quad \%IMP = \frac{\sum_i^n (\%IMP_i \times A_i)}{A_c}, \quad (1)$$

218 where $\%IMP_i$ is the fractional impervious cover for grid cell i , A_i is the area of the grid cell, n is
 219 the number of grid cells within the catchment, and A_c is the total catchment area.

220

221 ***3.2 Deriving estimates of catchment imperviousness using topographic maps***

222 As outlined in Fig. 2, estimates of catchment fractional impervious surface cover were
 223 derived using two methods. In general, these consist of first generating binary imperviousness
 224 maps from the topographic maps and then computing catchment imperviousness from either

225 fractional imperviousness maps or urban land use maps — as illustrated in Fig. 3 and described
 226 below.

227

228 3.2.1 Data and pre-processing

229 Digital historical topographic maps produced by the UK Ordnance Survey (OS) between
 230 1960 and 2010 were obtained in raster format as 25 km × 25 km tiles with a 1 m spatial
 231 resolution. For each decade (1960s to 2010s), the most contemporaneous map tiles produced for
 232 that decadal time-slice were obtained and mosaicked to produce a seamless image for each
 233 decade (Table 1). The primary step for the two methods is to convert the historical topographic
 234 maps into simplified and physically representative binary maps of developed (i.e., impervious)
 235 and undeveloped (i.e., pervious) pixels. To do this, the original pixel values were reclassified so
 236 that a value of 1 was assigned to pixels corresponding to ‘white space’ on the map and a value of
 237 2 to all pixels corresponding to mapped features.

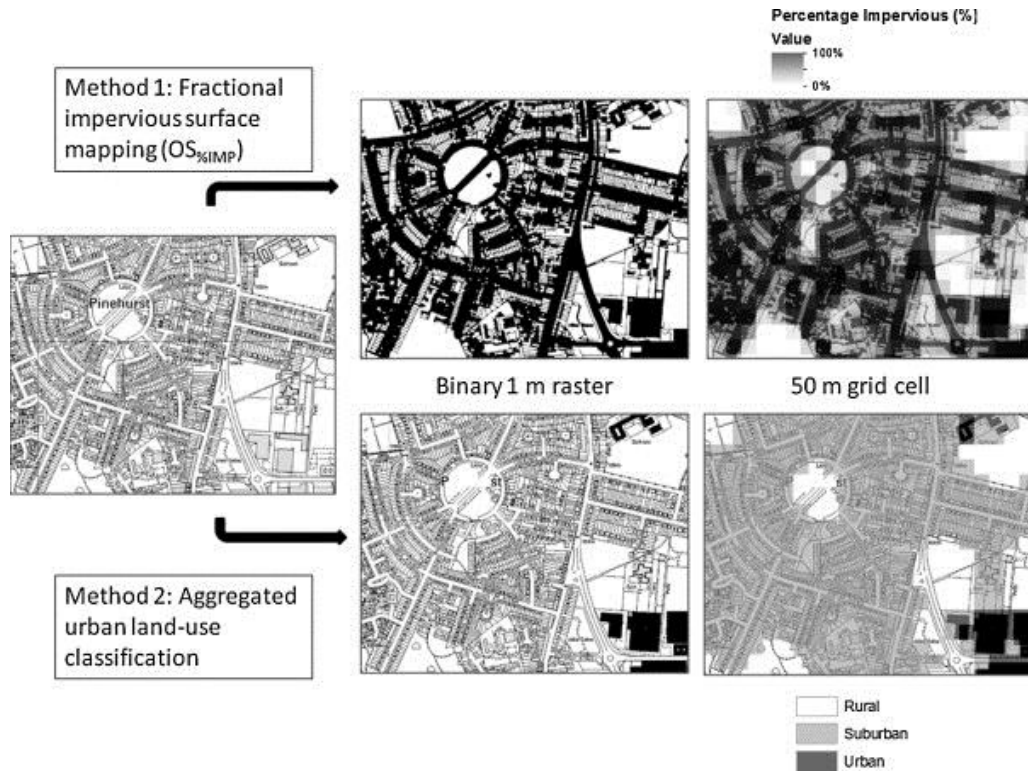
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239 **Table 1. Ordnance Survey mapping data and pre-processing requirements.**

Decade and period of coverage	Format	Spatial Resolution	Pre-aggregation processing requirements for binary maps
1960 (1960-1961) 1970 (1967-1978) 1980 (1984-1987) 1990 (1990-1992)	Scanned and geo-referenced black & white ‘colormap’ raster	1m	Mosaicking tiles → reclassification to integers → removal of forest detail and cartographic information → infill of industrial areas
2000 2010	Digital geo-referenced black & white integer raster	1m	Mosaicking tiles → infill of large buildings, commercial and industrial areas → removal of cartographic information

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243 **Fig. 3. Illustration of the approach applied in both method 1 and 2 to map impervious cover.**
 244 **Topographic base map – © Crown copyright and Landmark Information Group.**

245

246 Due to slight variations in the cartographic style used from 1960 to 2010, a number of
 247 steps were required to further improve the consistency and compatibility of each map. The first
 248 stage involves developing ‘level-1’ binary maps, in which artefacts and key inconsistencies
 249 between maps from each decade are reduced. This was undertaken using the ‘Raster Cleanup’
 250 tool in ArcMap (ArcGIS 10, ESRI) and included the following steps:

- 251
- 252 • A rapid ‘clean-up’ of each raster map is undertaken to remove features, such as
 253 place names or symbols relating to widespread forest;
 - 254 • Reclassifying large concrete or tarmac areas represented by ‘white space’ to
 developed areas;

- 255 • Infilling the roofs of large buildings on raster maps for 2000–2010 due to the low
256 density of pixels used to represent such areas on these maps.

257
258 A second pre-processing stage was subsequently applied for the purpose of infilling
259 developed features such roads and buildings to generate a set of ‘level-2’ binary maps. This was
260 undertaken in ArcMap by applying the ‘Boundary Clean’ tool to each raster and then converting
261 them to polygon shapefiles. This conversion enables road segments and buildings to be readily
262 reattributed to alter them from polygons representing pervious (undeveloped) features to
263 impervious (developed) features. Once all relevant polygons have been reassigned, the shapefiles
264 were then converted back to raster format.

265

266 **3.2.2 Deriving catchment imperviousness from fractional impervious surface maps**

267 The first method (method 1) for deriving catchment imperviousness for the six
268 catchments is relatively straightforward to implement, and is focussed on the generation of
269 fractional impervious surface maps of the study area. To generate these maps, the ‘level-2’
270 binary maps derived from the topographic maps were aggregated to 50 m grid cells in a similar
271 manner to that used to derive fractional impervious surface maps from the aerial photographs. In
272 this case, the value for each 50 m grid cell is calculated as the proportion of 1 m impervious
273 pixels contained within it. Although pre-processing steps were implemented to improve the
274 compatibility and consistency of the topographic map time series (1960–2010), additional
275 calibration was performed to account for any residual discrepancies between the fractional
276 impervious surface maps. Adopting the approach outlined by Lu et al. (2011), pseudo-invariant
277 pixels (i.e., those remained unchanged in terms of imperviousness throughout the time series)

278 were selected for pair-wise image calibration via linear regression models. As a result, all
279 fractional impervious surface maps were calibrated to the most recent map (i.e., 2010). Once
280 calibrated, the imperviousness of each of the six catchments ($OS_{\%IMP}$) is computed from these
281 calibrated fractional impervious surface maps using an adaptation of Eq. (1), and compared with
282 the contemporaneous reference data derived from aerial photography ($\%IMP$).

283

284 **3.2.3 Deriving catchment impervious cover from urban land use maps**

285 The second method (method 2) for deriving catchment imperviousness for the six
286 catchments is based on the generation of urban land use maps from the topographic maps. Maps
287 of urban land use were generated by aggregating the topographic map-derived binary maps for
288 each decade to larger grid cells, and then classifying the cells according to the LCM land
289 use/land cover definitions; mixed development and green space designated as Suburban (e.g.,
290 houses with gardens), areas of near continuous development with little vegetation (e.g., industrial
291 estates) designated continuous Urban (Fuller et al., 2002), and all other areas of green and
292 general pervious surfaces referred to as Rural. Following a preliminary evaluation of a number of
293 different grid cell sizes, a cell size of 50 m was identified as the optimum for generating realistic,
294 homogeneous urban land use maps; smaller cell sizes produced maps with the aforementioned
295 ‘speckled’ effect that often affects per-pixel classification in urban areas. Additionally, it was
296 found that application of this approach to the ‘level-2’ binary grids resulted in difficulty devising
297 a standard classification which can be used to produce coherent land use maps across the time
298 series. For this reason, the ‘level-1’ binary maps derived from the topographic maps were used to
299 generate the land use maps. This was achieved using ArcMap through the following steps:

300

- 301 • ‘Level-1’ binary maps were aggregated using the ‘Aggregate’ function to generate a
302 grid that details the mean value of the pixels contained within each 50 m grid cell.
303 These aggregated values provide an indication of the level of development; 50 m grid
304 cells with a value close to 1 essentially correspond to ‘white space’ (i.e., a rural
305 undeveloped area), whereas a value close to 2 corresponds to a high density of
306 mapped features (i.e., a highly developed area).
- 307 • A threshold-based classification scheme was then applied to the grid in order to
308 assign cells to either the Urban, Suburban or Rural land use class. It was found that
309 cell values of 1–1.35 represented Rural land use, values of 1.35–1.65 corresponded to
310 Suburban, and values above 1.65 represented Urban land use. These thresholds were
311 validated to ensure at least 80% of 50 randomly selected grid cells were correctly
312 classified in decadal map. The output is set of 50 m maps showing Rural, Suburban,
313 and Urban land use (shown in Fig. 3).

314 Potentially erroneous pixel classifications were removed through geospatial proximity
315 analysis, and by applying an urban land use change trajectory demonstrated by Verbeiren et al.
316 (2013) to ensure greater consistency throughout the time series. This is achieved by first
317 combining the ArcGIS ‘Conditional’ tool in the ‘Raster Calculator’ with the ‘Focal Statistics’
318 tool to identify misclassified Urban and Suburban grid cells based on the classes of neighbouring
319 cells — isolated Suburban or Urban cells were reclassified according to the dominant
320 surrounding class. Following this, each cell was labelled as either 0 (Rural), 1 (Suburban) or 3
321 (Urban) and all trajectories of land use change were recorded throughout the time series using
322 codes (e.g., 00112, 01222, etc.). These were then evaluated according to whether they reflect
323 realistic changes observed in the catchment over the study period, and subsequently classified

324 into 6 rationality classes: ‘urban growth’, ‘suburban growth’, ‘urban regeneration’, ‘urban
325 stability’, ‘suburban stability’, and ‘inconsistent’. The ‘inconsistent’ class captures grid cells that
326 do not follow realistic change trajectories — such as a Suburban area changing to Rural then
327 Suburban and back to Rural. Inconsistent cells were corrected using the most likely trajectory for
328 that cell over the 50-year period — based upon surrounding cells. The class ‘urban regeneration’
329 captures the possibility of Urban areas being demolished and replaced with green space or
330 subsequent re-development. The land use change trajectory rules were implemented using the
331 ‘Conditional’ tool in the ArcMap ‘Raster Calculator’. The outcome was a set of coherent urban
332 land use maps revealing the long-term change in land use for the period 1960–2010.

333 For each land use map, the proportions of Urban and Suburban grid cells within each
334 catchment were used to calculate a catchment index of urban extent. As well as measuring the
335 urban extent within a hydrological catchment, the index of urban extent (*URBEXT*) proposed in
336 the UK Flood Estimation Handbook (FEH) methodology (Institute of Hydrology, 1999) can also
337 provide an estimate of the impervious surface cover. Accordingly, the index of urban extent and
338 estimate of imperviousness for the six catchments (*URBEXT*) in each land use map is computed
339 using:

$$340 \quad \textit{URBEXT} = \textit{Urban} + (\beta \times \textit{Suburban}), \quad (2)$$

341 where *Urban* and *Suburban* are the proportions of Urban and Suburban grid cells within each
342 catchment, respectively, and β is the Suburban weighting factor. The suitability of *URBEXT* for
343 estimating catchment imperviousness is assessed through comparison with the reference data
344 derived from aerial photography (*%IMP*). For the purpose of this comparison, *URBEXT* — the
345 weighted value of urban extent within a catchment — is considered to provide a direct estimate
346 of the catchment percentage imperviousness. The Suburban weighting factor (β) is preset to a

347 value of 0.5 to account for the general equal mixture of built-up land and permanent vegetation
348 (Bayliss et al, 2006; Institute of Hydrology, 1999). Urban land use was assigned a weighting of 1
349 because such areas generally have negligible green (pervious) space. In an attempt to improve
350 the accuracy of the catchment imperviousness estimates, an optimal value for β was sought by
351 applying a linear regression model between reference imperviousness ($\%IMP$) and $URBEXT$
352 across the three decadal time-slices. This provides a refined calibrated value of catchment
353 impervious surface ($URBEXT_{IMP}$).

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356 **4. Results and discussion**

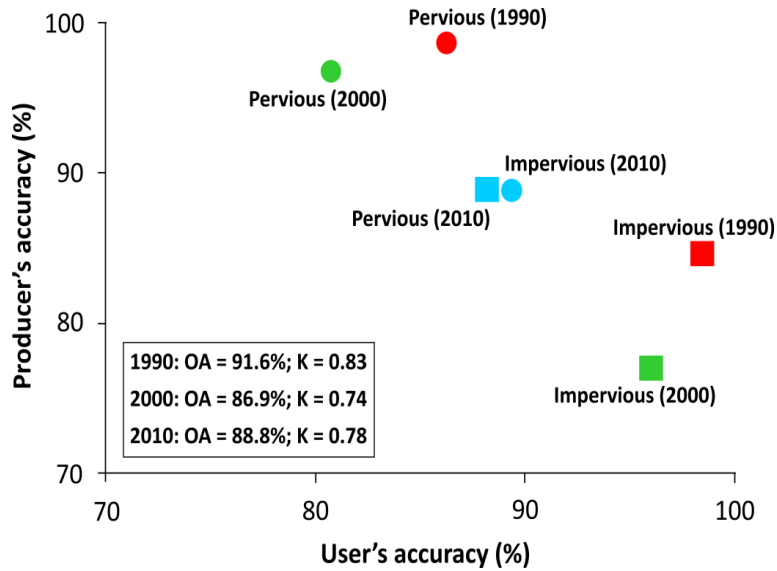
357 ***4.1 Imperviousness maps from aerial photography***

358 The accuracies of the RS-derived high-resolution (0.5 m) maps of binary imperviousness
359 for 1990, 2000 and 2010 are shown in Fig. 4. High overall accuracies (>86%) were achieved in
360 all three cases and are also confirmed by the corresponding K values (0.74–0.83); interpreted as
361 reflecting a “substantial” to “almost perfect” degree of accuracy (Landis and Koch, 1977).
362 Further corroboration of the classification accuracy is provided by the high user’s (88–99%) and
363 producer’s (77–89%) accuracies associated with both the pervious and impervious classes in all
364 binary imperviousness maps; indicating low commission and omission errors, respectively. The
365 result of this accuracy assessment indicate that the binary imperviousness maps are suitable for
366 deriving reference data for validating the estimates of catchment imperviousness computed using
367 the topographic map-based methods.

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372 **Fig. 4. Classification accuracies of the binary imperviousness maps derived from aerial**
 373 **photographs for 1990, 2000 and 2010. OA — Overall accuracy; K — Kappa coefficient.**

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375 **4.2 Catchment imperviousness from fractional impervious surface maps**

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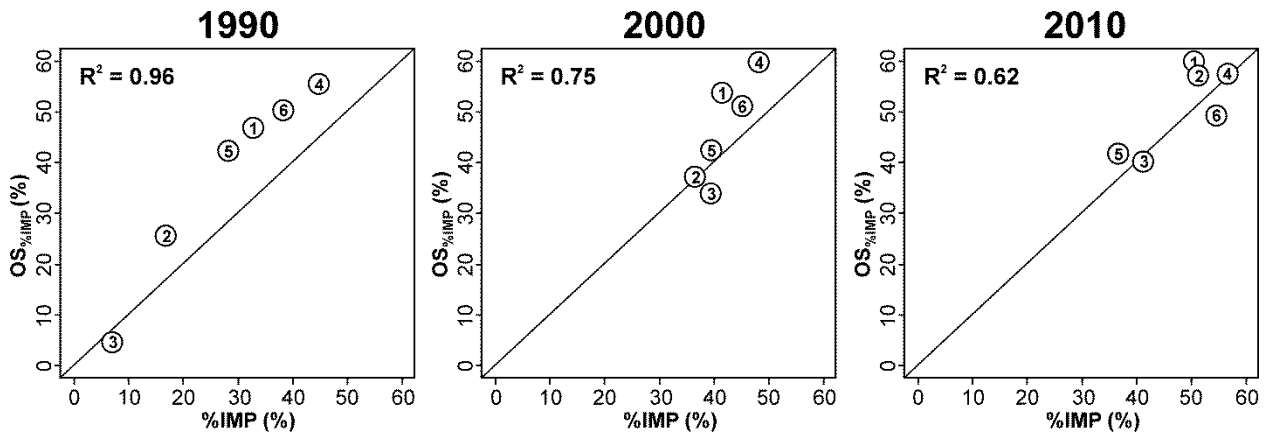
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Catchment imperviousness obtained from topographic map-derived fractional impervious surface maps ($OS_{\%IMP}$) — method 1 — was compared with the reference data ($\%IMP$) derived from the aerial photographs (Fig. 5). A reasonable, but variable level of agreement between $OS_{\%IMP}$ and $\%IMP$ is observed throughout the three decadal time-slices. Although the correlation for 1990 is greatest ($R^2 = 0.96$), the catchment imperviousness measured using $OS_{\%IMP}$ is (with the exception of catchment 3) approximately 10% larger than the reference data. The general overestimation of $OS_{\%IMP}$ is most likely attributable to the larger size depictions of features such as roads on the 1990 topographic map, compared to equivalent features on the more recent maps. The correlation between $OS_{\%IMP}$ and $\%IMP$ is somewhat lower for both 2000 and 2010 ($R^2 = 0.75$ and 0.62 , respectively), with the data appearing more widely distributed around the reference $\%IMP$. This observed decrease in the level of agreement could be due a slight offset in

387 the exact instant in time at which the aerial photographs and corresponding topographic maps
 388 capture. Alternatively, this could arise due to the slightly lower accuracies of the 2000 and 2010
 389 aerial photography-derived binary imperviousness maps, in comparison to the 1990 map.
 390 Nevertheless, the results suggest that estimating catchment imperviousness using fractional
 391 impervious surface maps derived from topographic maps (i.e., method 1) is feasible.
 392



393
 394 **Fig. 5. Comparison of catchment imperviousness estimated from aerial photography (%IMP) and**
 395 **topographic map-derived fractional impervious surface cover (OS_{%IMP}) within the six catchments,**
 396 **for years 1990, 2000 and 2010.**

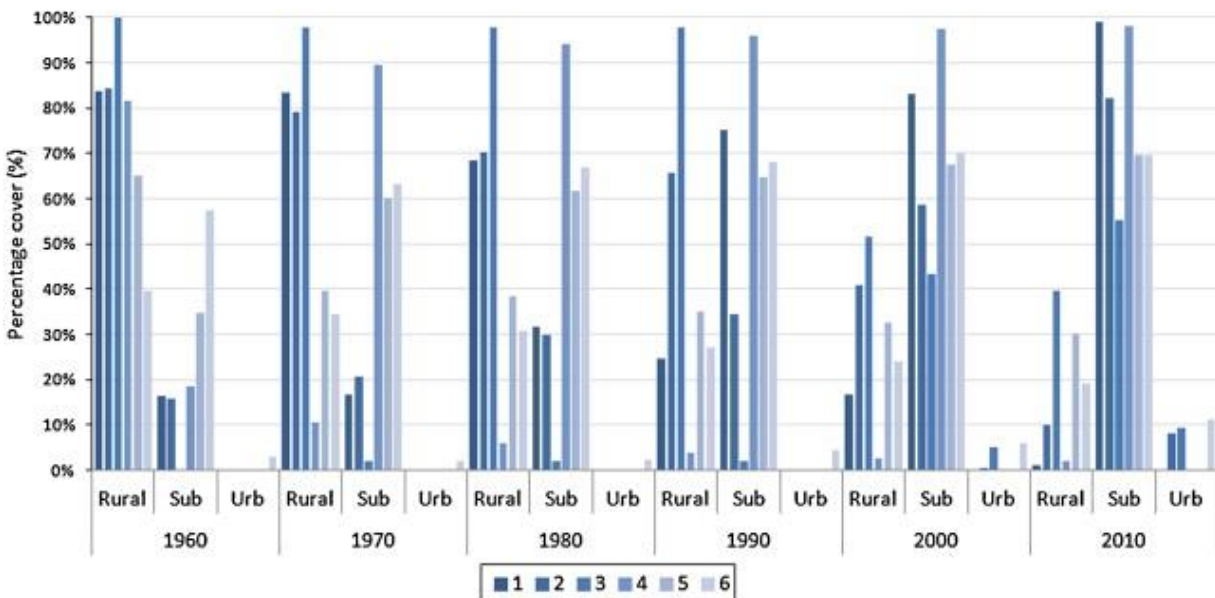
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398 **4.3 Mapping urban land use change using topographic maps**

399 Urban land use derived from the topographic maps using method 2 reveals the spatio-
 400 temporal change in Urban, Suburban and Rural land use at a decadal intervals from the 1960s to
 401 2010s (Fig. 6). While the highly urban Rodbourne catchment (catchment 6) exhibits a gradual
 402 expansion and infilling of Urban and Suburban land use, the Haydon Wick catchments (1–5)
 403 exhibit a more dramatic and rapid changes in land use over the 50-year study period. The
 404 remarkable change from predominantly Rural (agricultural) land use in all Haydon Wick
 405 catchments (1–5) to predominantly Suburban land use is clearly illustrated in Fig. 7, as is the
 406 impact of one large commercial development in catchment 2 in the 2000s. The relative change

407 that occurred in catchment 6, which was already over 50% Suburban in 1960, is significantly less
 408 than in the peri-urban area of the Haydon Wick catchments (Fig. 7). In all cases, the mapped
 409 spatio-temporal changes in Urban land use were found to be consistent with the physical changes
 410 observed in the original OS topographic maps. By the 2010s, the relative proportion of
 411 developed (i.e., Urban or Suburban) land across all catchments is high and the remaining Rural
 412 areas typically represent areas of green space designated for recreation and conservation, along
 413 with areas of significant flood risk.

414

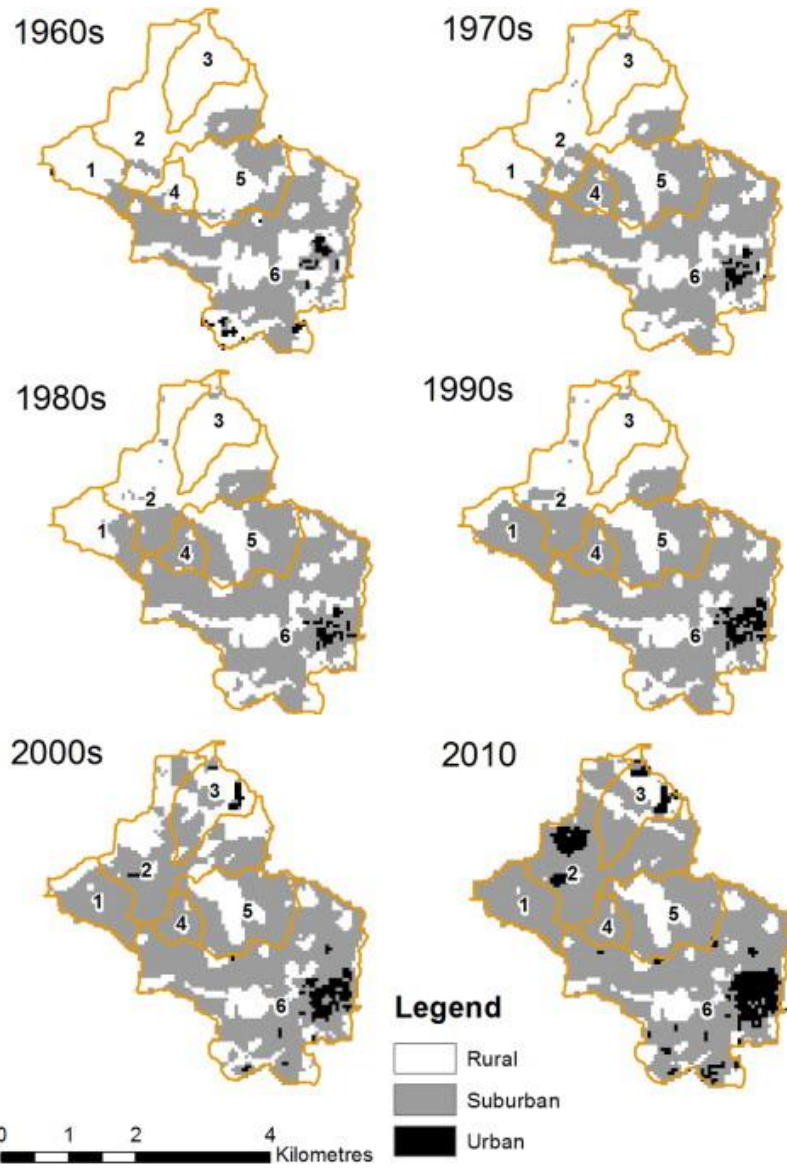


415

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Fig. 6. Decadal change in land use across the study area catchments.

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Fig. 7. Spatio-temporal change in urban land use across the study area.

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Table 2. Change in urban extent index (*URBEXT*) for the six catchments.

Catchment	1960	1970	1980	1990	2000	2010	Percentage change (1960–2010)
1	8.2%	8.3%	15.8%	37.6%	41.6%	49.5%	41.3%
2	7.9%	10.4%	14.9%	17.2%	29.7%	49.2%	41.3%
3	0.0%	1.1%	1.1%	1.1%	26.8%	36.9%	36.9%
4	9.2%	44.7%	47.0%	48.0%	48.7%	49.0%	39.8%
5	17.4%	30.1%	30.8%	32.4%	33.7%	34.9%	17.5%
6	31.7%	33.7%	35.8%	38.7%	41.0%	45.9%	14.2%

425

426 Catchment values of *URBEXT* computed using the land use maps (Table 2) also show

427 distinct differences between the Haydon Wick catchments (1–5) and Rodbourne catchment (6).

428 During the period 1960–2010, *URBEXT* values changed little across the Rodbourne catchment,

429 with only a 14.2% increase as a result of small, steady incremental change during each decade.

430 More significant change across the Haydon Wick catchments reflects successive waves of peri-

431 urban development during the study period, with an average overall increase in *URBEXT* of

432 35.4% and significant variation between the catchments (17.5–41.3%). Again, the observed

433 temporal changes in urban extent were found to be consistent with known physical changes that

434 occurred within the period 1960–2010. Therefore, the results demonstrate that the employed

435 method is an effective approach for readily mapping long-term basic land use change and

436 associated catchment-level urban extent from historical topographic maps. A particular important

437 stage in this methodology is the application of land use trajectory analysis (e.g., Verbeiren et al.,

438 2013), which was crucial in ensuring a reliable time series dataset from which only genuine land

439 use change is revealed.

440

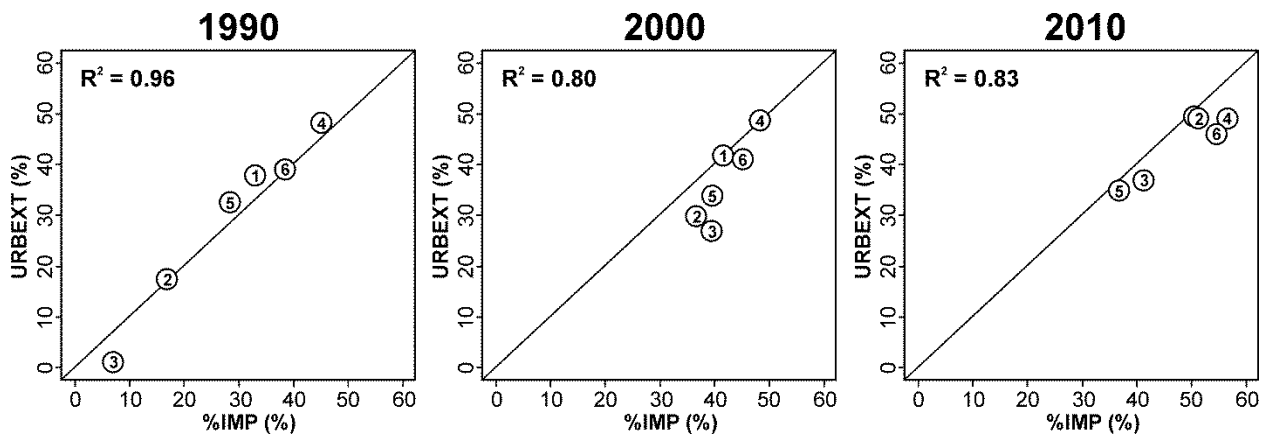
441 ***4.4 Catchment imperviousness from urban land use maps***

442 To investigate whether a simple index of urban extent (*URBEXT*) derived from

443 topographic maps can provide representative estimates of catchment imperviousness, a

444 comparison with reference imperviousness derived from aerial photography (*%IMP*) was
 445 undertaken (Fig. 8). Overall, a high correlation between *URBEXT* and *%IMP* is observed across
 446 most catchments during the three decades ($R^2 = 0.80\text{--}0.96$), and also when all data is considered
 447 collectively ($R^2 = 0.86$). Nevertheless, some notable deviations were observed for specific
 448 catchments and time-slices. For example, values of *%IMP* for catchment 3 were shown to be
 449 much higher than *URBEXT* in all cases due to significant underestimation of Urban areas of
 450 gravel and tarmac because of their depiction on topographic maps. Also, for 1990, *URBEXT*
 451 values are clustered around *%IMP*, while *URBEXT* consistently underestimates catchment
 452 imperviousness for both 2000 and 2010. The general underestimation of catchment
 453 imperviousness is likely to relate to the use of the ‘level-1’ binary grids, in which buildings and
 454 roads are not infilled. Nonetheless, it is apparent that land use maps generated from topographic
 455 maps can be used in conjunction with the urban index, *URBEXT*, (i.e., method 2) to generate
 456 feasible estimates of catchment imperviousness.

457



458

459 **Fig. 8. Comparison of catchment imperviousness estimated from aerial photography (*%IMP*) and**
 460 **topographic map-derived index of urban extent (*URBEXT*) within the six catchments, for years**
 461 **1990, 2000 and 2010.**

462

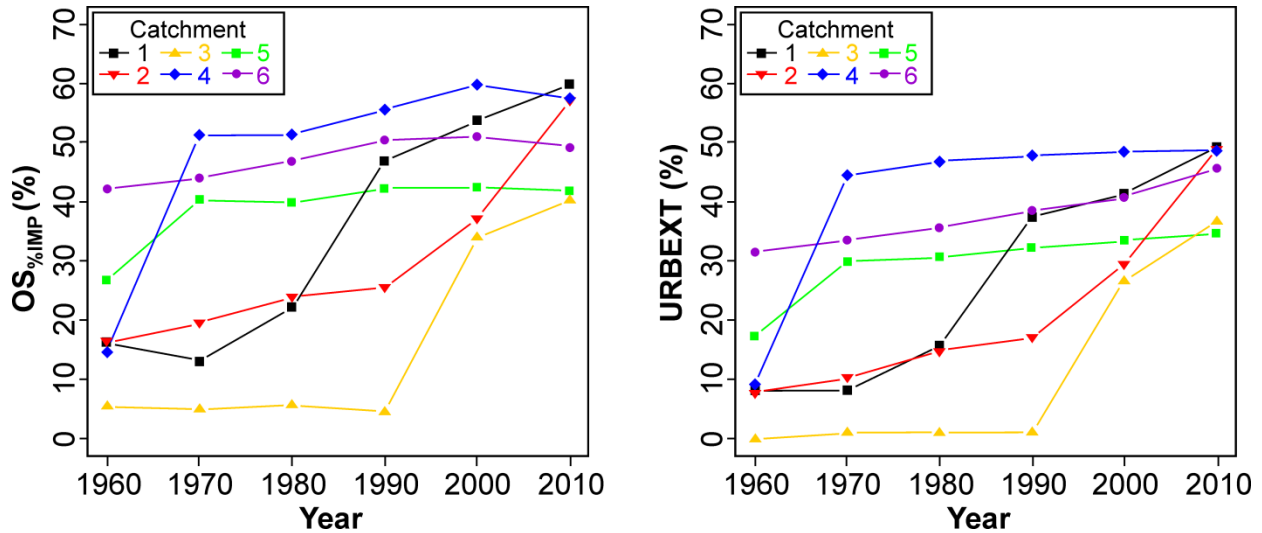
463 A linear regression model between *URBEXT* and *%IMP* across the three decadal time-
464 slices returned an optimised Suburban weighting factor ($\beta = 0.53$). Calibrated values of urban
465 extent (*URBEXT_{IMP}*) for each catchment were computed for 1990, 2000 and 2010 by using this
466 optimised value for β in Eq. (2). Following a comparison, the overall correlation between
467 *URBEXT_{IMP}* and *%IMP* ($R^2 = 0.84$) was actually found to be marginally lower than for *URBEXT*
468 ($R^2 = 0.86$), indicating that the original preset β (0.5) was more appropriate in this particular case.
469 However, in regions where Suburban land use does not comprise equal mixtures of built-up land
470 and vegetation, the optimal weighting can be determined using the same approach as that used
471 here.

472

473 ***4.5 Historical change in imperviousness***

474 The two methods employed in this paper for computing catchment imperviousness from
475 topographic maps both provide a means of revealing long-term change in imperviousness. As
476 illustrated by Fig. 9, the overall trend in imperviousness change for 1960–2010 is consistent
477 between the two methods. With the exception of catchment 6, which was already highly
478 developed prior to 1960, all catchments experience a somewhat rapid increase in imperviousness
479 during a specific period between 1960 and 2010. For example, catchment 1 sees its biggest
480 increase in imperviousness during 1980–1990, while catchment 3 experiences a rapid rise during
481 1990–2000. The timings of these rapid increases in imperviousness coincide with known
482 episodes of peri-urban expansion within the study area, and reflect the pattern of continuous
483 growth and expansion where as one development finishes another one commences. The less
484 dramatic change observed for catchment 5 can be explained by the fact that it already contained

485 suburban housing stock in 1960 and that it also contains a large nature reserve which is protected
 486 from development.



487
 488 **Fig. 9. Change in impervious cover determined using two methods across the six study catchments**
 489 **(1960–2010).**

490
 491 In addition to displaying similar trends, the two methods provide very similar estimates
 492 of the total absolute change in catchment imperviousness between 1960 and 2010. The mean
 493 difference in the total absolute change estimates between the two methods, for all catchments, is
 494 2.9%, with individual catchment estimates varying between a maximum difference of 7.1% and a
 495 minimum of 0.4%. The maximum difference is associated with catchment 6, which is arguably
 496 the most complex in terms of land use change during 1960–2010 because of gradual expansion
 497 of the industrial area in the south-eastern section of the catchment, and regeneration of the
 498 railway network to suburban housing in the south-west. As illustrated by Fig. 9, the more rural
 499 northern catchments (i.e., 1–4) experienced the most significant total absolute change in
 500 catchment impervious across the entire study period, with increases of between 36% and 42%.

501 These estimates clearly reflect the rapid expansion of suburban land use into these previously
502 rural areas as revealed in Fig. 6.

503 Although Fig. 9 illustrates that the methods reveal similar trends and estimates of change
504 in imperviousness across the six catchments for 1960–2010, there are differences in the
505 individual catchment imperviousness estimates. Specifically, all estimates computed using
506 method 1 (*OS%IMP*) exceed those produced using method 2 (*URBEXT*), with a mean absolute
507 difference of 7.8% (Table 3). With respect to the time intervals, the largest differences between
508 the methods occurs for the years 1990 and 2000, where *OS%IMP* estimates are respectively 8.3%
509 and 9.4% greater than the equivalent *URBEXT* estimates. With respect to catchments, the largest
510 differences between methods are observed for catchments 5 and 6, for which *OS%IMP* estimates
511 are respectively 9.0% and 9.5% greater than *URBEXT* estimates. The overall trend of method 1
512 producing higher estimates than method 2 is explained by a combination of the contrasting
513 representation of features such as roads and buildings in the different binary maps (i.e., the level
514 of infilling) incorporated in the two methods, and the somewhat simplistic discrete weighting
515 system employed in method 2. In particular, the infilling of features such as roads in the level 1
516 binary maps used in method 1 can lead to overestimation of impervious cover as the symbology
517 used represent roads does not always reflect the true physical dimensions, and can lead to infill
518 of isolated areas that are not physically developed. Despite the fundamental differences in the
519 two methods, both have been demonstrated to be feasible approaches for computing catchment
520 imperviousness and its historical change from topographic maps.

521 **Table 3. Absolute difference ($OS_{\%IMP} - URBEXT$) in estimates of imperviousness cover using two**
 522 **topographic map-based methods.**

Year	1	2	3	4	5	6	Mean difference
1960	8.0	8.2	5.5	5.4	9.3	10.4	7.8
1970	4.7	9.1	3.8	6.4	10.2	10.3	7.4
1980	6.3	9.0	4.6	4.3	9.0	11.1	7.4
1990	9.2	8.3	3.4	7.5	9.8	11.6	8.3
2000	12.0	7.4	7.1	11.0	8.7	10.0	9.4
2010	10.3	7.8	3.3	8.3	6.8	3.3	6.6
Mean difference	8.4	8.3	4.6	7.2	9.0	9.5	7.8

523

524

525 ***4.6 Considerations in using topographic maps for estimating imperviousness***

526 This paper demonstrates, through two methods, that topographic maps can be used to
 527 compute estimates of catchment imperviousness. When contemplating the use, or evaluating the
 528 performance, of $OS_{\%IMP}$ and $URBEXT$ — or any other topographic map-based method — there
 529 are a several aspects that require some consideration:

- 530 I. Aerial photographs and topographic maps do not necessarily represent the exact same
 531 instant in time, since whereas aerial photographs provide a snapshot for a specific
 532 date, topographic maps incorporate updates within a given time period (see Table 1).
- 533 II. Failure to remove place names and symbols (e.g., to represent forests) from the
 534 topographic maps will translate to the subsequently derived binary maps and lead to a
 535 degree of overestimation of imperviousness – users should ensure some consistent
 536 criteria are outlined for any manual interventions.
- 537 III. Topographic maps do not readily discriminate areas of inland bare ground and
 538 concrete/tarmac features, which will subsequently lead to their misrepresentation on
 539 derived binary impervious surface maps and result in a degree of underestimation of
 540 imperviousness. However, infilling of features such as roads can lead to

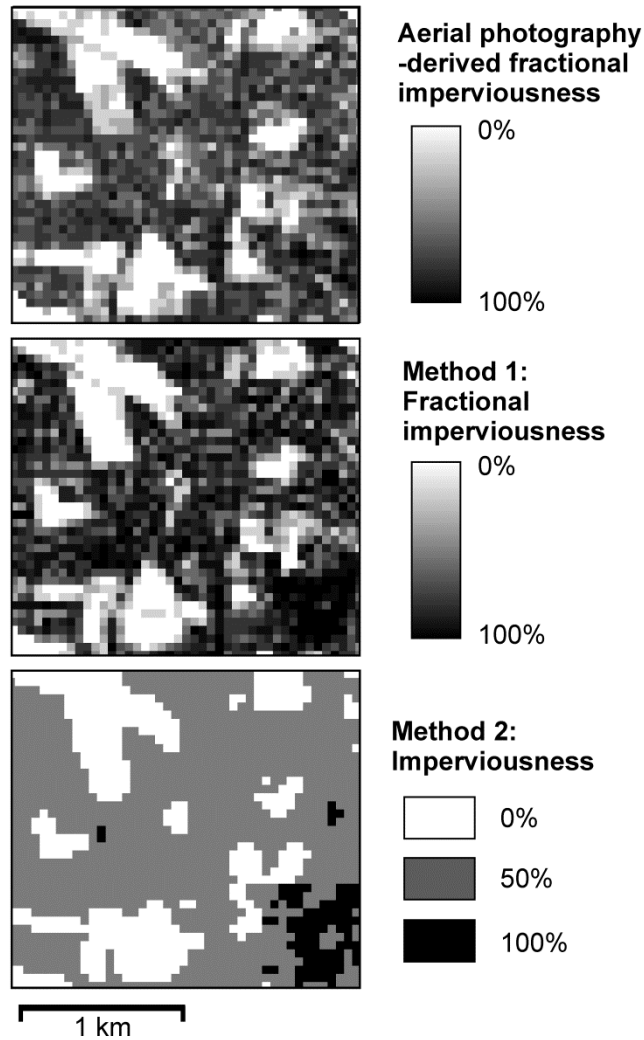
541 overestimation of impervious cover if the symbology used does not directly reflect
542 true physical dimensions.

543 IV. Small-scale features (e.g., minor roads) and minor changes within existing
544 development boundaries (e.g., infilling or ‘urban creep’) shown on aerial photography
545 are not always captured using the discrete land use classification and scale employed
546 in method 2.

547 V. Calibration of the fractional impervious surface maps (as in method 1) and
548 implementation of land use trajectory analysis (method 2) are crucial steps in
549 producing a coherent time series dataset for revealing reliable long-term change in
550 imperviousness.

551 With both methods capable of providing good estimates of catchment imperviousness,
552 the most appropriate method is largely dependent on the purpose of the study and the format of
553 the topographic maps. In general, method 1 can be more readily implemented and provides maps
554 of fractional impervious surfaces, thus describing imperviousness on a continuous scale (Fig.
555 10). On the other hand, despite method 2 providing only a discrete description of imperviousness
556 (see Fig. 10), it does provide maps of general land use that are informative when interpreting
557 changes in imperviousness over time. Although method 1 can be readily applied to any study
558 area, as demonstrated here, method 2 can be calibrated to determine the optimal weighting factor
559 associated with Suburban land use (β). Additionally, if the available topographic maps depict
560 roads and building as infilled features (akin to the ‘level-2’ binary maps) then method 1 would be
561 more suitable. However, if — as in the case of the OS topographic maps used here — such
562 features are not infilled, then method 2 can be applied without the need of additional pre-
563 processing steps to produce ‘level-2’ binary maps.

564



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Fig. 10. A comparison of impervious surface maps obtained using the two methods.

567

568 **5. Conclusions**

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This paper demonstrates that it is possible to derive robust long-term estimates of catchment imperviousness from topographic maps using two different contrasting methods. The first method (method 1) generates fractional impervious surface maps from the topographic maps and uses these to estimate catchment imperviousness. The second method (method 2) generates generalised land-use maps from the topographic maps and then computes catchment

574 imperviousness from these using an index of urban extent. Although some degree of manual
575 intervention is required for both methods, the processing stages employed are largely semi-
576 automatic and require significantly less time than manual delineation of impervious surfaces.
577 Such manual intervention will rely on some degree of user subjectivity — related to the format
578 of the topographic maps — that could alter the binary map and derived impervious cover
579 products. Such interventions are required to produce more consistent mapping products for
580 derivation of binary maps, and it is recommended that users employ transparency in the reporting
581 of such interventions. Through comparison with reference data obtained using aerial
582 photographs, it is demonstrated that both methods are capable of providing accurate estimates of
583 catchment imperviousness and its change over time. With both methods capable of providing
584 good estimates of catchment imperviousness, the most appropriate method beyond this study will
585 be largely dependent on the purpose of the study and the format of the topographic maps.

586 This study demonstrates that both methods show the peri-urban Haydon Wick catchment
587 has undergone a significant change from predominantly rural to highly urban and is now
588 dominated by suburban areas of housing development. Findings from hydrological studies (e.g.
589 Braud et al., 2012; Dams et al., 2013) would suggest that this will have led to a faster catchment
590 response and greater magnitude of flow during storm events — making the area more prone to
591 flooding. Local reports of more frequent flooding would be consistent with this hypothesis but
592 hydrological modelling of the change in storm runoff response would be necessary to validate
593 this assumption.

594 Several issues that may affect derived estimates of catchment imperviousness using
595 topographic maps are highlighted for consideration in future applications of this methodology.
596 For example, catchments containing large areas of concrete, gravel and tarmac (e.g., car parks)

597 might not be recognisable as developed surfaces on topographic maps. Conversely, although
598 such surfaces are typically characterised as impervious, they are not always physically
599 impervious per se. For example, gravel cover is not inherently impervious and more modern car
600 parks and roads can employ Sustainable Urban Drainage Systems (SUDS) design principles to
601 enable infiltration of water to the media below. Furthermore, the presence and spatial distribution
602 of both traditional drainage systems and SUDS contribute to the effective impervious area (EIA)
603 — the connectivity to impervious areas — and are shown to be a strong determinant of storm
604 runoff response (Han and Burian, 2009). This highlights the limitation of using simple
605 impervious area estimates in hydrological studies. Also, depending on the maps scale, plot-scale
606 (changes such as housing extensions driving urban creep; Perry and Nawaz, 2008) may not be
607 captured on topographic maps.

608 Further research is required to progress to a more realistic scheme which accounts for
609 varying degrees of imperviousness within individual land use or land cover classes. This would
610 require better characterisation of urban typologies and land cover classes in terms of their natural
611 permeability, association with drainage systems, and additional factors which affect the
612 catchment runoff response. Such information would have to be obtained from auxiliary datasets
613 as this is not readily available on historical topographic maps. Imperviousness maps
614 incorporating information on connectivity and features that influence hydrological response to
615 storm events would be particularly useful in quantifying the impact of historical urbanisation on
616 flooding.

617

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619

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626

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