

1 **Title: A comprehensive investigation of using mutual air and water**
2 **heating in multi-functional DX-SAMHP for moderate cold climate.**

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9 **Abstract**

10 Solar energy assisted heat pump systems (*SAHP*) have been used in this application. *SAHP*
11 system with solar collectors and the heat pump are combined into one unit so as to convey the
12 solar energy to the refrigerant. The solar collector is used as the evaporator, where the
13 refrigerant is directly vaporized by solar energy input. Due to the complicated technical issues
14 associated with a combined system that provides air for space heating and domestic hot water,
15 most of the previous studies have concentrated on water heater heat pump mechanism. The
16 current work is aimed at examining the use of a new multi-functional heat pump (*DX-SAMHP*),
17 air for space heating mutually with solar for domestic hot water without employing an auxiliary
18 heater. Comprehensive experimental and analytical studies in the first of its kind have been
19 performed on the new system. The novel system with ternary panels and the thermal
20 performance of the collector has been examined in this study. Results indicate that the *DX-*
21 *SAMHP* using solar inner and outer panels for space and water heating is a promising substitute
22 for the existing *DX-SAHP* water heater. Compared to the conventional solar-assisted *SAHP*
23 heat pump systems, the coefficient performance of the new design doubles that of the
24 conventional *DX-SAHP* systems.

25 **Keywords**

26 Heat pump in low temperature, Solar energy system, Refrigeration cycle, Heating system
27 application.

28 Nomenclature

A_{coll}	area of the solar collector, (m ²)
A_{tank}	total heat transfer area of the wall of the water tank, (m)
$COP_{h\text{-sys}}(t)$	heat pump coefficient of performance for air (-)
$COP_{h\text{-sys, av}}$	coefficient of performance average of the system, air (-)
$COP_{h,w\text{-sys}}(t)$	heat pump coefficient of performance for air and water (-)
$COP_{effective}$	coefficient of performance effectiveness of the system
Cold-air-in	inlet air temperature to the system, (°C)
C_{p_air}	specific heat capacity of air (kJ·kg ⁻¹ °C ⁻¹)
C_{pw}	specific heat capacity of water (kJ·kg ⁻¹ °C ⁻¹)
D	refrigerant tube diameter, mm
D_i	inside refrigerant tube diameter, (m)
dt	variable time, per second, (t)
F'	collector efficiency factor
Hot-air-out	hot air gained by the condenser, (°C)
h_{fi}	heat transfer coefficient, (-)
h_{refout}	refrigerant/Collector outlet enthalpy, (kJ·kg ⁻¹)
h_{refin}	refrigerant/ Collector inlet enthalpy, (kJ·kg ⁻¹)
h_w	wind heat transfer coefficient, (W·m ⁻² °C)
W_m	total uncertainty in the mass flow rate of the water, (%)
\dot{V}	volume flow rate, (l·s ⁻¹)
I_{coll}	the intensity of the solar radiation, (W·m ⁻²)
Inlet _{air, av}	average of outdoor air temperature, (°C)
M_w	water mass in the water tank
N_u	Nusselt number (-)
P_r	Prandtl number (-)
Plate-inlet	fluid enters the collector, (°C)
Plate-out	fluid exits the collector, (°C)
$Q_{condenser\ air}$	condenser heat gained experimentally, (kW)
Q_w	heat gained at the DHWT, (kW)
$Q_h(t)$	heat exchange rate in the plate condenser, (kW)
$Q_{evaporator}$	evaporator heat gained experimentally, (kW)
Q_{solar}	collector's heat gained theoretically, (kW)
$Q_w(t)$	condenser gained heat delivered by a heat pump (water), (kW)
Re	Reynolds number (-)
T_a	ambient temperature, (°C)
T_2	outlet collector's temperature, (°C)
T_3	discharge compressor temperature, (°C)
T_{room}	room temperature, (°C)

U_L	Collector's heat losses, ($W \cdot m^{-2} \cdot ^\circ C$)
$U_{L,t}$	water condenser heat losses, ($W \cdot m^{-2} \cdot ^\circ C$)
V	compressor's displacement, ($m^3 \cdot h^{-1}$)
W_{comp}	compressor's work, (W)
$W(t)$	system input power, (W)

Abbreviations

DHWT	water temperature at domestic hot water tank cylinder
HR	room heating
LPM	litre per-minute
L	litre
SPF	Seasonal performance factors
s	time, per second

Greek symbols

\dot{m}	mass flow rate,
\dot{m}_r	refrigerant mass flow rate, ($kg \cdot s^{-1}$)
\dot{m}_{coll_ref}	refrigerant mass flow rate, ($kg \cdot s^{-1}$)
η_{coll_ref}	collector efficiency, (%)
η_{comp}	compressor's efficiency, (%)
\bar{T}	mean refrigerant temperature in the collector/evaporator, ($^\circ C$)
ρ	fluid density ($kg \cdot m^{-3}$)
f_{comp}	compressor's frequency (Hz)
α	collector's adsorption rate (-)
τ	operating period of duration, per-second (s)

Subscripts

after-comp	fluid temperature after being compressed
after-cond	fluid temperature after being condensed
hot-rif	hot refrigerant at heat exchanger plate
hot-water	hot water at heat exchanger plate
h-sys	heating space system
h-sys,av	heating space average
refout	refrigerant collector outlet
refin	refrigerant collector inlet
coll	collector's
air-ave	an average of out-door air temperatures
solar	enthalpy calculation via modelling
ref	refrigerant
comp	compressor
tank	water tank
room	heated room area
av	average
a	ambient temperature
w	water
r	refrigerant/fluid
(t)	time, per second

29 1 Introduction

30 Solar energy systems and heat pumps are one of the promising means of decreasing the
31 consumption of fossil fuel [1]. A heat pump is also a promising means of reducing the
32 consumption of energy resources [2]. The idea of improving the conventional heat pump was
33 suggested to enhance the system performance. A number of research groups have investigated
34 powering heat pumps with solar energy and the idea of a combination of heat pump and solar
35 energy has been proposed and developed around the world, which is called, the solar-assisted
36 heat pump (SAHP) system [3-5]. The SAHP can be divided further into two types: the direct-
37 expansion type (DX-SAHP) and indirect-expansion type (IX-SAHP).. Thereafter, a great deal
38 of research concentrating on numerical and experimental studies of both SAHP systems was
39 implemented as early as in the 1970s [6]. Furthermore, theoretical and experimental SAHP
40 studies were also performed later in the 1990s [7]. Comakli et al. [8] have designed a solar heat
41 pump for residential heating using energy storage system. Another study with IX-SAHP type
42 was found suitable for regions abundant in solar insolation, while DX-SAHP coefficient of
43 performance (*COP*) of 4.0 was found more economic impact [9]. The DX-SAHP system
44 directly integrates Reverse-Rankine refrigeration device with the solar collector was firstly
45 considered by Sporn and Ambrose [10]. Huang and Chyng first proposed the design of an
46 integral-type DX-SAHP that integrates the heat pump, solar collector, to come up with a unitary
47 system that is easy to install [22]. Kuang et al. [11] have performed experimental and analytical
48 studies on DX-SAHP system as applied in Shanghai. In this study, the effects of various
49 parameters under variable compressor speed were investigated.

50
51 A further developed DX-SAHP system which was able to supply multi-functional low heating
52 costs to domestic buildings, including space cooling during the summer, space heating during
53 the winter, and hot water supply is named direct-expansion solar-assisted heat pump water
54 heater (DX-SAHPWH) for year-round was investigated in [12] and [13]. Since the solar
55 collector serves as an evaporator while the refrigerant absorbs the solar incident energy (and/or
56 ambient air energy), and the energy discarded by the condenser contributes to water heating.
57 Provided that, the system of solar collectors can provide energy in steady state condition for
58 overall performance analysis [14]. The overall *COP* s of the system is affected considerably by
59 the load demands and changes in climatic conditions especially for low-temperature water
60 heating applications [15]. It was also reported that a considerable amount of theoretical and
61 experimental studies have been conducted on DX-SAHP system especially for the water heater
62 [16]. Chaturvedi and Shen [17] investigated a bare collector/evaporator for water heating
63 applications. Li et al. [18] introduced and applied experimentally a methodology for design
64 optimization in two direct expansion solar-assisted water heater systems. Ito et al. [7] studied
65 theoretically and experimentally the structure parameters on the DX-SAHP. In this study, the
66 results showed that the system reached *COP* of 3.3 under various weather conditions for space
67 heating. They optimized the collector/evaporator structure parameters such as plate materials,
68 thickness and collector area. Mohamed [19] developed a new concept of ternary collectors used
69 to enhance the direct-expansion solar-assisted multifunctional heat pump (DX-SAMHP) for
70 air-space heating. The result showed that the system had a significant improvement compared
71 to the conventional heat pump. A multi-fold-functional system in cold climate region is

72 essential year-round and high utilization rate makes the option economically attractive [1, 20].
73 Since space heating and domestic hot water (DHW), in winter for a household is indispensable.
74 In addition, the coefficient of performance of the DX-SAHP system would increase over that
75 of the air-source heat pump system alone [21]. DX-SAHP can utilize heat from solar radiation
76 and ambient air simultaneously [22] and can also operate using surroundings
77 domestic/industrial exhausted heat. Even in the absence of solar insolation, this may utilize for
78 space heating and water heating applications [23]. Recently the authors conducted a study for
79 water and air space heating to evaluate the heating performance of multi-functional DX-
80 SAMHP [24]. The experiment agreed with the view of the fact that the system can be a good
81 alternative to existing DX-SAHP. The study also indicated that the formation of frost in an air
82 source heat pump for the fan-driven condenser is inevitable. Thermal refractory performance
83 investigation is performed on collectors using a thermal camera.

84
85 On the other hand, space heating and domestic hot water production are deemed to be key
86 applications in this sector:53 percent and 16 percent respectively [25, 26]. However, the heat
87 pump is widely known as heat recovery system capable of increasing the temperature of
88 recovered heat. Hence, enable the wasted heat to be elevated to the levels that are more useful.

89
90 Although, and besides the aforementioned advantages the use of heat pump for combined space
91 heating and water heating, particularly in the *DX-SAMHP* option is not popular. The studies,
92 however, are scarcity in numbers and they all have their limitations with respect to cold regions
93 [27]. Most of the previous studies focused on the developments under typical working
94 conditions, in particular, water heater system, while other systems that under low temperature
95 heating conditions was little until the last three consecutive years [28].

96
97 The incentive of this study is to develop a new design arose from utilizing a wasted surrounding
98 heat energy as the main source. The objective of this new design is to decrease the temperature
99 difference across the collector/evaporator and condenser using SAHP energy system, thereby
100 enhancing the heating capacity at lower ambient temperatures. By providing air heating rather
101 than heating the space through hot water, this technique would drastically reduce the water tank
102 required area, and shrink the use of primary energy sources.

103 This study demonstrates theoretically and experimentally that the *DX-SAMHP* could work
104 satisfactorily with a very high efficiency at a low temperature and in absence of solar irradiance.
105

106 **2 Schematic design, fabrication and description of the proposed system**

107 A multi-mode-functional *DX-SAMHP* system for domestic applications is shown in [Fig. 1 and](#)
108 [2](#). It mainly consists of a ternary unique coated aluminium flat-plates, a variable speed hermetic
109 compressor, centrifugal fan-coil units (air-cooled condenser), a water-to-refrigerant heat
110 exchanger, a water circulating pump and piping, a domestic hot water tank (DHWT) with an
111 immersed condensing coil loop (water-cooled condenser), thermostatic expansion valve,
112 electronic control and electrical valves. Supplementary components are added to facilitate
113 cycle running such as centrifugal fan, expansion vessel, water circulating pump, and solenoid
114 valve.

115
116 Fig.1: Details of the schematic developed *DX-SAMHP* system
117

118 Fig.2: Operations principles of *DX-SAMHP* system
119
120

121 The developed design is comprised primarily of collectors. The fabricated unglazed solar
122 absorber evaporator is formed by integrating bare ternary soft aluminium solar-collectors,
123 which are connected in series form (Fig.2). This unglazed solar flat-plate collector is used as
124 a heat source acting as an evaporator for the refrigerant R407C. The piping network design is
125 inlaid between the three aluminium plates. The lumped collectors formed by over-pressurizing
126 the network so that the fluid serpentine circuit constructs within the fin. The aluminium plates
127 are then retained by bonding and rolling them together. The plates thicknesses are 2 mm, while
128 the inner diameter of the tube is 13 mm with the total piping length of 25 m. The heat pump
129 composed of a refrigerant cycle, air circulation, and water cycle (Fig.1 and 2). As above-
130 mentioned, the collectors comprise of two flat plates, which are externally placed and
131 integrated into the structure of a house roof, whereas another plate is internally mounted in the
132 house loft space to absorb domestic wasted heat (Fig.2). The heat pump primarily of a rotary
133 hermetic-type compressor is utilised in the system with power rated of 800 W at a frequency
134 (50 Hz), with the compressor volumetric displacement of 7.8 L/s (Fig.3). The objective of this
135 concept is to decrease the temperature difference across the collector/evaporator and
136 condenser, in which the wasted energy stored in the surrounding air, solar radiation and
137 ambient air could be used. Thereby enhancing the heating capacity of the system at lower
138 ambient temperatures.

139
140 Fig.3: *DX-SAMHP* system components
141

142 The variation of the compressor's speed was obtained via variable frequency drive, to avert the
143 compatibility between its variable loads and in order to reach the steady capacity of the
144 compressor (Fig.3, 1). A refrigerant receiver (Fig.3, 2), and the accumulator is embedded in
145 the system to facilitate in controlling the refrigerant distribution, while the external pressure
146 equalizer with thermal expansion valve to control the degree of superheat at the compressor
147 inlet by controlling the pressure. It also liquidises the refrigerant's flow to evaporators
148 (Fig.3,3). The heat pump has two heat rejection modes, one of which is made of copper tube
149 and aluminium fins (Fig.3, 4); whereby centrifugal fan can deplete energy to contribute to space
150 heating load. The other is copper tube as coil closed loop immersed in a cubage of 200 L, a
151 fully insulated domestic hot water tank (Figure.3, 7), and water-to-refrigerant plate heat
152 exchanger (Fig.3, 5). The water-to-refrigerant plate heat exchanger is linked to the DHWT
153 through circulating piping pump, expansion vessel (Fig.3, 6-8) and water flow rate controller
154 (Fig.3, 9) in order to maintain the hot water demand. The energy rejected by the condenser
155 (copper tube and aluminium fins plate) is used for the purpose of exchanging the heat between
156 the refrigerant and air inlet source to contribute to space heating.

157
158 In general, the multi-mode-functional *DX-SAMHP* system can offer three fundamental operating
159 modes; Space heating-only-mode, water heating-only-mode and it can also produce DHW and

160 space heating modes. In the present experiment, the switching between those modes is by
161 means of valve position and on-off controls. There is a two-way solenoid valve (Fig.3, 13), the
162 one-way non-reversing valve on the refrigerant pipes at the locations shown in Fig.3, 14. A
163 controller box is employed to determine and govern the operations running and modes of the
164 system, which is supplied with digital cabinet output to organise the compressor's frequency
165 (Fig.3, 12).

166 3 Experimental setup and data acquisition

167 The experiments were carried out twice, earlier in winter 2016 and the latter one in winter
168 2017, emerged between laboratory and actual weather conditions based on British Standard
169 Institute/International Organisation for Standardization *BSI/ISO 13612-1:2014* for testing and
170 performance standard. The system is proposed operating at night time hours and early morning
171 and remains to idle for the rest of the day. Temperatures were measured with constantan
172 thermocouples (Table.1). All thermocouples were calibrated in a high accuracy thermostatic
173 bath using standard platinum resistance thermometer. A solar Pyranometer was used to
174 maintain consistent fallen solar radiation to cover the entire surface area of the plates equally
175 (Table.1). Electronic power meters were utilised to evaluate the compressor's energy
176 consumptions, and the power of the whole system including, a booster water pump, electrical
177 valves and fan consecutively (Fig.3, 10), (Table.1, 2).

178 The indoor temperature, ambient temperature, the collector's (surface, inlet/outlet)
179 temperatures, and evaporation temperature, alongside with relative humidity were measured in
180 real weather conditions to an accuracy of $\pm 0.1^\circ\text{C}$ and 3 percent respectively (Table.1, 2). A
181 solar simulator was configured indoor to simulate incident solar insolation on the surface of
182 the collectors. The evaporator/collector surface temperatures were measured by 'K' type
183 thermocouples on indoor/outdoor collectors (Table.1). These surfaces temperatures were
184 determined at points near of the six corners, in middle between the 7th and 9th tubes, and 15 cm
185 from the upper and lower of the evaporator/collector plates. As the spectrum distribution fulfils
186 the European standard, and the instability and heterogeneity of the solar simulator were under
187 4 percent, therefore the simulator efficiently can simulate the solar radiation. In addition, the
188 flexible luminous area is 2.22 m² were situated in parallel to collector's surface area.
189 Pyranometer with a sensitivity of 60 to 100 $\mu\text{V}\cdot\text{W}^{-1}\cdot\text{m}^{-2}$ (*SP lite2 compares to ISO 9060*), was
190 mounted on the collector to read the instantaneous range of adjustable solar radiation on the
191 collector between 0 to 200 $\text{W}\cdot\text{m}^{-2}$ (Table.1).

192 Pressures were gauged using two pressure sensors located at different points (outlet-collector,
193 outlet-compressor, and outlet condenser) to measure the suction and discharge lines the
194 influence of the operating parameters of the system performance with accuracy ± 1 percent
195 (Fig.3.), (Table.1). The refrigerant mass flow rate was measured in order to evaluate heat pump
196 using R407C in charge and discharge sides by a clamp on pipe ultrasonic flowmeter with an
197 accuracy of ± 0.5 percent (Table.1). The switching between modes is by means of an on-off
198 control valve. Three rates of water were also fixed to determine the water cycle mass flow rates
199 with the uncertainty of ± 5 percent as shown in Fig.3, 9. In addition, the power input was
200 measured precisely using digital power metre with accuracy ± 1 percent in order to calculate
201 the consumption of the electrical and electronic devices (Centrifugal fan, motor pump and

202 controller) (Fig.3, 10,11,12 and 13), (Table.1). During the experiment, major operating
 203 parameters were measured or calculated numerically and experimentally in actual time. The
 204 experimental results were later compared with the analytical model. Tests were performed
 205 fairly between $\pm 1^{\circ}\text{C}$ to $\pm 8^{\circ}\text{C}$ of the outdoor temperatures. Several solar irradiances varied
 206 from 0 to $200 \text{ W}\cdot\text{m}^{-2}$ were applied in order to examine the normal operation of the system. and
 207 compare it to the heating performance results of other conditions. Each experiment was clearly
 208 measured under quasi-static condition.

209

210 Table.1: The specifications of instruments measuring tools and accuracy

211 Table.2: System parameters for thermal analysis

212

213 4 Thermodynamics analysis, and numerical modelling

214 When the solar irradiance falls on the solar collector, the main part of the radiation(I), strikes
 215 to the aluminium absorber plate electroplated with black paint selective coating. A part of the
 216 solar radiation is absorbed by the working fluid ($Q_{\text{evaporate}}$) and the remained part is dissipated
 217 through top and bottom of the absorber plate to the surrounding. In other words, to compute
 218 the outlet temperature and efficiency of the solar collector, first the heat losses to surrounding
 219 should be calculated. The rate of useful energy extracted by the collector experimentally
 220 ($Q_{\text{evaporate}}$) expressed as the rate of extraction under steady-state condition is proportional to
 221 the rate of useful energy absorbed by the collector. The relation between the absorbed heat and
 222 heat losses are as follows:

$$Q_{\text{evaporate}} = A_{\text{coll}} * [\tau \propto I - U_L(T_p - T_a)] \quad (1)$$

$$= F' A_{\text{coll}} [\tau \propto I - U_L(\check{T} - T_a)] \quad (2)$$

223 Where:

224 A_c : solar collector's surface area, (m^2)

225 \propto : the collector's absorption rate

226 I : the intensity of the solar radiation in ($\text{W}\cdot\text{m}^{-2}$)

227 U_L : the collector's overall loss coefficients, ($\text{W}\cdot\text{m}^{-2}$)

228 T_p : the collector/evaporator temperature, ($^{\circ}\text{C}$)

229 T_a : ambient temperature, ($^{\circ}\text{C}$)

230 F' : solar collector's efficiency factor that is dependent on tube-and-sheet

231 \check{T} : mean refrigerant temperature in the collector/evaporator, ($^{\circ}\text{C}$)

232 T_{evp} : the refrigerant temperature at the collector/evaporator

233

$$U_L = U_{top} + U_b + U_e = h_c + h_r \quad (3)$$

234 Where U_{top} the heat loss coefficient from the top/plate, U_b is the heat loss coefficient from the
235 bottom/plate and U_e is the heat loss coefficient from the edges of the collector. To calculate U_L ,
236 the following equations can be used [29, 30]:

$$h_c = 2.8 + 3.0v \quad (4)$$

238

$$h_r = \varepsilon\sigma(T_p^2 + T_a^2) * (T_p + T_a) \quad (5)$$

240 Where h_c , the convection coefficient due to the wind and h_r , the heat transfer coefficient due
241 to radiation, and v the velocity of air. Whilst, ε is the emissivity of the collector (0.9), and σ is
242 the Stefan-Boltzmann constant ($5.7 \times 10^{-8} \text{ W} \cdot \text{m}^{-2} \cdot \text{C}^{-4}$). The heat losses from the edges are out of
243 scope in this paper.

244 An initial value of T_p is measured experimentally, and the quantities of U_L and Q_{Solar} are
245 calculated. Q_{Solar} can be computed through modelling via refrigerant enthalpy chart:

246 Where; $Q_{evaporator}$ via laboratory experiment $\simeq Q_{Solar}$ via modelling

$$\text{For experiment} \quad Q_{evaporator} = \dot{m}_r * (h_{refout} - h_{refin}) \quad (6)$$

$$\text{For modelling} \quad Q_{Solar,ref} = \dot{m}_r * (h_2 - h_1) \quad (7)$$

247 Where; h_{refout} is Refrigerant/Collector outlet enthalpy h_{refin} is Refrigerant/ Collector inlet
248 enthalpy, and \dot{m}_r Collector mass flow rate ($kg \cdot s^{-1}$) calculated from;

$$\dot{m}_r = Q * \rho \quad (8)$$

249 Where the volumetric flow rate in ($m^3 s^{-1}$) is computed from;

$$Q = \frac{\pi}{4} D_i^2 * V \quad (9)$$

250 Where;

251 D_i : inner tube diameter of risers (mm)

252 ρ : Fluid density ($kg \cdot m^{-3}$)

253 V : The mean velocity of the fluid ($m s^{-1}$)

254 For this work, it is not necessary to develop a completely new analysis of the tube-sheet relation
 255 situation, Hottel-Whillier-Blis have developed the collector efficiency factor F' [31] [29], for
 256 the tube-sheet relation in the formula as follows:

257

$$F' = \frac{1}{W \left[\frac{1}{[D+(W-D).F]} + \frac{W.U_L}{\pi D_i h_{f_i}} \right]} \quad (10)$$

258 Where:

259 W : Pitch between the serpentine tubes of the collector

260 D : Outer tube diameter of risers

261 D_i : Inner tube diameter of risers

262 F : Fin efficiency factor of the collector plate calculated by;

$$F = \frac{\tanh[m(W-D)/2]}{m(W-D)/2} \quad (11)$$

263 4.1 The evaporator/collector section

264 The size of (inner/outer) evaporator/collector area is carefully chosen, as cold regions require
 265 a large collector to match moderately the high heating load. The collector model is used to
 266 determine the value of the outlet collector's temperature T_2 for given values of ambient
 267 temperature T_a and I_{coll} , refrigerant properties h_1 and h_4 and the heat pump parameters
 268 (specific volume v_1 and the displacement volume rate (VD) are given in Table.2. The net
 269 energy absorbed by the fluid circulating via the collector/evaporator equals to the incident solar
 270 radiation minus the heat loss from the collector/evaporator, and accordingly, the outlet fluid
 271 temperature T_2 can be calculated through the steadystate energy balance on the
 272 collector/evaporator in modelling part, expressed by:

$$\frac{VD}{v_1} (h_2 - h_4) = F'A_{coll} * [I_{coll}(\tau \alpha) - U_L(T_2 - T_a)] \quad (12)$$

273

274 From the above equation, one can solve for T_2 as:

$$\text{For modelling part} \quad T_2 = T_a + \frac{I_{coll}(\tau \alpha)}{U_L} - \left(\frac{VD}{v_1} \right) * \frac{(h_2 - h_4)}{U_L.F'.A_c} \quad (13)$$

275 For a given location (Nottingham, UK), the values of the collector/evaporator temperature at
 276 given time of the day is measured experimentally and compared to those assumed values of
 277 enthalpies at state points 2 and 1 which are modelled and computed from the polynomial fit for
 278 the refrigerant properties. New results of T_2 according to circulated processes are compared

279 with the previously measured experimental data and modelled values of T_2 . The findings of
 280 these parameters are incorporated over a given month. The thermal energy produced by the
 281 heat pump Q_H (W) is calculated from the following equation:

282

$$Q_{H_{ref}} = \dot{m}_{coll_{ref}}(h_3 - h_4) \quad (14)$$

$$Q_{H_{air}} = \dot{m}_{air} * C_{p_{air}}(T_{out} - T_{in}) \quad (15)$$

283 Where $\dot{m}_{coll_{ref}}$ and \dot{m}_{air} are the collector's refrigerant and condenser's rejected air mass flow
 284 rate respectively, whereas $C_{p_{air}}$ is the air specific heat capacity. Consequently, the efficiency
 285 of the solar-collector $\eta_{coll_{ref}}$ can be defined both experimentally and in model part, as
 286 expressed below:

$$\dot{m}_{coll_{ref}} = \frac{V_D}{V_1} \quad (\text{kg} \cdot \text{s}^{-1}) \quad (16)$$

$$\dot{m}_{air} = \rho * V * A \quad (\text{kg} \cdot \text{s}^{-1}) \quad (17)$$

287 Where ρ is the density of flowing air ($\text{kg} \cdot \text{m}^{-3}$), V is the airflow velocity ($\text{m} \cdot \text{s}^{-1}$) and A the
 288 flow area (m^2).

289 The instantaneous efficiency of the evaporator/collector is expressed as follows:

For experiment part

$$\eta_{coll_{ref}} = \frac{Q_{evaporator}}{I_{coll} * A_{coll}} \quad (18)$$

290 **4.2 The compressor section**

291 As mentioned earlier, the compression of the refrigerant vapour is assumed to be a polytropic
 292 process, the compressor work W_{comp} or compressor power $W_{power} = \dot{m}_{refrigerant} * W_{comp}$
 293 for a given pressure ratio P_2/P_1 is determined for the modelling part from expression [32]:

For modelling part

$$W_{comp} = \frac{P_1 v_1}{\eta_{comp}} \left(\frac{k}{k-1} \right) \left[\left(\frac{P_2}{P_1} \right)^{\frac{k-1}{k}} - 1 \right] = \dot{m}_r (h_3 - h_2) \quad (19)$$

294 Where $[k]$ the ratio of specific heat and for R407C. It has a value of 1.14. The assumption of
 295 ideal gas behaviour during the compression process appeared to be reasonably acceptable since
 296 the compressor's work computed under such assumption is slightly overestimated in
 297 comparison with the compressor's work calculated instantly through the experiment work

298 **4.3 The condenser section**

299 In this study, two condensers are employed: air-cooled condenser with an area of 14.17 m^2 and
 300 water-cooled condenser with an area about 3.22 m^2 . The air-cooled is representative of the

301 heating finned coil for the hot-air heating system. Whereas, the water-cooled is to heat the
 302 DHWT when the output of the system satisfies the space heating requirements. The air
 303 velocities were measured so as to further obtain the air flow rate at the outlet of condensation
 304 fan and the heating capacity. The operating performance of a heat pump is related to the
 305 temperature that is the difference between the temperature of the heat source and the output
 306 temperature of the heat pump. Therefore, the $COP_{\text{heat pump}}$ determined solely by the
 307 condensation temperature and the temperature lift (condensation-evaporation temperature).
 308 The actual heating capacity is obtained from equation (20) by measuring the air temperature
 309 difference between inlet and outlet of and the air mass flow rate at outlet orifice.

$$Q_{\text{condenser air}} = \dot{m}_{\text{air}} * c_{p\text{air}}(T_{\text{out.condenser}} - T_{\text{in.condenser}}) \quad (20)$$

310 4.4 Thermostatic expansion valve section

311 An orifice thermodynamic expansion valve is modelled, through which the refrigerant is
 312 extended from condensing to evaporating pressures. Liquid mass flow rate passing through it
 313 can be formulated as follow:

$$\dot{m}_r = C_v A_0 \sqrt{2 \rho_{i,l} \Delta P} \quad (21)$$

314 Where C_v is liquid flow coefficient, which depends on the valve opening degree, and when
 315 the valve is fully open, it can reach its maximum value; C_v is evaluated by [33][32] as follow;

$$C_v = 0.02005 \sqrt{\rho_{i,l}} + 0.634 v_0 \quad (22)$$

316 While A_0 is the minimum flow area across the orifice. Whereas, v_0 is the refrigerant specific
 317 volume at the outlet valve. $\rho_{i,l}$ is the density of the liquid that can be calculated at the inlet
 318 valve. ΔP is the variation of the pressure across the orifice valve.

319

320

321 For the process of an isenthalpic in the expansion device, the following equation is achieved:

$$h_4 = h_1 \quad (23)$$

322 Where; h_4 , and h_1 are the refrigerant's specific enthalpies at valve inlet and outlet.

323 Condenser/Domestic hot water storage tank.

324 The serpentine copper tube is used for hot water tank condenser, which is immersed in the
 325 DHWT (submerged heat transfer coil). Similarly, to collector/evaporator, the condenser copper
 326 tube can also be divided into sections equal to enthalpy difference. Supposing that the DHWT
 327 is non-stratified, the energy balance can be obtained with the immersed condenser as follow:

$$Q_w = M_w C_{pw} \frac{dt_w}{d\tau} = \dot{m}_r (h_3 - h_4) - U_{L,t} A_t (T_{w,out} - T_{w,in}) \quad (24)$$

328 Where Q_w is the condenser's gained heat, which is released and transferred heat rate into
 329 DHWT by the condenser. M_w is the water mass in the water tank, C_{pw} is defined as the water
 330 specific heat, while $T_{w,in}$ and $T_{w,out}$ are the water temperature inlet and outlet respectively, τ
 331 is the time, and h_3 , h_4 are the refrigerant's specific enthalpies at the condenser's inlet and outlet
 332 respectively. The total heat loss coefficient of the tank is $U_{L,t}$ and A_t is the total heat transfer
 333 area of the wall of the water tank.

334

335 4.5 Refrigeration compression cycle and system design

336 The optimal size selection is important to design HPs because oversized systems operate with
 337 lower efficiencies, would lead to excessive running cost. For system planning, components
 338 must be designed to interact optimally in order to ensure reliable operation and high
 339 performance level. Therefore, to achieve constant quasi-steady heat pump condition by means
 340 of constant temperature and condensation, the work is sufficient enough to meet the minimum
 341 requirement for the heat pump, hence allowing it to operate reversibly and to determine the
 342 *COP* of the heat pump. In the current study analytical investigation were considered in order to
 343 examine the thermodynamic performance of the cycle along with experimental running tests
 344 to validate the mathematical model of the developed system.

345 As mentioned in schematic design, the system consists of ternary flat panel's acts as an
 346 evaporator, two condensers, one heat exchanger and domestic hot water tank with an immersed
 347 condensing coil loop. The working fluid evaporates at a temperature T_{EV} by extracting heat
 348 from surrounding and available solar irradiation. It is then compressed and gives up its latent
 349 heat for two directions as it condenses at higher temperature T_{CO} at the first condenser for space
 350 heating and another is immersed inside a domestic water tank for heating water purpose. Whilst
 351 an external heat exchanger plate's is used to extract heat from the fluid to water. The condensed
 352 liquid is then expanded adiabatically and irreversibly throatily through an expansion valve and
 353 is throttled into evaporator/plates to complete the cycle.

354 The heat pump cycle is the reverse of the power cycle and can be illustrated with reference to
 355 R407C pressure enthalpy diagram shown in Fig.4. This heat pump system is designed to
 356 operate at discharge line pressures (P_H) between 17 to 19 bar, and suction line (P_L) is 3-4 bar
 357 (Fig.4). The compression ratio (CR) of the corresponding pressures in the condensers and
 358 evaporator (P_{CO}/P_{EV}) is about 5.1 bar. The highest obtainable condensing temperatures are
 359 relatively modest up to 40°C in ideal conditions as seen in Fig.4.

360 Fig.4: DX-SAMHP refrigeration cycle [Enthalpy diagram (kJ/kg)]

361 The cycle in Fig. 4 shows that the working fluid at S2 is in the form of saturated vapour at an
 362 evaporation temperature (T_{EV}) of -3.98°C. It is isentropically compressed to point D1 in the
 363 superheated vapour region. The superheat (HD1-HD2) is then removed and it is isothermally

364 condensed from saturated vapour at D2 to saturated liquid at point D3 at a condensing
 365 temperature (T_{CO}) between 36-40°C. From D3 it is isenthalpically expanded to a mixture of
 366 liquid and vapour at point S1= -3°C from which it is isothermally evaporated at a temperature
 367 of -3.98°C to point S2. Theoretically, the coefficient of performance of a heat pump can be
 368 determined as $(COP) = (HD1- HD3)/ (HD1-HS2) \approx 3.83$ where H is the enthalpy per unit mass.

369

370 **5 Operation modes and procedures**

371 **5.1 Space-heating-only mode**

372 This mode can be used to provide the room with space heating during the cold season when
 373 heating of the room air is essential. At this mode, the refrigerant-filled solar collector array on
 374 the expected roof acts as an evaporator, while the finned coil tube heat exchanger works as a
 375 condenser. The refrigerant vapour from the compressor enters the heat exchanger directly. The
 376 centrifugal fan was used to dissipate the heat to the room, and meanwhile, the water-to-
 377 refrigerant heat exchanger is switched off. As a part of the control strategy, the heat pump
 378 operates only from 20:00 pm to 06:00 am, because of targeting lower outdoor temperatures to
 379 reach stabilise planned experimental conditions; ascertain that the system would relatively be
 380 in the same conditions for all tests (high-pressure side, low-pressure side, and ambient
 381 temperature), so that a comparison can be evaluated. On the other hand, the water circulation
 382 pump was stopped and the water loop closed.

383 Using the space-heating-only mode, the function of producing hot water is ineffective, and the
 384 system COP for space heating at any time (t) is defined as [12];

$$COP_{h-sys}(t) = \frac{Q_h(t)}{W(t)} \quad (25)$$

385 Where: $Q_h(t)$ is the heat exchange rate in the plate condenser, and $W(t)$ is the system power
 386 input. If $W(t)$ is stated as the power input for the compressor, the rate from
 387 equation (25) is so-called the heat pump COP_h which mainly reliant on the difference
 388 in temperature between evaporating and condensing processes. τ is the duration of the
 389 operating period which much dependent on the compressor capacity. The $COP_{h-sys,av}$ average
 390 is therefore, can be determined as:

$$COP_{h-sys,av} = \frac{\int_0^\tau Q_h(t) dt}{\int_0^\tau W(t) dt} \quad (26)$$

391

392 **5.2 Space and water-heating mode**

393 This mode is used for both hot water and space heating production. At this mode, the two-way
 394 valve is positioned after the compressor serves as two fluid lines to feed both heat exchangers

395 through bypassing the refrigerant flow. The water in the hot domestic tank (DHWT) is heated
 396 up to 60°C through water-to-refrigerant plate heat exchanger. The immersed condenser coil-
 397 tube dissipates heat to the water tank. Meanwhile, the booster water pump on the loop is
 398 powered-on. Whereas rejected energy by the finned-coil tube condenser contributes to space
 399 heating. In this operation, the solenoid valve shuts the water loop side down once the water
 400 temperature in the tank exceeds the load temperature (65°C). This makes the refrigeration cycle
 401 operate in a steady state condition except for the storage tank.

402 The *COP* for space and water-heating mode is defined as [12];

$$COP_{h,w-sys}(t) = \frac{Q_h(t) + Q_w(t)}{W(t)} \quad (27)$$

403 And

$$COP_{h,w-sys,av} = \frac{\int_0^\tau Q_h(t) dt + \int_0^\tau Q_w(t) dt}{\int_0^\tau W(t) dt} \quad (28)$$

404 Where; $Q_h(t)$ is the heat exchange rate at the air heat exchanger, and $Q_w(t)$ is the heat
 405 exchanger rate at the water storage tank (Condenser).

406 5.3 Water-heating-only mode

407 At this mode, hot water production is used only to heat up the water lingeringly at the DHWT.
 408 The condenser–air fluid line supplier is shut-off. In this case, the compressor serves as one
 409 fluid-line-way to feed refrigerant flow only to the water-to-refrigerant heat exchanger. The
 410 immersed coil dismisses heat to the domestic storage tank. The compressor is shut down once
 411 the water temperature in the tank exceeds the load temperature (65°C). The working
 412 temperature of the submerged condenser rises and the efficiency of the system declines
 413 accordingly. Meanwhile, the system *COP* is sensitively varying with the change in water tank
 414 temperature due to the delay of heat transfer processes. In order to investigate the *DX-SAHP*
 415 water heating capability, evidently, the temperatures of water storage are tested and recorded
 416 during the testing period. The *COP* for water-heating mode is defined as;

$$COP_{w-sys}(t) = \frac{Q_w(t)}{W(t)} \quad (29)$$

417

$$COP_{w-sys,av} = \frac{\int_0^\tau Q_w(t) dt}{\int_0^\tau W(t) dt} \quad (30)$$

418

419 **6 Results and discussion**

420 A series of consecutive five months during winter 2016 and winter 2017, repetitive
421 experiments were conducted for three fundamental operating modes during the cold season
422 under typical Nottingham indoor/outdoor weather conditions. The simulation model allowed
423 the parametric study of important variables and their identification. For the purpose of
424 validation, a comparison between simulated and experimental results was made. It is important
425 to mention that, due to unstable climatic conditions and the numbers of tests, experiments were
426 performed for one hour for each case. Solar insolation of 0, 57, 100 and 200 W·m⁻² with 1, 2
427 and 3 LPM was applied. The wind speed factor is not considering in this study because it has
428 no great influence on such system performance [21]. The effect of relative humidity as varies
429 between 26 to 40 percent on the *DX-SAMHP* performance is not also significant, since the
430 relative humidity values are reasonably low. Variant *COPs* with correlation with different solar
431 irradiance and several flow water rates have been taken into account and their characteristics
432 studied. Experimental data are then compared with the analytical results. The *DX-SAMHP*
433 system operated for sixty minutes for each case. The impact of various system parameters on
434 the response on the water temperature variation in the heat storage tank, indoor air temperature
435 of the building, electrical power consumptions and heating capacities of the system were
436 investigated.

437

438 **6.1 Space-heating-only mode**

439 In order to investigate the performance of air space-heating capability of the developed *DX-*
440 *SAMHP* system, temperatures of outdoor air, (indoor, ambient) temperatures, and the system
441 components has also been examined and recorded during the testing period without any heating
442 apparatus. Experimental data listed in Table.3, which reveals that the averaged indoor
443 temperatures ($T_{\text{room, av}}$) varied from 18.35 to 20.1°C. The outdoor ambient temperature was
444 changing between -1 to 5°C, and values of the heat pump *COP*-sys, av were 2.8-3.86 and 3.87-
445 3.93 in all cases respectively. It observes that in Fig.5 the refrigerant leaves the
446 evaporator/collector in a low temperature and wet condition, these results in uniform
447 temperature distribution and maximizes the evaporator/collector capacity. The surface
448 temperatures of the evaporator/collector also indicate a unique refrigerant circulation between
449 the collectors and on each plate. Tests result illustrates that the refrigerant temperature at
450 negative region (0, -1 and -2) was cooling the collector were reduced to yields solar energy and
451 indoor/outdoor ambient energy as seen in Fig.5.

452

453 Table.3: Performance of *DX-SAMHP* space-heating-only-mode

454 Fig.5: Performance of *DX-SAMHP* space-heating-only-mode

455

456 As it can be seen from Table.3, the heated air production in all cases was remained in steady
457 state condition with a very little fluctuation due to cold air differences. Thereby the room and
458 the system heated up with the increase in solar radiation as a low-grade energy source (Fig.6).
459 It is clearly noticed that the effect of solar energy as radiation increased the system hot air
460 reached its peak value 26.6°C within specified testing time. This behaviour improves the
461 evaporator/collector efficiency, and the system performance was enhanced. However, the
462 condenser reached maximum on 195 W·m⁻² and followed by a decrease at 200 W·m⁻². It is
463 worthy to mention that in this case, the fluid was in the superheated vapour state at the exit of
464 the collector which is considerably decreased the mass flow rates of the refrigerant, thereby
465 less heat gained and less fluid condensation was taking out from the Q_{condenser, air} (air-cooled
466 condenser). It is spotted that in the presence of solar energy the compressor work was relatively
467 reduced, the mass flow rate of refrigerant decreased and the COP-sys, av evidently increased
468 (Fig.7). This because the increase in solar intensity, is leading to rapid increase in evaporating
469 temperature causes a reduction in the heat pumping process. Consequently, the lower
470 operational cost was achieved, and at inadequate solar insolation, the compressor electrical
471 power consumption at a variable speed relatively fluctuated. The reason for this occurrence is
472 that the compressor was overcome the mismatch between the collector and the compressor
473 capacity to maintain the load. In such situation, the compressor relative stability performance
474 enabled a higher COP-sys, av.

475

476 Fig.6: The influence of solar radiation on DX-SAMHP system

477

478 Fig.7: Average COP of the DX-SAMHP system at different solar intensities

479

480

481 It is interesting to note that, with or without solar energy, the system is capable to operate and
482 provide sufficient space heating at satisfactory temperature level (Table.3). It would be
483 operated either absorbing of the two or both (ambient/indoor) hot air simultaneously or heated
484 by the heat pump instead. Ambient air energy was mainly transferred to the collector through
485 natural convection and thus can still gain adequate heat although there was no solar energy. In
486 this case, the total energy consumption was 0.91 kWh with an averaged COP-sys, av of 2.8.
487 The averaged value of the solar irradiance input ratio was ± 0.0 suggesting that thermal energy
488 from indoor and ambient air accounted for 100 percent of the total energy obtained. Hence,
489 under this condition, the COP-sys, av was higher than the traditional DX-SAHP system.

490 Fig.8 presents the performance characteristic of DX-SMHAP space heating-only-mode.
491 Showing the system temperatures versus the coefficient of performance for various solar
492 radiation. The basis of this presentation is to illustrate the influences of parameters variation
493 on the performance characteristic.

494

495 Fig.8: The influences of parameters on the DX-SAMHP performance characteristic

496

494

495 It is true that the $COP_{-sys, av}$ can be determined by temperature differences between
496 evaporation and condensation processes. Thus, experimental data obtained had to condense
497 and evaporating outlet temperatures of approximately 45°C, -1°C respectively. The thermal
498 energy delivered to heat the space ($Q_{cond_{air, av}}$) was raised steadily from 321.16, 3139.1, 3182.5
499 and 3191.1 W corresponding to 0, 57, 100 and 200 W/m² solar radiation in order to supply
500 stable temperature at heating room averaged (HR, av) about 24°C in all cases (Table.3). Huang
501 and Chyng [22] derived that in their study in solar source heat pump, and COP was ranged of
502 2.5-3.7. Huang and Wang [12] stated in multi-functional heat pump $DX-SAMHP$ system study,
503 that the COP was 2.1 in cloudy days for space-only mode and 3.5 in clear sunny days in winter.
504 It can be concluded based on obtained values in the present study that the COP was fairly much
505 better to those aforementioned by 12 %, 7.84 % and 11.43 % consecutively and in total by 33.3
506 %

507 6.2 Space-and-water heating mode

508 As solar radiation, ambient/indoor temperature, relative humidity and water flow rate are
509 varying over a wide range of experimental operations. It would be useful to study their effects
510 on the present developed $DX-SAMHP$ system. As shown in Figs.9, the heat capacity increases
511 by the evaporation temperature rise (T_{evp}) with the growth of solar radiation (I_{coll}) and water
512 flow rates. This principally because of two explanations; firstly, the increase of (I_{coll}) assists
513 to obtain a higher evaporating temperature of the refrigerant, decreasing the
514 evaporator/collector efficiency (η_{coll_ref}), and consequently resulting in a higher $COP_{h,w-sys}$
515 (Fig.10). Secondly, for a given relative humidity and ambient air temperature (T_a), the higher
516 I_{coll} allows the temperature of the collector/evaporator plate (T_p) to rise, which translates to
517 change of temperature difference between T_a and T_p . When T_a is higher than T_p ,
518 collector/evaporator could attain useful energy gain from the surroundings (Fig.13, 1.a, b). This
519 is proven that the η_{coll_ref} could exceed 100 % with zero or lower I_{coll} intensity. A higher T_p
520 enables temperature difference between T_p and T_a to reduce, even though T_a is lower than T_p ,
521 and thus, η_{coll_ref} declines due to the change of heat transfer between the ambient air and
522 collector/evaporator plate.

523

524 Fig. 9: $DX-SAMHP$ system behaviour under different parameters

525 Fig. 10: The total $COP_{h, w-sys}$ of the $DX-SAMHP$ system

526

527 On the other hand, Fig.13.4 (b), 6(b) and 11(b) show that the performance of the system
528 improves slightly with raising ambient air (T_a) or indoor temperature (T_{room}). It can be
529 attributed to the fact that, the increasing of T_a drops the heat loss from the collector/evaporator
530 and increases the refrigerant temperature in the collector/evaporator, which permits a higher

531 $COP_{h,w-sys}$ as well as η_{coll_ref} . The evaporator more often works at a temperature lower than
532 ambient temperature, with averaged values of -3, -2.6, 0.5 and 1.8°C respectively (Fig.13.
533 (A's)). From Fig.13, it can be clearly seen that the effect of the Increment of solar irradiance
534 on the energy consumption of the system. The reason is that when the solar irradiation increases
535 the evaporator temperature increases causing evaporating pressure rises as well as the
536 refrigeration mass flow rate. This causes the surge of energy consumption of the system. The
537 values are 934 W, 1000 W, 1050 W, and 1086 W at the end of the experiments. It is obvious
538 that the evaporator absorbs energy from both ambient and solar irradiations. This enhances the
539 evaporating heat exchange rate of the system, as shown in Fig.13, (A's). Accordingly, this leads
540 to the growth of condensing heat exchange rate. The specific values are 1474 W, 1560.9 W,
541 1630.3 W, and 2254.6 W corresponding to solar irradiances of 0, 57, 100, 200 $W\cdot m^{-2}$ and water
542 flow rates 1, 2 and 3 LPM at the end of the experiments (Fig.9). Hence, the air temperature and
543 water outlet in the DHWT versus time were graphically depicted in Fig.13.

544 Fig.13 (A's), also demonstrates the refrigerant temperatures of the collector/evaporator inlet,
545 outlet and at the heat exchanger inlet.

546

547 Fig. 11.(1-12),(a, b): The influences of operating parameters on the system performance

548

549 Fig.14 shows the percentages of energy obtained via the two condensers given by compressor.
550 The percentage values of both condensers were varying from 23 to 70 percent depending on
551 solar intensity and water flow rates.

552 As shown in Fig.15, the coefficient performance of air and water ($COP_{h,w-sys}$) elucidated
553 separately in each test. The $COP_{h,w-sys}$ increase owing to increasing of solar insolation. From
554 a comparison point of view, Bi et al. [34] reported that in cold season, the heat pump solar
555 energy source COP was found to be 2.73. Yumrutas and Kaska [35] demonstrated that for an
556 experimental solar source heat pump space heating system with energy storage tank: the COP
557 values is about 2.5 for a lower source temperature and is up to 3.5 for a higher supply
558 temperature.

559 It should be noted that here, even though both the condensing heat exchange rate and energy
560 consumption increases with solar irradiance, the boost of the condensing heat gain rate is larger.
561 Subsequently, the coefficient performance average ($COP_{-sys, av}$) of the system also rises with
562 solar irradiance, with the specific values as 2.7, 2.9, 3.0, and 3.5 as shown in Fig.10 at the end
563 of experiments. When solar irradiance improves from zero to 200 $W\cdot m^{-2}$, the heating capacity
564 of the *DX-SAMHP* system is enhanced by 52.94 %, and the total $COP_{h,w-sys}$ increases by 41.3
565 %. In contrast, when water flow rate enhances from 1 to 3 LPM, the heating capacity also
566 improves by 29.9 % and the $COP_{h,w-sys}$ surges by 16.65 %. It should be mentioned that the
567 heating air capacity gained is higher than the water heating capacity due to the priority of the
568 design. Thus, when the heating air capacity percentage increases with the solar irradiance, the
569 water heating capacity decreases. But it is also observed that with the increase of water flow

570 rate the heating air capacity decreases (Fig.14,15). In return, the water heating capacity raises
571 with the increase of solar irradiance, and also increases with the rise of water flow rate because
572 of the rate of exchange heat in the water-to-refrigerant heat exchanger. The percentage
573 differences values between heating water and heating air are plus or minus 30 percent to 70 per
574 cent respectively (Fig.14). Therefore, the COP_{air} is greater than COP_{water} under the tested
575 conditions (Fig.15).

576

577 Fig. 12: The system heating capacity percentages for both air and water condensers Fig. 13: Details of the
578 coefficient performance of the developed DX-SAMHP system

579 6.3 Water heating only mode

580 The simulation model results in Figs.14.1, 2, 3 and 4 are taken at water-heating-only mode. In
581 this case, the DHWT total volume is 200 (litres) with final temperature selected to be 65°C. It
582 can be observed that the expected operation time τ is 1 hour on a typical night-time weather in
583 winter. It is necessary to note that, here, DX-SAMHP operation time τ depends essentially on
584 the compressor capacity. A larger compressor capacity permits a larger mass flow rate of the
585 fluid, which leads to a higher compressor work and a better heating energy, consequently
586 resulting in a less τ and lower $COP_{w-sys,av}$. In these assessments, the system performance is
587 governed generally by the change of the incident of solar insolation and indoor/outdoor air
588 temperature. The hot water was heated in the DHWT by submerged water-cooled condenser
589 with plates heat exchanger. Therefore, the system can operate in an appropriate efficiency for
590 water heating and there is no need of water heater apparatus. The water heated from initial
591 temperature 20°C to a final temperature about 65°C. Once the DHWT outer temperature
592 reached 65°C, the refrigerant flows valve to water-side cycle was closed and the circulating
593 water pump remained in the mix the stratified hot water in the DHWT. According to space and
594 water heating experimental results, the equilibrium water temperature was 3°C less than
595 actually measured one. The simulated values of the $COP_{w-sys,av}$ have the same behaviour with
596 4 percent average relative error. Thus, the lower the outdoor air temperature, solar intensity
597 and water mass flow rate was, the longer the time was needed to reach final water temperature.
598 This indicates that the water heating capacity of the system increases as the solar radiation and
599 water flow rates surges.

600 In the first case, it took 60 min for heating 200 litres of water from 20°C to about 27, 33 and
601 40°C, under zero $W \cdot m^{-2}$ solar intensity with water flow rate at 1, 2 and 3 LPM respectively and
602 fixed ambient temperature at 5°C (Fig.14.1). In the second case, the Fig.14.2 represents the
603 values of 29, 36, and 45°C for water tank temperatures with 57 $W \cdot m^{-2}$ irradiance, and an
604 increase of heating rate by 25 % is achieved. The third case reveals that with 100 $W \cdot m^{-2}$ solar
605 insolation, and specific water heating values of 33, 43, and 55°C system performance was
606 enhanced by percent 28 % as shown in Fig.14.3. the final case shows that under 200 $W \cdot m^{-2}$
607 irradiation the system delivers much better values with 35, 52, and 65°C, and an increase of
608 heating rate by 25 % within 1 hour corresponding to specific water flow rates (Fig.14.4). The
609 improvements between the three cases are 7.4, 13.8 and 6.1 % respectively. From a comparison

610 point of view, Kuang, Y. and R. Wang [12] reported that the system COP in the multi-functional
611 heat pump is between 2.1-3.5 for a water-heating-only mode in spring. [22] derived that in their
612 study in solar source heat pump, and COP was ranged of 2.5-3.7. It is worthy to mention that
613 here, in the present study the $COP_{w-sys,av}$ was always above 4.4 for all cases.

614

615 Fig. 14: Water-heating-only mode performance under (a) 57 W.m⁻² and (b) 200 W.m⁻² under different water
616 flow rates

617

618 6.4 Validation of the mathematical model and comparison

619 To validate the model of the system, a $DX-SAMHP$ unit was developed. The size and
620 dimensions of evaporator/collector of the unified unit and main parameters are included in
621 Table.2. The compressor of the unit was a frequency hermetic rotary compressor, type
622 Mitsubishi RE165VA with frequency can be adjusted spontaneously by internal frequency
623 converter from 15 to 110 Hz. The main parameters of the compressor are presented in Table.2
624 in detail. The condensers of the unit were fabricated as a finned coil (copper tube and
625 aluminium fin) and immersed coil tube (Table.2). The expansion valve and added water-to-
626 refrigerant heat exchanger of the unit was $SWEP$, and $Danfoss$ (TZ2+1#) type respectively,
627 whose specifications are shown in Table.2. To get a typical experimental environment for the
628 unit, the unit was mounted in a similar attic house place as portrayed in Fig.2, which was used
629 to attain a real-time temperature and relative humidity. The layout of measuring spots is
630 exposed in Fig.1. All the data for every spot were recorded in real-time by a data taker
631 DeLogger4V4R2 (Table.1).

632 The comparison between predicted values of the proposed design and experimental results is
633 important to validate the performance of the new system. Therefore, numerical modelling was
634 executed to predict the performance of the developed $DX-SHMAP$ system. In this study, the
635 system was assumed operating only at lowest temperature hours on the night and early
636 morning, when the evaporating temperature was at least 5°C less than ambient temperature.
637 The evaporator pressure was first presumed, and modulation looped through
638 evaporator/collector, compressor, condensers and heat exchanger by iteration, until a
639 convergence solution was reached. Enthalpy and entropy states of the leaving refrigerant were
640 precisely assigned. In case of calculation differences were not within the tolerance limit, then
641 leaving refrigerant was amended and the same process was repeated. The $DX-SAMHP$
642 components were partitioned into loop sections as mentioned in section four, and then the
643 performance of each loop elements was determined (Fig.17). The system modelling of the
644 air and water heat gain percentages are illustrated as shown in Fig.18. Fig.19 demonstrates the
645 values of calculated coefficient performance of the proposed developed system. Fig.20
646 compares the predicted values of $DX-SAMHP$ system and heating capacity of experimental
647 data. The results, elucidate that the computed results agree noticeably with the experimental
648 results. That indicates excellent agreement between present data and numerical data. Figures

649 21-23 are plotted to show the variation of modelled air heating performance and experimental
650 results. It also selected to display for reflecting the time-dependent (τ), and performance of the
651 *DX-SAMHP* system. It is found that the modelling values are slightly higher than
652 experimental results with average deviations in the range of $\pm 4\%$. It is also noticed that in one
653 case the experimental data is a little bit higher than modelling ones (Fig.21). This is because
654 the experimental results of t_a are transient measured ones. The rest of air section comparisons
655 and findings are seen to be quite aligned as shown in Fig.22 and 23. In contrast, the water in
656 the DHWT was firstly heated from different start points in relation to water tank state
657 temperature up to about 31, 24.5, 26 and 39°C. This is corresponding to 0, 57, 100, and 200
658 $\text{W}\cdot\text{m}^{-2}$ respectively under 1LPM water flow rate (Fig.24). Followed by 26, 31, 33, and 43°C
659 under 2LPM and 35, 36.7, 33.5 and 48°C under 3LPM consecutively as seen in Fig.25 and
660 Fig.26. It is noted that at higher solar intensity and water flow rate the system is more likely
661 affected by losing heat to surrounding environment due to a higher conduction heat transfer.
662 As also observed that, the experimental water temperature deviates no more than 2°C from
663 predicted values after one hour of operations. It is also elucidated that, in some cases,
664 experimental results for the heating time (τ), the heat gain at the immersed condenser Q_w and
665 $COP_{h,w-sys,av}$ are a little bit less than the predicted values but they still have the same trend. In
666 conclusion, that indicates a very good agreement between predicted values and experimental
667 results.

668 Fig. 15: Heat capacity of the *DX-SAHP*

669 Fig. 16: Comparison between numerical and experimental air value of the system

670 Fig. 17: Comparison between numerical and experimental water heating values of the system.

671 7 Conclusions

672 A new concept of a multi-functional *DX-SAHP* system for space heating and DHW provision
673 was fabricated at the laboratory as aforementioned. This is can be considered the first of its
674 kind as it provides air space heating in contrast to previous studies that allocates the hot water
675 for space heating. The use of solar and excess heat; is one of the developed system advantages
676 without any auxiliary apparatus. The present study employed experimental and modelling
677 examinations to simulate the thermal performance of *DX-SAHP*. It can offer multi-fold-
678 functions for residential uses in order to provide two fundamental operating modes: domestic
679 hot water and space heating in winter period. To study the performance of multi-functional
680 *DX-SAHP* under different conditions, experiments were carried out with a varied solar
681 intensity. The present study was tested under real time conditions of indoor/outdoor
682 temperatures and experimental environment of -1 to 8°C in Nottingham city. Incident solar
683 insolation simulator is used and solar irradiations are 0 $\text{W}\cdot\text{m}^{-2}$, 57 $\text{W}\cdot\text{m}^{-2}$, 100 $\text{W}\cdot\text{m}^{-2}$ and 200
684 $\text{W}\cdot\text{m}^{-2}$ with different water flow rates; 1 LPM, 2 LPM and 3 LPM during wintertime 2017. The
685 tests and simulations of the newly developed system have been done under the lowest winter
686 2017 ambient temperature. Experimental results show that the system operating in space-
687 heating-only mode can produce adequate space heating during winter. In this case, the *COP*-

688 *sys, av* system average is up to 2.8 indicating a good agreement with theoretical model findings
689 with a maximum deviation less than 8 %.

690 On the other hand, according to space-and-water heating mode results, when the water
691 temperatures in the condenser tank increase with time, the condensing temperature also
692 increases. However, by modifying the system by adding water-to-refrigerant heat exchanger,
693 the performance was enhanced by cooling the liquid before it passed through a thermostatic
694 expansion valve, and corresponding $COP_{h,w-sys,av}$ and collector efficiency values remain in
695 steady state condition. Average values of $COP_{h,w-sys,av}$ ranged from 2.8 up to 3.4 and solar
696 collector efficiency varied between 40 % and 75 %. Water temperatures at the condenser tank
697 were varying between 43°C and 55°C within two hours in correlation with available heat
698 energy. For water-heating-only mode, the multi-functional *DX-SAHP* system could provide
699 200 litres of hot water, with final temperature up to 65°C. Results also indicate that the
700 performance of the system was influenced significantly by collector area, load temperature,
701 ambient temperature and solar irradiation. It is worth to mention that, variations of both
702 ambient/indoor air temperatures and solar irradiation was leading to a large fluctuation in the
703 thermal load imposed on the collector/evaporator of the multi-functional *DX-SAHP*. However,
704 the system can reach adequate performance and mutually improve without the presences of
705 solar energy or even auxiliary traditional sources. The energy consumption rises slightly with
706 the increment of relative humidity. The reason is that when the relative humidity rises, the
707 condensing latent heat increases the evaporating pressure, resulting in rising of energy
708 consumption.

709 In addition, it can be seen that the inner collector plate improves the collector's efficiency by
710 increasing the portion of collected heat via absorbing the surrounding deplete heat at loft area,
711 and frost accumulation would become less serious. Solar energy collection raises the collector
712 temperature, leading to lower temperature lift in the heat pumping process. It is fact that the
713 energy input of the system slightly increases because of solar irradiations, thus, the refrigerant
714 specific volume decreases and mass flow rate increases. This, in turn, was translated into an
715 increase in both energy consumption and heating capacity of the system.

716 One observes that owing to the increase of heating capacity is more significant than that of
717 energy consumption. Thus, $COP_{h,w-sys,av}$ of space-and-water, the heating mode was improved
718 from 2.8 to 3.4 and the heating performance of the system improved accordingly. Therefore,
719 solar irradiance can considerably reduce frosting formation of multi-functional *DX-SAHP* and
720 benefit the performance of the whole system. The developed multi-functional *DX-SAHP*
721 system could guarantee an appropriate operation under very low temperature and relatively low
722 running cost. The privileged collector solution in cold climates consists of inner and outer
723 plates makes it promising to satisfy the entirety of demands (space heating and *DHWT*) during
724 winter. Comparing to a traditional *DX-SAHP* and *DX-SAHP* water heater, with or without
725 sufficient solar irradiation available, the use of developed *DX-SAHP* was obviously more
726 advantageous. This design as the present study reveals energy conserving solutions compared
727 to existing; conventional and electrical resistance heaters systems. Not only was able to provide
728 water and space heating efficiently for residential heating but also, helped to solve the
729 incompetence of not being able to operate properly when solar energy absence. It can be

730 concluded that for domestic space and water heating purposes in cold region the system is
731 decidedly best-suited applications in the 50-70°C load range.

732 For future studies, a larger scroll variable compressor capacity with high speed can be replaced
733 to keep abreast of enhancing the system performance, in order to overcome the mismatch
734 between the collector, variable load and compressor constant capacity in the developed multi-
735 functional *DX-SAHP* system.

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