

1 *Effect of field management on seed-soil contact*

2 **Review: Soil seedbed engineering and its impact on germination and establishment in sugar**  
3 **beet (*Beta vulgaris* L.) as affected by seed-soil contact**

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8 **Abstract**

9 Seed-soil contact plays an essential role in the process of germination as seeds absorb water  
10 through direct contact with the moist soil aggregates that surround them. Factors influencing  
11 seed-soil contact can be considered as those pertaining to soil physical properties (e.g. texture,  
12 bulk density, porosity, etc.) and those related to environmental conditions (e.g. temperature,  
13 rainfall, frost). Seed-soil contact is furthermore influenced by the specific field management  
14 processes that farmers apply, which have developed significantly over the last 30 years.  
15 However, the precise effect of cultivation on the actual contact area of the seed with the  
16 surrounding soil is based on a series of assumptions and still largely unknown. This review  
17 considers the influence of soil management and its direct impact on seed-soil contact and  
18 establishment. We review the state of the art in methodology for measuring seed-soil contact  
19 and assess the potential for soil amendments such as plant residues and waste materials to  
20 improve seed-soil contact. Engineering the ‘optimal’ seed-soil contact remains a challenge due  
21 to the localized variation between the interaction with field management techniques and soil  
22 texture, climatic conditions and crop type. The latest imaging approaches show great promise  
23 to assess the impact of management on germination. Combining the techniques with the  
24 latest network models offer great potential to improve our ability to accurately predict  
25 germination, emergence and establishment.

26 **Keywords**

27 Seedbed; seed-soil contact; establishment; sugar beet; management; germination

28 **Introduction**

29 Germination is initiated when a quiescent dry seed uptakes water (imbibition) and terminates  
30 with the elongation of the embryonic axis (Bewley and Black, 1994; Bewley, 1997). The end of  
31 seed dormancy (dormancy types and duration differ between species) is being dependent  
32 upon a threshold stimulus that varies widely amongst individuals (Bewley, 1997). The  
33 germination process has been described as an interplay of genetic, environmental and seed  
34 processing effects (Apostolides and Goulas, 1998; Sadeghian and Yavari, 2004). Imbibition, the  
35 initial step, is facilitated by moist aggregates, water films surrounding soil particles as well as  
36 water vapor. Additional influences include soil aggregate size and distribution, strength of the  
37 top soil and the presence of a soil crust. Currently, there is a knowledge gap in our  
38 understanding of the relationships and interactions between soil physical properties and  
39 environmental factors and their subsequent effect on germination, emergence and  
40 establishment process in plants which we outline in this review. We propose that seed-soil  
41 contact, an important, yet frequently ignored factor influences germination and constant yield.  
42 Sugar beet is the second largest global source of sugar besides sugar cane and of high  
43 importance, especially for European countries, where climate conditions are unsuited for  
44 sugar cane. Globally 270 million tons of sugar beet was produced in 2014 with Europe  
45 producing 71.1% (FAOSTAT, 2014). Sugar beet has a small seed in comparison to other crops  
46 such as wheat and maize and has been reported as being highly susceptible to changes in  
47 climate (e.g. temperature and rainfall) and soil physical condition (e.g. compaction and crust  
48 formation) due to its low emergence force. In particular it can be significantly affected by  
49 varying soil moisture conditions (Rinaldi et al., 2005).

50 This review aims to highlight the key factors influencing successful germination and crop  
51 development in sugar beet with a specific focus on seed-soil contact and the interaction  
52 between soil physical properties and environmental conditions. We outline that field  
53 conditions on the day of germination initiation significantly influence the productivity of the  
54 early seedling. We explain how typical field management techniques can impact on soil  
55 conditions and the subsequent impact for the emerging seedling. We also highlight the latest  
56 state of the art in imaging techniques and modelling approaches that are being applied to  
57 research in this area to improve the predictability of germination.

### 58 **The concept and importance of seed-soil contact**

59 The concept of seed-soil contact is based on the notion that seeds should be able to absorb  
60 water from water films and moist aggregates that are in direct contact with the seed for  
61 imbibition and ultimately for germination. The importance of the area of contact in  
62 combination with the soil matric potential for germination was initially described by Sedgley  
63 (1963) and Manohar and Heydecker (1964). The wetted area of contact has been found to be  
64 one of the factors controlling germination using *Medicago tribuloides* seeds (Sedgley, 1963).  
65 Increasing area of contact results in an enhanced germination rate (Manohar and Heydecker,  
66 1964). This was tested by drilling holes of different diameters into an acrylic glass layer (i.e.  
67 Perspex) and allowing different parts of the seed to be in contact with varying areas of moist  
68 soil. Acknowledging that this was one of the first studies on seed-soil contact, seeds receiving  
69 the same treatment (i.e. hole size) were most likely exposed to different soil contact areas due  
70 to the heterogeneity of soil aggregates creating differently sized air pockets in between  
71 aggregates touching the seed. The micropyle (120  $\mu\text{m}$  x 80  $\mu\text{m}$  in size) has been suggested as  
72 the main point of water uptake in pea seeds (Manohar and Heydecker, 1964). The orientation

73 of the seed would therefore be a major influence for all seed-soil contact experiments if it was  
74 not in direct contact with a moist aggregate.

75 Pre-soaking seeds (*M. tribuloides* and *Lactuca sativa*) reduces the importance of the soil matric  
76 potential (Collis-George and Hector, 1966). The area of contact is considered important at high  
77 matric potentials for the later germinating seeds based on observations of different calculated  
78 wetted areas. Thus, the influence of area of contact decreases with a reduction of water  
79 potential. As field water potentials are often below water potentials used in laboratory  
80 experiments such as Sedgley (1963) and Manohar and Heydecker (1964), the area of contact  
81 is probably less important at field scale. A reduction in germination rate and water uptake  
82 with a decreasing hydraulic conductivity was reported based on a fixed seed-soil contact  
83 (Hadas and Russo, 1974a, 1974b). This work introduced the concept of seed-soil contact under  
84 laboratory conditions was not extended to field scale. The main concept we considered is that  
85 the size and shape of soil aggregates in the seedbed impact on the establishment of crop  
86 seedlings and are responsible for seed-soil contact.

### 87 **Preparation of the soil seedbed**

88 Centuries of development in agricultural practice have informed our current techniques for  
89 sowing seeds. Farmers aim for uniform crop establishment, which can ultimately enhance  
90 yield, help to reduce soil nutrient leaching and to increase the ability of the crop to compete  
91 with weeds (Håkansson *et al.*, 2002). Several abiotic factors including temperature, sowing  
92 depth and soil moisture are all important to achieve optimal germination conditions for the  
93 seed. A soil temperature of above 3°C has been proposed as the germination initiation  
94 temperature for sugar beet, however, at temperatures below 5°C the germination rate can be  
95 slow (Gummerson, 1986; British Beet Research Organisation (BBRO), 2017). The base  
96 temperature for the adjusted thermal time (accumulated days above a base temperature

97 adjusted for the specific plant species) is higher than for ryegrass (base temperature of 1.0°C  
98 – 2.0°C) and clover (base temperature of 0.0°C – 1.5°C) but lower compared to maize (base  
99 temperature of 7.0°C – 9.0°C) (Moot et al., 2000; Trudgill et al., 2000). These temperature  
100 requirements make sugar beet an ideal spring sown crop once the temperature rises above  
101 the base temperature. Under shallow sowing conditions, seeds experience a higher  
102 temperature, however, the temperature decreases with increasing soil water content (Ferraris,  
103 1992).

104 Heavy rainfall within 48 hours after drilling can have negative effects on sugar beet  
105 germination (BBRO, 2017). Rainfall often results in slumping of a bare seedbed to some degree  
106 i.e. soil structural collapse and thereby altering the intended seedbed structure as well as  
107 influencing the seed-soil contact. As slumping increases soil bulk density and compaction,  
108 porosity decreases. In this case an increase in seed-soil contact could therefore reach a critical  
109 level due to reduced oxygen availability, though this is hard to assess due to the opacity of soil.  
110 At high soil moisture contents, oxygen limitation can occur as the percentage of water filled  
111 pores increases at the expense of air filled pores. Oxygen limitation however, has been  
112 reported to have a limited influence on germination, certainly lower than the considerable  
113 negative influence of waterlogging (Håkansson et al., 2012). It is also likely that oxygen  
114 limitation does not influence the germination initiation as the embryo is confined to the  
115 pericarp and therefore limited to external oxygen supply. A reduced sugar beet establishment  
116 has been found to be due to poor drainage and a water level above seeding depth (Durrant et  
117 al., 1988).

118 Crusting of the topsoil may occur in some soils, especially finer textured which reduces the  
119 chance of emergence for weaker seedlings (Aubertot *et al.*, 2002). Sugar beet is highly  
120 susceptible to variations in soil physical conditions in the field due to the low seedling

121 emergence force (i.e. force of the hypocotyl) of 0.15 N (Souty and Rode, 1993). Previous work  
122 has recommended that the physical stress should not exceed a weight equivalent to a force  
123 of 0.10 N for at least 50% of the seedlings to successfully emerge (Souty and Rode, 1993).  
124 Seedbed preparation has therefore to be executed at specific times to avoid crust formation  
125 due to rainfall within the first few days after drilling. As sugar beet seeds are also heavily  
126 susceptible to water stress under drought conditions, seed priming (pre-germinating the  
127 seeds in the presence of small amounts of water) is used to enhance the drought tolerance  
128 for sub-optimal conditions whereas a prolonged steeping (a type of priming including an acid  
129 steeping step) process increases the tolerance even further (Durrant and Mash, 1991).  
130 Seedbed preparation is a crucial step for sugar beet farmers not only due to the influence of  
131 weathering on the seedbed but also as seedling emergence is influenced by soil physical  
132 properties (e.g. soil texture, bulk density and water content), climate, tillage, and drilling  
133 procedures (Aubertot *et al.*, 1999). Soil compaction (a decrease in pore space and increase in  
134 bulk density) poses a serious problem for the sugar beet industry as conventional field  
135 preparation techniques result in subsoil compaction reducing root development and yield  
136 (Marinello *et al.*, 2017). The ideal conditions for a seedbed are thought to consist of both fine  
137 and coarse aggregates to prevent erosion (erosion prevention facilitated by a proportion of  
138 coarse aggregates) and to ensure sufficient soil-seed and soil-root contact (improved contact  
139 facilitated by a proportion of fine aggregates) whilst minimizing compaction which represents  
140 a challenge to the farmer (Figure 1) (Braunack and Dexter, 1989).

141 A seedbed has previously been defined as a loose and shallow managed surface layer  
142 (Håkansson *et al.*, 2002). The surface layer is ideally prepared to a depth of 5 to 7 cm with a  
143 minimum of 30% aggregates below 3 mm for improving the moisture availability around the  
144 seed (BBRO, 2017). Aggregate size and position above the seed in the seedbed influences the

145 emergence probability of the seedling (Bouaziz and Bruckler, 1988; Souty and Rode, 1993;  
146 Boiffin *et al.*, 1994) as well as the soil aggregate roughness (Richard and Dürr, 1997; Aubertot  
147 *et al.*, 1999). This is likely to be due to the limited emergence force of the young sugar beet  
148 seedling. Increasing bulk density and aggregate size results in a delay of seedling emergence,  
149 as shown for wheat by Nasr and Selles (1995). A higher abundance of aggregates > 5 mm has  
150 been reported within the 0 – 3 cm layer compared to the 3 – 10 cm layer using tillage  
151 techniques segregating aggregate classes and being preferable for seedbeds (Kritz, 1983). Soil  
152 aggregate size has a significant impact on the seed-soil contact. Testing different aggregate  
153 size classes to simulate different seed-soil contacts has been used to identify accelerated  
154 germination for the finest seedbed aggregate sizes (tested on peanut seeds) (Khan and Datta,  
155 1987). This is attributed to increased seed-soil contact and thus enhanced water availability.  
156 The increase in germination and emergence time can also be attributed to a change in  
157 hydraulic conductivity, soil-water diffusivity, the soil moisture flux, the thermal conductivity  
158 and oxygen flux. However, the treatments used by Khan and Datta (1987) consisted of >70%  
159 aggregates within the specific size class which leaves up to 30% of smaller aggregates within  
160 each treatment. Assuming that a third of the aggregates were smaller, we hypothesize these  
161 probably filled the larger pores in the coarser treatments therefore influencing the seed-soil  
162 contact into the point that it is difficult to conclude which factor had the strongest impact. The  
163 presence of larger aggregates has also been reported to result in detrimental effects with an  
164 exponential decrease in emergence found using aggregates > 10 mm incorporated into the  
165 seedbed (Dürr and Aubertot, 2000). Seedbeds composed mainly by larger aggregates are not  
166 suitable for most agricultural purposes due to reduced establishment caused by reduced seed-  
167 soil contact and also due to the limiting emergence force of the seedling. However, they do  
168 offer the benefit of protection against erosion (Lyles and Woodruff, 1962; Keller *et al.*, 2007;

169 Obour et al., 2017). A balance is therefore needed between the ratio of larger aggregates for  
170 reducing erosion and smaller aggregates to improve the establishment rate, however not  
171 exceeding a critical level determined by the emergence force (Boiffin, 1986; Duval and Boiffin,  
172 1994; Håkansson et al., 2002).

173 Soil aggregate size can influence soil water content through the provision of macropores  
174 between aggregates and micropores within aggregates , as well as soil physical properties  
175 (Dürr and Aubertot, 2000). Field management techniques, particularly those concerned with  
176 seedbed preparation significantly influence aggregate size distributions with small aggregated  
177 seedbeds provide a higher contact area between soil aggregates and the sugar beet seed and  
178 therefore improving water transfer (Bruckler, 1983; Schneider and Gupta, 1985; Braunack and  
179 Dexter, 1989; Braunack, 1995; Dürr and Aubertot, 2000).

180

181 A firm adjacent basal sublayer consisting of soil with a higher bulk density was recommended  
182 as preferable for Swedish soils (Håkansson et al., 2002). However, an open porous soil  
183 structure with larger aggregates is the current recommendation by the British Beet Research  
184 Organisation (BBRO 2017). The structure of the lower layer of soil is generally not tilled which  
185 can result in a drought stress as root growth can be restricted. The incorporation of the sugar  
186 beet seeds within the dense sublayer, however, could enable access to a higher moisture  
187 content through an increased contact area between the seed and the soil (Gummerson, 1989).  
188 The idea of accessing a higher water source through an adjacent layer is an interesting one as  
189 the seed would benefit from both the fine seedbed as well as the water source. However, this  
190 would require sowing at a higher precision than is currently employed in most field cases as  
191 slight unevenness of the seed surface would result in misplacement of the seed. Therefore,



192 the seed would either be placed within the fine seedbed or deep within the compacted  
193 sublayer which would have a negative impact on emergence time. Current recommendation  
194 aim for an even seedbed as unevenness may lead to yield loss due to reduced establishment  
195 and increased harvester losses (BBRO, 2017). Additionally, the BBRO (2017) highlight the  
196 timing and procedure of cultivation management techniques can reduce the final yield by 30%  
197 under sub-optimal conditions.

198 These previous studies highlight that water and temperature related environmental factors  
199 have a very significant influence on seed germination and plant growth. Whereas the soil  
200 physical factors, which directly affecting seed-soil contact and chance of emergence can be  
201 adjusted and influenced to a larger extent through appropriate cultivation and management  
202 techniques.

### 203 **Cultivation and management techniques**

204 Structural variations in the seedbed are primarily caused by tillage operations and drilling  
205 machinery (man-made) or by wetting-drying / freeze-thaw cycles and biological actions  
206 (natural) (Aubertot *et al.*, 1999). Seedbeds are commonly prepared into a fine and  
207 homogenous state using tillage operations such as harrowing, ploughing, discing or by tines  
208 (Obour et al., 2017). Reduced tillage techniques in comparison to conventional tillage, reduces  
209 the number of passes through the fields and the intensity and depth (usually the upper 5 cm)  
210 of cultivation (Halvorson and Hartman, 1984). Fields managed under no tillage conditions  
211 prepare the seedbed via the action of the soil biota and wetting and drying cycles (Tisdall,  
212 1994; Degens, 1997; Romaneckas et al., 2009). Dense soil surface layers commonly found on  
213 no tillage managed fields can adversely affect establishment due to a low emergence force  
214 (Koch, 2009) though literature in this area is sparse. Strip tillage procedures are used for partial  
215 or complete removal of the soil surface layer by tilling narrow strips to control erosion (for

216 both wind and water), reduce evaporation and avoid loss of soil organic matter (Jabro et al.,  
217 2014). Similar yields for sugar beet have been reported compared to intensive tillage. One  
218 third of the sugar beet grown in the US is managed by strip tillage as the number of passes is  
219 reduced from five (conventional tillage) to one (strip tillage) and therefore fuel usage is  
220 reduced as well (Evans et al., 2010; Cane, 2015; Stevens et al., 2015; Tarkalson et al., 2016).  
221 Strip tillage can however increase the time until emergence by up to 5 to 7 days in a silt loam  
222 (Lower Saxony, Germany) most likely due to an uneven coarse seedbed in comparison to  
223 intensive tillage and reduced tillage (Laufer and Koch, 2017). Further research is needed  
224 concerning the preferred tillage system for optimized seedbed preparation however reduced  
225 and no-tillage techniques show considerable promise providing the soil bulk density does not  
226 exceed a critical level.

227 Sugar beet fields in European countries are commonly ploughed in the previous year as clod  
228 strength reduction (tilth mellowing) facilitated by weathering is considered to help the  
229 seedbed composition throughout the winter period (wetting-drying as well as freeze-thaw  
230 cycles) (Utomo and Dexter, 1981). The effectiveness of this method of soil breakdown by tilth  
231 mellowing is determined by the soil consistency (i.e. resistance to deformation in a wet and  
232 dry state) (Larney et al., 1988). For heavy textured soils in the UK, ploughing is recommended  
233 before the end of October whereas for lighter textured soils from October onwards is  
234 preferable (BBRO, 2017). Light soils (i.e. high sand content) should only be ploughed directly  
235 before drilling to avoid drying, slumping and erosion (caused by friable soil structure). Spring  
236 cultivations, for creating a level and consolidated seedbed, are thought to be optimal for high  
237 seed-soil contact, though this is hypothesized rather than based on actual measurements, and  
238 therefore a successful uniform establishment and high yield (BBRO, 2017). Based on these  
239 recommendations, farmers need to consider both field conditions (e.g. soil texture, bulk

240 density and soil strength) as well as the average weather conditions (e.g. rainfall, temperature  
241 as well as base temperature for the specific crop) to make an informed decision on  
242 appropriate field management techniques which adds to the challenge.

243 Cultivations aim to optimize the structure of the seedbed and therefore ensuring consistent  
244 and homogeneous establishment and stand (Håkansson *et al.*, 2002). The “Speeding Up Sugar  
245 Yield” (SUSY) project investigated the yield differences between historic production between  
246 2002 and 2006 (10 Mg ha<sup>-1</sup> (Hanse *et al.*, 2011)) and optimal potential (23 Mg ha<sup>-1</sup> (de Wit,  
247 1953)) in the Netherlands. Top yielding farmers typically use less cultivation steps compared  
248 to average yield farmers as well as earlier sowing dates based on the comparison of total yield  
249 from previous years (Hanse *et al.*, 2011). Statistical modelling (REML) showed soil hydraulic  
250 conductivity (i.e. a measure of a soils drainage rate), tillage operation depth as well as soil  
251 structure had the highest impacts on obtaining a good yield.

252 A combination harrow is recommended for a final depth of 5 to 7 cm, however, only one pass  
253 is optimal so as to avoid excessive compaction (BBRO, 2017). Commonly, seedbeds are rolled  
254 during sowing to increase seed-soil contact using small press-wheels attached to the seed-drill  
255 (Sadeghpour *et al.*, 2015). Rolling is a controversial practice in this regard as excess pressure  
256 results in high compaction and thus severely reduced establishment (Jaggard, 1977;  
257 Hebblethwaite and McGowan, 1980; Brereton *et al.*, 1986). Whereas beneficial effects on  
258 yield have been reported using single passes with press-wheels indicating an increase in seed-  
259 soil contact while avoiding oxygen limitation (Håkansson *et al.*, 2011; Arvidsson *et al.*, 2012).  
260 Again, the opacity of soil making it hard to visualize seed-soil contact has remained an obstacle  
261 to understanding of the mechanical processes concerned with seedbed preparation. For many  
262 decades, seed-soil contact has been a mere concept and the real influence of compaction of  
263 seed-soil contact however is largely unknown. The changes in yield after compaction could be

264 due to difference causes (i.e. water retention, avoidance of erosion). The current drilling  
265 practice however, does require a slight compaction as a channel in the soil is opened that  
266 would leave the seeds exposed without the use of press wheels. Cultivation techniques in  
267 comparison to reduced tillage and no-tillage have been reported to result in a more consistent  
268 and high yield, however, being susceptible to compaction due to multiple passes needed for  
269 preparing optimal seedbed conditions remains a significant but poorly understood problem.

### 270 **Impact of soil amendments on seed-soil contact**

271 Without doubt different management techniques have a variable impact on seed-soil contact  
272 and are dependent on the physical force of machinery. An alternative but emerging approach  
273 includes the incorporation of other, non-soil materials into the seedbed including plant  
274 residue, plastic or glass that alter the contact area of the seed with the soil.

275 Since the increase in adoption of minimum and no-tillage systems, the incorporation of plant  
276 residue has become a more regular practice depending on the type of cultivator used (Morris  
277 *et al.*, 2009). Incorporation of plant residue can serve several functions for the soil including  
278 (1) the reduction of soil erosion, (2) the supplementation of plant nutrients, (3) the  
279 functionality as a mulch reducing soil water loss and (4) the modification of soil temperature  
280 (Wilhelm *et al.*, 1986). Furthermore, increased aggregate stability has been reported on a ten  
281 year no-tillage site using crop residue management (Karlen *et al.*, 1994). The application of  
282 conservation tillage (>30% plant residue cover) can improve important soil quality indicators  
283 (e.g. soil structure, aggregation and organic matter) (Rasmussen and Rohde, 1988; Daughtry  
284 *et al.*, 2006). Besides an improved water availability (Evans and Young, 1970; Carson and  
285 Peterson, 1990), the incorporation of plant residue can reduce seed-soil contact (Fowler,  
286 1986; Chambers, 2000; Rotundo and Aguiar, 2005). This reduction in seed-soil contact is  
287 thought to be caused by the seed being positioned directly next to plant residue or the residue

288 creating larger pore spaces than would be there otherwise. The direct contact may also exhibit  
289 positive effects for nutrient transfers however, decomposing plant residues in a moist  
290 environment can also attract pathogens which have negative effects on germination and early  
291 growth. Additionally, a reduced soil temperature and germination was reported using a straw  
292 cover (Børresen and Njoes, 1990). A reduced germination efficiency in seeds has been found  
293 in the presence of plant residue in direct contact for oilseed rape which was attributed to the  
294 reduced seed-soil contact (Morris *et al.*, 2009). This negative effect of plant residue was  
295 investigated using wheat straw in varying quantities either in direct contact with the seed or  
296 incorporated into the soil. Straw residue positioning has been shown to be the primary factor  
297 of establishment reduction whereas the impact of the amount of residue was lower and did  
298 not reduce establishment significantly highlight the impact of the contact area reduced by  
299 residue (Morris *et al.*, 2009).

300 An increase in seed longevity has been shown for *Bromus pictus* seeds placed within a layer of  
301 plant litter but a reduction in germination rate for seeds surrounded by plant litter (no seed-  
302 soil contact) (Rotundo and Aguiar, 2005). A lack of seed-soil contact (for sugar beet and oilseed  
303 rape seeds) was shown by placing a seed on wheat residue, resulting in a reduced emergence  
304 rate by 30% (this method simulates 'broadcast sowing', common for oilseed rape when  
305 distributing the seed on the soil surface) (Morris *et al.*, 2009). This effect was reversed when  
306 placing residue on top of the soil leading to rapid emergence due to the reduced evaporation  
307 (simulating an Autocast system that distributes straw above the seeds following sowing from  
308 a hopper attached to a combine harvester) (Morris *et al.*, 2009). Uneven distribution of straw  
309 can therefore result in a patchy establishment with a 50% reduction of biomass growth which  
310 was verified using oilseed rape and sugar beet by mixing the residue into the soil or placing it  
311 onto the surface (HGCA, 2002; Morris *et al.*, 2009). Placement of plant residue is therefore

312 crucial as beneficial effects such as a reduction in evaporation and supply of nutrients can  
313 accelerate the emergence rate, however, there can be severe negative impacts. For weaker  
314 seedlings like sugar beet, the use of plant residue is only advisable if the seedlings emergence  
315 force can overcome the surface cover and the residue is not placed in direct contact with the  
316 seed.

317 Traditionally, sugar beet fields have been drilled in the preceding autumn to winter burying all  
318 stubbles, depending on the soil type (Ecclestone, 2004). However, non-inversion tillage  
319 systems retain residue at the soil surface. Furthermore, the position of plant residues in the  
320 seedbed can have phytotoxic effects on developing seedlings due to the production of  
321 phenolic compounds during their decomposition especially under anaerobic conditions  
322 (Wuest *et al.*, 2000). Besides beneficial effects on soil biochemical properties, significant  
323 improvements in yield were shown over a period of four years for maize with wheat residue,  
324 however incorporation of residue from the same crop used for the following season depressed  
325 yield significantly (Sidhu and Beri, 1989). However, this is more attributed to the biochemical  
326 influences than the seed-soil contact alterations by incorporating chopped residue (likely to  
327 have produced inhibiting metabolites).

328 Alternative research has considered the benefits of waste materials as soil amendments to  
329 improve seedling emergence and crop establishment. The effect of fine (< 6 mm) and coarse  
330 (6 – 15 mm) glass debris incorporated into the soil or as a mulch material was tested as the  
331 incorporation of glass into soil is a possible option for glass disposal (De Louvigny *et al.*, 2002).  
332 Although concerns regarding a potential chemical and physical alteration of the soil as well as  
333 an effect on the growth behavior of plants have been raised (Ngoya *et al.*, 1997). High glass  
334 contents within the soil were achieved by creating a paste made of glass, water and soil which  
335 was air dried and cut into aggregates of different sizes. These aggregates have been used

336 within the seedbed (layered with 5 mm of fine soil) or laid on the soil surface. Final sugar beet  
337 emergence rate was not significantly reduced, however, it slowed when the glass-contents as  
338 a portion of the soil was > 80% (De Louvigny *et al.*, 2002). Higher glass-soil contents also  
339 resulted in the trapping of seedlings below rough glass surfaces. With the incorporation of  
340 high levels of glass (> 80%), increased temperature, on average of about 2°C per day and  
341 significantly increased sowing depth has been reported (De Louvigny *et al.*, 2002). While the  
342 increased temperature has beneficial effects for accelerated germination, an increased  
343 sowing depth would reduce establishment count, especially under water restricted growth  
344 caused by the high glass content. Furthermore, as high glass contents were realized by  
345 creating artificial aggregates containing glass, the difference in seed-soil contact cannot be  
346 quantified directly but rather the impact on emergence.

#### 347 **Calculation of seed-soil contact**

348 Soil aggregate size distribution from field structured soil can be determined by measuring  
349 fractions of the total soil sample size after sieving (Kemper and Chepil, 1965) or by the  
350 measurement of mass proportions of aggregates within sublayers (Kritz, 1983). Soil embedded  
351 in resin can be used to identify aggregate and air space distribution, but this is typically  
352 restricted to a 2D view of the soil matrix unless serial sections are collected which is a laborious  
353 process (Protz *et al.*, 1987; Bresson and Boiffin, 1990; Dexter, 1991). Quantification of seed-  
354 soil contact has proven challenging and field management decision have been selected based  
355 on the assumption of its effect. Only few approaches have been made that have attempted to  
356 estimate seed-soil contact, typically resulting in subjective descriptions such as 'poor' or  
357 'good'.

358 Until very recently, the best approach to estimate seed-soil contact has been based on  
359 simplistic simulations and modelling such as that by Brown *et al.* (1996) and Zhou *et al.* (2014).

360 The influence of aggregate size and macroporosity was simulated using deformable spheres  
361 of a uniform size and a rigid disc or sphere as a seed which is only a coarse assumption due to  
362 the heterogeneity of soil aggregates and particles (Brown et al., 1996). Using a colored liquid  
363 poured over the sample from multiple directions, an increase of contact with decreasing  
364 macroporosity was found upon dismantling of the sample (Brown et al., 1996). A Discrete  
365 Element Method (DEM) by using a distinct sphere as the seed and a randomly generated set  
366 of differently sized spheres to represent soil aggregates was used to calculate the area of  
367 contact by Zhou et al. (2014). They found 0 to 33 contact points with 0 to 41 mm<sup>2</sup> area of  
368 contact with varying sowing depths. A soil to seed size ratio of 1.33 and 1.75 was considered  
369 as exhibiting the highest contact area. A simulation of rolling using press wheels increased the  
370 modelled seed-soil contact significantly. Both approaches fail to account for the heterogeneity  
371 of soil due to varying soil aggregate structures (e.g. size, roughness, and tortuosity). An  
372 additional challenge is posed by the presence of mineral stones and organic matter in varying  
373 sizes and shapes (not considered in models) that can be in direct contact with the seed or  
374 create air pockets reducing the seed-soil contact. Even if those are not in direct contact to the  
375 seed but rather in proximity, the hydraulic conductivity and the pore network is amended  
376 compared to a modelled pure soil structure.

377 X-ray Computed Tomography (X-ray CT) has previously shown great promise for quantifying  
378 soil properties like bulk density and porosity (Steude *et al.*, 1994; Atkinson *et al.*, 2007, 2009).  
379 The application of this imaging approach offers the opportunity to overcome the limitation of  
380 soil opacity and actually visualize and measure the seed-soil contact under field conditions. A  
381 recent approach using X-ray CT quantified the actual soil matrix and pore space surrounding  
382 a sugar beet seed at a resolution of 20 µm (Blunk et al., 2017). An interesting increase in seed-  
383 soil contact percentage for round-shaped seeds in comparison to untreated star-shaped sugar



384 beet seeds was reported in the same work (Blunk et al., 2017). Blunk et al. (2017) developed  
385 an imaging method to measure in 3D the precise seed-soil contact based on visualization of  
386 the soil aggregates and pore geometry in relation to a sugar beet seed validated on laboratory  
387 prepared and field collected samples (Figure 2). This research has shown how the  
388 advancements in imaging technologies can assist us to overcome the limitations associated  
389 with the opacity of soil and will undoubtedly provide new data to inform the future modeling  
390 approaches to improve their accuracy.

### 391 **Future perspectives**

392 Seed-soil contact as a concept has been well known for several decades but has lacked direct  
393 assessment until recently. Research into its measurement has been limited by the inability to  
394 observe it directly but with the recent developments in imaging techniques, seed-soil contact  
395 can be investigated at an appropriate resolution and the impact of management techniques  
396 on the seedbed and the resulting area of contact assessed. Future research should be able to  
397 directly assess the impact of soil management practices on the seed-soil contact that is  
398 achieved and the impact on germination. However, a potential problem to the adoption of  
399 new agricultural practices is that farmers tend to rely on former experience. BBRO (2017)  
400 provide recommendations for the appropriate soil structure of the seedbed, however, there  
401 is only little quantitative knowledge concerning the effects of the different preparation  
402 techniques (e.g. harrow, tine, frost action) under present conditions (e.g. temperature, rainfall,  
403 soil moisture, soil texture, previous crop) on the resulting seedbed. Laser range scanners have  
404 shown considerable promise for mapping the seedbed surface structure to give indications  
405 of the ultimate effect of tillage operations including surface roughness (Jensen *et al.*, 2017).  
406 These laser range measurements can also be used to estimate aggregate size distribution

407 which could be extrapolated to estimate seed-soil contact (Jensen *et al.*, 2016) and provide  
408 data for future modelling efforts.

409 Furthermore, the relationship between factors influencing germination, emergence and  
410 establishment requires a deeper understanding for choosing appropriate management  
411 techniques. Modelling approaches that take multiple factors into account represent a first  
412 step into the right direction. The soil quality of establishment (SQE) statistical model (Atkinson  
413 *et al.*, 2007, 2009) uses field measurements (e.g. bulk density or shear strength),  
414 macrostructure properties and management techniques to predict establishment in wheat,  
415 however, it does currently not account for environmental factors like rainfall and temperature.  
416 The SUCROS model predicts sugar beet yield based on emergence time, establishment count,  
417 leaf area at emergence and leaf area growth rate which are highly dependent on soil texture,  
418 weather, seedbed preparation, sowing technique and seed lot characteristics (Spitters *et al.*,  
419 1989; Boiffin *et al.*, 1992; Dürr *et al.*, 1992; Guérif and Duke, 1998). SUCROS however is a  
420 function of thermal time and does not include soil water as a limiting factor (Rinaldi *et al.*,  
421 2005). The SIMPLE (SIMulation of PLant Emergence) model, in comparison, is used to predict  
422 the effect of tillage and sowing operations for sugar beet (Dürr *et al.*, 2001). This model uses  
423 texture, aggregate size distribution, position in the seedbed, sowing depth, soil temperature,  
424 rainfall, seed characteristics, germination time and hypocotyl elongation distribution to create  
425 a 3D seedbed based on aggregates and seed characteristics and predicts the duration until  
426 emergence based on the thermal time of the seed (Dürr *et al.*, 2001). However, a more  
427 complex model is needed that adjusts relevant factors based on the relationship towards  
428 other factors (e.g. a change in soil compaction affects aggregate size distribution, porosity,  
429 hydraulic conductivity, etc.). The basis of this are more sophisticated seedbed analysis  
430 approaches to quantify relevant factors influencing germination, emergence and

431 establishment and their impact on seed-soil contact. Furthermore, quantitative image data  
432 generation using X-ray imaging can be used as a basis for modelling approaches and therefore  
433 improving the predictability under specific conditions. Further investigations that seek to  
434 quantify field structured seedbeds and screening of field environmental conditions are  
435 urgently needed to inform the selection of future management techniques especially in the  
436 face of environmental and climatic change.

### 437 **Conclusions**

438 Factors of soil seedbed preparation affecting germination and establishment in sugar beet  
439 have received much attention, however their interaction with each other has not been fully  
440 explored. Imbibition, the initial step of germination, is known to be influenced by seed-soil  
441 contact which is affected by a variety of soil physical and environmental factors but is  
442 challenging to assess not least due to the inability to observe the seed within the soil due to  
443 its opacity. The suite of field management techniques represents the extent of the limited  
444 options farmers are able to impose on the field and these are well known to have been shown  
445 to be affected by high variability of seed-soil contact. Engineering what might be considered  
446 an 'optimal' seed-soil contact can only be achieved using appropriate field management  
447 techniques at precise times (due to variation between soil texture, climatic conditions and  
448 crop). We consider the present soil and environmental conditions on the sowing day and the  
449 consecutive two to three days as the decisive factors affecting seedling emergence as the early  
450 seedling is dependent on seed reserves and its activation. A non-favorable germination  
451 initiation due to poor soil conditions (e.g. seed-soil contact) could affect the seedling early  
452 resulting in a struggle to keep up with seedlings under optimal conditions. Future modelling  
453 efforts concerning the interactive network of factors influencing seed-soil contact should be  
454 sought to improve the predictability of germination, emergence and establishment based on

455 image derived data. The image data will help to comprehend the impact that tillage operations  
456 pose on the seedbed and the actual contact to the seed. Deeper understanding of how plant  
457 establishment can be influenced altering seed-soil contact and therefore adjusting  
458 management and sowing techniques is fundamental for the improvement of future farming  
459 practices.

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#### 464 **Conflict of interest**

465 None

#### 466 **Ethical standards**

467 None

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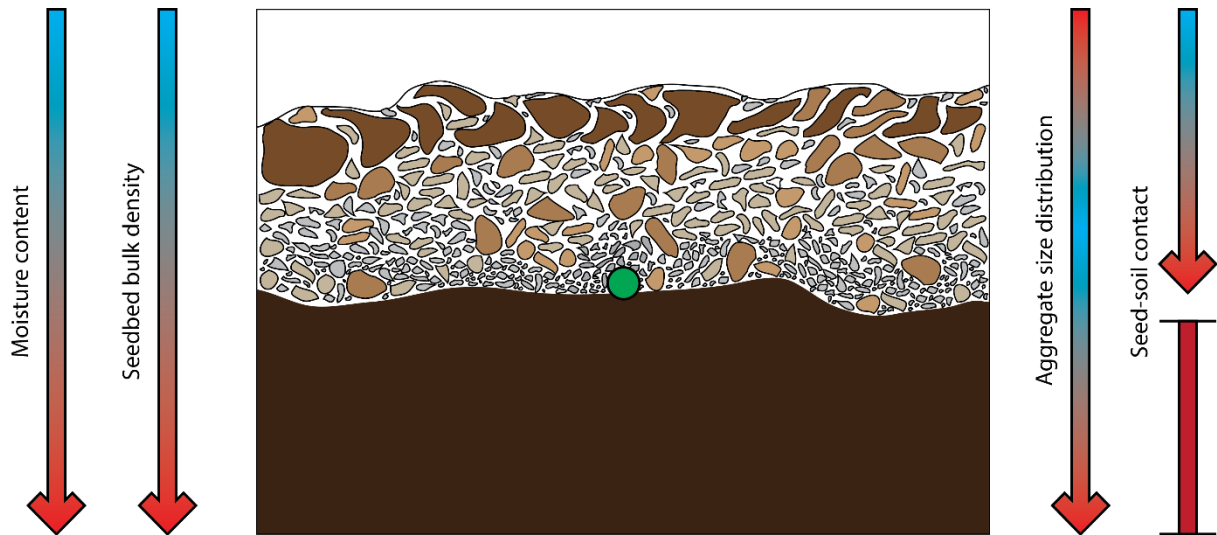
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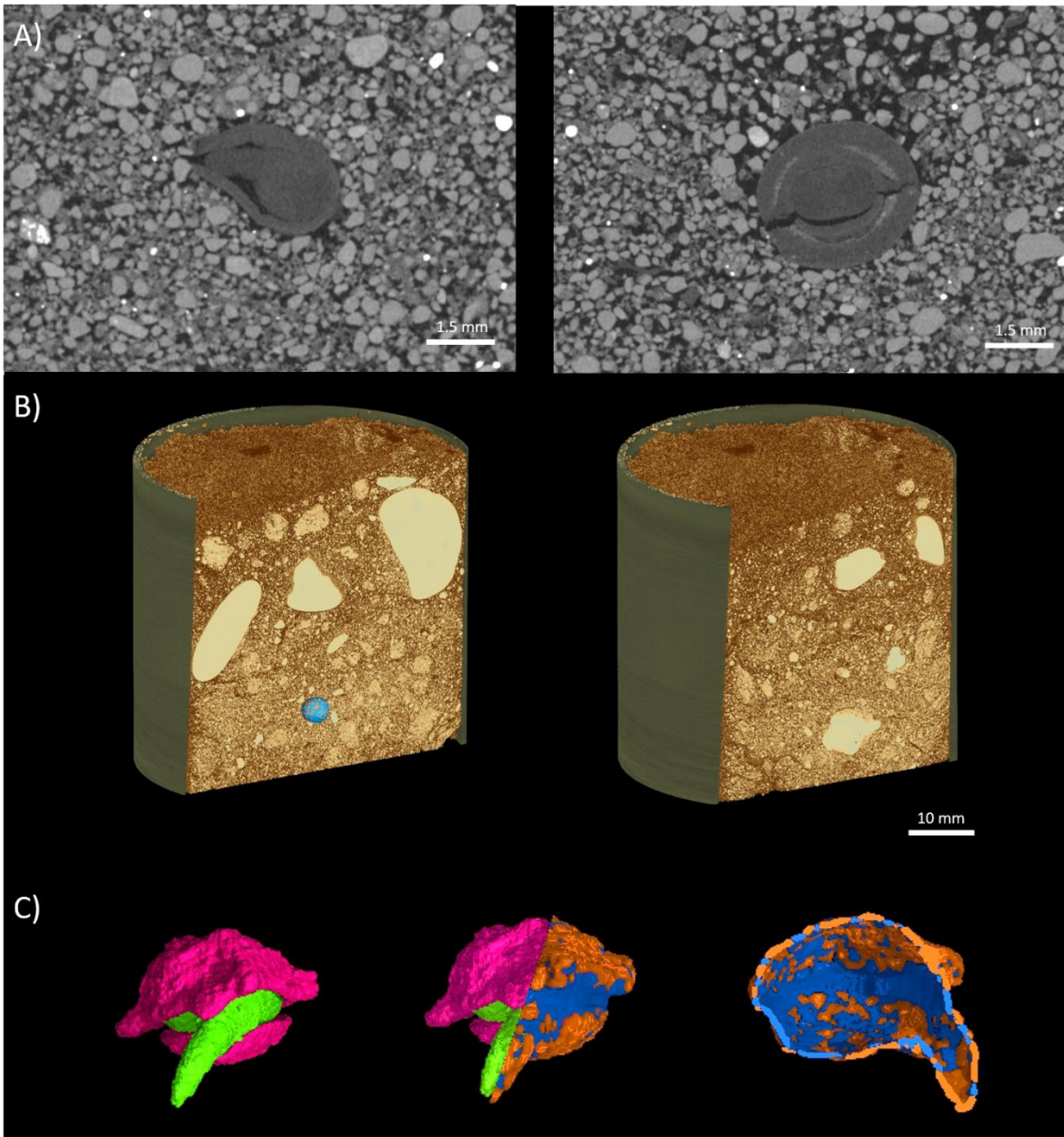
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Figure 1: Schematic representation of a typical sugar beet seedbed covering a dense layer. The aggregate size decrease from top to bottom whereas the moisture content increases. Seed-soil contact is high at the lowest point of the seedbed and too high within the dense sublayer due to compaction. The green symbol indicates the ideal positioning of the seed being slightly incorporated into the firm sublayer and in contact with a high abundance of small aggregates (Adapted from Hakansson et al., 2002).



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669 Figure 2: X-ray CT quantification of seedbed properties. A) 2D slice of a naked star-shaped seed and a pelleted and coated  
 670 round-shaped seed within an artificially created seedbed sieved < 1 mm. B) 3D reconstruction of a pelleted and coated round-  
 671 shaped seed within a field structured seedbed. C) 3D reconstruction of surrounding soil and air space around a naked star-  
 672 shaped seed. Pink = Pericarp; Green = Embryo; Orange = Soil; Blue = Air.