

A Collaborative Learning Tracking Network for Remote Sensing Videos

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A Collaborative Learning Tracking Network for **Remote Sensing Videos**

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Abstract—With the increasing accessibility of remote sensing videos, remote sensing tracking is gradually becoming a hot issue. 2 However, accurately detecting and tracking in complex remote 3 sensing scenes is still a challenge. In this paper, we propose 4 a collaborative learning tracking network for remote sensing 5 videos, including a consistent receptive field parallel fusion mod-6 ule (CRFPF), dual-branch spatial-channel co-attention (DSCA) module, and geometric constraint re-track strategy (GCRT). 8 Considering the small-size objects of remote sensing scenes 9 10 are difficult for general forward networks to extract effective features, we propose a CRFPF-module to establish parallel 11 branches with consistent receptive fields to separately extract 12 from shallow to deep features and then fuse hierarchical features 13 adaptively. Since the objects and their background are difficult to 14 distinguish, the proposed DSCA-module uses the spatial-channel 15 co-attention mechanism to collaboratively learn the relevant 16 information, which enhances the saliency of the objects and 17 regresses to precise bounding boxes. Considering the interference 18 19 of similar objects, we designed a GCRT-strategy to judge whether there is a false detection through the estimated motion trajectory 20 and then recover the correct object by weakening the feature 21 response of interference. The experimental results and theoretical 22 analysis on multiple data sets demonstrate our proposed method's 23 feasibility and effectiveness. Code and net are available at 24 https://github.com/Dawn5786/CoCRF-TrackNet. 25

Index Terms-Remote sensing video, object tracking, deep 26 learning, collaborative learning, attention mechanism. 27

I. INTRODUCTION

N recent years, with the diversification of remote sensing 29 application scenarios, single-frame static remote sensing 30 images can no longer meet the demand for dynamic detection 31 of ground objects. While video satellites can obtain time-32 series dynamic images of observation areas, which can provide 33 rich information for many applications like traffic condition 34 monitoring, the rapid response of natural disaster, and military 35 security [1]. Object tracking is one of the key technologies 36 in video analysis and understanding applications [2]. Many 37 advanced trackers for natural videos have been proposed [3-38 5]. 39

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Fig. 1: The overall process framework, including a consistent receptive field parallel fusion module (CRFPF), a dual-branch spatial-channel co-attention module (DSCA), and geometric constraint re-track strategy (GCRT).

The emergence of very high-resolution (VHR) video satellites provides the possibility for remote sensing video tracking. Since remote sensing videos are taken from high altitudes with wide angles, complex scenes and the feature distribution of ground objects are vastly different from those in natural videos. Therefore, accurate real-time remote sensing video tracking remains a particular challenge.

Object tracking usually establishes an appearance model with objects marked in the first frame, then detecting and positioning a designated object in subsequent frames. A general tracking framework usually consists of a search strategy, feature extraction, and observation model. According to the different feature extraction methods, trackers can be mainly divided into traditional methods and deep learning methods [6].

In traditional methods, based on different ways of observation, it can be divided into generative and discriminant models [7]. Generative models usually extract object features to construct an appearance model, such as optical flow [8], 58 Kanade Lucas Tomasi (KLT) [9], Meanshift [10] etc. However, the generative model does not make full use of background information and appearance changes. While discriminant models, like tracking learning detection (TLD) [11] and struck [12], usually compare the difference of object and background through a discriminant function. Later, MOSSE [13] first introduces the correlation filtering method to object tracking. On this basis, kernel correlation filter (KCF) [14] introduces cyclic matrix, and fast Fourier transform for real-time online training. These models can take advantage of the relationship between the object and the background simultaneously, but the trained filters limit the universality of the models.

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In deep learning methods, trackers use deep features with 71 powerful representation ability instead of manual features [15-72 17]. Some early works, such as efficient convolution operators 73 (ECO) [18] and hedged deep tracking (HDT) [19], directly use 74 existing pre-trained models to extract deep features. However, 75 due to the non-universality of the pre-trained models, end-76 to-end learning trackers are proposed which can be trained 77 off-line, such as SiamATL [20], ATOM [21] and Dimp [22], 78

etc.

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In recent three years, some tracking methods peculiar to 80 remote sensing videos have come into being. Du et.al. [23] 81 combine KCF [14] and three-frame difference algorithm to 82 build a strong tracker. Guo et.al. [24] design a correlation 83 filter incorporated with a Kalman filter (CFKF) to correct 84 the tracking trajectory of moving targets. Wang et.al. [25] 85 design a Gabor filter on CSK [26] to enhance object features. 86 Later, Hu et.al. [27] extract deep features with pre-trained 87 deep neural networks. PASIAM [28] uses a shallow siamese 88 network to match object features and predict attention to deal 89 with occlusion. Although the above studies have achieved 90 good performance, the research remote sensing video tracking 91 is still in its infancy. There are still some significant challenges 92 as follows: 93

Since satellite videos are taken from high altitudes, the
 interesting objects are usually small-size with little sufficient
 information. However, the present trackers do not sufficiently
 extract series of hierarchical features for these small objects
 in particular.

2) Due to the complex atmospheric medium in remote
sensing videos (e.g. clouds, fog), objects are usually similar
to those of the surrounding background. It makes accurate
tracking difficult. However, as far as we know, existing trackers
cannot adaptively enhance objects in dynamic backgrounds.

3) When the object to be tracked moves around those similar
 objects, the tracker is easily disturbed and drifts to the wrong
 objects. It dramatically affects the success rate of trackers.

Based on the analysis above, we propose a deep collaborative learning tracking network for remote sensing videos. The main contributions can be summarized as follows:

For small objects to be detected, we design some parallel
 multi-resolution branches so that they can have the consistent
 receiving field on the same level layer, thus extracting the hier archical features of small objects from shallow to deep layers,
 and finally, use adaptive weights to fuse them effectively.

2) To strengthen the objects, we use the cross-correlation of
objects between the current frame and the template frame to
perform collaborative attention learning in spatial and channel
, respectively, which can expand the difference between the
objects and the background. And an additional attention-loss
is designed to enhance the saliency of the objects further.

3) For the tracked results, we design a re-track strategy to judge whether the objects are false tracked through geometric constraint, and then weaken the feature response of the interference objects and finally re-track the actual objects.

The remainder of this paper is organized as follows: in Section II, we introduce three modules of the proposed framework in detail; in Section III, contains the analysis of the experimental results and the effect of each module; finally, ¹²⁸ Section IV concludes this paper. ¹²⁹

A. Consistent Receptive Field Parallel Fusion Module

In remote sensing videos, the objects are usually small-size, 132 which causes the bottleneck of tracking accuracy. In recent 133 works [29, 30], feature pyramid structures are constructed to 134 meet the challenge of small objects. However, they are usually 135 applied in global recognition on multiple categories of objects 136 at any scale widely. So it lacks specificity in detecting small 137 objects. Based on this, we construct a consistent receptive field 138 parallel fusion module (CRFPF). 139

The input of this module is an RGB image patch $I_1^0 \in \mathbb{R}^{M_1^0 \times M_1^0 \times 3}$, which is intercepted by the center of the object.

1) Construction of Parallel Image Pyramid Input.

Single resolution input is difficult to balance the extrac-143 tion of deep features and shallow features for extremely 144 small objects. In order to further extract rich hierarchical 145 effective semantic representation information, we construct 146 parallel image pyramid by a multi-resolution sampling way 147 on original image patch I_1^0 and obtain a series of image 148 patches $\{I_0^0, \dots, I_k^0, \dots, I_k^0\}$ with corresponding sample rate $\{\alpha_0, \dots, \alpha_k, \dots, \alpha_K\}$, where $I_k^0 \in \mathbb{R}^{M_k^0 \times M_k^0 \times 3}$ and M_k^0 represents the side length of I_k^0 . 149 150 151

$$M_k^0 = \alpha_k \times M_1^0 \quad \{k \in \mathbb{N} | 0 \le k \le K\} \tag{1}$$

These image patches correspond to the inputs of K+1 parallel convolution branches $\{\mathbb{B}_{I_0}, \cdots, \mathbb{B}_{I_k}, \cdots, \mathbb{B}_{I_K}\}$. According to the principle of deep neural network, the higher resolution branch tends to extract deep semantic features, while the lower resolution branch tends to extract shallow detailed features.

Among them, \mathbb{B}_{I_0} is a down-sampling branch with $\alpha_0 < 1$ to capture global location feature information from a lower resolution image patch.

So the steps to construct the input process are:

(a) The original image patch I_1^0 , enhanced by the red border, is the input of the module.

(b) Then calculate sampling rates $\{\alpha_0, \dots, \alpha_k, \dots, \alpha_K\}$.

(c) Finally perform multi-resolution sampling on I_1^0 , and obtain $\{I_0^0, \dots, I_k^0, \dots, I_K^0\}$ as inputs for parallel branches.

2) Feature Extraction with Consistent Receptive Field. 166

For image pyramid as input, after passing through different 167 parallel general convolutional network branches, the actual 168 scene regions corresponding to the receptive fields of the 169 obtained features are not necessarily consistent. So it is not 170 conducive to subsequent feature fusion. In order to resist 171 the misalignment phenomenon during the fusion of non-same 172 branch features, we aim to keep the consistent receptive field 173 on the same level layer for all branches (See the yellow dotted 174 lines in Fig. 2). Note that in a branch, a series of consecutive 175 convolutions form a level layer, their size is fixed. And one 176 branch has L level layers in Fig. 2. In this regard, we think 177 of using dilation convolution [31] which can change receptive 178 field with the same size convolution kernel to align features. 179 Dilation rates of the level layers in branch \mathbb{B}_{I_k} is recorded as 180 $\{r_k^1, \cdots, r_k^l, \cdots, r_k^L\}.$ 181

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Fig. 2: Flowchart of CRFPF-Module. The input is I_1^0 and the output is fused feature F_{fuse} . 1) Construction of Parallel Multi-Resolution Input is in the grey dashed circle. 2) Feature Extraction with Consistent Receptive Field is in the blue dashed circle. 3) Adaptive Feature Fusion are in the purple dashed circle.

Starting from the branch \mathbb{B}_{I_1} , its original RGB image patch $I_1^0 \in \mathbb{R}^{M_1^0 \times M_1^0 \times 3}$ passes through dilation convolution operations to generate the first level layer feature $F_1^1 \in \mathbb{R}^{M_1^1 \times M_1^1 \times 3}$ 183 184 as follows. 185

$$M_1^1 = \lfloor \frac{M_1^0 + 2 \times padding - r_1^1 \times (Ker - 1) - 1}{stride_1^1} + 1 \rfloor$$
(2)

where Ker is the convolution kernel size and r_1^1 is the dilation 186 rate of the first level layer in \mathbb{B}_{I_1} . 187

Among parallel branches, given sampling rate α_k , (k =188 $\{0, 1, \dots, K\}$) and dilation rate r_1^1 of branch \mathbb{B}_{I_1} , dilation 189 rates r_k^{\perp} of each branch in the first level layer can be calculated 190 as follows. 191

$$\frac{r_k^1 \times (Ker - 1) + 1}{r_1^1 \times (Ker - 1) + 1} = \alpha_k = \frac{M_k^0}{M_1^0}$$
(3)

Therefore, the obtained $\{r_1^1, \cdots, r_K^1\}$ are substituted into 192 Eq. (2) and $\{F_0^1, \dots, F_K^1\}$ are determined. We design the 193 above rules to ensure the features of the same level layer have 194 a consistent receptive field among different branches. That is 195 they respond to the same real scene range of the original image 196 patches. 197

For the branch \mathbb{B}_{I_k} , with r_k^1 of each branch obtained 198 from the above, the receptive field \mathfrak{F}_k^i of the *i*-th layer 199 $(i = \{1, \dots, L\})$ is shown in following recurrence formula as 200 Eq. (4), where the dot represent dot multiplication operations 201 in maths. 202

$$\mathfrak{F}_{k}^{i} = \begin{cases} r_{k}^{i} \times (Ker - 1) + 1 & , \quad i = 1 \\ \mathfrak{F}_{k}^{i-1} + [r_{k}^{i} \times (Ker - 1) + 1] \cdot \prod_{p=1}^{i-1} stride_{k}^{p} & , \quad i \ge 2 \end{cases}$$
(4)

In this process, the current level layer features of the lower 203

resolution branch have the same dimension as the next level layer feature of its adjacent higher resolution branch (e.g. F_{k-1}^{l-1} and F_k^l in Fig. 2). So far, features of all branches can be 206 determined. The specific steps are:

(a) First build a standard convolutional network branch B_{I_1} as the feature extraction branch of the initial image I_1^0 .

(b) Then obtain parallel branches \mathbb{B}_{I_k} , $(k = \{0, 1, \dots, K\})$ 210 with given sampling rate α_k , $(k = \{0, 1, \dots, K\})$ and dilation 211 rate r_1^1 of branch \mathbb{B}_{I_1} as Eq. (2-4). 212

(c) Finally, $\{I_0^0, \cdots, I_k^0, \cdots, I_K^0\}$ are sent to respective branches hierarchical and features $\{F_0^L, \cdots, F_k^L, \cdots, F_K^L\}$ 213 214 are extracted. 215

Based on the above description, the output features 216 $\{F_0^L, \cdots, F_k^L, \cdots, F_K^L\}$ extracted from parallel branches are 217 consistent in dimension. 218

3) Adaptive Feature Fusion.

According to the principle of deep learning, objects of 220 various sizes have different degrees of preference on different 221 level features. So the feature fusion way with equal weights 222 is not particularly suitable. Therefore, we propose an adaptive 223 feature fusion way to obtain the final fused feature F_{fuse} as 224 follows. 225

$$F_{fuse} = \sum_{k=0}^{K} \lambda_k \cdot F_k^L$$

$$s.t. \quad \lambda_k = \frac{\beta_k}{\sum \beta}$$
(5)

where λ_k represents the fusion weight of feature F_k^L , which 226 is normalized by β_k to interval [0, 1]. And the dot represent 227 dot multiplication operations. 228

The proportion β_k is determined by the object size $\sqrt{w \times h}$, 229



Fig. 3: Flowchart of DSCA-Module. (a) Target Classfier. The inputs are fusion features Z and X of the template frame and the current frame. (b) IoU Regression. The inputs are ground truth region B_q within template frame feature Z and proposal region B_e within current frame feature X, denoted as $Z(B_q)$ and $X(B_e)$.

the image patch size M_1 and the sample rate α_k as follows. 230

$$\beta_k = \left[\ln(\frac{M_1}{\sqrt{w \times h}})\right]^{-\alpha_k} \tag{6}$$

Given a certain object size, β_k decreases with the increase 231 of α_k , that is, a branch with a larger sample rate has a smaller 232 fusion weight. Besides, given the sample rate of each branch, 233 as the object size increases, the weight gap among the branches 234 decreases and tends to be even. In this way, the smaller the 235 object, the proportion of shallow detail features is larger, 236 conducive to the precise detection of small objects. Function 237 $\ln(\cdot)$ is used to prevent violently jitter of fusion weight caused 238 by object size changes. 239

In general, for CRFPF-module, multi-resolution parallel 240 branches fully extract the low-level detailed information and 241 high-level semantic information of small objects from shallow 242 to deep layers, consistent receptive fields allow features of 243 different branches in the same level layer corresponding to 244 the same real scene range. And the adaptive fusion way not 245 only contains multiple layers information, but also reduces the 246 deviation caused by feature misalignment. 247

B. Dual-branch Spatial-Channel Co-Attention Module 248

To increase the saliency of objects, we propose a DSCA-249 module composed of a target classifier and an intersection over 250 union (IoU) regression based on collaborative attention (co-251 attention) mechanism. 252

1) Spatial Co-Attention Module.

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To highlight the object region saliency from the spatial 254 structure, we construct a spatial co-attention module on the 255 target classifier. The structure is shown in Fig. 3 (a). 256

1. Feature Initialization. The inputs, $Z \in \mathbb{R}^{W \times H \times C}$ and 257 $X \in \mathbb{R}^{W \times H \times C}$, are the fused features extracted from CRFPF-258 module of the template frame and the current frame. They 259 go through several convolution operations and generate initial 260 features $Z_S^0 \in \mathbb{R}^{W \times H \times C}$ and $X_S^0 \in \mathbb{R}^{W \times H \times C}$. 261

2. Generate Spatial Co-Attention Map. We calculate the 262 spatial co-attention map S^r describing the cross-correlation 263 information between two initial features, Z_S^0 and X_S^0 . 264

Specifically, flatten Z_S^0 and X_S^0 to $Z_S^1 \in \mathbb{R}^{N \times C}$ and $X_S^1 \in \mathbb{R}^{N \times C}$, where $N = W \times H$. We obtain the spatial co-attention map $S^r \in \mathbb{R}^{N \times N}$ as follows. 265 266 267

$$S^r = softmax(X_S^1 \cdot (Z_S^1)^T) \tag{7}$$

We design the spatial co-attention map S^r to measure the 268 similarity of Z_S^1 and X_S^1 in the corresponding spatial position. 269 The larger value in \tilde{S}^r indicates the higher similarity of 270 corresponding positions, vice versa. 271

3. Feature Modulation. We modulate spatial co-attention 272 map S^r to initial current frame feature X^1_s based on the 273 current frame feature X, and the cross-correlation feature 274 $X_{S}^{co} \in \mathbb{R}^{W \times H \times C}$ is calculated as follows. 275

$$X_S^{co} = X + \Phi(S^r \cdot X_s^1) \tag{8}$$

where $\Phi(\cdot)$ represents an operation to reshape input back to the 276 initial dimension as X. Therefore, according to the degree of 277 cross-correlation between the template frame and the current 278 frame, we enhance the object region signal and enlarge the 279 difference in the feature distribution between the object and 280 its background. 281

4. Saliency Attention-Loss. Considering that the feature 282 information of small objects is very minimal, if they are 283 directly sent to the classifier, the feedback loss will not be 284 enough to improve the saliency of the object. Therefore, we 285 design an additional attention-loss to further focus on the 286 neighborhood of objects, thereby further expanding the gap 287 between the object and the background. 288

For this attention-loss, the saliency mask $S^p \in \mathbb{R}^{W \times H \times 1}$ is 289 generated as follows.

$$S^{p} = softmax(conv(X_{S}^{co})) \tag{9}$$

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where $conv(\cdot)$ represents several 1×1 convolution operations. 291 The additional attention-loss function is as follows. 292

$$L_s(S^p, \mathbf{G}) = -\sum (1 - \eta) g log(s^p) + \eta (1 - g) log(1 - s^p)$$
(10)

where G is a binary label obtained according to the ground 293 truth boxes. For G, the value of the object location is set to 294 1, while the background is set to 0. η presents the percentage of positive values in the binary label template to prevent an imbalance between positive and negative samples. $g \in G$ and $s^p \in S^p$. In the tracking process, we multiply the modulation feature X_S^{co} with salient mask S^p , getting final attention feature X_S^C for the target classifier.

Subsequent target classifier consists of several fully convolutional layers. The loss for the classifier is the least square function, as shown in Eq. (11).

$$L_{cls}(X_{S}^{C}, Y) = \sum (f(x_{s}^{c}; \omega) - y)^{2} + \mu \|\omega\|^{2}$$
(11)

here, Y is the Gaussian sampling label centered at the object, $y \in Y$ and $x_s^c \in X_S^C$. μ is the amount of regularization on ω . The overall loss is as follows,

$$L_{spatial} = \xi L_s + (1 - \xi) L_{cls} \tag{12}$$

where ξ is in [0, 1] to balance the two losses.

308 2) Channel Co-attention Module.

In IoU predictor, each channel of feature has a different con-309 tribution to the accurate prediction. To increase the proportion 310 of beneficial channels under the guidance of precise ground 311 truth, we propose a channel co-attention module as Fig. 3 (b). 312 1. Feature Initialization. The inputs are ground truth region 313 B_q within the template frame feature Z, and the proposal 314 region B_e within the current frame feature X, denoted as 315 $Z(B_q)$ and $X(B_e)$. Both them are fed through convolution 316 operations, and then do precise region of interest pooling 317 operations(PrPool) [32] as Eq. (13) to get continuous down-318 sampling of the same dimension, getting initial features $Z_C^0 \in \mathbb{R}^{J \times J \times C}$ and $X_C^0 \in \mathbb{R}^{J \times J \times C}$. 319 320

$$PrPool(B(x_1, y_1, x_2, y_2)) = \frac{\int_{y_1}^{y_2} \int_{x_1}^{x_2} f(x, y) dx dy}{(x_2 - x_1) \times (y_2 - y_1)} \quad (13)$$

where *B* represents the above B_g or B_e , (x_1, y_1) and (x_2, y_2) represent the coordinates of the upper left and lower right corners of *B*. f(x, y) represents the element value of the feature map at coordinates (x, y).

2. Generate Channel Co-Attention Map. We flatten Z_C^0 and X_C^0 to $Z_C^1 \in \mathbb{R}^{J^2 \times C}$ and $X_C^1 \in \mathbb{R}^{J^2 \times C}$ along the channel axis and obtain channel co-attention map $S^c \in \mathbb{R}^{C \times C}$ as follows.

$$S^{c} = softmax((Z_{C}^{1})^{T} \cdot X_{C}^{1})$$
(14)

The S^c obtained in this way reflects the degree of crosscorrelation between channels from Z_C^0 and X_C^0 , respectively. We design S^c to measure the degree of similarity between channels. Larger values indicate higher similarity, vice versa. According to the cross-correlation score, the channel that is highly similar to the channel of the ground truth object is enhanced.

335 3. Channel Modulation. We generate channel modulated 336 features $X_C^{co} \in \mathbb{R}^{J \times J \times C}$ with S^c as follows.

$$X_C^{co} = X_C^0 + \Phi(X_C^1 \cdot S^c)$$
(15)

where operation $\Phi(\cdot)$ reshape input back to dimension as X_C^0 . This not only adaptively adjusts the channel weight, but also completely ensures the original feature information.

4. IoU Estimate. Given X_C^{co} , the IoU value is predicted



Fig. 4: Flowchart of GCRT strategy of the *t*-th frame. I_0 is the original input of the template frame, $I_{t-T} \sim I_t$ is the original input of *T* frames.

by several fully connected layers. The IoU regression loss 341 computed is as follows: 342

$$L_{IoU} = -ln(\frac{B_g \cap B_e}{B_g \cup B_e}) \tag{16}$$

Finally, the overall DSCA-model is complete. In this mod-343 ule, we introduce the cross-correlation characteristics between 344 the template frame and the current frame, and make use of 345 the cross-correlation information. In this way, we respectively 346 enhance the saliency of the object region in the spatial domain 347 and prefer high-quality features intelligently in the channel 348 domain. Note that the target classification branch and the IoU 349 regression branch focus on different domains and cannot be 350 interchanged. Primarily, we also design a saliency attention-351 loss to further enlarge the difference in feature distribution 352 between objects and similar backgrounds. 353

C. Geometric Constraint Re-Track Strategy

In remote sensing videos, objects are small-size and have little texture information, so the indiscernible appearance of objects leads to the difficulty of retrieving objects once lost. Therefore, we propose a re-track strategy based on geometric constraints to reduce false detections, as shown in Fig. 4.

Given the previous T frames results $\{B^{t-1}, \cdots, B^{t-T}\}$, 360 we judge whether the estimate result $B^t_{org}(x^t_c, y^t_c, w^t, h^t)$ of 361 the current t-th frame needs to be re-track, where (x^t_c, y^t_c) is the center of the box and w^t , h^t represent the width and height. 363 For the box of the t-th frame, we update its corresponding mask S^p response by a attenuation factor φ as follows. 364

$$S^p(B^t) = S^p(B^t_{org}) \cdot \varphi \tag{17}$$

We discuss φ for re-track in three situations as:

$$\varphi = \begin{cases} e^{-R/L} &, \quad R > L \\ e^{-R/A} &, \quad A < R \le L & \& \quad \triangle \theta > \theta_o \\ 1 &, \quad else \end{cases}$$
(18)

where R means the Euclidean distance between (x_c^t, y_c^t) and (x_c^{t-1}, y_c^{t-1}) . L and A are the diagonal length and the shorter side length of the box, respectively.

$$L = \sqrt{(w^t)^2 + (h^t)^2} \tag{19}$$

$$A = \min\left(w^t, h^t\right) \tag{20}$$

1)
$$R > L$$

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Fig. 5: Display for several possible situations. (a) $R_1 > L$. (b) $A < R_2 \leq L, \theta^t - \theta_T^t$. It shows the situation when similar targets are moving towards each other in close distance; (c) $A < R_3 \leq L, \theta_T^t - \theta^t$. It shows the situation when similar targets are moving in the same direction. (d) It shows the normal situation that $R_4 < A$.

In remote sensing videos, since the tracked object is usually 372 a low-speed moving one, the Euclidean distance the object 373 moves between two adjacent frames is usually less than the 374 length of the object itself, *i.e.*, L in Eq. (19). 375

Therefore, when R > L, we consider the estimated result of 376 the *t*-th frame to be unreliable. So we take $\varphi = e^{-R/L}$ for re-377 track. It attenuates the unreliable detection object according to 378 the distance. As R is larger, φ is closer to 0, and more severe 379 380 attenuation. In this way, the unreliable detection objects are weakened so that the real objects can be re-tracked. 381

2) A < R < L. 382

In this situation, we consider that objects may appear in 383 two positional relationships as shown in Fig. 5 (b)(c). So we 384 further use the angle relationship to make the determination. 385

We take the average angle change θ_T^t of the previous T 386 frames as a benchmark to predict the current angle change: 387

$$\theta_T^t = \frac{1}{T} \sum_{i=1}^T \arctan \frac{x_c^{t-i} - x_c^{t-(i+1)}}{y_c^{t-i} - y_c^{t-(i+1)}}$$
(21)

where x_c^{t-i} , y_c^{t-i} are corresponding center of previous boxes. 388 And the current movement direction is: 389

$$\theta^{t} = \arctan \frac{x_{c}^{t} - x_{c}^{t-1}}{y_{c}^{t} - y_{c}^{t-1}}$$
(22)

Then we calculate the angle change $\triangle \theta$ of the current frame: 390

$$\Delta \theta = \mid \theta^t - \theta_T^t \mid \tag{23}$$

We use the angle θ_{α} between the diagonal and the long side 391 of the object bounding box B^t as the threshold of the angle 392 change range, as follows: 393

$$\theta_o = \arctan \frac{\min(w^t, h^t)}{\max(w^t, h^t)}$$
(24)

Since small objects in our remote sensing scenes are moving 394 at a low speed, $\triangle \theta$ should be an acute less than θ_{o} . 395

If $A < R \leq L$ and $\triangle \theta > \theta_o$ are satisfied at the same time, 396 we start the re-track procedure with the attenuation factor as 397 $\varphi = e^{-R/A}$. Compared with $e^{-R/L}$ in the the case of R >398 L, $\varphi = e^{-R/A}$ also exponentially decreases as R increases. 399 The difference is that $\varphi = e^{-R/A}$ decays faster, which can 400



Fig. 6: Attenuation function curve comparison. When R > 0, the value range of the function is in the interval [0, 1]. As R increases, the smaller the attenuation factor φ is, the more severe the signal attenuation is. In order to avoid ineffective suppression of interferers at different distances, $e^{-R/L}$ is used when R > L, and $e^{-R/A}$ is used when $A < R \le L$.

effectively suppress interference even near the object. If the 401 above two situations do not occur, it is considered that there is 402 no false detection, and φ is unchanged as 1. The reason we use 403 two exponential functions with different coefficients is to strike 404 a balance between sensitively capturing false tracking results 405 and avoiding allergy alarms. As shown in Fig. 6, suppose we 406 use a uniform decay function $e^{-R/L}$ indiscriminately, then 407 when $R \leq L$, the value of the φ is relatively high (e.g. $\varphi_M >$ 408 $\varphi_O = \varphi_N$). Therefore, we replace the attenuation function 409 in the interval $A < R \leq L$ with $e^{-R/A}$, so that the nearby 410 interferers can also be effectively attenuated without affecting 411 the magnitude of the long-distance attenuation. In this way, 412 the interference signals at different distances can be effectively 413 attenuated during re-tracking, and the allergy alarm caused by 414 the jitter of bounding boxes can be avoided. 415

With the above GCRT strategy, we use geometric constraints to weaken the response of the mask S^p in unreliable detection 417 object region, thereby bringing out the correct real object during re-tracking. 419

III. EXPERIMENTAL RESULTS AND DISCUSSION

A. Data Description

1) IPIU Date Set.

The data set is acquired over the San Diego Military Port, 423 USA, 2017 by Jilin-1 HD Dynamic Video Satellite with 10 424 fps. The actual ground resolution is 0.91 m/pixel. The various 425 vehicle objects in the scene are to be tracked. 95% of object 426 sizes are from 5×8 pixels to 10×15 pixels. The scene 427 contains bridges, roads, trees, buildings, and many similar 428 vehicle objects. Less effective target information and complex 429 scenes cause great difficulties in object tracking. 430

2) RSSRAI Data Set.

This data set comes from the remote sensing video target 432 tracking track competition in 2019 Remote Sensing Image 433 Sparse Representation and Intelligent Analysis Competition 434 [33]. The total size of a frame is from 220×223 pixels to 435 1348×1348 pixels. These video sequences are shot with a 436 resolution of 1.13 m/pixel. The object sizes are from 4×9 437 pixels to 18×19 pixels. The object signal strength is weak, 438 so it is difficult to distinguish it from the background. 439

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3) UAV123* Data Set. 440

The public UAV123 data set [34] contains a series of video 441 sequences from an aerial drone viewpoint. In all 123 se-442 quences, we take vehicles as objects and select sequences with 443 BC (Background Clutter) and LR (Low Resolution) attributes, 444 forming the UAV123* data set to conduct experiments. This 445 data set sequence is characterized by a wide range of viewing 446

angles, which leads to an unstable direction of objects. 447

B. Experimental setup 448

The overall experiments are conducted on a workstation 449 with Intel Xeon(R) CPU E5-2650 v4 @ 2.20GHz and 4 N-450 VIDIA TITAN Xp GPU. The proposed tracker is implemented 451 on PyTorch deep learning platform. 452

1) Backbone Network Learning.

We use CRFPF-module proposed in Section II-A as our 454 backbone network to fusion and extract features. Considering 455 the balance between computing power and time cost, we take 456 K = 3 in Eq. (1) in practice, that means a total of four 457 parallel branches $\{\mathbb{B}_{I_0}, \mathbb{B}_{I_1}, \mathbb{B}_{I_2}, \mathbb{B}_{I_3}\}$. We add a classifier 458 head consisting of a 1×1 convolution layer and a 4×4 459 convolution layer after the backbone network [21]. We take 460 $\mu = e^{-4}$ in Eq. (11). In order to adapt the backbone network 461 to the remote sensing data set, we use GOT-10K [35] natural 462 data set and 25% IPIU (denoted as $IPIU^{T}$) as the training data 463 set. For the pair of selected frames, we crop out the image 464 patches that with several times (5 times in our experiments) 465 the size of the object and centered on the bounding box. Then 466 we resize them to 72×72 as inputs into the backbone with 467 sharing weight. In each epoch, 60% samples are randomly 468 selected from GOT-10K and the remaining 40% from IPIU 469 train set. In order to avoid the situation that it is difficult to 470 converge for multi-branch training at the same time, we adopt 471 an alternate training strategy. When training a specific branch, 472 we set the loss weight of the rest branches to 0. Each branch 473 trains 80 epochs with 2×10^{-4} learning rate. 474

2) Target Classifier Learning.

The Spatial Co-attention Module is trained online to adapt 476 to the foreground and background discrimination of the current 477 test sequence. During training this process, we keep the above 478 backbone weight frozen and only update the weight of the 479 dual-branch Spatial Co-attention Module. For each test se-480 quence, we use the first template frame of the object's ground 481 truth to generate a series of similar but slightly different 482 enhanced frames. In experiments, we generate 15 enhanced 483 samples, then choose any two enhanced samples to form a 484 pair as the input. The weights of attention loss in Eq. (10) and 485 classifier loss in Eq. (11) are ξ and $1-\xi$ respectively, see C(2) 486 in this section for details. 487

3) IoU Regression Learning.

We use image pairs with bounding box annotations to train 489 the entire IoU prediction network. During training this process, 490 we keep the above backbone weight frozen and only update 491 the weight of the dual-branch Channel Co-attention Module. 492 We only use the above $IPIU^T$ as the source of image pairs. 493 We sample image pairs from each sequence with a maximum 494 interval of 30 frames. Then we set displacement similar to [21] 495



Fig. 7: Comparison of different dilation rate settings. (a) Train total loss of different dilation rate. (b) Convergence loss of series receptive field.

TABLE I: Comparison of average convergence loss with different dilation rates.

| - | Level1 | Level2 | Level3 | Absolute | Convergrnce |
|-------|--------|--------|--------|-----------------|-------------|
| | | | | Receptive Field | Loss |
| G_1 | 1 | 1 | 1 | 67 | 4.201 |
| G_2 | 2 | 1 | 1 | 73 | 4.212 |
| G_3 | 3 | 1 | 1 | 79 | 4.181 |
| G_4 | 3 | 2 | 1 | 87 | 4.122 |
| G_5 | 4 | 2 | 1 | 83 | 4.174 |
| G_6 | 5 | 4 | 3 | 131 | 4.197 |
| G_7 | 5 | 5 | 5 | 155 | 4.201 |

to achieve the purpose of expanding the training data. During 496 the training process, each batch includes 26 image pairs, the 497 learning rate is 1×10^{-3} , and a total of 60 epochs are trained.

C. Hyperparameter Analysis

1) Dilation rate r_k .

In Section II-A, the dilation rate r_k is used to adjust consistent receptive field among parallel branches. Different 502 dilation rate settings correspond to different receptive fields, which has a great impact on the distinguish ability of features for small objects. According to Eq. (3), given the dilation rate r_k of certain branch I_k , the dilation rate of rest branches can be derived according to the consistent receptive field 507 principle. Therefore, we select a single I_2 branch with a 508 moderate number of network layers for experiments. In the 509 experiments, we record the group form of dilation rates as 510 1. 1.

| TABLE II: The structure of each branch in CRFPI | -MC | odule | 2 |
|---|-----|-------|---|
|---|-----|-------|---|

| Branch | Input Shape | Output Shape | Layer | r_*^* | stride | padding |
|--------------------|--------------|--------------|--|---------|--------|---------|
| | (36,36,3) | (18,18,64) | Conv[7×7,64] | 1 | 2 | 1 |
| \mathbb{B}_{I_0} | (18,18,64) | (18,18,64) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times1$ | 1 | 1 | 1 |
| | (72,72,3) | (36,36,64) | Conv[7×7,64] | 2 | 2 | 3 |
| TR | (36,36,64) | (18,18,64) | Pool[3×3] | - | 2 | 1 |
| $\mathbb{D}I_1$ | (18,18,64) | (18,18,64) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times2$ | 1 | 1 | 1 |
| | (144,144,3) | (72,72,64) | Conv[7×7,64] | 3 | 2 | 3 |
| | (72,72,64) | (36,36,64) | Pool[3×3] | - | 2 | 1 |
| \mathbb{B}_{I_2} | (36,36,64) | (36,36,128) | $Conv\left[\begin{array}{c} 3\times3,128\\ 3\times3,128\end{array}\right]\times 2$ | 2 | 1 | 1 |
| | (36,36,128) | (18,18,64) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times1$ | 1 | 2 | 1 |
| | (288,288,3) | (144,144,64) | Conv[7×7,64] | 7 | 2 | 3 |
| | (144,144,64) | (72,72,64) | Pool[3×3] | - | 2 | 1 |
| \mathbb{B}_{I_3} | (72,72,64) | (72,72,64) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times2$ | 4 | 1 | 1 |
| | (72,72,64) | (36,36,128) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,128\\ 3\times3,128 \end{array}\right]\times 1$ | 2 | 2 | 1 |
| | (36,36,128) | (18,18,64) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times1$ | 1 | 2 | 1 |

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| The first of the first finance comparison with different first | | | | | |
|--|-------------------------|----------|------------|----------|--|
| Values of K | Target Classier Loss | IoU Loss | Total Loss | Time | |
| K=1 | 0.656 | 0.183 | 4.121 | 1h 27min | |
| K=2 | 0.648 | 0.172 | 4.059 | 2h 13min | |
| K=3 | 0.639 | 0.172 | 3.997 | 3h 3min | |
| <i>K</i> =4 | 0.669 | 0.182 | 4.119 | 5h 51min | |
| K=5 | 0.679 | 0.192 | 4.275 | 8h 32min | |

TABLE III Performance comparison with different K

TABLE IV: Comparison of multiple spatial loss weight ξ .

| ξ | Convergence | Convergence |
|-------|-------------|-------------|
| | Loss | Epoch |
| 0.001 | 4.248 | 48/50 |
| 0.01 | 4.085 | 46/50 |
| 0.1 | 3.987 | 45/50 |
| 0.3 | 3.990 | 45/50 |
| 0.5 | 3.992 | 46/50 |
| 0.7 | 4.273 | 45/50 |
| 0.9 | 4.281 | 45/50 |
| 0.99 | 4.288 | 42/50 |
| 0.999 | 4.366 | 41/50 |

 $G_i = \{r_i^1, r_i^2, r_i^3, i = 1 \sim 7\}$, where r_i^1, r_i^2, r_i^3 represent the dilation rate of Level1, Level2, and Level3 in branch B_2 . We use the 1×1 area of the last features corresponding to the real area of the original input (denoted as Absolute Receptive Field) as a unified comparison index. From G_1 to G_7 , the Absolute Receptive Field gradually increases as shown in Table I. The rest parameters are kept consistent for fair.

The results are shown in Fig. 7. As the receptive field increases, convergence loss first increased slightly, then decreased rapidly to valley at 87, and finally slowly recovered. So we select G_4 with minimum convergence loss. The specific structure of each branch is shown in Table II.

523 2) Number of branches *K*.

In Section II-A, K is an important value worth choosing in feature extraction.

⁵²⁶ Considering the objects in our datasets are uniformly from ⁵²⁷ 5×8 pixels to 10×15 pixels in size, we design each branch as ⁵²⁸ Table II and conduct an overall test. The experimental results ⁵²⁹ are shown in Table III.

At the beginning, the accuracy of the network increase with 530 increasing K value. When K = 3, the accuracy of the network 531 has risen to a stable level. We consider that the network at this 532 time has been able to sufficiently extract the deep features of 533 small objects. When K > 3, the accuracy of the network is 534 no longer improved, but the computational complexity is still 535 multiplying. Considering the balance between the parameter 536 amount and accuracy, we take K = 3. In fact, the value of K 537 can be adjusted according to the size of the object itself, the 538 magnitude of the training dataset, and timeliness requirements 539 in specific practical application. 540

3) Spatial loss weight ξ .

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In Section II-B, ξ in Eq. (12) is the weight of losses in the total spatial loss $L_{spatial}$. Its value affects the convergence of the network. Therefore, in order to make the value of ξ cover a range as wide as possible, we set ξ exponentially in a wide range of intervals [0.001, 0.999]. During the experiment, the rest of the parameter settings are the same. The experimental results are shown in Table IV.



Fig. 8: Comparison of different combinations in CRFPFmodule. (a) Target classifier loss of different branch combinations. (b) IoU regression loss of different branch combinations.

TABLE V: Performance comparison of different combinations in CRFPF-module.

| Branches Combination | Target Classier Loss | IoU Loss | Total Loss | Time |
|-------------------------|-------------------------|----------|------------|----------|
| Sin-B (K=1) | 0.656 | 0.183 | 4.121 | 1h 27min |
| Up-B (Con) | 0.651 | 0.179 | 4.088 | 7h 58min |
| Up-B (Add) | 0.642 | 0.169 | 4.019 | 3h 3min |
| Wh-B (Con)(K=3) | 0.646 | 0.171 | 4.047 | 8h 25min |
| Wh-B (Add)(K=3) | 0.639 | 0.172 | 3.997 | 3h 27min |

As we can see, the overall loss first decreases and then 549 increases with the increases of ξ from 0.001 and is lowest 550 when $\xi = 0.1$. The convergence speed increases slowly as 551 ξ increases while of the same magnitude. When the loss 552 percentage (on the same magnitude) is dominated by L_{cls} 553 and assisted by L_s , the model has a more vital ability to 554 distinguish between target and background. It also confirms 555 the effectiveness of the introduced attention loss. Therefore, 556 we set ξ to 0.1 finally. 557

D. Module Comparison

We conduct comparative experiments individually on each module proposed in Section III. To ensure the experiments' fairness, only the settings of the module to be compared are different while the rest keep consistent. 562

1) CRFPF-Module.

In the experiment, Sin-B, Up-B, and Wh-B are backbone 564 networks with different branch combinations. Sin-B represents 565 a single branch, Up-B represents non-downsampling branch 566 fusion, Wh-B represents whole branches (upsampling and 567 downsampling) fusion. The letters in brackets indicate differ-568 ent fusion methods: Add stands for additive fusion, Con stands 569 for concatenate fusion. The specific structure of each branch 570 is shown in Table II. The experimental results are shown in 571 the Table V and Fig. 8. 572

Sin-B Vs. Up-B:

As shown in Fig. 8, in both the target classifier and the IoU regression training, the training loss of Up-B converges to a lower value than that of Sin-B. (Note that, Sin-B uses a single best-performing branch \mathbb{B}_{I_2}). As a result, the features of different levels of non-downsampling branches are fused, which is more comprehensive than a single branch's features. For small objects, single-resolution feature expression 500

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Fig. 9: Qualitative comparison of response maps on spatial coattention module. (a) Response maps of the original algorithm without co-attention. (b) Our response maps.

is usually insufficient, while the hierarchical features of multi-581 resolution can be used to supplement, which improves the 582 overall performance. However, the training time of the network 583 increased at the same time. 584

Up-B Vs. Wh-B: 585

Wh-B adds down-sampling branch \mathbb{B}_{I_0} based on Up-B. 586 It can be seen from the experimental results, for the target 587 classifier, whether the fusion way is an additive or concatenate 588 operation, the training convergence loss of Wh-B is lower than 589 that of Up-B. It is verified that the introduced shallow global 590 features have positively affect the target classifier. However, 591 since the IoU regression uses the precise position feature with 592 PrPool, the addition of global information in \mathbb{B}_{I_0} does not 593 show apparent advantages. 594

Add Fusion Vs. Con Fusion:

For the target classifier, since the features are consistent 596 in the spatial structure, additive fusion can strengthen the 597 target information and performs better than concatenate fusion. 598 Moreover, in terms of training time, the training efficiency of 599 additive fusion is doubled higher than that of concatenating 600 fusion. 601

In summary, we choose the optimal structure of Wh-B Add.

2) DSCA-Module. 603

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We conduct comparative experiments on the spatial co-604 attention target classifier and the channel co-attention IoU 605 regression to verify the effect of our DSCA-module. 606

Spatial Co-Attention: 607

Fig. 9 shows the changes in the feature map's response 608 before and after adding the spatial co-attention module. By 609 learning the correlation of the object between the current 610 frame and the template frame, we strengthen the regions that 611 are strongly correlated with the template object. Moreover 612



Fig. 10: Visualization of channel features and corresponding weight by our channel co-attention module. Take the 12-th frame as an example to show the comparison of the results before and after adding the Channel Co-attention Module.

because the attention loss is specially trained, the object 613 region is further highlighted, while the response of the similar 614 surrounding background is weakened. As shown in Fig. 9, 615 compared with the original algorithm without co-attention, 616 the peak of our response maps are concentrated in the object 617 region, and its surrounding interference is suppressed. 618

Channel Co-Attention:

Fig. 10 shows the comparison of the tracking results before 620 and after adding the channel co-attention module. According 621 to the cross-correlation between the features of the current 622 frame and the template frame, for object features, the channels 623 that are highly similar to that of the ground truth object 624 are enhanced. In this way, channels containing more object 625 information help the IoU's accurate prediction, and it also has 626 a better understanding of the object boundary. For example, 627 Fig. 10 shows all 64 channel features of the 12-th frame in a 628 particular sequence and also shows the corresponding weights 629 assigned by the channel co-attention. We can see that most 630 channels with more significant object information have higher 631 weights. The final results in Fig. 10 show that the tracking 632 result with the channel co-attention module is tighter and more 633 precise. 634

3) GCRT-Strategy.

Fig. 11 shows the effect of the GCRT-strategy with a fragment of a sequence. Our strategy uses geometric relations 637 to determine whether the object is lost, and re-tracks to the correct object by weakening the response of the interfering 639 obnect in the saliency mask. As shown in Fig. 11 . When 640 encountering an interfering object facing each other, our 641 strategy keeps the target from being lost.

E. Ablation Studies and Algorithm comparison

In this section, we conduct comparative experiments with 644 several state-of-art methods and self-ablation experiments. For 645 the sake of experimental fairness, we adopt standard indicators 646 Precision and Success in single object tracking area according 647 to literature [36] to evaluate the performance of trackers. 648

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TABLE VI: Ablation Study and Performance Comparison Results of Three Data Sets.

| | | | | | DCCDAI | | | 1141/102* | |
|-----------------|-----------|---------|---------|-----------|---------|---------|-----------|-----------|---------|
| | | IPIU | | | KSSKAI | | | UAV125 | |
| | Precision | Success | FPS | Precision | Success | FPS | Precision | Success | FPS |
| DCF [14] | 0.516 | 0.156 | 428.782 | 0.261 | 0.084 | 338.482 | 0.407 | 0.334 | 455.448 |
| SAMF [37] | 0.546 | 0.179 | 20.995 | 0.360 | 0.133 | 16.963 | 0.403 | 0.263 | 24.813 |
| DLSSVM [38] | 0.750 | 0.414 | 100.319 | 0.536 | 0.340 | 83.592 | 0.206 | 0.039 | 104.636 |
| LCT [39] | 0.544 | 0.040 | 35.795 | 0.348 | 0.159 | 33.697 | 0.394 | 0.274 | 43.012 |
| ECO [18] | 0.856 | 0.484 | 15.167 | 0.781 | 0.431 | 13.136 | 0.529 | 0.282 | 16.760 |
| HDT [19] | 0.266 | 0.008 | 46.817 | 0.168 | 0.031 | 31.672 | 0.153 | 0.013 | 51.864 |
| DIMP [22] | 0.468 | 0.215 | 18.361 | 0.674 | 0.441 | 14.733 | 0.661 | 0.612 | 22.612 |
| DIMP-A | 0.521 | 0.241 | 22.541 | 0.691 | 0.511 | 17.495 | 0.698 | 0.648 | 25.280 |
| DIMP-B | 0.505 | 0.224 | 17.230 | 0.675 | 0.479 | 14.933 | 0.681 | 0.612 | 25.957 |
| DIMP-C | 0.517 | 0.238 | 15.282 | 0.670 | 0.500 | 13.476 | 0.696 | 0.639 | 20.072 |
| ATOM [21] | 0.794 | 0.395 | 20.731 | 0.729 | 0.437 | 16.954 | 0.440 | 0.285 | 23.696 |
| base-A | 0.828 | 0.419 | 25.060 | 0.783 | 0.449 | 19.827 | 0.653 | 0.511 | 27.293 |
| base-AB | 0.877 | 0.454 | 16.103 | 0.784 | 0.494 | 14.932 | 0.661 | 0.599 | 19.692 |
| base-ABC (Ours) | 0.954 | 0.554 | 14.051 | 0.816 | 0.566 | 12.516 | 0.729 | 0.621 | 15.671 |



Fig. 11: The response map is generated with the detected bounding box as the center. (a) Tracking result and response map of sample sequence without re-tracking strategy. (b) Tracking result and response map of sample sequence with re-tracking strategy.

We compare our tracker framework with some state-of-art 649 trackers, including DCF [14], SAMF [37], DLSSVM [38], 650 LCT [39], ECO [18], HDT [19], DIMP [22], and baseline 651 ATOM [21]. DCF is a representative of the discriminant 652 kernel correlation filtering method. SAMF use feature pyramid 653 correlation filter to perform multi-scale tracking. DLSSVM 654 and LCT are algorithms that combine detection and tracking. 655 ECO and HDT algorithm combine the powerful deep learning 656 presentation. For the above algorithms, we adapt their official 657 codes without changing the network structure. DIMP and 658 ATOM integrate deep network and filtering classification into 659 an end-to-end trainable tracker. Their frameworks are similar 660 to ours so we use the consistent initialization of the same 661 settings. 662

We carry out a quantitative ablation study taking ATOM 663



Fig. 13: Display of tracking results of DCF, ECO, ATOM and our algorithms

as a baseline algorithm to verify each proposal component of 664 our framework, including base-A, base-AB, and base-ABC. A represents CRFPF-module, B represents DSCA-module, and C represents GCRT-strategy. The quantitative results are shown in Table VI.

1) Experiment Analysis on IPIU Data Set.

DCF is a correlation filtering algorithm that is known for 670 its speed advantage. As shown in Table VI, the number 671 of tracking frames per second is up to hundreds. However, 672 shallow manual design features, i.e. directional gradient his-673 togram (HOG) and color names (CN), have low robustness to 674 complex remote sensing scenes. Therefore, it is susceptible to 675 interference from multiplicative background noise. 676

SAMF is a multi-scale method that focues on the situation 677 where the size of the object changes drastically. However, 678 the object sizes in our remote sensing videos are small and 679 the change is not apparent, so the tracking Success is not 680



Fig. 12: Comparison of different algorithms on multiple algorithms.

significantly improved. 681

DLSSVM uses a traditional structured SVM kernel to 682 determine the object and LCT algorithm also use a re-detector 683 composed of SVM to re-detect hard negative samples. How-684 ever, it is difficult to achieve stable performance on complex 685 scenes due to weak adaptive learning ability. 686

ECO tracker that combines superficial appearance infor-687 mation and deep semantic information is significantly better 688 than HDT tracker with only convolutional features, despite 689 the speed price. However, they two directly use the pre-690 trained model on ImageNet data set, which limits the trackers' 691 learning ability and scalability from remote sensing videos. 692

DIMP and ATOM allow trainable learning of remote sensing 693 data characteristics. However, too deep forward network re-694 duces the feature discrimination of small objects. When similar 695 objects appear around, the tracker is challenging to distinguish. 696 And it is almost difficult to retrieve the original object once 697 a mistake occurs. Although DIMP has online update ability, 698 low-quality features become a burden after the tracking fails. 699

Compared with baseline ATOM, base-A merges deep and 700 shallow features in parallel to represent small size objects. 701 It can effectively extract the deep features of small objects 702 and fuse them with the shallow features to improve the 703 robustness of features while ensuring the integrity of spatial 704 detail information. Experiment results in Table VI show 1.52 705 and 1.42 increases in Precise and Success, respectively. 706

Compared with base-A, base-AB improves the saliency 707 of the object in the spatial domain, weakens the response 708 of the similar surrounding background, and enhances the 709 dominant feature in the channel domain. Therefore, its Precise 710 outperforms ECO. However, due to its algorithm is not enough 711

to distinguish the moving interference object effectively, the 712 result of Success does not reach the ideal level. 713

Our complete tracking framework, base-ABC, further takes 714 the geometric constraint re-detection strategy, achieves a gain of 13.6 in precise score and 15.9 in success score in comparison with baseline. The GCRT-strategy uses time context 717 information to constrain accidental error. The hard-to-recover plight in remote sensing scenarios is alleviated.

In addition, the three proposed modules can also be effectively transferred to another baseline algorithm DIMP, denoted as DIMP-A, DIMP-B, and DIMP-C, respectively. They all bring about performance improvements in Success and Precision. This demonstrates the extensibility of the module.

For time complexity, as shown in Table VII, the baseline 725 ATOM is O(13.67E + 09), while the proposed network is 726 O(18.91E+09), which is 1.38 times that of ATOM. For space 727 complexity, the parameters of the baseline ATOM are about 728 T(11.16E+06), while the overall parameters of the proposed 729 network is about T(13.92E + 06). (i.e. CRFPF-module with 730 K = 3 is T(9.27E + 06)), so they are within an order of 731 magnitude. Compared with ATOM, our methods tracks about 732 6 fewer frames per second. The reduction of each part is as 733 follows: the CRFPF-module increases by 5 frames; in DSCA-734 module, the cross-correlation calculation in the collaborative 735 attention mechanism reduces by about 9 frames; the GCRT-736 strategy reduces by about 2 frames. Therfore, our proposed 737 methed keeps the overall speed within an order of magnitude 738 of ATOM while ensuring Success and Precision. 739

2) Experiment Analysis on RSSRAI Data Set.

The experimental results on RSSRAI data set are shown in 741 the Fig. 12 (c)(d). It can be seen that tracking methods fused 742

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TABLE VII: Model Complexity Analysis.

| | | Time Complexity | | Space C | omplexity |
|-----------------|------------|-----------------|--------------|-------------|-----------------|
| | | Backbone | Total | Backbone | Total |
| ATOM | Parameters | O(9.95E+09) | O(13.67E+09) | T(5.57E+06) | T(11.16E+06) |
| | Memory | 37.05 <i>GB</i> | 50.94GB | 21.23MB | 42.58MB |
| base-ABC (Ours) | Parameters | O(15.16E+09) | O(18.91E+09) | T(9.27E+06) | T(13.92E+06) |
| | Memory | 56.49 <i>GB</i> | 70.44GB | 35.35MB | 53.11 <i>MB</i> |

with deep learning like ATOM, DIMP is better than traditional 743 correlation filter, SVM frameworks. This is mainly due to the 744 background changes in some tracking sequences. For example, 745 when a vehicle drives to the transition between a dark asphalt 746 road and a bright bridge, the background suddenly changes. In 747 this way, the DIMP tracker with online learning capabilities 748 can learn the changes in time, and the tracking Success is 749 higher than the offline training tracker ATOM. 750

In addition, since the resolution of the RSSRAI data set 751 is 1.13m/pixel, the object blur is more serious. When re-752 tracking strategy is used alone, the accuracy of bounding 753 boxes obtained during regression is affected, although the 754 target object can be recovered. So Precision (i.e. 0.670) slightly 755 decreases by 0.004 compared with that of DIMP (i.e. 0.674) 756 even if its Precision improves. 757

According to the visualized results of tracking, it is found 758 that when the vehicle turns at an intersection, the vehicle 759 direction and shape are quite different from the initial state, 760 especially who with a long body. Therefore, the performance 761 of ECO in RSSRAI is not as good as that in IPIU. Moreover, 762 base-AB tracker we proposed uses the object information of 763 the template frame to strengthen the similar target area of the 764 frame to be tested, shields the interference of drastic changes 765 in the background to a certain extent, and improves the Success 766 by 5 percentage points. This factor limits the increase in 767 Precision. 768

From the point of view of speed, although image size of 769 RSSRAI is larger than that of IPIU and our tracking framework 770 still has the same level on FPS compared with deep learning 771 trackers, such as DIMP. 772

3) Experiment Analysis on UAV123^{*} Data Set.

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The experimental results are shown in the Fig. 12 (e)(f). 774 Since it belongs to a short-distance shooting by drones, images 775 contains more spatial detail information, and the shape and 776 scale of the target change more diversely, the ATOM and 777 DIMP trackers with IoU-Net branch perform pixel-level re-778 gression on the rough box during tracking process. In this case, 779 the performance is better than the ECO algorithm estimated 780 with multiple scales. Thereby, due to online updated classifier 781 module, DIMP perform better than the baseline ATOM on 782 UAV123* data set. So Success of DIMP and its extension 783 algorithm are higher than these of ATOM. DCF captures 784 object information through hand-designed features, so it has 785 good rotation invariance and robustness to the change of 786 view angle. While the object captured in UAV123* data set 787 has angle variability, so the results of DCF in UAV123* is 788 better than that of the previous two data sets. In the contrary, 789 DLSSVM is sensitive to appearance and shooting angle, and 790 its performance is not good enough as CF trackers. 791

In the ablation experiment, the object scene captured by 792

UAV123* is more complex, and it is more vulnerable to the 793 interference of the surrounding moving targets. Our base-A 794 can effectively extract the hierarchical robust features from the 795 shallow to the deep, and the overall base-ABC with GCRT-796 strategy can also effectively eliminate the surrounding moving 797 targets. The Precision and Success are improved by about 0.28 798 and 0.33 respectively on UAV123*. 799

IV. CONCLUSION

In this paper, we propose a collaborative learning network 801 applied to remote sensing video tracking. Experiments have 802 verified that CRFPF-module provides a idea to extract effective 803 hierarchical features especially from small objects; DSCA-804 module collaboratively learns the object commonality between 805 the template frame and the current frame, and uses spatial 806 channel correlation to highlight the weak target signal; GCRT-807 strategy provides a re-tracking strategy for remote sensing 808 of complex large scenes, reducing the interference of similar 809 moving objects. However, the training process of the DSCA-810 module relies on a large number of annotated tracking frames, 811 which limits the flexibility of the strategy in practical ap-812 plication; in addition, the efficiency of our algorithm is not 813 particularly high. In the future, we will further study on how 814 to lighten the structure of the backbone network, reduce the 815 dependence on the amount of training data, save computational 816 costs in the future. 817

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A Collaborative Learning Tracking Network for Remote Sensing Videos

IEEE Transactions on Cybernetics

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The Response to Editor-in-Chief

Dear Miss Li:

Based on the referee reports and the Associate Editor's recommendation, I regret to inform you that your paper cannot be accepted and have to be rejected. We hope that the attached reviews are useful to you in your research. If the reviewers have attached a PDF or text file review, you will need to retrieve it from your Author Center. Therefore, please check the Author Center for more information when appropriate.

In view of the reviews and recommendation of the Associate Editor, we would like to encourage you to revise the manuscript thoroughly to address the issues raised by the reviewers/Associate Editor and resubmit the revised version as a new submission when ready along with the paper ID as well as your specific responses to the reviewers.

Thank you for submitting to the IEEE Transactions on Cybernetics.

Sincerely,

Peng Shi, FIEEE, FIET, FIEAust

Editor-in-Chief, IEEE Transactions on Cybernetics

Authors' Response:

Dear Editor,

Please find our revised manuscript entitle "A Collaborative Learning Tracking Network for Remote Sensing Videos" (Manuscript No.CYB-E-2021-03-0587). We sincerely appreciate the reviewers' comments and feel encouraged by their positive feedback. For reviewers' concerns and their opinions on improving the manuscript, we have made point-to-point replies and corrections to the revised manuscript, and marked red in the revised manuscript.

Moreover, we also make the following improvements in the revised manuscript:

The point-to-point respond to the reviewer's comments are listed as following.

Should you have any questions, please contact us without hesitation. We are looking forward to your response.

Sincerely

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The Response to Associate Editor

Associate Editor Comments for Authors:

Comments to the Author:

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Three review reports have been received. All reviewers have some technical concerns about this manuscript. The authors are encourged to address all of their comments when preparing a revised version.

Authors' Response:

Dear Associate Editor:

Thank you for your letter and for the reviewers' comments concerning our manuscript entitled "A Collaborative Learning Tracking Network for Remote Sensing Videos" (Manuscript No.CYB-E-2021-03-0587). Those technical comments are all valuable and very helpful for revising and improving our paper, as well as the important guiding significance to our researches. We have studied comments carefully and have made correction which we hope meet with approval. Revised portion are marked in red in the paper. The main corrections in the paper and the responds to the reviewers' comments are as following:

1 Response to Reviewer 1

Comments:

This manuscript proposes a collaborative learning tracking network for remote sensing video. It mainly includes three parts, the authors first propose a consistent receptive field parallel fusion module (CRFPF) to deal with the challenge of small goals, then propose a dual-branch spatial-channel co-attention (DSCA) module, to uses the spatial-channel co-attention mechanism to collaboratively learn the relevant information of the Target Classifier and IoU Regression, finally use geometric constraint re-track strategy (GCRT) to trace back the results of previous frames to re-evaluate and correct the tracking results. In my opinion, the manuscript is well written and clearly understandable. The most attractive feature of this manuscript is its novelty, and the obtained results are convincing.

However, I have some questions and suggestions as follows.

Reviewer 1 Comment 1

For the challenge of small object, the current mainstream methods usually use feature pyramid for feature fusion among different feature levels. Why do the authors use image pyramid in input? What are the unique differences and advantages compared with the feature pyramid? In addition, what is the significance of keeping the consistent receptive field on the same level layer for all branches?

Response

First of all, we thank the Reviewer very much for the valuable comments about our manuscript. We are very sorry for not providing a clear and complete description of the image pyramid and consistent receptive field So we elaborate on this part and re-written it according to the Reviewer's suggestion.

1) The reason why we use image pyramid as input is:

In remote sensing videos, for a single resolution input image, the convolutional features of small objects will be lost as the neural network deepens, making it difficult to extract. Yet this is precisely the key for accurate identification and tracking. Therefore, we augment the input source image into a multi-resolution image pyramid structure to further enrich their semantic feature representation of small objects.

As we know, remote sensing images are usually very large in area, and the width and height may reach several thousand pixels. In comparison, our targets are almost extremely small objects with side lengths in the range of [5, 10] pixels. If a deep neural network is used to directly extract image features, the feature information will be drastically reduced as the network deepens or even loses. The small object features obtained in this way lack deep semantic information, so they have poor robustness in recognition. In order to retain the shallow detail features and obtain the deep semantic features simultaneously, we augment the original image to multi-resolution spaces and construct a series of image pyramid inputs. In this way, multi-level hierarchical features can be extracted in parallel, which enriches the feature space of small objects. Therefore, we utilize the image pyramid structure to address the challenge of small object feature extraction.

2) The difference between our image pyramid and the usual feature pyramid is:

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Feature pyramid takes a single source resolution image as input, which extracts multi-level features with different scales from different layers of a neural network. While image pyramid augments the source image into a series of multi-resolution images as input, and it extracts hierarchical features simultaneously with the same scale from different layers of multiple parallel branches.

In some image processing tasks such as segmentation or detection, feature pyramid is a common structure for extracting multi-scale features. These tasks are usually global recognition, requiring a good detector to recognize multiple categories objects at any scale widely. So feature pyramid structure must take targets of various scales into account simultaneously. The specific implementation method is to extract features of different scales from different layers of the network. As the network goes from shallow to deep, the feature scale also goes from large to small, forming a structure similar to a pyramid. This achieves the purpose of taking into account both large and small goals.

However, in our remote sensing object tracking task, the target to be tracked and detected is mainly a single designated extremely small object. Such as a moving vehicle, whose side length scale is about 5-10 pixels. Thus, the feature pyramid is not very suitable for our task scenario. In order to focus on the hierarchical features of small objects, we extend the original resolution image to multi-resolutions and form an image pyramid structure. In this way, we focus on extracting small object features and avoiding redundancy.

3) The advantage of image pyramid structure is:

Image pyramid structure can further extract rich hierarchical effective representation information, including semantic features for even extremely small objects. The original image is expanded to a multi-resolution image pyramid series, which expands multi-scale space for feature extraction of small objects. This designed image pyramid structure can extract local shallow detail features and deep semantic features at the same time, making subsequent tracking of remote sensing small objects more accurate and refined. Therefore, we use the image pyramid structure as input for feature extraction of small objects in specific remote sensing scenarios.

4) The significance of keeping the consistent receptive field on the same level layer for all branches:

For input image pyramid, after passing through different parallel general convolutional network branches, the actual scene regions corresponding to the receptive fields of the obtained features are not necessarily consistent. Even though the features' dimensions are of the same size (which can be directly fused in form), there is confusion and misalignment during feature fusion. In order to resist the misalignment phenomenon during the fusion of non-same branch features, we must maintain the consistent receptive field on the same level for all branches. Therefore, we perform precise calculations (Eq. (2)- Eq. (4) in the manuscript) to ensure that the actual scene regions of receptive fields corresponding to features are consistent. That is, consistent receptive fields allow features of different branches in the same level corresponding to the uniform real scene regions.

Considering the Reviewer's suggestion, we have carried out a more detailed and precise description in Section II A) in our manuscript. Special thanks for your valuable comments again!

Reviewer 1 Comment 2

Why do the authors use spatial co-attention in the Target Classifier branch, while use channel co-attention in the IoU Regression branch? Can these two different attention modules be exchanged between branches? If not, please give reasons.

Response

We thank the reviewer for your meaningful comments. We are very sorry for our unclear expression in Dual-branch Spatial-Channel Co-Attention Module.

1) The purpose of using the spatial co-attention mechanism in the Target Classifier branch is to enhance the saliency of small target areas with weak signals. In remote sensing images, with the existence of atmospheric media, light scattering, and other multiplicative noise, the boundary between the target signal and the surrounding background environment is blurred and difficult to distinguish. The task of the target classification branch is to identify the approximate area of the target in the spatial search area. Therefore, it is reasonable to use the spatial co-attention mechanism to find regions of interest by enhancing the signal of the weak target area.

2) The purpose of using the channel co-attention mechanism in the IoU Regression branch is to obtain an accurate regression box from the candidate area. These candidate regions are multi-channel features obtained by sampling around the region of interest. And then, they are pooled to a uniform size in the target classification branch. It is worth noting that these multiple channels of the features have different contributions to the accurate prediction of IoU: Some channels in the feature make the prediction of the regression box more accurate, and some channels may make the prediction box result worse. In order to adjust the proportion of the channel under the guidance of the accurate groundtruth box in the template frame, we design the channel co-attention module rather than the spatial co-attention module to help regress to precise target bounding boxes.

3) The two different attention modules between branches can not be exchanged.

Because the tasks of the two branches are different, the role of the co-attention mechanism of the two branches is different too. The Target Classification branch is to identify the approximate area of the target in the search area. It is necessary to find the approximate area of the target in the spatial domain according to the highest point of the probabilistic response. Therefore, the signal at the target needs to be enhanced spatially. In the IoU Regression branch, a set of candidate regions are sampled from rough target area. These candidate regions have very little and similar spatial information. While features in the channel domain are diverse, each channel has a different contribution to the accurate prediction of IoU. So we design a channel co-attention mechanism to guide the prediction of the target bounding box with the precise ground truth features of the template frame. In other words, we utilize channel co-attention to allocate the channel ratio of the current frame feature to maximize the use of accurate template ground truth information.

We revise our manuscript according to your suggestions in Section II B). Thank you for your comments to make our presentation clearer again.

Reviewer 1 Comment 3

In Figure 4, why are the settings of attenuation functions designed to be different? What's the difference between the two? Which is more relaxed? Why is it so designed?

1. Response to Reviewer 1

Response

Thank you very much for your valuable suggestions. We are very sorry for not writing clearly in this regard.

1) The reason we design different settings of attenuation functions designed are as follows:

We take the moving vehicle as a representative (i.e., one kind of object in our remote sensing videos) to analyze the motion characteristics of the tracking target. During the movement of the vehicle, there are various complex positional relationships with surrounding similar interfering vehicles. A single function is not conducive to accurately describing the scene in all different situations, such as distant interfering vehicles, nearby interfering vehicles moving toward or away from each other, and so on. Therefore, we analyze the motion state of the vehicles and design different decay functions under different situations.

2) The design principle of the attenuation function is as follows:

Specifically, compared with the broad global scene size in remote sensing videos, the displacement of objects such as vehicles between adjacent frames is minimal. So they are regarded as low-speed moving objects. The Euclidean distance of displacement (denoted R, calculated based on the center point of the target box) between two adjacent frames is usually less than the length of the object itself. Therefore, we can use the diagonal length of the object bounding box itself as a reference. When the displacement between two adjacent frames of the object is greater than the length of the diagonal line, it indicates that the tracking result does not conform to the motion criterion of the object, and mistracking is considered. We denote the diagonal length of the object bounding box as L, as shown in Eq. (1) (Eq. (19) in manuscript).

$$L = \sqrt{(w^t)^2 + (h^t)^2}$$
(1)

When R > L, we enable the re-tracking procedure to attenuate the signal of the interferer area. We design an attenuation function to obtain the attenuation factor φ . Since the attenuation factor φ needs to be multiplied by corresponding mask $S^p(B_{org}^t)$ to achieve interference signal suppression. It is observed that the value of the functional form $e^{-R/L}$ is in the interval [0, 1]. So it is equivalent to attenuating the unreliable detection object according to the distance. The larger R is, the closer φ is to 0, and the attenuation is more severe. The change law of the function is in line with the attenuation needs of the actual situation. So we take $\varphi = e^{-R/L}$ as the attenuation function for re-tracking. In this way, unreliable distant detection objects are weakened so that real objects can be tracked again.

When $R \leq L$ we continue to consider the case: If only $R \leq L$ is satisfied, it is not enough to judge whether the tracking result is the normal movement of the object, the common regression deviation, or the wrong tracking to the very close interference object. So the conditions for judging whether it is mistracking should be stricter. Therefore, the re-tracking conditions are not only limited by distance but also by angle. Through geometric mapping, we find that if the tracker mistakenly follows the similar interfering objects close to the target object, a displacement of $(0.5w_{target} + 0.5w_{interfer})$ will be generated at least. (Here, w represents the shortest side length of the object bounding box.) We approximately think that the size of the interferer is similar to the size of the target object. So we set A as a critical threshold as Eq. (2) (Eq. (20) in manuscript). Therefore, when distance relationship $A < R \leq L$ and angular relationship $\Delta \theta > \theta_0$ (details in Section II C)) are satisfied at the same time, we start the re-track procedure with the attenuation factor as $\varphi = e^{-R/A}$.

$$A = \min\left(w^t, h^t\right) \tag{2}$$

where w^t , h^t represent the width and height of the target bounding box.

When R < A, we consider the displacement as the object's normal motion or regression deviation. Therefore no re-tracking process is triggered, then $\varphi = 1$.

To sum up the above, the overall expression of the attenuation factor φ can be expressed as Eq. (3) (Eq. (18) in manuscript):

$$\varphi = \begin{cases} e^{-R/L} &, \quad R > L \\ e^{-R/A} &, \quad A < R \le L & \& \quad \triangle \theta > \theta_o \\ 1 &, \quad else \end{cases}$$
(3)

Similar with $\varphi = e^{-R/L}$ in the previous case of R > L, the attenuation function $\varphi = e^{-R/A}$ is also in the interval [0, 1] and exponentially decreases as R increases. However, they are slightly different in the design of the exponential coefficients.

3) The difference between the two attenuation function:

We make an intuitive comparison through the curve of the function. As shown in Fig. 1, the yellow curve shows the function $\varphi = e^{-R/L}$, and the blue curve shows the function $\varphi = e^{-R/A}$. Correspondingly, M and N are points on function $e^{-R/L}$; O and P are points on function $e^{-R/A}$. It can easily



Figure 1: Attenuation function curve comparison.

observe from the figure that both two functions are monotonically decreasing functions. When R > 0, the value range of the function is in the interval [0, 1]. As R increases, the smaller the attenuation factor φ is, the more severe the signal attenuation is. The rule conforms to the needs of the actual scene: when the displacement exceeds the threshold, the farther the distance is, the less likely it is to be the target.

The reason we use two exponential functions with different coefficients is to strike a balance between sensitively capturing false tracking results and avoiding allergy alarms. Suppose we use a uniform

attenuation function $e^{-R/L}$ indiscriminately, then when $R \leq L$, the value of the attenuation factor φ is relatively high (e.g. $\varphi_M > \varphi_O = \varphi_N$). Therefore, we replace the attenuation function in the interval $A < R \leq L$ with $e^{-R/A}$, so that the nearby interference can also be effectively attenuated without affecting the magnitude of the long-distance attenuation. In this way, the interference signals at different distances can be effectively attenuated during re-tracking, and the allergy alarm caused by the jitter of bounding boxes can be avoided.

4) Through the above description, the constraint in the case of R > L is more relaxed.

In the case of R > L, only distance relation constraint needs to be satisfied to start the re-track procedure. While in the case of $R \le L$, both the distance relation constraint $A < R \le L$ and the angular relation constraint $\Delta \theta > \theta_0$ need to be satisfied to execute the re-tracking procedure. So the constraint for R > L is more relaxed.

In general, the attenuation function we designed is in line with the actual situation. And we have re-written this part according to the Reviewer's suggestion in Section II C) in our manuscript. Thanks again for your wise opinion.

Reviewer 1 Comment 4

Is the angle range in the geometric constraint limited to a small acute angle In Figure 5? Is there an obtuse angle?

Response

Special thanks to you for your good comments. We apologize for the unclear description of the angle range in the manuscript.

In remote sensing videos, since the object to be tracked is usually a low-speed moving one, the Euclidean distance the object moves between two adjacent frames is generally less than the length of the object as the description above (i.e., $R \leq L$). Based on this rule of motion, after performing the geometric motion analysis shown in Fig. 2 (Fig. 5 in manuscript), we find that the diagonal angle θ_o of the vehicle body is the critical threshold when two different vehicles meet. Because the bounding box of the vehicle is a rectangle, it can be known from the geometric knowledge that the diagonal angle of the rectangle is in the range of [0, 90], so the critical angle in the angular determination should be acute.

Of course, this is the geometric rule of remote sensing objects under the condition of slow motion. If in other scenes, such as natural video or video with a low frame rate, the object may no longer meet the condition of slow motion, then it is possible that the critical angle value range is expanded to an obtuse angle. Therefore, when using the Geometric Constraint Re-Track Strategy (GCRT-Strategy) in other scenes, it is necessary to design the critical angle rule that meets the situation according to the motion law in the specific scene. We have already supplemented this point in Section II C).

Reviewer 1 Comment 5

In the verification experiment, whether the initialization of several comparison algorithms is consistent or not, note that the fairness of the experimental comparison algorithm is very important, please make further elaboration.



Figure 2: Display for several possible situations. (a) $R_1 > L$. (b) $A < R_2 < L$, $\theta^t - \theta_T^t$. It shows the situation when similar targets are moving towards each other in close distance; (c) $A < R_3 < L$, $\theta_T^t - \theta^t$. It shows the situation when similar targets are moving in the same direction. (d) It shows the normal situation that $R_4 < A$.

Response

Thank you for your careful comments. Indeed as you said, the fairness of the experimental comparison algorithm is very important. The experimental setup for all our comparison algorithms and our proposed algorithm is as follows:

1) We are conducting experiments on a unified experimental platform The overall experiments are conducted on a workstation with Intel Xeon(R) CPU E5-2650 v4 @ 2.20GHz NVIDIA TITAN Xp GPU. The proposed tracker and comparison algorithm are implemented on PyTorch deep learning platform.

2) In the comparison algorithm experiments, we compare multiple algorithms on multiple datasets, among which RSSRAI Data Set and UAV123 Data Set are public datasets.

Among these comparison algorithms, DCF, SAMF and DLSSVM are traditional algorithms with open source codes. The experimental results in the manuscript are obtained by our testing on their official source code.

For algorithms involving deep learning such as LCT, ECO and HDT, we adapt the open source code to the test data set without changing the network structure, and obtain the experimental results by fair testing.

For algorithms ATOM, DIMP and our algorithms, their frameworks are similar. So we use the consistent initialization of exact same settings: We uniformly use GOT-10K and $IPIU^T$ as the training data set. Input patches size are 72×72 pixels from image regions corresponding to 5 times the estimated target size. In each epoch, 60% samples are randomly selected from GOT 10K and the remaining

40% from IPIU^T. We sample image pairs from each sequence with a maximum interval of 30 frames uniformly, and each batch includes 26 image pairs. The learning rate are also same as 2×10^{-4} in backbone network and 1×10^{-3} in IoU predictor learning with the same training epochs.

3) We use the same tracker evaluation indicators to conduct fair comparisons of multiple data sets on the same platform.

As mentioned above, we try our best to ensure fairness in comparative experiments to more objectively verify the performance of our algorithm.

Thank you very much for your valuable suggestion again! In fact, your suggestions give us a lot of inspiration.

Additional Questions:

Summary of Evaluation: Good Organization: 4 Clarity: 4 Length: 3 References: 4 Correctness: 4 Significance: 4 Originality: 5 Attachments:4 If Survey Coverage:4 Contribution: 4

Please make very detailed technical and editorial comments and suggestions in your comments. If it is necessary to provide mathematical corrections, please email them to us as a pdf file. If you must get other information back to us that cannot be sent via email, please mail it to us. Your comments are an invaluable aid to the author to help in improving the overall technical quality, utility, and readability of the material. Such comments are not just useful, they are necessary to maintain the quality of the articles that are published in the SMC Transactions. Particular attention should be given to details that guide possible revisions, or that clearly explain reasons for rejection.:

What are the contributions of the paper?:

This manuscript proposes a collaborative learning tracking network for remote sensing video. It mainly includes three parts, the authors first propose a consistent receptive field parallel fusion module (CRFPF) to deal with the challenge of small goals, then propose a dual-branch spatial-channel co-attention (DSCA) module, to uses the spatial-channel co-attention mechanism to collaboratively learn the relevant information of the Target Classifier and IoU Regression, finally use geometric constraint retrack strategy (GCRT) to trace back the results of previous frames to re-evaluate and correct the tracking results. In my opinion, the manuscript is well written and clearly understandable. The most attractive feature of this manuscript is its novelty, and theobtained results are convincing.

What are the additional ways in which the paper could be improved?: I have some questions and suggestions as follows.

- For the challenge of small object, the current mainstream methods usually use feature pyramid for feature fusion among different feature levels. Why do the authors use image pyramid in input? What are the unique differences and advantages compared with the feature pyramid? In addition, what is the significance of keeping the consistent receptive field on the same level layer for all branches?
- Why do the authors use spatial co-attention in the Target Classifier branch, while use channel coattention in the IoU Regression branch? Can these two different attention modules be exchanged between branches? If not, please give reasons.

- In Figure 4, why are the settings of attenuation functions designed to be different? What's the difference between the two? Which is more relaxed? Why is it so designed?
- Is the angle range in the geometric constraint limited to a small acute angle In Figure 5? Is there an obtuse angle?
- In the verification experiment, whether the initialization of several comparison algorithms is consistent or not, note that the fairness of the experimental comparison algorithm is very important, please make further elaboration.

2 Response to Reviewer 2

Comments:

The authors propose a collaborative learning tracking network for remote sensing videos, including a consistent receptive field parallel fusion module (CRFPF), dual-branch spatial-channel co-attention (DSCA) module, and geometric constraint re-track strategy (GCRT).

However, there are some issues to be addressed.

Reviewer 2 Comment 1

In multi-resolution sampling, why does image patch K choose 3? Because the value of K is very important for the extraction of depth features, is there a need for parameter evaluation here?

Response

Thank you very much for your opinion. Indeed, K is worth choosing in feature extraction. Theoretically, the larger the value of K, the richer the extracted depth features. But at the same time it also bring about a multiplied increase in parameters and affects the speed of convergence.

We make some roughly selection of parameters for K, but they are not systematically presented in original manuscript. So according to your comment, we think it is very necessary to do a detailed parameter estimation of value K. The specific experimental design is as follows. In the experiments, the detailed structure of each branch \mathbb{B}_{I_k} is shown in Table 1 (please see the next page). Note that, when K takes a certain value, the overall network contains branches from \mathbb{B}_{I_0} to \mathbb{B}_{I_K} . Considering that our datasets have many objects of size around 5×8 pixels, we conduct an overall test on these data, and the experimental results are shown in the following Table 2 (please see the next page):

It can be seen that, at the beginning, the accuracy of the network and computational complexity both increase with increasing K value. When K = 3, the accuracy of the network has risen to a stable level. We consider that the network at this time has been able to sufficiently extract the deep features of small objects. When K > 3, the accuracy of the network is no longer improved, but the computational complexity is still multiplying. Therefore, according to the object size relationship in the experiments and considering the balance between the parameter amount and accuracy, K = 3 is suitable for our application scenario tasks. We choose K = 3 as the number of branches in the paper.

In fact, the value of K does not have to be fixed at 3. Its value can be adjusted according to the specific practical application. We believe that its value may be affected by factors such as the size of the object itself, the magnitude of the training dataset, and timeliness requirements. For the size of the object, if the object is small, K does not need to be very large to satisfy the mining of deep features. An excessively large K may not necessarily bring about gains in accuracy and may also cause network redundancy; If the amount of training data is not large enough, it may not be able to support the training of many branches caused by too large K; For the timeliness of the task, the user needs to balance the value of K between the accuracy and timeliness according to the training time requirements of the task.

Your comments are very valuable, we have added the experiments and corresponding analysis of K to Section III C) of the manuscript.

2. Response to Reviewer 2

| Branch | Branch Input Shape Output Shape | | Layer | r_*^* | stride | padding |
|----------------------|---|--------------|---|---------|--------|---------|
| | (36,36,3) | (18,18,64) | Conv[7×7,64] | 1 | 2 | 1 |
| \mathbb{B}_{I_0} | $\mathbb{B}_{I_0} \qquad (18,18,64) \qquad (18,18,64) \qquad \text{Conv} \Big[$ | | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times1$ | 1 | 1 | 1 |
| | (72,72,3) | (36,36,64) | Conv[7×7,64] | 2 | 2 | 3 |
| TR - | (36,36,64) | (18,18,64) | $Pool[3 \times 3]$ | _ | 2 | 1 |
| I_1 | (18,18,64) | (18,18,64) | $\operatorname{Conv}\left[\frac{3\times3,64}{3\times3,64}\right]\times2$ | 1 | 1 | 1 |
| | (144,144,3) | (72,72,64) | Conv[7×7,64] | 3 | 2 | 3 |
| | (72,72,64) | (36,36,64) | $Pool[3 \times 3]$ | _ | 2 | 1 |
| \mathbb{B}_{I_2} | (36,36,64) | (36,36,128) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,128\\ 3\times3,128 \end{array}\right]\times2$ | 2 | 1 | 1 |
| | (36,36,128) | (18,18,64) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times2$ | 1 | 2 | 1 |
| | (288,288,3) | (144,144,64) | Conv[7×7,64] | 7 | 2 | 3 |
| | (144,144,64) | (72,72,64) | $Pool[3 \times 3]$ | — | 2 | 1 |
| TD | (72,72,64) | (72,72,64) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times2$ | 4 | 1 | 1 |
| I_3 | (72,72,64) | (36,36,128) | $\operatorname{Conv}\left[\frac{3\times3,128}{3\times3,128}\right]\times1$ | 2 | 2 | 1 |
| | (36,36,128) | (18,18,64) | $\operatorname{Conv}\left[\frac{3\times3,64}{3\times3,64}\right]\times1$ | 1 | 2 | 1 |
| | (576,576,3) | (288,288,64) | Conv[7×7,64] | 19 | 2 | 3 |
| | (288,288,3) | (144,144,64) | $Pool[3 \times 3]$ | _ | 2 | 1 |
| | (144,144,64) | (144,144,64) | $\operatorname{Conv}\left[\frac{3\times3,64}{3\times3,64}\right]\times2$ | 9 | 1 | 1 |
| \mathbb{B}_{I_4} | (144,144,64) | (72,72,64) | $\operatorname{Conv}\left[\frac{3\times3,64}{3\times3,64}\right]\times1$ | 4 | 2 | 1 |
| | (72,72,64) | (36,36,128) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,128\\ 3\times3,128 \end{array}\right]\times1$ | 2 | 2 | 1 |
| | (36,36,128) | (18,18,64) | $\operatorname{Conv}\left[\frac{3\times3,64}{3\times3,64}\right]\times1$ | 1 | 2 | 1 |
| | (1152,1152,3) | (576,576,64) | Conv[7×7,64] | 47 | 2 | 3 |
| | (576,576,3) | (288,288,64) | $Pool[3 \times 3]$ | _ | 2 | 1 |
| | (288,288,64) | (288,288,64) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times2$ | 23 | 1 | 1 |
| TR - | (288,288,64) | (144,144,64) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times1$ | 11 | 2 | 1 |
| $\mathbb{T}^{I_{5}}$ | (144,144,64) | (72,72,128) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,128\\ 3\times3,128 \end{array}\right]\times1$ | 5 | 2 | 1 |
| | (72,72,128) | (36,36,64) | $\operatorname{Conv}\left[\frac{3\times3,64}{3\times3,64}\right]\times1$ | 2 | 2 | 1 |
| | (36,36,128) | (18,18,64) | $\operatorname{Conv}\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times1$ | 1 | 2 | 1 |

| Table 1: The specific structure of each branch \mathbb{B}_{I_1} in CRFPF-Module. | | |
|--|---------------------------------------|---|
| | Table 1: The specific structure of ea | ch branch $\mathbb{B}_{I_{1}}$ in CRFPF-Module. |

| Values of K | Target Classier Loss | IoU Loss | Total Loss | Time |
|----------------|-------------------------|----------|------------|----------|
| K=1 | 0.656 | 0.183 | 4.121 | 1h 27min |
| K=2 | 0.648 | 0.172 | 4.059 | 2h 13min |
| K=3 | 0.639 | 0.172 | 3.997 | 3h 3min |
| K=4 | 0.669 | 0.182 | 4.119 | 5h 51min |
| K=5 | 0.679 | 0.192 | 4.275 | 8h 32min |

Table 2: Performance comparison with different value of K.

Reviewer 2 Comment 2

The value of i in formula (4) should be: i=2,...,L.

Response

Thank you very much for your careful comments. After careful inspection, we find that there are indeed some omissions in the expression of formula (4). We are very sorry for not giving an accurate representation of the value of i. We have corrected and rewritten the formula following your comments as:

In fact, the upper part of the formula represents the case where i = 1, and the lower part represents the case where $i \ge 2$. So following your suggestion, we rewrite the formula into the following form:

$$\mathfrak{F}_{k}^{i} = \begin{cases} r_{k}^{i} \times (\mathcal{K} - 1) + 1 & , \quad i = 1 \\ \mathfrak{F}_{k}^{i-1} + [r_{k}^{i} \times (\mathcal{K} - 1) + 1] \cdot \prod_{p=1}^{i-1} stride_{k}^{p} & , \quad i \ge 2 \end{cases}$$
(4)

We have carefully checked other formulas in the manuscript to ensure that similar low-level mistakes do not recur. Thanks for your reminder to make our manuscript more rigorous.

Reviewer 2 Comment 3

What does the dot in formula (4) (5) represent? This should be multiplication, which needs to be explained.

Response

Thank you very much for your careful comments. We feel sorry for not make special explanation for the meaning of the dot in formula (5) (6) (i.e. formula (4)(5) in the manuscript). Indeed, as you said, the dot in the formula represent multiplication.

Specifically, in formula (5), the point represents the multiplication of two values, and in formula (6), the point represents the multiplication of the value and the matrix. They are all dot multiplications.

2. Response to Reviewer 2

We follow your suggestion and add supplementary explanation after the formula in our manuscript as follow:

1) For the formula (5) (i.e. formulas (4) in the manuscript):

The receptive field \mathfrak{F}_k^i of the *i*-th layer ($i = \{2, \dots, L\}$) is shown in following recurrence formula as formula (5), where the dot represent dot multiplication operations in maths.

$$\mathfrak{F}_{k}^{i} = \begin{cases} r_{k}^{i} \times (\mathcal{K} - 1) + 1 & , \quad i = 1 \\ \mathfrak{F}_{k}^{i-1} + [r_{k}^{i} \times (\mathcal{K} - 1) + 1] \cdot \prod_{p=1}^{i-1} stride_{k}^{p} & , \quad i \ge 2 \end{cases}$$
(5)

2) For the formula (6) (i.e. formulas (5) in the manuscript):

Therefore, we propose an adaptive feature fusion way to obtain the final fused feature F_s as follows.

$$F_{s} = \sum_{k=0}^{K} \lambda_{k} \cdot F_{k}^{L}$$

$$s.t. \quad \lambda_{k} = \frac{\beta_{k}}{\sum \beta}$$
(6)

where λ_k represents the fusion weight of feature F_k^L , which is normalized by β_k to interval [0, 1]. And the dot represent dot multiplication operations.

Thanks again for your careful comments!

Reviewer 2 Comment 4

There are many symbols in this paper, which leads to a hard follow for readers. For example, in Fig. 3, C should represent the number of bands, but in Fig. 2, C() represents convolution. I suggest that some parameters can be changed to more understandable statement, and re-phrase the whole paper to give a clearer description for each module.

Response

Thank you very much for your careful comments, and we are very sorry for our unclear notation. We have performed a comprehensive review of the entire manuscript, and have corrected the repetitions and misuse of symbols. For example, the specific changes as follows:

1) In Fig. 3 (Fig. 2 in manuscript), we correct the represent 'C' to 'Conv', so that the repetitions of convolution are consistent in the manuscript.

2) In Fig. 3 (Fig. 2 in manuscript), we change the represent of the input RGB image patch $\{R_0^0, R_1^0, \dots, R_k^0, \dots, R_K^0\}$ to $\{I_0^0, I_1^0, \dots, I_k^0, \dots, I_K^0\}$. The symbols involved in the text have also been changed uniformly.

In this way, the symbolic representation of the image input can be kept in a unified correspondence with the input in below Fig. 4 of manuscript.





Figure 3: Flowchart of CRFPF-Module. The input is I_1^0 and the output is fused feature F_{fuse} . Construction of Parallel Multi-Resolution Input is in the grey dashed circle. Feature Extraction with Consistent Receptive Field is in the blue dashed circle. Adaptive Feature Fusion are in the purple dashed circle.

3) In Eq. (2)(3)(4) (in manuscript), we replace \mathcal{K} (representing the convolution kernel size) with Ker to avoid confusion with the letter K representing the number of branches.

4) In Eq. (10) (in manuscript), we replace the letter A (representing a binary label) to letter G. The corresponding $a \in A$ is also replaced by $g \in G$. This avoids confusion with the letter A in Eq. (18) introduced in geometric constraint re-track strategy module.

5) For the simplicity and clarity of Eq. (11) in manuscript, we remove the subscript *i* of the letters ω_i , $y_i \mu_i$. The revised equation becomes:

$$L_{cls}(X_S^C, Y) = \sum (f(x_s^c; \omega) - y)^2 + \mu \|\omega\|^2$$
(7)

where, Y is the Gaussian sampling label centered at the object, X_S^C is the final attention feature, $y \in Y$ and $x_s^c \in X_S^C$. The value range of y is [0, 1]. ω is the weight of the fully convolutional layers, μ is the amount of regularization on ω .

6) Similar to the situation in 3), in order to avoid misunderstanding caused by the use of the letter K, we replace $X_C^0 \in \mathbb{R}^{K \times K \times C}$ with $X_C^0 \in \mathbb{R}^{J \times J \times C}$ (at line 320 and 326, page 5). That is to use the letter J instead of letter K to represent the spatial size of the feature.

7) The letter B of $\{B_{I_0}, \dots, B_{I_k}, \dots, B_{I_K}\}$ representing parallel convolution branches in consistent receptive field parallel fusion module is easy to be confused with the letter B representing region within bounding boxes in channel co-attention module and geometric constraint re-track strategy

module (E.g. B_g , B_e , B^t and B_{org}^t). Therefore, we use the different format \mathbb{B} to represent parallel branches, that is, $\{\mathbb{B}_{I_0}, \dots, \mathbb{B}_{I_k}, \dots, \mathbb{B}_{I_K}\}$.

Following your comments, we try our best to correct unclear expressions in each module to give a clearer description. Corrections not mentioned above are marked in the manuscript one by one.

Reviewer 2 Comment 5

There are many grammatical errors in the paper, please check the full text in detail.

Response

Thank you very much for your careful comments. We apologize for a lot of low-level mistakes in English usage that reduce the readability of the paper and cause inconvenience. We try our best to correct the language expressions in the manuscript. In addition, we also consult several experts English-speaking experts in the field. Some modifications are shown as follows:

1) For sentence (Line 36, page 1): Object tracking is one of the key technologies in video analysis and understanding applications, and many advanced trackers for natural videos have been proposed.

we corrected it to: Object tracking is one of the key technologies in video analysis and understanding applications. Many advanced trackers for natural videos have been proposed.

2) For sentence (Line 122, page 2): For the tracked results, we design a re-track strategy to judge whether the objects is false tracked through geometric constraint,

we corrected it to: For the tracked results, we design a re-track strategy to judge whether the objects are false tracked through geometric constraint.

3) For sentence (Line 269, page 4): We design the spatial co-attention map S^r to measure the similarity of Z_S^1 and X_S^1 in corresponding spatial position.

we corrected it to: We design the spatial co-attention map S^r to measure the similarity of Z_S^1 and X_S^1 in the corresponding spatial position.

4) For Sentence (Line 357, page 5): The indiscernible appearance of objects leads to the difficulty to retrieve objects once lost.

we corrected it to: The indiscernible appearance of objects leads to the difficulty of retrieving objects once lost.

Following your suggestions, we have split and rewritten the long sentences to express more clearly. For confusing sentences, we have rearranged and expressed them in a way that is easier to understand. Corrections not mentioned above are also marked with red font in the revised manuscript. Thanks again for your valuable comments.

Reviewer 2 Comment 6

The network designed in this paper involves a lot of parameters. How to deal with the computational complexity in the training process?

Response



Thank you very much for your valuable comments.

Figure 4: The overall process framework, including a consistent receptive field parallel fusion module (CRFPF), a dual-branch spatial-channel co-attention module (DSCA), and geometric constraint re-track strategy (GCRT).

Indeed, compared to the single-branch network framework, our multi-branch network has slightly more parameters. So we train the overall framework in a step-by-step manner to deal with the computational complexity. We follow the overall flow in Fig. 4 (Fig. 1 in manuscript) to explain our training process in detail:

1) Train the backbone network for feature extraction in CRFPF-module :

To construct the input to the network, we select two frames from the same video sequence in the training set within a fixed frame interval. For the pair of selected frames, we crop out the image patches that with several times (5 times in our experiments) the size of the object and centered on the bounding box. And we send them into the backbone network, that is CRFPF-module, with sharing weight. For the convenience of training, we add a classifier head after the backbone network.

According to quantitative calculation, the total number of parameters of the backbone network composed of parallel branches (when K = 3) is about 9.27 million, and the memory occupied is about 35.35MB. The memory of other backbone network commonly used in remote sensing tracking like ResNet is 21.23MB.

2) Train the Target Classfier and IoU Regression with Co-Attention in DSCA-module.

After the above backbone network training is completed, the parameters of this part are frozen in later training. Following, we train the subsequent Target Classfier and IoU Regression. Fused features from the above backbone network (that is the purple and the orange cubes) are delivered to dual co-attention module to obtain the classification response map and the IOU value of proposal regions. A trained Target Classfier can classify the feature map into target and background. Then the IoU Regression around the target to get an accurate tracking bounding boxes. At this point, the offline training process of the tracker is completed. And the number of our training sets is also sufficient to support the above training process.

According to our calculation, the total number of parameters of the Target Classfier branch with spatial co-attention module is about 2.52 million, and the memory is about 9.61MB. The total number of IoU Regression branch parameters with channel co-attention module is about 2.14 million, and the

memory is about 8.15MB. Since there are not many layers and channels set for each network block, the amount of parameters is not very large as it looks.

3) In the testing process, the template frame (which is the first frame) and the current frame, are sent into the above trained network in pairs to obtain the preliminary tracking results. At this time, GCRT-strategy judges the result of the tracking box. The geometric constraints are used to measure whether the tracking result is abnormal. If the re-tracking procedure is triggered, the saliency template is updated to retrieve the mistakes and the object will be re-tracked.

We greatly appreciate your opinion and add the analysis of network complexity with Table VII in revised manuscript. Indeed, it is found during the experiment that the network design of our algorithm is relatively complex. In our future research, we are preparing to further simplify the network structure to improve the efficiency of the tracker while maintaining the accuracy of the algorithm.

We have added the training procedure of the algorithm and the calculation of the amount of parameters, as well as the corresponding analysis, to the revised manuscript.

Reviewer 2 Comment 7

Fig. 2 is not explained in the paper. In particular, the meaning of each step in this figure should be marked with respect to the statement.

Response

Thank you very much for your useful suggestions. In the description of Consistent Receptive Field Parallel Fusion Module, we only focus on the structure and principle, but neglect the detail steps of the overall process. This caused confusion in understanding. Therefore, we complement the detail introduction of this module completely with reference to Fig. 3 (Fig. 2 in manuscript). The specific steps are as follows:

1. The first step of the process is the area within the gray dashed circle.

(a) The original image patch I_1^0 , enhanced by the red border, is the input of the module.

(b) Then a series of sampling rates $\{\alpha_0, \dots, \alpha_k, \dots, \alpha_K\}$ are calculated according to formula (1) (in manuscript).

(c) Finally, we perform multi-resolution sampling on the original image patch I_1^0 , and obtain a series of image patches $\{I_0^0, \dots, I_k^0, \dots, I_K^0\}$ as inputs for multiple parallel branches.

2. The second part is shown in the blue dotted line in Fig. 3.

(a) First, we build a standard convolutional network branch \mathbb{B}_{I_1} as the feature extraction branch of the initial image I_1^0 .

(b) Then, according to the principle of receptive field consistency set by formula (2)-(4) (in manuscript), we obtain multiple parallel branches \mathbb{B}_{I_k} , $(k = \{0, 1, \dots, K\})$ with given sampling rate α_k , $(k = \{0, 1, \dots, K\})$ and dilation rate r_1^1 of branch \mathbb{B}_{I_1} .

(c) Finally, the multiple resolution images patches $\{I_0^0, \dots, I_k^0, \dots, I_K^0\}$ are sent to their respective branches for feature extraction. So far, a series of hierarchical features $\{F_0^L, \dots, F_k^L, \dots, F_K^L\}$ are extracted.

3. The third step is the area within the purple dashed circle.

We adaptively fuse the hierarchical features $\{F_0^L, \dots, F_k^L, \dots, F_K^L\}$ obtained in 2) according to the fusion function expressed by formula (5)-(6) (in manuscript). The fusion feature F_{fuse} is obtained.

Indeed, as you said, the three subsections in our original manuscript are not intuitive enough to understand. Therefore, we modify the title of the subsection in the original manuscript as follows:

1) Construction of Parallel Image Pyramid Input.

2) Feature Extraction with Consistent Receptive Field.

3) Adaptive Feature Fusion.

At the same time, we describe Fig. 3 (Fig. 2 in manuscript) in detail, and add the corresponding explanation in the manuscript (e.g., Line 160-165, page 2; Line 206-215, page 3), so as to facilitate the reader's overall understanding of the module.

Thank you very much again. Your valuable comments are very meaningful and make our manuscript a greatly improvement.

2. Response to Reviewer 2

Additional Questions:

Summary of Evaluation: Fair Organization: 3 Clarity: 2 Length: 3 References: 4 Correctness: 3 Significance: 3 Originality: 3 Attachments:4 If Survey Coverage:4

Contribution: 3

Please make very detailed technical and editorial comments and suggestions in your comments. If it is necessary to provide mathematical corrections, please email them to us as a pdf file. If you must get other information back to us that cannot be sent via email, please mail it to us. Your comments are an invaluable aid to the author to help in improving the overall technical quality, utility, and readability of the material. Such comments are not just useful, they are necessary to maintain the quality of the articles that are published in the SMC Transactions. Particular attention should be given to details that guide possible revisions, or that clearly explain reasons for rejection.:

What are the contributions of the paper?:

The authors propose a collaborative learning tracking network for remote sensing videos, including a consistent receptive field parallel fusion module (CRFPF), dual-branch spatial-channel co-attention (DSCA) module, and geometric constraint re-track strategy (GCRT).

What are the additional ways in which the paper could be improved?:

- In multi-resolution sampling, why does image patch K choose 3? Because the value of K is very important for the extraction of depth features, is there a need for parameter evaluation here?
- The value of i in formula (4) should be: i=2,...,L.
- What does the dot in formula (4) (5) represent? This should be multiplication, which needs to be explained.
- There are many symbols in this paper, which leads to a hard follow for readers. For example, in Fig. 3, C should represent the number of bands, but in Fig. 2, C() represents convolution. I suggest that some parameters can be changed to more understandable statement, and re-phrase the whole paper to give a clearer description for each module.
- There are many grammatical errors in the paper, please check the full text in detail.
- The network designed in this paper involves a lot of parameters. How to deal with the computational complexity in the training process?

• Fig. 2 is not explained in the paper. In particular, the meaning of each step in this figure should be marked with respect to the statement.

3 Response to Reviewer **3**

Comments:

The paper is well written and the three proposed modules are novelty and effective. Extensive experiments have been conducted to verify the effectiveness of proposed modules in solving three challenging problems for remote sensing videos, i.e detecting small-size objects, distinguishing the objects and background, and eliminating interference of similar objects.

Reviewer 3 Comment 1

But if the authors can discuss the time complexity analysis of their method, it will be perfect.

Response

Thank you very much for your valuable comments. As you said, I am very sorry that we only count the test time of each algorithm, but ignore the detailed calculation and analysis of its time complexity. As you said, computing and analyzing the time complexity of the proposed algorithm is an important measure to evaluate the algorithm. Here, taking convolution as an example, the calculation formula of time complexity is as follows:

$$Time \sim O(\sum_{l=1}^{D} M_l^2 \cdot K_l^2 \cdot C_{l-1} \cdot C_l)$$
(8)

where D represents the overall number of layers of the network; M_l is the side length of the output feature map of the *l*-th layer; K_l is the side length of the convolution kernel of the *l*-th layer; C_{l-1} is the number of input channels of the *l*-th layer, which is also that of the output channels of the (l-1)-th layer; C_l is the number of output channels of the *l*-th layer.

Combining the network structure in Table II in the manuscript, we can calculate the time complexity of the proposed algorithm and the compared baseline algorithm ATOM as shown in Table 3. It can be seen from the results that the complexity of the proposed algorithm is generally higher than that of ATOM.

We analyze the reason: Compared with the single-branch backbone network of ATOM, the backbone network of the proposed algorithm is multi-branch, but the parameter quantity of each branch is less than that of ATOM branch. As shown in Table 3, the whole time complexity of ATOM is O(13.67E + 09), while the whole time complexity of the proposed algorithm is O(18.91E + 09). Among them, the backbone network time complexity of ATOM is O(9.95E + 09), and the backbone network time complexity of the proposed algorithm is O(15.16E + 09), which is 1.52 times that of ATOM.

It can be seen that their parameters are in the same order of magnitude. In addition, the proposed algorithm also introduces an co-attention mechanism and a re-tracking mechanism, which also takes up a certain time complexity.

Thank you very much for your valuable comments, we add the calculation and analysis of time complexity in the corresponding position of the manuscript (line 725-733, page 11). In the near future, we will do further research on the algorithm, aiming to improve the tracking accuracy while simplifying the network, thereby reducing the time complexity of the framework.

| | | Time Co | mplexity | Space Complexity | | |
|-----------------|------------|-----------------|-----------------|------------------|-----------------|--|
| | | Backbone | Total | Backbone | Total | |
| ATOM | Parameters | O(9.95E + 09) | O(13.67E + 09) | T(5.57E+06) | T(11.16E + 06) | |
| | Memory | 37.05 <i>GB</i> | 50.94 <i>GB</i> | 21.23 <i>MB</i> | 42.58 <i>MB</i> | |
| hass APC (Ours) | Parameters | O(15.16E + 09) | O(18.91E + 09) | T(9.27E+06) | T(13.92E + 06) | |
| base-ABC (Ours) | Memory | 56.49 <i>GB</i> | 70.44GB | 35.35MB | 53.11 <i>MB</i> | |





Figure 5: Comparison of different algorithms on IPIU data set. (a) Precision plot. (b) Success plot.

Reviewer 3 Comment 2

Besides, I am curious about whether the proposed three modules can also improve the performance of other comparative methods, not only ATOM. It will be more convinced to verify whether the three modules are model-agnostic.

Response

Thank you very much for your constructive comments. Indeed as you said, the original experiments in our manuscript only evaluated the performance of the proposed three modules with ATOM as the baseline. We are also curious about the performance of our proposed module on other comparative algorithms. Therefore, we select the deep learning tracker DIMP as an additional baseline, and respectively embed CRFPF-Module, DSCA-Module and GCRT-Strategy into it for supplementary experiments, to further verify the effectiveness and universality of each module.

For brevity in the experiment, CRFPF-Module embedded in DIMP is denoted as DIMP-A, DSCA-Module embedded in DIMP is denoted as DIMP-B, and GCRT-Strategy embedded in DIMP is denoted as DIMP-C. Therefore, the overall experimental results after supplementation are as follows:

As shown in Table 4 (Table VI in the manuscript) and Fig. 5-7 (Fig. 12 in the manuscript), overall in the three datasets, DIMP-A, DIMP-B, and DIMP-C have improvements in Success and Precision compared to the DIMP algorithm alone.



Figure 6: Comparison of different algorithms on RSSRAI data set. (a) Precision plot. (b) Success plot.



Figure 7: Comparison of different algorithms on UAV123* data set. (a) Precision plot. (b) Success plot.

| | IPIU | | | RSSRAI | | | UAV123* | | |
|-----------------|-----------|---------|---------|-----------|---------|---------|-----------|---------|---------|
| | Precision | Success | FPS | Precision | Success | FPS | Precision | Success | FPS |
| DCF [15] | 0.516 | 0.156 | 428.782 | 0.261 | 0.084 | 338.482 | 0.407 | 0.334 | 455.448 |
| SAMF [56] | 0.546 | 0.179 | 20.995 | 0.360 | 0.133 | 16.963 | 0.403 | 0.263 | 24.813 |
| DLSSVM [57] | 0.750 | 0.414 | 100.319 | 0.536 | 0.340 | 83.592 | 0.206 | 0.039 | 104.636 |
| LCT [58] | 0.544 | 0.040 | 35.795 | 0.348 | 0.159 | 33.697 | 0.394 | 0.274 | 43.012 |
| ECO [22] | 0.856 | 0.484 | 15.167 | 0.781 | 0.431 | 13.136 | 0.529 | 0.282 | 16.760 |
| HDT [23] | 0.266 | 0.008 | 46.817 | 0.168 | 0.031 | 31.672 | 0.153 | 0.013 | 51.864 |
| DIMP [27] | 0.468 | 0.215 | 18.361 | 0.674 | 0.441 | 14.733 | 0.661 | 0.612 | 22.612 |
| DIMP-A | 0.521 | 0.241 | 22.541 | 0.691 | 0.511 | 17.495 | 0.698 | 0.648 | 25.280 |
| DIMP-B | 0.505 | 0.224 | 17.230 | 0.675 | 0.479 | 14.933 | 0.681 | 0.612 | 20.957 |
| DIMP-C | 0.517 | 0.238 | 15.282 | 0.670 | 0.500 | 13.476 | 0.696 | 0.639 | 21.072 |
| ATOM [26] | 0.794 | 0.395 | 20.731 | 0.729 | 0.437 | 16.954 | 0.440 | 0.285 | 23.696 |
| base-A | 0.828 | 0.419 | 25.060 | 0.783 | 0.449 | 19.827 | 0.653 | 0.511 | 27.293 |
| base-AB | 0.877 | 0.454 | 16.103 | 0.784 | 0.494 | 14.932 | 0.661 | 0.599 | 19.692 |
| base-ABC (Ours) | 0.954 | 0.554 | 14.051 | 0.816 | 0.566 | 12.516 | 0.729 | 0.621 | 15.671 |

| Fable 4∙ | Ablation | Study an | d Performance | Comparison | Results of | Three Data Sets |
|----------|----------|----------|----------------|------------|------------|-----------------|
| | rolation | Study an | u i chionnance | Comparison | Results of | Thee Data Sets. |

Specifically, DIMP-A is embedded with the parallel multi-resolution feature extraction branches, thereby obtains robust hierarchical fusion features for small objects, so the Precision and Success are significantly improved; for DIMP-B, under the guidance of the dual-branch co-attention mechanism, Target Classfier and IoU Regression are more accurate, so the tracking accuracy is improved more; for DIMP-C, tracking mistakes are reduces due to the introduction of the geometric constraint re-track strategy, therefore Success is improved.

Note that, on RSSRAI data set, Success of DIMP-C (i.e. 0.500) is improved compared with that of DIMP (i.e. 0.441), but its Precision (i.e. 0.670) slightly decreases by 0.004 compared with that of DIMP (i.e. 0.674). Since the resolution of the RSSRAI data set is 1.13m/pixel, the object blur is more serious than the other two data sets. In this case, when re-tracking strategy is used alone, the accuracy of bounding boxes obtained during regression is affected. In this way, although the target object can be recovered (Success is improved), precision of bounding boxes obtained from regression is affected.

In addition, on UAV123* data set, Success of DIMP-A is improved to 0.648 compared to baseline DIMP (0.612). Since this data set is shot on drone platforms, there are a lot of flips, target deformation, and angle changes of view. This is different from satellite remote sensing videos with small changes in the angle of view. The DIMP baseline algorithm has an online updated classifier module, so its algorithm performance on UAV123* data set is overall better than the baseline ATOM algorithm.

In summary, the three proposed modules can also be effectively transferred to other tracking algorithms and bring about performance improvements. This further demonstrates the extensibility of the module. Based on your comments, we add the above experimental content and experimental analysis to the experimental section in the manuscript.

Thanks again for your valuable suggestion to make our experiment more complete and convincing.

3. Response to Reviewer 3

Reviewer 3 Comment 3

Although the proposed three modules have shown great advantages, DSCA-module needs the ground truth of the template frame by frame, which limits the flexibility of the strategy in practical application. In practice, it is hard and laborious to annotate the ground truth of each frame.

Response

Thank you very much for acknowledging our manuscript. Indeed, as you said, the training process of the DSCA-module relies on a large number of annotated tracking frames, which limits the flexibility of the strategy in practical application. Although with the emergence of more and more large-scale annotated public tracking datasets, this contradiction has been alleviated to a certain extent. But this is still one of the challenges faced by current deep learning trackers.

During testing, in order to use as little data as possible to achieve effective tracking, in fact, we only use the ground truth of the first frame as template to guide the learning of the attention mechanism.

In the online tracking process, the tracking result of the current frame is obtained from the pseudolabels of the previous frames and the ground truth of the template frame. And the tracking result of this frame is used as a pseudo-label to predict the next frame.

Thank you for this very instructive comment. In the future research, we will take this challenge as a meaningful direction to continue to improve the training and learning method of the framework, so that it can reduce the dependence on the amount of training data while maintaining the accuracy. Finally, we have supplemented the analysis and outlook in this direction in the conclusion section of the manuscript based on your comments.

Reviewer 3 Comment 4

Besides, the additional three modules plugin current model, e.g. ATOM, it will increase computational complexity and slow the speed of inference. In some practical scenarios, it will be limited by some low-computational devices, such as Unmanned Aerial Vehicle (UAV). All in all, the efficiency of their algorithm should be improved in the future.

Response

Thank you very much for your considerate comments. Indeed, computational complexity and algorithm efficiency are valuable directions for extending algorithm performance. The computational efficiency of our algorithm is indeed somewhat slower than the original model, even though they are in the same order of magnitude. When designing the model, we mainly focus on improving the tracking accuracy of small objects in remote sensing scenes, so we adopt a multi-branch parallel network structure, which increased the computational complexity of the network. In addition, the mechanism of re-tracking is added, which also limits the overall speed of the algorithm.

In the near future, we will further simplify the network structure to improve the efficiency of the tracker under the condition of ensuring the accuracy of the algorithm. For example, we are thinking of borrowing from the teacher-student network in distillation learning to lighten the structure of the test network, so that our tracking framework is also scalable on low-computational devices.

Correspondingly, we have added an analysis and outlook in this direction to the conclusion section of the manuscript.

3. Response to Reviewer 3

Additional Questions:

Summary of Evaluation: Good

Organization: 5

Clarity: 5

Length: 3

References: 5

Correctness: 5

Significance: 5

Originality: 5

Attachments:1

If Survey Coverage:4

Contribution: 4

Please make very detailed technical and editorial comments and suggestions in your comments. If it is necessary to provide mathematical corrections, please email them to us as a pdf file. If you must get other information back to us that cannot be sent via email, please mail it to us. Your comments are an invaluable aid to the author to help in improving the overall technical quality, utility, and readability of the material. Such comments are not just useful, they are necessary to maintain the quality of the articles that are published in the SMC Transactions. Particular attention should be given to details that guide possible revisions, or that clearly explain reasons for rejection.:

What are the contributions of the paper?:

In this paper, the authors propose a collaborative learning tracking network for remote sensing videos, including a consistent receptive field parallel fusion module (CRFPF), dual-branch spatial-channel co-attention (DSCA) module, and geometric constraint re-track strategy (GCRT), to solve three challenging problems, i.e detecting small-size objects, distinguishing the objects and background, and eliminating the interference of similar objects respectively. The extensive experimental results on multiple data sets demonstrate the effectiveness of their proposed three modules.

What are the additional ways in which the paper could be improved?:

Although the proposed three modules have shown great advantages, DSCA-module needs the ground truth of the template frame by frame, which limits the flexibility of the strategy in practical application. In practice, it is hard and laborious to annotate the ground truth of each frame. Besides, the additional three modules plugin current model, e.g. ATOM, it will increase computational complexity and slow the speed of inference. In some practical scenarios, it will be limited by some low-computational devices, such as Unmanned Aerial Vehicle (UAV). All in all, the efficiency of their algorithm should be improved in the future.

4 The End

We tried our best to improve the manuscript and made some changes in the manuscript. These changes will not influence the content and framework of the paper. And here we did not list the changes but marked in red in revised paper. We appreciate for Editors/Reviewers' warm work earnestly, and hope that the correction will meet with approval.

Once again, thank you very much for your comments and suggestions.