

# **Ipratropium is ‘luminally recycled’ by an interplay between apical uptake and efflux transporters in Calu-3 bronchial epithelial cell layers**

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## **ABSTRACT**

The mechanism by which quaternized anticholinergic bronchodilators permeate the airway epithelium remains controversial to date. In order to elucidate the role of drug transporters, ipratropium bidirectional transport as well as accumulation and release studies were performed in layers of the broncho-epithelial cell line Calu-3 grown at an air-liquid interface, in presence or absence of a range of transporter inhibitors. Unexpectedly, a higher transepithelial permeability was observed in the secretory direction, with an apparent efflux ratio  $> 4$ . Concentration-dependent and inhibitor studies demonstrated the drug intracellular uptake was carrier-mediated. Interestingly, monitoring drug release post cell loading revealed the presence of an efficient efflux system on the apical side of the cell layers. Acting in concert, apical transporters seem to promote the 'luminal recycling' of the drug and hence, limit its transcellular transport. The data are in agreement with an apical Organic Cation Transporter (OCT) being involved in this process but also suggest the participation of unknown uptake and efflux transporters sensitive to probenecid. This study suggests the absorption of ipratropium across the pulmonary barrier is primarily governed by paracellular passive diffusion but transporters might play a significant role in controlling the drug local concentrations in the lungs.

## **KEYWORDS**

Drug inhalation; pulmonary drug delivery; in vitro models; drug transporters; carrier-mediated transport; muscarinic M3 receptor antagonists

## **ABBREVIATIONS**

*a-b*: apical to basolateral; ALI: air-liquid interface; *b-a*: basolateral to apical; FITC: fluorescein isothiocyanate; HBSS: Hank's Balanced Salt Solution; IPR: isolated perfused rat lungs; MATE: multidrug and toxin extrusion transporters; MRP: Multidrug Resistance Protein; OAT: Organic Anion Transporters; OCT: Organic Cation Transporters;  $P_{app}$ : Coefficient of apparent permeability; TEA: tetraethylammonium; TEER: trans-epithelial electrical resistance.

## 1 **1. INTRODUCTION**

2 Anticholinergic bronchodilators are the first line therapeutic agents in the pharmacological  
3 management of Chronic Obstructive Pulmonary Disease (COPD)<sup>1</sup>. In order to maximise local drug  
4 concentrations in the lung with reduced systemic exposure, these drugs are administered by  
5 inhalation. Although their quaternary ammonium structure renders these molecules too polar to  
6 cross biological barriers, their engagement with the drug target on airway smooth muscles and  
7 their rapid absorption<sup>2,3,4</sup> ( $T_{\max} \sim 5$  minutes) following inhalation demonstrate their ability to cross  
8 the lung epithelial barrier. However, the absorption mechanism, i.e., drug transporter-mediated vs  
9 passive diffusion is not entirely clear.

10 The lungs express a range of transporters belonging to both the ATP-binding cassette (ABC) and  
11 solute carrier (SLC) families<sup>5,6</sup>. It has been hypothesised that polyspecific organic cation  
12 transporters (OCT/Ns) belonging to the SLC22 superfamily of drug carriers, may play a role in the  
13 lung disposition of the anticholinergic bronchodilators<sup>7</sup>. The short-acting ipratropium and the long-  
14 acting tiotropium are indeed recognised substrates for OCT1, OCT2 and OCTN2 while OCT3 only  
15 transports ipratropium and the OCTN1 subtype has a low affinity for both compounds<sup>8,9,10</sup>.  
16 Interactions between the more recently approved long-acting glycopyrronium and OCTs have not  
17 been systematically explored to date. Nevertheless, OCT1 and OCT2 are known to transport the  
18 drug<sup>8</sup>.

19 In agreement with uptake experiments in OCT/N transfected cells, it has been shown that both  
20 ipratropium and tiotropium are internalised by the human bronchial epithelial cell line BEAS-2B  
21 via an OCTN2-mediated mechanism<sup>11</sup>. In addition, the transporter was also reported to be involved  
22 in the accumulation of the short-acting bronchodilator in the tracheal epithelium of mice *in vivo*<sup>9</sup>.  
23 More recently, a study in various lung epithelial cell lines highlighted the role of the OCT/N

1 carriers in the intracellular accumulation of ipratropium, with different subtypes playing a  
2 prominent role depending on the cell line<sup>12</sup>.

3 However, in contradiction with drug uptake data, the absorption of ipratropium in isolated perfused  
4 rat lungs (IPRL) following intra-tracheal delivery was unaffected by a pre-administration of a high  
5 concentration of the drug or of the OCT1-3 inhibitor MPP<sup>+</sup>, suggesting it is primarily mediated by  
6 passive diffusion<sup>12</sup>. Drug uptake studies in undifferentiated lung cells and absorption measurement  
7 in intact lungs therefore led to contradictory conclusions regarding the role of drug transporters in  
8 the trans-epithelial permeability of anticholinergic bronchodilators.

9 Due to their low intrinsic permeability across cell membranes, charged molecules may exploit  
10 uptake and efflux transporters to enter or exit the cells, respectively<sup>13</sup>. To unravel the role of  
11 transporters in the permeability of drug molecules across biological barriers, epithelial monolayer  
12 systems are considered invaluable. For instance, the potential impact of carrier-mediated transport  
13 on the oral bioavailability of various cationic molecules was demonstrated in intestinal Caco-2  
14 monolayers<sup>14,15</sup>. However, to date, no such systematic investigation has been undertaken with  
15 inhaled cationic anticholinergic bronchodilators in a permeability model that anatomically  
16 represents the lung epithelium.

17 Amongst available human airway in vitro models, the bronchial epithelial cell line Calu-3 is the  
18 most extensively used for investigating drug transport characteristics<sup>16,17</sup>. When cultured at an air-  
19 liquid interface (ALI) on permeable supports, Calu-3 cells form tight layers that resemble the  
20 native bronchial epithelium<sup>18,19</sup> and are able to predict drug absorption in rat lungs<sup>20</sup>. Importantly,  
21 Calu-3 layers express the range of drug transporters found in normal human bronchial epithelial  
22 cell layers grown in similar conditions if maintained for 21 days at the ALI<sup>21</sup>. More specifically,  
23 we have shown that the same OCT subtypes were present in both models, i.e., OCT1, OCT3,

1 OCTN1 and OCTN2 with an OCT activity detected on the apical side of the Calu-3 layers<sup>22,23</sup>.  
2 Furthermore, the functionality of OCT1, OCT3 and OCTN2 has also been confirmed in  
3 undifferentiated Calu-3 cells<sup>24,25</sup>.  
4 In this study, we hypothesized that investigating the permeability characteristics of the  
5 anticholinergic bronchodilators in differentiated ALI Calu-3 layers would clarify the role of  
6 transporters in the disposition of the drugs across the lung epithelial barrier. The trans-epithelial  
7 transport of ipratropium, tiotropium and glycopyrronium was evaluated in the cell layers in both  
8 the absorptive and secretory directions. As data were similar for the three molecules, permeability  
9 measurements in presence of increasing drug concentrations or a range of transporter inhibitors  
10 were only performed with ipratropium. Bi-directional transport experiments were complemented  
11 with intracellular accumulation and release studies in order to gain a deeper understanding of the  
12 mechanisms involved in ipratropium trafficking across Calu-3 layers.

13

## 1 **2. EXPERIMENTAL SECTION**

### 2 **2.1. Materials**

3 Calu-3 cells were obtained from the American Type Culture Collection (Rockville, MD, USA)  
4 and used between passage 30 and 40. Twelve well polycarbonate Transwells<sup>®</sup> with 0.4  $\mu\text{m}$  pore  
5 size and a surface area of 1.12  $\text{cm}^2$ ) were purchased from Corning Costar (Kennebunk, US).

6 All the cell culture reagents and chemicals were procured from Sigma-Aldrich (Poole, UK). HPLC  
7 grade solvents for LC-MS/MS analysis were from Fisher Scientific UK. (Loughborough, UK).

### 8 **2.2. Cell culture**

9 Calu-3 cells were cultured in Dulbecco's modified Eagle's medium / Ham's F12 nutrient mixture  
10 (DMEM: F12) 1:1 supplemented with 10% v/v of foetal bovine serum (FBS, non USA origin and  
11 sterile filtered), 1% v/v of penicillin-streptomycin antibiotic solution, 1% v/v of 2 mM L-glutamine  
12 and 1% v/v of non-essential amino acids. They were maintained at 37°C in a humidified CO<sub>2</sub>  
13 atmosphere with medium changed every other day. Upon passaging, cells were seeded at a density  
14 of  $1 \times 10^5$  cells/cm<sup>2</sup> on Transwells<sup>®</sup>. After 24 h of incubation, they were raised at an ALI by  
15 aspirating the medium from both the apical and basolateral chambers and adding 500  $\mu\text{L}$  of  
16 medium in the basolateral chamber only. Thereafter, the cell culture medium was replaced every  
17 other day until day 21 post seeding when the differentiated cell layers were used for  
18 experimentation. The layer integrity was verified prior to and post experiments by measuring the  
19 trans-epithelial electrical resistance (TEER), using an EVOM meter with chopstick electrodes  
20 (World Precision Instruments, Stevenage, UK) after a 30 min incubation in Hank's Balanced Salt  
21 Solution (HBSS). Cell layers exhibiting TEER values greater than 500  $\text{ohm.cm}^2$  were selected for  
22 experimentation and only data obtained in layers which had maintained a TEER above this  
23 threshold at the end of the study were considered for analysis.

### 2.3. Transepithelial transport studies

The transport of ipratropium (10, 30, 100 and 300  $\mu\text{M}$ ), tiotropium (10  $\mu\text{M}$ ) and glycopyrronium (10  $\mu\text{M}$ ) across Calu-3 cell layers was measured in both apical to basolateral (*a-b*) and basolateral to apical (*b-a*) directions. The permeability of the passive diffusion marker metoprolol (10  $\mu\text{M}$ ) was assessed following the same protocol.

For *a-b* experiments, 0.55 mL of HBSS containing the test compound was added into the apical (donor) chamber of the Transwells<sup>®</sup> and the study was initiated by adding 1.5 mL of blank HBSS to the basolateral (receiver) chamber. For *b-a* experiments, 1.55 mL of HBSS with the test compound was placed in the basolateral (donor) compartment and the study was initiated by adding 0.5 mL of blank HBSS on the apical (receiver) side of the layers.

A 0.05 mL sample was collected from the donor compartments for determination of the initial concentration and the Transwell<sup>®</sup> plate was placed on an orbital shaker (60 rpm) inside the incubator (5% CO<sub>2</sub>, 37°C). Appearance of drug in the receiver compartments was monitored by collecting 0.3 mL or 0.1 mL samples for *a-b* and *b-a* experiments, respectively, after 0.5, 1, 2 and 4 h. At each time point, samples were replaced with an equal volume of blank HBSS. At the end of the experiment, 0.05 mL was collected from the donor compartments and all the study samples were stored at -20°C until analysis by LC-MS/MS.

In order to further investigate the role of drug transporters in ipratropium permeability across Calu-3 layers, these were pre-incubated with HBSS containing one of the following inhibitors: 1-methyl-4-phenylpyridinium (MPP<sup>+</sup>) - 500  $\mu\text{M}$ , tetraethylammonium (TEA) - 5 mM, L-carnitine - 1 mM, probenecid-100  $\mu\text{M}$  or verapamil-100  $\mu\text{M}$ , for 30 minutes. Each experiment was initiated by co-incubating the donor side of the cell layers with ipratropium and one test inhibitor in HBSS and adding HBSS containing the inhibitor in the receiver compartment. After each sample

1 collection, the volume collected was replaced with HBSS containing the inhibitor in order to  
2 maintain a constant concentration throughout the experiment.

3 The barrier properties of the Calu-3 layers were verified by measuring the permeability of the  
4 paracellular marker, fluorescein isothiocyanate–dextran (FITC-dextran, average molecular weight  
5 3,000-5,000 Da) at a concentration of 0.5 mg/mL following the experimental protocol described  
6 above. The fluorescent dye was also used to investigate the effect of organic cations on the  
7 paracellular space. In that case, its permeability was studied in the *a-b* direction, alone or during  
8 co-incubation with ipratropium (300  $\mu$ M), MPP<sup>+</sup> (500  $\mu$ M) or TEA (5 mM). The sample  
9 fluorescence was measured using a multimode microplate reader Spark<sup>®</sup> 10M (Tecan) at an  
10 excitation and emission wavelengths of 485 and 535nm, respectively and converted into FITC-  
11 dextran concentrations using a standard curve.

12 Permeability data were obtained from at least three layers and the apparent permeability coefficient  
13 ( $P_{app}$ ) was calculated using the equation below.

$$P_{app} = \frac{J}{A \times C_0}$$

16 J = flux (moles/sec)

17 A= surface area of the cell layers (1.12 cm<sup>2</sup>)

18 C<sub>0</sub> = initial concentration in the donor chamber (moles/mL)

#### 19 **2.4. Drug uptake studies**

20 The role of drug transporters on ipratropium cell uptake was investigated after drug exposure from  
21 both the apical and basolateral sides of the ALI Calu-3 cell layers. The incubation and experimental  
22 conditions were similar to those described above except that the drug solution was withdrawn from

1 the donor compartment after 5 min incubation and the cell layers were quickly washed with cold  
2 PBS (4°C), three times. The layers were excised from the Transwells® and collected into 1.5 mL  
3 tubes. Cells were lysed with the addition of chilled methanol containing glycopyrronium (5 nM)  
4 as an internal standard. The cell lysates were vortexed, centrifuged at 10,000 rpm for 10 minutes  
5 at 4°C and the supernatant collected were stored at -20°C until analysis.

6 For inhibitory experiments, the layers were subjected to a 30 min pre-incubation with MPP<sup>+</sup>, TEA,  
7 L-carnitine or probenecid at the same concentration as above, then exposed to ipratropium in  
8 presence of the test inhibitor in the donor compartment while HBSS containing the inhibitor was  
9 placed in the receiver compartment. Cell uptake in presence of the inhibitors is expressed as a  
10 percentage of drug accumulation in control conditions.

## 11 **2.5. Drug release studies**

12 For initial drug release studies, cell layers were pre-loaded with ipratropium (10 µM) from their  
13 apical side. After 45 minutes of incubation, the drug solution was aspirated from the donor  
14 compartment and the cell layers were given a quick wash with cold PBS, three times. They were  
15 then exposed to 500 µL HBSS from both the apical and basolateral sides. The release of the  
16 accumulated drug was studied by collecting 50 µL from both compartments over 2 h. Each sample  
17 was replaced with 50 µL blank HBSS.

18 Ipratropium release was then monitored in the presence of various inhibitors. The experimental  
19 design was similar to that mentioned above, except that cell layers were pre-loaded with  
20 ipratropium (10 µM) for only 5 minutes. After a quick wash with cold PBS, the cell layers were  
21 incubated with 500 µL HBSS with or without inhibitors on both their apical and basolateral sides.  
22 Samples were taken from the apical compartment and replaced with 50 µL of either blank or

1 inhibitor containing HBSS. The effect of inhibitors on drug release was presented as % of drug  
2 release in the control group.

### 3 **2.6. Bioanalysis**

4 The study samples (50  $\mu$ L) were processed with the addition of 150  $\mu$ L of chilled methanol  
5 containing 5 nM of the internal standard (tolbutamide for metoprolol, glycopyrronium for  
6 ipratropium; ipratropium for tiotropium and glycopyrronium). The samples were vortexed and  
7 centrifuged at 10,000 rpm for 10 minutes at 4°C. The resulting supernatant was mixed with 0.1%  
8 v/v formic acid (1:1 v/v) and 10  $\mu$ L of this was injected into the Quattro Ultima triple-quadrupole  
9 mass spectrometer (Micromass, UK) interfaced via an electrospray ionization probe with Agilent  
10 (1100 Series, Agilent Technologies) HPLC system . Working stocks were prepared in methanol  
11 and a 12 point calibration curve (CC) was prepared in HBSS ranging from 0.24 to 500 nM utilized  
12 for each analyte. Sample quantitation was achieved by fitting curves to a weighted linear regression  
13 ( $1/\text{concentration}^2$ ). Quality control (QC) samples prepared in blank HBSS were interspersed  
14 between study samples to monitor batch performance. Batch acceptance was set at within  $\pm 20$  %  
15 of the nominal concentration for each standard and QC sample.

16 Chromatographic separation was achieved by an ACE Excel 2 C18-AR (50 x 2.1mm) column with  
17 a mobile phase consisting of methanol: water with 0.1% v/v formic acid. A gradient  
18 chromatographic method was used, where the % of methanol was increased from 45 to 90 within  
19 2 minutes and maintained for 1 minute, before returning to the initial level of 45% within another  
20 3.5 minutes. The flow rate was set at 0.3 mL/min. Sample temperature was kept at 4°C, and  
21 column temperature was set at 40°C.

22 The retention time was determined as 1.1, 1.37, 2.4, 3.8 and 3.9 min for ipratropium, metoprolol,  
23 tiotropium, tolbutamide and glycopyrronium, respectively. Analytes were detected in the positive

1 ionization mode and data acquisition was carried out by using multiple reaction monitoring  
2 (MRM) as follows: ipratropium (332.16 > 165.89), metoprolol (268.5>115.9), tiotropium (392.06  
3 > 151.87), (tolbutamide: 271.2>172) and glycopyrronium (318.09 > 115.84). Instrument control  
4 and data acquisition were performed by Masslynx software packages (version 4.1). Data  
5 processing and analysis were performed using the QuanLynx software.

6

## 7 **2.7. Statistical analysis**

8 The results are presented as mean  $\pm$  standard deviation (n = 3-4 layers). GraphPad Prism version  
9 6 was used for statistical analysis. The normal distribution of initial transport and uptake data  
10 consisting of  $n \geq 5$  was confirmed and thereafter, assumed for all data sets. Unpaired t-test was  
11 used to compare two groups and ANOVA with Dunnett's multiple comparison test was used for  
12 more than 2 groups. A p value < 0.05 was considered as indicative of statistical significance.

13

## 1 **3. RESULTS**

### 2 **3.1. Transepithelial transport**

3 The bi-directional permeability of the three anticholinergic bronchodilators ipratropium,  
4 tiotropium and glycopyrronium was measured in ALI Calu-3 layers. These were deemed to exhibit  
5 appropriate barrier properties since the  $P_{app}$  of the paracellular marker FITC-dextran was measured  
6 as  $0.14$  and  $0.19 \times 10^{-7}$  cm/s in the *ab* or *ba* direction, respectively, i.e., was in the expected range<sup>18</sup>  
7 (Figure 1).

8 A similar asymmetrical transport was observed for all drugs with, surprisingly, the  $P_{app}$  ( $\times 10^{-6}$   
9 cm/s) in the *b-a* direction found to be significantly higher than in the opposite direction:  $1.27 \pm 0.1$   
10 vs.  $0.16 \pm 0.02$  for ipratropium;  $1.19 \pm 0.18$  vs.  $0.22 \pm 0.07$  for tiotropium and  $1.09 \pm 0.1$  vs  $0.23 \pm$   
11  $0.06$  for glycopyrronium, giving efflux ratios of 8.1, 5.5 and 4.8 respectively (Figure 2). In contrast,  
12 the transport of the transcellular passive diffusion marker metoprolol was one order of magnitude  
13 higher and similar in both directions, with an efflux ratio of 0.9 (Figure 1). Transport data were  
14 very reproducible as ipratropium  $P_{app}$  values were not statistically different when measured over  
15 three different passage numbers (Supplementary info 1).

16 As a common mechanism of transepithelial transport was suspected for the three bronchodilators,  
17 the study was pursued with only ipratropium. Concentration dependent permeability  
18 measurements showed a trend towards a decrease in *a-b* transport with an increase in the apical  
19 donor concentration, the  $P_{app}$  value being significantly lower at 100 and 300  $\mu$ M than at 10  $\mu$ M  
20 (Figure 3). In contrast, increasing ipratropium basolateral concentration up to 300  $\mu$ M had no effect  
21 on its secretory  $P_{app}$  (Figure 3).

22 Ipratropium transport across Calu-3 ALI layers was subsequently studied in presence of a range of  
23 drug transporter inhibitors. MPP<sup>+</sup> is known to inhibit OCT1-3 without affecting the OCTNs while

1 TEA interacts with all the OCT/N family members<sup>7</sup>. L-carnitine is a recognised inhibitor of the  
2 OCTN2 subtype and to a lesser extent of OCTN1<sup>7</sup>. Due to the apparent efflux observed, inhibitors  
3 of the ATP-binding cassette (ABC) family of transporters were also tested, although, to our  
4 knowledge, there is no indication in the literature that ipratropium might be one of their substrates.  
5 Verapamil is commonly used as a P-glycoprotein (P-gp) inhibitor<sup>26</sup> but has also been shown to  
6 interfere with the activity of a range of drug transporters, including some of the Multidrug  
7 Resistance Proteins (MRPs)<sup>27</sup>, multidrug and toxin extrusion (MATE) transporters<sup>28</sup> and all the  
8 OCTs<sup>7</sup>. Probenecid is known to inhibit the MRPs without affecting P-gp<sup>27</sup> and although it also  
9 interacts with the Organic Anion Transporters (OATs)<sup>29</sup>, we have shown previously that these  
10 proteins are not expressed in Calu-3 ALI layers<sup>21</sup>.

11 MPP<sup>+</sup> significantly reduced ipratropium  $P_{app}$  in both the absorptive and secretory directions.  
12 Interestingly, the extent of the reduction in permeability was similar in both directions; i.e., ~30%  
13 of the control group (Figure 4). Co-incubation of ipratropium with TEA also caused a significant  
14 decrease in both  $P_{app}$ , supporting a possible role of OCTs in its broncho-epithelial permeability.  
15 However, in contrast to MPP<sup>+</sup>, the decrease in permeability in the *b-a* direction was more  
16 pronounced than in the *a-b* direction with the  $P_{app}$  dropping to 23 or 45% of the control value,  
17 respectively (Figure 4). L-carnitine did not alter ipratropium transepithelial transport, ruling out  
18 an involvement of OCTN2 in the drug trafficking across the Calu-3 layers (Figure 4). Finally,  
19 when the permeability of ipratropium was assessed alongside verapamil or probenecid, both agents  
20 caused a significant decrease in its secretory transport without affecting the  $P_{app}$  in the *a-b*  
21 direction, thus abolishing the asymmetric transport observed in absence of inhibitors (Figure 4).

### 22 **3.2. Uptake studies**

1 In order to gain a better understanding of the role of transporters in ipratropium trafficking across  
2 ALI Calu-3 layers, drug uptake measurements were undertaken in presence or absence of  
3 transporter inhibitors. As verapamil is known to interact with multiple transporter families, it was  
4 not tested as an inhibitor in those studies.

5 Ipratropium cell-associated concentration was first quantified after a 5 min apical or basolateral  
6 exposure. That was significantly higher when the drug had been added in the apical chamber  
7 (Figure 5), likely as a consequence of carrier-mediated internalisation at the air interface.

8 Both the apical and basolateral uptake of ipratropium by Calu-3 layers was significantly inhibited  
9 to ~50% of the control in presence of MPP<sup>+</sup> (Figure 6). On the other hand, TEA caused a dramatic  
10 reduction (~14 % of control) in ipratropium uptake from the apical side without affecting the  
11 uptake from the opposite side (Figure 6). Probenecid impact on ipratropium internalisation was  
12 intriguingly similar to that of TEA. Indeed, it largely inhibited the apical uptake (~10% of control)  
13 but had no effect on the internalisation from the opposite side (Figure 6). This is in contradiction  
14 with its alleged interactions with an MRP transporter and indicates it interferes with the activity of  
15 an uptake transporter in Calu-3 layers.

### 16 **3.3. Drug release studies**

17 The ability of ipratropium to be secreted across either membrane of Calu-3 cell layers was assessed  
18 following a 45 minute pre-loading from the apical side. Indeed, the drug concentrations in the  
19 basolateral compartment were below the quantification limit of the LC-MS/MS method after a 5  
20 minute pre-exposure.

21 Ipratropium showed a preferential efflux into the apical compartment, the drug apical  
22 concentrations being ~ 13 - 60 times higher than in the basolateral chamber throughout the course

1 of the study (Figure 7), which confirmed the presence of a secretory mechanism across the apical  
2 membrane of Calu-3 layers, as suggested by the bi-directional transport studies.

3 The mechanism behind the efficient secretion of ipratropium at the air-epithelium interface was  
4 further explored by monitoring its release into the apical compartment following a 5 minute apical  
5 pre-incubation in the presence of inhibitors. Interestingly, both MPP<sup>+</sup> and TEA significantly  
6 reduced the release of intracellular ipratropium into the apical compartment to ~20 % of the control  
7 while probenecid caused a ~50% decrease (Figure 7). This showed that all three inhibitors  
8 impacted on transporters involved in both the uptake and release of ipratropium at the luminal side  
9 of the Calu-3 cell layers.

#### 10 **3.4. Effect of organic cations on FITC-dextran permeability**

11 Since it has been suggested organic cations might interact with negatively charged sites within the  
12 tight junctions, potentially affecting paracellular diffusion<sup>30</sup>, the permeability of FITC-dextran  
13 across Calu-3 layers was measured with or without ipratropium, TEA or MPP<sup>+</sup> in the test medium.  
14 FITC-dextran was selected as the paracellular marker due to its relatively high molecular weight.  
15 Any alteration of the tight junctions is likely to have a more significant impact on its trans-  
16 epithelial permeability than on that of a small molecule like mannitol or fluorescein.

17 The dye P<sub>app</sub> was unchanged in the presence of ipratropium or TEA but was significantly reduced  
18 by MPP<sup>+</sup> (Figure 8). This indicates the latest compound might partly obstruct the paracellular space  
19 upon binding to anionic components of the tight junctions. The impact of MPP<sup>+</sup> on ipratropium  
20 permeability in Calu-3 layers must therefore be interpreted with caution. In contrast, TEA and  
21 concentration dependent effects on the drug transport can be confidently ascribed to transporter  
22 inhibition.

23

#### 1 4. DISCUSSION

2 The permeation pathway of anticholinergic bronchodilators across the absorption barrier in the  
3 lung remains controversial to date. Based on in vitro drug uptake studies in undifferentiated cells,  
4 it has been proposed OCT/Ns facilitate their transport across the airway epithelium<sup>11</sup>. On the other  
5 hand, absorption studies in IPL failed to demonstrate an involvement of the transporters,  
6 suggesting it is primarily driven by passive diffusion<sup>12</sup>. Given the importance of anticholinergic  
7 bronchodilators in the management of COPD, gaining further insight into the mechanisms by  
8 which they are processed by lung cells could help optimising their therapeutic benefits.

9 In this study, we used ALI human broncho-epithelial Calu-3 layers, a physiologically relevant in  
10 vitro model<sup>18,19</sup> of intermediate complexity between undifferentiated lung epithelial cells and intact  
11 lungs, with the aim to elucidate the role of drug transporters in the pulmonary disposition of inhaled  
12 anticholinergics. A combination of transepithelial permeability and drug uptake/release  
13 measurements were performed in the layers, in presence or absence of drug transporter inhibitors.  
14 Our data highlights the existence of different drug transport mechanisms on the apical and  
15 basolateral surfaces of Calu-3 cells. It also reveals the absorption of anticholinergic  
16 bronchodilators across the bronchial epithelium is likely governed by a complex process involving  
17 an inter-play between paracellular passive diffusion and transporter mediated uptake/efflux across  
18 the apical cell membrane.

19 The three anticholinergic bronchodilators tested, i.e., ipratropium, tiotropium and glycopyrronium,  
20 exhibited a polarised transport across Calu-3 cell layers, with, unexpectedly, a higher permeability  
21 in the *b-a* direction (Figure 2). This implies the presence of a secretion mechanism across the layers  
22 that is more efficient than absorption processes facilitated by uptake transporters such as OCT/Ns.  
23 Permeability values were similar for the short acting bronchodilator, ipratropium and the long

1 acting bronchodilators tiotropium and glycopyrronium, reflecting their similar pulmonary  
2 absorption profile *in vivo*<sup>3</sup> and alluding to common disposition pathways across the epithelial  
3 barrier in the lung. Interestingly, a similar net secretion has been reported for the prototypical  
4 organic cation MPP<sup>+</sup> across Caco-2 layers<sup>31</sup>, which suggests different epithelia might handle  
5 quaternised molecules in a similar manner.

6 Increasing ipratropium donor concentrations caused a significant reduction in its permeability in  
7 the *a-b* direction while *b-a* transport was unaffected over the range investigated (Figure 3).  
8 Furthermore, the OCT inhibitors TEA and MPP<sup>+</sup> decreased the drug absorptive transport while all  
9 inhibitors tested but L-carnitine limited its secretory permeability (Figure 4). It is noteworthy that  
10 the effect of MPP<sup>+</sup> on trans-epithelial transport must be interpreted with caution due to its impact  
11 on FITC-dextran permeability (Figure 8). These observations nevertheless demonstrated drug  
12 transporters play a significant role in ipratropium asymmetric permeability across Calu-3 layers,  
13 although drug uptake and release studies were required to fully understand their contribution.

14 To account for a suspected high unspecific binding of the drug to cell membranes, ipratropium  
15 uptake in the layers was primarily evaluated in presence of inhibitors. However, the higher cell-  
16 associated concentrations measured after 5 min luminal exposure of the cells to the drug alone as  
17 compared to those after a basolateral exposure pointed towards a more efficient transporter-  
18 mediated drug uptake from the apical side (Figure 5). This assumption was confirmed by the  
19 reduction in uptake observed in presence of TEA, MPP<sup>+</sup> and probenecid (Figure 6). Interestingly,  
20 an extensive release of ipratropium in the apical chamber was measured post luminal loading of  
21 the cells with the drug which was, in addition, reduced by co-incubation with TEA, MPP<sup>+</sup> and  
22 probenecid (Figure 7). This efficient apical efflux mechanism can explain why, despite a  
23 transporter facilitated uptake from the apical side, the *a-b* permeability of ipratropium across Calu-

1 3 layers remains in the same range as that of low molecular weight paracellular markers such as  
2 mannitol or fluorescein<sup>16</sup>.

3 A plausible hypothesis arising from our data is that, in the absorptive direction, ipratropium is  
4 unable to significantly permeate Calu-3 layers by the transcellular route due to its incapacity to  
5 freely diffuse across biological membranes and the absence of an efficient efflux transporter on  
6 the basolateral side of the cells. Following transporter mediated uptake, the drug seemed to be  
7 shuttled back into the apical compartment, suggesting paracellular diffusion is the predominant  
8 process by which it permeates the epithelium. Interestingly, a similar 'luminal recycling' has been  
9 observed in the intestinal Caco-2 model with the antidiabetic drug metformin which, like  
10 ipratropium, is a hydrophilic cation with a low membrane permeability<sup>13</sup>. This phenomenon has  
11 been proposed to contribute to metformin relatively high oral bioavailability by creating a  
12 sustained concentration gradient across the intestinal absorption barrier that enhances its diffusion  
13 by the paracellular route. A similar modulation of ipratropium concentration gradients across both  
14 Calu-3 cell membranes by apical transporters might account for the reduction of its absorptive  
15 transport in the cell layers at high concentrations as well as by TEA and MPP<sup>+</sup> (Figures 3 & 4),  
16 whereas, overall, our data suggests it is primarily governed by paracellular passive diffusion. In  
17 contrast, ipratropium absorption in the IPRL was not influenced by a pre-administration of high  
18 concentrations of the drug or the OCT inhibitor MPP<sup>+</sup> twenty minutes before dosing<sup>12</sup>. This could  
19 be due to the small volume of lung fluid which, in the IPRL, maintains a high concentration  
20 gradient across the airway epithelium or to a rapid disappearance of the drug or inhibitor from the  
21 epithelium surface in the *ex vivo* model.

22 Importantly, Calu-3 layers provided a unique insight into the mechanism of drug transport from  
23 the blood circulation into the lung tissue, which is extremely challenging to investigate in a whole

1 lung model. Ipratropium basolateral uptake was reduced by MPP<sup>+</sup> (Figure 6), while its *b-a*  
2 transport was independent of the concentration over the range studied (Figure 3), which suggests  
3 a low affinity transporter might facilitate its entry into the cells from the basolateral side. One or  
4 several efflux transporters apically expressed could then recognise the drug, allowing its  
5 translocation across the luminal cell membrane. Our data indicate that, in the secretory direction,  
6 the transcellular transport route is rendered possible for ipratropium thanks to the collaboration of  
7 drug transporters expressed on both sides of the cell layers. Due to their high OCT-mediated renal  
8 clearance<sup>2,8,32</sup>, it is unlikely this process redistributes inhaled anticholinergics back into the lungs  
9 following their absorption into the bloodstream. However, such an inter-play between basolateral  
10 uptake transporters and apical efflux transporters might play a role in maintaining the pulmonary  
11 concentrations of other drug classes. It could also potentially be targeted to promote the  
12 accumulation of drugs in the lungs following their systemic administration.

13 Due to the complexity of the mechanisms controlling the disposition of ipratropium in Calu-3 cell  
14 layers and the non-specificity of the inhibitors, identifying the uptake and efflux transporters  
15 involved is extremely challenging. Nevertheless, studies with TEA, MPP<sup>+</sup> and L-carnitine support  
16 a role for an apically expressed OCT in the ‘luminal recycling’ of the drug. OCTs are indeed  
17 known to transport their substrates in both directions across the plasma membrane according to  
18 the concentration gradient<sup>7</sup>. The most probable candidate would be OCT1. Although ipratropium  
19 is a substrate for all three OCTs<sup>8-10</sup>, OCT2 is not expressed in ALI Calu-3 layers<sup>22</sup> and the drug  
20 concentration tested (10 μM) is above its recently reported inhibitory concentration against  
21 OCT3<sup>33</sup>. In contrast, OCTN2 was reported to be the main transporter responsible for the  
22 intracellular accumulation of the drugs in the BEAS-2B cell line and mice tracheal epithelial cells  
23 *in vivo*, likely because its expression is high in those cells in comparison to that of the OCTs<sup>9,11</sup>.

1 Moreover, although OCTN2 was shown to be functional in undifferentiated Calu-3 cells<sup>25</sup>, it is  
2 unclear whether its activity is maintained when cells are cultured at an ALI.

3 The bidirectional ipratropium permeability data with/without inhibitors can nevertheless not be  
4 fully interpreted considering the sole activity of OCT1 on the luminal side of the cells. Inhibition  
5 studies with probenecid suggest the presence of an unknown uptake transporter together with an  
6 efflux transporter sensitive to the drug at the air-epithelium interface. Intriguingly, although no  
7 data were presented, Koepsel et al noted in their 2007 review that probenecid inhibits the OCTs  
8 without being transported<sup>7</sup>. In light of our study, the interactions of probenecid with transporters  
9 of organic cations warrant further investigation. It can nevertheless be speculated that the  
10 unidentified apical transporters might be members of the OCT or MATE families. MATE  
11 transporters have been reported to efflux cationic drugs across the apical membrane of hepatocytes  
12 and tubular renal cells<sup>34</sup>. Ipratropium has very recently been recognised as a MATE substrate<sup>33</sup> and  
13 verapamil, which significantly decreased ipratropium transport in the *b-a* direction (Figure 4) is  
14 an inhibitor<sup>28</sup>. Furthermore, interactions between anionic drugs and the transporters have been  
15 reported<sup>35</sup>. It is however currently unknown whether MATE transporters are expressed in ALI  
16 Calu-3 layers or even, in the bronchial epithelium. Similarly, the MPP<sup>+</sup> sensitive basolateral uptake  
17 transporter remains to be unravelled. This is unlikely to be an OCT member since its activity is  
18 unaffected by TEA (Figure 6).

19 The clinical relevance of our findings in differentiated Calu-3 layers remains to be determined.  
20 However, if the carrier-mediated ‘luminal recycling’ of ipratropium observed *in vitro* also occurs  
21 *in vivo* in epithelial cells, it may participate in the control of the local drug concentrations in the  
22 lung tissue by creating a ‘drug depot’ in the airway epithelium. This could influence exposure of  
23 the smooth muscles to ipratropium and therefore, the drug therapeutic efficacy. Furthermore, the

1 probable involvement of different drug transporters in the disposition processes of anticholinergic  
2 bronchodilators in the lung raises questions around the potential impact of genetic mutations on  
3 patients' response to the drugs.

#### 4 **5. CONCLUSION**

5 Bidirectional transport and uptake studies in ALI Calu-3 layers were able to reconcile conflicting  
6 absorption data in undifferentiated lung epithelial cells and in intact lungs previously reported for  
7 the anticholinergic bronchodilators. The data confirm the absorption of ipratropium across the  
8 pulmonary barrier is unlikely to be significantly facilitated by drug transporters after pulmonary  
9 delivery. However, they highlight a potential role of both uptake and efflux transporters in  
10 modulating local drug concentrations in the lungs, which might have implications for the  
11 development of future inhaled drugs. In addition, this study showed that the use of simple yet  
12 anatomically relevant cell culture models of the airway epithelium is essential to gain  
13 understanding on the mechanisms controlling drug disposition in the lungs.

14

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19

1 **FIGURE LEGENDS**

2 **Figure 1.** Coefficient of apparent permeability ( $P_{app}$ ) of FITC-dextran-0.5 mg/mL, (A) and  
3 metoprolol-10  $\mu$ M (B), measured in 21 day old Calu-3 cell layers cultured under air-liquid  
4 interface (ALI) conditions in the apical to basolateral (ab) and basolateral to apical (ba) direction.  
5 Data are presented as the mean  $\pm$  SD (n= 3-4 layers).

6 **Figure 2.** Coefficient of apparent permeability ( $P_{app}$ ) of ipratropium, glycopyrrolate and tiotropium  
7 measured in 21 day old air-interfaced Calu-3 cell layers, in the apical to basolateral (ab) and  
8 basolateral to apical (ba) direction at a concentration of 10  $\mu$ M. Data are presented as the mean  $\pm$   
9 SD (n=4 layers). \* indicates a statistically significant higher permeability in the ba than ab  
10 direction (p<0.05)

11 **Figure 3.** Coefficient of apparent permeability ( $P_{app}$ ) of ipratropium measured over a range of  
12 concentrations (10 - 300 $\mu$ M) in 21 day old air-liquid interfaced Calu-3 layers in the apical to  
13 basolateral direction (A) and in the basolateral to apical direction (B). Data are presented as mean  
14  $\pm$  SD (n=4 layers). \* indicates a statistically significant lower permeability than at a drug  
15 concentration of 10  $\mu$ M (p<0.05).

16 **Figure 4.** Coefficient of apparent permeability ( $P_{app}$ ) of ipratropium measured at a concentration  
17 of 10  $\mu$ M in the apical to basolateral (A) or the basolateral to apical (B) direction in 21 day old air-  
18 interfaced Calu-3 layers in the presence of transporter inhibitors (LC: L-carnitine, VP: verapamil,  
19 PB: probenecid). Data are presented as mean  $\pm$  SD (n= 3 layers).\* indicates a statistically  
20 significant lower permeability than in the control groups (p<0.05).

21 **Figure 5.** Cell associated concentrations (nmol/mg of protein) of ipratropium following incubation  
22 for 5 minutes in 21 day old air-interfaced Calu-3 cell layers, in the apical to basolateral (ab) and

1 basolateral to apical (ba) direction at a concentration of 10  $\mu$ M. Data are presented as the mean  $\pm$   
2 SD (n=4 layers). \* indicates a statistically significant lower cell associated concentration in the ba  
3 than ab direction (p<0.05)

4 **Figure 6.** Ipratropium uptake from the apical (A) or basolateral (B) side of 21 day old air-  
5 interfaced Calu-3 layers in the presence of transporter inhibitors. Data are presented as mean  $\pm$  SD  
6 of 3 or 4 layers.\* indicates a statistically significant lower uptake as compared to the control groups  
7 (p<0.05).

8 **Figure 7.** Release of ipratropium from 21 day old air-interfaced Calu-3 layers into the Transwell<sup>®</sup>  
9 chambers following an apical pre-loading at a concentration of 10  $\mu$ M. (A) drug concentrations  
10 measured in the apical or basolateral chamber over time following 45 min of pre-loading; (B)  
11 effect of transporter inhibitors on the apical release following 5 min of pre-loading. Data presented  
12 as mean  $\pm$  SD (n=4 layers). \* indicates a statistically significant lower release as compared to the  
13 control (p<0.05)

14 **Figure 8.** Coefficient of apparent permeability ( $P_{app}$ , as % of control) of FITC-dextran measured  
15 in the apical to basolateral direction at a concentration of 0.5 mg/mL in 21 day old air-interfaced  
16 Calu-3 layers in presence of the organic cations ipratropium (300  $\mu$ M), TEA (5 mM) and MPP<sup>+</sup>  
17 (500  $\mu$ M). Data presented as mean  $\pm$  SD (n=3 or 4 layers). \* indicates a statistically significant  
18 lower permeability as compared to the control (p < 0.05)

19

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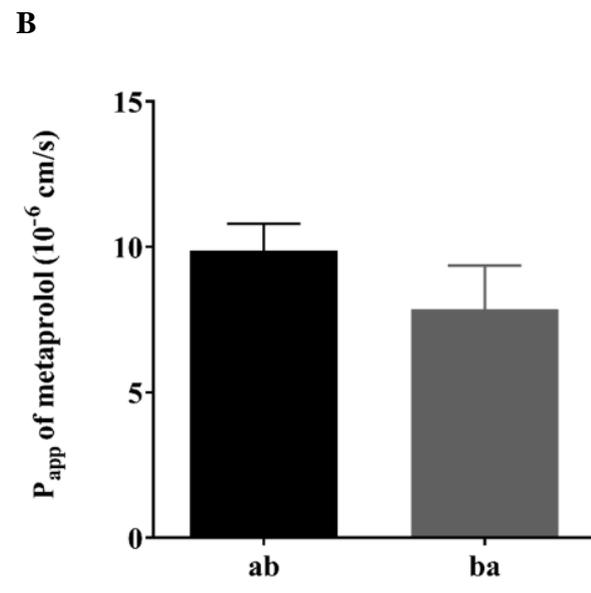
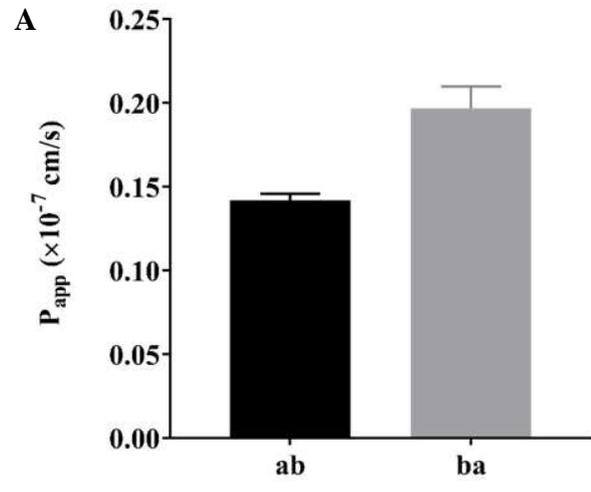
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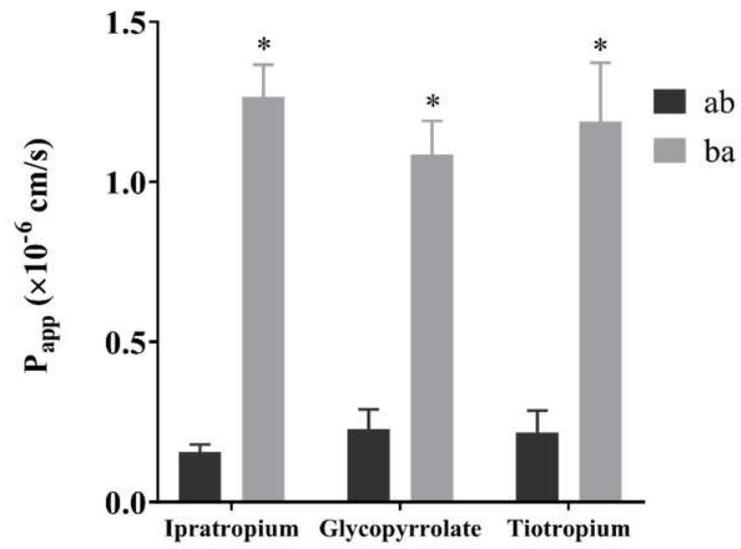
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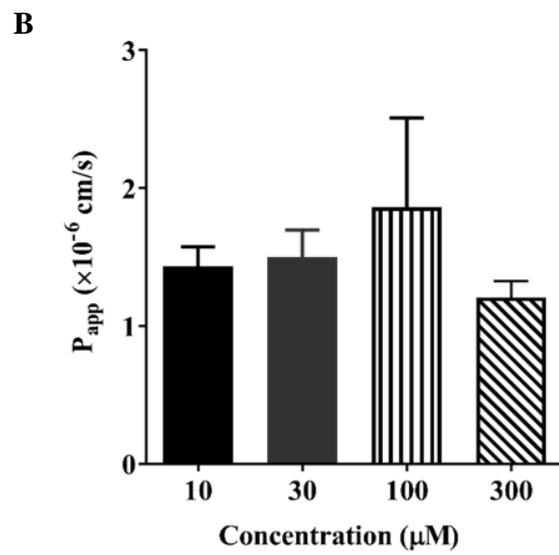
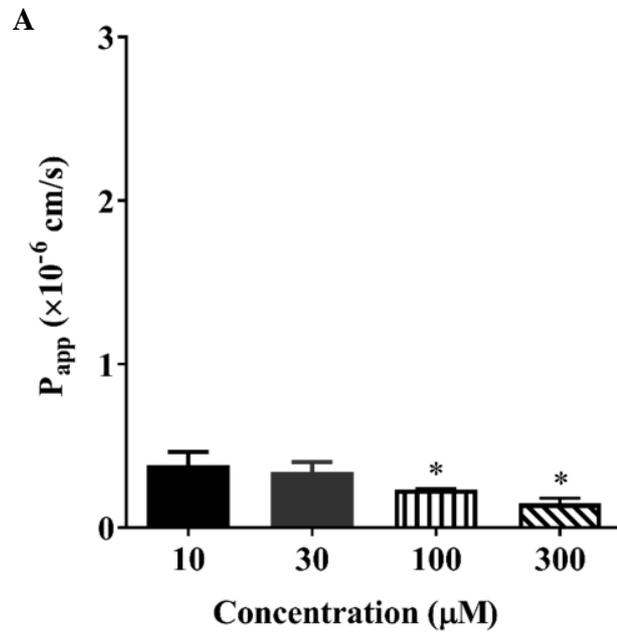
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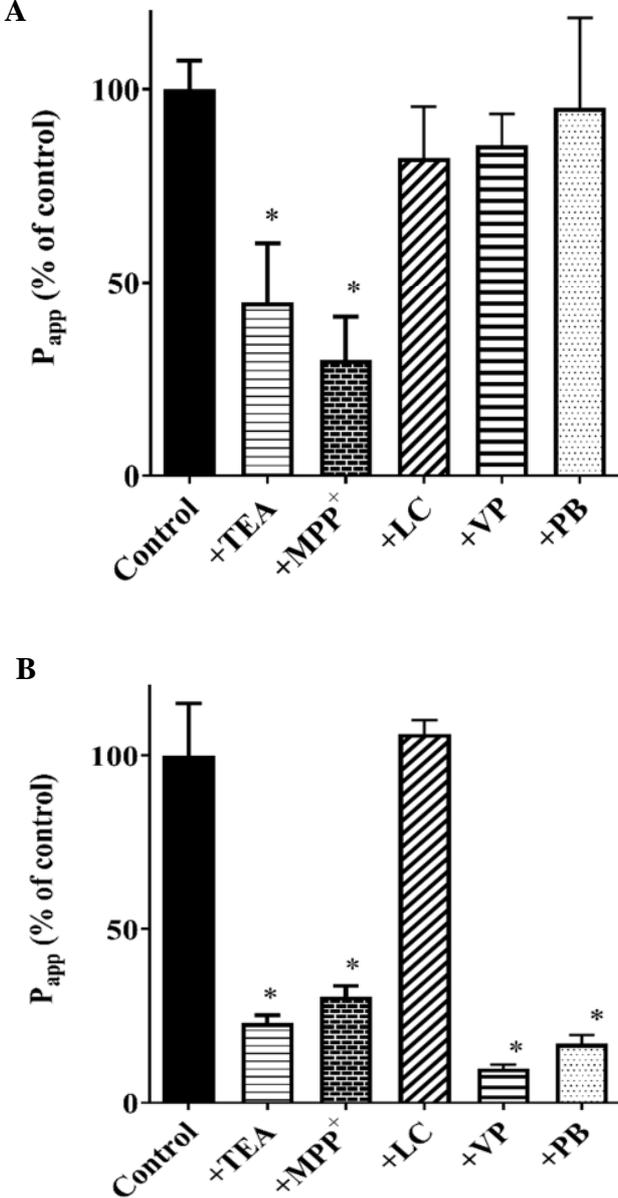
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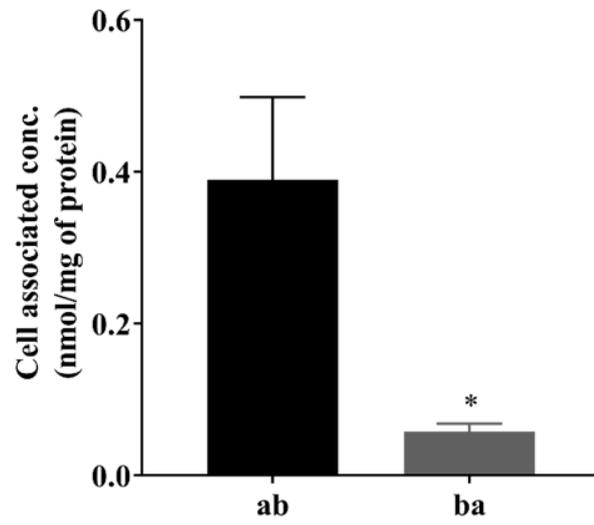
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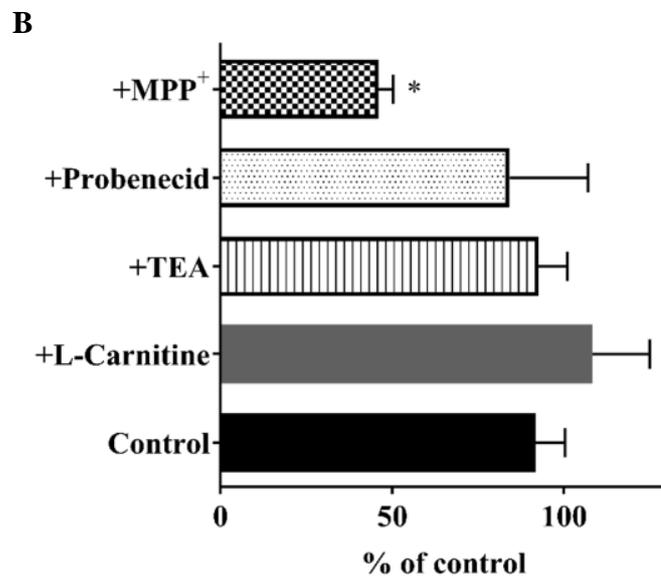
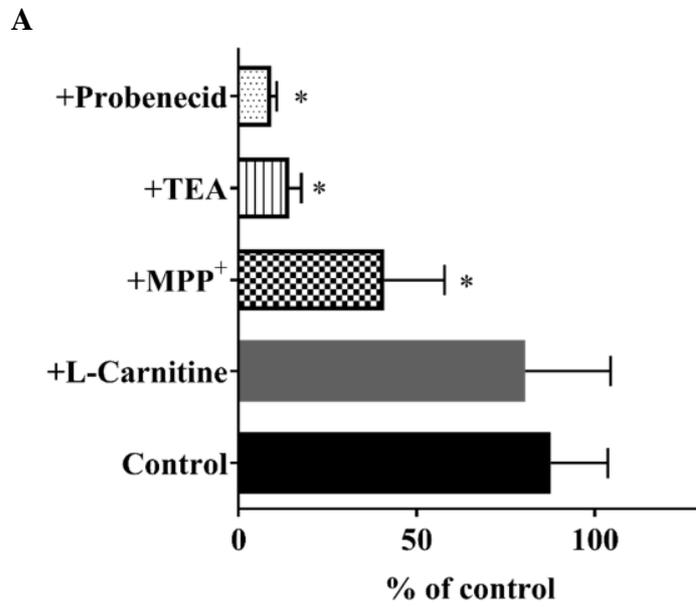
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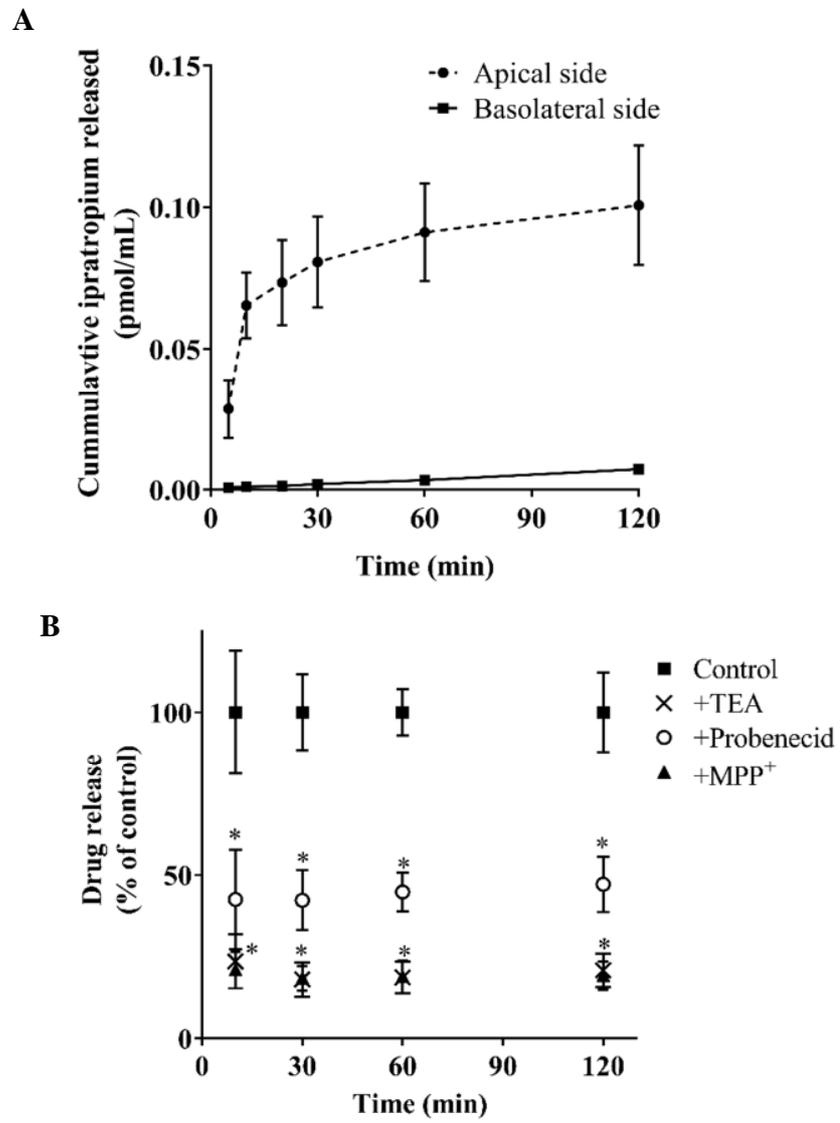
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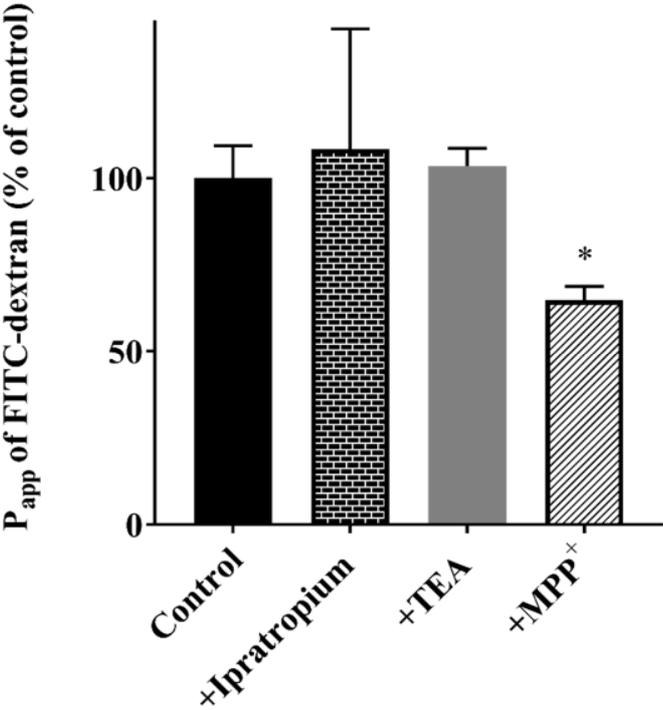
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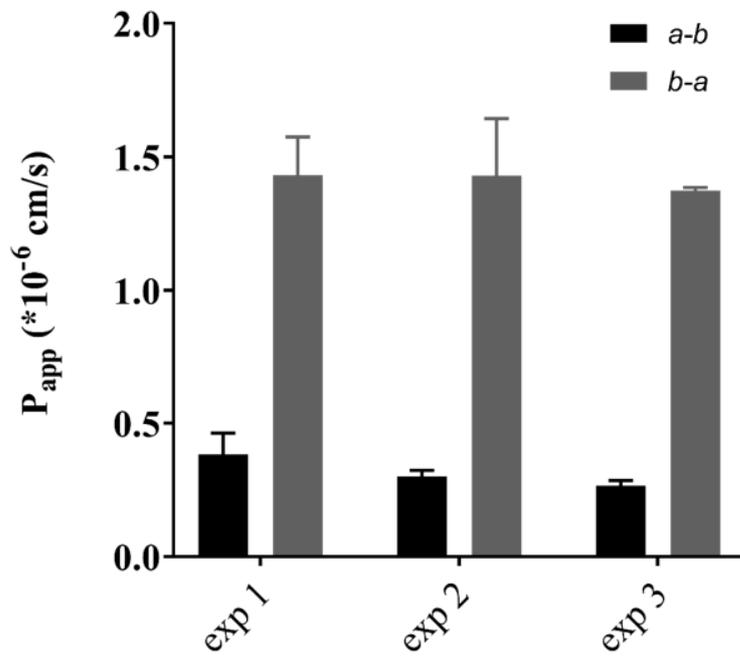


Panduga et al, Figure 7



Panduga et al, Figure 8





**Figure SM1.** Coefficient of apparent permeability ( $P_{app}$ ) of ipratropium measured in 21 day old air-interfaced Calu-3 cell layers, in the apical to basolateral (ab) and basolateral to apical (ba) direction at a concentration of 10  $\mu$ M. Data were collected in three independent experiments in layers at three different passage numbers and presented as the mean  $\pm$  SD (n=3-4 layers).