

1 **The Risk of Community-Acquired Pneumonia Among 9803**  
2 **Patients with Coeliac Disease Compared to the General**  
3 **Population: a Cohort Study**

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12 **Running title:** pneumonia risk and coeliac disease

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20 **Key words:** pneumonia, coeliac disease, vaccination, pneumococcal pneumonia

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1 **Abstract**

2 **Background:** Coeliac Disease (CeD) patients are considered as individuals for whom  
3 pneumococcal vaccination is advocated.

4 **Aim:** To quantify the risk of community-acquired pneumonia among CeD patients, assessing  
5 whether vaccination against streptococcal pneumonia modified this risk.

6 **Methods:** We identified all CeD patients within the Clinical Practice Research Datalink  
7 linked with English Hospital Episodes Statistics between April 1997 and March 2011 and up  
8 to 10 controls per CeD patient frequency matched in 10-year age bands. Absolute rates of  
9 community-acquired pneumonia were calculated for CeD patients compared to controls  
10 stratified by vaccination status and time of diagnosis using Cox regression in terms of  
11 adjusted hazard ratios (HR).

12 **Results:** Among 9,803 CeD patients and 101,755 controls, respectively there were 179 and  
13 1864 first community-acquired pneumonia events. Overall absolute rate of pneumonia was  
14 similar in CeD patients and controls: 3.42 and 3.12 per 1000 person-years respectively (HR  
15 1.07, 95% CI 0.91-1.24). However, we found a 28% increased risk of pneumonia in CeD  
16 unvaccinated subjects compared to unvaccinated controls (HR 1.28, 95% CI 1.02-1.60). This  
17 increased risk was limited to those younger than 65, was highest around the time of diagnosis  
18 and was maintained for more than 5 years after diagnosis. Only 26.6% underwent  
19 vaccination after their CeD diagnosis.

20 **Conclusions:** Unvaccinated CeD patients under the age of 65 have an excess risk of  
21 community-acquired pneumonia that was not found in vaccinated CeD patients. As only a  
22 minority of CeD patients are being vaccinated there is a missed opportunity to intervene to  
23 protect CeD patients from pneumonia.

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25

## 1 **Introduction**

2 Community-acquired pneumonia is a common and potentially serious illness, associated with  
3 considerable morbidity and mortality (1), particularly among older adult patients and those  
4 with significant comorbidities, with an overall annual incidence in the general population of  
5 the UK of 2.33 per 1000 rising to 7.99 per 1000 by age 65 years (2, 3). Streptococcus  
6 pneumoniae, an encapsulated bacterium, is the most common pathogen isolated in patients  
7 with community-acquired pneumonia in Europe (4). The spleen plays an essential role in the  
8 removal of this type of bacteria in the course of initial infection, partly due to the antibodies  
9 produced by immunoglobulin M memory B cells (5). Given the high burden of pneumococcal  
10 diseases, since 1992, a 23-valent pneumococcal polysaccharide vaccination has been  
11 recommended in the UK by the Department of Health as part of the national immunisation  
12 program for individuals at risk in the general population, such as people with hyposplenism  
13 (6). One of the conditions reported as having an association with hyposplenism and therefore  
14 an impaired immunity to pneumococcus is coeliac disease (7-10). Consequently people with  
15 coeliac disease are considered as individuals for whom pneumococcal vaccination is  
16 advocated (6, 11). Given that the prevalence of clinically diagnosed coeliac disease is 0.24%  
17 in the UK and the sero-prevalence is approximately 1% (12, 13) in both children and adults  
18 this represents a potentially substantial population remaining at risk of pneumonia.

19 The aim of this study was to quantify the risk of community-acquired pneumonia, including  
20 pneumococcal disease, among unvaccinated and vaccinated patients diagnosed with coeliac  
21 disease compared to the general population.

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## 24 **Methods**

## 1 **Data source**

2 The Clinical Practice Research Datalink (CPRD) (14) is a computerised primary healthcare  
3 database containing demographic, medical, prescription and lifestyle-related information of  
4 anonymised patient records from the UK. To receive health care provided free from the UK  
5 National Health Service , all residents have to be registered with a general practitioner and  
6 non-registration is estimated to be less than 0.5% (15). The data undergo various quality  
7 checks and only data of high enough quality are used for research which is denoted by the up-  
8 to-standard (UTS) date (16). Moreover, a systematic review reports a high validity of CPRD  
9 data in terms of the quality and completeness of recorded diagnoses (17). Around 53% of the  
10 CPRD practices had linked Hospital Episode Statistics (HES) data, covering the period 1997–  
11 2011 (18). HES contains details of all hospital admissions to National Health Service  
12 hospitals in England with diagnoses coded using the International Classification of Diseases  
13 version 10 (ICD-10). All records used in the study were linked to HES.

14

## 15 **Study population**

16 We extracted the records of subjects within the CPRD-HES linked database between 1<sup>st</sup> April  
17 1997 and 31<sup>st</sup> March 2011, with a recorded diagnosis of coeliac disease. Coeliac disease was  
18 defined based on the presence of one or more of the following Read codes (a clinically coded  
19 thesaurus used by general practitioners in the UK to record medical information that includes  
20 diagnostic codes based on ICD-10 and additional information): J690.00 Coeliac Disease;  
21 J690.13 Gluten enteropathy; J690z00 Coeliac disease NOS; J690100 Acquired coeliac  
22 disease; J690.14 Sprue-nontropical; J690000 Congenital coeliac disease, using previously  
23 defined methodology (13). Patients could have a diagnosis of both coeliac disease and  
24 dermatitis herpetiformis, but not dermatitis herpetiformis alone. For patients with more than  
25 one coeliac disease code, the earliest was considered as the date of disease diagnosis. All

1 remaining people without diagnosis of coeliac disease in the same CPRD-HES population  
2 were potential controls. From these, we excluded controls with any record of gluten free  
3 prescription in the absence of a coeliac disease diagnosis, or those with only dermatitis  
4 herpetiformis codes. A random follow-up start date “pseudo-diagnosis-date” was generated  
5 between the date of birth and study end date for each control (as defined in our previous  
6 study(19)). This random pseudo-diagnosis-date enabled us to calculate an index age for  
7 controls which was used to frequency match age at diagnosis of patients with coeliac disease  
8 in 10-year age bands at the ratio of ten controls to one patient.

9

## 10 **Follow-up time**

11 We used an open cohort approach where individuals could enter and exit the study at  
12 different points in time. The study start date was defined as the latest of 1<sup>st</sup> April 1997 (date  
13 of HES linkage), UTS date, patient’s current registration date and coeliac disease diagnosis  
14 date (or pseudo-diagnosis-date for controls) whereas the study end date was considered as the  
15 earliest of 31<sup>st</sup> March 2011, patient’s transfer out date from the practice, last date of data  
16 collection from practice, date of death and first community-acquired pneumonia event.

17

## 18 **Community acquired infective pneumonia**

19 Patients were defined as having incident pneumonia if they had an ICD-10 code, and/or a  
20 Read code suggesting pneumonia (Supplementary Table 1). Pneumonia diagnoses recorded  
21 less than 28 days apart were regarded as the same event. This cut-off period was based on the  
22 previous literature (3) and the initial examination of our data. For the events with more codes  
23 recorded within 28 days, the earliest pneumonia date and the last pneumonia aetiology’s code  
24 were used. We also excluded pneumonia cases diagnosed within 30 days of a patient’s  
25 registration with their practice as these might represent historical records being coded at first

1 visit post registration (20). We only considered the first occurrence of pneumonia during the  
2 study period excluding all subsequent pneumonia events and all subjects with a previous  
3 history of pneumonia. To restrict our cases to only those with community-acquired  
4 pneumonia, we excluded all potential hospital-acquired infections by keeping only hospital  
5 admissions where the first primary diagnosis (reason for admission) was for pneumonia and  
6 excluding all pneumonia records within 14 days after hospital discharge in either primary or  
7 secondary care, consistently with what has been described in previous studies (3, 21, 22)  
8 (Supplementary Figure 1). Finally, we separately analysed the first incidence of community-  
9 acquired pneumonia specifically restricted to pneumococcal infection (pneumococcal code:  
10 Lobar (pneumococcal) pneumonia (Read code=H21..00), Lobar pneumonia, unspecified  
11 (ICD-10=J181, Read code=H260.00), Pneumonia due to Streptococcus pneumoniae (ICD-  
12 10=J13) and Chest infection- pneumococcal pneumonia (Read code=H21..11)).

13

## 14 **Pneumococcal vaccination**

15 We extracted information on patients' pneumococcal vaccination status during the study  
16 period from their medical records (Read codes or prescription indicating pneumococcal  
17 vaccination; Supplementary Table 2). For patients with more than one vaccination code, the  
18 earliest was considered as the date of vaccination. The follow-up time for each patient was  
19 divided as either "vaccinated" (time after the date of vaccination) or "unvaccinated" (time  
20 period preceding the date of vaccination). The latter time period also included all follow-up  
21 time of those with no record of pneumococcal vaccination (Figure 1).

## 22 **Covariates**

23 Covariates included sex, age (0-64 and  $\geq 65$ ), calendar-year (1997-2004 and 2005-2011),  
24 body mass index (BMI  $\text{Kg/m}^2$ ), smoking status (non-smokers, including ex-smokers and  
25 missing data, and current smokers), socioeconomic status, number of comorbidities on the

1 basis that all could be potentially related to coeliac disease and pneumonia(2, 3, 23-26) . We  
2 extracted all covariates data from CPRD. BMI was classified as underweight ( $\leq 18.5$ ),  
3 normal weight ( $>18.5-25$ ), overweight ( $>25-30$ ) and obese ( $>30$ ). Comorbidities were defined  
4 using the Charlson index (27), details of which are reported elsewhere (28), and categorised  
5 as no comorbidity (Charlson index=0) or more than one comorbidity (Charlson index $\geq 1$ ). We  
6 considered the last record reported in CPRD before the study end for smoking, BMI and  
7 comorbidities. Socioeconomic status was categorised by the quintile of the rank of a  
8 patient's area of residence by the Indices of Multiple Deprivation (29).

9

## 10 **Statistical analysis**

11 We calculated overall pneumonia rates per 1000 person-years among subjects with and  
12 without coeliac disease. We assessed unvaccinated and vaccinated periods of time separately.  
13 We then used a Cox regression model to estimate the hazard ratios (HR) of community-  
14 acquired pneumonia during unvaccinated and vaccinated periods in patients with coeliac  
15 disease after diagnosis compared to controls after testing for the proportional hazards  
16 assumption. All HRs were adjusted for sex, age, calendar-year, BMI, smoking status,  
17 socioeconomic status, and number of comorbidities (when the models were not stratified by  
18 these variables). We assessed for possible interactions between coeliac disease and all of the  
19 above covariates using a likelihood ratio test (LRT).

20 We then assessed how rates of pneumonia in unvaccinated patients varied in relation to the  
21 time of coeliac disease diagnosis (Figure 1). For this analysis we used person-time for the  
22 overall study period, removing the coeliac disease diagnosis date (or pseudo-diagnosis-date  
23 for controls) from our main start date definition. We excluded patients diagnosed with coeliac  
24 disease within 1 year of their registration with the practice, as these may represent the  
25 recording of historical diagnoses during the first visits post registration (20). We assessed

1 the risk of pneumonia in the time periods before diagnosis of coeliac disease (within 1 year  
2 before and more than 1 year before), within 1 year after diagnosis, between 1 and 4 years  
3 after and more than 5 years after. The last two periods also included person time of those with  
4 a historical record of coeliac disease (Supplementary Figure 2). For each period we calculated  
5 the absolute excess risk of pneumonia among unvaccinated patients with coeliac disease  
6 compared to unvaccinated controls. Finally, we restricted analysis to the outcome of  
7 pneumococcal pneumonia specifically using similar methodology. All analyses were  
8 performed using Stata version 12, Stata Corp., College Station, TX.

9

### 10 **Sensitivity analysis**

11 We increased the specificity of our coeliac disease definition by repeating our analysis for  
12 community-acquired pneumonia after restricting our population with coeliac disease to those  
13 who, in addition to one diagnostic code of coeliac disease, had either a relevant prescription  
14 for a gluten-free product or a second documented record of their disease.

15



## 1 **Results**

2 Our cohort was composed of 9,803 patients with coeliac disease and 101,755 controls, who  
3 contributed 52,362 and 595,866 person-years at risk, respectively (Table 1). The median  
4 follow-up from the study start to end date was 4.2 years (Interquartile range (IQR) 1.7-9.1) in  
5 patients with coeliac disease and 4.6 years (IQR 1.8-9.8) in controls. There were 179 and 1864  
6 first community-acquired pneumonia events recorded in patients with coeliac disease and  
7 controls, respectively. The overall rate of community-acquired pneumonia events was 3.42  
8 per 1000 person-years in patients with coeliac disease and 3.12 per 1000 person-years among  
9 controls. Overall patients with coeliac disease had no increased risk of community-acquired  
10 pneumonia events compared to controls (adjusted HR 1.07, 95% CI 0.91-1.24).

11

### 12 **Community-acquired pneumonia risk in unvaccinated and vaccinated** 13 **periods**

14 Thirty-seven percent of patients with coeliac disease and 22.6% of controls underwent  
15 pneumococcal vaccination. The average age at the time of vaccination was 61.8 years (IQR  
16 45.6-69.57) in patients with coeliac disease and 67.5 (IQR 60.7-74.4) in controls. Patients  
17 with coeliac disease and controls subjects who underwent vaccination were older and had  
18 more comorbidities compared to unvaccinated subjects (Table 1). Among patients with  
19 coeliac disease 26.6% underwent vaccination after their coeliac disease diagnosis with 3.02%  
20 being vaccinated within 1 year following diagnosis and before 65 years of age. The average  
21 time from coeliac disease diagnosis to the pneumococcus vaccination was 6.7 years (IQR 2.2-  
22 16.2). The median time from vaccination to pneumonia event was 4.33 years (IQR 2.22-  
23 7.11).

1 In unvaccinated patients we observed an absolute risk of pneumonia of 2.36 per 1000 person-  
2 years in patients with coeliac disease compared to 2.01 per 1000 person years in controls,  
3 corresponding to an increased relative risk of pneumonia of 28% (HR 1.28, 95% CI 1.02-  
4 1.60) (Table 2 and Table 3). This difference persisted in the following subgroups: males (HR  
5 1.55, 95% CI 1.02-2.18), subjects younger than 65 years (HR 1.68, 95% CI 1.28-2.21),  
6 subjects with normal BMI (HR 1.75, 95% CI 1.29-2.39), in the period between 1997 and  
7 2004 (HR 1.52, 95% CI 1.13-2.05) and in subjects from the least deprived areas (HR 1.55,  
8 95% CI 1.01-2.38), (Table 3). In vaccinated subjects we observed an absolute risk of  
9 pneumonia of 6.04 and 8.65 per 1000 person-years in subjects with coeliac disease and  
10 controls respectively. In this subgroup we observed no overall difference in risk of  
11 pneumonia (HR 0.88, 95% CI 0.70-1.10) in patients with coeliac disease compared to  
12 controls, except for vaccinated patients with coeliac disease younger than 65 years who had  
13 53% lower risk than vaccinated controls of the same age (HR 0.47, 95% CI 0.27-0.84).  
14 Finally, there was a significant interaction between coeliac disease and age categorised as 0-  
15 64 and  $\geq 65$  in unvaccinated subjects (LRT p value  $<0.001$ ), while there were no other  
16 significant interactions between coeliac disease and the other covariates. All HRs were  
17 adjusted for sex, age (0-64,  $\geq 65$ ), calendar-year, BMI, smoking status, Charlson index and  
18 SES (when not stratified for). Even using the age variable categorised in three rather than two  
19 bands for adjustment (0-17, 18-64 and  $\geq 65$ ) the risk of pneumonia in patients with coeliac  
20 disease compared to controls did not change materially.

21 Figure 2 shows the cumulative incidence of pneumonia in patients with coeliac disease and  
22 controls in overall period (Figure 2a) and in unvaccinated period (Figure 2b).

1 **Risk of first community-acquired pneumonia and pneumococcal**  
2 **pneumonia before and after coeliac disease diagnosis in unvaccinated**  
3 **subjects**

4 Having detected a statistically significant higher risk of pneumonia in unvaccinated patients  
5 with coeliac disease compared to unvaccinated controls and a significant interaction with age,  
6 we limited the analysis to time when patients were unvaccinated and aged less than 65 years  
7 (Table 4) and we adjusted our analysis for sex, age (3 age-bands: 0-17, 18-49, 50-64),  
8 calendar year, BMI, smoking status, Charlson index and SES. We observed a 5.09 fold higher  
9 risk of community-acquired pneumonia within the year before coeliac disease diagnosis (HR  
10 5.09, 95% CI 2.92- 8.18) and a 2.51 fold higher risk in the year after (HR 2.51, CI 1.11-5.62)  
11 compared to the general population. These corresponded to an absolute excess risk of  
12 pneumonia of 3.63 and 1.26, respectively. The elevated risk was maintained for more than 1  
13 year before and well over 5 years after diagnosis (Table 4). We found similar results when  
14 considered pneumococcal pneumonia specifically. In particular we detected a 5.32 fold  
15 higher risk of streptococcal pneumonia within the year before coeliac disease diagnosis (HR  
16 5.32, 95% CI 2.17- 13.04) and a 4.58 fold higher risk in the year after (HR 4.58, CI 1.69-  
17 12.44) compared to the general population.

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## 1 **Sensitivity analysis**

2 Seventy-six percent of patients with coeliac disease received a relevant prescription for a  
3 gluten-free product and/or a second documented record of their disease. Restricting our  
4 population to these patients, we found similar findings for all community-acquired  
5 pneumonia and pneumococcal pneumonia specifically (**Supplementary Table 3**).

## 1 **Discussion**

2 In this large, population-based cohort study of people with coeliac disease in England, 37%  
3 had evidence of having ever received pneumococcal vaccination and only 26% following  
4 diagnosis. Among unvaccinated individuals under the age of 65, those with coeliac disease  
5 had an increased risk of community-acquired pneumonia compared to unvaccinated  
6 individuals without coeliac disease. However, among vaccinated individuals of this age,  
7 patients with coeliac disease were less likely to have pneumonia compared to vaccinated  
8 individuals without coeliac disease. The increase in risk among the unvaccinated was  
9 highest in the period around coeliac disease diagnosis, being approximately 2-fold higher  
10 for any infective pneumonia and 4-fold higher for pneumococcal pneumonia but the risks  
11 also persisted up to at least 5 years following diagnosis.

12

## 13 **Strengths and Limitations**

14 Ours is the largest study of pneumonia risk in patients with coeliac disease published to  
15 date and has allowed us therefore to precisely quantify both relative and absolute risks  
16 while adjusting for important potential confounders during periods of time around and  
17 beyond diagnosis. Our use of contemporary, nationally representative primary care data  
18 linked to secondary care data, collected prospectively during routine clinical care, makes  
19 our findings generalisable to most current patients with clinically diagnosed coeliac disease.  
20 In addition, these linked data have allowed us to substantially improve upon the current  
21 evidence in this area as we had the ability to define both vaccination status and pneumonia  
22 that did not necessarily require hospital admission. These two issues have not previously  
23 been accounted for due to the reliance on hospital admission data alone in the previous  
24 research (25, 26).

1 Several potential weaknesses regarding our study design must be considered. As with  
2 almost all research that utilises electronic health record data we are reliant on the accuracy  
3 and validity of the recording of the exposures, outcomes and covariates we have used. For  
4 coeliac disease the recording in primary care has previously been specifically validated (30).  
5 Several studies have used this definition and found similar findings with respect to  
6 outcomes such as incidence, fracture risk and death (13, 31, 32) implying it is adequate for  
7 research purposes. Nevertheless to check the robustness of our findings with respect to the  
8 specificity of the definition of coeliac disease we repeated our analyses using a more  
9 restricted definition that relied upon further evidence of coeliac disease being present in  
10 the primary care record. When we did this our results remained largely unaltered. Our  
11 definition of community-acquired pneumonia was based on a recently published study that  
12 used CPRD-HES linked data to describe the incidence of the disease among people over  
13 the age of 65 (3, 33). Unsurprisingly therefore we found a similar incidence rate of  
14 community-acquired pneumonia among our controls aged more than 65 years. Our general  
15 population rates are also consistent with another UK paper that has described the incidence  
16 of pneumonia in the general population (2). Furthermore, a previous validation study  
17 showed that the use of routine primary health-care databases in the UK are a valid way for  
18 identification of pneumonia (34). Our results are based on analysis of a relatively small  
19 number of events, which may influence the overall results and account for the large  
20 confidence intervals, however as reported above, they are consistent with those reported in  
21 the previous literature (2, 3). We observed a higher risk of pneumonia in vaccinated people  
22 compared to unvaccinated subjects. This higher risk might be due to the fact that  
23 vaccinated subjects were older and had more comorbidities than those unvaccinated and  
24 thus they may have a higher risk of any type of pneumonia. Although a recent review has  
25 shown that *Streptococcus pneumoniae* is the more frequent cause (4), another explanation

1 for the higher risk of pneumonia in vaccinated subjects may be that community-acquired  
2 pneumonia may be related to other microorganisms unaffected by vaccination. In terms of  
3 vaccination status we believe that it is highly unlikely that general practitioners would not  
4 record the vaccination at the time of its prescription or incorrectly record the fact of  
5 vaccination when, in truth, it did not occur. It is possible though that prior to registering at  
6 a CPRD practice some people in our study could have received a vaccination but this  
7 information was not transferred electronically on registering with their new general  
8 practitioner. If present this bias would result in an underestimation of the proportion of  
9 people vaccinated but it seems unlikely to us that such recording would have been  
10 differential between people with coeliac disease and controls and any effect of this would be  
11 to introduce a null bias in the relative risk of pneumonia between these groups. Finally, the  
12 slightly higher risk of community acquired pneumonia reported in the unvaccinated sub-  
13 groups with coeliac disease normally considered at “low risk” (normal BMI, last deprived  
14 SES and younger age group) compared to unvaccinated controls is probably due to the fact  
15 that coeliac disease is the only recognised and recorded factor indicating their status of  
16 higher risk subjects. Moreover, it may be related to the low event rate in the relevant  
17 control group because they are essentially healthy. Of note, we found a higher absolute risk of  
18 pneumonia in males than females, that has been already described in the previous literature (3).  
19 Our study is limited to clinically diagnosed people with coeliac disease and it lacked  
20 information regarding the severity of coeliac disease at diagnosis and any estimate of  
21 splenic function. Inevitably therefore we could not assess the risks of pneumonia among  
22 subgroups of people who might be more at risk due to more severe illness or relatively  
23 poor splenic function.

24

## 25 **Previous literature**

1 Whilst two previous studies have quantified the risk of pneumococcal infection in coeliac  
2 disease compared to the general population, none have assessed community-acquired  
3 pneumonia risk and none have been able to assess vaccination status. A Swedish  
4 population-based study (26), of 15,000 individuals (mostly children) with an inpatient  
5 diagnosis of coeliac disease from 1964-2003 compared with controls showed that coeliac  
6 disease was associated with an increased risk of pneumococcal sepsis (HR 3.9, 95% CI 2.2-  
7 7.0) which is not dissimilar to our estimate for pneumococcal pneumonia in the  
8 unvaccinated population around diagnosis. However, in this study, the authors were only  
9 able to use hospital data to assess severe cases of pneumococcal sepsis. No information on  
10 vaccination status was available. Thomas et al. analysed the risk of invasive pneumococcal  
11 infection in coeliac disease compared to the general population of England (25). The  
12 authors used the Oxford Record Linkage Study covering the period from 1963 to 1999  
13 (preceding the era of pneumococcal vaccination) to identify people with coeliac disease and  
14 had linked HES and Office for National Statistics death data, spanning April 1<sup>st</sup> 1998 to  
15 March 31<sup>st</sup> 2003 for the purposes of follow up. The risk for pneumococcal infections in  
16 patients with coeliac disease compared to the general population was approximately 2-fold  
17 higher and this increased risk was described both within a year of coeliac disease diagnosis  
18 and after more than one year. However, they also had no information on which individuals  
19 had had vaccination.

20

## 21 **Interpretation**

22 There are several possible explanations for the excess risk of community-acquired  
23 pneumonia among people with coeliac disease that we have observed. The first is  
24 ascertainment bias as people with coeliac disease have reason to have more health care



1 attendances than the general population both prior to diagnosis and afterwards . These  
2 extra visits give opportunities for health care practitioners to assess, diagnose, treat and  
3 record conditions that otherwise may have gone unnoticed and might explain the increased  
4 risk we found around the time of diagnosis. However, community-acquired pneumonia is  
5 a reasonably severe disease that would presumably result in patients seeking treatment  
6 from their doctors, and the increased risk of pneumonia prior to diagnosis might actually  
7 reflect an increased risk of pneumonia consequent to covert disease manifestation in  
8 patients with untreated coeliac disease. During the period around the diagnosis of coeliac  
9 disease some people have impaired nutritional status which may increase the risk of  
10 respiratory infections (35-37). Conversely, when a person gets diagnosed with community-  
11 acquired pneumonia it is common for them to undergo a series of blood tests which may  
12 identify abnormalities that could eventually lead to a diagnosis of coeliac disease.  
13 However our analysis shows that the increased risk of pneumonia persists even 5 years  
14 after diagnosis.

15 Beyond ascertainment bias there are biologically plausible explanations for the increase in  
16 risk, one of which is the previously reported association between coeliac disease and  
17 hyposplenism. Several studies have attempted to quantify the occurrence and severity of  
18 this but most of them are prior to 1990, most suffer from selection bias in terms of their  
19 patient population (they are tertiary referral centres) and have used approaches to splenic  
20 function measurement that have high error/inaccuracy (7-10, 38-40). Nevertheless, the  
21 best evidence from these studies indicates that almost one third of adult patients with  
22 coeliac disease have some biological/clinical evidence splenic dysfunction which seems to  
23 improve on a gluten free diet (8, 9), it does not affect childhood coeliac disease (7) and it is  
24 more frequent in people with coeliac disease with associated autoimmune diseases and

1 with other complications (refractory coeliac disease, lymphoma, ulcerative jejunoileitis)  
2 (10).

3

#### 4 **Implications for clinical practice**

5 We have found that unvaccinated people under the age of 65 with coeliac disease have an  
6 excess risk of community-acquired pneumonia and specifically pneumococcal pneumonia.  
7 Our work shows that only 26.6% underwent vaccination after an average time of 6.7 years  
8 from coeliac disease diagnosis. In the patient group with the highest relative risk, those  
9 under age 65 years, only 3.02% were vaccinated within the year following their coeliac  
10 disease diagnosis. The interpretation of this finding must take into account the fact that  
11 our study spans a long period of time in which diagnostic practises have changed with  
12 respect to coeliac disease and there may be some inaccuracy in the time between  
13 vaccination and diagnosis in the “prevalent” population. Nevertheless, although the  
14 percentage of vaccinated patients with coeliac disease is higher compared to that reported  
15 by Pebody et al (41), our study reveals a potential missed opportunity to help prevent  
16 community-acquired pneumonia and, in particular, pneumococcal pneumonia among the  
17 majority of people with coeliac disease. This is despite recommendations from the  
18 Department of Health/Public Health England advising pneumococcal vaccination for those  
19 under the age of 65 who are potentially at risk due to splenic hypofunction (6) and  
20 complementary disease specific guidelines for coeliac disease advocating a similar  
21 approach (11).

22

#### 23 **Conclusion**

1 Our study represents further evidence of an ongoing low rate of pneumococcal  
2 vaccinations in people with coeliac disease despite a higher risk of pneumonia compared  
3 to the general population. Given the safety and efficacy of the vaccination and the  
4 difficulty in “risk stratifying” among people with coeliac disease, we believe that the  
5 recommended vaccinate all strategy seems sensible.

6

7

8 **Fig. 1. Study periods: Follow-up time of patients with coeliac disease and vaccination**  
9 **status**

10

11 **Fig 2: Cumulative incidence of pneumonia in patients with coeliac disease and**  
12 **controls in overall period (Figure 2a) and in unvaccinated period (Figure 2b)**

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1 **Table 1: Demographic Details of the Study Population (N 111,558)**

	Overall population		Unvaccinated population		Vaccinated population	
	With CeD (n=9,803)	Controls (n=101,755)	With CeD (n=6,160)	Controls (n=78,697)	With CeD (n=3,643)	Controls (n=23,058)
	N (%)	N (%)	N (%)	N (%)	N (%)	N (%)
<b>Female</b>	6,383 (65.1)	52,141 (51.2)	4,017 (65.1)	39,935 (50.7)	2,366 (64.9)	12,206 (52.9)
<b>Age at CeD Diagnosis/ Pseudo-date (yr)</b>						
0-64	8,018 (81.8)	83,129 (81.7)	5,757 (93.5)	71,286 (91.6)	2,261 (62.1)	11,843 (51.4)
≥65	1,785 (18.2)	18,626 (18.3)	403 (6.5)	7,411 (9.4)	1,382 (37.9)	11,215 (48.6)
<b>BMI</b>						
≤ 18.5	477 (4.9)	2,098 (2.1)	286 (4.6)	1,534 (1.9)	191 (5.2)	564 (2.4)
>18.5-25	4,528 (46.2)	33,905 (33.3)	2,769 (44.9)	26,518 (33.7)	1,759 (48.3)	7,387 (32.1)
>25-30	1,778 (18.1)	20,662 (20.3)	1,017 (16.6)	14,718 (18.7)	761 (20.9)	5,944 (25.8)
>30	1,183 (12.1)	17,904 (17.6)	658 (10.7)	12,255 (15.6)	525 (14.4)	5,649 (24.5)
Unknown	1,837 (18.7)	27,186 (26.7)	1,430 (23.2)	23,672 (30.1)	407 (11.2)	3,514 (15.2)
<b>Smoking</b>						
Non-smokers	8,430 (86.0)	82,725 (81.4)	5,137 (83.5)	62,164 (79.0)	3,293 (90.4)	20,561 (89.2)
Current smokers	1,373 (14.0)	19,030 (18.6)	1,023 (16.5)	16,533 (21.0)	350 (9.6)	2,497 (10.8)
<b>SES</b>						
<b>Least deprived</b>	2,647 (27.0)	22,931 (22.5)	1,647 (26.7)	17,826 (22.7)	1000 (27.4)	5,105 (22.1)
<b>2</b>	2,343 (23.9)	22,763 (22.4)	1,480 (24.1)	17,322 (22.0)	863 (23.8)	5,441 (23.6)
<b>3</b>	1,873 (19.1)	19,162 (18.8)	1,147 (18.6)	14,722 (18.7)	726 (19.9)	4,440 (19.3)
<b>4</b>	1,625 (16.5)	19,427 (19.1)	1,031 (16.7)	15,302 (19.4)	594 (16.3)	4,125 (17.9)
<b>Most deprived</b>	1,097 (11.3)	14,144 (13.9)	725 (11.8)	11,211 (14.3)	372 (10.2)	2,933 (12.7)
<b>Unknown</b>	218 (2.2)	3,328 (3.3)	130 (2.1)	2,314 (2.9)	88 (2.4)	1,014 (4.40)
<b>Charlson index</b>						
0	5,629 (57.4)	67,599 (66.4)	4,165 (67.6)	59,645 (75.8)	1,464 (40.2)	7,954 (34.5)
≥1	4,174 (42.6)	34,156 (33.6)	1,995 (32.4)	19,052 (24.2)	2,179 (59.8)	15,104 (65.5)

2

1 **Table 2: Rate of first community-acquired pneumonia during unvaccinated and**  
 2 **vaccinated periods of time in patients with coeliac disease after diagnosis and in**  
 3 **controls**

First community-acquired Pneumonia								
	Overall		Unvaccinated period			Vaccinated Period		
	Rates in CeD <sup>a</sup>	Rates in Controls <sup>a</sup>	N events in CeD/Controls	Rates in CeD <sup>a</sup>	Rate in Controls <sup>a</sup>	N events in CeD/Controls	Rates in CeD <sup>a</sup>	Rates in Controls <sup>a</sup>
<b>Overall</b>	3.42	3.12	<b>88/996</b>	2.36	2.01	<b>91/868</b>	6.04	8.65
<b>Sex</b>								
Male	4.23	3.08	<b>37/455</b>	2.85	1.85	<b>40/446</b>	7.62	9.63
Female	2.98	3.16	<b>51/541</b>	2.09	2.16	<b>51/422</b>	5.19	7.81
<b>Age (years)</b>								
<b>0-64</b>	1.94	1.17	<b>63/410</b>	1.91	1.00	<b>15/96</b>	2.09	4.58
<b>≥65</b>	8.25	8.20	<b>25/586</b>	5.78	6.80	<b>76/772</b>	9.61	9.72
<b>Calendar-year</b>								
1997-2004	3.20	2.73	<b>48/562</b>	2.80	2.18	<b>16/205</b>	5.59	8.81
2005-2011	3.55	3.47	<b>40/434</b>	1.98	1.82	<b>75/663</b>	6.14	8.60
<b>BMI</b>								
≤ 18.5	6.61	8.09	<b>6/41</b>	3.46	4.49	<b>11/54</b>	13.14	20.65
>18.5-25	3.95	3.02	<b>48/301</b>	2.85	1.76	<b>48/320</b>	6.45	9.25
>25-30	1.91	2.65	<b>9/182</b>	1.27	1.63	<b>11/189</b>	3.28	6.64
>30	2.48	2.52	<b>7/142</b>	1.50	1.43	<b>10/175</b>	4.57	6.57
Unknown	3.51	4.04	<b>18/330</b>	2.57	3.12	<b>11/130</b>	5.73	16.16
<b>Smoking</b>								
Non-smoker	3.29	3.02	<b>69/765</b>	2.16	1.89	<b>81/730</b>	5.91	8.08
Smoker	4.26	3.64	<b>19/231</b>	3.49	2.53	<b>10/138</b>	7.29	13.79
<b>SES</b>								
Least deprived	3.17	2.36	<b>25/189</b>	2.36	1.56	<b>22/150</b>	5.19	6.63
2	3.51	2.94	<b>22/213</b>	2.38	1.82	<b>23/202</b>	6.40	8.41
3	2.98	3.06	<b>13/197</b>	1.82	2.07	<b>17/153</b>	5.76	7.95
4	3.44	3.48	<b>16/199</b>	2.68	2.21	<b>13/177</b>	5.29	9.69
Most deprived	4.72	3.86	<b>10/149</b>	2.54	2.39	<b>16/140</b>	10.22	11.20
Unknown	2.68	6.65	<b>&lt;5/49</b>	4.25	4.65	<b>&lt;5/46</b>	-	12.26
<b>Charlson Index</b>								
<b>0</b>	1.16	1.12	<b>26/321</b>	1.10	0.95	<b>8/88</b>	1.40	3.12
<b>≥1</b>	6.25	6.25	<b>62/675</b>	4.48	4.20	<b>83/780</b>	8.87	10.80

4 a per 1000 person-years

5

1 **Table 3: Risk of first community-acquired pneumonia during unvaccinated and**  
2 **vaccinated periods of time in patients with coeliac disease after diagnosis compared**  
3 **to controls**

4

<b>First community-acquired Pneumonia</b>				
	<b>Unvaccinated period</b>		<b>Vaccinated period</b>	
	<b>Unadjusted HR (95% CI)</b>	<b>Adjusted HR<sup>a</sup> (95% CI)</b>	<b>Unadjusted HR (95% CI)</b>	<b>Adjusted HR<sup>a</sup> (95% CI)</b>
<b>Overall</b>	1.16 (0.93 to 1.45)	1.28 (1.02 to 1.60)	0.71 (0.57 to 0.88)	0.88 (0.70 to 1.10)
<b>Sex</b>				
Male	1.52 (1.08 to 2.12)	1.55 (1.10 to 2.18)	0.79 (0.57 to 1.10)	0.94 (0.68 to 1.31)
Female	0.96 (0.72 to 1.29)	1.13 (0.85 to 1.52)	0.68 (0.51 to 0.91)	0.83 (0.61 to 1.12)
<b>Age groups</b>				
0-64	1.90 (1.45 to 2.47)	1.68 (1.28 to 2.21)	0.45 (0.26 to 0.78)	0.47 (0.27 to 0.84)
≥65	0.83 (0.56 to 1.24)	0.83 (0.55 to 1.24)	0.97 (0.77 to 1.23)	0.98 (0.77 to 1.25)
<b>Calendar-year</b>				
1997-2004	1.27 (0.94 to 1.71)	1.52 (1.13 to 2.05)	0.64 (0.38 to 1.06)	0.89 (0.53 to 1.50)
2005-2011	1.09 (0.79 to 1.51)	1.05 (0.76 to 1.46)	0.73 (0.57 to 0.93)	0.86 (0.67 to 1.10)
<b>BMI</b>				
≤ 18.5	0.77 (0.32 to 1.82)	0.91 (0.37 to 2.18)	0.74 (0.38 to 1.43)	0.77 (0.39 to 1.52)
>18.5-25	1.61 (1.19 to 2.18)	1.75 (1.29 to 2.39)	0.71 (0.52 to 0.96)	0.96 (0.70 to 1.32)
>25-30	0.78 (0.40 to 1.54)	0.96 (0.49 to 1.89)	0.51 (0.27 to 0.93)	0.75 (0.41 to 1.40)
>30	1.07 (0.50 to 2.30)	1.19 (0.55 to 2.57)	0.73 (0.38 to 1.39)	0.97 (0.51 to 1.86)
Unknown	0.82 (0.51 to 1.32)	1.04 (0.65 to 1.68)	0.52 (0.28 to 0.97)	0.76 (0.40 to 1.41)
<b>Smoking</b>				
Non-smokers	1.13 (0.88 to 1.45)	1.27 (0.99 to 1.64)	0.75 (0.59 to 0.94)	0.88 (0.69 to 1.11)
Current smokers	1.38 (0.86 to 2.20)	1.34 (0.83 to 2.15)	0.53 (0.28 to 1.00)	0.94 (0.48 to 1.84)
<b>SES</b>				
Least deprived	1.50 (0.98 to 2.28)	1.55 (1.01 to 2.38)	0.80 (0.50 to 1.25)	1.02 (0.64 to 1.63)
2	1.29 (0.83 to 2.00)	1.33 (0.85 to 2.08)	0.77 (0.49 to 1.19)	0.95 (0.61 to 1.48)
3	0.87 (0.49 to 1.53)	1.03 (0.59 to 1.83)	0.73 (0.44 to 1.21)	0.92 (0.55 to 1.54)
4	1.20 (0.72 to 2.00)	1.33 (0.79 to 2.22)	0.56 (0.31 to 0.98)	0.64 (0.36 to 1.15)
Most deprived	1.07 (0.56 to 2.02)	1.16 (0.61 to 2.24)	0.92 (0.54 to 1.55)	1.00 (0.59 to 1.72)
Unknown	0.90 (0.22 to 3.74)	0.86 (0.20 to 3.58)	-	-
<b>Charlson index</b>				
0	1.14 (0.77 to 1.71)	1.24 (0.82 to 1.85)	0.42 (0.20 to 0.87)	0.58 (0.27 to 1.25)
≥1	1.06 (0.81 to 1.37)	1.28 (0.99 to 1.67)	0.85 (0.67 to 1.06)	0.93 (0.73 to 1.17)

5 a: adjusted for sex, age, calendar-year; BMI; smoking; Charlson index, SES (when not stratified for);  
6 Reference is controls' group  
7 HR, Hazard ratio; CI, confident interval

8

1 **Table 4: Risk of community-acquired and pneumococcal pneumonia in unvaccinated patients with coeliac disease (in relation to the**  
 2 **time of diagnosis) compared to unvaccinated controls (subjects younger than 65 years old)**

First community-acquired pneumonia Total population= 81,166						Pneumococcal Pneumonia Total population=82,088				
Time period	N events in CeD	Rate in CeD <sup>a</sup>	Absolute Excess risk	Unadjusted HR	Adjusted HR <sup>b</sup>	N events in CeD	Rate in CeD <sup>a</sup>	Excess risk	Unadjusted HR	Adjusted HR <sup>b</sup>
<b>Before diagnosis</b>										
+1 year	<b>21</b>	1.43	0.44	1.45 (0.93 to 2.24)	1.73 (1.11 to 2.70)	<b>8</b>	0.53	0.2	1.55 (0.76 to 3.16)	1.75 (0.85 to 3.60)
within 1 year	<b>13</b>	4.62	3.63	4.66 (2.68 to 8.10)	5.09 (2.92 to 8.18)	<b>5</b>	1.74	1.41	5.24 (2.15 to 12.79)	5.32 (2.17 to 13.04)
<b>After diagnosis</b>										
within 1 year	<b>6</b>	2.28	1.29	2.33 (1.04 to 5.21)	2.51 (1.11 to 5.62)	<b>&lt;5</b>	1.49	1.16	4.58 (1.69 to 12.39)	4.58 (1.69 to 12.44)
1-4 years	<b>19</b>	2.12	1.13	2.14 (1.35 to 3.39)	2.11 (1.33 to 3.35)	<b>7</b>	0.76	0.43	2.30 (1.07 to 4.91)	2.30 (1.07 to 4.95)
+ 5 years	<b>31</b>	1.59	0.6	1.60 (1.11 to 2.31)	1.67 (1.15 to 2.41)	<b>8</b>	0.40	0.07	1.22 (0.60 to 2.49)	1.21 (0.59 to 2.49)

3  
 4 a: per 1000 person-years;  
 5 b: adjusted for sex, age (3 age-bands : 0-17, 18-49, 50-64), calendar year; BMI; smoking; Charlson index SES; Reference is controls group  
 6 reference group: 445 events, overall incidence rate 0.99 per 1000 person-years (community-acquired infective pneumonia)  
 7 reference group: 153 events, overall incidence rate 0.33 per 1000 person-years (pneumococcus pneumonia)  
 8  
 9

**Statement of Interests:** FZ, AAS and CC none conflict of interest, CJC, LJT and JW report grants from Coeliac UK, during the conduct of the study; JW is a voluntary member of the Health Advisory Network of Coeliac UK

This study was supported by CORE/Coeliac UK . They had no role in the study design; in the collection, analysis, and interpretation of data; in the writing of the report; and in the decision to submit the article for publication.

**Authorship Statement:** FZ, AAS and JW conceptualised and designed the study. FZ carried out the statistical analysis, supervised by AAS. FZ and JW drafted the initial manuscript. JW, LJT, CJC obtained the funding. FZ, AAS, CJC, LJT, CC and JW reviewed and revised the manuscript, and approved the final manuscript as submitted. JW is the guarantor of the study.