

Improving Building Thermal Performance Through an Integration of Passivhaus Envelope and Shading in a Tropical Climate

Abstract

Due to the success of the energy-efficient Passivhaus building envelope and its principles in regulating indoor thermal comfort in European climates, the potential implementation of it in other climates has been subjected to much attention in recent years. In this work, we adopted the hypothesis that slightly higher U-values of walls and floors than Passivhaus suggestion could be sufficient to achieve Passivhaus targets in the tropical context if the synergistic effects between shading and building envelope design were considered in a naturally ventilated condition.

Firstly, thermal comfort thresholds were reviewed to establish the boundaries in the tropical context, choosing Myanmar as a case study. Secondly, Passivhaus steady-state calculation for shading design was compared with other dynamic simulation programs to inform the next step. The impacts of other parameters, which were excluded in Passivhaus's PHPP calculation, were then investigated. Finally, thirty shading scenarios for ten different building envelopes were analysed in free-running modes using typical and future weather scenarios. The results showed that the hypothesis was true and 3.6% of annual overheating hours were reduced by coupling Passivhaus building envelope, thermal mass and shading devices, and also overall extremes of temperature were reduced by more than 2.4K on the hottest day.

Keywords: Building thermal performance; Passivhaus; Climate change; Overheating; Shading.

23 **1 Introduction**

24 Whilst the Passivhaus concept with shading strategy is theoretically successful and practically
25 achievable for free-cooling resources, understanding the characteristics of Passivhaus
26 implementations in different climate zones is imperative (Schnieders et al., 2012, Schnieders
27 et al., 2015, Schnieders et al., 2019, James and Bill, 2016). The Passive-On project for
28 European warm climates highlighted possible solutions to address through the use of comfort
29 models, related climate contexts, passive measures and active strategies (Schiano-Phan et al.,
30 2007). An actual building case study, which was designed based on low energy principles from
31 the Passivhaus standard, also shows that mechanical ventilation with heat recovery may not be
32 necessary or desirable for the UK context (Schiano-Phan et al., 2008). For a tropical context,
33 coupling several passive cooling approaches in the Passivhaus building envelope, including
34 thermal mass and shading devices, could have a significant advantage in a naturally ventilated
35 condition. There is a gap in the current literature of Passivhaus building envelope design in a
36 free-running mode (no active cooling or heating in an adaptive comfort model) for a tropical
37 context, and therefore, the focus of this work.

38 Passivhaus is a building design and delivery concept created by the Passivhaus Institute (PHI)
39 that suggests a set of design criteria developed to limit operational energy used whilst achieving
40 maximum thermal comfort (Passivhaus Trust, 2020, Feist et al., 2019, iPHA, 2016), and can
41 be certified through an exacting quality assurance process (Gonzalo and Valentin, 2014). The
42 concept is originally based on the idea for cold climates that if heat losses are reduced to an
43 absolute minimum, the building hardly needs any heating and therefore can become
44 comfortable mostly through the maximisation of passive heat sources like the sun, occupants
45 and appliances (Feist et al., 2005, Passive House Institute, 2014). The Passivhaus standard
46 holistically incorporates five basic principles (Passive House Institute, 2015): superinsulation,
47 thermal bridge free construction, airtight building envelope, high-performance specifications

48 for windows and doors, and mechanical ventilation with a heat recovery system (MVHR). The
49 first four principles of Passivhaus are known as the fabric-first approach, which minimises all
50 heat flows into and within the building envelope, to provide comfortable indoor conditions
51 with extremely low heating and cooling loads (Schnieders et al., 2015). For instance, a 273
52 mm thickness brick cavity wall has the thermal transmittance value (U-value) of 1.44 to 1.77
53 W/(m²K) (CIBSE, 2015), whereas the typical U-values for the opaque envelope in a Central
54 European Passivhaus range from 0.10 to 0.15 W/(m²K) (Feist et al., 2019) to reduce heat
55 transfer dramatically through the use of superinsulation. Using the Passive House Planning
56 Package (PHPP), the Passivhaus standard uses the heat balance method for steady-state
57 calculations considering a super-insulated building is applied an ‘active’ approach of pre-heat
58 or pre-cool incoming fresh air to meet the heating or cooling requirements (Hodgson, 2008).
59 Furthermore, the successful implementation of the Passivhaus concept is heavily dependent on
60 the thermal bridge free and airtight construction of the building envelope (Gonzalo and
61 Valentin, 2014). On the contrary, a vernacular lightweight wall in the tropic has small or no
62 thermal insulation; thermal bridges are therefore hardly an issue because of the small
63 temperature differences between indoor and outdoor, while an adaptive comfort model is
64 considered. When a Passivhaus building is highly insulated to offset an intense outdoor air
65 temperature, shading plays a crucial role in the tropics to avoid direct solar gain on insulated
66 surfaces that could lead to significant temperature differences between the outdoor and indoor
67 surfaces; all together also can cause thermal bridging throughout the envelope. Despite
68 differences in climate contexts, a hygro-thermal dynamic simulation study shows that
69 Passivhaus principles remain the same across the world (Schnieders et al., 2015), and the
70 Passivhaus standard can be adapted to different climates (James and Bill, 2016), including the
71 tropics, where MVHR is used without the heat recovery (summer bypass).

72 Shading offers a microclimate modifier by reducing outdoor thermal stress and direct solar heat
73 gain. Shading design often concerns aesthetics (Fiorito et al., 2016), building energy
74 conservation (Aflaki et al., 2015), climate change adaptation (Hooff et al., 2014) for building
75 thermal comfort; it is an important design feature for heat gain protection both in normal and
76 extreme environments (CIBSE, 2017). The performance of shading is varied according to
77 building parameters (Eltaweel and Su, 2017), climate elements (Valladares-Rendón et al.,
78 2017), and occupant behaviours and preferences (Brien et al., 2013). Summer overheating risks
79 are found in the highly insulated and airtight Passivhaus buildings despite its use of an active
80 ventilation system (Mitchell and Natarajan, 2019). Optimisation of several design inputs for
81 Passivhaus buildings, including external shading devices, thermal mass effect, and glazing
82 ratios are therefore becoming increasingly relevant to prevent summer overheating even for the
83 temperate climates of the United Kingdom (Rodrigues and Gillott, 2013, McLeod et al., 2013,
84 Rodrigues et al., 2016, Abdulla and Rodrigues, 2016, Osterreicher and Sattler, 2018).
85 Moreover, a building with Passivhaus envelopes will be in a passive and free-running mode if
86 its concept of mechanical ventilation is inactive. In this stage, a combination of shading design,
87 ventilation, and building envelope plays a role in improving building thermal performance for
88 a tropical Passivhaus building.

89 Evidence also shows that Passivhaus building envelope with shading provides the required
90 thermal comfort in a warm temperate climate of Italy (Costanzo et al., 2018), a dry-summer
91 subtropical climate of Portugal (Ferreira and Pinheiro, 2011), a humid subtropical climate of
92 Romania (Udrea and Badescu, 2020), a continental climate of Slovenia (Mlakar and Strancar,
93 2011), a subtropical desert climate of Dubai (Brumana et al., 2017) and Saudi Arabia
94 (Aldossary et al., 2017). Those studies showed that fixed roof extensions, overhangs, and
95 vertical movable shadings be more effective in those Passivhaus buildings. That revealed the
96 applicability of the Passivhaus building envelope with shading for different climate contexts.

97 Besides 60,000 certified Passivhaus buildings worldwide (as of 2016) (Passipedia, 2018), only
98 seven Passivhaus projects, including refurbishment, are found in the tropical countries (as of
99 2020) (Passive House Database, 2020). Hence, there is a scope of work to be investigated the
100 synergistic effects between shading and Passivhaus building envelope design for a tropical
101 climate context.

102 As material properties such as U-values, solar absorptivity and thermal mass significantly
103 affect the heat loss and heat gain of a building, selecting the optimal material properties and
104 ventilation strategies for insulation are crucial to improving the building thermal performance.
105 It is worth noting that the Passivhaus values may be slightly higher or lower depending on the
106 climate (Feist et al., 2019), “*depending on the boundary conditions in the individual*
107 *circumstances*”, highlighted by Dr Wolfgang Feist (the founder of Passivhaus) (Michler, 2015).
108 Unlike Passivhaus buildings for the cold climate, tropical vernacular buildings have high
109 insulation only on the roof but the whole building envelope is highly infiltrated and there is no
110 insulation on walls and floors (Lim, 1987, Rahman, 2007, Zune et al., 2020c, Zune et al.,
111 2020b). However, evidence (Table 1) showed that Passivhaus buildings in tropical climates
112 can be built with slightly higher U-values than the Passivhaus criteria for cold climates and
113 have additional design features to meet the local contexts differently (Passive House Database,
114 2020, Bere, 2013, Oetl, 2012, Yeh, 2020). In Table 1, the U-values of walls represent a
115 significant difference between the three buildings that could affect their energy performance;
116 however, all buildings are certified and met the Passivhaus criteria of comfort and energy
117 performance.

118

Table 1. Design information of new-built Passivhaus buildings in the tropical climates

	Austrian Embassy	Model House	Detached House
Location	Jakarta, Indonesia	São Gonçalo do Amarante, Brazil	Bangkok, Thailand
Climate	Tropical monsoon	Tropical wet and dry	Tropical savanna
Koppen classification	Am	Aw	Aw
Passivhaus ID	4340	5892	6340
U-values of walls, W/(m ² K)	0.320	0.210	0.16
Roof, W/(m ² K)	0.290	0.135	0.20
Floor, W/(m ² K)	1.100	0.138	0.20
Ventilation	Ventilation unit with 84% heat and 73% humidity recovery	Controlled ventilation, cool exchanger, 78% heat recovery.	-
Other design features	Solar water heater. Concrete core temperature control on the floor.	An irrigation system that can save up to 70% water.	Light colour finishes and shade on the walls.
Cooling load	85.2% annual total energy saving from conventional building	10 W/m ²	9 W/m ²
Cooling and dehumidification demand		69 kWh/m ² a	88 kWh/m ² a
Reference	(Oetl, 2012, Bere, 2013)	(Passive House Database, 2020)	(Yeh, 2020)

120 The risk of overheating in buildings and its consequent impacts on the health of occupants is a
121 growing concern as the planet continues to warm (Lomas and Porritt, 2016). During the past
122 20 years, there has been a 53.7% increase in heat-related mortality in people older than 65
123 years, reaching a total of 296,000 deaths in 2018; 475 million vulnerable populations were
124 exposed to heatwave events globally in 2019 (Watts et al., 2021). During 1998 to 2017, there
125 were two spikes related to disaster deaths: the spike year of 2003 includes 72,000 killed in
126 heatwaves in Europe that year, and the 2008 peak was caused by the 138,000 deaths from
127 Cyclone Nargis in Myanmar (Robine et al., 2008, Wallemacq and House, 2018). Regarding
128 heat-related climate change in Southeast Asia, trends in extreme temperature indices have been
129 reported in Thailand with a nearly 50% increase between two global warming levels set by
130 Paris Agreement (Limsakul, 2020); the number of heatwave days also increased continuously
131 from north to south in Vietnam (Tran et al., 2020); a recent measured and simulated data sets

132 highlighted that both vernacular and modern housing in Myanmar would face two challenges:
133 high vulnerability to extreme heatwave events, and inadequate response to increased mean air
134 temperatures (Zune et al., 2020d, Zune et al., 2020a). Whilst most tropical housing shares
135 similar passive design practices, their vernacular passive cooling techniques would not be
136 sufficient to achieve thermal comfort in the changing climate conditions. Among Asian
137 countries, Myanmar was ranked second out of 183 countries in the long-term climate risk index
138 for the period 1990-2018 (Harmeling et al., 2011, Eckstein et al., 2019); it continues to be at
139 high risks. This makes Myanmar an ideal choice for a tropical climate context, as the location
140 of our study and housing are a particularly relevant focus.

141 In this study, we explored the integration of Passivhaus concepts in Myanmar housing,
142 particularly from the Passivhaus U-values and shading to protect the heat gain from the tropical
143 climatic parameters. We started with the hypothesis that a slightly higher U-value for walls and
144 floors can be more effective for the tropical climate than the very low U-value suggested by
145 the Passivhaus standard for the cold climate. Following the hypothesis, we developed a
146 methodology that underlined the synergistic effects between shading and Passivhaus thermal
147 building envelope, particularly the effectiveness of thermal mass and insulation, to achieve free
148 cooling resources even in the extreme temperature conditions of the tropical climates. We
149 attempted to understand whether this hypothesis was true for the Myanmar climate and which
150 other parameters influenced the comfort levels.

151 **2 Methodology**

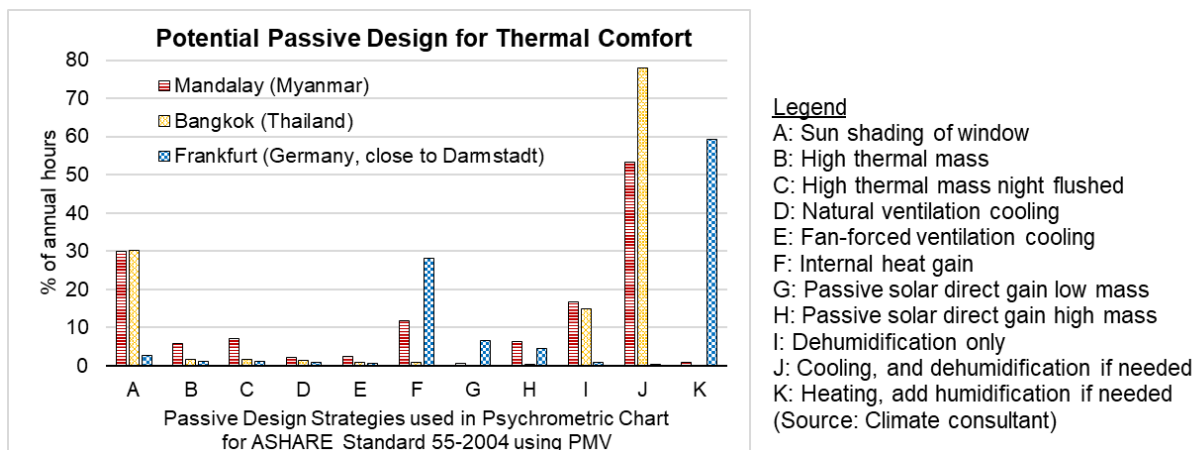
152 The building energy and thermal performances of a Passivhaus building are estimated using a
153 steady-state of heat balance thermal and energy model, which is generated from the laws of
154 physics using PHPP. The Passivhaus uses MVHR for the heating seasons, but often relies on
155 natural ventilation for cooling requirements. In tropical climates, if pre-cool air is not supplied

156 by mechanical ventilation, natural ventilation with shading is the most appropriate passive
157 cooling solution for a Passivhaus building when adopting a free-running model. It is
158 unacceptable if a threshold of a heat balance comfort model for a cold climate is considered in
159 an adaptive comfort model for the other climate. Therefore, we started by firstly quantifying
160 thermal comfort for free-running Passivhaus buildings in tropical climates, which allowed us
161 to identify different thermal comfort benchmarks for the comparison of the results generated
162 from the simulation studies.

163 In the second step, we undertook a review of the steady-state PHPP calculation for shading
164 design and compare it to other dynamic simulation programs, for example, Integrated
165 Environmental Solutions Virtual Environment (IESVE). In this exercise, the building design
166 parameters given for the PHPP Example file (version 8.5) (Feist et al., 2019) by the PHI were
167 used. The example 'End-of-terrace' building is the world's first Passivhaus building in
168 Darmstadt-Kranichstein (a city in southwest Germany). Through a review of the impacts of
169 shading design on heating and cooling loads of a Passivhaus building employing the PHPP
170 steady-state calculations for different climate contexts, the applicability of the Passivhaus
171 concept was determined. This review informed the next step when we investigated the impacts
172 of other parameters that were not included in the PHPP calculation.

173 Mandalay, the last royal capital and the second-largest city in Myanmar, was selected for this
174 study. Mandalay, located at 22°N, 96°E, features a noticeably warm summer and an equal
175 length of wet and dry seasons. According to the Koppen-Geiger climate classification,
176 Mandalay exhibits an equatorial winter dry climate (Kottek et al., 2006). High solar radiation
177 can be found during the solar time, from 09:00 to 16:00. As the solar altitude angles vary from
178 30° to 85° at the summer solstice solar time, a wide overhang can protect the direct intense sun
179 and high temperature. On the contrary, Darmstadt is located at 49°N and has a mild, warm
180 temperate climate. Therefore, the monthly average outdoor temperature of Darmstadt is lower

181 than 25°C, whereas the tropical climate of Mandalay has 67.8% of annual hours above the
 182 outdoor dry-bulb temperature of 25°C. Hence, the passive design used in tropical countries and
 183 cold climate countries could be significantly different according to their climatic parameters.
 184 Using psychrometric charts, Figure 1 compares the potential passive design strategies of
 185 Mandalay, Bangkok and Frankfurt (close to Darmstadt); the results showed that there were
 186 benefits of sun shading on windows and thermal mass for Mandalay. Unlike tropical cities near
 187 the Equator, for instance, compared to Jakarta and Bangkok, passive cooling can be extended
 188 up to 7% of annual hours using high thermal mass with night flushed ventilation in the tropical
 189 weather of Mandalay due to its diurnal temperature variation (Zune, 2021, Zune et al., 2020b).
 190 Hence, shading, thermal mass, and night-purge ventilation were considered as passive design
 191 strategies in this study that would also benefit future climate change conditions in the tropics.



192
 193 *Figure 1. Comparison of potential passive design strategies for Myanmar, Thailand and*
 194 *Germany, based on the Psychrometric studies using ASHRAE standard 55-2004.*

195 Finally, using the IESVE simulation tool, which is certified by the CIBSE system of model
 196 classification, we investigated the synergistic effects between shading and Passivhaus thermal
 197 building envelope that identify unresolved issues of the Passivhaus envelope in a tropical
 198 climate. This simulation exercise aimed to compare the impacts of two parameters on building
 199 thermal performance, namely building material and temperature parameters (which do not have
 200 to affect the physical meaning of the building directly) and shading parameters (which have
 201 physical data). Whilst the PHPP presents its results in monthly and annual figures, the IESVE

202 generates its results from daily figures of 24 hours for each design day (IESVE, 2015). Whilst
203 the PHPP calculates the impacts of revealing shadings on the building thermal performance,
204 those effects were not fully captured in the IESVE models. Many scholars also have used the
205 IESVE for the Passivhaus research with a focus on thermal analysis and shading design
206 (McLeod et al., 2013, Aldossary et al., 2017, Abu-Hijleh and Jaheen, 2019, Costanzo et al.,
207 2020).

208 **2.1 Simulating building thermal performance**

209 Whereas the configurations of shading (e.g., an egg-crate shading device which is a
210 combination of overhangs and fins devices) play a role to avoid direct radiant solar heat gain
211 from various angles (A.Al-Tamimi and SyedFadzil, 2011), the conditions of indoor thermal
212 environments (i.e., ventilated and unventilated conditions) influence the extent of sensible heat
213 flow paths of a building. If only natural ventilation is considered in a building with a Passivhaus
214 building envelope, the building is in a purely free-running mode for passive cooling. In this
215 case, the indoor thermal performance in a building is affected by the thermal properties of
216 building envelopes (particularly from the thermal capacity for thermal mass and insulation),
217 ventilation (including infiltration), occupants, and other auxiliary loads. The stored heat in
218 thermal mass is emitted with some delay; therefore, a building with high thermal mass needs
219 night-purge ventilation to cool and discharge accumulated heat from the mass for the next day.
220 The results of decrement delay and differences between peak external and internal temperatures
221 can be found in the hourly times series for different days. Despite the locations of thermal mass
222 and its correspondence, shading would be critical in the tropical climates due to its
223 requirements to prevent high solar heat gain on the surface of thermal mass; those aspects are
224 not able to define in the PHPP clearly. Furthermore, a synthetically generated hourly time series
225 is also not fully captured in the results of PHPP; therefore, critical differences between typical

226 and extreme values can lead to systematic deviations if the effects of thermal mass and natural
227 ventilation are considered in a building with Passivhaus building envelope.

228 The room height is suggested as 2.5m by default for a residential building to calculate the
229 ventilated interior air volume in the PHPP; it is because the PHPP considers a building height
230 from the whole dwelling level, rather than from individual rooms. As the building height can
231 affect the ventilation volume for pre-heating and cooling air, the PHPP highlights to use of the
232 actual building height for non-residential buildings. The typologies of a roof, i.e., flat roof or
233 gable roof with no ceiling, could also affect the calculation of internal air volume for
234 mechanical calculation. On the other hand, it has been highlighted that a Passivhaus building
235 should consider overheating in individual rooms, rather than at the whole-dwelling level
236 because different rooms of one dwelling can be faced overheating differently (Mitchell and
237 Natarajan, 2019). Based on the review presented in this section, we further investigated the
238 impacts of shading on the Passivhaus building envelopes for the tropical contexts using IESVE
239 dynamic simulation.

240 **2.2 Study models**

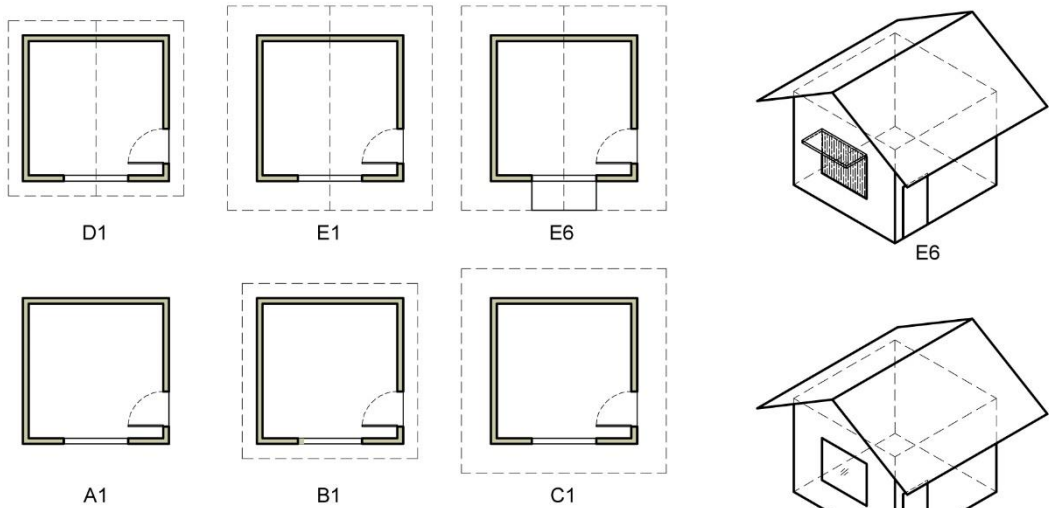
241 Investigating the synergistic effects between external shading, Passivhaus U-values, and
242 ventilation design entails a wide scope of work and contains a tremendous number of
243 parameters. Understanding the sensitivity of the parameters (and their variables) is important
244 in decision making and considerations for a variety of alternatives to enable comparison and
245 optimisation methods. Each building plan and built form have both advantages and
246 disadvantages; it must therefore determine which configurations have the most pronounced
247 impact on building performance. Using an actual building as a sample would have been
248 beneficial for decision making, because of its practicality and reality; however, to date, there
249 are no Passivhaus design experiments in the Myanmar context. A simplified (surrogate) meta-
250 model was thus used in simulation exercises for an appropriate study region within Myanmar

251 to trial the Passivhaus approach in tropical climate contexts. One benefit of using a simplified
252 model is that the potential impact of selected variables and their interaction can be understood
253 easily, and can deconflict a certain degree of abstraction (Eisenhower et al., 2012).

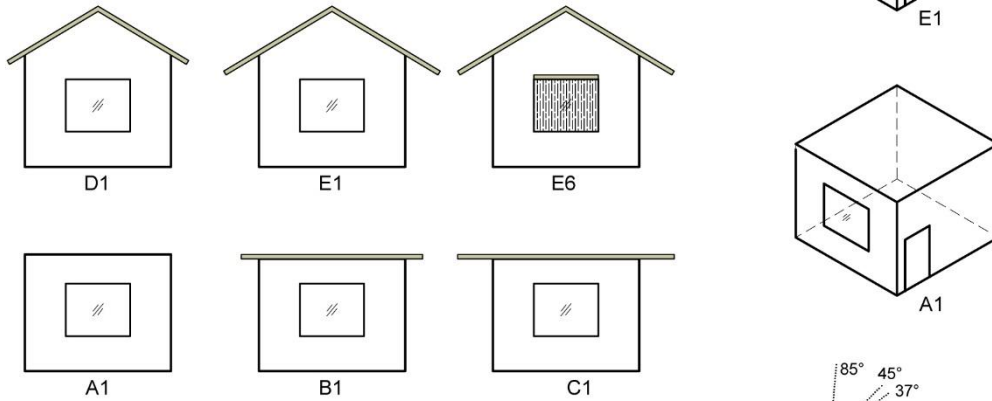
254 For a timber building in Myanmar, room span and column spacing often come with 9 feet
255 (2.7metre) as the market timber size comes with 18 feet (5.4 metres). Therefore, square
256 building plans are often found as 18x18 feet or 27x27 feet, and rectangle building plans are
257 often found as 18x27 feet or 27x36 feet. In this study, a small building plan with 18x18 feet
258 was considered, but the values in the metric unit were considered as 5x5 metres. Therefore, all
259 simulation models used in this study were proposed the same sizes: 5m length, 5m width, and
260 4m height. Each model had a south-facing glazed window and an east-facing door; therefore,
261 the glazing was not directly affected by high afternoon ambient temperatures. Five model
262 groups – A, B, C, D and E (Figure 2) - were defined according to the roof forms and roof
263 extensions. The gable roof was aligned in a north-south direction and had 1.5m in height.
264 Therefore, the model groups A, B, and C had an external surface area of 130m² each; the model
265 groups D and E had an external surface of 141.6m² each; all models had the same treated floor
266 area (TFA). In all models, the door had 1.2m in width and 2.2m in height; the window had
267 2.2m in width and 1.8m in height.

268 In this study, the model groups were further sub-divided by six shading scenarios, namely: (1)
269 no shading, (2) internal shading with roller blind, (3) 0.5m width window overhang, (4) 1m
270 width window overhang, (5) 0.5m width window overhang with internal roller blind shading,
271 and (6) 1m width window overhang with internal roller blind shading. In sum, there were using
272 30 models (Figure 2) for this study. For the internal shading device, it was considered that there
273 was no insulation property on the internal blind which was applied on the window during the
274 daytime with a shading coefficient of 0.5 and short-wave radiant fraction 0.3 for Venetian
275 blind.

PLANS AND ELEVATIONS FOR FIVE MODEL GROUPS

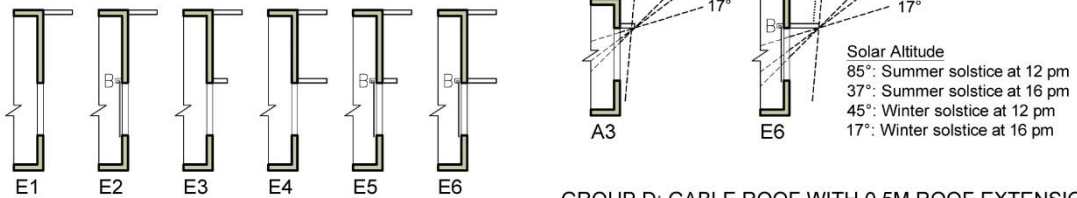


ELEVATION FOR SIX SHADING SCENARIOS



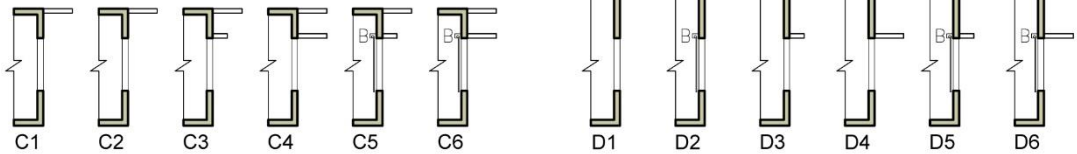
SECTION FOR SIX SHADING SCENARIOS

GROUP E: GABLE ROOF WITH 1M ROOF EXTENSION



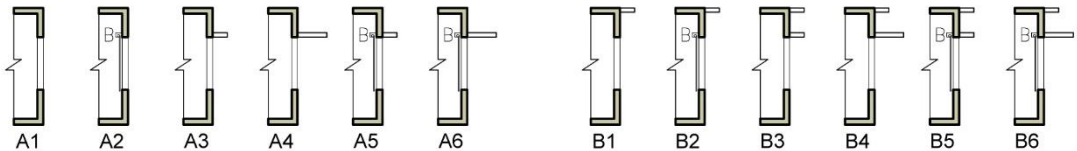
GROUP D: GABLE ROOF WITH 0.5M ROOF EXTENSION

GROUP C: FLAT ROOF WITH 1M ROOF EXTENSION



GROUP A: FLAT ROOF WITH NO ROOF EXTENSION

GROUP B: FLAT ROOF WITH 0.5M ROOF EXTENSION



276
 277
 278
 279

Figure 2. Example plans, elevations, and isometric models of the simulation models with different shading types on the south elevation

280 Three materials sets were proposed to investigate in the simulation study, namely-

- 281 I. Passivhaus building envelope configurations (full compliance with Passivhaus U-
282 values),
- 283 II. partial Passivhaus building envelope configurations (compliance with Passivhaus U-
284 values for wall and roof, but increased U-value for the floor,
- 285 III. Non-Passivhaus building envelope configurations (not complying with Passivhaus U-
286 values in walls and floor as their U-values were slightly increased, but compliance with
287 Passivhaus U-values for the roof).

288 Each building envelope configuration was then subdivided into three different thermal mass
289 types (heavy-weight, medium-weight, and lightweight). Also, a very lightweight but a higher
290 U-value wall type, which is a common 4.5 inches thickness brick wall type in Myanmar, was
291 considered. The construction materials of the simulation matrix (Figure 3) were roughly
292 selected based on the available material in Myanmar to differentiate the U-values between the
293 Passivhaus level and the increased U-value of the partial Passivhaus level. A study based on
294 Myanmar climates (Zune et al., 2018b) showed that if the roof has low solar absorptivity and
295 high thermal emissivity "the higher the u-value, the better" could overwrite "the lower the u-
296 value, the better." Although the impacts of solar absorptivity on highly insulated walls and
297 roofs are negligible, their effects would be significant with greater U-values of lightweight
298 building envelope components used in this study. Moisture comes from the monsoon weather
299 is a crucial food for the growth of algae and moulds; therefore, many Myanmar houses tend to
300 choose a medium colour to protect the colour changes from a pure light colour envelope.
301 Therefore, in order to represent a typical Myanmar house, a mid-range of solar absorptance
302 values was considered. Glazed windows are the main area of building heat loss and heat gain
303 than other parts of a building; the Passivhaus standard thus addresses with a high-performance
304 window with low U-values but suggests using a high solar heat gain coefficient (SHGC) for
305 solar heat gain demand in cold climates. For tropical climates, solar heat gain is undesirable.
306 Typical Passivhaus is recommended a g-value higher than 50% in milder climates as solar gains

307 are desirable in winter (Feist et al., 2019). For brevity of this study, the SHGC, a lower g-value
 308 of 0.37 was considered in all models. In sum, using three materials sets with three thermal mass
 309 types, there were ten building envelope scenarios with a range of thermal properties for this
 310 study, as shown in Figure 3 and Table 2.

(a) Building material code used in this study

KAPPA VALUE FOR THERMAL MASS = 136 KJ/(M ² K) 		U-VALUES ROOF: 0.14 W/m ² K WALL 1: 0.14 W/m ² K WALL 2: 0.14 W/m ² K WALL 3: 0.14 W/m ² K WALL 4: 0.20 W/m ² K WALL 5: 0.20 W/m ² K WALL 6: 0.20 W/m ² K WALL L: 3.04 W/m ² K FLOOR P: 0.15 W/m ² K FLOOR X: 1.10 W/m ² K	MATERIAL LEGEND BL: CONCRETE BLOCK BR: BRICK C: CONCRETE H: HARDCORE / SAND I: INSULATION
WALL: HEAVY-WEIGHT, PASSIVHAUS U-VALUE WALL: HEAVY-WEIGHT, INCREASED U-VALUE			A1_1P 1: WALL CODE A1: MODEL NAME P: FLOOR CODE
KAPPA VALUE FOR THERMAL MASS = 75 KJ/(M ² K) 			
WALL: MEDIUM-WEIGHT, PASSIVHAUS U-VALUE WALL: MEDIUM-WEIGHT, INCREASED U-VALUE		ROOF: PASSIVHAUS U-VALUE	FLOOR: PASSIVHAUS LEVEL WITH ITS SUGGESTED U-VALUE
KAPPA VALUE FOR THERMAL MASS = 60 KJ/(M ² K) 			
WALL: LIGHT-WEIGHT, PASSIVHAUS U-VALUE WALL: LIGHT-WEIGHT, INCREASED U-VALUE		WALL L: LIGHT-WEIGHT, VERY HIGH U-VALUE	FLOOR: INCREASED U-VALUE

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(b) Ten different building envelope configurations and code number (example for Model A1)

I. Passivhaus building envelope configurations	
i	A1_1P : Model A1 with Heavy-weight Passivhaus wall and Passivhaus floor
ii	A1_2P : Model A1 with Medium-weight Passivhaus wall and Passivhaus floor
iii	A1_3P : Model A1 with Light-weight Passivhaus wall and Passivhaus floor
II. Partial Passivhaus building envelope configurations	
iv	A1_1X : Model A1 with Heavy-weight Passivhaus wall and increased U-value for floor
v	A1_2X : Model A1 with Medium-weight Passivhaus wall and increased U-value for floor
vi	A1_3X : Model A1 with Light-weight Passivhaus wall and increased U-value for floor
III. Non-Passivhaus building envelope configurations	
vii	A1_4X : Model A1 with Heavy-weight increased U-value for wall and increased U-value for floor
viii	A1_5X : Model A1 with Medium-weight increased U-value for wall and increased U-value for floor
ix	A1_6X : Model A1 with Light-weight increased U-value for wall and increased U-value for floor
x	A1_LX : Model A1 with Light-weight high U-value for wall and increased U-value for floor
Note: Similar naming systems to be applied to other model groups.	

312

313 *Figure 3. Simulation codes based on model name and building material codes*

314

315 *Table 2. Building envelope configuration used in this study (CIBSE, 2015, IESVE, 2015)*

Building envelope configuration	U-value (W/(m ² K))	Kappa value for thermal mass (kJ/(m ² K))	Mass (kg/m ²)	Solar absorptance	Thickness (mm)
<u>Roof</u>					
Comply with Passivhaus U-value	0.14	95	306	0.55	385
<u>Wall</u>					
1. Heavy-weight, Passivhaus U	0.14	136	489	0.5	500
2. Medium-weight, Passivhaus U	0.14	75	258	0.5	415
3. Lightweight, Passivhaus U	0.14	60	130	0.5	400
4. Heavy-weight, Increased U	0.20	136	489	0.5	440
5. Medium-weight, Increased U	0.20	75	266	0.5	355
6. Lightweight, Increased U	0.20	60	130	0.5	340
L. Lightweight brick wall, not complying with Passivhaus U-values.	3.04	60	255	0.5	150
<u>Floor</u>					
P. Comply with Passivhaus U-value	0.15	174	780	0.55	580
X. Increased U-value	1.11	400	812	0.55	400
<u>Window</u>					
Comply with Passivhaus U-value	Net U = 1.25, U (glass only) = 0.75, g-value = 0.37*.				
<u>Door</u>					
Comply with Passivhaus U-value	Net U = 0.8				

316

317 **2.3 Calculation and simulation cases**

318 Internal heat gain, ventilation profiles, and weather scenarios were first defined. In this study,
 319 the internal (sensible) heat gain was set as 2.1 W/m² as a continuous profile, which is based on
 320 the PHPP suggestion of the range of 2.1 to 4.1 W/m² for a single-family home (Feist et al.,
 321 2019), considering the use of highly efficient appliances and lighting and low occupancy, as
 322 suggested by Passivhaus standard; however, the latent gain was not considered. The infiltration
 323 rate was set as 0.042 ACH in this study; this value results from a 50 Pa fan pressurization test
 324 result of 0.6 h⁻¹ (air changes per hour) which is the highest air leakage rate permitted for
 325 Passivhaus buildings (Feist et al., 2019) and energetically effective air exchange rate of 0.072
 326 h⁻¹; however, it is important to note that this study was particularly focused on a free-running
 327 mode with natural ventilation. Therefore, the impacts of infiltration are hardly noticed. Window
 328 opening during the daytime is very common in Myanmar, regardless of lightweight or non-
 329 lightweight building types. Therefore, the impacts of different building envelope
 330 configurations on indoor air temperature were firstly checked for daytime ventilation by
 331 opening windows in all models from 06:00 to 18:00. Night-purge ventilation plays a critical

332 role to cool, and discharge accumulated heat from the mass. Therefore, four window opening
333 profiles – 24 hours open (including night-purge ventilation), 24 hours close, daytime open and
334 daytime close – were introduced. The same simulation exercises were then repeated using three
335 windows profiles to check the impacts of night-purge ventilation.

336 Simulation cases were generated using a typical weather file produced by [Huang et al. \(2014\)](#),
337 which accounts for the years between 2005 to 2013. A historical weather file for the year 2019
338 and two future weather files produced by [Zune et al. \(2020d\)](#) were also used in this study. The
339 future weather file-1 was based on a typical weather file that contained large diurnal
340 temperature differences throughout the year. The future weather file-2 was based on recent
341 weather data monitored in 2019, which contained small diurnal temperature differences
342 throughout the year due to increased night-time temperatures in Myanmar. The NASA
343 projections for mean annual and seasonal temperature change above the baseline (1980-2006)
344 across Myanmar showed that the country is projected to warm by 1.3°C to 2.7°C in 2041-2070
345 ([Horton et al., 2017](#), [NASA, 2015](#)). Whilst the exact temperature increment is unpredictable,
346 adding a single estimate of expected annual change in air temperature consistently to the typical
347 or historical weather files could provide useful estimates of heating and cooling demand ([Cox
348 et al., 2015](#)). In this study, both future weather files were created by adding increased
349 temperature values consistently to the typical and historical weather files; the method was
350 referred to the use of a “shift” of a current hourly weather data parameter following the studies
351 by ([Jentsch et al., 2008](#)). It is worth noting that [CIBSE TM59 \(2017\)](#) suggests using the design
352 summer years (DSYS) for analysis of overheating, and it is good practice to take into account
353 future weather files. Noted that this study used predicted summer temperatures which were
354 higher than the other seasons. The NEX-GDDP dataset by NASA includes downscaled
355 projections for Representative Concentration Pathway an intermediate scenario RCP 4.5 and a
356 worst-case climate change scenarios RCP 8.5 from the 21 models and scenarios for which daily

357 scenarios were produced and distributed under CMIP5 (Coupled Model Intercomparison
 358 Project Phase). As of July 2021, the Meteorology data sets for Myanmar come without global
 359 radiation. Future weather files used in this study were further limited because parameters such
 360 as solar radiation, sky cover, relative humidity, wind, and precipitation were not changed in the
 361 future weather files due to limited available data sets. Despite predefined studied models and
 362 simulation inputs, other building parameters such as glazing ratio, external emissivity, and solar
 363 absorptance coefficients remained consistent in all simulation models. Table 3 presents a list
 364 of simulation matrices and simulation sets used in this study based on predefined scenarios.

365 *Table 3 Simulation matrix used in this study*

Scenarios	Count	Name of scenarios
Shading	30	Model A1 to E6
Building envelope materials	10	1P, 2P, 3P, 1X, 2X, 3X, 4X, 5X, 6X, LX
Window Opening	4	24 hours open, daytime open, daytime close, and 24 hours close
Weather files	4	typical, recent, and two future weather scenarios

Parameters	Description
Material properties	Table 2
Internal gains	2.1 W/m ²
Infiltration	0.042 ACH
Ventilation	Transient wind-driven ventilation from outdoor wind speed
Temperature setpoint	23.4°C (mean outdoor temperature of December and January when it was assumed the indoor temperature was closely reflected the weather outdoor in a free-running mode)

Simulation sets	Comparison and use of simulation scenarios
1. Shading scenarios	Comparisons of 30 models (Figure 2) in a daytime window opening scenario where the building envelope configurations were switched from 1P to LX.
2. Building envelope material configuration	Comparisons of 10 building envelope configurations (Figure 3 and Table 2) where 6 models (A1, A4, C1, C4, E1, E4) were simulated in a daytime window opening scenario.
3. Outdoor climate influences	Comparison of four different outdoor climates.
4. Extreme thermal discomfort	Consideration of future extreme outdoor temperatures in a naturally ventilated condition.
5. Window opening schedule effect	Consideration of the resultant effects of thermal mass and insulation using four window opening profiles.

368

379 3 Quantifying thermal comfort

370 The modern science of thermal comfort considers that thermal comfort is affected by a number
371 of subjective perceptions and incidental activities relative to ambient air temperature,
372 surrounding surface temperatures, humidity, and airflow rate parameters, which are
373 collectively considered environmental parameters in objectively defining the thermal comfort
374 range of a physical environment (Fanger, 1970). The ASHRAE and the BS EN ISO 7730:2005
375 (10) defined thermal comfort as a psychological phenomenon (Nicol et al., 2005) that shows
376 *'that state of mind which expresses satisfaction with the thermal environment'* (ASHRAE,
377 2010, BSI, 2006).

378 Humans worldwide have the same essential physiology and a core temperature of around
379 36.5°C to 37.5°C, which is conceptualised as a thermodynamic machine in models to
380 benchmark and maintain thermal comfort, regardless of great variety in human metabolism,
381 activities, and cultural and climate differences (Roaf et al., 2005). The thermal sensation is also
382 influenced by seasonal variations and outdoor weather; for instance, the summer temperature
383 causes a higher core temperature and a greater decrease in body weight than winter (Nakayama
384 et al., 2019). A study shows that the Asian groups consistently selected a personal cooling
385 system (PCS) airflow temperature 5°C higher, leading to 1.9°C warmer microclimate
386 temperatures close to the person's chest compared to the European groups; therefore, both the
387 Chinese and the Japanese participants selected significantly warmer temperatures of the PCS
388 than the white, middle-western- Europeans (Havenith et al., 2020). There is a scope of
389 subjective and cultural influences in thermal comfort by differences in ethnicity, heat
390 production/metabolism, and long-term thermal history.

391 Climate change has been described as 'the biggest global health threat of the 21st Century
392 (Costello et al., 2009) as it causes different episodes of extremely hot or cold temperatures
393 which are associated with increased mortality. A study which estimated the relative risks of

394 mortality in 11 cities of Eastern United States in 1973–1994 shows that there is a strong
395 association of the temperature-mortality relation with latitude, with a greater effect of colder
396 temperatures on mortality risk in more-southern cities and of warmer temperatures in more-
397 northern cities (Curriero et al., 2002). Furthermore, factors such as baseline health and nutrition
398 status, access to health care, demographics, and ability to respond to extreme conditions are
399 important to research estimating weather-related mortality impacts from climate change; the
400 study in the United States is an example (Anderson and Bell, 2009). Short-term peaks in
401 mortality are associated with heat waves (Nicol et al., 2005). During the 2003 European
402 heatwave, many European countries reached record-breaking temperatures, including 41.1°C
403 in Auxerre (France), 45.2°C in Seville (Spain), 46°C in Sicily (Italy), 38.5°C in Kent (United
404 Kingdom), and 48°C in Amareleja (Portugal) (WMO, 2010), and resultant deaths across the
405 continent exceeded 70,000 (Robine et al., 2008). Likewise, during the 2010 heatwave in
406 Myanmar, the outdoor maximum air temperature reached 47.2°C in Myinmu, 46.5°C in
407 Myingan, 45.7°C in Monywa, 45.5°C in Magway, 45°C in Mandalay, 44°C in Meiktila, and
408 42.5°C in Yangon (Phyu, 2010). As a result, more than 230 people died of heat-related illness
409 as a consequence of the 2010 heatwave, a record from the health authorities of Mandalay (Nai,
410 2010). An observational study for people aged 65 to 74 shows that the mortality was lowest at
411 14.3-17.3°C in north Finland but at 22.7-25.7°C in Athens (Greece) (Keatinge et al., 2000).
412 Therefore, weather–mortality relationships from one community may not be applicable in
413 another, i.e., the contexts of American, Asian and European, although mortality risk increases
414 with the intensity or duration of heatwaves.

415 Buildings are often designed using two methods in the judgment of thermal comfort - heat
416 balance and adaptive methods – both in combination as a mixed-mode or hybrid model, in
417 addition to considering factors influenced by cultural mechanisms, behavioural adjustments,
418 and psychological adaptations. Using the heat balance method and an ‘active’ ventilation

419 approach, the steady-state PHPP calculations are carried with a limited range of physical
420 measures relating to space occupied and assumed clothing, occupancy, and activity level (Feist
421 et al., 2019). In a heat balance model, thermal comfort is achieved if the body temperature can
422 be held in a narrow range as skin moisture is low, and physiological effort of regulation is
423 minimised. In this model, the building and equipment size are often designed considering an
424 ultimate acceptable limit (e.g., peak temperature threshold). Hence, for mechanically ventilated
425 buildings that have a heat balance model, using predicted mean vote (PMV), CIBSE defines
426 the acceptable temperature ranges based on a narrow range of $\pm 0.2\text{K}$ for a high level of the
427 category I building, $\pm 0.5\text{K}$ for a normal level of the category II building and $\pm 0.7\text{K}$ for a model
428 level of the category III building, where the category of the buildings is defined based on the
429 applicability and level of expectancy (CIBSE TM52, 2013). Furthermore, the CIBSE
430 recommends using 21°C to 25°C as an acceptable summer operative temperature range in
431 dwellings (CIBSE, 2015). Unlike CIBSE, the PHI suggests that the frequency of overheating
432 above 25°C should not exceed 10% of the occupied year in a Passivhaus building (Feist et al.,
433 2019). If the frequency of overheating above 25°C is exceeded by 15% of the occupied year,
434 the Passivhaus assessment considers this to be an unacceptable failure of performance (Hopfe
435 and McLeod, 2015), whereas the best Passivhaus practices suggest keeping this value under
436 5% due to the prediction of occupant's behaviour in the cold European climates (Mitchell and
437 Natarajan, 2019).

438 The implications of air movement and humidity are considered in the adaptive comfort method;
439 therefore, a comfort zone of 2 to 3°C on either side of the optimum can be taken as acceptable
440 limits (Nicol, 2004). The occupants in naturally ventilated buildings are thus often tolerant of
441 a significantly wide range of temperatures based on a combination of behavioural adjustment
442 and psychological adaptation (deDear and Brager, 1998). Hence, the values for acceptable
443 temperature range and overheating thresholds for free-running buildings vary in different

444 standards according to the building types and other factors. Unlike a heat-balance model, the
445 acceptable temperature ranges for free-running buildings in the CIBSE buildings are $\pm 2\text{K}$, $\pm 3\text{K}$,
446 and $\pm 4\text{K}$ for the category I, II, and III buildings, respectively (CIBSE TM52, 2013). The CIBSE
447 further suggests that the internal operative temperature (a combination of mean radiant
448 temperatures and air temperatures) of a free-running building should not exceed 30°C (CIBSE,
449 2015, CIBSE TM52, 2013).

450 Until 2021, no research and assessments have provided the thermal threshold of both the heat
451 balance and adaptive models for the Myanmar context. Singapore and Thailand countries are
452 geographically close to Myanmar; therefore, they share similar vernacular house styles in the
453 past for similar climates. In a modern case, field experiments in naturally ventilated buildings
454 in Singapore (deDear et al., 1991) and the study of the thermal response for the Thai office
455 environment (Busch, 1990) presents a good agreement of the thermal neutrality 28.5°C for the
456 internal operative temperature in the tropical climates, whereas culture context could affect
457 thermal response. As the Passivhaus standard was initially developed using the heat balance
458 method for a cold climate, 25°C limits of an overheating threshold would have been a question
459 for a free-running building with a Passivhaus building envelope in the tropical climate. In
460 Myanmar, the average daily high temperatures in the weather files of Mandalay were usually
461 above 26°C throughout the year, and above 30°C in the summer. In Mandalay, annual relative
462 humidity is usually above 40% (Zune et al., 2018a); therefore, above the air temperature 37°C
463 with a relative humidity of 40% in shaded areas, a heat-index temperature is increased, then
464 human health is threatened with increased risk of heat cramps, heat exhaustion, and heat stroke
465 (National Weather Service, 2019). If the air temperature is above 40°C with a relative humidity
466 of 40% to 50%, an extreme danger stage of heat stress could start. Hence, the indoor operative
467 temperatures 30°C , 36°C and 40°C were proposed in this study to check the simulation results
468 generated for scenarios with free-running modes, considering the values of 30°C and 36°C

469 could be likely appropriate benchmarks for the context of hot and humid climates; similar
470 comparison method was used in the study of the vulnerability of homes to overheating in
471 Myanmar (Zune et al., 2020d). Note that those values do not represent comfort benchmarks for
472 Myanmar subjects in a free-running mode. For the steady-state PHPP calculation, due to the
473 limited existing thermal comfort literature for Myanmar subjects, the overheating benchmark
474 25°C was used in this study when it was compared to 19 cities from different countries, as
475 presented below.

476 **4 Shading in Passivhaus buildings**

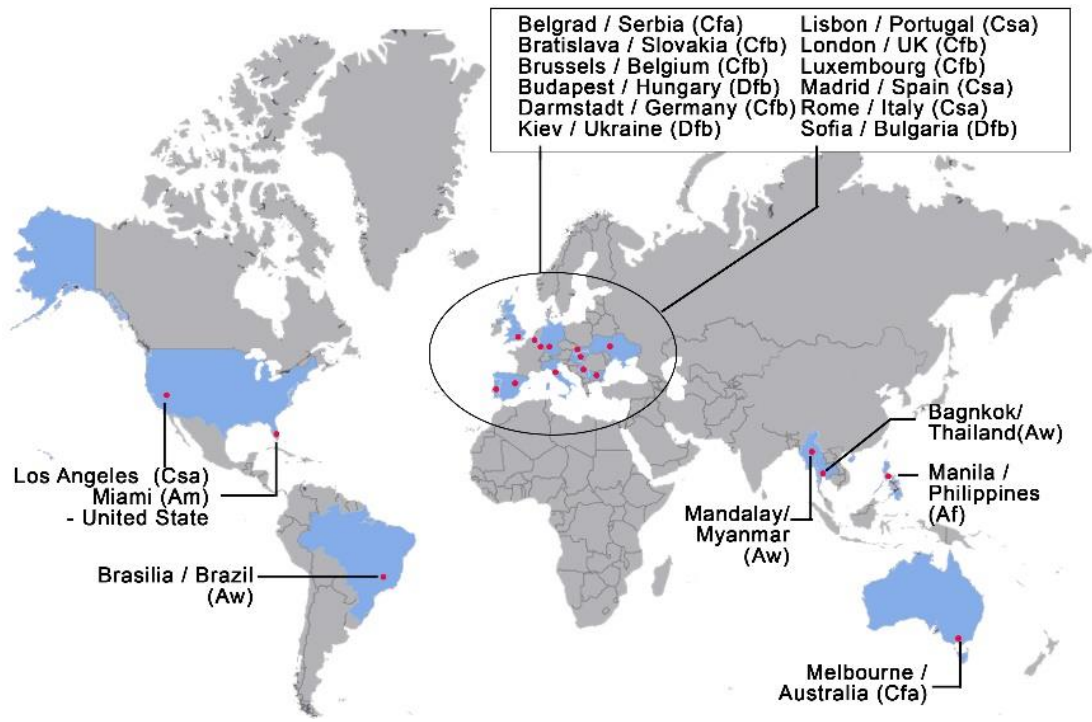
477 The shading reduction factors in the PHPP are particularly calculated from five elements:
478 horizontal obstruction shading factor, vertical shading factor (e.g., the effect of window reveal),
479 horizontal shading factor (e.g., balcony slab or lintel or overhang), additional shading elements
480 (e.g., the effect of winter and summer), and temporary sun protection (e.g., the percentage of
481 activation factor).

482 In this PHPP study, the world's first Passivhaus building was selected for a studied building.
483 It was built with solid constructions and is oriented exactly towards the south and has 156m²
484 of the TFA, 665m³ of the enclosed volume, 184.3m² of the exterior walls, and 43.5m² of
485 windows areas. Motorised external blinds are fitted for utilization as an easy-to-use temporary
486 shading device, providing the possibility of free night-time ventilation in summer and passive
487 solar gain in winter (Passipedia, 2019). Summer comfort is achieved by the high thermal mass
488 of the building structure in combination with exterior Venetian blinds on the east and west
489 façades (Schnieders et al., 2019). If all the design parameters of a building (i.e., geometry,
490 material properties, building services, and benchmarks for heating and cooling demands) were
491 fixed but the outdoor climates were varied, the impacts of the different climate contexts on the
492 buildings could be checked. Therefore, a total of 19 cities across the world were selected to
493 review the impacts of different climate contexts on the PHPP calculation. The impacts of

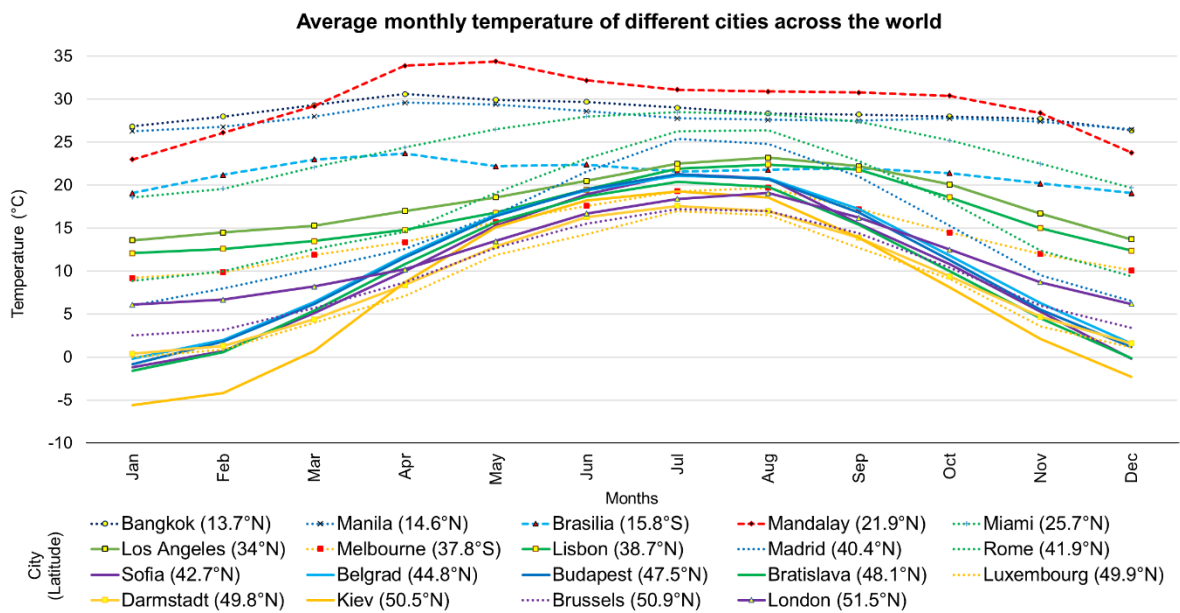
494 shading design on a building were calculated in this exercise based on useful cooling demands
495 and its frequency of overheating from its monthly and annual profiles.

496 A comparison of their average monthly temperature profiles and their Koppen climate
497 classification is presented in Figure 5; the temperature data of 19 cities (Figure 4) was taken
498 from the PHPP software; the temperature data of Myanmar was from ASHRAE (Huang et al.,
499 2014). There were significantly higher monthly temperatures in Mandalay (Myanmar),
500 Bangkok (Thailand), and Miami (USA) than in other cities.

501 As the building used for this exercise was designed with Passivhaus building envelope
502 materials properties and shading for the climate of Darmstadt, understandably, when the
503 outdoor climate data was switched from Darmstadt to other cities, the building was not meet
504 the Passivhaus requirements of comfort and energy criteria. Therefore, the results of Figure 6a
505 showed that both cooling demands and frequency of overheating were significantly increased
506 in the warm-temperate climate cities (e.g., Madrid, Rome, and Lisbon); on top of that, the
507 effects of horizontal and reveal shadings were more profound in the tropical and hot climates
508 cities (e.g., Los Angeles, Miami, Brasilia, Manila, Bangkok, and Mandalay). Remarkably, in
509 all cities, both cooling demands and frequency of overheating were slightly increased if the
510 overhang shading were excluded and significantly increased if the temporary shadings were
511 excluded - that showed the impacts of temporary shadings on the tested building. Even with all
512 the shadings (horizontal obstruction shading factor, window reveal shading, overhang, and
513 temporary shading), 90% overheating time of a year was found in Miami, Brasilia, Bangkok,
514 and Mandalay due to the impacts of tropical and hot climate contexts (Figure 6b).

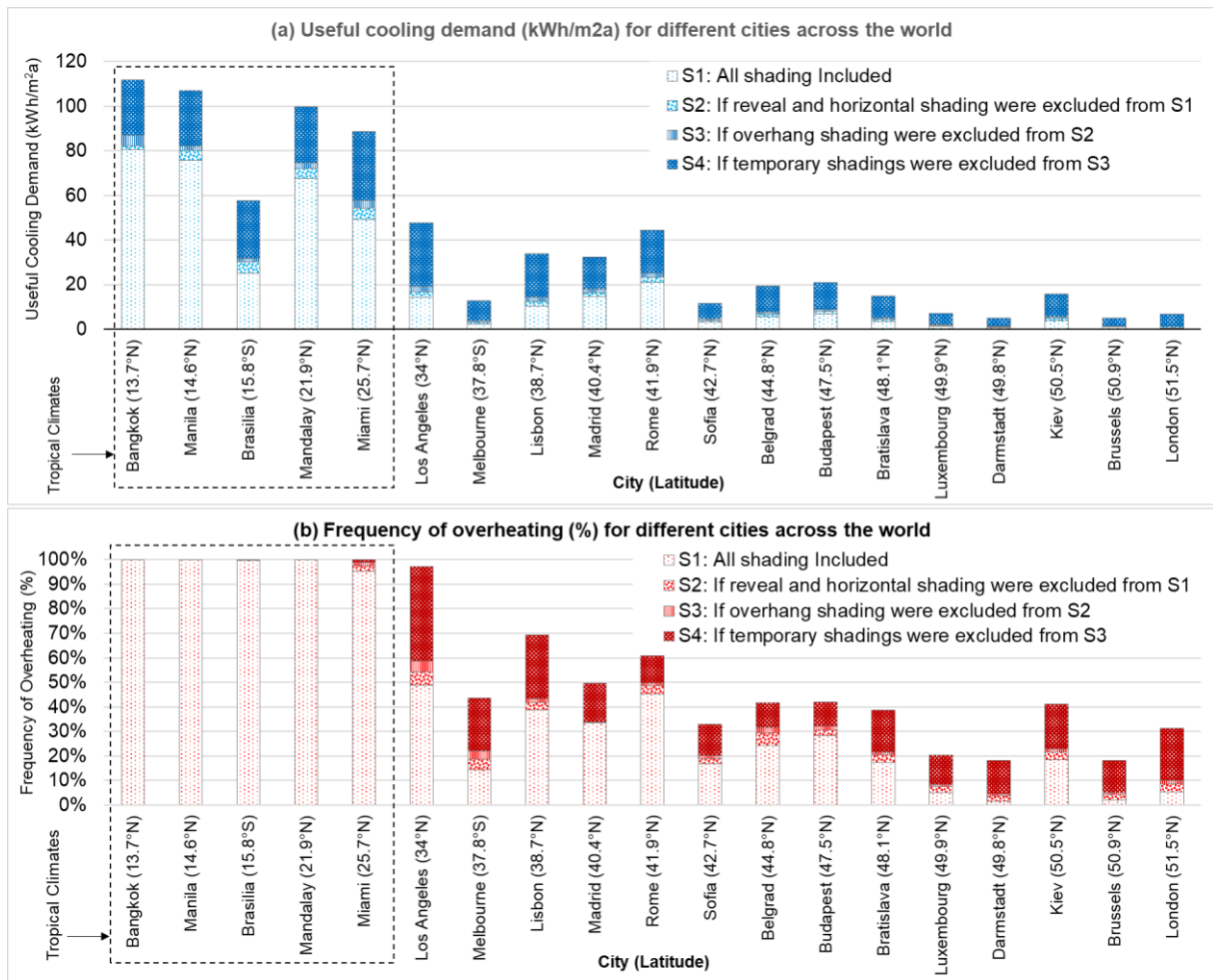


515
516 *Figure 4 Location and Koppen climates of studied cities*



517
518 *Figure 5. The average monthly temperature profile of 19 cities with their Koppen climate*

519



520
521
522

Figure 6. Annual useful cooling demand and frequency of overheating from the tested building located in 19 cities - PHPP shading calculation

523

It was found more than 80 kWh/m²/year of space cooling load in Figure 6 for Miami, Bangkok,

524

and Mandalay. The Bangkok Passivhaus, which is certified for a newly built dwelling, use

525

cooling and dehumidification demand 88 kWh/m²/year calculated according to PHPP (Passive

526

House Database, 2020); therefore, it was an unsurprising result of Mandalay's tropical

527

Myanmar climate. Calculating heat balance by modifying building envelope parameters (e.g.,

528

U-value), equipment size and performance, internal gain, etc, following the PHPP guide, would

529

be necessary for further investigation. Despite the limitations discussed in this exercise, the

530

results (Figure 6) revealed that there were significant impacts of outside climates and temporary

531

shading on the tested building. The PHPP calculation provided in-depth details of shading

532

calculation through different shading reduction factors; that shows a small amount but

533

noticeable results in Figure 6 (see S2: if reveal and horizontal shading were excluded from S1).

534 Figure 6 revealed that the Passivhaus standard could be applicable in the Myanmar climate
535 following its steady-state calculation with mechanical ventilation; on the other hand, it is
536 necessary to solve significant cooling load requirements and overheating problems.

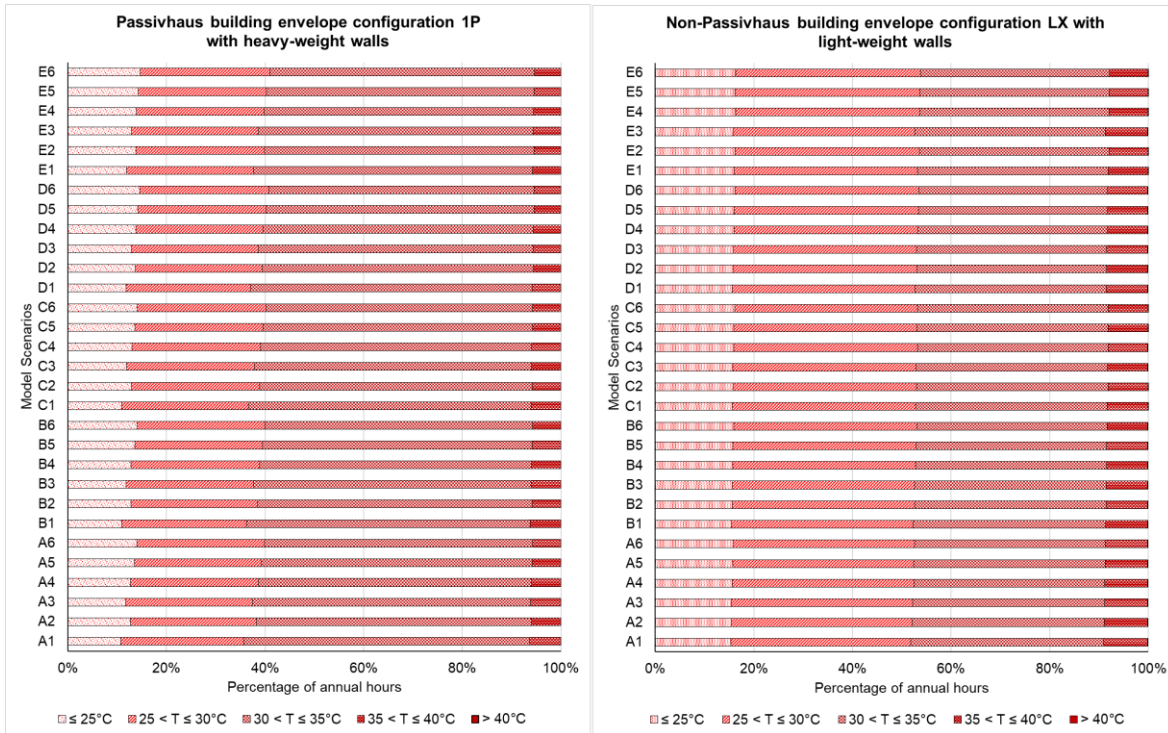
537 **5 Dynamic simulation results**

538 The results were presented for five simulation sets.

539 **5.1 Shading scenarios**

540 In order to check which model scenario performed better than others, Figure 7 compared the
541 impacts of 30 models by generating their results for a “daytime window opening scenario”
542 where the building envelope configurations were switched from 1P to LX - the code 1P
543 represented the heavy-weight Passivhaus U-value wall and Passivhaus U-value for the floor;
544 the code LX represented light-weight walls with a higher U-value. The models with building
545 envelope configuration 1P maintained a high mean air temperature (i.e., 35°C to 40°C), but the
546 temperature of about 40°C was not found in scenario 1P. The models with building envelope
547 configuration LX maintained a long period of the temperature range between 25°C to 30°C,
548 but about 10 hours were found above 40°C. That highlighted that the Passivhaus building
549 envelopes with heavyweight walls were effective to offset the peak outdoor temperature,
550 whereas a drawback of a high mean temperature was found throughout a year compared to the
551 building envelope with lightweight, higher U-value walls. Whereas the results were not
552 significantly different, Figure 7 showed that the annual hours below 30°C could extend in the
553 models with building envelope configuration 1P by adding shading devices in the Passivhaus
554 building envelope.

555

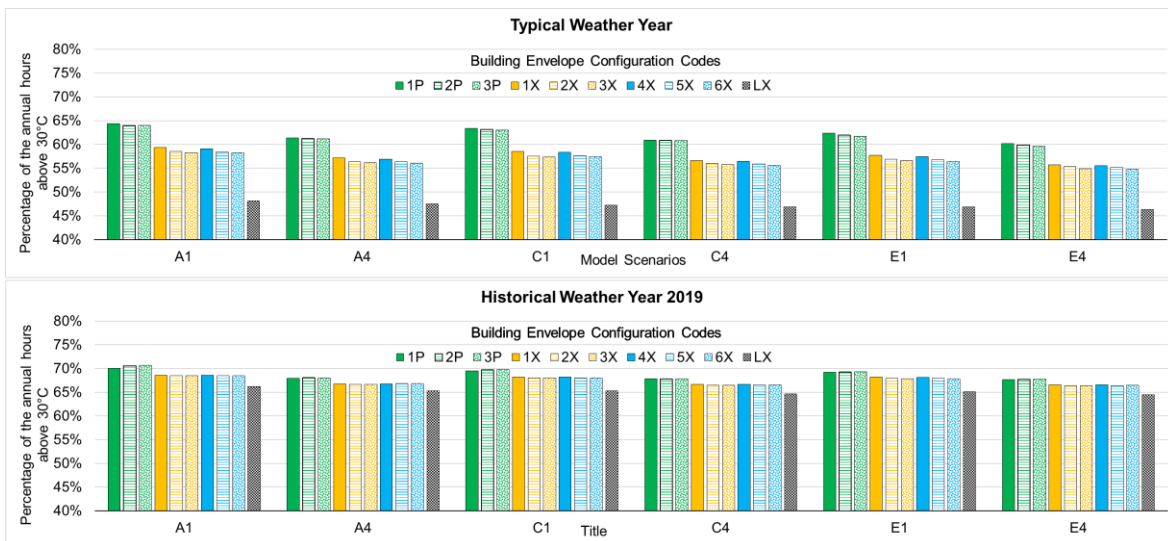


556
557

558 *Figure 7. Temperature range found in 30 model scenarios, presented for building envelope*
559 *configuration 1P and LX for a typical weather year, daytime window opening scenario*

560 **5.2 Building envelope material configuration**

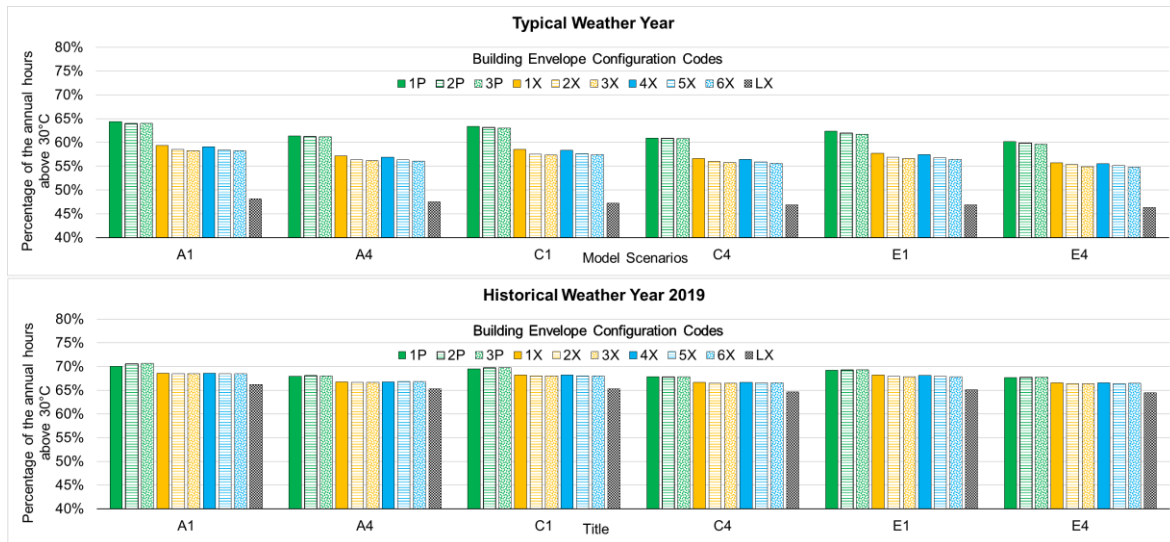
561 The impacts of different building envelope material scenarios on the indoor air temperature
562 were compared in



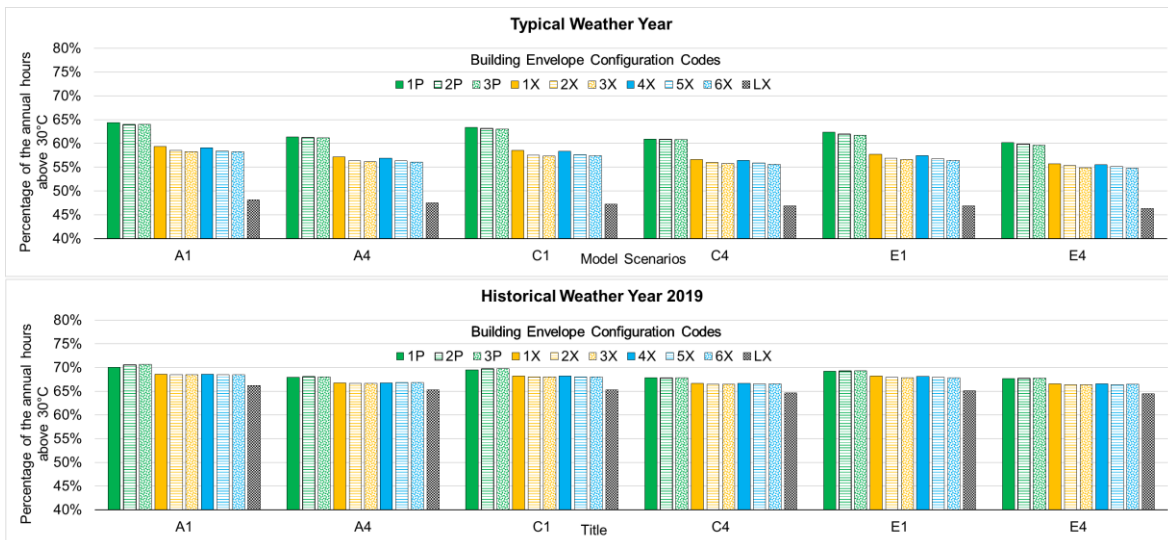
563

564 Figure 8. The thermal discomfort time of a year and the impact of the two different outdoor
565 climates were compared using 30°C as a benchmark. Within the same model group - for

566 instance, models A1, A2, A3, A4, A5, and A6 - the results were varied according to ten building
 567 envelope configurations, rather than differences in building typologies and shadings. However,
 568 the impacts of building typologies and shadings were found when model A6 was compared to
 569 models C6 and E6. Therefore, the percentage of annual hours above 30°C was significantly
 570 reduced in model E6 compared to model A1 (



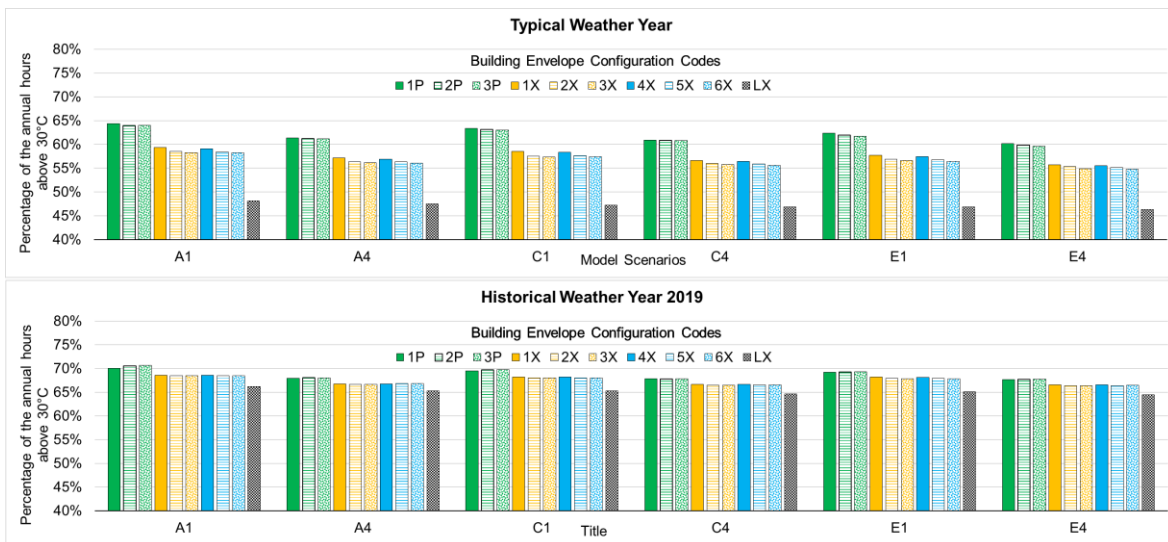
571
 572 Figure 8). The reduction of 3.5% from models A1 to C4 was due to the positive results of a
 573 roof extension and window overhang. The reduction of 4.1% from the models A1 to E4 was
 574 found due to the results of building height. The building envelope configuration LX showed
 575 the best scenario if the indoor temperature was checked with 30°C benchmarks if the intensities
 576 of overheating high temperatures were neglected.



577
 578 *Figure 8. Percentage of a year above indoor air temperature 30°C in model group A for 10*
 579 *building envelope configurations, presented for a typical weather year and historical weather*
 580 *year 2019 (P: Passivhaus level with its suggested U-value; X: Variation in Passivhaus levels*
 581 *with increased U-value), daytime window opening scenario*

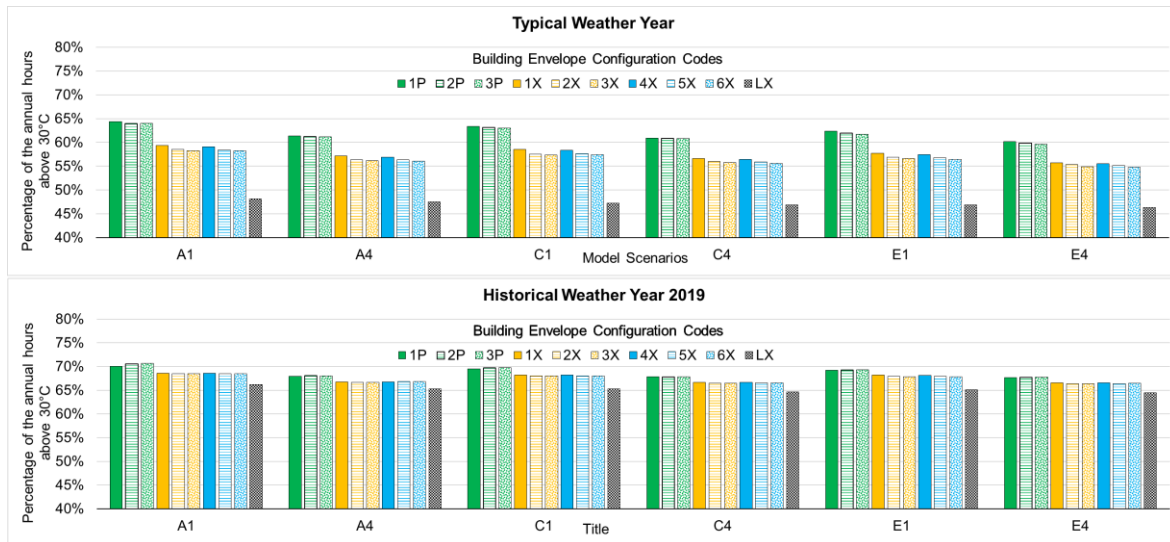
582 **5.3 Outdoor climate influences**

583 Figure 9 clearly showed that overheating hours could increase in future if only a free-running
 584 mode was considered by using four outdoor weather files. The results, which are shown in



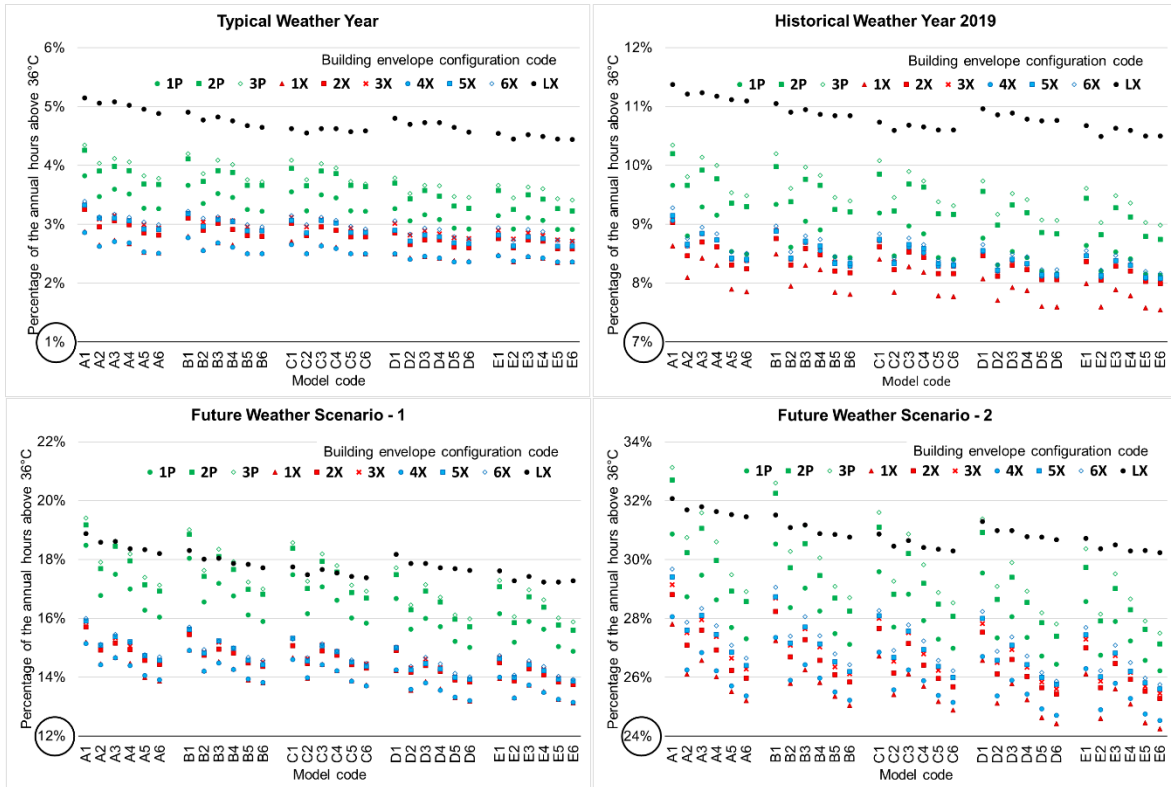
585
 586 Figure 8 and Figure 9, indicate that model A1 gained the highest percentage of the annual hours
 587 above 36°C, while model E6 gained the lowest percentage in all ten building envelope
 588 configurations. The models with 1m roof extension and 1m width window overhang with
 589 internal roller blind shading (B6, C6, D6, E6) received a lower percentage of a year above 36°C

590 than the models with no shading. Model A2 performed slightly better than the models A1, A3,
 591 and A4, and the same results were found in other model groups that showed the effectiveness
 592 of internal shading. The results showed that model E1 performed slightly better than C1,
 593 whereas the models C1 and E1 had the same TFA with different building heights. Unlike the
 594 results of



595

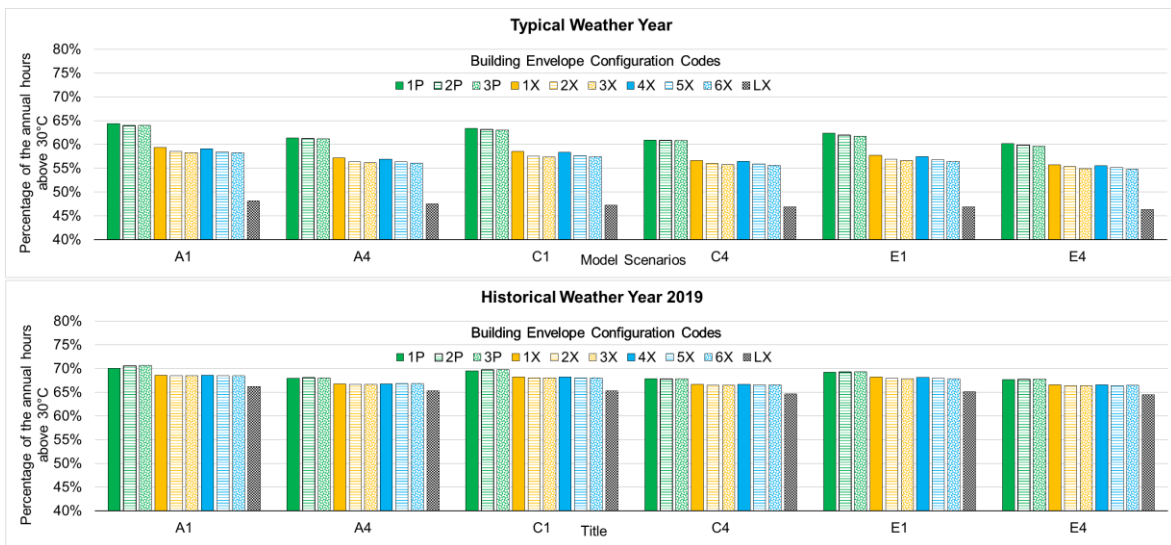
596 Figure 8, it was found that the models with building envelope configurations LX gained the
 597 highest percentage of the annual hours above 36°C than the models with other building
 598 envelope scenarios. If the models were assigned slightly higher U-values of walls and floors
 599 (scenarios 1X, 2X, 3X, 4X, 5X, 6X) than Passivhaus suggested, they received fewer annual
 600 hours above AT 36°C than the models with building envelope configurations 1P, 2P, and 3P.



601
 602 *Figure 9. Percentage of a year above indoor air temperature 36°C in 30 models with 10*
 603 *building envelope configurations, presented for a typical weather year, historical weather year*
 604 *2019, and two future weather scenarios of Mandalay, daytime window opening scenario*

605 **5.4 Extreme thermal discomfort**

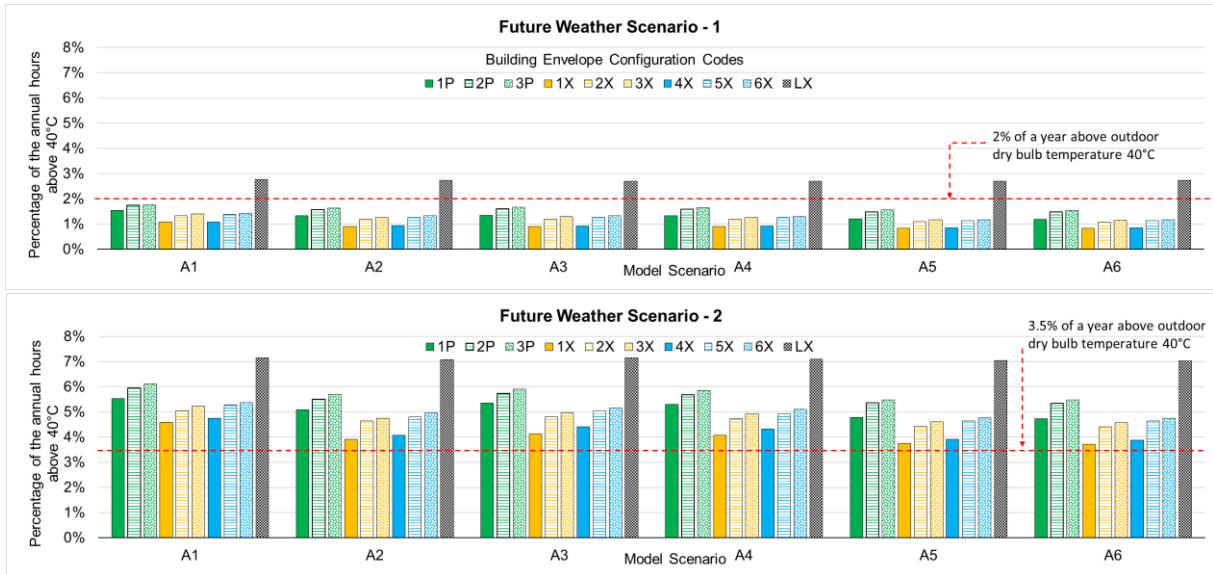
606 Indoor air temperature 40°C was used as a benchmark to check the impacts of extreme thermal
 607 discomfort for the two future weather scenarios (Figure 10). The results (



608

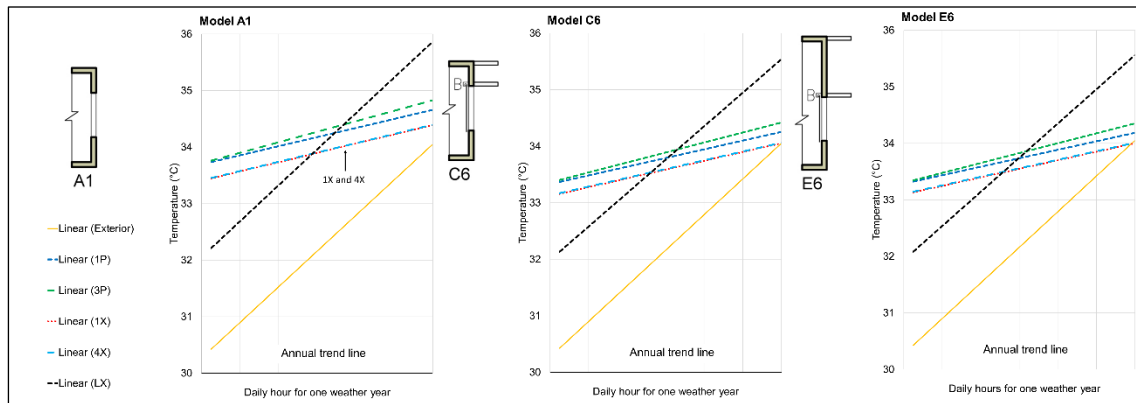
609 Figure 8, Figure 9, and Figure 10) showed that the models with building envelope
610 configurations 1X, 2X, and 3X received a lower percentage of the annual hours above 36°C
611 and 40°C than the other models. Similar results were found in the models with building
612 envelope configurations 4X, 5X, and 6X, compared to the models with building envelope
613 configurations 1X, 2X, and 3X. Therefore, the models with slightly higher U-values in walls
614 and floors performed better than the models with Passivhaus strict U-values. For instance, for
615 36°C benchmarks, in the models, D6 and E6, 6.26% and 5.98% of annual hours can be reduced
616 by adopting a Passivhaus envelope (1X) instead of a typical one (LX).

617 The comparison of the results of two future weather scenarios showed that reducing the
618 insulation on the floors was more sensitive in the future weather scenario-2. Note that the future
619 weather scenario-2 contained higher night-time temperatures than scenario-1. In Figure 9, for
620 the model A1 with building envelope configuration 1P scenario, the annual hours above 36°C
621 were increased eight times in the future weather scenario-2 (3.82% of annual hours) against
622 typical weather scenario (30.88% of annual hours). Figure 10, which was generated using 40°C
623 benchmarks for comparison, shows that the models with building envelope configurations LX
624 received the highest percentage of annual hours above 40°C. That was significantly higher than
625 the outdoor condition, due to its poor U-value of the wall while windows were opened only
626 during daytime.



627
 628 *Figure 10. Percentage of a year above indoor air temperature 40°C in model group A with 10*
 629 *building envelope configurations, presented for two future weather scenarios of Mandalay,*
 630 *daytime window opening scenario*

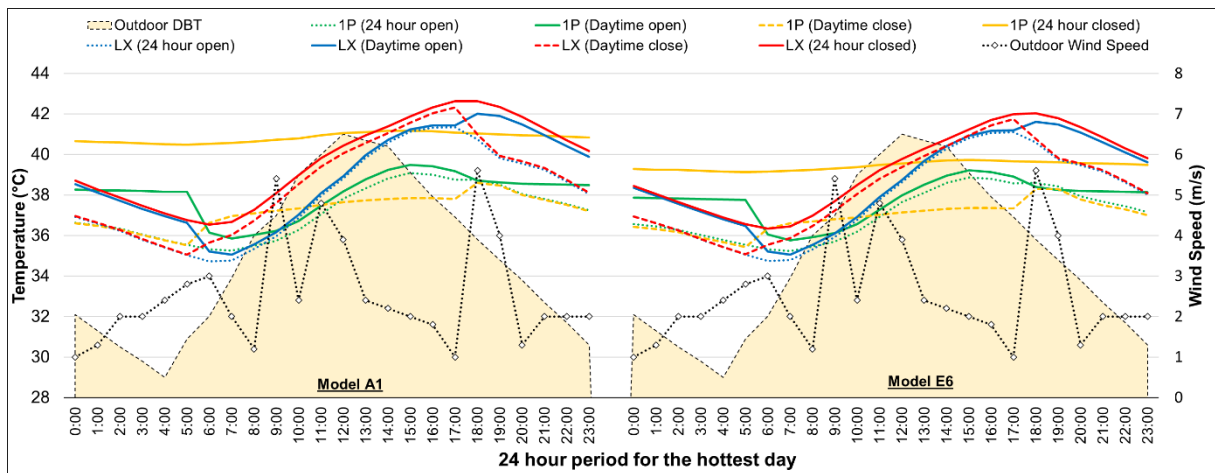
631 Annual regression plots of the models A1, C6, and E6 for the building configurations 1P, 3P,
 632 1X, 4X, and LX were presented in Figure 11 based on their daily hours in the future weather
 633 scenario-2, to compare their differences in the U-values of wall and floors. The best scenario
 634 was found in model E6 with building configurations 1X and 4X, proving the hypothesis was
 635 true. The worst scenario was found in the results of model A1 with building configuration LX.
 636 The results of Figure 11 revealed that a slightly higher U-value for walls and floors than the
 637 Passivhaus' suggestion of U-value could be more effective to offset high exterior temperatures
 638 for the studied climate in a free-running mode.



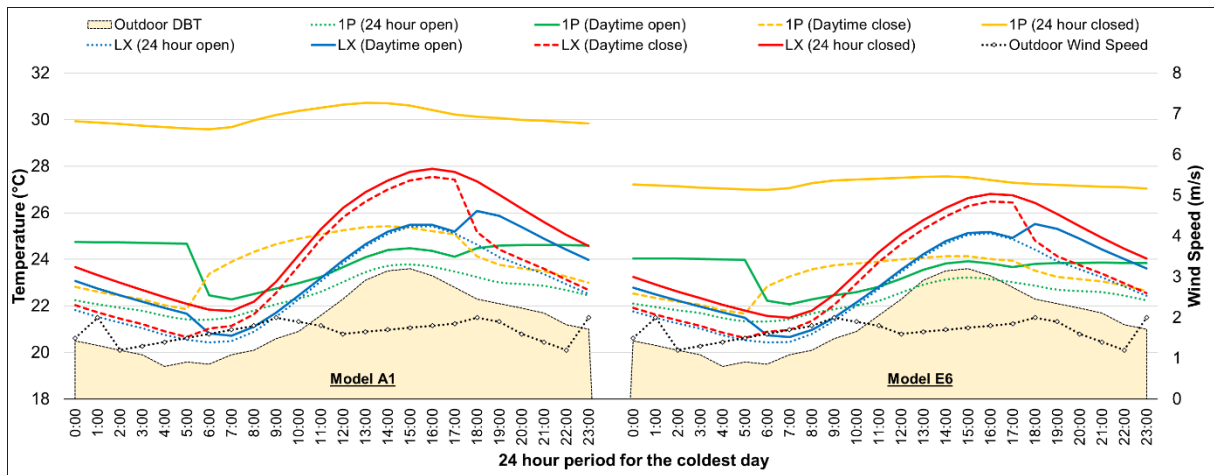
639
 640 *Figure 11. Annual regression plots for models A1, C6 and E6 for future weather scenario-2,*
 641 *presented for different building envelope configuration scenarios*

642 **5.5 Window opening schedule effect**

643 Hourly temperature profiles are an important figure to check the performance of thermal mass.
644 As the window opening has a significant impact on indoor thermal comfort, the effect of “four
645 opening scenarios for windows” was checked by repeating the same simulation exercises.
646 Figure 12 illustrates the 24-hour profile of indoor air temperature showing two building
647 envelope configurations (1P and LX) for the models A1 and E6, compared with the outdoor
648 dry bulb temperature for the historical weather year 2019. It was found that the models with
649 building envelope configuration LX reached the highest and lowest temperatures in the hot and
650 cold seasons, with a larger diurnal temperature swing due to its lack of less thermal mass
651 property. The window opening scenario, closing continuously for 24 hours throughout the year,
652 was the worst-case scenario; particularly this scenario caused significant temperature
653 differences between models A1 and E6 in the cold season. On the contrary, the differences
654 between the two models in two building envelope configurations were minimal on the hottest
655 day.



656

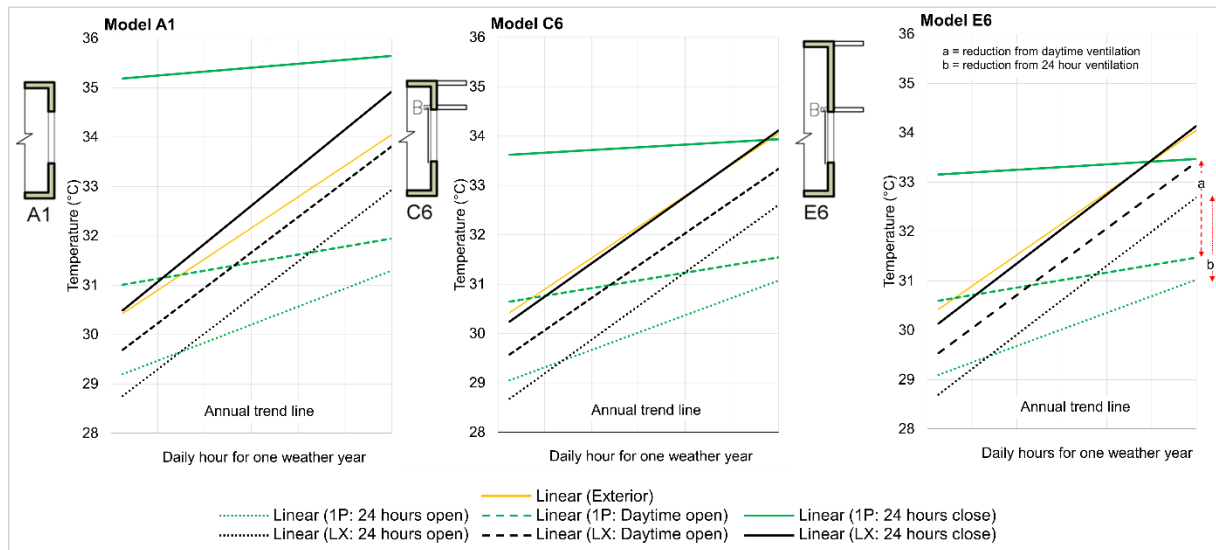


657
 658 *Figure 12. 24-hour profile of indoor air temperatures for the hottest and coldest days showing*
 659 *two building envelope configurations (1P and LX) for models A1 and E6, compared with the*
 660 *outdoor dry bulb temperature of the year 2019*

661 On the hottest day, the outdoor temperature reached 32°C at midnight and 29°C at 04:00 but
 662 raised to 40.7°C at 13:00, and there was a high, turbulent wind speed profile, whereas the
 663 indoor air temperatures profiles were gentle (34.7°C lowest and 41.1°C highest) against the
 664 outdoor weather. If a model has a high thermal mass and insulation (scenario 1P), closing the
 665 window in the daytime had the advantage to reduce ventilation heat gain on the hottest day;
 666 however, a daytime opening window was preferable for the coldest day while mechanical
 667 ventilation was applied in the presented models. By switching building envelope configuration
 668 from LX to 1P, overall extremes of temperature were reduced by more than 2.4K in a daytime
 669 window open scenario, 3.45K in a daytime window close scenario. Overall, the models with
 670 building envelope configuration 1P received slightly lower temperatures than building
 671 envelope configuration LX.

672 In Figure 13, the annual regression plots of the models A1, C6 and E6 for future weather
 673 scenario-2 showed that the positive effects of night-purge ventilation (i.e., 24 hours window
 674 open profiles). While the annual trend lines of building envelope configuration 1P were
 675 significantly varied, the results of LX for three window opening profiles were noticeably
 676 parallel to each other. The best scenario was found in model E6 with night-purge ventilation
 677 as the mean temperature of about 2°C can be reduced by switching building envelop from LX

678 to 1P. The worst scenario was found in the results of the window close profile. The trend lines
 679 of the building envelope configuration 1P with 24 hours window open profiles showed that
 680 annual mean temperatures could significantly reduce by adding shading and applying night-
 681 purge ventilation (see green solid lines); that showed the effectiveness of shading with high
 682 thermal mass.



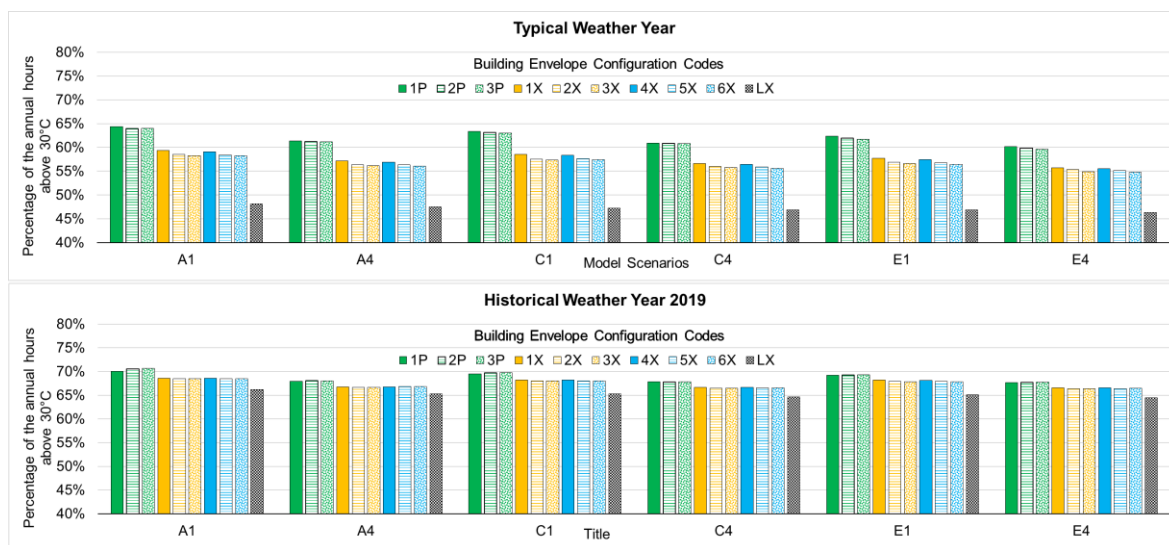
683
 684 *Figure 13. Annual regression plots for models A1, C6, and E6 for future weather scenario-2,*
 685 *presented for different window opening scenarios*

686 When the windows of the models A1, C6, and E6 were open daytime only, it was found that
 687 the night temperature (minimum) was even higher than 36°C. As a result, it can be judged that
 688 mechanical ventilation will be required both for days and nights in the hottest months of the
 689 year if 36°C was considered for a mechanical set point. Despite the cold season, mechanical
 690 ventilation would be required in the daytime throughout the hot and wet seasons if windows
 691 were open daytime only. On the contrary, for model E6 in the future weather scenario-2, if
 692 night purge ventilation was considered, mechanical ventilation will be required in day time
 693 only throughout the hot and wet seasons. Overall, the regression plots with daily hours for the
 694 whole year showed the positive results of night-purge ventilation with high thermal mass,
 695 whereas it caused the expense of elevated mean temperatures.

696 6 Discussion

697 In this section, a discussion is presented considering the impacts of U-values, thermal mass,
698 shading and ventilation on the five simulated sets.

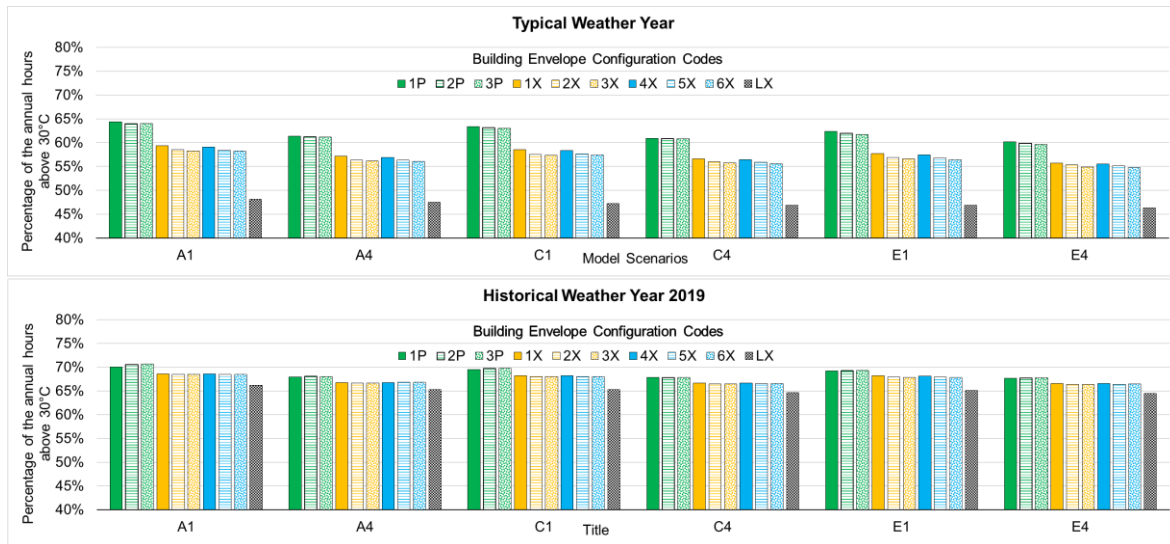
699 **Impacts of U-values:** The simulation results revealed that the wall and floor types with slightly
700 higher U-values ($0.20\text{W}/(\text{m}^2\text{K})$ for walls and $1.11\text{W}/(\text{m}^2\text{K})$ for a floor) than Passivhaus criteria
701 performed better in the studied climate. Walls are generally the dominant component of the
702 envelope; therefore, the effect of wall insulations was more obvious in



703

704 Figure 8, Figure 9, Figure 10, and Figure 11. Although a slightly higher U-value for walls and
705 floors than the Passivhaus' suggestion of U-value can be more effective to offset high exterior
706 temperatures for the studied climate in a free-running mode, building material selection from
707 a range of U-values and thermal mass property could affect the length (Figure 10) and intensity
708 of overheating time. As insulation and thermal mass are not common in Myanmar housing, a
709 careful review is necessary for selecting slightly higher U-values for walls than the Passivhaus'
710 suggestion (i.e., the building envelope configuration 1X, 2X, 3X, 4X, 5X, and 6X) for the future
711 weather scenarios. For instance, Figure 3 showed thermal mass values were changed by
712 switching brick walls (W1) to concrete block walls (W2); likewise, slightly thinner insulation
713 thickness could significantly change the U-values of walls.

714 **Impacts of thermal mass:** The effectiveness of thermal mass and night-purge ventilation was
 715 found in the models with building envelope configuration 1P, although the thermal mass was
 716 less obvious with high-temperature benchmarks of 36°C and 40°C (Figure 9 and Figure 10)
 717 than with the results for the benchmark 30°C (



718 Figure 8). In Figure 10 for future weather scenario 2, it was found that 1% of the annual hours
 719 with a temperature above 40°C can be reduced by changing the thermal mass value from 60
 720 kJ/(m²K) to 136 kJ/(m²K). Thermal mass properties in the highly insulated walls, while altering
 721 the internal temperatures by offsetting the decrement delay from the external peak temperature,
 722 were effective for acutely high temperatures. It also contributed to a high degree of annual and
 723 daily mean temperatures and caused the expense of elevated mean temperatures during
 724 normative conditions (Figure 12). Therefore, a careful examination needs to be worked on
 725 designing ventilation and selecting the building material for its thermal capacity and U-values
 726 in the studied climates.

728 **Impacts of shading:** Switching the model A1 to A6 by adding different shadings showed the
 729 sensitivity of shading in thermal performance. For the future weather scenario 2, Figure 9
 730 showed that 0.6% of a year above 36°C can be reduced by adding 1m roof extension, window
 731 overhang, and internal shading in the model A1 if the building envelope configuration is in LX

732 condition. In the same vein, 3.6% of the year above 36°C can be reduced by adding a 1m roof
733 extension, window overhang, and internal shading in the model A1 if the building envelope
734 configuration is in 1P condition. This revealed that 3.6% of annual hours in overheating time
735 can be reduced by using shading with the high thermal mass Passivhaus building envelope.
736 Visibly, the positive effects of shadings were more obvious when they were associated with
737 the Passivhaus thermal envelope as shading offers to avoid solar heat gain absorption through
738 the exterior surface of thermal mass. That suggests that it is essential to add shading for both
739 walls and windows if a Passivhaus building in the tropic has a high thermal mass.

740 ***Impacts of ventilation:*** Whilst it was found that the positive results of night-purge ventilation
741 with high thermal mass, it could cause the expense of elevated mean temperatures. In the free-
742 running mode, a combination of Passivhaus building envelope and internal and external
743 shadings was not able to maintain a temperature below 30°C for the studied climate. Hence, it
744 could suggest that there was a need for a mix-mode ventilation strategy exclusively for the
745 duration of the hot season to maintain thermal comfort. In the practices, all Passivhaus projects
746 have been designed with an active ventilation application to provide building thermal comfort
747 with low energy use. If the outdoor climate is extreme (i.e., very hot summer), closing the
748 windows in critical times of the day when the outdoor temperature was very high would have
749 perceived more benefit of the Passivhaus building envelope. If a building with Passivhaus
750 building envelop is considered in a free-running mode, window opening profiles are crucial for
751 improving their thermal condition. Future studies are required for all those considerations.

752 ***Mechanical ventilation requirements:*** The studied models and scenarios showed the
753 effectiveness of reducing the maximum mean temperature of 2°C using heavy-weight
754 Passivhaus construction (1P) against traditional building envelope (LX) in Myanmar climate,
755 and there were positive results of coupling shading and night-purge ventilation (Figure 13).
756 However, the studied models and scenarios also showed that it was impossible to provide

757 thermal comfort through passive cooling alone. Therefore, the MVHR summer bypass could
758 be an option to dissipate heat in tropical climates of Myanmar. Note that the use of MVHR
759 could be omitted without compromising comfort levels, achieving at least equivalent energy
760 savings resulting from adopting the Passivhaus model in climates with mild winters and cool
761 summers (a mild maritime climate of the UK) (Sassi, 2013). This suggests that any ultra-low
762 energy building model, Passivhaus, or naturally ventilated, will require some basic
763 understanding to ensure optimal operation for ventilation. Particularly in the tropical climate,
764 when active ventilation is introduced, removing the humid air is critical, for which integrating
765 dehumidification into the MVHR will play a role in indoor thermal comfort. The ventilation
766 system needs to include energy recovery to both pre-cool and dehumidify the supply air for the
767 Passivhaus building for the hot-humid climates (Cotterell and Dadeby, 2012). Besides
768 consideration for natural ventilation, one drawback of relying on mechanical ventilation for the
769 peak summer temperatures is that the sizing of mechanical ventilation to cope with extreme
770 weather conditions that occasionally occur. Furthermore, the comfort temperature benchmark
771 could be different between the two thermal comfort models: adaptive and heat balance. Hence,
772 future studies should explore a full package of Passivhaus for the tropical climate, both from
773 natural ventilation and active ventilation design.

774 ***Limitation in building typology and material properties:*** The results of the present study were
775 generated for a 5m x 5m square building plan. Smaller houses had significantly smaller ranges
776 of energy efficiency ratings across eight orientations, in comparison to larger houses
777 (Morrissey et al., 2011); therefore, the size of the building could affect the indoor thermal
778 performance. A square building plan used in this study is a compact form that met the
779 Passivhaus principle; however, further study will be required to analyse the impacts of aspect
780 ratio. The aspect ratio is the ratio of a building's length to its width, which is an indicator of the
781 general shape of a building. A long, narrow building can minimise the relative exposure of east

782 and west surfaces, which is also more appropriate in the context of prevailing winds; therefore,
783 a rectangular building plan is favoured as a passive design form for tropical climates (Hyde,
784 2001). In this study, a large surface area of the building envelope (the model E6) performed
785 slightly better than others (e.g., the models with a flat roof) in a free-running mode, which was
786 a contrast result compared to a Passivhaus building for the cold climate. There was a rough
787 selection of construction materials (Figure 3); therefore, optimising building envelopment
788 improvement for the Myanmar context will be a further study to explore cost-effectiveness,
789 final energy consumption, material availability, and local skill sets. Nevertheless, this
790 simulation exercise, together with the literature review, highlights that precise specifications
791 on Passivhaus building envelope materials need to meet one specific boundary condition in one
792 individual circumstance because climates differ despite the fact that energy use in Passivhaus
793 buildings is measurable.

794 *Limitation of dynamic simulations in a free-running mode:* The Passivhaus standard is
795 developed to achieve high thermal comfort with low energy consumption. Its fabric-first
796 approach addresses to control heat transfer, infiltration, and leakage heat transmission,
797 applying mechanical ventilation simultaneously. In this way, a Passivhaus building keeps the
798 desirable thermal comfort consistently. This simulation study was only focused on limited
799 thermal envelope parameters, exploring only three types of thermal mass properties and two
800 types of floor material properties, to the hypothesis that a slightly higher U-value for wall and
801 floor can be more effective in Myanmar climates than the very low U-value suggested by the
802 Passivhaus standard. In this study, all those findings were based on a naturally ventilated
803 condition; therefore, the Passivhaus suggestion of overheating benchmark 25°C was not used
804 to compare the results. Further study is necessary to address the lack of overheating and thermal
805 comfort benchmarks, and cooling related occupant behaviour analysis for a free-running mode
806 for a Passivhaus building in the tropics, in terms of climatic elements and cultural factors. The

807 results of the IESVE simulation were not able to generate detailed calculations for shading
808 effects as the PHPP provides (Figure 6). It must be emphasized that the results of this study do
809 not redefine the Passivhaus standard for the tropical climate context, rather this study fills the
810 research gap of understanding the optimum Passivhaus envelope material properties for
811 tropical climates when applying shading and natural ventilation. The study could be extended
812 to the building envelope performance optimisation to minimise space cooling demand for the
813 Passivhaus building in the tropic.

814 **7 Conclusion**

815 The impacts of shading design on a Passivhaus building in 19 cities for different climate
816 contexts employing the PHPP steady-state calculation were firstly reviewed and evaluated in
817 this study. The impacts of free-running mode on Passivhaus building envelopes were further
818 investigated; for example, the impacts of thermal mass effect, the 24-hour temperature profile,
819 and the percentage of annual hours for different temperature ranges. By using IESVE,
820 Mandalay (Myanmar) was chosen as a case study location to test the hypothesis.

821 Different results of the 30 scenarios presented the synergistic effects between external shading
822 and several building thermal envelope parameters. According to the findings of the simulation
823 exercises, it can be suggested that the Passivhaus building envelope performed better to offset
824 the outside peak air temperatures than the typical lightweight, not-insulated building envelope
825 in the studied tropical climate. In the vernacular practices for the tropical climate, the indoor
826 thermal environment of lightweight and high U-value walls is closely reflected in the weather
827 outdoor; that also causes a high peak indoor air temperature. In contrast, this study showed that
828 the advantages of insulation and thermal mass were found in the peak temperature condition
829 (Figure 13) when it was compared to the high U-value building envelope with a lightweight

830 wall. Besides the expense of elevated mean temperatures during normative conditions, the
831 Passivhaus envelope is more advantageous in the tropics if there are extreme temperatures.

832 The results of this study revealed that a slightly higher U-value for walls and floors can be more
833 effective for the tropical climate than the very low U-value suggested by the Passivhaus
834 standard for the cold climate; this was tested in a naturally ventilated condition. The simulation
835 results presented in this study agreed with Table 1. Following the Passivhaus standard, if some
836 degree of thermal mass with insulated building envelopes is introduced into the tropical
837 climate, protecting the solar gain is essential for a highly insulated building envelope; therefore,
838 both walls and windows are required shading in the tropics. That underlined that knowledge of
839 using external shading design must be expanded if the Passivhaus standard with high thermal
840 mass walls is adopted in the tropical climate; that requires careful optimisation in selecting
841 both the U-value and thermal capacity of the envelopes. Hence, an investigation of the
842 synergistic effects between shading and building envelope design can make the implementation
843 of Passivhaus more feasible for building cooling, as the costs with the envelope can be lower
844 than the typical Passivhaus envelope.

845 The findings of this study were two folds: how the building thermal performance of Myanmar
846 buildings can be strengthened by adopting the Passivhaus standard with extensive shading, and
847 how the Passivhaus approach can bring both passive solutions through building fabric and
848 potential hybrid ventilation. Hybrid ventilation is likely to be a solution to building cooling in
849 summer for the emergence of heat and humidity in future climate change conditions in
850 Myanmar. As the climates have changed, the use of shading with the Passivhaus approach,
851 particularly using a mix-mode ventilation strategy exclusively for the duration of the hot
852 season, can be a suitable adaptation strategy for Myanmar tropical climate buildings to avoid
853 overheating and to maintain building cooling for a sustainable society. Enabling this to occur
854 in tropical contexts, rather than adopting a single or a few Passivhaus components, the lessons

855 learned from the literature and careful consideration of the building physics for one specific
856 context must be holistically applied and tested.

857

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