

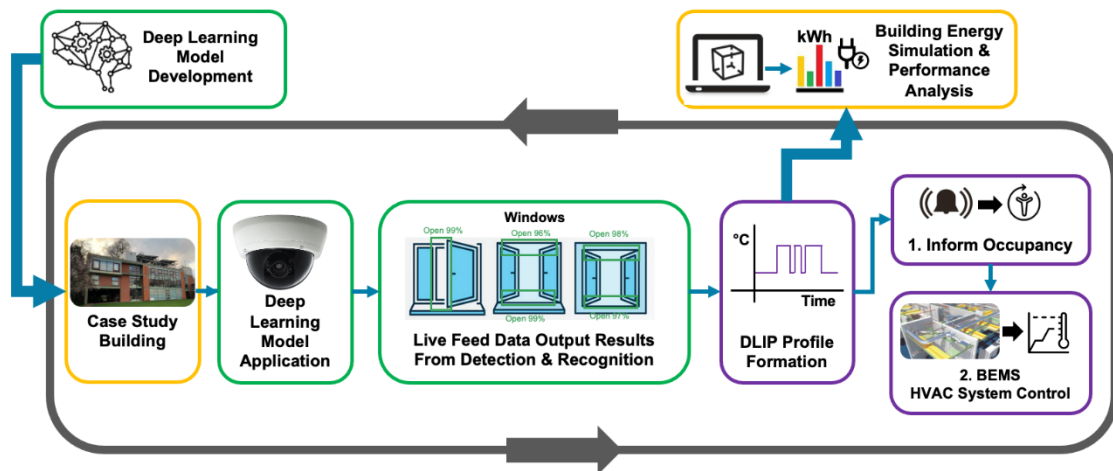
A Deep Learning Approach Towards the Detection and Recognition of Opening of Windows for Effective Management of Building Ventilation Heat Losses and Reducing Space Heating Demand

Paige Wenbin Tien^{1*}, Shuangyu Wei¹, Tianshu Liu¹, John Kaiser Calautit¹, Jo Darkwa¹ and Christopher Wood¹

¹Department of Architecture and Built Environment, University of Nottingham, Nottingham, NG7 2RD, UK

*Corresponding author. paige.tien@nottingham.ac.uk, paige.tien@gmail.com

Graphical Abstract



Abstract

Building ventilation accounts for up to 30% of the heat loss in commercial buildings and 25% in industrial buildings. To effectively aid the reduction of energy consumption in the building sector, the development of demand-driven control systems for heating ventilation and air-conditioning (HVAC) is necessary. In countries with temperate climates such as the UK, many buildings depend on natural ventilation strategies such as openable windows, which are useful for reducing overheating prevalence during the summer. The manual opening and adjustment of windows by occupants, particularly during the heating season, can lead to substantial heat loss and consequent energy consumption. This could also result in the unnecessary or over ventilation of the space, or the fresh air is more than what is required to ensure adequate air quality. Furthermore, energy losses build up when windows are left open for extended periods. Hence, it is important to develop control strategies that can detect and recognise the period and amount of window opening in real-time and at the same time adjust the HVAC systems to minimise energy wastage and maintain indoor environment quality and thermal comfort. This paper presents a vision-based deep learning framework for the detection and recognition of manual window operation in buildings. A trained deep learning model is deployed into an artificial intelligence-powered camera. To assess the proposed strategy's capabilities, building energy simulation was used with various operation profiles of the opening of the windows based on various scenarios. Initial experimental tests

29 were conducted within a university lecture room with a south-facing window. Deep learning influenced
30 profile (DLIP) was generated via the framework, which uses real-time window detection and
31 recognition data. The generated DLIP were compared with the actual observations, and the initial
32 detection results showed that the method was capable of identifying windows that were opened and had
33 an average accuracy of 97.29%. The results for the three scenarios showed that the proposed strategy
34 could potentially be used to help adjust the HVAC setpoint or alert the occupants or building managers
35 to prevent unnecessary heating demand. Further developments include enhancing the framework ability
36 to detect multiple window opening types and sizes and the detection accuracy by optimising the model.

37 **Keywords**

38 Deep learning, building energy management, building ventilation, window opening, window detection,
39 HVAC systems

40 **Word count**

41 8603 (excluding the abstract, references, tables and figures)

42 **1. Introduction and Literature Review**

43 Buildings are known as one of the fastest-growing sectors, which is responsible for up to 40% of the
44 total energy demand [1]. Heating, ventilation and air-conditioning (HVAC) systems and its associated
45 operations are currently the largest contributors to the consumption of buildings [2, 3]. Therefore,
46 reducing the energy demand of HVAC is crucial towards the overall energy conservation and the
47 reduction of greenhouse gases. Ventilation systems are critical as ventilation accounts for up to 30% of
48 the heat loss in commercial buildings and 25% in industrial buildings [4]. Energy-efficient techniques
49 and strategies that can minimise ventilation and uncontrolled air infiltration losses are continuously
50 being developed [5]. However, these strategies should also comply with the building requirements,
51 which require the building to be adequately ventilated to provide a healthy and safe indoor environment
52 for occupants.

53 Natural ventilation can aid the reduction of moisture levels of indoor spaces and reduce building energy
54 consumptions via passive cooling [5,6]. It also provides substantial cost savings as compared to other
55 mechanical ventilation systems [7,8]. According to CIBSE Guide B [9], there is a demand for natural
56 ventilation in mild or temperate climates such as the UK. This is due to high amounts of large city-
57 centre office buildings currently being mechanically ventilated but have the ability to employ a mixed-
58 mode ventilation strategy or even solely natural ventilation-based strategy. In addition, smaller and
59 public buildings, such as schools and hospitals also employ natural ventilation strategies.

60 More guidelines are being put in place to encourage the use of natural ventilation systems in buildings.
61 The UK Commission for Architecture and the Built Environment (CABE) suggests natural ventilation
62 must be used wherever possible and should be an integral part of any new building or building
63 refurbishment design process. In addition, the carbon reduction strategy of the UK National Health
64 Service (NHS) [10] states that buildings designed with natural ventilation would be more resilient to
65 energy supply failure than mechanically ventilated buildings.

66 Lomas and Ji [11] suggests the need for building to become resilient to climate change and increasing
67 internal heat gains [12]. However, compared to other ventilation systems, natural ventilation is still
68 considered a system with many requirements for it to be an efficient and effective option for specific
69 types of buildings [13]. The unreliability of natural ventilation system operation and the indoor air

70 quality indicated by Rasheed et al. [14] influences many buildings to consider other options. Many
71 buildings cannot solely rely on the use of natural ventilation due to these limitations. Hence building is
72 increasingly using solutions such as the ‘mixed-mode or ‘hybrid’ approach to ventilating buildings [15].

73 Natural ventilation by openable windows relies on natural forces of wind and buoyancy forces to
74 ventilate and passively cool the desired building space [16, 17]. However, this strategy's effectiveness
75 depends on the conditions between the indoor and outdoor environment [18] and the window opening
76 patterns [19]. Two important parameters that influence energy consumption in buildings are indoor
77 temperature and air change rate, directly linked to the occupant’s usage of the window [20]. According
78 to Pan et al. [21], many studies explored occupants’ window-opening behaviour and indicated that the
79 indoor and outdoor air temperatures, outdoor seasonal environmental factors, personal preferences are
80 important factors that can influence window-opening behaviour.

81 Furthermore, Oropeza-Perez [6, 7, 22] highlights the importance of operation or handling of the natural
82 ventilation or passive methods required to increase or decrease the indoor temperature. Hence, improper
83 window use in buildings can have a negative impact on the building energy demand and indoor
84 environment [23]. This indicates the need for the development of solutions such as demand-based
85 controls or strategies that can coordinate the use of building technologies to occupancy patterns
86 reducing energy use and providing adequate comfort conditions [24].

87 Solutions are being developed to aid various aspects of the built environment to improve safety, security
88 and efficiency [25]. This includes various types of sensors and detectors to reduce the risks of accidents
89 within home dwellings and in commercial buildings, through the triggering of sound or signal indication
90 [26]. The advancement of these techniques will improve window operations and their suitability for
91 current and future building needs. To understand window operations, Du et al. [27] performed a
92 qualitative and quantitative investigation, whereby data from a series of surveys, photographic image
93 observations and onsite measurements were collected and performed on existing windows. The work
94 suggests that most of the window operations were performed for the provision of most of the window
95 operations were performed to provide natural ventilation. The data collected can be integrated into
96 building simulations with realistic profiles for a more accurate prediction of buildings' energy
97 consumption. Since data were highly dependent on the selected case study building and occupancy
98 behaviour, the window operations patterns cannot be used to predict other window conditions.

99 Furthermore, for example, Ou [28] along with companies such as Geze UK [29], Pressac [30] and
100 Window Master [31] developed technologies for windows that employs artificial intelligence, which
101 was intended to cope with future advancements and technical requirements. GEZE [29] products consist
102 of window sensors connected to a building management system that effectively monitors the opening
103 or closing of windows. However, the solution requires sensors to be installed with every window, which
104 is not cost-effective, and it also requires windows of existing buildings to be replaced with automated
105 windows.

106 Approaches based on the use of machine learning techniques have been developed for the investigation
107 of window operations. Machine learning regression algorithms are commonly used in the form of a
108 forecasting method to predict window conditions or to identify the cause-and-effect relationship
109 between both indoor and outdoor environmental variables with the window operations. For example,
110 Chen et al. [32] collected data in the form of common environmental indicators such as temperature,
111 the CO₂ concentration of both indoor and outdoor conditions. These indicators were selected and
112 formed as an input variable for a Cox model (proportional hazard model) to identify occupancy
113 behaviour's influence towards window operations. Similarly, Rouleau and Gosselin [33] used indoor

114 and outdoor temperatures environmental and temporal parameters with a logit regression model to
115 identify window opening behaviour differences between different households. Therefore, these
116 solutions suggest the ability to predict window conditions based on environmental conditions.

117 Furthermore, Shi et al. [34] proposed a novel reinforcement learning (RL) method for the advanced
118 control of the window opening and closing. Correspondingly, Shi et al. [34] also acknowledged that
119 window conditions are highly dependent on occupancy behaviour and environmental conditions.
120 However, using a reinforcement learning technique enabled the identification of the window
121 opening/closing through observing and learning from the environment. Results suggest that the
122 developed strategy can improve indoor thermal and air quality by up to 90%. Hence, the development
123 of solutions highlighted here suggests a desire for novel approaches that implement AI-based techniques
124 that can accurately detect and monitor window opening behaviour.

125 Besides machine learning, deep learning (DL) is becoming a popular and widely used tool for solving
126 building-related problems and improving building HVAC systems. This includes the use of deep
127 learning-based models for detecting and recognising problems in buildings such as damage [35], faults
128 and diagnosis issues [36]. Other applications include energy prediction methods [37], energy
129 management and control [38] and improving building energy efficiency [39]. This indicates that
130 emerging, deep learning-based methods are becoming fundamental techniques that can provide
131 solutions to several aspects of building problems.

132 The majority of the HVAC system deep learning methods are for mechanical systems. Such application
133 includes [40], where a data-driven ventilation control system based on a deep reinforcement learning
134 (DeepDL) algorithm was developed and [41] where techniques were designed for mechanical
135 ventilation systems. However, deep learning could also be a viable technique to enhance building
136 natural ventilation strategies, but limited solutions are currently available in the literature. Chen et al.
137 [42] proposed an approach for ventilation systems where deep learning is used to predict the building
138 thermal responses for the building HVAC system.

139 Deep learning techniques have recently been adopted in the development of window opening models.
140 Markovic et al. [43] used a deep feed-forward neural network to model the opening of windows in
141 offices which showed an evaluation accuracy between 86% and 89%. In addition, Markovic [44]
142 suggests that deep learning can be used for the prediction of the time of window opening actions
143 performed by occupants. This study shows the potential for deep learning techniques for enhancing
144 building system operations.

145 Since most buildings do not have strict operation times, it leads to uncontrolled operations of windows.
146 This is also strongly influenced by occupancy behaviour and the variation within the indoor-outdoor
147 conditions. The time delay between the prediction results and the ability to inform the occupants of the
148 situation and the system performance's effectiveness must be taken into account. In addition, the
149 approach suggested by Markovic [43, 44] only focuses on the accuracy of the detection and prediction
150 of the window opening.

151 Future works should quantify the impact of the approach on energy performance and practicality. In
152 addition, there is a need for further developments towards the use of deep learning techniques to enable
153 real-time building window detection specifically for the effective application of natural ventilation
154 systems. Additionally, Fabi et al. [19] indicate that existing studies on window opening behaviour are
155 aimed at investigating the state of the window itself instead of the transition from one state to another

156 (opening and closing). Hence, there is a need to develop a solution that recognises the opening and
157 closing of windows and the time when these actions were performed.

158 **1.1 Novelty and Gaps in Knowledge**

159 The most common methods for window detection are based on the use of window sensors which uses
160 a magnet and reed switch and motion or passive infrared (PIR) sensors located on every window of a
161 building. The majority of these sensors are used for security and alarm purposes, with limitations such
162 as their sensitivity to environmental parameters, including temperature and sound, resulting in up to
163 25.5% of false-positive results [45]. The study by Surantha and Wicaksono [46] improved a traditional
164 home security system by incorporating AI techniques. Initial detection was performed by the PIR
165 sensor, and further recognition was performed using machine learning techniques to provide detection
166 of intruders with up to 89% accuracy.

167 While there are many window detection methods available, there is limited research on the use of
168 window detection to aid demand-driven control solutions for energy and comfort management in
169 buildings. This is necessary to allow building control systems for HVAC systems to dynamically adjust
170 to the indoor-outdoor environment changes [43]. Strategies such as computer vision and artificial
171 intelligence (AI) techniques can be implemented into building controls for higher accuracy monitoring
172 and control [48]. This can also provide solutions to effectively employ natural ventilation in buildings
173 while minimising the associated heat loss [49].

174 The use of video or vision-based methods to detect occupancy behaviour within a building space is
175 promising [40]. Compared to other shallow learning methods, the use of deep learning techniques can
176 lead to a better detection and recognition performance [51]. Recognition tasks are performed by
177 detecting the shape, characteristic or motion. Zheng et al. [42] proposed a non-intrusive measurement
178 method to identify window positions and their opening proportion. Unlike other research-based
179 solutions where numerical and textual data were used along with deep learning techniques as a
180 forecasting method, Zheng enhanced the method by using an image recognition-based approach. It
181 consisted of a collection of photographs of windows from various angles which were then processed to
182 allow further understanding of the window opening state. In conjunction with this, data on the internal
183 and external environmental conditions were also collected. This enabled the analysis of the direct impact
184 of window openings towards building performances.

185 The work [52] proposed Convolutional Neural Network (CNN) in future studies to enhance the window
186 recognition method. Hence, the present work aims to address this by using a vision-based convolutional
187 neural network-based deep learning method. Many works have already implemented vision-based deep
188 learning methods to identify human presence [53] and object classification with high performance and
189 detection accuracies [54]. However, the application of detection and recognition-based techniques for
190 the building sector, in particular towards the improvement of building system controls and energy
191 management is limited.

192 Based on the review of previous works on detection methods and the impact of unprecedented
193 occupancy behaviour towards building energy demands, it was observed that there is a necessity for the
194 development of a demand-driven control and management solution. Whereby novel deep learning-
195 based detection approaches can be used [55 - 57]. Specifically, to prevent windows from being left
196 unintentionally opened over long periods, real- a better understanding of the utilisation of spaces for
197 enhancing the building operation and energy effective detection and recognition of window operations
198 must be achieved. No work has attempted to use computer vision-based window detection and

199 recognition method to provide data that could provide real-time information of the window state or
200 condition for building occupants and building control systems.

201 **1.2 Aims and Objectives**

202 To address the issues and gaps detailed previously, the present study proposes a vision-based deep
203 learning framework that enables the real-time detection and recognition of the conditions of windows
204 being opened or closed by occupants. It is based on a similar detection framework proposed by Tien et
205 al. [53]. For this window detection and recognition approach, it can provide real-time notification to
206 building occupants and data for building control systems, which can allow it to respond in real-time
207 depending on the requirements of a space. This could effectively reduce the unnecessary building
208 energy loads resulting from windows unintendedly left open by the occupants.

209
210 A faster region-based convolutional neural network (Faster R-CNN) was developed and trained for the
211 classification and detection of windows using a camera. Validation of the developed deep learning
212 model is conducted using a set of testing data, and the accuracy and suitability for live detection were
213 also evaluated. Experiments are carried out within a case study university lecture room to test the
214 capabilities of the proposed approach. Using building energy simulation, the case study building was
215 simulated with different scenario-based operation profiles, to assess the potential energy savings that
216 can be achieved.

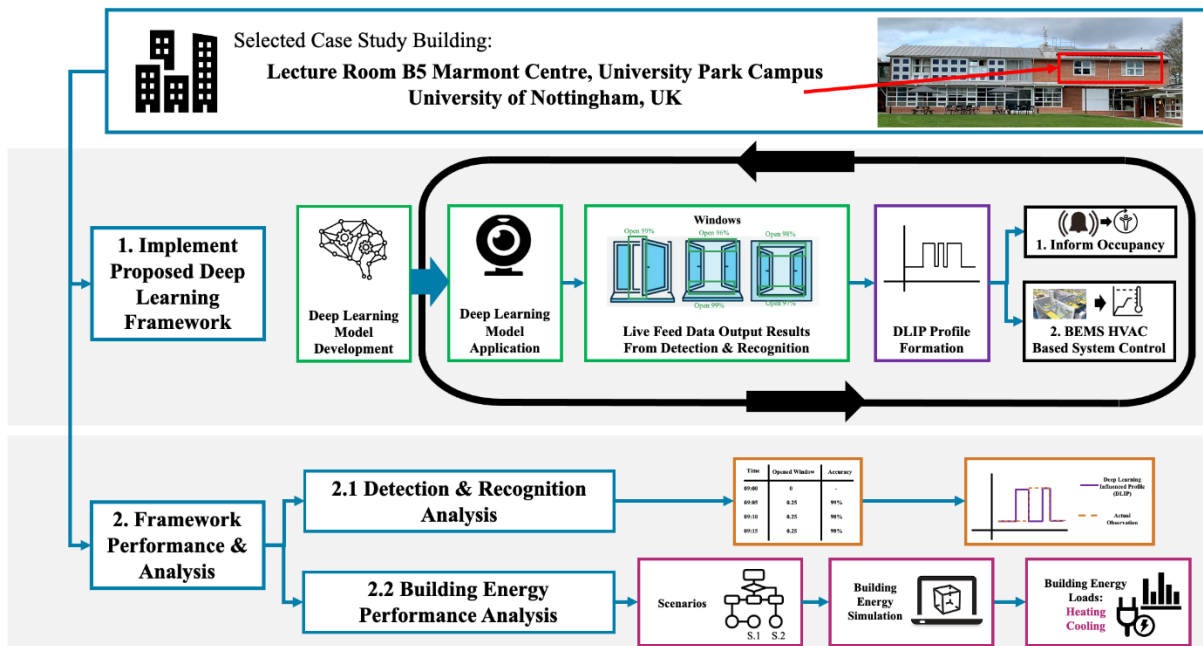
217 **2. Method**

218 The following section presents an outline of the proposed research approach and the framework
219 for the development of a method for detecting and recognising the conditions of windows, specifically
220 focusing on the detection of opened windows.

221 **2.1. The Proposed Approach**

222 The proposed approach is based on a data-driven deep learning framework that enables the detection
223 and recognition of window openings within a building for effective management of windows and
224 building HVAC systems. An architectural engineering lecture room within a case study building was
225 selected to carry out the initial testing of the deep learning framework. The room was also modelled for
226 the evaluation of its potential impact on the energy usage of the building. The approach can be split into
227 two parts; 1. development and implementation of the proposed deep learning framework and 2.
228 framework performance analysis.

229 Part 1 consists of the formation of a suitable deep learning model for the application of window
230 detection. The developed deep learning framework is based on the convolutional neural network
231 (CNN), trained, and validated for real-time window detection and recognition of opened windows. Part
232 2 is the utilisation of the deep learning model for real-time detection. The model was deployed to an
233 AI-powered camera, and the detection data are used to form the DLIP of the window operation. This
234 enables the system to alert occupants/building managers that specific windows are left open during
235 unoccupied periods. In addition, the profiles could feed into the control system to make adjustments to
236 minimise unnecessary loads. However, for the initial analysis, different scenarios will be simulated in
237 BES to predict the potential impact on energy demand. Further details are presented in Figure 1 and are
238 discussed in the next sections.

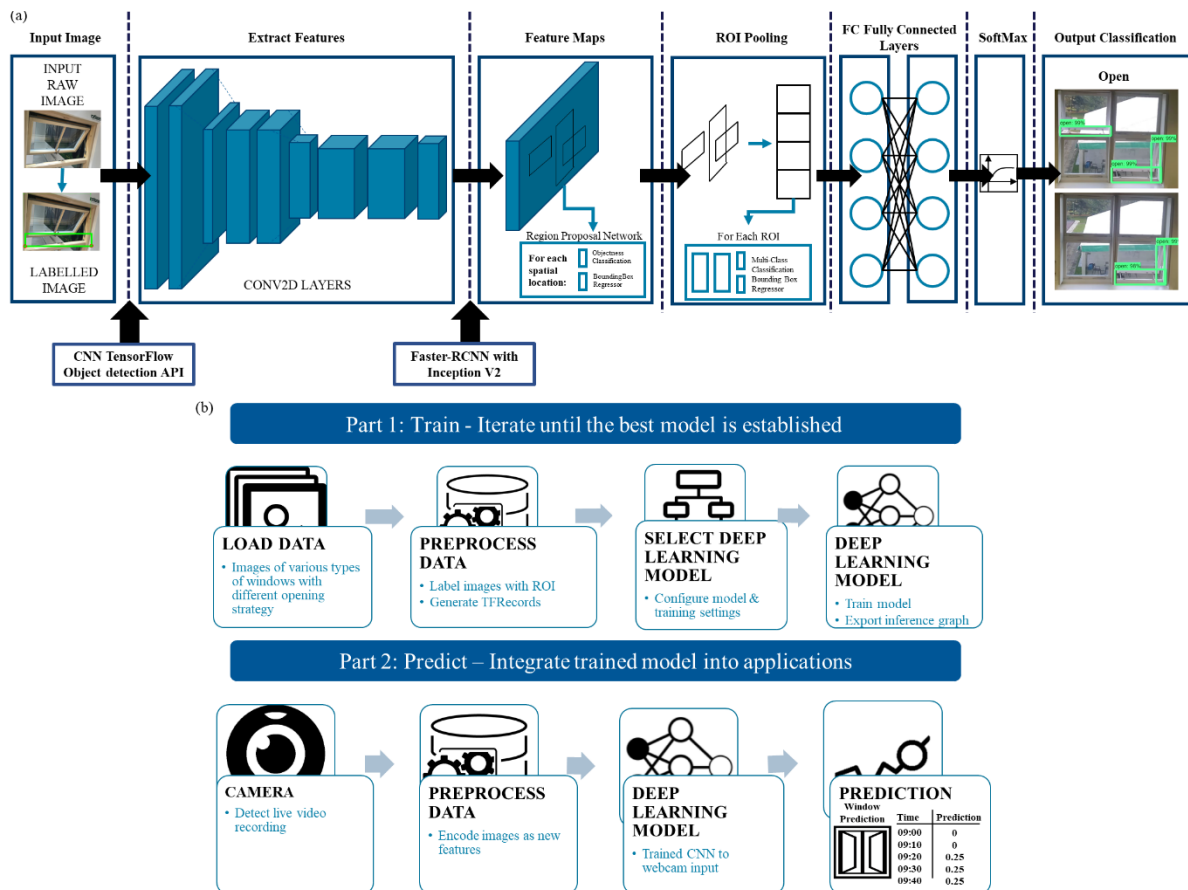


239

240 Figure 1. The proposed research method for the detection and recognition of window conditions.

241 **2.2. Deep Learning Method**

242 The classification-based algorithm Convolutional Neural Networks (CNN) is employed to form the
 243 deep learning window classification detection model (Figure 2a). It is a form of deep, feed-forward
 244 artificial neural network which is most suited to perform modelling for computer vision-related tasks
 245 with image datasets [51]. Deep CNNs have been extensively used to form various types of object
 246 detection frameworks. It directly learns the automatic designated features to produce a state-of-the-art
 247 recognition result, which is ideal for the project's purpose by enabling the actions of detection and
 248 recognition. Figure 2b presents the method used to develop and test the window detection deep learning
 249 model. Following a general deep learning workflow [58], this consists of data collection and processing,
 250 model training and deployment of model.



251

252 Figure 2. (a). CNN-based model configuration used for the training of the model. (b). Workflow for
 253 model development and application.

254 **2.2.1. Datasets and Pre-Processing Stages**

255 To enable the identification of window opening on any buildings, the first step of any deep learning
 256 detection and classification models was to form the input datasets and pre-process the input data to the
 257 desired format for training. Like other object detection models, images are used as the desired input
 258 data to form a large dataset that was used to define the condition of windows. The dataset used for this
 259 initial study was limited to the ‘open’ categories of window conditions.

260 The initial data consisted of more than 650 images in the training dataset, and more than 150 images in
 261 the testing dataset. The number of images used approximately followed the rule of thumb and the
 262 suggestion given by Ng [59] with 80% of the images was assigned for training and 20% for testing. The
 263 images within the datasets must be pre-processed before enabling the data to become ready for model
 264 training. All images were labelled manually using the software LabelImg [60].

265 Figure 3 shows an example of the images of opened windows assigned to the dataset and how bounding
 266 boxes were drawn manually around the specific region interest of each image. Bounding boxes were
 267 explicitly assigned to the opening gaps of the windows. Using the images in the dataset, 1,398 labels
 268 were assigned to the images in the training dataset and 318 labels in the testing dataset. For some cases,
 269 multiple numbers of labels were assigned to each image as this was highly dependent on the appearance
 270 of the gaps of the windows across the multiple sides of each window in the individual images.



271

272

Figure 3. Example images, obtained from Google Images, of various window opening types.

273

2.2.2. Convolutional Neural Network Model Selection and Configuration

274

275

276

277

278

279

280

To configure the main deep learning model, conditions for the formation of the deep learning model for window detection must be established. Suitable deep learning framework platforms that were previously selected for modelling were explored. Many deep learning framework libraries and platforms such as TensorFlow, PyTorch and Keras are highly popular and is recommended according to Google Trends (as of February 2020) [61] and along with the comparison of deep learning frameworks by Fonnegra et al. [62], it suggests that TensorFlow [63] is one of the highest-ranked tools used for deep learning due to its high capabilities, compatibility, speed, and support it provides.

281

282

283

284

285

286

287

288

289

According to previous works, many choose TensorFlow as the desired platform for the development of solutions for building-related applications. This includes [43], which used TensorFlow as a platform to train the desired deep learning model. Vázquez-Canteli et al. [64] fused the TensorFlow technique with building energy simulation (BES) to develop an intelligent energy management system for smart cities and Jo, and Yoon [65] indicated that TensorFlow was used to establish a smart home energy efficiency model. In this present study, the CNN TensorFlow Object detection Application Programming Interface (API) [61] framework was used to configure the desired window model. Applications such as [62-64] suggest the ability of the framework to aid the provision of a highly effective and accurate detection model.

290

291

292

293

294

295

296

To train the convolutional neural network model to perform classification tasks, the general process requires defining the network architecture layers and training options. Based on the findings of existing research which utilised the CNN TensorFlow Object detection API, a transfer learning approach was incorporated into the model configuration [70]. For the window detection model, the network architecture layers were not defined from scratch. Instead, the TensorFlow detection model zoo [71] provided a collection of detection models pre-trained on various large-scale detection-based datasets specifically designed for a wide range of machine-learning research.

297

298 For the initial development of the deep learning model, the COCO-trained model of Faster R-CNN (With
299 Inception V2) was selected and fine-tuned from the list of various types of models. This was selected due
300 to the popularity in the use for in many object detections models, including [72, 73]. Faster-RCNN with
301 Inception V2 uses the Faster R-CNN method and inception V2 architecture directly to find the type of
302 window condition in an image. More details about the selected training model can be found in [71]. Figure
303 2a summarises the architecture and the pipeline configuration of the model used for window detection.

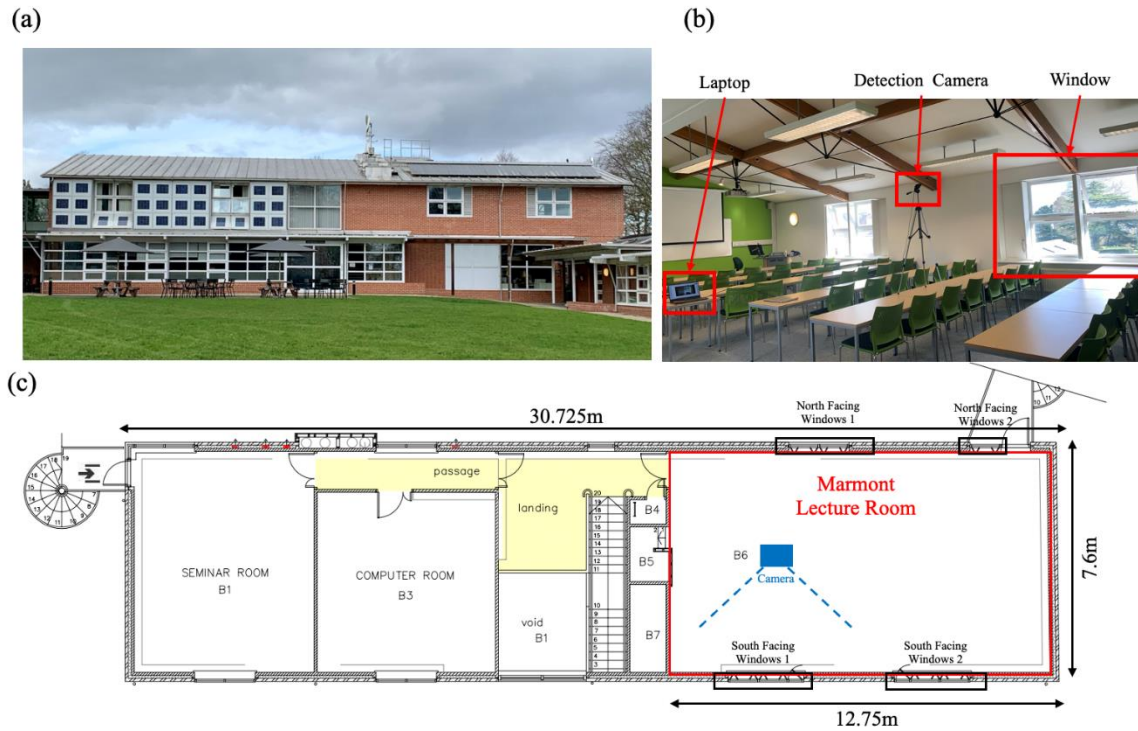
304 **2.3. Application of the Deep Learning Model**

305 Once the model was trained to a sufficient level where losses did not decrease any further, the associated
306 inference graph was exported. Directly, the model was prepared for real-time detection via the
307 deployment to a camera. During the real-time detection, continuous predictions of the window were
308 classified to the predicted output response of 'open' when opened windows were identified, while also
309 displaying the accuracy of the recognition in terms of percentages.

310 **2.3.1. Case Study Building**

311 An architectural engineering lecture room located on the first floor of Marmont Centre at the University of
312 Nottingham (Figure 4a) was used as a case study to support various stages of the design and testing of the
313 framework. The building is naturally ventilated and integrated with a simple heating system. This building
314 was also modelled using Building Energy Simulation (BES) tool IESVE [74] to further assess the potential
315 of this framework and the impact of the method on building energy loads.

316 The selected room has a floor area of 96.9m² with the dimensions of 12.75m x 7.6m and a floor to ceiling
317 height of 2.5m. The room consists of four sets of windows. Figure 4b presents the experimental setup in
318 the test. The setup consists of a 'detection camera' located near the centre of the room. The camera used
319 was a standard 1080p camera with a wide 90-degree field of view.



321

322 Figure 4. (a) Marmont Centre Building at the University of Nottingham, UK. (b). Experimental set-up
 323 for the experimental test. (c). 1st Floor plan.

324 Figure 5 shows two different window configurations within the lecture room. The north-facing windows
 325 are in an arrangement of 2 x 3 with a total of six 0.915m x 0.416m (0.38m²) glazing panels. The two south-
 326 facing windows are in an arrangement of 4 x 4 with a total of 8 0.835m x 0.657m (0.55m²) glazing panels.
 327 The windows have a top hung opening strategy, and they are double glazed with a U-value of 2.20 W/m²K.
 328 For the purpose of building energy simulation, an assignment of 50% of the maximum opening area was
 329 selected for an opened window, and 0% was assigned for a closed window. From architectural drawings,
 330 the building components of the wall, roof, ground and doors consist of U-values of 0.33, 0.22, 0.32 and
 331 3.00 W/m²K.



Figure 5. Marmont building lecture room window types.

332

333

334 Furthermore, Nottingham, UK weather data file was used for the simulation. Heating profiles were set to
 335 maintain an indoor temperature of 21°C during occupied hours. Details about the associated profiles for
 336 windows and occupancy assigned were given in the BES section. For the air exchanges, the infiltration rate
 337 value was set to 0.5ach.

338 2.3.2. Real-time Detection and Deep Learning Influenced Profile (DLIP) Formation

339 Based on the setup given in Figure 4, two 15-minute experimental tests were performed within the selected
 340 room, focusing on the detection of the south-facing windows 1. This is an initial real-time detection for the
 341 assessment of the capabilities of the method. The experimental test would start with all windows being
 342 closed. After a while, the person will open some of the windows.

343 In experimental test 1, the person would open one of the windows, and in experimental test 2, two windows
 344 from different heights will be opened. The windows would be opened while the person is present within
 345 the detection frame. Later on, the person left the room, and the windows will be kept open under the
 346 conditions of lights staying on and when the lights were switched off.

347 During both experimental tests, the continuous real-time detection provided response output window
 348 detection data which were recorded at every two seconds. This was used to form the DLIP. Figure 6 shows
 349 an example of the process of DLIP formation. It presents several snapshots of the recorded frame
 350 indicating the detected window condition and the percentage of prediction accuracy. Due to the method
 351 in the labelling of the gaps of opened windows within the images in the training dataset, it resulted in
 352 instances of windows to achieve two bounding boxes assigned to one window.

353 For example, this was indicated by the detection shown in frames 2 and 3 with one horizontal and one
 354 vertical bounding box assigned. This suggested the gaps across the whole window were identified,
 355 indicating the response of showing the window is opened. Since more than one bounding box could be
 356 assigned to an opened window, a rule was set to ensure that a single-window opening will only be
 357 detected once.

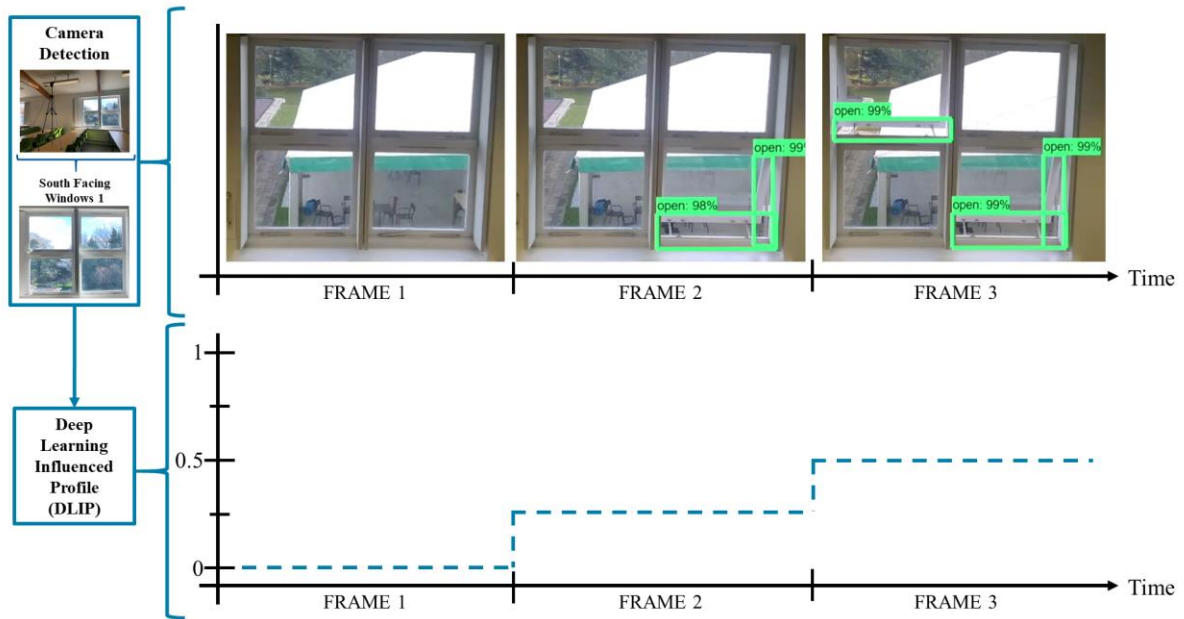


Figure 6. The formation process of the DLIP from window detection data.

The DLIP would be set based on a modulating profile. The maximum value achieved in the profile is dependent on the possible number of windows which can be identified as open from the number of windows present within the camera detection region. Based on the experimental setup given in Figure 4 for the experimental tests with a focus on the south-facing windows, a total of 4 windows can be classified. This is equivalent to the value of 1, as shown in the profile. Effectively, as shown in frame 2 when one opened window is detected, the profile presents a value of 0.25, and when two opened were identified as opened in frame 3 a value of 0.5 will be recorded.

Furthermore, this DLIP profile will be assessed along with the use of three other profiles; constantly open, constant closed and the actual observation, which represents the true window condition during the experiment time and enables the verification of the results obtained for the DLIP.

2.4. Conditions for Framework Performance and Analysis

The performance of the model would be assessed based on real-time window detection. As indicated in Figure 1, further analysis would be conducted using a scenario approach through BES. The following section provides the conditions used to perform such analysis.

2.4.1. Detection Model Performance Evaluation Metrics

To perform an initial evaluation of the performance of the model, images assigned in the test dataset will be used to evaluate the detection performance to provide results in the form of a confusion matrix. Values for the terms of true positive (TP: representing the achievement of a correct detection), true negative (TN: representing correct detection when windows are closed or as other), false positive (FP: representing the number of instances that the prediction was not true, or another instance being wrongly identified as this response, and false negative (FN: representing the number of instances as predicted to be something else, but it wasn't).

383 Based on the created confusion matrix, precision and recall are frequently used to evaluate the accuracy
 384 of the algorithm for object detection, which is defined by Eq. (2) and (3) respectively. Precision is the
 385 measure of exactness or quality, while recall is a measure of completeness or quantity. However, it is
 386 not sufficient to evaluate the detection performance when precision and recall were separately used.
 387 With the consideration of a balance between precision and recall, a measure called F₁ Score is formed
 388 by combining these two measures and expressed as Eq. (4).
 389

$$Accuracy = \frac{(TP + TN)}{(P + N)} \quad (1)$$

$$Precision = \frac{TP}{TP + FP} \quad (2)$$

$$Recall = \frac{TP}{TP + FN} \quad (3)$$

$$F_1 \text{ Score} = 2 \times \frac{Precision \times Recall}{Precision + Recall} \quad (4)$$

390

391 **2.4.2. Test Scenarios and Building Energy Simulation**

392 During the winter seasons in cold or temperate climate locations such as the UK, high amounts of energy
 393 would be wasted due to windows being left open while building heating systems would still be in
 394 operation. An example is the lecture room within the Marmont Centre, where it relies on conventional
 395 control systems for the HVAC. Typical “static” or fixed operation schedules were used. However, this
 396 cannot adjust according to the actual requirements of the space [55, 56].

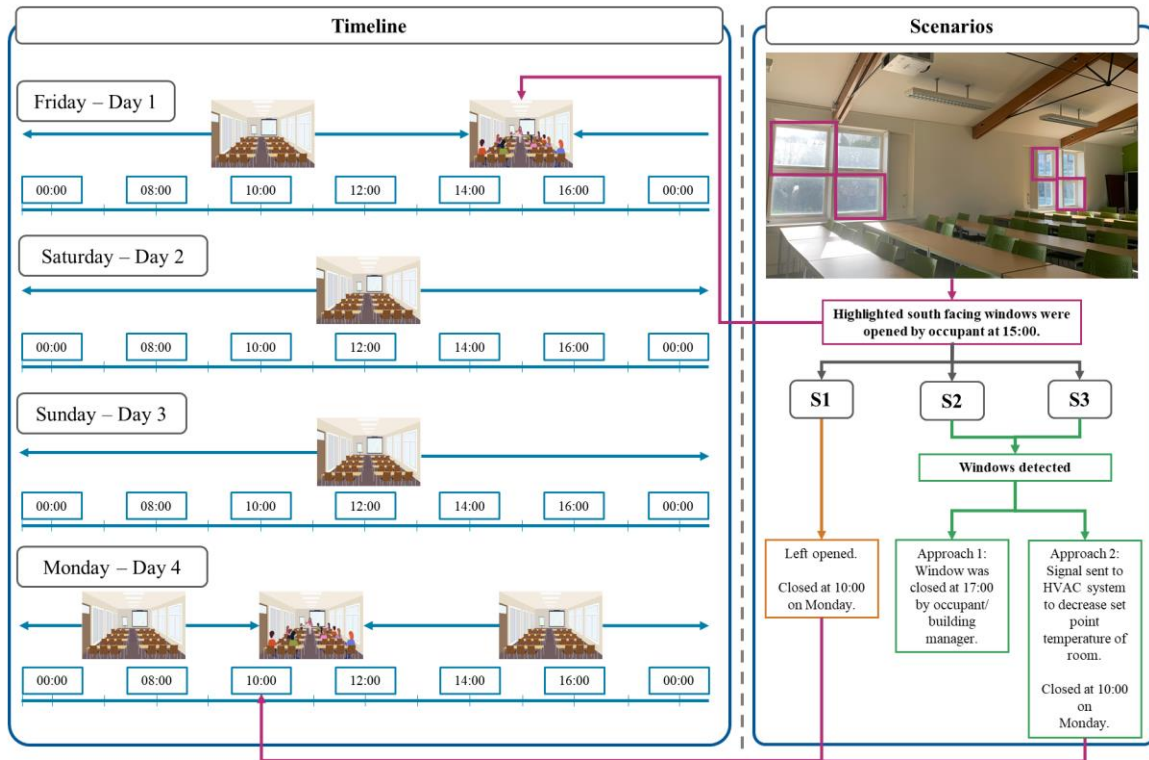
397 The following presents the set-up of test scenarios used to investigate the impact on building energy
 398 demand when the deep learning approach is applied. The scenario consists of the schedules indicated
 399 in Figure 7. The four-day period, Friday to Monday timeline provides a sample structure of how the
 400 room is occupied during a typical weekend during the winter months, between Friday 10th and Monday
 401 13th January. Specifically, the heating season was selected for analysis as parameters such as outdoor
 402 airflow and temperature must be considered when designing the control strategy i.e., night cooling and
 403 passive cooling.

404 The room was timetabled to have a lecture session on Friday (day 1) at 14:00–16:00 and another session
 405 at 10:00–12:00 on Monday (day 4). At these times, it was assumed that the building had maximum
 406 occupancy with 40 students present. Furthermore, the room was assumed to be unoccupied for the rest
 407 of the time. These occupancy-based conditions were presented as the scenario-based occupancy profile
 408 in Figure 8c.

409 For all scenarios (S1 – S3), it was assumed that only the highlighted south-facing windows in Figure 7
 410 were opened by occupants during the lecture session at 15:00 on Friday (Day 1). For Scenario 1, the
 411 window was left open until 10:00 am on Monday, where a person who attended the session decided to
 412 close these windows.

413 In scenario 2, the window detection strategy is employed which is assumed to have the ability to inform
 414 the occupants or building manager. This was highlighted in Figure 1 as the first response when windows

415 are detected as opened when they are not intended to be in this state. For this scenario, the opened
 416 windows were detected, and it informed the occupants/building manager to close the windows at 17:00.
 417 Scenario 3 adopts an approach in which the opened windows were continuously detected after alerting
 418 the occupant/ building manager and hence adjustments were made to the setpoint temperature.



419

420

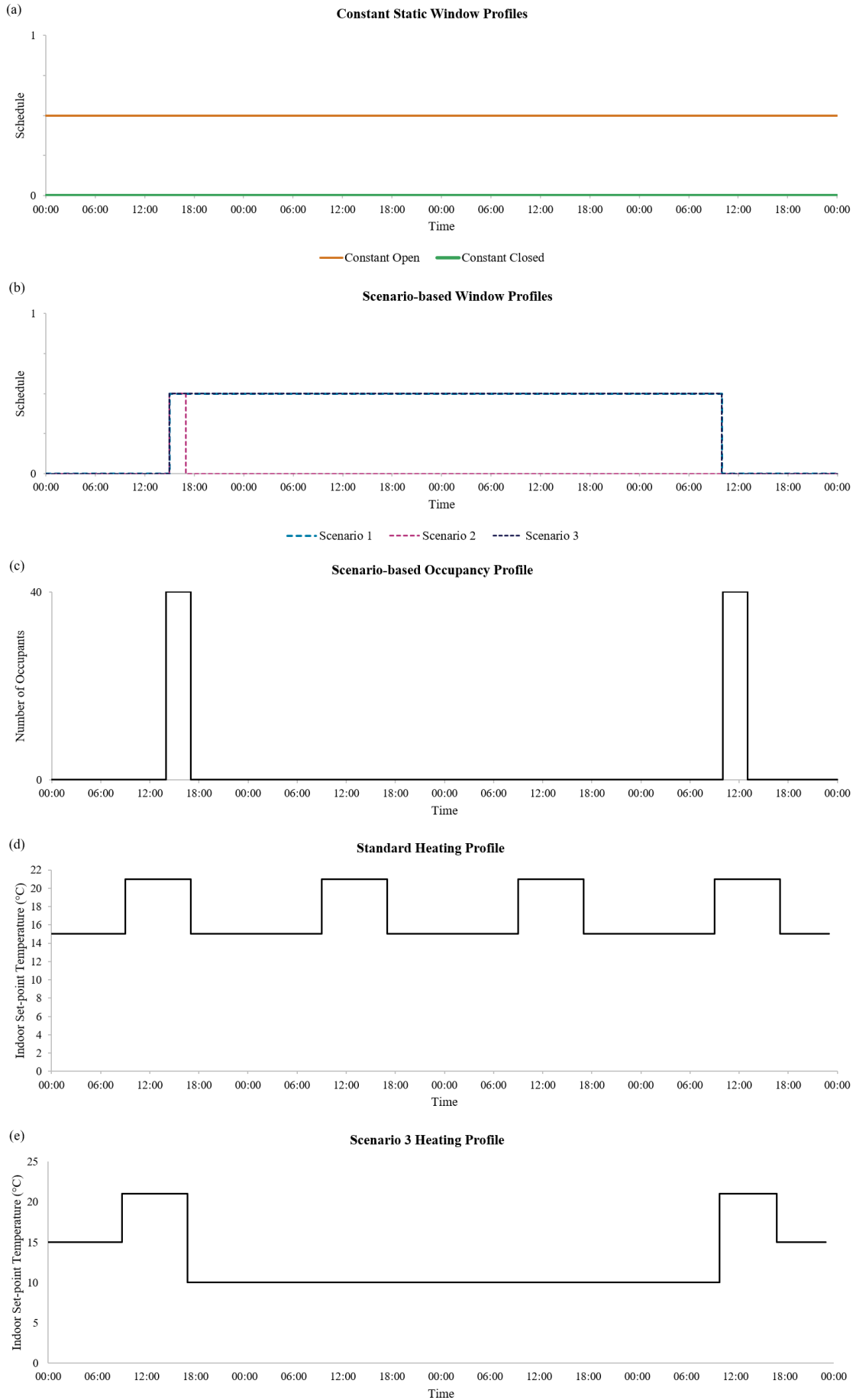
Figure 7. Description of the scenario schedules.

421 Figure 8 presents the window operation and heating setpoint profiles used within BES. The static
 422 profiles are presented in Figure 8a and are incorporated within the scenario-based building energy
 423 modelling. The comparison of the static profiles (Figure 8a) with the DLIP would provide an
 424 understanding of the difference between actual window conditions and the use of static profiles.

425 Based on the scenarios described above, Figure 8b presents the corresponding window profiles. The set
 426 indoor room temperature was based on ASHRAE 90.1 [75] and ASHRAE 55 [76]. For occupied hours,
 427 it advised a temperature of 22 – 27°C for cooling and 17 – 22°C for heating, while during unoccupied
 428 hours it suggested 27 – 30°C for cooling and 14 – 17°C for heating.

429 Effectively, given in Figure 8d, a generalised room setpoint temperature of 21°C was set during the
 430 typical occupied hours of 09:00 – 17:00 and 15°C during the unoccupied hours. It should be noted that
 431 occasionally students may occupy this room during both Saturdays and Sundays. Hence, the standard
 432 heating profile shows the same profiles for all four days. However, due to the approach given for
 433 Scenario 3, it, therefore, follows the heating profile indicated in Figure 8e.

434



436 Figure 8. Window and building energy modelling profiles.

437 The modelling of the windows consisted of an exposed wall type exposure, with a top hung window
 438 opening. Following Figure 5, the windows were assigned with an openable area of 50% and a maximum
 439 openable angle of 45°. The degree of the opening was assigned with a modulating profile corresponding to
 440 the window profiles created (Figure 8a and b). Table 1 summarises the simulation cases and the associated
 441 window and heating profiles used.

442 Table 1. Summary of the building energy performance simulation scenario cases.

Simulation Case	Assigned Profiles		
	Window	Heating	Occupancy
Constant Open	Constant open (Figure 8a)	Standard (Figure 8d)	Scenario-based (Figure 8c)
Constant Closed	Constant closed (Figure 8a)		
Scenario 1	Scenario-based (Figure 8b)		
Scenario 2			
Scenario 3		Scenario 3 (Figure 8e)	

443

444 3. Results and Discussion

445 The following presents the results and discussion of the developed deep learning-based window
 446 detection model. The trained model was used to conduct the described experimental tests and the
 447 following section shows the initial performance and analysis along with the further evaluation based on
 448 scenario-based building energy performances.

449 3.1. Deep Learning Model Training Performance and Evaluation

450 The initial deep learning model was trained using the graphics processing unit NVIDIA GTX1080. The
 451 training was conducted for 199,630 steps, and it took eleven hours, 29 minutes for the total losses to
 452 reach the level indicated in Figure 9. Using the pre-trained model Faster-RCNN with InceptionV2 to
 453 aid the training of the model for the detection of windows, the results provided a maximum loss of 1.237
 454 and a minimum loss of 0.0152.

455 The convergence of the loss function implies that the model has been effectively trained. Observations
 456 made for this proposed approach can be used to compare with different modifications applied. Table 2
 457 presents the results in form of a confusion matrix and evaluation metrics, which were devised from the
 458 total number of predicted labels assigned to the application of the images from the dataset.

459 A total of 160 images from the test dataset were used. The results suggested that 279 labels out of 318
 460 prediction labels were correctly assigned to the presented opened windows, an average detection
 461 accuracy of 87.74%. Furthermore, 11 of the labels were assigned to the opened windows when they
 462 actually closed or other, and 28 labels were not assigned to windows when they were presented as
 463 opened. Overall, an F_1 score of 0.9347 was achieved. This indicated that the majority of the images of

464 windows were correctly classified and validated the model being suitable for window detection and
 465 recognition.



466
 467 Figure 9. Training results. (a). Classification loss, and (b). A total loss against the number of training
 468 steps.

469 The images assigned in the test data were used to test and assess the initial model performance.
 470 Prediction labels were assigned to the images as a response to the application of the detection model.
 471 To provide effective evaluations, the dataset consisted of images of various windows with an opened
 472 condition. It included images of windows with top-hung and side-hung designs.

473 Table 2 presents the results in form of a confusion matrix and the common machine learning
 474 classification model evaluation metrics, which were devised from the total number of predicted labels
 475 assigned to the application of the images from the dataset.

476 Table 2. Model performance based on the number of predicted labels assigned to the application of
 477 the images from the testing dataset.

Confusion Matrix		Window Classification	Accuracy	Precision	Recall	F1 Score									
<p style="text-align: center;">True Class</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td></td> <td style="text-align: center;">Open</td> <td style="text-align: center;">Closed/Other</td> </tr> <tr> <td style="text-align: center;">Open</td> <td style="text-align: center;">279</td> <td style="text-align: center;">11</td> </tr> <tr> <td style="text-align: center;">Closed/Other</td> <td style="text-align: center;">28</td> <td style="text-align: center;">0</td> </tr> </table>			Open	Closed/Other	Open	279	11	Closed/Other	28	0	Open	87.74%	0.9621	0.9088	0.9347
	Open	Closed/Other													
Open	279	11													
Closed/Other	28	0													

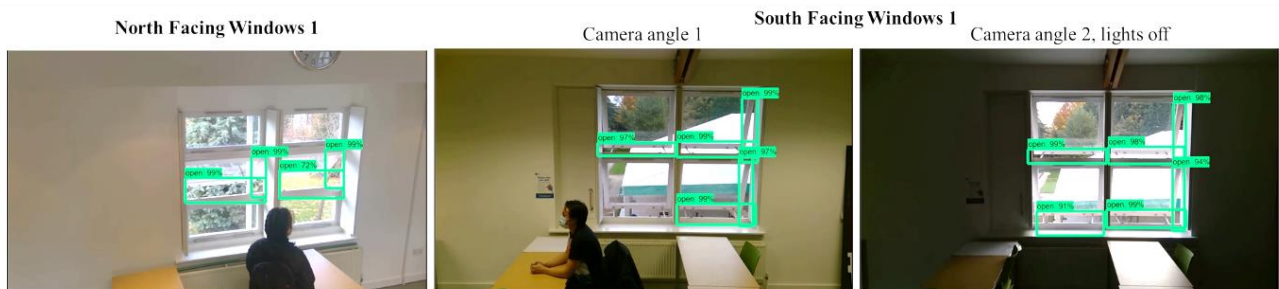
478

479 **3.2. Framework Performance: Detection and Recognition**

480 The section presents the initial performance of the trained window detection model. The trained model
481 was deployed to form an AI-based camera, enabling real-time detections within the selected case study
482 building. Section 3.2.1. introduces the model’s ability in providing effective applications within a series
483 of different types of windows, while Section 3.2.2. presents the application of the model during the
484 selected experimental tests.

485 **3.2.1. Real-time Detection and Recognition of Windows**

486 Figure 10 shows the live detection and recognition results for the different windows located in the room.
487 Predicted bounding boxes were assigned to windows when they were recognised as open. Above the
488 bounding boxes, the accuracy percentage of prediction was displayed. Through the detection of the
489 north-facing window, along with the south-facing window 1 from two different camera angles and
490 lighting conditions, it was observed that windows that were opened were identified. Further training
491 would be made to improve the detection accuracy and to reduce the possibility of achieving false
492 detections.



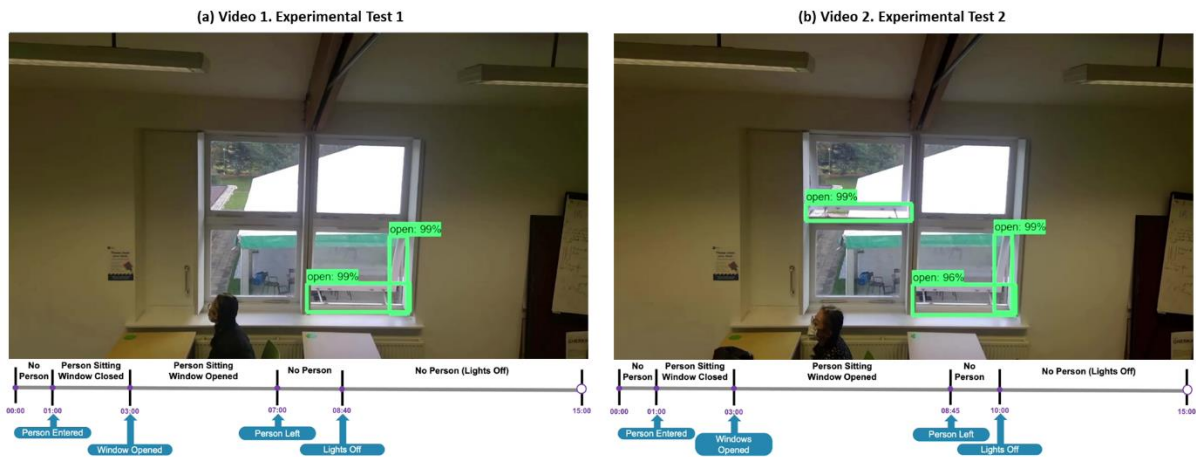
493
494 Figure 10. Detection and recognition results on different windows in the selected Marmont Lecture
495 Room.

496 **3.2.2. Experimental Detection and Recognition Results**

497 To show the capability of the proposed approach, two real-time experimental detection tests,
498 Experimental Test 1 and 2 was performed within the Marmont Lecture room. The test was based on the
499 set-up given in Figure 4, and each test was performed for 15 minutes. Figure 11, along with Video 1
500 and 2 presents a preview of the real-time window detection and recognition model during the two
501 experimental tests, focusing on the south-facing windows 1. Both experimental tests had the camera
502 positioned at the height and angle replicating typical occupancy sensors, by locating the camera near to
503 the ceiling of the room.

504 It should be noted that in practice, the device won't be storing or outputting images. It will only output
505 real-time information on the number and location of open windows. The images and videos of the
506 detection performance are for visualisation purposes and are to give a preview of how the detection and
507 recognition works. It is envisioned that the detection technology and AI camera will be integrated into
508 a single device and will only output data required by the demand-driven control system.

509



510

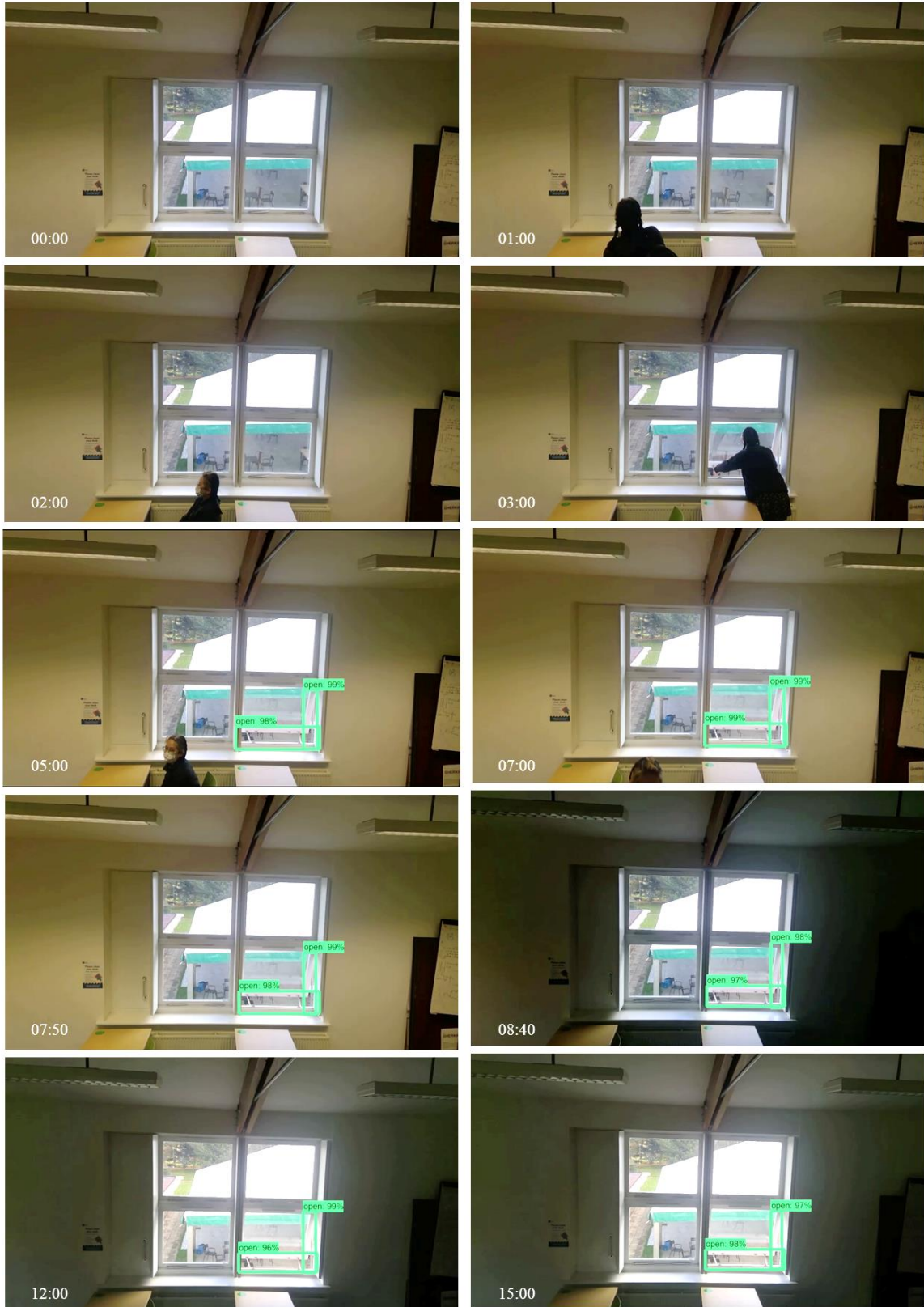
511 Figure 11. Preview of the real-time window detection and recognition model during (a). Video 1 –
 512 Experimental Test 1 and (b). Video 2 – Experimental Test 2. *See links for the videos on the last page.*

513 Figure 12 and Figure 13 presents examples of window detection and recognition during Experimental
 514 Test 1 and 2, based on the key stages as highlighted by the timelines given in Figure 11 and Videos 1
 515 and 2. The results showed its capabilities in detecting if the windows are open such as when there is no
 516 person near the window and when a person is opening the window and sitting near the window. It also
 517 showed its capabilities when artificial lighting is switched off.

518 As given by the snapshots in Figure 12 and Figure 13, the size and shape of these bounding boxes varied
 519 between each detection interval. It was dependent on the size of the detected space, the distance of the
 520 camera with the detected window, and it was also dependent on the influence of the presence of a person
 521 which can be considered as an obstructing object.

522 Furthermore, due to the method in the labelling of the gaps of opened windows within the images in the
 523 training dataset, it resulted in instances of windows to achieve two bounding boxes assigned to one
 524 window. For example, this was presented in all the detections highlighted in Figure 12, with one
 525 horizontal and one vertical bounding box assigned. Otherwise, in instances such as the top left window
 526 shown in Figure 13, only one bounding box was present as the vertical gap within the window was not
 527 clearly shown.

528 Hence, the proposed method of detecting window opening gaps potentially reduces the occurrence of
 529 issues such as obstruction as typically, the size of the windows will be larger in comparison to objects
 530 such as occupancy body size and size of general objects within a room. This suggests the full window
 531 would be unlikely be blocked at all times. In addition, a window should not be blocked at all times as
 532 this could lead to other issues such as daylighting and visual comfort within buildings.



533

534

535

Figure 12. Example snapshots of various key point stages during the application of the window detection approach during Experimental Test 1.



536

537

538

Figure 13. Example snapshots of various key point stages during the application of the window detection approach during Experimental Test 2.

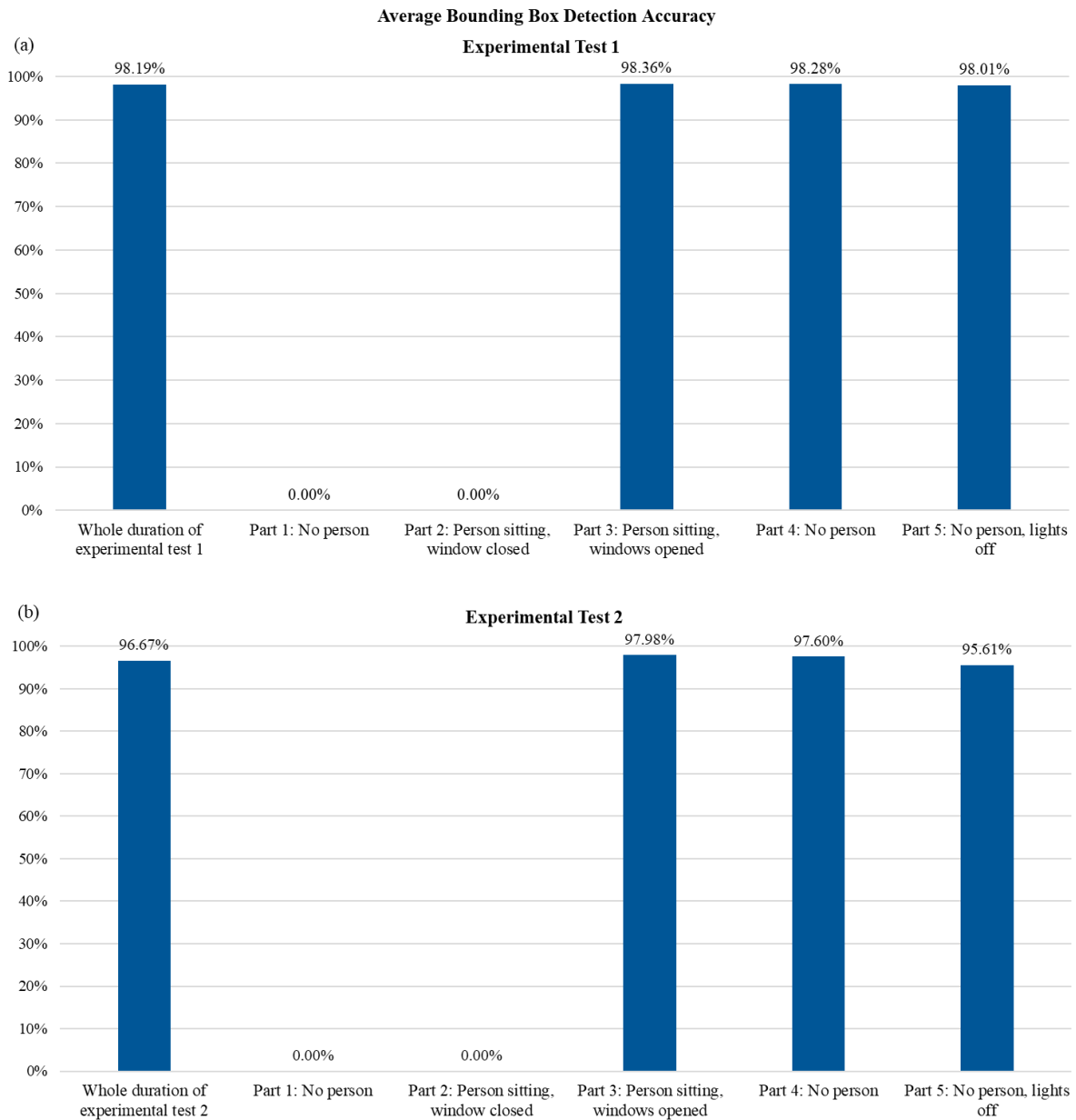
539 **3.3. Framework Performance: Detection Performance Analysis**

540 Based on the detections made in Section 3.2.2., the following shows the analysis of the model detection
541 and recognition performance that was based on the experimental tests conducted within the case study
542 building.

543 **3.3.1. Analysis of the Real-time Detection Performance**

544 Figure 14 presents the average bounding box detection accuracy for both experiments. No results were
545 obtained for parts 1 and 2 of both experiments, as all windows were identified as not being opened. For
546 the other parts, it indicated an average detection accuracy of 98.19% was achieved for experimental test
547 1, and 96.67% for experimental test 2. A threshold limit with a minimum detection accuracy of 60%
548 was set to only enable the display of detections when the accuracy is above this value. This mitigates
549 any form of uncertain predictions. Stable performance was achieved, as minimal variations were
550 presented within the accuracies between parts 3, 4 and 5 in both experimental tests.

551 The highest prediction accuracy was achieved in part 3. This was when the windows were opened, and
552 minimal movement was performed by the person. Similar results were achieved in part 4, and only a
553 slight decrease in accuracy values was achieved when the lights were switched off in part 5. Overall,
554 the results suggest that the developed model is capable of detecting multiple numbers of windows under
555 various room conditions. However, this is only based on the initial detection at the selected period of
556 time. Hence, further model training and testing would be performed to achieve higher detection
557 accuracies for various types of windows.



558

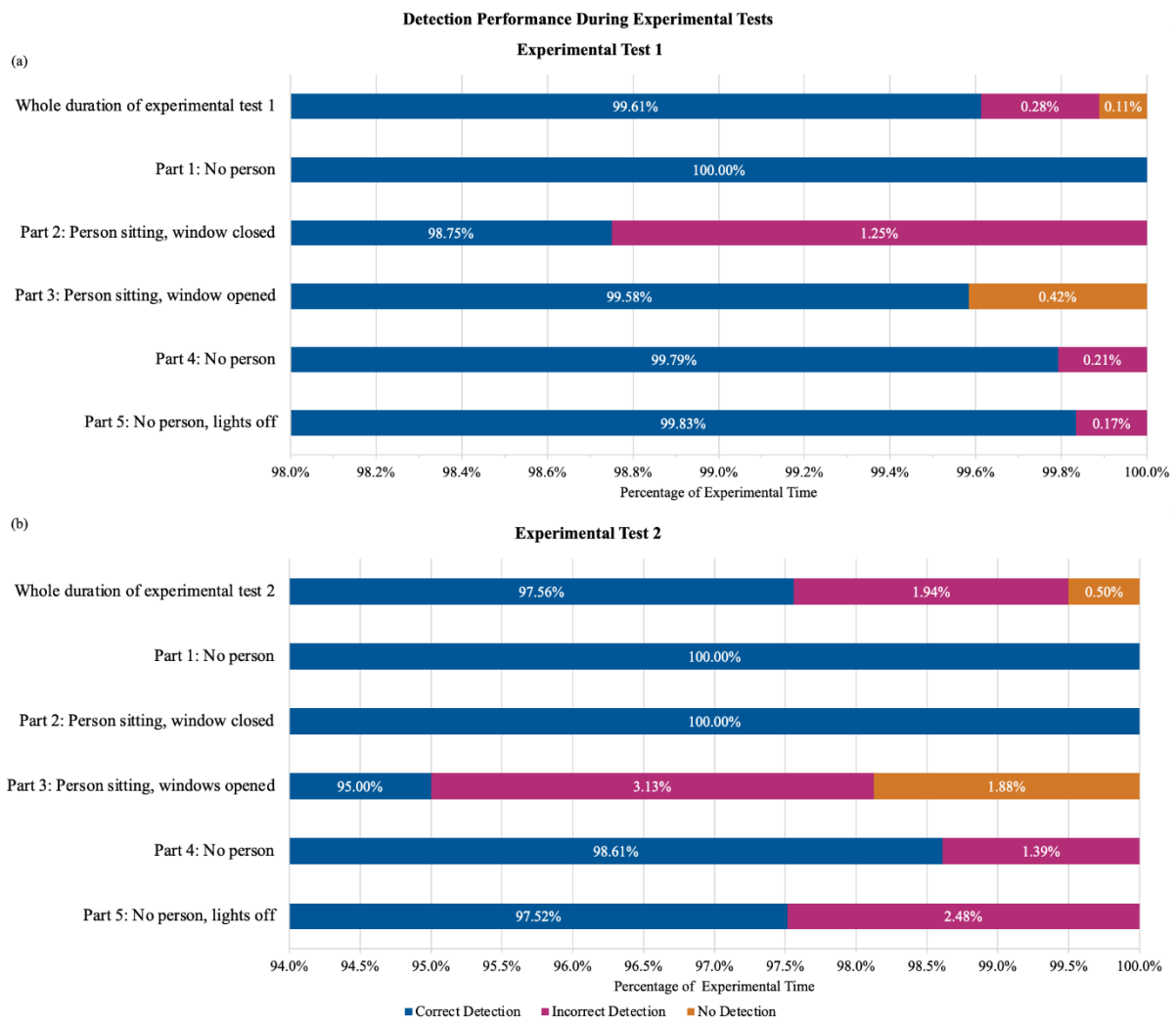
559 Figure 14. Average detection accuracy based on the displayed bounding box during real-time
 560 predictions in a). Experimental Test 1 and b). Experimental Test 2.

561 Figure 15 presents the overall detection performance of the proposed approach during the two
 562 experimental tests. For experimental test 1, Figure 15a showed that the approach provided correct
 563 detections for an average of 99.61% of the time, 0.28% of the time to achieve incorrect detections and
 564 subsequently, 0.11% of the time with no detections. Similarly, for experimental test 2, Figure 15b
 565 suggests it achieved correct detection for 97.56% of the time, 1.94% of the time to achieve incorrect
 566 detections and no detections occurred for 0.50% of the time.

567 Obtaining a correct detection represents the instance when the opened windows were correctly
 568 identified as open, and also for the times when detection was correctly not made when windows were
 569 closed. Generally, the performance of the model was better in experimental test 1 than experimental
 570 test 2.

571 Based on the breakdown of the detection performance for each of the 5 parts of each of the experimental
 572 tests, in experimental test 1, part 2 achieved the most amount of incorrect detection, 1.25% of the time.
 573 This could be because the person was within the detection frame and displaying false results in
 574 suggesting windows being identified as opened when they were not.

575 Similarly, this may also cause the result of incorrect detection in part 3 of detection performance during
 576 the experimental test 2, with incorrect detections was recorded for 3.13% of the time and no detection
 577 for 1.88% of the time.

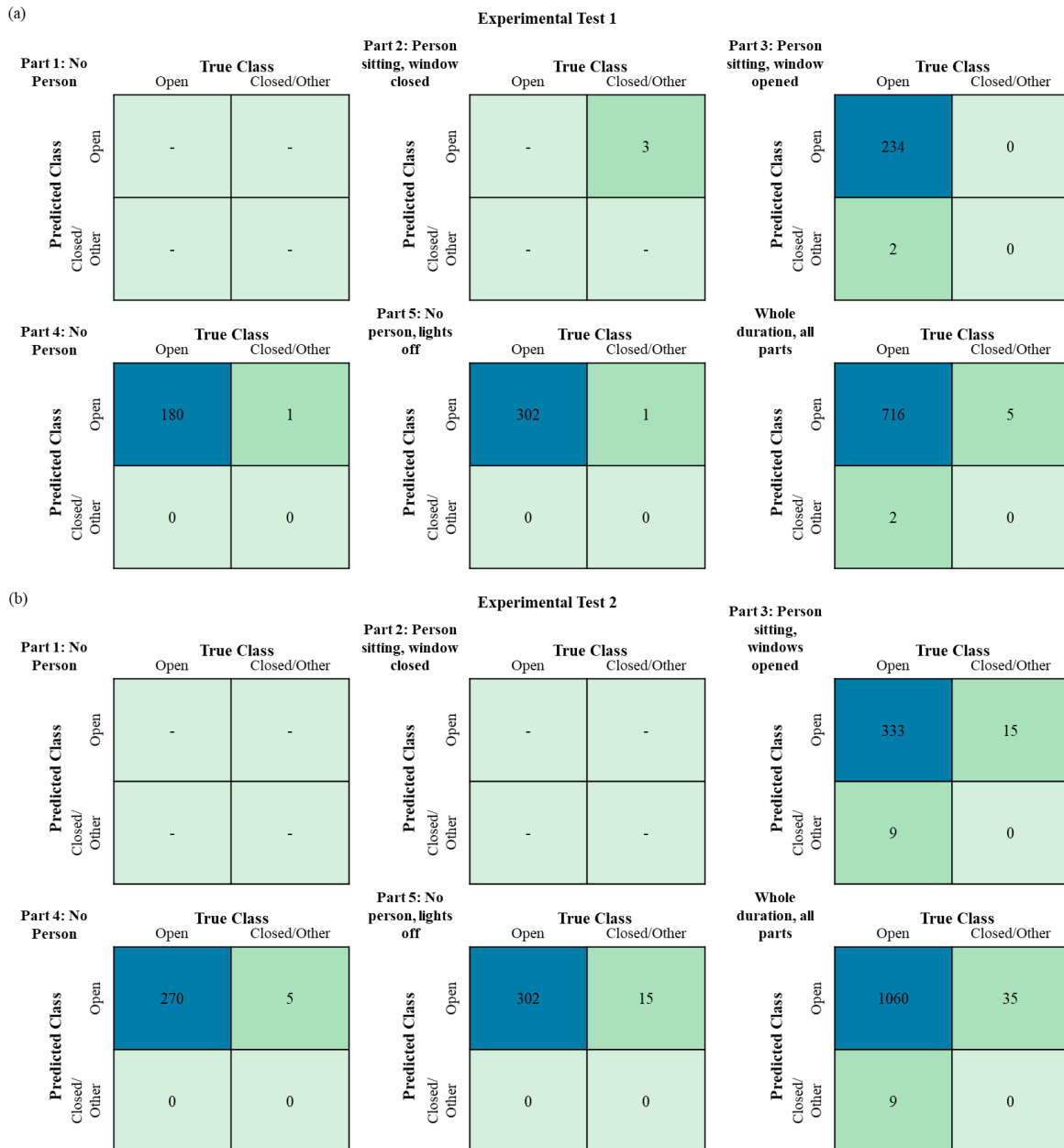


578
 579 **Figure 15.** Detection performance during a). Experimental Test 1 and b). Experimental Test 2.
 580 Identification of the percentage of time achieving correct, incorrect, and no detections during the
 581 whole duration of each test and for each of the sections.

582 3.3.2. Further Evaluation of the Detection Accuracy Based on Classification Evaluation Metrics

583 Figure 16 presents the results for the different parts of the experimental tests in the form of a confusion
 584 matrix based on the prediction response label of ‘open’ displayed on the detected windows. Since no
 585 windows were opened in parts 1 and 2 of both tests, no results were given for the majority of the
 586 confusion matrix displayed.

587 However, for part 2 in experimental test 1, three labels were present in identifying windows as opened,
 588 when they were not. This resulted in the only value displayed in this matrix. Similar to the results shown
 589 in Figure 15 of the overall detection performance, the results shown in the confusion matrix for parts 3,
 590 4, and 5 for both experimental tests, suggests that most labels were correctly assigned to the opened
 591 windows. Only the occasional instances when the opened windows were not identified, so no labels
 592 were assigned. Also, times when labels were assigned to windows that were closed.



593
 594 Figure 16. a). Experimental. Test 1 and b). Experimental Test 2 detection performances evaluated in
 595 the form of the confusion matrix based on the labels identified. From clockwise; no person, a person
 596 sitting with windows closed, a person sitting with windows opened, no person, no person with lights
 597 off, entire duration.

598 The confusion matrix results displayed in Figure 16 for each part enabled the evaluation of the results
 599 in the form of the different classification evaluation metrics, as shown in **Error! Not a valid bookmark**

600 **self-reference..** An accuracy of over 99% accuracy with an F₁ score of 0.9951 was achieved for the
 601 performance during the experimental test 1 and an accuracy of 96% with an F₁ score of 0.9797 for
 602 experimental test 2.

603 Table 3. Evaluation of the model performance during Experimental Test 1 and 2, based on common
 604 evaluation metrics.

Section	Accuracy	Precision	Recall	F ₁ Score
Experimental Test 1				
Part 1: No person	-	-	-	-
Part 2: Person sitting, window closed	-	-	-	-
Part 3: Person sitting, windows opened	99.15%	1.0000	0.9915	0.9957
Part 4: No person	99.45%	0.9945	1.0000	0.9972
Part 5: No person, lights off	99.67%	0.9967	1.0000	0.9983
Whole duration of experimental test 1	99.03%	0.9931	0.9972	0.9951
Experimental Test 2				
Part 1: No person	-	-	-	-
Part 2: Person sitting, window closed	-	-	-	-
Part 3: Person sitting, windows opened	93.28%	0.9569	0.9737	0.9652
Part 4: No person	98.18%	0.9818	1.0000	0.9908
Part 5: No person, lights off	95.27%	0.9527	1.0000	0.9758
Whole duration of experimental test 2	96.01%	0.9680	0.9916	0.9797

605

606 **3.4. Framework Performance: DLIP of Window Operation**

607 The real-time detections enabled the formation of the DLIP. Video 3 shows an example of the window
 608 detection and recognition along with the generation of the DLIP profile. The DLIP was based on a

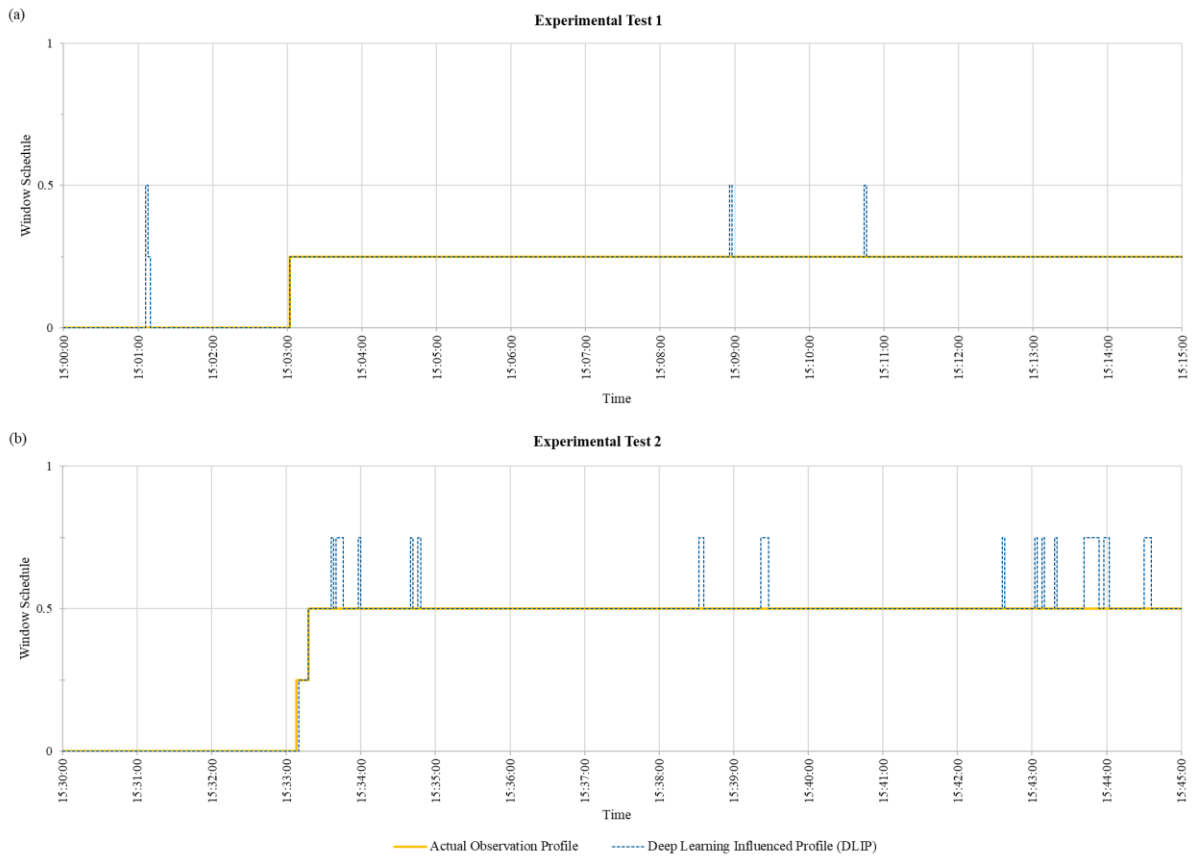
609 modulating number of detected opened windows, with the value of 1 representing the times when all
610 four windows within the detection frame were identified as open.



611

612 Video 3. A video presenting the application of the developed window detection and recognition model
613 in Experimental Test 2 along with the generation of the DLIP. *See the link for the video on the last*
614 *page.*

615 Figure presents the generated DLIP of the opening patterns for the selected windows in the Marmont
616 Room during a). Experimental test 1 and b). Experimental test 2. The formation of the profile
617 corresponds to the process indicated in Figure 6 The Actual Observation Profile defines the ‘actual’
618 window condition. This profile was used to assess the accuracy of the DLIP, as shown in Figure . Based
619 on the initial experimental results, at times the DLIP still alternates between the values of the window
620 profile schedule, indicating prediction error. Therefore, further improvements are required to enhance
621 the accuracy, reliability and stability of the detection model.



622

623 Figure 17. Generated DLIP based on the window detection results performed in Experimental Test 1
 624 and 2, along with the corresponding actual window conditions.

625 **3.5. Scenarios and Building Energy Performance Analysis**

626 Based on the proposed research method shown in Figure 1, this section presents the analysis of the
 627 framework performance based on different scenarios-based situations. Building energy simulation was
 628 conducted to provide the following discussion in terms of heating demand and ventilation heat losses.

629 **3.5.1. Heating Demand**

630 Figure presents the heating results for the four days under the different simulation cases. Based on the
 631 use of “static” profiles for the window operation in the BES, the maximum (constant open) and
 632 minimum (constant closed) heating load that can be achieved depending on the window opening is
 633 presented in Figure 18a. When the windows were constantly closed, the high number of occupants
 634 present within the room led to high internal occupancy heat gains which led to the lower heating
 635 requirement for these periods of time.

636 Figure b shows the results for the heating load for the three scenarios. For scenario 1 the results suggest
 637 the heating load would be similar to constant open as the windows were kept open from 15:00 on Friday
 638 (day 1) to 10:00 on Monday (day 4). The only differences occurred at the times before the window was
 639 opened on Friday (day 1) and after it was closed on Monday (day 2). The opened windows resulted in
 640 a high increase in heating loads due to the continuous heating of the room to reach the desired setpoint
 641 temperatures (Figure 8d).

642 For scenario 2, the deep learning method was used to assist the detection of the opened windows and
 643 notification was given to either the occupants or the building manager. Prior to the closure of the window

644 at one hour after the lecture was finished (at 17:00), the same amount of heating load as scenario 1 was
645 required. However, once the windows were closed, it resulted in a significant decrease in heating load. For
646 this case, heating was not required for the rest of the day. Instead, heating was only required for the periods
647 where the room had a set point temperature of 21°C.

648 Scenario 3 was simulated using the same window profiles as for scenario 1 (Figure 8b) since the
649 windows were kept open from Friday night to Monday morning. Instead of a standard heating profile
650 based on the typical room occupied hours, a different heating profile given in (Figure 8e) was used to
651 model the situation where the deep learning detection method assisted the building controls through
652 sensing the opened windows which therefore informed the operations of the building HVAC systems.

653 Due to it being the end of the office day and no occupants present after 17:00, the second approach
654 given in the deep learning framework shown in Figure 1 was followed. The sensors from the detection
655 model informed the building energy management system controls and influenced the building HVAC
656 system to reduce the heating setpoints until Monday.

657 Figure 18 presents the corresponding heating load results for Scenario 3. By comparing the results
658 across all three scenarios, similar heating loads were achieved on day 1 and since the room setpoint
659 temperature was changed to 10°C for the times when the window was detected as opened, it resulted in
660 the requirement of no heating.

661 Furthermore, a high peak in an increase of heating demand was presented a 10:00 on Monday (day 4)
662 as the room setpoint was then changed to 21°C. However, the requirement of a high heating load only
663 occurred for a short period of time as occupants were present within the room.

Heating Between Friday 10th – Monday 13th January



664

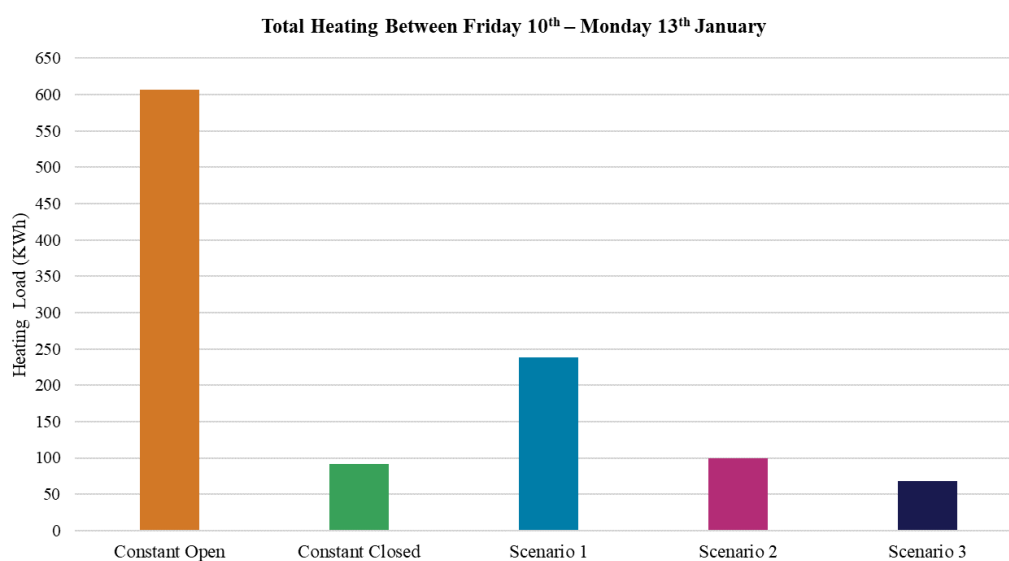
665 Figure 18. Heating load results for a weekend (Friday 10th – Monday 13th January) achieved using
 666 building energy simulation cases of a). constant scheduled window profiles and b). the three different
 667 scenario-based cases.

668 Figure 19 presents the total heating demand between the scenario simulation days. It suggested the room
 669 with windows assumed to be constantly opened required a heating load of 606.6kWh. This is based on
 670 a worst-case scenario which indicates the maximum amount of heating that is essential to maintain the
 671 room at 21°C during occupied hours. In comparison, for constantly closed windows, heating of

672 91.4kWh was needed. This was due to no ventilation heat losses through windows. It should be noted
673 that the occupancy profile was assigned in the model and hence the occupancy heat gains led to the
674 requirement of less heating.

675 Furthermore, Scenario 1, 2 and 3 achieved a total heating load of 238.5kWh, 99.3kWh and 68.0kWh.
676 Given by scenario 2, heating after 17:00 was decreased by approximately 7kW (during the next hour)
677 as the occupancy/building manager was informed about the opened windows, which prevented the
678 windows from being left open.

679 Additionally, Scenario 3 consisted of the DLIP data to inform the HVAC system to provide lower indoor
680 temperature during unoccupied times. This was shown by the achievement of decreased heating loads
681 to the minimum. With the setpoint temperature of the room being dependent on the window conditions,
682 building demands can be effectively reduced.



683
684 Figure 19. A comparison between the total heating load (Friday 10th – Monday 13th January) predicted
685 based on BES cases of constant scheduled window profiles and the three different scenario-based
686 cases.

687 3.5.2. Ventilation Heat Loss

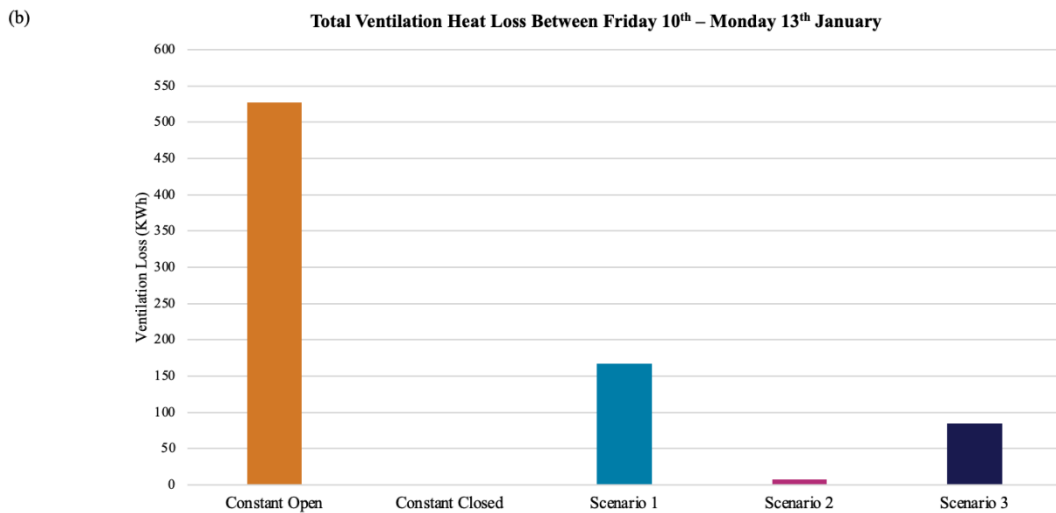
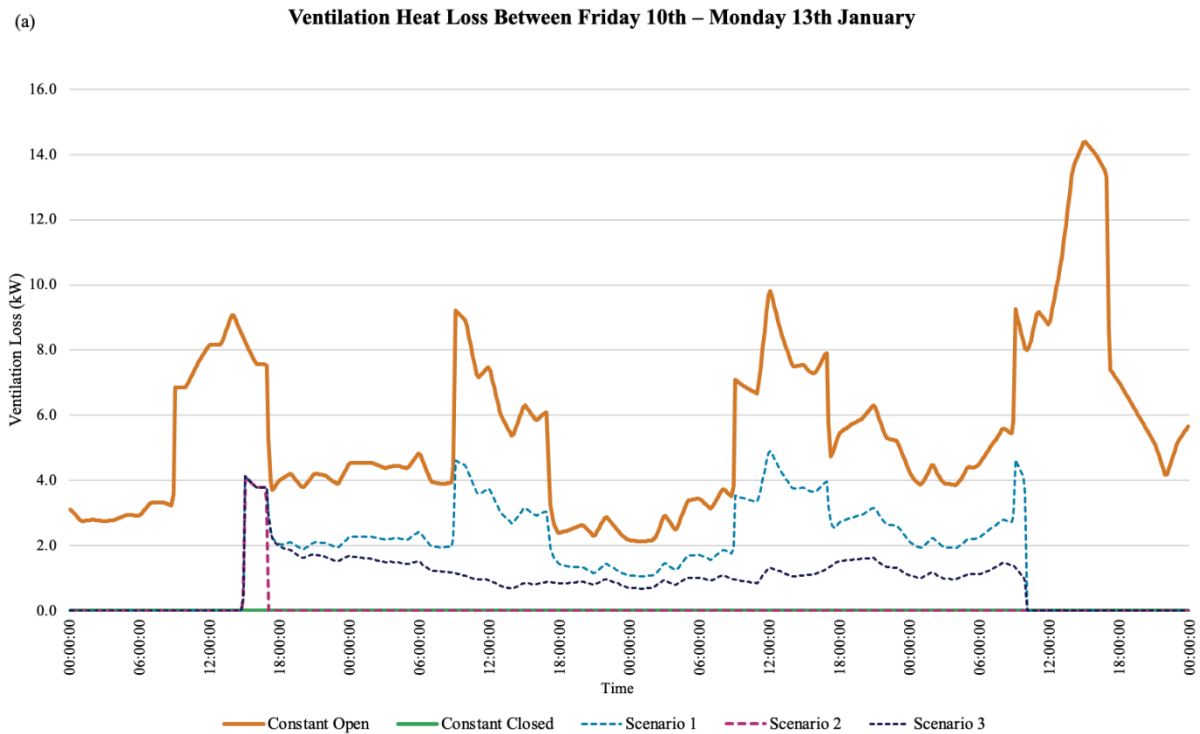
688 Figure a shows the ventilation heat losses within the room throughout the four days. The losses are
689 influenced by the outdoor air conditions on the selected day and also directly with the window profiles
690 given in Figure 8. From the constant open and constant closed results, generally shows the maximum
691 and minimum possible losses.

692 The results for scenarios 1, 2, and 3 were directly influenced by the window profiles given in Figure 8b
693 which indicates the importance of knowing whether windows are either opened or closed, as it can
694 significantly affect the ventilation conditions within an indoor environment, which therefore justifies
695 the importance of the deep learning detection method. Figure 20b shows the total ventilation heat losses
696 for each scenario.

697 The ventilation heat loss achieved was solely based on the consideration of the window opening
698 behaviour. However, other contributing factors such as the wind direction, velocity, airflow
699 performance would also have a large impact on the indoor air quality, airflow performance and also the

700 amount of ventilation losses via the opened windows. These contributing factors would be considered
 701 within the future development of the approach towards the design of the response system that would be
 702 integrated with building controls to enable the achievement of effective operations of building HVAC
 703 system.

704



705

706 Figure 20. Building ventilation heat loss (Friday 10th – Monday 13th January) predicted based on BES
 707 cases of assigning constant scheduled window profiles and the three different scenario-based cases.

708

b). A comparison between the building ventilation losses.

709

4. Conclusion and Future Work

710 The present study introduces a data-driven deep learning framework for the detection and recognition
711 of window openings within a typical university building to minimise unnecessary energy usage. A
712 Faster R-CNN model was employed and trained for the classification and detection of windows. The
713 deep learning framework was evaluated using the detection within the selected lecture room in the case
714 study building. The detection and recognition of windows formed the DLIP, which was compared with
715 ‘Actual Observation’ profiles.

716 The deep learning framework was evaluated using the detection within the selected lecture room in the
717 case study building. The detection and recognition of windows formed the DLIP which was compared with
718 ‘Actual Observation’ profiles. To analyse the model detection performance, two experimental tests were
719 performed. Correct detections were achieved for over 95% of the time, with an average detection accuracy
720 of 97.29%. This initial result showed the capabilities of this framework for detecting and recognising
721 the conditions of the windows.

722 Using BES, the selected room was modelled and simulated to assess the potential impact of the proposed
723 approach on the building energy demand. Results show the assumption of windows being constantly
724 opened can provide an over-prediction of the heating load by up to 208% or the assumption of windows
725 being constantly closed can result in an under-prediction by up to 57%. To further analyse the impact
726 of the DLIP and window detection approach, a typical four-day (Friday – Monday) period was
727 simulated based on three scenarios.

728 For Scenario 1, leaving the windows open during the entire period led to a total heating load of 239kWh.
729 In Scenario 2, the deep learning method was used to assist the detection of the opened windows and
730 notification was given to either the occupants or the building manager who closed the windows one hour
731 after the lecture was finished. This resulted in the total heating load to decrease by 139kWh. In Scenario
732 3, adjusting the setpoints based on the detection data led to a much higher reduction in heating loads.

733 Further developments will be carried in the proposed future works. The deep learning framework can
734 be optimised by adding more training data to improve the detection accuracy with modifications to the
735 deep learning model architecture. Moreover, a streamlined transfer of the data obtained from the deep
736 learning model to the building profile generator would be necessary. It would provide a direct and
737 automated adjustment of setpoints for the HVAC system based upon the detection results. Whereby,
738 the framework would be enhanced to enable direct detection of windows that feeds data to an actual
739 building control system. Future works would also consider the exploration of how the information of
740 the window condition can also be provided to the user or control the openings (for the case of
741 automatically controlled windows) to optimise the indoor air quality and comfort during occupied
742 periods.

743 As shown in Figure A1, a combination of occupancy [50, 52] and window detection can be evaluated
744 and to improve the model performance, a series of test will be conducted to allow the approach to
745 effectively be able to work under various types of building spaces along with different environmental
746 conditions and settings.

747 **Acknowledgements**

748 This work was supported by the Department of Architecture and Built Environment, University of
749 Nottingham and the PhD studentship from EPSRC, Project References: 2100822 (EP/R513283/1). The
750 authors would also like to thank L.Yong for her support in conducting the field experiments. The present

751 publication was first presented at the 4th SEE Sustainable Development of Energy, Water and
752 Environment Systems SDEWES Conference, Sarajevo, Bosnia and Herzegovina, 28-2 July 2020.

753 **References**

- 754 1. European Commission, Energy use in buildings, 2020. [https://ec.europa.eu/energy/en/eu-](https://ec.europa.eu/energy/en/eu-buildings-factsheets-topics-tree/energy-use-buildings)
755 [buildings-factsheets-topics-tree/energy-use-buildings](https://ec.europa.eu/energy/en/eu-buildings-factsheets-topics-tree/energy-use-buildings).
- 756 2. Allesina, G., Ferrari, C., Muscio, A., Pedrazzi S. Easy to implement ventilated sunspace for
757 energy retrofit of condominium buildings with balconies *Renew. Energy*, 141 (2019), 541-548.
- 758 3. Charvát, P., Klimeš, L., Pech, O., Hejčík J. Solar air collector with the solar absorber plate
759 containing a PCM – environmental chamber experiments and computer simulations *Renew.*
760 *Energy*, 143 (2019) 731-740.
- 761 4. CIBSE Chartered Institution of Building Services Engineers, CTT1 CIBSE Top Tips 1:
762 Ventilation in Buildings, 2015. [https://www.cibse.org/knowledge/knowledge-](https://www.cibse.org/knowledge/knowledge-items/detail?id=a0q20000006oamlAAA)
763 [items/detail?id=a0q20000006oamlAAA](https://www.cibse.org/knowledge/knowledge-items/detail?id=a0q20000006oamlAAA). (Accessed February 2020).
- 764 5. Sorgato, M.J., Melo, A.P., Lamberts, R., The effect of window opening ventilation control on
765 residential building energy consumption, *Energy and Buildings* 133 (2016) 1-13.
- 766 6. Oropeza-Perez, I., Ostergaard, P.A., Remmen, A. Model of natural ventilation by using a
767 coupled thermal-airflow simulation program *Energy Build.*, 49 (2012), 388-393.
- 768 7. Oropeza-Perez, I., Ostergaard, P.A. Active and passive cooling methods for dwellings: a review
769 *Renew. Sustain. Energy Rev.*, 82 (2018), 531-544.
- 770 8. Kyritsi, E., Michael, A., An assessment of the impact of natural ventilation strategies and
771 window opening patterns in office buildings in the mediterranean basin, *Building and*
772 *Environment* (2019) 106384.
- 773 9. CIBSE Chartered Institution of Building Services Engineers, CIBSE Guide B2: 2016 -
774 Ventilation and ductwork, Section 2.3.2.1. Ventilation Systems – Background and Section
775 2.3.2.2. Natural Ventilation., 2016.
- 776 10. NHS National Health Service Sustainable Development Unit, Case studies from the NHS
777 Carbon Reduction Strategy: Energy use in buildings 2009.
778 [https://www.sduhealth.org.uk/documents/publications/1232894014_RBQy_6_case_studies_fr](https://www.sduhealth.org.uk/documents/publications/1232894014_RBQy_6_case_studies_from_the_nhs_carbon_reduction_stra.pdf)
779 [om_the_nhs_carbon_reduction_stra.pdf](https://www.sduhealth.org.uk/documents/publications/1232894014_RBQy_6_case_studies_from_the_nhs_carbon_reduction_stra.pdf) (Accessed February 2020).
- 780 11. Lomas, K.J., Ji, Y., Resilience of naturally ventilated buildings to climate change: Advanced
781 natural ventilation and hospital wards, *Energy and Buildings* 41(6) (2009) 629-653.
- 782 12. Homod, R.Z., Sahari, K.S.M., Energy savings by smart utilization of mechanical and natural
783 ventilation for hybrid residential building model in passive climate, *Energy and Buildings* 60
784 (2013) 310-329.
- 785 13. HM Government, The Building Regulations 2010 Ventilation: Approved Document F - Means
786 of Ventilation 2010.
- 787 14. Rasheed, E.O., Byrd, H., Money, B., Mbachu, J., Egbelakin, T., Why Are Naturally Ventilated
788 Office Spaces Not Popular in New Zealand? *Sustainability* 2017, 9(6), 902.
- 789 15. Belmans, B., Aerts, D., Verbeke, S., Audenaert, A., Descamps, F., Set-up and evaluation of a
790 virtual test bed for simulating and comparing single- and mixed-mode ventilation strategies,
791 *Building and Environment* 151 (2019) 97-111.
- 792 16. Calautit, J.K., O'Connor, D., Tien, P.W., Wei, S., Pantua, C.A.J., Hughes, B. Development of
793 a natural ventilation windcatcher with passive heat recovery wheel for mild-cold climates: CFD
794 and experimental analysis, *Renewable Energy*, 160 (2020) 465-482.
- 795 17. Calautit, J.K., Tien, P.W., Wei, S., Calautit, K., Hughes B. Numerical and experimental
796 investigation of the indoor air quality and thermal comfort performance of a low energy cooling
797 windcatcher with heat pipes and extended surfaces *Renew. Energy*, 145 (2020), 744-756.
- 798 18. Shen, W., Chuanqi, X., Song, P., Jiale, S., Yunmo, W., Xiaoyan, L., Tarek, H., Steven, F.,
799 Farid, F., Rory J., D.W. Pieter, Analysis of factors influencing the modelling of occupant
800 window opening behaviour in an office building in Beijing, China, 2016, Proceedings of
801 BS2015: 14th Conference of International Building Performance Simulation Association,
802 Hyderabad, India, Dec. 7-9, 2015.

- 803 19. Fabi, V., Andersen, R.V., Corgnati, S., Olesen, B.W., Occupants' window opening behaviour:
804 A literature review of factors influencing occupant behaviour and models, *Building and*
805 *Environment* 58 (2012) 188-198.
- 806 20. Andersen, R., Fabi, V., Toftum, J., Corgnati, S.P., Olesen, B.W., Window opening behaviour
807 modelled from measurements in Danish dwellings, *Building and Environment* 69 (2013) 101-
808 113.
- 809 21. Pan, S., Xiong, Y., Han, Y., Zhang, X., Xia, L., Wei, S., Wu, J., Han, M., A study on influential
810 factors of occupant window-opening behavior in an office building in China, *Building and*
811 *Environment* 133 (2018) 41-50.
- 812 22. Oropeza-Perez, I. The influence of an integrated driving on the performance of different passive
813 heating and cooling methods for buildings, *Buildings*, 9, (2019), 224.
- 814 23. Wang, L., Greenberg, S., Window operation and impacts on building energy consumption,
815 *Energy and Buildings* 92 (2015) 313-321.
- 816 24. Pal, M., Alyafi, A.A., Ploix, S., Reignier, P., Bandyopadhyay, S., Unmasking the causal
817 relationships latent in the interplay between occupant's actions and indoor ambience: A
818 building energy management outlook, *Applied Energy* 238 (2019) 1452-1470.
- 819 25. Huang, L., Li, Y., Chen, S., Zhang, Q., Song, Y., Zhang, J., Wang, M., Building safety
820 monitoring based on extreme gradient boosting in distributed optical fiber sensing, *Optical*
821 *Fiber Technology* 55 (2020) 102149.
- 822 26. Mahdavi, E., Fanian, A., Amini, F., A real-time alert correlation method based on code-books
823 for intrusion detection systems, *Computers & Security* 89 (2020) 101661.
- 824 27. Du, C., Yu, W., Ma, Y., Cai, Q., Li, B., Li, N., Wang, W., Yao, R., A holistic investigation into
825 the seasonal and temporal variations of window opening behavior in residential buildings in
826 Chongqing, China, *Energy and Buildings*, October 2020, 110522.
- 827 28. Ou, K., Intelligent Opening and Closing System of Doors and Windows, *IOP Conference*
828 *Series: Earth and Environmental Science* 252 (2019) 022010.
- 829 29. GEZE, Window safety systems - discreet and reliable 'bodyguards', 2020.
830 <https://www.geze.co.uk/en/discover/topics/window-safety-system>. (Accessed February 2020).
- 831 30. Pressac, Door and window sensors, 2020. [https://www.pressac.com/door-and-window-](https://www.pressac.com/door-and-window-sensors/)
832 [sensors/](https://www.pressac.com/door-and-window-sensors/). (Accessed February 2020).
- 833 31. Window Master., Intelligent natural ventilation solutions: Our systems integrate with BMS and
834 automate facades with intelligence, 2020. [https://www.windowmaster.com/solutions/natural-](https://www.windowmaster.com/solutions/natural-ventilation/our-systems-and-technology)
835 [ventilation/our-systems-and-technology](https://www.windowmaster.com/solutions/natural-ventilation/our-systems-and-technology). (Accessed February 2020).
- 836 32. Chen, W., Ding, Y., Bai, L., Sun, Y., Research on occupants' window opening behavior in
837 residential buildings based on the survival model, *Sustainable Cities and Society*, 60 (2020),
838 102217.
- 839 33. Rouleau, J., Gosselin, L., Probabilistic window opening model considering occupant behavior
840 diversity: A data-driven case study of Canadian residential buildings, *Energy* 195 (2020),
841 116981.
- 842 34. Shi, S., Li, H., Ding, X., Gao, X., Effects of household features on residential window opening
843 behaviors: A multilevel logistic regression study, *Building and Environment* 170 (2020),
844 106610.
- 845 35. Wang, N., Zhao, X., Zhao, P., Zhang, Y., Zou, Z., Ou, J., Automatic damage detection of
846 historic masonry buildings based on mobile deep learning, *Automation in Construction* 103
847 (2019) 53-66.
- 848 36. Guo, Y., Tan, Z., Chen, H., Li, G., Wang, J., Huang, R., Liu, J., Ahmad, T., Deep learning-
849 based fault diagnosis of variable refrigerant flow air-conditioning system for building energy
850 saving, *Applied Energy* 225 (2018) 732-745.
- 851 37. Singaravel, S., Suykens, J., Geyer, P., Deep-learning neural-network architectures and
852 methods: Using component-based models in building-design energy prediction, *Advanced*
853 *Engineering Informatics* 38 (2018) 81-90.
- 854 38. Zhang, Z., Chong, A., Pan, Y., Zhang, C., Lam, K.P., Whole building energy model for HVAC
855 optimal control: A practical framework based on deep reinforcement learning, *Energy and*
856 *Buildings* 199 (2019) 472-490.

- 857 39. Konstantakopoulos, I.C., Barkan, A.R., He, S., Veeravalli, T., Liu, H., Spanos, C., A deep
858 learning and gamification approach to improving human-building interaction and energy
859 efficiency in smart infrastructure, *Applied Energy* 237 (2019) 810-821.
- 860 40. Heo, S., Nam, K., Loy-Benitez, J., Li, Q., Lee, S., Yoo, C., A deep reinforcement learning-
861 based autonomous ventilation control system for smart indoor air quality management in a
862 subway station, *Energy and Buildings* 202 (2019) 109440.
- 863 41. Dai, X., Liu, J., Zhang, X., Chen, W., An artificial neural network model using outdoor
864 environmental parameters and residential building characteristics for predicting the nighttime
865 natural ventilation effect, *Building and Environment* 159 (2019) 106139.
- 866 42. Chen, Y., Tong, Z., Zheng, Y., Samuelson, H., Norford, L., Transfer learning with deep neural
867 networks for model predictive control of HVAC and natural ventilation in smart buildings,
868 *Journal of Cleaner Production* 254 (2020) 119866.
- 869 43. Markovic, R., Grintal, E., Wölki, D., Frisch, J., van Treeck, C., Window opening model using
870 deep learning methods, *Building and Environment* 145 (2018) 319-329.
- 871 44. Markovic, R., Frisch, J., van Treeck, C., Learning short-term past as predictor of window
872 opening-related human behavior in commercial buildings, *Energy and Buildings* 185 (2019) 1-
873 11.
- 874 45. Hong, S., Kim, S., Reduction of False Alarm Signals for PIR Sensor in Realistic Outdoor
875 Surveillance, *ETRI Journal*, 35 (2013).
- 876 46. Surantha, N., Wicaksono, W.R., Design of Smart Home Security System using Object
877 Recognition and PIR Sensor, *Procedia Computer Science*, 135 (2018) 465-472.
- 878 47. Wei, Y., Xia, L., Pan, S., et al. Prediction of occupancy level and energy consumption in office
879 building using blind system identification and neural networks. *Applied Energy*, 2019,
880 240:276-294.
- 881 48. Mehmood, M.U., Chun, D., Zeeshan, Han, H., Jeon, G., Chen, K., A review of the applications
882 of artificial intelligence and big data to buildings for energy-efficiency and a comfortable
883 indoor living environment, *Energy and Buildings*, 202 (2019) 109383.
- 884 49. Georgiou, G.S., Christodoulides, P., Kalogirou, S.A., Implementing artificial neural networks
885 in energy building applications — A review, in: 2018 IEEE International Energy Conference
886 (ENERGYCON), 2018, 1-6.
- 887 50. Ajith, M. , Kurup, A.R., Pedestrian Detection: Performance Comparison Using Multiple
888 Convolutional Neural Networks, in: P. Perner (Ed.) *Machine Learning and Data Mining in
889 Pattern Recognition*, Springer International Publishing, Cham, 2018, 365-379.
- 890 51. Wu, X., Sahoo, D., Hoi, S.C.H., Recent advances in deep learning for object detection,
891 *Neurocomputing*, (2020).
- 892 52. Zheng, H., Li, F., Cai, H., Zhang, K., Non-intrusive measurement method for the window
893 opening behavior, *Energy and Building* 197 (2019), 171-176.
- 894 53. Zou, J., Zhao, Q., Yang, W., Wang, F., Occupancy detection in the office by analyzing
895 surveillance videos and its application to building energy conservation, *Energy and Buildings*,
896 152 (2017) 385-398.
- 897 54. Odashima, S., Sato, T., Mori, T., Household object management via integration of object
898 movement detection from multiple cameras, 2010 IEEE/RSJ International Conference on
899 Intelligent Robots and Systems, 2010, 3187-3194.
- 900 55. Tien, P.W., Wei, S., Calautit, J.K., Darkwa, J., Wood, C., A vision-based deep learning approach
901 for the detection and prediction of occupancy heat emissions for demand-driven control
902 solutions, *Energy and Buildings* 226, (2020), 110386.
- 903 56. Wei, S., Tien, P.W., Calautit, J.K., Wu, Y., Boukhanouf, R., Vision-based detection and
904 prediction of equipment heat gains in commercial office buildings using a deep learning
905 method, *Applied Energy* 227 (2020), 115506.
- 906 57. Tien, P.W., Wei, S., Calautit, J.K., Darkwa, J., Wood, C., Occupancy heat gain detection and
907 prediction using deep learning approach for reducing building energy demand, *J. sustain. dev.*
908 *energy water environ. syst.*, 1080378.
- 909 58. Amazon Web Services, AWS, Ivanovic, B., Ivanovic, Z., How to Deploy Deep Learning
910 Models with AWS Lambda and Tensorflow, 2017. <https://aws.amazon.com/blogs/machine->

911 learning/how-to-deploy-deep-learning-models-with-aws-lambda-and-tensorflow/. (Accessed
912 June 2019 2019).

913 59. Ng, A., Coursera, Model Selection and Train/Validation/Test Sets, 2019.
914 [https://www.coursera.org/lecture/machine-learning/model-selection-and-train-validation-test-](https://www.coursera.org/lecture/machine-learning/model-selection-and-train-validation-test-sets-QGKbr)
915 [sets-QGKbr](https://www.coursera.org/lecture/machine-learning/model-selection-and-train-validation-test-sets-QGKbr). (Accessed February 2020).

916 60. Tzotalin, LabelImg, 2015. <https://github.com/tzotalin/labelImg>.

917 61. Google Trends, Comparison between search terms: pytorch, tensorflow and keras between
918 February 2015 - 2020, 2020. (Accessed February 2020).

919 62. Fonnegra, R.D., Blair, B., Díaz, G.M., Performance comparison of deep learning frameworks
920 in image classification problems using convolutional and recurrent networks, 2017 IEEE
921 Colombian Conference on Communications and Computing (COLCOM), 2017, 1-6.

922 63. TensorFlow, TensorFlow: An end-to-end open-source machine learning platform, 2020.
923 <https://www.tensorflow.org/>. (Accessed February 2020).

924 64. Vázquez-Canteli, J.R., Ulyanin, S., Kämpf, J., Nagy, Z., Fusing TensorFlow with building
925 energy simulation for intelligent energy management in smart cities, Sustainable Cities and
926 Society 45 (2019) 243-257.

927 65. Jo, H., Yoon, Y.I., Intelligent smart home energy efficiency model using artificial TensorFlow
928 engine, Human-centric Computing and Information Sciences 8(1) (2018) 9.

929 66. Huang, J., Sun, C., Zhu, M., Korattikara, A., Fathi, A., Fischer, I., Wojna, Z., Song, Y.,
930 Guadarrama, S., Murphy, K., Speed/accuracy trade-offs for modern convolutional object
931 detectors, (2016).

932 67. Galvez, R.L., Bandala, A.A., Dadios, E.P., Vicerra, R.R.P., Maningo, J.M.Z., Object Detection
933 Using Convolutional Neural Networks, TENCON 2018 - 2018 IEEE Region 10 Conference,
934 2018, pp. 2023-2027.

935 68. Phadnis, R., Mishra, J., Bendale, S., Objects Talk - Object Detection and Pattern Tracking
936 Using TensorFlow, 2018 Second International Conference on Inventive Communication and
937 Computational Technologies (ICICCT), 2018, 1216-1219.

938 69. Yuan, L., Qu, Z., Zhao, Y., Zhang, H., Nian, Q., A convolutional neural network based on
939 TensorFlow for face recognition, 2017 IEEE 2nd Advanced Information Technology,
940 Electronic and Automation Control Conference (IAEAC), 2017, 525-529.

941 70. Shen, S., Sadoughi, M., Li, M., Wang, Z., Hu, C., Deep convolutional neural networks with
942 ensemble learning and transfer learning for capacity estimation of lithium-ion batteries, Applied
943 Energy 260 (2020) 114296.

944 71. TensorFlow, Tensorflow detection model zoo, 2020.
945 [https://github.com/tensorflow/models/blob/master/research/object_detection/g3doc/detection_](https://github.com/tensorflow/models/blob/master/research/object_detection/g3doc/detection_model_zoo.md)
946 [model_zoo.md](https://github.com/tensorflow/models/blob/master/research/object_detection/g3doc/detection_model_zoo.md). (Accessed February 2020).

947 72. Ren, S., He, K., Girshick, R., Sun, J., Faster R-CNN: Towards Real-Time Object Detection
948 with Region Proposal Networks, IEEE Transactions on Pattern Analysis and Machine
949 Intelligence 39 (2015).

950 73. Szegedy, C., Ioffe, S., Vanhoucke, V., Alemi, A.A., Inception-v4, inception-ResNet and the
951 impact of residual connections on learning, Proceedings of the Thirty-First AAAI Conference
952 on Artificial Intelligence, AAAI Press, San Francisco, California, USA, 2017, 4278-4284.

953 74. IESVE Integrated Environmental Solutions, 2019. <https://www.iesve.com/>. (Accessed
954 February 2020).

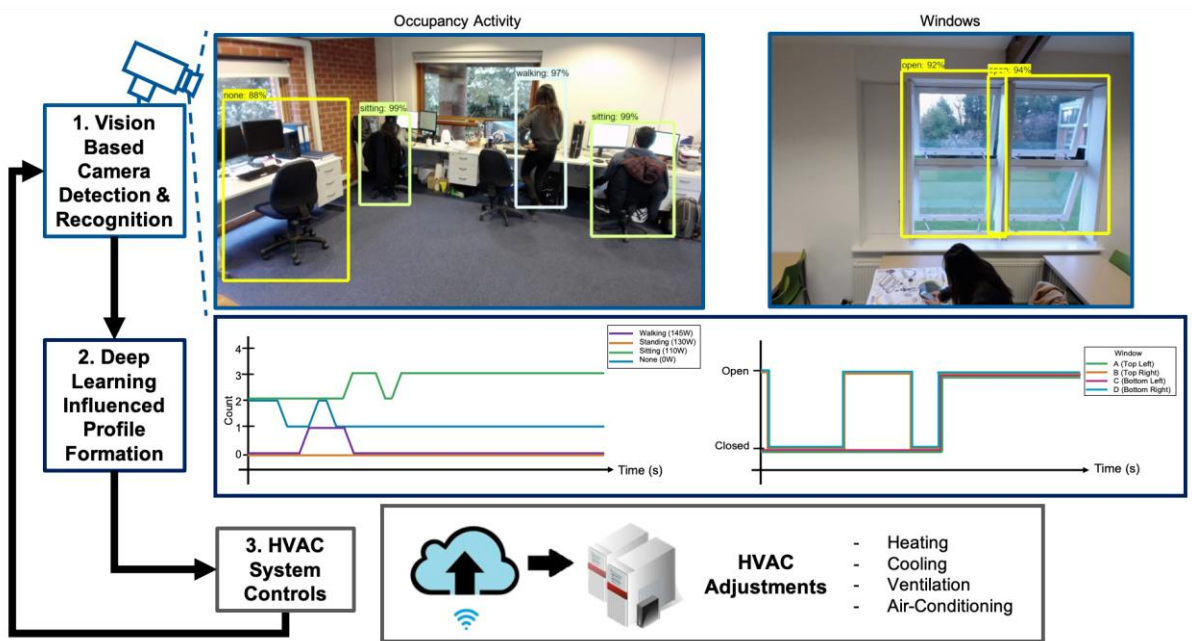
955 75. ASHRAE, Standard 55 – Thermal environmental conditions for human occupancy, 2017.

956 76. ASHRAE, ANSI/ASHRAE/IES Standard 90.1-2019 -- Energy Standard for Buildings Except
957 Low-Rise Residential Buildings, 2019.

959 **Nomenclature and Abbreviations**

960	AI	Artificial intelligence
961	AWS	Amazon Web Service
962	BEMS	Building energy management systems

963	BES	Building energy simulation
964	BMS	Building management systems
965	CABE	Commission for Architecture and the Built Environment
966	CIBSE	Chartered Institution of Building Services Engineers
967	CNN	Convolutional Neural Network
968	CO ₂	Carbon Dioxide
969	DL	Deep learning
970	DLIP	Deep learning influenced profile
971	FN	False negative
972	FP	False positive
973	HVAC	Heating, ventilation and air-conditioning
974	IESVE	Integrated Environmental Solutions Virtual Environment
975	IoT	Internet of Things
976	NHS	National Health Service
977	MV	Mechanical ventilation
978	N	Negative
979	NV	Natural ventilation
980	P	Positive
981	R-CNN	Region-based Convolutional Neural Network
982	U	U-value (W/m ² K)
983	UK	United Kingdom
984	T	Temperature (°C)
985	TN	True negative
986	TP	True positive
987		
988		
989		
990		
991		



993

994 Figure A1. Application of the window detection model with other occupancy-based detection models
 995 to provide real-time data in form of various deep learning influenced profiles to inform the controls of
 996 building HVAC systems.