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2        **Comparison of the Impact of Moisture on Methane Adsorption and Nanoporosity for Over**  
3        **Mature Shales and their Kerogens**

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14       **Abstract**

15       Moisture in shales under reservoir conditions adversely affects gas adsorption and  
16       nanoporosity and is also likely to impact on the contribution that kerogen makes to the  
17       methane adsorption capacity. To investigate these phenomena, two over mature shales from  
18       the Wufeng-Longmaxi Formation, south of the Sichuan basin, and their kerogens isolated by  
19       demineralisation were investigated dry and at 95% relative humidity (R.H.) by high-pressure  
20       methane adsorption, and low-pressure nitrogen (N<sub>2</sub>) and carbon dioxide (CO<sub>2</sub>) sorption. The  
21       kerogen concentrates account for 68-97% and 50-64% of the methane adsorption capacities  
22       for the shales dry and at 95% R.H. respectively. However, the isolated kerogens could adsorb  
23       more methane than the organic matter in the shales because their shallower adsorption  
24       isotherms indicate large micropores and small mesopores not evident for the shales. Methane  
25       adsorption capacities of the kerogens and shales reduced by 46-72% at 95% R.H.. This  
26       compares with the reductions in surface area (SA) and pore volume of 81% and 48-59%,  
27       respectively, for the kerogens and 98-99% for both SA and pore volume of the shales at 95%  
28       R.H.. Water can block most micropores less than 1.3 nm reducing the micropores volume and  
29       blocking the micropore necks connecting the larger pores, and vastly reducing accessible  
30       pores for gas transport. The greater proportional losses in SA and pore volume compared to

31 the methane adsorption capacities is probably due to ice forming at -196 °C in the low-  
32 pressure N<sub>2</sub> analysis. Failure to take moisture into account for free and adsorbed methane  
33 overestimates the total gas in place (GIP) by 36-45% for the shales investigated.

34 Keywords: Kerogen; Moisture; Methane Adsorption Capacity; Nanoporosity.

35

## 36 **1. Introduction**

37 Shale gas is stored in adsorbed, free and dissolved states and it is often estimated from the  
38 sum of the adsorbed and free gas in the pores (Chen et al., 2017; Curtis, 2002; Jarvie et al.,  
39 2007; Rexer et al., 2014; Ross and Bustin, 2008). The adsorbed gas can be significant under  
40 reservoir conditions, estimated as 20-85% of the total gas in organic-rich shales (Adesida et  
41 al., 2011; Curtis, 2002; Heller and Zoback, 2014; Ross and Bustin, 2008). Kerogen, the organic  
42 matter insoluble in alkali, non-oxidizing acids and organic solvents in shales (Durand, 1980;  
43 Hunt, 1979), is considered to store much of the gas since methane adsorption capacity of  
44 shale generally increases with increasing total organic content (TOC) (Gasparik et al., 2014;  
45 Ross and Bustin, 2009; Zhang et al., 2012). In addition to the kerogen, the major minerals in  
46 shales including quartz, clays and calcite are also believed to provide porosity for shale gas to  
47 adsorb in different extents (Gasparik et al., 2014; Gasparik et al., 2012; Loucks et al., 2012;  
48 Ma et al., 2017; Peng et al., 2020; Peng et al., 2019; Ross and Bustin, 2009). Gasparik (2012)  
49 reported no correlation between TOC and dry adsorption capacity for some shales, suggesting  
50 that the sorption capacities of the minerals can be significant.

51 To investigate methane adsorption on isolated kerogens, Hu (2014) chose two kerogens of  
52 different maturity (vitrinite reflectance (VR) of 0.58 and 2.01% Ro), and found that the more  
53 mature kerogen had a much higher adsorption capacity. Methane adsorption experiments on  
54 organic-rich shales and their isolated kerogens were conducted at different temperatures  
55 under dry conditions to study the impact of different parameters on gas adsorption but not  
56 including moisture (Li et al., 2018; Rexer et al., 2014; Zhang et al., 2012). Fan (2014) found  
57 that 43-57% of the methane adsorption in dry shales was accounted for by the kerogen,  
58 confirming that kerogen has a much larger methane adsorption capacity than minerals. Rexer  
59 et al (2014) compared kerogen and shale using low-pressure nitrogen (N<sub>2</sub>) and carbon dioxide  
60 (CO<sub>2</sub>) and high-pressure methane isotherms and identified that the vast majority sorption of  
61 methane occurs in the pores less than 6 nm, and kerogen accounts for about 50% total  
62 measured adsorbed methane in dry shales investigated. Although these studies confirm that  
63 kerogen accounts for much of the methane adsorption capacity in shale, the impact of  
64 moisture present has not been addressed.

65 Moisture always exists under reservoirs conditions and has a profound negative influence on  
66 gas adsorption capacity (Heller and Zoback, 2014; Ji et al., 2012; Jin and Firoozabadi, 2014;

67 Zolfaghari et al., 2017b). Some studies have addressed the impact of moisture on methane  
68 adsorption for shales and coals (Gasparik et al., 2014; Joubert et al., 1973; Ross and Bustin,  
69 2007; Weniger et al., 2012; Whitelaw et al., 2019; Zou et al., 2018). Joubert (1973) found  
70 methane adsorption capacity for coals decreased with increasing humidity, although there  
71 was a “critical value” of moisture above which no change in adsorption capacity occurred.  
72 High critical values were thought to relate to high coal oxygen contents since there is a strong  
73 interaction between the polar water molecule and the surface oxygen complexes (Day et al.,  
74 2008; Joubert et al., 1973). The critical moisture content of shale is approximately 75% R.H.  
75 (Gasparik et al., 2014). Ross and Bustin (2007) compared the isotherms of dry shales with  
76 moisture equilibrated shales from the Lower Jurassic Gordondale Member and showed that  
77 methane adsorbed in dry shales (0.5–4.0 cm<sup>3</sup>/g) were much larger than that in moisture  
78 equilibrated shales (0.1–1.6 cm<sup>3</sup>/g). Reductions of 20–85% in methane adsorption capacities  
79 have also been reported by Merkel (2016) and Whitelaw et al (2019) at high R.H., the latter  
80 finding that moisture reduced pore volume by over 90%. In contrast, Zou (2018) reported  
81 much smaller reductions of less than 20% in methane adsorption capacity and 30% in pore  
82 volume because their degassing of the 84% R.H. shales and standardised pre-evacuation  
83 procedures for the low and high-pressure instruments is likely to have removed any free and  
84 weakly adsorbed water, resulting in the moisture being considerably less than 84% R.H. when  
85 the experiments started. Moisture adsorbed on hydrophilic clay minerals is considered to be  
86 main reason for the decreasing methane adsorption in a number of studies (Heller and Zoback,  
87 2014; Jin and Firoozabadi, 2014; Liming et al., 2012a; Zolfaghari et al., 2017a; Zou et al., 2018).

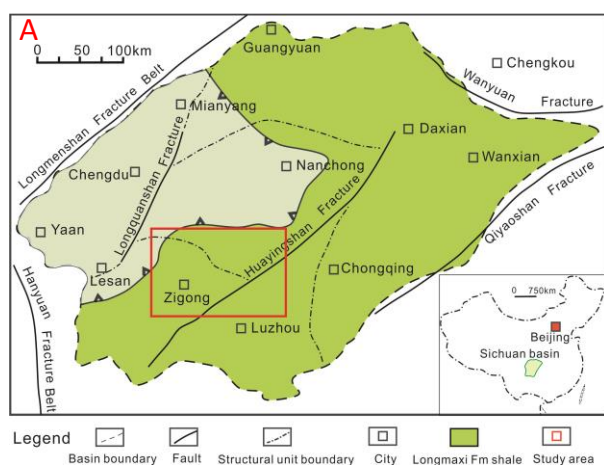
88 Methane adsorption in shale and kerogen is clearly related to pore structure, mainly pore  
89 surface area (Li et al., 2019; Liming et al., 2012b; Rexer et al., 2014). Molecular simulation  
90 was used to study the impact of different pore sizes on methane adsorption and other gases  
91 (e.g. CO<sub>2</sub>, N<sub>2</sub>) in kerogen (Huang et al., 2018; Xiong et al., 2017; Zhao et al., 2018). In addition  
92 to molecular simulation, some experimental studies on kerogens have focused on methane  
93 adsorption, but without investigating the pore size distribution (PSD) (Fan et al., 2014; Hu,  
94 2014; Li et al., 2018; Pang et al., 2019; Zhang et al., 2012). Other studies have only addressed  
95 pore characterization without considering methane adsorption (Adesida et al., 2011; Cao et  
96 al., 2015; Chen et al., 2013; Ji et al., 2017; Liu et al., 2018). Only a few studies (Rexer et al.,

97 2014; Xiong et al., 2017) have investigated both methane adsorption and pore structure but  
98 only under dry conditions.

99 This study is the first to relate methane adsorption capacities and pore characteristics of  
100 shales and their isolated kerogens, both dry and equilibrated at 95% R.H., and to compare the  
101 impact of moisture on methane adsorption capacity and nanoporosity for kerogens and  
102 shales. Furthermore, this research provides new guidance on accounting for moisture to  
103 estimate gas in place (GIP) for shales. This is often estimated from the total amount of free  
104 gas obtained from the total porosity and adsorbed gas content measured from methane  
105 adsorption (Li et al., 2018; Tang et al., 2016). GIP based on the accessible pore volume and  
106 methane adsorption of dry shales without taking moisture into account is overestimated (Li  
107 et al., 2018; Tang et al., 2016), since moisture exists in shale reservoirs (Feng et al., 2018;  
108 Loucks and Ruppel, 2007; Merkel et al., 2015).

## 109 **2. Geological setting**

110 Sichuan Basin is a marine–continental complex superimposed basin located in the south of  
111 China. As a hydrocarbon-abundant basin it contains promising shale gas resources (Tang et  
112 al., 2019; Zou et al., 2010; Dai et al., 2014). The study area is situated in the south part of  
113 Sichuan Basin (Figure 1A), well developing the Upper Ordovician Wufeng Formation and  
114 Lower Silurian Longmaxi Formation shale (Figure 1B) (Dai et al., 2014). Wufeng-Longmaxi  
115 Formation containing substantial high-quality shale is the main target for shale gas  
116 exploration in Sichuan (Dong et al., 2018; Tang et al., 2019). Normally, huge thickness, rich  
117 organic matter and high maturity shale are the characteristic of Wufeng-Longmaxi Formation  
118 shale (Dong et al., 2018; Dongjun et al., 2016; Fan et al., 2014).



Chronostratigraphic			Lithostratigraphic		Thickness (m)	Sedimentary environment	
Eonthem	Erathem	System	Formation	MemberCode			
Lower Paleozoic	Silurian	Lower	Longmaxi	Upper	S <sub>1</sub>	0-450	Continental shelf
				Lower			
	Ordovician	Upper	Middle	Wufeng	O <sub>3</sub> W	5-25	Continental shelf
				Linxiang Baota	O <sub>3</sub> b	20-80	Continental shelf
				Dachengsi	O <sub>3</sub> d	131-280	Restricted marine -mudflat
	Lyuohanpo	O <sub>3</sub> l					
	Cambrian	Mid-Upper	Lower	Xixiangchi	C <sub>2,3</sub>	191-259	Restricted marine -tidal flat
				Yuxiansi	C <sub>1</sub> y	212-246	Restricted marine -intertidal
		Lower	Jiulaodong	C <sub>1</sub> j	409-514	Open marine -continental shelf	
	Neoproterozoic	Sinian	Upper	Dengying	4th	Z <sub>4</sub> dn	0-400
3rd					0-70		
2nd					300-1000		
1st					30-500		

119

120 Figure 1. A) The location of the study area in Sichuan Basin, B) Stratigraphic column of Sichuan  
 121 Basin, modified from Dai (2014).

122 **3. Methods**

123 Two shale samples, Shale 1 (SH1) and Shale 2 (SH2) from different wells with a depth of 4119  
 124 and 4098 m in the study area of Wufeng-Longmaxi Formation, Sichuan Basin, China were  
 125 selected for kerogen isolation. Dry and moisture-equilibrated kerogen concentrates and  
 126 shales were prepared for the high-pressure methane adsorption, low-pressure gas sorption,  
 127 and Helium pycnometry for the methane adsorption capacity, pore texture, and skeletal  
 128 density. Dry shales are prepared for the vitrinite reflectance, elemental analysis, X-ray  
 129 diffraction, field emission scanning electron microscopy (FE-SEM), and mercury intrusion  
 130 porosimetry (MIP) to obtain the maturity, TOC, mineral composition, visible pore structure,  
 131 and bulk density. The flowchart of these experiments (Figure S1) is in Supplementary material.

### 132 3.1 Sample preparation

133 3.1.1 Kerogen isolation The two kerogen concentrates, K1 and K2 isolated from SH1 and SH2,  
134 respectively, were prepared by standard demineralisation procedures (Guthrie and Pratt,  
135 1994; Rexer et al., 2014). Shale samples (60 g each) crushed into powder (<250 µm) and  
136 treated with 37% hydrochloric acid (HCl) at 25 °C for 12 hours to remove carbonates. The HCl  
137 treated shales were washed with distilled water before using 40% hydrofluoric acid treatment  
138 at 25 °C for 48 hours to remove aluminosilicate minerals. Samples were washed with distilled  
139 water and treated with 37% HCl again to make sure to remove the fluorapatites which can  
140 precipitate after HF treatment (Guthrie and Pratt, 1994). After decanting the acid, the  
141 powdered residue was then repeatedly washed six times with distilled water to remove the  
142 acid and reach pH 7. Samples were then freeze-dried (-5 °C) for 6 hours and dried at ambient  
143 temperature. After drying, 7.21 and 6.55 g of K1 and K2 were obtained respectively from 60  
144 g of shale, and the yield of kerogen concentrate can be calculated.

145 3.1.2 Moisture-equilibration method The adsorption capacity of shale is influenced by particle  
146 size, where milling can destroy or create pores in shale (Gasparik et al., 2014; Rexer et al.,  
147 2014). Thus, shale samples were crushed into particles with a size range of 2-4 mm (10-5  
148 mesh), but not to powders so as not to disrupt the interconnectivity between macro, meso  
149 and micropores (Whitelaw et al., 2019). The kerogen concentrates were in powder form after  
150 isolation with a particle size <250 µm. The shales and kerogen concentrates were first dried  
151 at 120 °C in a vacuum oven (<0.5 mbar) for 48 hours to get the dry sample and dry mass. Then,  
152 the 95±2% R.H. moisture equilibrated (wet) samples were prepared in a vacuum desiccator  
153 containing pre-stirred saturated potassium nitrate (KNO<sub>3</sub>) solution (8 g KNO<sub>3</sub>/10 mL H<sub>2</sub>O) at a  
154 controlled temperature of 20 °C for 48 hours (Young, 1967; Zolfaghari et al., 2017a). A logger  
155 in the desiccator was used to monitor the R.H. and the temperature (Figure S2). The moisture  
156 content for the wet samples were calculated from the mass difference (equation (1)) of  
157 equilibrated 'wet' samples and after drying under vacuum. The water volume uptake in shales  
158 at 95 % R.H. are calculated from the moisture contents and densities by equation (2).

$$159 \quad W = \frac{M_{water}}{M_{dry}} \quad (1)$$

$$160 \quad S_w = \frac{V_{water}}{V_{dry\ pore}} = 1 - \frac{\rho_{dry\ sk}}{\rho_{dry\ sk} - \rho_{dry\ bulk}} + \frac{\rho_{dry\ sk} \times \rho_{dry\ bulk} \times (1+W)}{\rho_{wet\ sk} \times (\rho_{dry\ sk} - \rho_{dry\ bulk})} \quad (2)$$

161 Where,  $W$  is the moisture content,  $M_{dry}$  is the mass of dry sample,  $M_{water}$  is the mass of the  
162 water,  $S_w$  is the water volume uptake,  $V_{water}$  is the volume of the water in pore,  $V_{dry\ pore}$  is  
163 the total pore volume in dry sample,  $\rho_{dry\ bulk}$  is the bulk density of the dry sample from  
164 mercury intrusion porosimetry (MIP) at 0.03 bar,  $\rho_{dry\ sk}$  and  $\rho_{wet\ sk}$  are the skeletal densities  
165 of the dry and wet samples obtained from helium pycnometry.

### 166 **3.2 Vitrinite reflectance, Elemental analysis, and X-ray diffraction**

167 Shale vitrinite reflectance ( $R_o$ ) was obtained and converted from the reflectance of bitumen  
168 ( $R_b$ ) by equation  $R_o = (R_b + 0.2443) / 1.0495$  (Schoenherr et al., 2007) to indicate the maturity  
169 of shales, due to their high maturity vitrinite was not easy to observe. A LEICA DM4500P  
170 microscope was used for reflectance measurement on the prepared polished shale particle  
171 (2-4 mm) block. Measurement was carried out in non-polarized light at a wavelength of 546  
172 nm in oil immersion and the data were collected via the Hilgers Fossil Man system connected  
173 to the LEICA DM4500P microscope. TOC contents were determined using Leco CHN628  
174 instruments. Up to 3 g of the powdered shales (<250  $\mu$ m) were treated using HCl with a  
175 concentration of 1 mol/L for 24 hours to remove carbonates. The samples were then washed  
176 with distilled water 6 times to remove the acid and reach pH 7. After carefully decanting the  
177 water from the samples, the samples were dried in the vacuum oven (<0.5 mbar) for 48 hrs  
178 at 120 °C. The TOC contents were measured using 120 mg shale and 75 mg kerogen,  
179 respectively. All the elemental analyses were carried out in triplicate. X-ray diffraction (XRD)  
180 method was used to determine the relative mineral phases using a Rigaku D/max-2500PC  
181 instrument, shale samples were ground into less than 0.04 mm powder, then loaded in the  
182 copper holders and scanned with the D/max-3B X-ray diffractometer with the scan angle from  
183 5° to 90° at working voltage of 40 kV and current of 40 mA conditions.

### 184 **3.3 Field emission scanning electron microscopy (FE-SEM)**

185 The shales (2-4 mm) were analysed where one side surface of the selected sample was  
186 polished by argon-ion to get a smooth surface using a Leica EM TIC020 mill with an  
187 accelerating voltage of 8 kV, current of 2.8 mA for about 8 hours. Then, the samples were  
188 coated with a 25 nm conductive layer by the PELCO conductive carbon paste. The samples  
189 were imaged using a JSM-6700F FE-SEM, all the high-resolution FE-SEM images were  
190 processed using Image J-1.53a software (Abràmoff et al., 2004).



### 191 3.4 High-pressure methane adsorption

192 High-pressure methane adsorption measurements were performed using a Particulate  
193 Systems High-Pressure Volumetric Analyzer (HPVA-100) designed to obtain high-pressure  
194 sorption isotherms employing the static volumetric method (pressures up to 105 bar and  
195 temperatures up to 500 °C). Approximately 10 g of moisture equilibrated shale (2-4 mm) and  
196 3 g of moisture equilibrated powder kerogen concentrate (<250 µm) were weighed and  
197 loaded into the 10 mL stainless steel sample cell and sealed. The helium volume calibration  
198 was done before analysis on an empty cell. For wet samples, the methane adsorption  
199 isotherms were acquired from 1.2 to 105 bar at 25 °C. Here, the external valve linking the  
200 analysis cell to the instrument manifold remained closed until the analysis or manifold  
201 pressure reaches 1.4 bar, then opened, the pressure settles to 1.2 bar, avoiding samples being  
202 subjected to a vacuum on the high-pressure instrument. The mass deviation of wet samples  
203 before and after analysis was less than ±0.0018%, verifying the moisture is still in the sample.  
204 For dry adsorption isotherms, the samples were degassed at 120 °C for 48 hours before  
205 starting the methane adsorption method. A sample pre-evacuation was carried out for 45  
206 minutes to reach a vacuum setpoint of 0.013 bar on the high-pressure instrument and an  
207 isotherm was generated from 0 to 105 bar. Similar free space (void volume) corrections were  
208 carried out on this instrument as for the low-pressure gas sorption method (3.5) and blank  
209 correction carried out on both dry and wet sample data.

210 Each sample was analysed in triplicate to assess errors. The excess adsorption quantity is  
211 obtained by the volumetric sorption measurement; therefore, it is necessary to convert to  
212 absolute adsorption quantity by Gibbs equation (equation (3)) (Sircar, 1999; Tang et al., 2016).  
213 The HPVA can measure the adsorption up to 105 bar, whereas, the adsorbed gas quantities  
214 at higher pressures can be predicted by the dual-site Langmuir model which is for  
215 heterogeneous adsorbents (Tang et al., 2016; Whitelaw et al., 2019). The equation for the  
216 dual-site Langmuir can be written in the following form (equation (4)), and equation (5) and  
217 (6) show the  $b_1(T)$  and  $b_2(T)$ :

$$218 \quad n_a = n_e + (V_a \times \rho_g) \quad (3)$$

$$219 \quad n_a(P, T) = n_{max} \times \left[ (1 - \alpha) \frac{b_1(T)P}{1 + b_1(T)P} + \alpha \frac{b_2(T)P}{1 + b_2(T)P} \right] \quad (4)$$

220  $b_1(T) = A_1 \times \exp\left(-\frac{E_1}{RT}\right)$  (5)

221  $b_2(T) = A_2 \times \exp\left(-\frac{E_2}{RT}\right)$  (6)

222 Where,  $n_a$  is the absolute adsorption quantity;  $n_e$  is the excess adsorption quantity;  $V_a$  is the  
223 pore volume for gas to adsorb into;  $\rho_g$  is the density of the bulk gas;  $n_{max}$  is the maximum  
224 equilibrium adsorption quantity;  $b_1(T)$  and  $b_2(T)$  are the temperature-dependent  
225 equilibrium constants;  $b_1(T)$  and  $b_2(T)$  are weighted by a coefficient ( $\alpha$ );  $\alpha$  is the fraction of  
226 the second type of site ( $0 < \alpha < 1$ );  $E_1$  and  $E_2$  are the energy of adsorption of two sites;  $A_1$   
227 and  $A_2$  are the pre-exponential coefficient;  $R$  is the ideal gas content,  $P$  is the pressure and  $T$   
228 is the temperature.

### 229 **3.5 Low-pressure gas sorption**

230 Low-pressure gas sorption experiments were carried out for both dry and 95% R.H. moisture  
231 equilibrated (wet) samples by a Micromeritics Surface Area and Porosity Analyser (ASAP  
232 2420). For shale samples, 4 g particles (2-4 mm) were used for the low-pressure N<sub>2</sub> sorption  
233 experiments, 2 g for CO<sub>2</sub> adsorption and about 1 g of the powdered kerogen concentrates  
234 (<250  $\mu$ m) for both N<sub>2</sub> and CO<sub>2</sub> sorption. All the dry samples were degassed under high  
235 vacuum (<0.013 mbar) at 120 °C for 48 hours prior to analysis, and a pre-evacuation of 3 hours  
236 on the low-pressure instrument to reach the vacuum setpoint (0.013 bar) to start the  
237 isotherm. For low-pressure N<sub>2</sub> sorption, the prepared wet samples were frozen first in liquid  
238 N<sub>2</sub> for 30 minutes, before manually evacuating the sample tube and starting the analysis. This  
239 ensures moisture equilibrated samples are not exposed to vacuum at warmer temperatures  
240 on the low-pressure instrument. The mass deviation of wet samples before and after analysis  
241 was less than  $\pm 0.0020\%$ , verifying the moisture still in the samples. The analysis for both dry  
242 and wet samples were performed in a liquid N<sub>2</sub> bath (-196 °C), with the relative pressure ( $P/P^\circ$ ,  
243  $P$  is the absolute equilibrium pressure and  $P^\circ$  is the saturation pressure) from  $10^{-7}$  until 0.995  
244  $P/P^\circ$ . CO<sub>2</sub> adsorption isotherms were acquired from  $6 \times 10^{-5}$  to  $3.5 \times 10^{-2}$   $P/P^\circ$  (absolute pressure  
245 is from 0.002 to 1.2 bar) at 0 °C to characterise the ultra-microporous structure (<0.8 nm) of  
246 dry shale and kerogen concentrates (Liu et al., 2015; Whitelaw et al., 2019). CO<sub>2</sub> adsorption  
247 was not carried out for wet samples because the experimental temperature is 0 °C which  
248 could not hold the moisture in the sample under low pressure.

249 Brunauer-Emmett-Teller (BET) theory was used to calculate the SA, where the  $P/P^\circ$  between  
250 0.05 and 0.2 of  $N_2$  adsorption and  $P/P^\circ$  between 0.025 and 0.030 of  $CO_2$  adsorption were  
251 selected to get a positive BET 'C' parameter (Brunauer et al., 1938; Thommes et al., 2015).  
252 With the development of density functional theory and computer simulation approaches, the  
253 whole range of micro and mesopores can be probed with commercially available models such  
254 as Non-Local Density Functional Theory (NLDFT) (Qi et al., 2017; Rouquerol et al., 2007).  
255 NLDFT method based on the carbon slit pore model is applied to calculate the PSD from 0.33  
256 to 100 nm in this study. Helium pycnometry was used to measure the skeletal density of wet  
257 and dry samples for the manual calculation of warm and cold free space to obtain exact gas  
258 sorption results, as the free space test by helium cannot be used during the low-pressure gas  
259 sorption experiment, because an automatic warm free space test on the instrument would  
260 expose the moisture equilibrated samples to a vacuum which would remove the moisture.

### 261 **3.6 Mercury intrusion porosimetry**

262 A Micromeritics Autopore IV Series instrument was used to obtain the bulk density and the  
263 porosity of dry shale samples. 2 g shale (2-4 mm) samples were vacuumed dry at a  
264 temperature of 120 °C for 48 hours in a vacuum oven (<0.5 mbar) and loaded into a 5 ml solid  
265 penetrometer 0.392 ml stem volume, then sealed. The intrusion of mercury was recorded  
266 from 0 to 4137 bar. The volume of mercury entering the shale pores at a given pressure can  
267 be converted to pore volume and size using the Washburn equation for slit/angular shaped  
268 pores. A contact angle of 151.5° and a surface tension of 475.5 mN/m for mercury intrusion  
269 in shale was used to provide a pore size distribution from 231 μm to 2 nm (Wang et al., 2016).  
270 Correction methods were applied by running a blank penetrometer to remove any intrusion  
271 detected from an empty penetrometer (Malik et al., 2016).

## 272 **4. Results and discussion**

### 273 **4.1 TOC, maturity, mineral compositions, and moisture content**

274 The TOC, maturity, compositions and physical properties of the two shales and their isolated  
275 kerogen concentrates are presented in Table 1. SH1 (5.1%) has a higher TOC than SH2 (2.4%).  
276 Combining the kerogen concentrate yields for SH1 and SH2 of 12.0 and 10.9 wt.%, respectively,  
277 with TOCs of 36.0 and 18.7%, indicates that the K1 and K2 account for 86% and 83% of the  
278 TOC in the SH1 and SH2, since K1 provides 4.4 wt.% TOC for SH1 (5.1 wt.%), and K2 provide  
279 2.0 wt.% for SH2 (2.4 wt.%), indicating the demineralization process did not cause significant

280 kerogen weight loss. The Ro of SH1 and SH2 are 2.95 and 2.58%, suggesting the shales are all  
 281 thermally over matured. XRD shows clay mineral content of SH1 (18.4%) is much lower than  
 282 SH2 (35.8%), while the quartz content of 55.4% for SH1 is higher than that of 41.7% for SH2.  
 283 For the 95% R.H. moisture equilibrated samples, the kerogen concentrates adsorb much more  
 284 water (15.5 and 13.0 wt.%) than the shales (1.48 and 1.22 wt.%), due to their higher SA and  
 285 pore volumes. Considering the yield, the moisture content provided by K1 and K2 (1.86 and  
 286 1.42 wt.%) for shales are even higher than the moisture content of SH1 and SH2, which could  
 287 in part arise from demineralisation opening inaccessible pores in the shales or the moisture  
 288 may not reach all organic matter pore in shale.

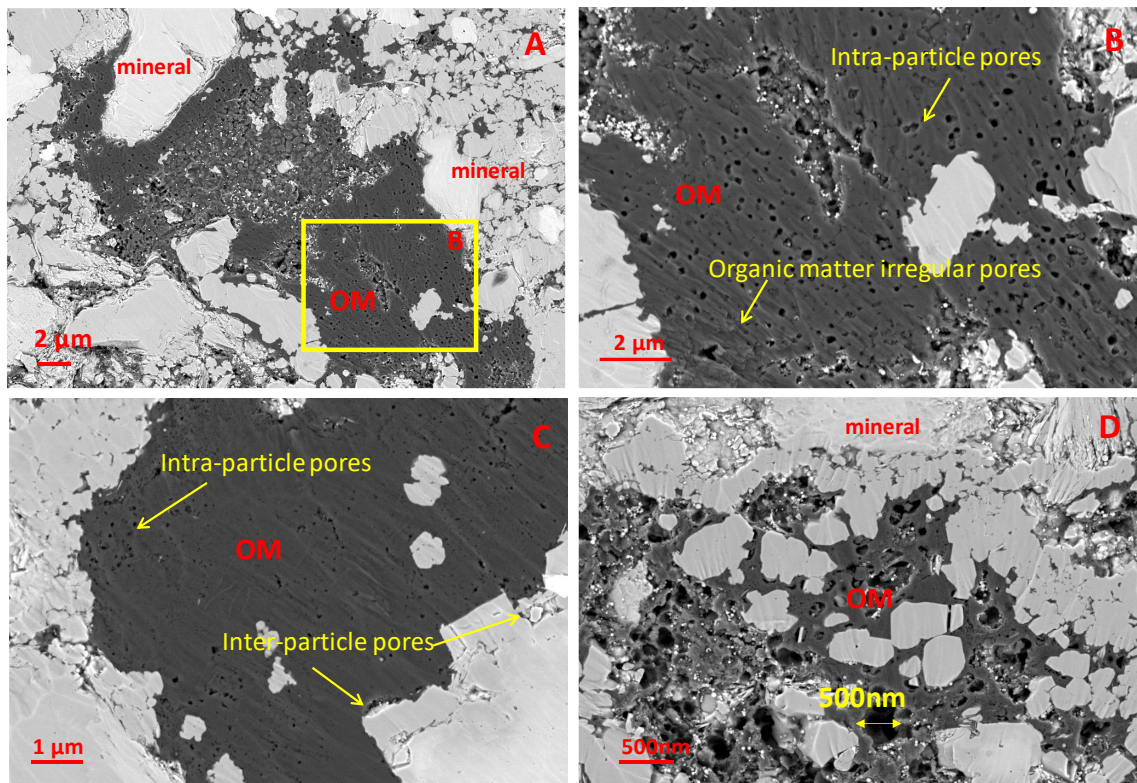
289 Table 1. TOC, maturity (Ro), mineral compositions and moisture contents of the shales.

Sample Name	Moisture (Wt.%)	TOC(Wt.%)	Ro(%)	Yield (wt.%)	Mineral (%)					
					Clay	Quartz	Plagioclase	Calcite	Dolomite	Pyrite
SH1	1.48±0.14	5.1±0.1	2.95	-	18.4	55.4	3.1	4	14.9	4.1
SH2	1.22±0.10	2.4±0.1	2.58	-	35.8	41.7	6.9	4.4	6.9	4.3
K1	15.5±2.9	36.3±1.4	-	12						
K2	13.0±1.2	18.7±0.2	-	10.9						

290 The mean of moisture content and TOC are from triplicate experiments (3.1.2) and (3.2), and the errors  
 291 represent the dispersion of a dataset relative to its mean.

#### 292 4.2 Pore structure from FE-SEM

293 FE-SEM can observe pores larger than 100 nm in shales (Loucks et al., 2012; Loucks et al.,  
 294 2009; Milliken et al., 2013; Zou et al., 2010). As Figure 2 indicates that shale is heterogenous  
 295 from composition to pore structure, there are more pores in the organic matter than the  
 296 pores in the clay minerals. Figure 2 shows that the region of most organic matter is in the  
 297 range of 5-20 µm, surrounded by minerals (Figure 2A) and a large number of macropores in  
 298 the organic matter (Figure 2A). Intra-particle macropores in the organic matter are evident  
 299 (Figure 2B, C) with irregular shapes (Figure 2B), with only a few pores larger than 500 nm  
 300 (Figure 2D). The most minerals contain inter-particle pores (Figure 2C).



301

302 Figure 2. FE-SEM backscattered electron images of organic matter and macropores in shales.

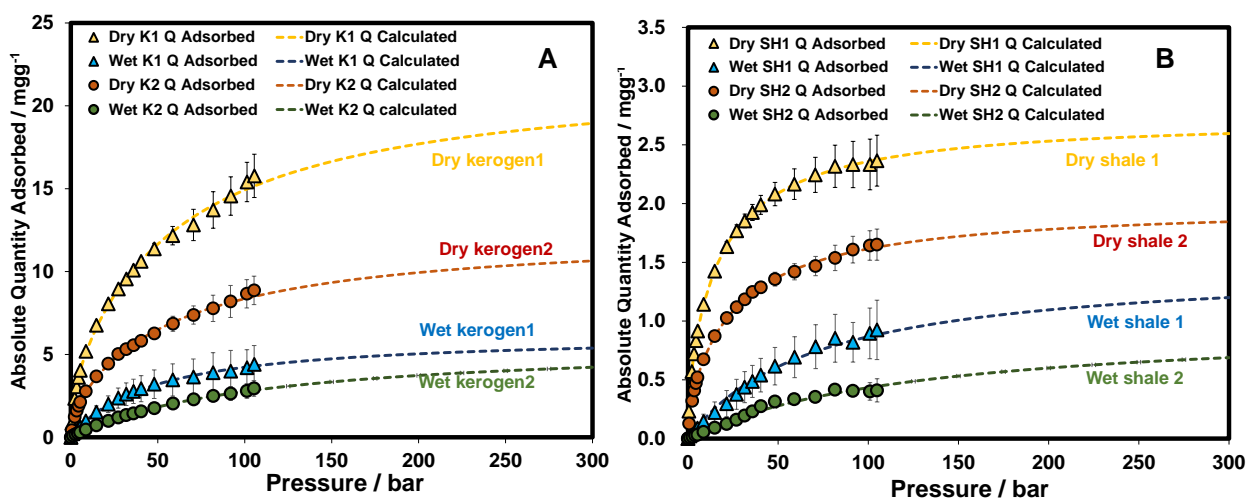
303 A) Minerals and organic matter (OM) distribution in SH2, B) is the enlarged figure of A, OM

304 irregular pores in SH2, C) Intra-particle pores and inter-particle pores in SH1, D) The size of

305 OM pores in SH2.

### 306 4.3 Methane adsorption capacities of the shales and kerogen concentrates

307 4.3.1 Moisture impact on methane adsorption capacity Figure 3 indicates that the dry kerogen  
308 concentrates and shales have much higher methane adsorption capacities than their wet  
309 counterparts. Approximately 72 and 54% of the equilibrium methane adsorption capacities  
310 are lost for K1 and K2, respectively (K1 reducing from 22.2 mg/g to 6.2 mg/g, and K2 from  
311 12.5 mg/g to 5.8 mg/g, Table 2). The same pattern is found for the shale samples, with  
312 approximately 50% of equilibrium methane capacities of the dry shales being lost (SH1  
313 reducing from 2.7 mg/g to 1.5 mg/g, and SH2 from 2.0 mg/g to 0.98 mg/g wet, Table 2). The  
314 level of reduction for the shales is consistent with previous studies (Gasparik et al., 2014;  
315 Merkel et al., 2016; Whitelaw et al., 2019). A significant decrease in adsorption capacity of  
316 40-60% was observed between the dry and moisture equilibrated shale samples studied by  
317 Gasparik et al. (2014). Merkel et al. (2016) found that Lacustrine shales lose 20-80% of initial  
318 dry adsorption capacity upon full moisture equilibration (97% R.H.). Whitelaw et al. (2019)  
319 also found that equilibrated methane adsorption amount dropped by 27% after the shale  
320 samples were equilibrated at 50% R.H..



321  
322 Figure 3. Comparison of absolute methane adsorption isotherms of dry and wet (95% R.H.)  
323 kerogen concentrates and shales at 25 °C. A) dry and wet kerogen concentrates, B) dry and  
324 wet shales. Each sample was analysed in triplicate by HPVA, the data points are the mean  
325 from triplicate experiments and the error bars represent the dispersion of a dataset relative  
326 to its mean. The fitting parameters for dual-site Langmuir model is in supplementary material  
327 table S1.

328 The dry shales have slightly steeper isotherms (Type 1a) than their kerogen counterparts  
329 (Type 1b) (Figure 3), suggesting that isolated kerogens have higher proportion of larger  
330 micropores and smaller mesopores, which can be accessed by demineralisation, as the  
331 steeper uptake at low pressure are mainly contributed by narrow micropores (<1 nm)  
332 (Thommes et al., 2015). The isotherm shapes of wet samples are less steep than the dry ones  
333 (Figure 3), indicating higher pressure and more energy are required to adsorb the same  
334 amount. This suggests that moisture preferentially reduces adsorption on the smaller  
335 micropores as intuitively expected, by blocking the accessible micropores,  
336 occupying/changing the adsorption sites or swelling clays (for shale) and blocking the access.

337 4.3.2 Contribution of kerogen concentrates to the methane adsorption capacities of the  
338 shales The methane adsorption capacities for the kerogen concentrates and corresponding  
339 shales are compared in Table 2, which lists the contributions of the kerogen concentrates  
340 made to methane adsorption capacities for the shales under dry and wet conditions. The  
341 contributions from the kerogen concentrates to the methane adsorption capacities are  
342 calculated from the yields of kerogen concentrates from shales using equation (7), and these  
343 are expressed as a percentage using equation (8).

$$344 \quad Q_{contribution} = Y_K \times Q_K \quad (7)$$

$$345 \quad R_K = 100\% \times (Q_{contribution}/Q_{SH}) \quad (8)$$

346 Where,  $Q_{contribution}$  is the quantity of methane adsorbed by the kerogen concentrates per  
347 gram shale;  $Y_K$  is the yield of kerogen concentrate;  $Q_K$  is the methane adsorption quantity of  
348 the kerogen concentrate;  $R_K$  is the percentage that the kerogen concentrate contributes to  
349 the methane adsorption in shale;  $Q_{SH}$  is the methane adsorption quantity of shale.

350 As expected, Table 2 indicates that kerogen concentrates have greater methane adsorption  
351 capacities than shales, both under dry and wet conditions. For the dry samples, the  
352 equilibrium adsorbed methane quantity for K1 is 22.2 mg/g, which is about 8 times higher  
353 than that for the SH1 (2.7 mg/g). The equilibrium adsorbed methane quantity of K2 (12.5  
354 mg/g) is more than 6 times higher than that of SH2 (2.0 mg/g). K1 and K2 account for 97 and  
355 68% of the equilibrium methane uptakes for the dry shales, respectively. The high content of  
356 clay minerals in SH2 could account for kerogen concentrates (K2) contributing less on  
357 methane adsorption under dry conditions. These contributions are higher than those of about

358 50% reported in other studies (Fan et al., 2014; Rexer et al., 2014) probably because the  
 359 kerogens in this research are from overmatured shales, which are likely to contain more pores  
 360 for methane adsorption.

361 Table 2. Methane adsorption capacities of the kerogen concentrates and shales, and the  
 362 contributions made by kerogen concentrates to the capacities of the shales.

Pressure (bar)	Dry sample 1				Dry sample 2			
	$Q_{K1}$ (mg/g)	$Q_{SH1}$ (mg/g)	K1- $Q_{contribution}$ (mg/g)	$R_{K1}$ (%)	$Q_{K2}$ (mg/g)	$Q_{SH2}$ (mg/g)	K2- $Q_{contribution}$ (mg/g)	$R_{K2}$ (%)
5	4.0±0.1	0.9±0.1	0.5±0.1	53±1	2.1±0.1	0.5±0.1	0.2±0.1	45±1
50	11.5±0.3	2.1±0.1	1.4±0.1	66±4	6.3±0.3	1.4±0.1	0.7±0.1	50±4
100	15.4±1.2	2.3±0.2	1.9±0.1	79±10	8.7±0.9	1.6±0.1	1.0±0.1	58±7
150	16.6±1.4	2.5±0.2	2.0±0.2	81±10	9.3±0.9	1.7±0.1	1.0±0.1	59±7
300	18.9±1.6	2.6±0.2	2.3±0.2	88±11	10.6±1.0	1.9±0.2	1.2±0.1	63±8
Q <sub>m</sub>	22.2±1.8	2.7±0.3	2.7±0.2	97±12	12.5±1.2	2.0±0.2	1.4±0.1	68±9
Pressure (bar)	Wet sample 1				Wet sample 2			
	$Q_{K1}$ (mg/g)	$Q_{SH1}$ (mg/g)	K1- $Q_{contribution}$ (mg/g)	$R_{K1}$ (%)	$Q_{K2}$ (mg/g)	$Q_{SH2}$ (mg/g)	K2- $Q_{contribution}$ (mg/g)	$R_{K2}$ (%)
5	0.6±0.2	0.1±0.1	0.08±0.02	86±24	0.3±0.1	0.03±0.01	0.03±0.01	99±11
50	3.2±0.9	0.6±0.2	0.39±0.10	63±23	1.8±0.2	0.33±0.04	0.19±0.02	61±9
100	4.2±1.1	0.9±0.2	0.50±0.13	56±20	2.8±0.4	0.40±0.07	0.31±0.05	76±18
150	4.7±1.2	1.0±0.3	0.57±0.15	57±21	3.3±0.5	0.53±0.13	0.36±0.06	69±20
300	5.4±1.4	1.2±0.3	0.65±0.17	54±20	4.2±0.7	0.69±0.17	0.46±0.07	67±19
Q <sub>m</sub>	6.2±1.6	1.5±0.4	0.75±0.19	50±18	5.8±0.9	0.98±0.24	0.63±0.10	64±18

363 Q is the absolute methane quantity adsorbed by kerogen concentrate and shale from HPVA result, Q (<105 bar)  
 364 is the absolute methane quantity convert from excess methane quantity by equation (3), Q (>105 bar) is the  
 365 absolute methane quantity obtained from dual-site Langmuir model,  $Q_{contribution}$  is the methane amount adsorbed  
 366 by kerogen per gram of shale, calculated based on equation (7). R is the percentage kerogen concentrate  
 367 contribution to the methane adsorption capacity of the shale calculated by equation (8). Q after pressure 105  
 368 bar is predicted by the Dual-site Langmuir model. Q<sub>m</sub> is the predicted maximum methane amount adsorbed  
 369 (monolayer capacity) when the isotherm reach equilibrium. The data points are the mean from triplicate  
 370 experiments and the errors represent the dispersion of a dataset relative to its mean.

371



372 Due to the different isotherm profiles, at low pressure (5 bar), the dry kerogen concentrates  
373 nominally account for much less of the methane adsorption for the dry shales, 53 and 45%  
374 for SH1 and SH2, respectively. The ratio of the kerogen concentrate contribution increases  
375 with pressure. Most of methane adsorption of the dry shales takes place at a relatively low-  
376 pressure range due to the higher proportion of small micropores (Table 2). Moreover, dry  
377 kerogens, as discussed earlier (section 4.3.1), with larger micropores and small mesopores  
378 could have higher methane adsorption capacities than the organic matter in shales.

379 For the wet samples, the methane adsorption capacities of the kerogen concentrates are  
380 about 4-6 times higher than corresponding shales. K1 and K2 account for 50 and 64%  
381 respectively, of the methane adsorbed in the wet shales, which are less than the  
382 corresponding dry contributions. This could be due to the methane adsorption capacity of the  
383 isolated kerogen concentrates are reduced more by water than those of the organic matter  
384 within the shales. The data suggest that some organic matter within shales is 'shielded' from  
385 water as most organic matter in shale are surrounded by less porous minerals (section 4.2,  
386 Figure 2 FE-SEM), and methane can still adsorb on the unwet organic matter surface. Whereas  
387 water can wet most of organic matter in the isolated kerogen concentrate, having a strongly  
388 negative impact on their adsorption capacity. Moreover, in contrast to the dry samples, the  
389 apparent contributions of the kerogen concentrates to the methane adsorption capacities of  
390 the shales decrease with increasing pressure. Although moisture reduces the accessible  
391 micropores for methane adsorption for both shales and kerogen concentrates and results in  
392 shallower isotherms (Figure 3), the micropores in kerogen concentrates dominate methane  
393 adsorption in wet shales at low pressure (5 bar) with contribution ratios for K1 and K2 are 86  
394 and 99% respectively, indicating minimal adsorption on minerals (Li et al., 2016; Li et al., 2019).

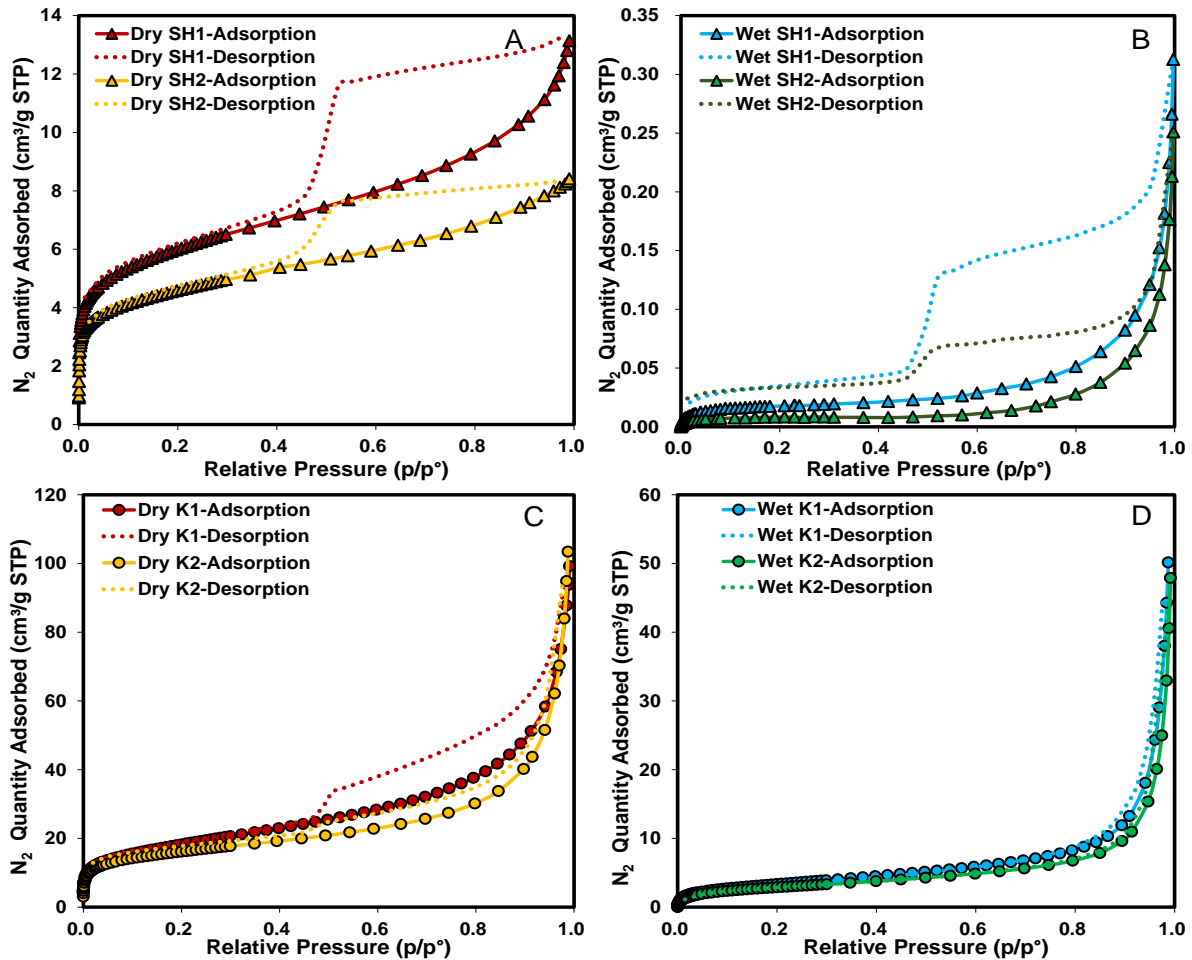
#### 395 **4.4 Pore characterization of the shales and kerogen concentrates**

396 4.4.1 Moisture impact on low-pressure gas sorption isotherms The low-pressure gas  
397 isotherms of the dry (N<sub>2</sub>, CO<sub>2</sub>) and wet (N<sub>2</sub>) kerogen concentrates and shales are compared in  
398 Figure 4 and 5. The CO<sub>2</sub> adsorption isotherms (Figure 5) are type I(b) and the N<sub>2</sub> sorption  
399 isotherms are type IV(a) with a hysteresis loop (Figure 4), a steeper gas uptake at low relative  
400 pressure arises from a higher proportion narrow micropores (Thommes et al., 2015). This  
401 confirms that the shales and kerogen concentrates contain mesopores and macropores, with  
402 the N<sub>2</sub> isotherms showing adsorption at a low relative pressure ( $P/P^\circ < 0.1$ ) from micropores.  
403 The CO<sub>2</sub> isotherms show adsorption solely associated with micropores for both the shales and  
404 kerogen concentrates.

405 The quantity of adsorbed N<sub>2</sub> and shape of the hysteresis patterns of the dry and wet shales  
406 and kerogen concentrates are slightly different. For the dry shales (Figure 4A), the hysteresis  
407 loop is similar to hysteresis type H2 based on the classification of the hysteresis (Sing, 1985)  
408 which suggests the pores are very complex, with pore shapes including ink-bottle pores  
409 comprising necks and windows, inhomogeneous cylinder, slit and sphere pores, and other  
410 irregular pores (Sing, 1985; Thommes et al., 2015). The obvious desorption plateau in H2  
411 hysteresis means there is pore-blocking or shielding from a narrow range of pore  
412 necks/windows, as cavitation occurs between 0.4-0.5  $P/P^\circ$ , indicating these necks and  
413 windows are all approximately <4 nm. This phenomenon still exists for wet shales (Figure 4B)  
414 having same isotherm and hysteresis types, but at a reduced pore volume or quantity of  
415 adsorbed N<sub>2</sub>, indicating water is blocking a large majority of the pore and neck/window  
416 volume. The low-pressure hysteresis below 0.42  $P/P^\circ$  could be associated with the swelling of  
417 clay minerals (Bertier et al., 2016), which could affect the desorption in this pressure range.

418 For the dry kerogen concentrates (Figure 4C), the hysteresis loop is very similar to type H3  
419 (Sing, 1985; Thommes et al., 2015), and the adsorption of N<sub>2</sub> increase rapidly at low relative  
420 pressure range ( $< 0.1 P/P^\circ$ ), this indicates most of the pores are micro and mesopores with slit  
421 shape pore geometry. Pore necks/windows exist in kerogen concentrates with a large pore  
422 width ranging between 4-100 nm, as desorption isotherms decrease gradually, with the  
423 addition of necks/windows pores <4 nm (cavitation). However, most of these neck and  
424 window pores are blocked or filled when kerogen concentrates are equilibrated with moisture  
425 at 95% R.H. reducing interconnectivity (shown in Figure 4D, with little or no hysteresis for K1

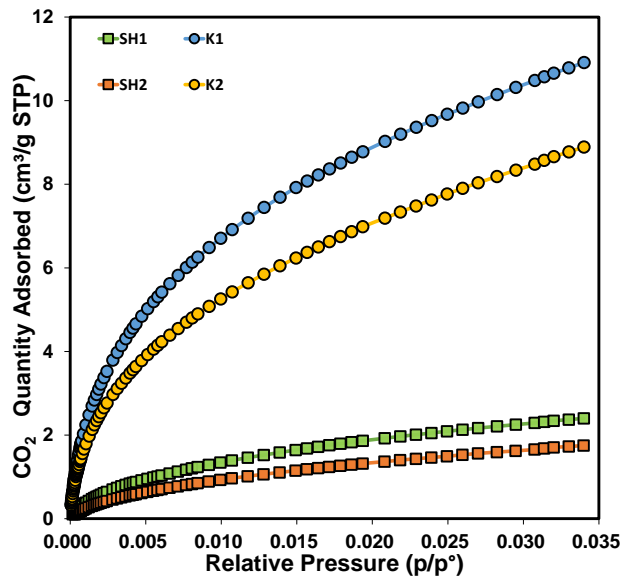
426 and K2), and reducing N<sub>2</sub> adsorption. Another key difference between isotherms of kerogen  
 427 concentrates and shales is that shales show greater hysteresis than kerogen concentrates,  
 428 both wet and dry, which suggests that the hysteresis (narrow pore necks/windows) are mostly  
 429 attributed by minerals or interfaces between minerals and kerogen.



430  
 431 Figure 4. A comparison of low-pressure N<sub>2</sub> sorption isotherms of shales and kerogen  
 432 concentrates under wet and dry conditions. A) N<sub>2</sub> isotherms of dry shales, B) N<sub>2</sub> isotherms of  
 433 wet shales, C) N<sub>2</sub> isotherms of dry kerogen concentrates, D) N<sub>2</sub> isotherms of wet kerogen  
 434 concentrates. P/P°, relative pressure, P is the absolute equilibrium pressure and P° is the  
 435 saturation pressure of N<sub>2</sub> at -196 °C, 1 bar.

436 As expected, the extents of N<sub>2</sub> and CO<sub>2</sub> adsorption are much greater for the kerogen  
 437 concentrates than the corresponding shales. Table 3 indicates that the maximum amounts of  
 438 adsorbed N<sub>2</sub> for the dry kerogen concentrates are 99.2 and 103.4 cm<sup>3</sup>/g which are 7-12 times  
 439 higher than the corresponding dry shales (13.3 and 8.4 cm<sup>3</sup>/g, respectively). SH1 and K1 have  
 440 the higher SA and pore volume than SH2 and K2 as they have the higher TOC (Table 1). Similar

441 differences are observed for CO<sub>2</sub> adsorption (Figure 5), the maximum adsorbed CO<sub>2</sub> quantities  
442 are 10.9 and 8.9 cm<sup>3</sup>/g on the two dry kerogen concentrates, which are about 4-5 times higher  
443 than the dry shales (2.4 and 1.7 cm<sup>3</sup>/g) (Table 3). The BET SAs estimated from the N<sub>2</sub> isotherms  
444 are quite close to those from the CO<sub>2</sub> isotherms of the dry samples (Table 3), indicating the  
445 N<sub>2</sub> penetrates all the micropores that CO<sub>2</sub> can. Figure 5 indicates for the dry kerogens that K1  
446 contains a greater micropore surface area (Table 3) and a greater proportion of smaller  
447 micropores than K2, consistent with the steeper methane adsorption isotherms (Figure 3).



448

449 Figure 5. Comparison of low-pressure CO<sub>2</sub> adsorption isotherms of dry kerogen concentrates  
450 and shales at 0 °C. P/P°, relative pressure, P is the absolute equilibrium pressure and P° is  
451 the saturation pressure of CO<sub>2</sub> at 0 °C, 34.9 bar.

452 4.4.2 Moisture impact on pore characteristics The SAs of dry samples obtained from N<sub>2</sub> and  
 453 CO<sub>2</sub> show little difference suggesting N<sub>2</sub> can penetrate all ultra-micropores of both wet and  
 454 dry samples. Table 3 reveals that more than 81% of the BET SA for the kerogen concentrates  
 455 is lost, at 95% R.H., K1 and K2, reducing from 65.7-58.8 m<sup>2</sup>/g to 12.4-10.8 m<sup>2</sup>/g, and 48-59%  
 456 total pore volume is lost, reducing from 0.14-0.15 cm<sup>3</sup>/g to 0.073-0.062 cm<sup>3</sup>/g. The impact of  
 457 moisture on the shales is even greater, with over 99% reduction in BET SA for SH1 and SH2,  
 458 reducing from 21.7-16.7 m<sup>2</sup>/g to 0.075-0.029 m<sup>2</sup>/g, respectively, accompanied by a 98% loss  
 459 in total pore volume. The swelling of clay minerals in wet shale might also result in the loss of  
 460 pore volume (Feng et al., 2018; Bertier et al., 2016; Zhu and Xia, 2013).

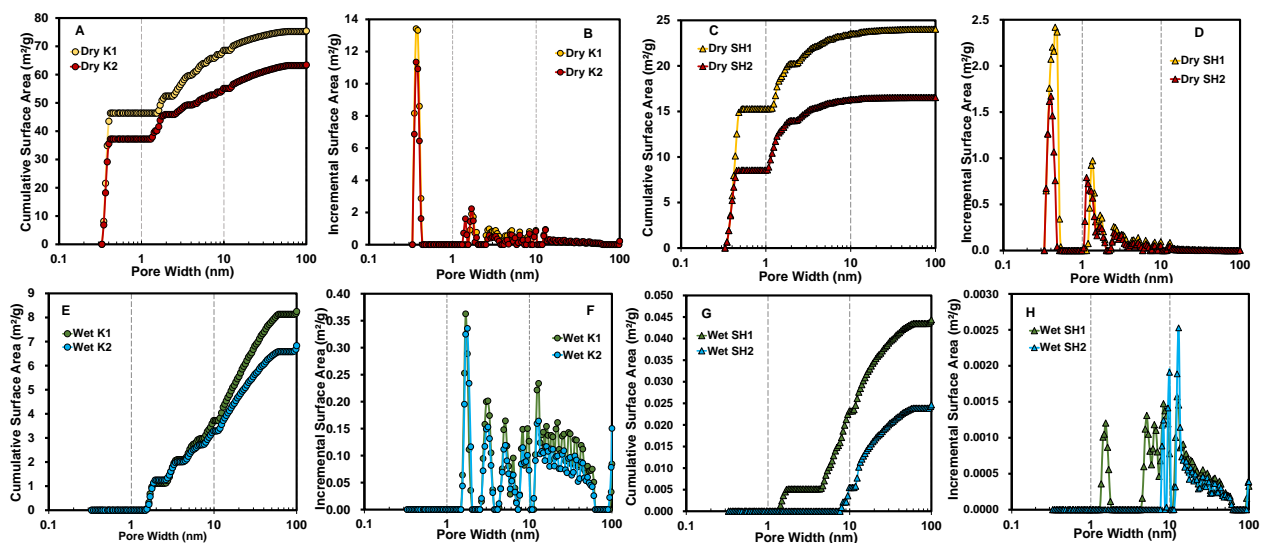
461 Table 3. Maximum adsorbed gas quantities, surface areas and pore volumes of the shales and  
 462 kerogen concentrates.

Sample	N <sub>2</sub> Q <sub>Adsorbed</sub> (cm <sup>3</sup> /g)	CO <sub>2</sub> Q <sub>Adsorbed</sub> (cm <sup>3</sup> /g)	S <sub>A</sub> <sup>BET</sup> (m <sup>2</sup> /g)	CO <sub>2</sub> S <sub>A</sub> <sup>BET</sup> (m <sup>2</sup> /g)	V <sub>micro</sub> (cm <sup>3</sup> /g)	V <sub>meso</sub> (cm <sup>3</sup> /g)	V <sub>macro</sub> (cm <sup>3</sup> /g)	V <sub>total</sub> (cm <sup>3</sup> /g)
Dry K1	99.2	10.9	65.7	68.0	0.0141	0.110	0.018	0.14
Dry K2	103.4	8.9	58.8	57.9	0.0140	0.114	0.023	0.15
Wet K1	50.1	/	12.4	/	0.0010	0.058	0.014	0.073
Wet K2	47.9	/	10.8	/	0.0011	0.044	0.017	0.062
Reduction K1 (%)	49	/	81	/	93	47	20	48
Reduction K2 (%)	54	/	82	/	92	62	24	59
Dry SH1	13.1	2.4	21.7	16.1	0.0069	0.012	0.00075	0.019
Dry SH2	8.4	1.7	16.7	12.6	0.0054	0.0067	0.00016	0.012
Wet SH1	0.31	/	0.075	/	0.0000039	0.00028	0.000063	0.00034
Wet SH2	0.25	/	0.029	/	0	0.00021	0.000056	0.00027
Reduction SH1 (%)	98	/	99.7	/	99.9	98	92	98
Reduction SH2 (%)	97	/	99.8	/	100	97	66	98

463 N<sub>2</sub> Q<sub>Adsorbed</sub> is the maximum adsorbed at 0.995 P/P°; CO<sub>2</sub> Q<sub>Adsorbed</sub> is the maximum adsorbed at 3.5×10<sup>-2</sup> P/P°; S<sub>A</sub><sup>BET</sup>  
 464 and CO<sub>2</sub> S<sub>A</sub><sup>BET</sup> are the surface areas calculated by BET theory; V<sub>micro</sub>, V<sub>meso</sub>, V<sub>macro</sub> and V<sub>total</sub> are the micropores,  
 465 mesopore, macropore and the total pore volume (up to 100 nm) calculated by the NLDFT model.

466 Moisture also changes the PSD for the kerogen concentrates and shales. For the dry samples,  
 467 the cumulative SA rises rapidly in the micropore range (Figure 6A, 6C). The two main peaks in  
 468 incremental SA occur at 0.3-0.5 nm and 1-2 nm, with small peaks occurring at 2-10 nm (Figure  
 469 6B, 6D), confirming micropores contribute most of the SA, with some contributions from small

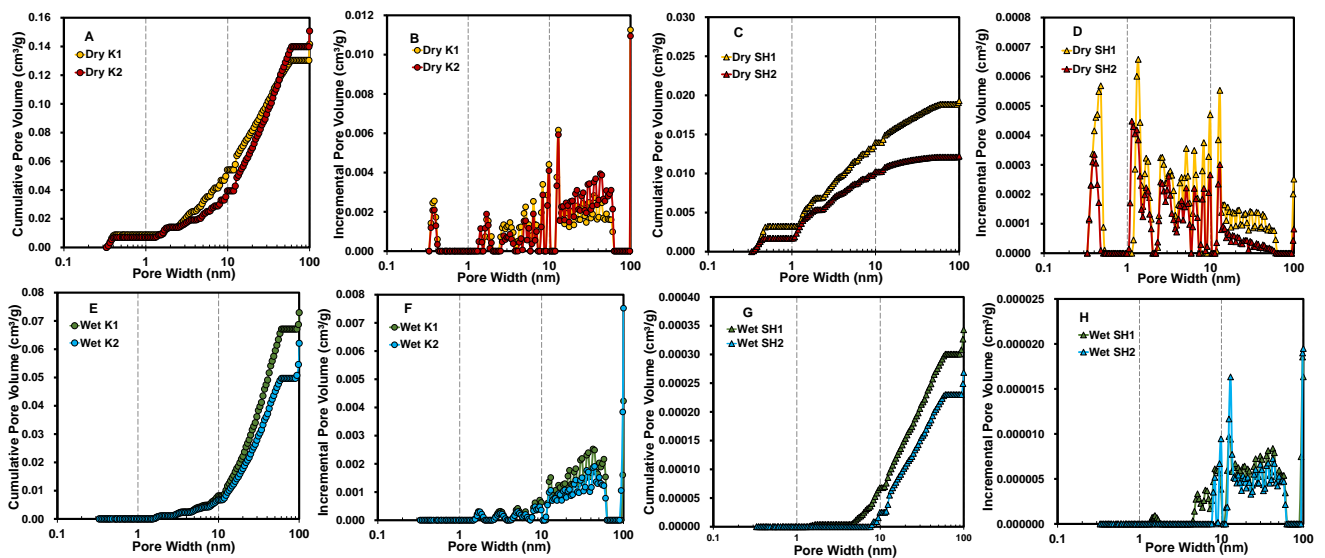
470 mesopores (less than 10 nm). Whereas for the wet kerogen concentrates and shales,  
 471 micropores only make a small contribution to SA (Figure 6E, 6G). Although one of the main  
 472 peaks in incremental SA occurs at 1.3-2 nm, most of the other peaks occur in the range of 2-  
 473 50 nm, with no obvious differences evident (Figure 6F, 6H). Micropores less than 1.3 nm  
 474 provide virtually no SA, making mesopores dominant for the wet samples (Figure 6F, 6H),  
 475 suggesting pores less than 1.3 nm are either blocked or filled with water. Micropores  
 476 contribute 9 and 10% for K1 and K2 and 36 and 44% for SH1 and SH2, respectively, of the dry  
 477 pore volume (Figure 7A, 7C), since most of the maxima in the incremental pore volume occur  
 478 in the mesopore range of 2-50 nm for both dry kerogen concentrates and shales. Whereas  
 479 only a few maxima exist below 2 nm for the kerogen concentrates (Figure 7B), these maxima  
 480 are relatively larger for the shales, indicating higher proportions of micropore volume,  
 481 consistent with their steeper methane adsorption isotherms (Figure 3). However, micropores  
 482 contribute less than 2% for the wet samples, with no pore volume below 1.3 nm (Figure 7E,  
 483 7G), indicating most micropores especially those less than 1.3 nm are blocked by water.



484

485 Figure 6. PSD and SA of dry (by N<sub>2</sub> and CO<sub>2</sub>) and wet (by N<sub>2</sub>) kerogen concentrates and shales.  
 486 A) Cumulative SA and the pore width of dry kerogen concentrates, B) Incremental SA and pore  
 487 width of dry kerogen concentrates, C) Cumulative SA and the pore width of dry shales, D)  
 488 Incremental SA and pore width of dry shales, E) Cumulative SA and the pore width of wet  
 489 kerogen concentrates, F) Incremental SA and pore width of wet kerogen concentrates, G)  
 490 Cumulative SA and the pore width of wet shales, H) Incremental SA and pore width of wet  
 491 shales.

492 Although mesopores contribute more than 55% of the total pore volume for both the dry or  
 493 wet samples, the dry kerogen concentrates and shales have larger proportions of small  
 494 mesopores (< 10 nm) volume (Figure 7B, 7D) than their wet counterparts (Figure 7F, 7H), since  
 495 most higher peaks of incremental pore volume only exist between 10-50 nm under wet  
 496 conditions, reducing the small mesopores (<10 nm) contribution (Figure 7F, 7H). This suggests  
 497 water blocking micropores can also reduce the interconnectivity to larger pores reducing the  
 498 pore volume in meso and even macropores, but by a lesser and different extent (Table 3).



499

500 Figure 7. PSD and pore volume of dry (by N<sub>2</sub> and CO<sub>2</sub>) and wet (by N<sub>2</sub>) kerogen concentrates  
 501 and shales. A) Cumulative pore volume and the pore width of dry kerogen concentrates, B)  
 502 Incremental pore volume and pore width of dry kerogen concentrates, C) Cumulative pore  
 503 volume and the pore width of dry shales, D) Incremental pore volume and pore width of dry  
 504 shales, E) Cumulative pore volume and the pore width of wet kerogen concentrates, F)  
 505 Incremental pore volume and pore width of wet kerogen concentrates, G) Cumulative pore  
 506 volume and the pore width of wet shales, H) Incremental pore volume and pore width of wet  
 507 shales.

508 Table 3 confirms that moisture has the greatest impact on micropores, followed by  
 509 mesopores and macropores in both kerogen concentrates (93-92, 47-62 and 20-24%  
 510 reductions for micropore, mesopore, and macropore volumes, respectively) and shales (99.9-  
 511 100, 98-97 and 92-66%, respectively), which makes the extent of reduction for SA larger than  
 512 that for pore volume since most of the SA is provided by micropores, whereas most of the  
 513 pore volume is provided by mesopores. Also, Table 3 indicates that the reduction in SA and

514 pore volume for the shales are much higher than for the kerogen concentrates. This is because  
515 the proportion of micropores in shale (36-44%) is much higher than for kerogen (9-10%). In  
516 addition, the large amount of clay minerals in some shales are responsible for these shales  
517 being most easily affected by water (Feng et al., 2018; Bertier et al., 2016; Ismadji et al., 2015;  
518 Kuila et al., 2014; Liming et al., 2012b; Zhu and Xia, 2013).

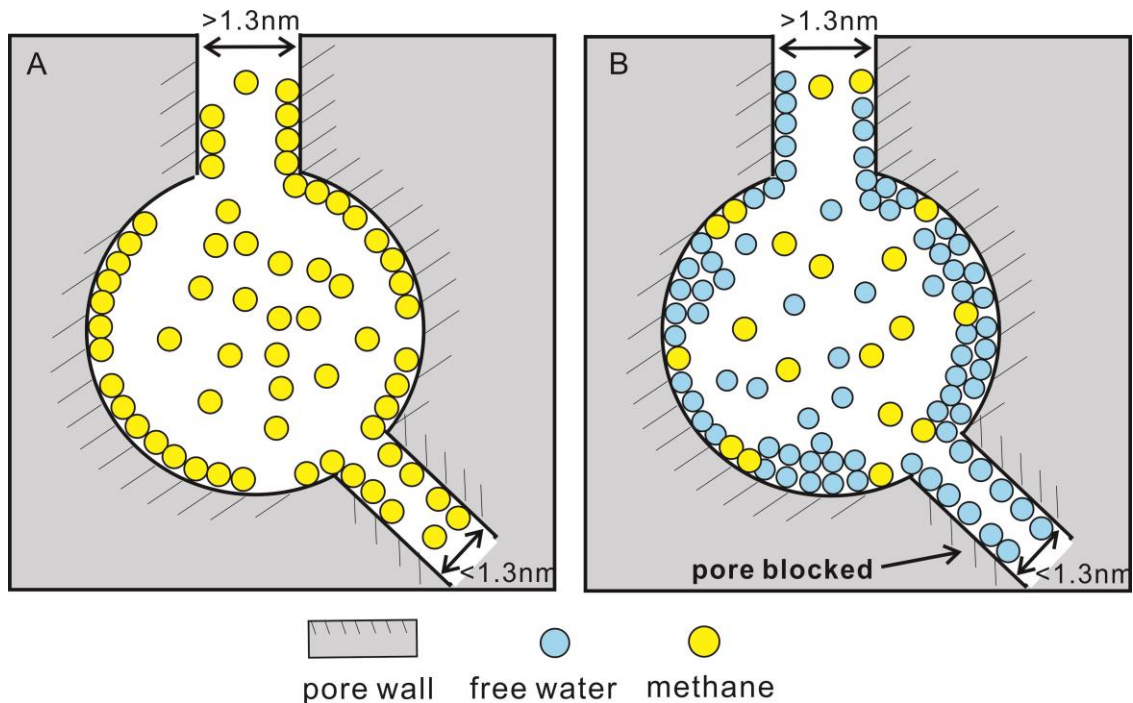
519 Water molecules hinder access to the smaller micropores, as shown in Figure 6 and 7, for a  
520 cylindrical/slit pore with a diameter of equal to or less than 1.3 nm. Less water is adsorbed in  
521 the larger micropores (together with mesopores) due to the reducing energy potential for  
522 adsorption (Dubinin, 1966; Gregg and Sing, 1982; Ruthven, 1984). Also, water is adsorbed in  
523 micropores first when these can be accessed. For the pores larger than 1.3 nm, water occupies  
524 some adsorption sites and can form a cluster of molecules leading to a film (or condensate)  
525 which reduces both the accessible pore volume and SA, while other gases can still gain access  
526 if the pores are not totally blocked. It is believed that thicker water films can reduce pore  
527 volume more (Li et al., 2016). The low-pressure adsorption isotherm results have indicated  
528 that the micropores, mesopores and macropores are largely connected by narrow pore necks  
529 building a complex pore system. The water volume uptake calculated by equation (2)  
530 indicates that 33 and 40% of the pore volume for SH1 and SH2 are occupied by water, whereas  
531 more than 98% accessible pore volume of shales are reduced after sample become wet,  
532 suggesting the water reduce the pore volume by taking up the pore volume as well as by  
533 blocking the micropore pore necks connecting to larger pores. The size of the pore neck  
534 matters, when the pore necks are less than 1.3 nm, water molecule clusters can block the  
535 necks preventing other gases being transported into larger pores, but when the pore neck is  
536 larger, there is still enough space for the other gas to go into the bigger pores.

#### 537 **4.5 Depiction of the impact of moisture on methane adsorption capacity**

538 Figure 8 illustrates how moisture can reduce the methane adsorption capacity for kerogens  
539 by 1) occupying adsorption surfaces. Water, as the polar molecule gives stronger interactions  
540 with the same adsorption surface (non-polar or polar) than the non-polar methane molecules,  
541 can occupy adsorption sites (Chalmers and Bustin, 2010; Chalmers and Bustin, 2007; Huang  
542 et al., 2018; Zhao et al., 2018); 2) blocking micropore access and filling mesopores. As for the  
543 mesopores connecting with different size of pore necks (smaller than 1.3 nm and larger than  
544 1.3 nm) methane can occupy most of the sorption surface in the dry samples (Figure 8A).



545 However, after samples become wet, much of the available sorption surface is taken up by  
 546 water, or most pores with narrow pore necks become inaccessible since water blocks access  
 547 to micropore less than 1.3 nm (Figure 8B).



549 Figure 8. Depiction of the impact of moisture on methane adsorption in small mesopore and  
 550 connecting micropores for kerogens. A) Methane adsorption in dry samples, B) Methane  
 551 adsorption in wet samples, with water blocking micropore access and filling mesopores.

552 Moisture can affect methane adsorption differently for kerogen concentrates and shales.  
 553 According to methane adsorption results, the reduction of K1 (72%) is higher than K2 (54%)  
 554 since K1 has more narrow pore necks as more evident cavitation occurs in the desorption  
 555 isotherms (Figure 4C), and most pore necks are blocked after moisture equilibrated (Figure  
 556 4D with little or no hysteresis). However, for shales, the reductions (46-51%) are comparable  
 557 as they have similar pore networks (Figure 4A). Furthermore, the reductions in SA and pore  
 558 volume measured are higher than those for the equilibrium methane adsorption capacities.  
 559 This can be explained by ice having a greater effect on blocking access to pores than water. It  
 560 is because the SA and pore volume are measured at sorption experiment temperature of -  
 561 196 °C for low-pressure N<sub>2</sub>, bulk pore water or water clusters will exist as ice crystals (Pauling,  
 562 1935), with a lattice of 0.45 nm width and 0.73 nm height (Bragg, 1921), which could occupy  
 563 more space so reducing pore SA and volume to a greater extent. Whereas water molecules

564 are depicted with a diameter of 0.28 nm (D'Arrigo, 1978; Zhang and Xu, 1995) in the methane  
 565 adsorption experiment temperature (25 °C). Furthermore, the pore blocking threshold of 1.3  
 566 nm occurs with ice crystals but not necessarily water meaning the blocking effects are  
 567 probably slightly exaggerated at -196 °C.

## 568 **5. Implication for GIP**

569 The classical GIP based on the shale gas holding capacity is estimated from the total amount  
 570 of free gas and adsorbed gas content by equation (9) (Tang et al., 2016). However, most GIP  
 571 estimations use dry samples without considering the effect of moisture, and therefore could  
 572 be overestimated. This research provides a realistic method for the measurement of the  
 573 accessible pore volume of moisture equilibrated shales and reveal the moisture impact on the  
 574 adsorbed gas content, making a more accurate GIP estimation of wet shales possible. The  
 575 GIPs (the shale gas holding capacity) of dry and wet shales are listed in Table 4 which are  
 576 calculated by equation (9), the dry porosity is obtained from MIP and wet porosity is  
 577 calculated based on equation (10).

$$578 \quad GIP = n_{free} + n_a = n_{free} + n_e + V_a \times \rho_g = V_{tot} \times \rho_g + n_e \quad (9)$$

$$579 \quad Porosity_{wet} = \frac{V_{wet\ pore}}{V_{sh}} = 1 - \frac{\rho_{wet\ bulk}}{\rho_{wet\ sk}} = 1 - \frac{\rho_{dry\ bulk}}{\rho_{wet\ sk}} \times (1 + W) \quad (10)$$

580 where,  $n_{free}$  is the free gas in the pore;  $n_a$  is the absolute adsorption quantity;  $n_e$  is the  
 581 excess adsorption quantity;  $V_a$  is the pore volume for gas to adsorb into;  $\rho_g$  is the density of  
 582 the bulk gas;  $V_{tot}$  is the total pore volume accessible to gas in shale;  $Porosity_{wet}$  is the  
 583 porosity of wet shale;  $V_{wet\ pore}$  is the pore volume of wet shale;  $V_{sh}$  is the shale sample  
 584 volume.

585 Table 4. The porosity, total pore volume and the estimated GIP of shales.

Sample	Porosity (%)	$V_{tot}$ (m <sup>3</sup> /t)	$n_a$ (kg/t)	$n_{free}$ (kg/t)	GIP (kg/t)
Dry SH1	10.2	0.043	1.5	10.4	11.9
Dry SH2	4.0	0.016	1.1	3.7	4.8
Wet SH1	6.8	0.028	0.73	6.9	7.6
Wet SH2	2.4	0.009	0.44	2.2	2.7

586

587 Pressure and temperature effects on the free and adsorbed gas content cannot be neglected  
588 (Chen et al., 2018; Luffel and Guidry, 1992; Ross and Bustin, 2007; Tang et al., 2016). It is  
589 estimated that the actual shale reservoir pressure and temperature of Longmaxi shale,  
590 Sichuan Basin with a depth of 4000 m are about 600 bar and more than 100 °C (Li et al., 2018;  
591 Tang et al., 2016). An average reduction of 45% for adsorbed gas content from 25 °C to 100 °C  
592 is applied based on the literature (Ji et al., 2015; Rexer et al., 2013; Whitelaw et al., 2019; Zou  
593 et al., 2017). The total accessible pore volume ( $V_{tot}$ ) of shale is calculated from the porosity,  
594 the density of methane at 600 bar and 100 °C is 241.03 kg/m<sup>3</sup> obtained from REFPROP version  
595 8.0 software and the excess adsorption quantity (equation (3)) is from the methane  
596 adsorption experiments. The estimated GIP of the shales at 600 bar and 100 °C are listed in  
597 Table 4, and the dominant gas is free gas for both dry and wet shales. The calculated GIP of  
598 the dry shales, SH1 and SH2 (maximum GIP) are 11.9 and 4.8 kg/t, respectively, similar to the  
599 range of 3.2-6.4 kg/t for Longmaxi shale (Tang et al., 2016). The GIP of the wet shales SH1 and  
600 SH2 (minimum GIP) are 7.6 and 2.7 kg/t, respectively, indicating that the estimated GIP can  
601 reduce by up to 36-45% when moisture is considered.

## 602 **6. Conclusions**

603 This is the first time that the impact of moisture on PSD and methane adsorption capacities  
604 of kerogens and shales have been compared and the following conclusions can be drawn.

- 605 (1) Moisture has a detrimental effect on methane adsorption capacity, reductions for the  
606 kerogen concentrates and shales being 54-72% and 46-51%, respectively, at 95% R.H.. The  
607 kerogen concentrates are the most important matter in shale for methane adsorption,  
608 which account for most (97 and 68% for dry SH1 and SH2, 50 and 64% for wet SH1 and  
609 SH2) of the equilibrium methane adsorption capacities of the shales within experimental  
610 error.
- 611 (2) At lower pressures, dry shales display a steeper rise of methane adsorption than dry  
612 kerogen concentrates suggesting that demineralisation could access larger micropores  
613 and smaller mesopores. The less steep isotherms of wet samples suggest they require  
614 higher pressure and pore energy to adsorb same amount of gas as the dry samples.
- 615 (3) The kerogen concentrates have much higher average SA (62.2 m<sup>2</sup>/g compared to 19.2  
616 m<sup>2</sup>/g) and average pore volume (0.15 cm<sup>3</sup>/g compared to 0.016 cm<sup>3</sup>/g) than  
617 corresponding shales. Moisture significantly reduces the SA (81% for kerogen, and 99%

618 for shale) and total pore volume for kerogens concentrates (48-49%) and shales (98%), by  
619 filling or blocking micropores less than 1.3 nm, obstructing pore necks connecting to  
620 micropores and mesopores stopping gas transport, occupying adsorption sites, or swelling  
621 clays (in shale) to reduce accessible pores. These reductions are much larger than  
622 reductions observed for methane adsorption as ice in the N<sub>2</sub> low-pressure measurements  
623 reducing access to pores much more than liquid water for methane adsorption.

624 (4) This research provides a realistic method for accurate GIP estimation of moisture  
625 equilibrated shales. The calculated GIP of the dry SH1 and SH2 (maximum GIP) are 11.9  
626 and 4.8 kg/t, and the GIP of the wet shales (minimum GIP) 7.6 and 2.7 kg/t, indicating the  
627 GIP reduces by 36-45% when moisture is considered for the shales investigated.

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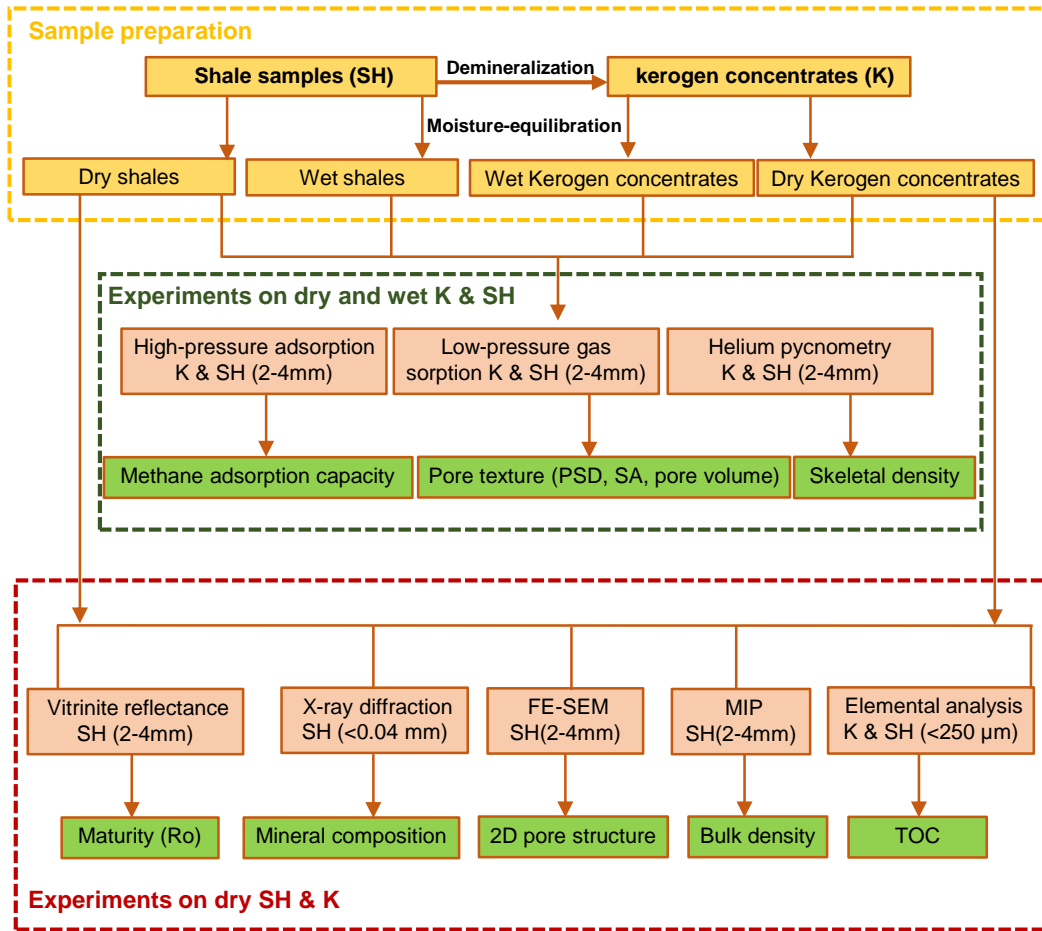


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845 **Comparison of the Impact of Moisture on Methane Adsorption and Nanoporosity for Over**  
846 **Mature Shales and their Kerogens**

847 **Supplementary material**

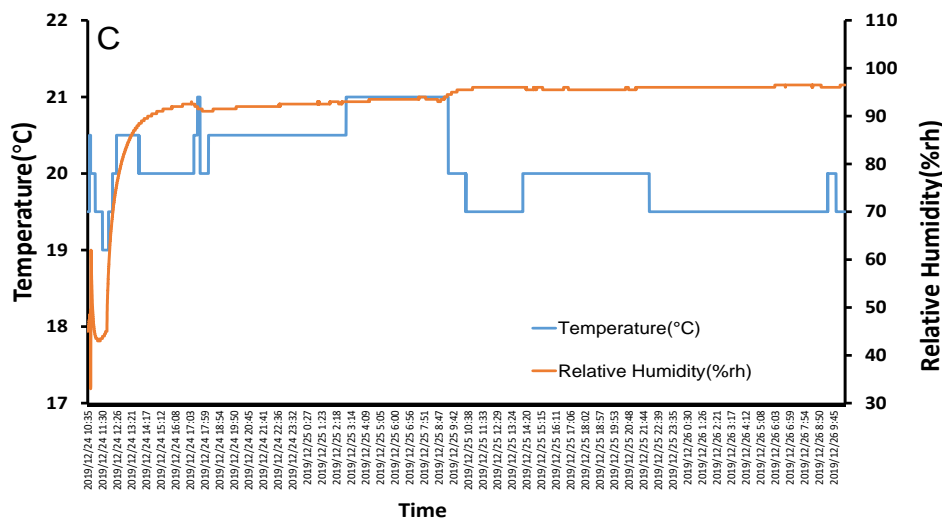
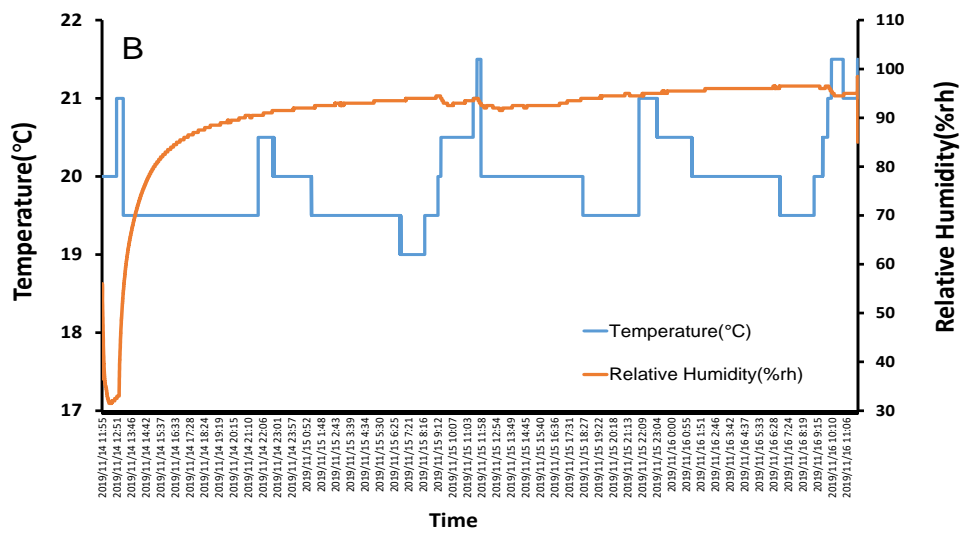
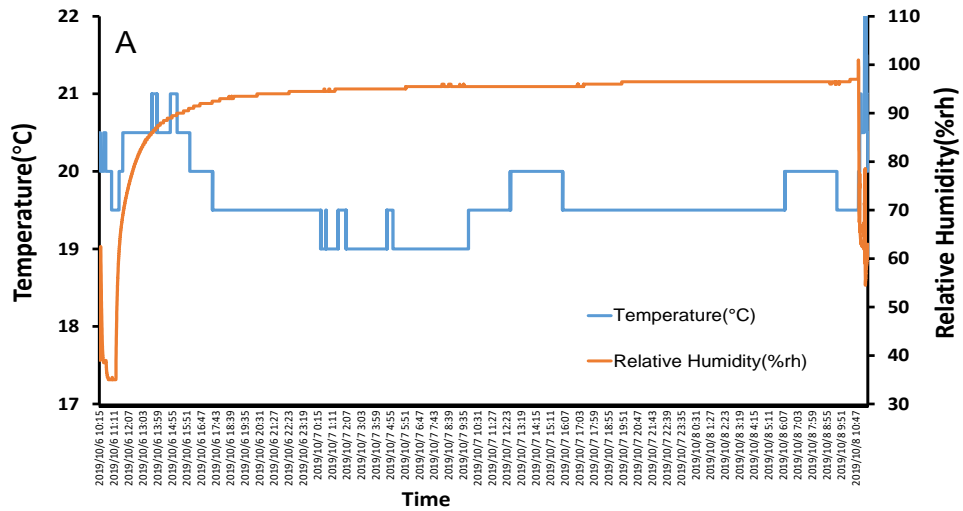
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850 Figure 1S. The flowchart of sample preparations and experiments.

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853 Figure S2. Temperature and relative humidity of the vacuum desiccator used for moisture  
 854 equilibrated samples preparation, A), B), C) corresponding to humidity experiments carried  
 855 out in triplicate.

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859 Table S1. Fitting parameters for dual-site Langmuir model

860

Sample ID	$\alpha$	$b_1(T)$	$b_2(T)$
K1-dry	0.16	0.016	0.5
K1-wet	0.011	0.021	0.2
K2-dry	0.15	0.016	0.43
K2-wet	0.0079	0.0091	0.9
SH1-dry	0.21	0.048	0.83
SH1-wet	0.0038	0.014	0.79
SH2-dry	0.19	0.034	0.53
SH2-wet	0.36	0.008	0.0082

861  $b_1(T)$  and  $b_2(T)$  are the temperature-dependent equilibrium constants;  $b_1(T)$  and  $b_2(T)$   
862 are weighted by a coefficient ( $\alpha$ );  $\alpha$  is the fraction of the second type of site ( $0 < \alpha < 1$ )

863