

Estimation of the infiltration rate of UK homes with the divide-by-20 rule and its comparison with site measurements.

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Abstract

Buildings are responsible for 40% of the global energy usage to which infiltration-caused heat losses are responsible for 30%. Air infiltration is the unintended flow of air through leakage paths and fundamentally determined by the airtightness of a building. In the United Kingdom, building airtightness is conventionally measured through a blower door test and used to predict air infiltration in conjunction with the divided-by-20 rule, which is a rule of thumb that has been adopted by SAP (Standard Assessment Procedure: a UK government's recommended method system for measuring the energy rating of residential dwellings) for the estimation of the infiltration-caused heat losses for dwellings. This paper assesses the representativeness of this rule of thumb by carrying out blower door and tracer gas tests in twenty one dwellings located in the East Midlands Region of the United Kingdom. Results showed that a divide-by-37 rule would be more representative. It was also seen that the air infiltration rate is overestimated by SAP when modifying factors are added. The errors are as high as 500% in some cases. The most affected dwellings were the tighter ones. A revision of the usage of the divide-by-20 rule and the modifying factors is advised.

Keywords:

Airtightness, air infiltration, blower door, air leakage, SAP, divide-by-20

Nomenclature.

Symbol		Unit
A	Envelope Area	m^2
b	Flow exponent	-
C	Air flow coefficient	$m^3h^{-1}Pa^{-b}$
N	Ratio constant	-
n	Air change rate (when at natural conditions also called air infiltration)	h^{-1}
Q	Air leakage rate	m^3h^{-1}
q	Air permeability	$m^3h^{-1}m^{-2}$
Δp	Pressure difference	Pa
δ	Uncertainty	
Subscripts		
l	At natural conditions	
50	At 50 Pa of pressure difference	
UK	United Kingdom	
IT	International	

1. Introduction

Buildings contribute to a large portion of the global energy consumption. For instance, in the European Union, 40% of the energy usage goes to the building sector [1]. Therefore, the energy efficiency of

30 buildings plays an important role in achieving the global carbon reduction target. Space heating in the
31 building is responsible for 60-70% of the building's overall energy demand [2]. Considering up to one
32 third of the heating is lost through the leaks and cracks in the building envelope [3] driven by
33 environment-induced air infiltration, it is essential to understand the amount of energy losses caused by
34 the infiltration as part of the building energy rating process.

35 Air infiltration (or exfiltration) is the unintended air leakage rate (h^{-1}) in a building, or the flow through
36 leakage pathways driven by the pressure difference induced by the environmental conditions, in
37 particular the outdoor wind and outdoor-indoor temperature difference [4] (or vice versa for
38 exfiltration). Due to being disruptive, time consuming and complex to operate, tracer gas based methods
39 for measuring air infiltration are usually substituted with a measurement of building airtightness, which
40 is then used to estimate the infiltration rate of the test building in conjunction with a leakage-infiltration
41 relationship and sometimes environmental and terrain conditions. Although a number of airtightness
42 testing methods are in existence such as acoustic [5, 6, 7] and unsteady pressurisation technique [8, 9,
43 10, 11, 12, 13, 14, 15, 16], the blower door is a convenient and reliable means for measuring building
44 airtightness that has been widely adopted as the standard testing method in building regulations and
45 voluntary standards. The measurement of building airtightness has become a regulatory requirement in
46 many countries due to its impact to the building energy efficiency, indoor air quality and building
47 durability. There are a number of leakage-infiltration relationships available, either as a simple
48 leakage/infiltration ratio or leakage-infiltration models [17, 18], which can be used to calculate the
49 corresponding infiltration rate when an airtightness measurement is made to a building.

50 The leakage/infiltration ratio is the simplest form of the leakage-infiltration relationship that has been
51 used in a number of countries. Although only basic in its consideration of various factors such as
52 conditions related to ambient environment, terrain and shielding, it offers a quick and intuitive means
53 for estimating the infiltration rate. However, the factors related with building design, construction and
54 local climate can have some bearing on this ratio, which may make it unique in countries/regions with
55 very different aforementioned factors. Assessing the representativeness of the divide-by-20 rule has
56 been carried out previously by other researchers [19, 20] and the findings support such speculations.
57 However, validation of these concerns with in-field measurement has been rather limited. As part of
58 large field trial investigations on the relationship between the measured building leakage at various
59 pressure levels and infiltration, this paper extracts the tests performed with the blower door and tracer
60 gas methods across a total of 21 of different dwellings to further evaluate the representativeness of the
61 divide-by-20 rule and implicated energy consumption.

62

63 **2. UK context**

64 Airtightness is quantified in a number of ways, such as air permeability ($\text{m}^3 \cdot \text{h}^{-1} \cdot \text{m}^{-2}$) or air change rate
65 (h^{-1}); both these measurements are usually referenced at a pressure difference of interest. For instance,
66 in the United Kingdom, the air leakage rate is quoted at 50 Pa of pressure difference and normalised by
67 the envelope area to give air permeability at 50 Pa, a guided parameter for the minimal requirement of
68 building airtightness set in the UK building regulation [21].

69 The pressurisation method, most widely known as “blower door”, is a technique which increases the
70 pressure difference of a building by inserting (pressurising) or extracting (depressurising) air into the
71 building using a fan blower. Blower door measures the building airtightness in a range of pressure
72 differences typically from 10 to 60 Pa [22].

73 The amount of airflow exerted by the fan is related to the established pressure difference to provide the
74 leakage-pressure relationship of the building. Such relationship can be mathematically represented by
75 either a quadratic equation [23, 24, 25] or a power law equation, the latter one is the broadly used and
76 accepted form, as described by eq.(1) [26].

$$Q = C\Delta p^b \quad (1)$$

77 where:

78 Q = air leakage rate ($\text{m}^3\cdot\text{h}^{-1}$);

79 C = flow coefficient ($\text{m}^3\cdot\text{h}^{-1}\cdot\text{Pa}^{-b}$);

80 Δp = Indoor-outdoor pressure difference (Pa);

81 b = flow exponent (dimensionless), in the range from 0.5 to 1 (turbulent to laminar flow).

82

83 Then the air permeability at 50 Pa (q_{50}) can be obtained by normalising the air leakage rate at 50 Pa
84 (Q_{50}) using the eq. (2)

85

$$q_{50} = Q_{50}/A \quad (2)$$

86

87 Where, A is the envelope area of the building, m^2 .

88

89 The air leakage rate quoted at 50 Pa and the pressurisation of a building does not represent the air
90 leakage rate occurring at natural conditions since it regularly occurs at a pressure difference lower than
91 10 Pa [27, 28]. A high pressure difference is used to shadow the effects of wind and buoyancy, but is
92 subject to uncertainty when a low pressure result is required due to the error caused by extrapolation
93 [27].

94 From here, there are different ways to predict the infiltration rate, examples of these are the air
95 infiltration predicting models [17] which vary in their complexity; or, the airtightness infiltration ratio
96 (equation 3) which represents a simple way to predict air infiltration.

$$Q_{50}/Q_1 = N \quad (3)$$

97 where:

98 Q_{50} = air leakage rate at 50 pa (m^3h^{-1});

99 Q_1 = air infiltration flow rate (m^3h^{-1});

100 N = ratio constant (dimensionless).

101 After a study carried out in the United States [29, 30], it was determined that a representative value of
102 N is 20. Q_{50} and Q_1 were substituted by n_{50} and n_1 respectively; the first term describes the air leakage
103 rate occurring at 50 Pa, measured by the steady pressurisation method; the latter term refers to the air
104 infiltration rate. This study created the divide-by-20 rule of thumb (equation 4).

$$n_{50}/20 = n_1 \quad (4)$$

105

106 In the United Kingdom equation 4 was adopted by the government as the way to predict the infiltration
107 rate. This is stated in the Standard Assessment Procedure [31], which is the UK nationally recognised
108 procedure for obtaining the energy rating of a dwelling. The use of this ratio has already been questioned
109 due to its simplicity [29, 19, 20].

110 Although n_{50} was used in the original American study, in the United Kingdom, the divide-by-20 rule is
111 applied to q_{50} ($\text{m}^3\cdot\text{h}^{-1}\cdot\text{m}^{-2}$) instead to calculate the infiltration rate, as described by eq.(5). This change
112 implies the assumption that all dwellings have a volume/envelope area ratio close to 1, which might be
113 justifiable considering the fact that the majority of UK dwellings are houses. Finally, SAP modifies the
114 predicted infiltration value by wind and shelter factors.

$$q_{50}/20 = n_1 \quad (5)$$

115

116 3. Measurement of air infiltration: Tracer gas methods

117 Predicting the air infiltration rate through the use of models is widespread and typically the default
 118 approach used when designing and evaluating buildings. There are however existing means to measure
 119 it directly with the most common technique being by tracer gas means. There are many variants,
 120 however the most widely known are the tracer gas constant concentration method, tracer gas constant
 121 injection method, and the tracer gas concentration decay method. The first two, are relatively more
 122 accurate [32], however, they need costly and sophisticated equipment. The tracer gas concentration
 123 decay method is the most widely practised due to its simplicity and low cost.

124 The tracer gas concentration decay method has been standardised to measure the air infiltration at
 125 natural conditions [33, 34]. In order to obtain a correct test, a suitable gas must be used, for example
 126 SF₆, N₂O, C₂H₆, CH₄, CFC, H₂, He and CO₂, where CO₂ is probably the most widely adopted due to its
 127 low cost, availability and it is safe to use [35, 36]. The tracer gas is distributed throughout the test space
 128 and mixed well using fans to achieve a satisfactory uniformity; the decay of the gas concentration is
 129 then monitored with a series of calibrated sensors evenly placed around the test environment. The
 130 natural logarithm of the decay is related with time on a regression and the infiltration rate is given by
 131 the slope of the linear best fit of the relationship. In order to satisfy the standard, the duration of the
 132 decay depends on the airtightness of the house, the estimated testing duration for a house with a given
 133 airtightness level is listed in Table 1.

134

Table 1. Examples of minimum durations between the initial and final samples for the concentration decay method. From [33].

Air leakage rate (h ⁻¹)	Minimum duration of test (h)
0.25	4
0.5	2
1	1
2	0.5
4	0.25

135

136 4. Methodology

137 4.1. Test dwellings

138

139 From January to October 2018, 21 different houses were tested in the East Midlands of the United
 140 Kingdom. It was intended to test as many different houses as possible in terms of building type, building
 141 age, construction method, etc. Figure 1 shows photos of 12 dwellings of the 21 tested dwellings with
 142 the typical building form. A brief description of each dwelling is given in Table 2. Table 2 also includes
 143 the test number, date when the tests were performed, volume and envelope area. It is interesting to
 144 notice that the volume to envelope area ratio for all the dwellings is close to 1, this means that dwellings
 145 volume and envelope area are similar; 16 out of 21 dwellings have a ratio between 0.9 - 1.10. The
 146 dwelling type, from mid-terrace to detached houses; shielding conditions, from no shielding to heavily
 147 shielded houses, as defined by Sherman [37]; terrain conditions, from rural to urbanised areas; and; the
 148 shielded façades depending on the orientation of the dwelling are also listed. Party walls in terraced or
 149 semi-detached dwellings are considered permeable and therefore, considered in the envelope area
 150 calculations. Furthermore, in dwellings where the attic is conditioned, it is considered in the volume
 151 and envelope area of the dwelling.

152

153 This project is part of a large field study which aimed to investigate how airtightness test results at
 154 different pressure levels correlate with each other and the corresponding infiltration measurements
 155 using different technologies in a range of dwellings in the United Kingdom. Among over 100 tested
 156 dwellings, twenty one were tested for infiltration using the tracer gas decay method. Tested at different
 157 times of the year, and the houses were also subject to a good range of wind and temperature conditions.



Dwelling 2



Dwelling 3



Dwelling 6



Dwelling 10



Dwelling 11



Dwelling 12



Dwelling 13



Dwelling 14



Dwelling 15



Dwelling 16



Dwelling 17



Dwelling 19

Figure 1. Sample of the 21 dwellings tested, illustrating their overall diversity.

Table 2. Description of test dwellings.

Dwelling	Date of test	Form	Main construction type	Type	Building age	Ventilation	Volume (m ³)	Envelope Area (m ²)	Volume/ Env.Area Ratio
1	25/04/2018	Detached	Cavity	Existing	1950-1966	PIV*	278	269	1.03
2	22/05/2018	Semi-Detached	Solid	Existing	1996-2002	Passive stack	264	252	1.05
3	06/06/2018	Detached	Stone	Existing	Before 1900	Natural	272	296	0.92
4	03/08/2018	Detached	Timber frame	Existing	2003-2006	MVHR*	188	227	0.83
5	16/08/2018	Detached	Solid	Retrofit	1976-1982	Natural	478	435	1.10
6	22/08/2018	Semi-Detached	Solid	Existing	1950-1966	Natural	203	210	0.97
7	10/09/2018	Mid-Terrace	Solid	Existing	1900-1929	Natural	222	265	0.84
8	07/06/2018	Semi-Detached	Solid	Existing	1996-2002	Passive stack	264	252	1.05
9	12/07/2018	End-Terrace	Cavity	Existing	1976-1982	Natural	215	224	0.96
10	30/08/2018	End-Terrace	Cavity	Existing	1983-1990	Natural	197	205	0.96
11	24/09/2018	Mid-Terrace	Cavity	Existing	1991-1995	Natural	164	182	0.90
12	27/09/2018	Detached	Cavity	Existing	2003-2006	Natural	153	218	0.70
13	01/10/2018	Semi-Detached	Solid	Existing	1900-1929	Natural	160	176	0.91
14	04/10/2018	Semi-Detached	Solid	Existing	1991-1995	MVHR*	248	269	0.94
15	05/10/2018	Detached	Cavity	Existing	2012 onwards	Natural	281	294	0.96
16	08/10/2018	End-Terrace	Solid	Existing	1991-1995	Natural	143	170	0.84
17	09/10/2018	Semi-Detached	System	New build	2012 onwards	MVHR*	316	304	1.04
18	10/10/2018	Mid-Terrace	Solid	Existing	1930-1949	Natural	251	287	0.87
19	18/10/2018	Detached	Cavity	Existing	1983-1990	Natural	391	387	1.01
20	31/10/2018	Semi-Detached	Solid	Existing	1950-1966	Natural	333	294	1.13
21	18/01/2018	Detached	Cavity	Existing	2003-2006	Natural	285	290	0.98

*MVHR= Mechanical ventilation with heat recovery; PIV: Positive Input Ventilation;

160

161 4.2. Equipment and testing protocol

162 Each dwelling was subject to a pressurisation and a depressurisation test according to the BS EN ISO
 163 9972:2015 standard for fan pressurisation testing [38]. In addition, a tracer gas decay test was carried
 164 out in each property according to international standards [34, 33]. The equipment used in the tests is
 165 listed in Table 3.

166

Table 3. Equipment used in the experimental study.

Equipment		
Airtightness	Minneapolis blower door model 4. (BD-4) with DG-1000 pressure gauge $\pm 0.9\%$	
Tracer Gas	Gas	Carbon Dioxide
	Gas measuring	Sontay CO ₂ sensor GS-CO2-1001 accuracy $\pm 30\text{ppm} \pm 5\%$ of scale
Other	Fans	
	Datataker DT85 data logger	
	WindSonic Ultrasonic anemometer	
	Temperature sensors PT100 RTD	

167

168 All the tracer gas tests were set up and carried out immediately after the blower door fan tests, this
 169 means, all air openings such as windows or (envelope) doors were closed, trickle vents and other
 170 purpose provided vents were sealed. This was done in order to provide a direct comparison with the
 171 airtightness test, and to only measure the non-intended ventilation rate (air infiltration).

172 For aforementioned reasons, CO₂ was used for the tracer gas decay testing. A set of temperature sensors
 173 and carbon dioxide sensors were evenly distributed throughout the test property and connected to a data
 174 logger with a sampling rate of 1 second. To provide a uniform CO₂ distribution in the dwellings, a set
 175 of floor fans were placed in each zone of each dwelling. During testing, the target concentration level
 176 of CO₂ was set at 5000 ppm, and it was left to decay for a duration longer than that listed in Table 1
 177 wherever possible. Due to limited access in some dwellings, the achieved test duration was slightly
 178 shorter in a small number of cases. Figure 2 shows the equipment used for the tracer gas tests. Note that
 179 the testing equipment was not suitable for outdoor uses; therefore for infiltration calculation purposes
 180 outdoor CO₂ concentration was assumed to be 400 ppm [39].

181



Figure 2. Equipment utilised for tracer gas decay method tests. Data logger, CO₂ cannisters and thermal zone arrangement with CO₂ sensor, fan and temperature sensor.

182

183 In addition, an ultrasonic anemometer was used to record the external wind conditions during tracer gas
 184 testing. A temperature sensor was set next to the anemometer. Both were also connected to the data
 185 logger at a sampling rate of 1 second.

186 In each zone, a temperature sensor was placed next to the CO₂ sensor to obtain a time-averaged indoor
 187 temperature, and then the measured outdoor temperature is subtracted to give the indoor-outdoor
 188 temperature difference (ΔT).

189

190 5. Results

191 The infiltration rates obtained by the tracer gas decay method are only representative of the conditions
 192 present during the tests. The air infiltration rate is given as the unit of air changes per hour (n_1 , h^{-1}); the
 193 blower door tests results are presented in the form of air permeability (q_{50} , $m^3 \cdot h^{-1} \cdot m^{-2}$). To aid
 194 comparisons, the air leakage rate at 50 Pa is also presented (n_{50} , h^{-1}).

195 The air permeability results (q_{50}) were divided-by-20 as per the UK SAP methodology, and compared
 196 with measurements of air infiltration rate given by the tracer gas test. Since the divide-by-20 rule of
 197 thumb in the USA originally uses n_{50} (rather than q_{50}) a comparison against n_1 is also analysed.
 198 Ultimately final thoughts will be given regarding the use of the divide-by-20 rule of thumb employed
 199 in SAP.

200 **5. 1. Blower door results**

201 Table 4 shows the mean value from pressurisation and depressurisation blower door tests. Values of q_{50}
 202 ($m^3 \cdot h^{-1} \cdot m^{-2}$) and n_{50} (h^{-1}) are included. It is believed that the divide-by-20 rule in the UK uses q_{50} instead
 203 of n_{50} because most of UK dwellings have a volume: envelope area ratio close-to 1:1. For the studied
 204 dwellings it can be said that this is true for most of the properties; a fairly similar value between q_{50} and
 205 n_{50} reflects this.

206

Table 4. Blower door test results.
 Mean value from pressurisation and
 depressurisation.

Dwelling	Air change rate @50 Pa (n_{50}) h^{-1}	Air Permeability @50 Pa (q_{50}) $m^3 \cdot h^{-1} \cdot m^{-2}$
1	7.62	7.88
2	5.76	6.03
3	8.59	7.90
4	5.31	4.40
5	3.51	3.86
6	7.86	7.60
7	8.61	7.22
8	5.77	6.04
9	7.10	6.81
10	10.45	10.04
11	9.73	8.77
12	8.33	5.85
13	14.97	13.61
14	5.07	4.68
15	5.58	5.33
16	13.27	11.16
17	4.13	4.29
18	11.34	9.92
19	13.29	13.43
20	12.24	13.87
21	7.73	7.60

207

208 The data set shows the test dwellings have a range of airtightness levels, from relatively tight properties
209 (dwellings 4, 5, 14 and 17) to leaky houses whose air permeability do not meet the minimal requirement
210 set in the UK regulations (dwellings 10, 13, 16, 19 and 20). The average air permeability of the 21
211 dwellings is $7.92 \text{ m}^3 \cdot \text{h}^{-1} \cdot \text{m}^{-2}$.

212 5.2. Tracer gas results

213 Figure 2 shows a typical decay curve of the average concentration measured from the sensors. In
214 accordance with the international standard [33], a least squares regression has to be performed between
215 the natural logarithm of the concentration and the time. The best linear fit is produced, and, the slope
216 of the equation represents the air infiltration rate of the building. In Figure 3 and Figure 4, dwelling 12
217 was used as an example to illustrate how a tracer gas test analysis is made. Figure 4 shows the time
218 against natural logarithm of the concentration regression in dwelling 12; it also shows the equation of
219 the best fit and the r^2 value.

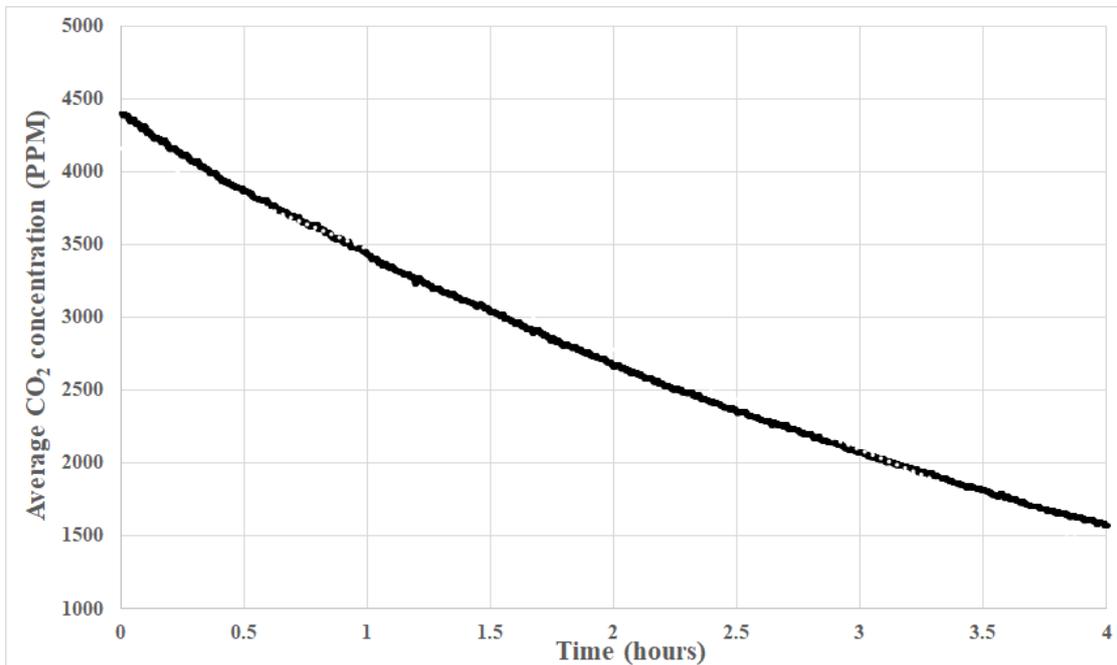


Figure 3. Concentration decay of dwelling 12

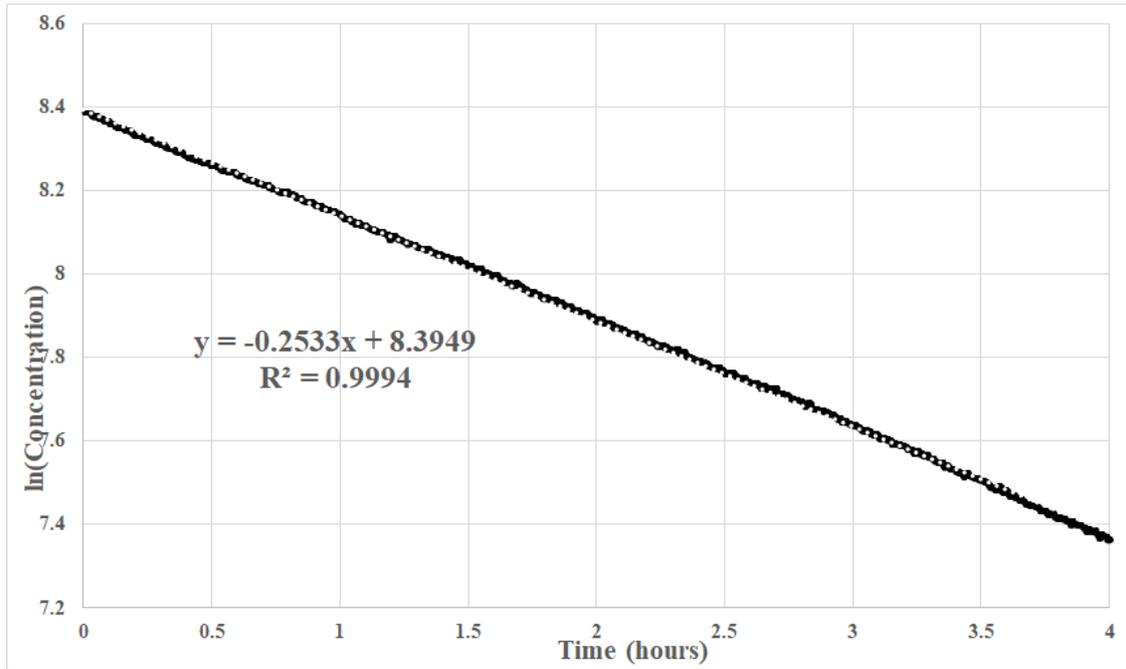


Figure 4. Natural logarithm of the decay of dwelling 12 and best fitting linear equation for the regression.

220

221 Table 5 presents the results from the tracer gas tests where the air infiltration rate (h^{-1}) given represents
 222 only the conditions at the time of testing. The environmental conditions are also presented in order to
 223 depict how the two most important air infiltration driving forces (wind and temperature difference) were
 224 acting upon the dwellings.

Table 5. Tracer gas tests results.

Dwelling	Date	Infiltration rate n_1 h^{-1}	r^2	Test duration h	Uncertainty $\pm h^{-1}$	wind m/s	ΔT K
1	25/04/2018	0.1484	>0.999	7.32	0.0009	2.736	4.73
2	22/05/2018	0.2093	0.997	9.00	0.0192	1.174	-1.83
3	06/06/2018	0.2080	0.999	5.00	0.0069	0.569	1.13
4	03/08/2018	0.1241	>0.999	8.5	0.0019	1.08	3.69
5	16/08/2018	0.0787	0.998	8.00	0.0036	0.710	3.39
6	22/08/2018	0.3171	0.998	6.67	0.0071	0.930	0.19
7	10/09/2018	0.3512	0.999	4.50	0.0305	0.860	2.87
8	07/06/2018	0.1645	0.997	4.17	0.0020	1.700	0.94
9	12/07/2018	0.1514	0.999	3.00	0.0027	0.510	1.66
10	30/08/2018	0.2344	0.993	6.50	0.0004	0.500	1.64
11	24/09/2018	0.2284	0.998	4.33	0.0026	0.760	3.73
12	27/09/2018	0.2533	0.999	4.00	0.0041	0.910	0.01
13	01/10/2018	0.4192	>0.999	4.17	0.0259	0.67	6.13
14	04/10/2018	0.0849	0.995	3.43	0.0014	0.850	1.30
15	05/10/2018	0.1504	0.996	3.75	0.0033	0.930	1.95
16	08/10/2018	0.5189	>0.999	3.25	0.0111	0.750	4.83
17	09/10/2018	0.0998	0.989	13.5	0.0059	0.350	11.00
18	10/10/2018	0.3594	0.998	2.33	0.0303	1.030	-0.70

19	18/10/2018	0.2928	0.999	3.25	0.0303	0.59	3.91
20	31/10/2018	0.2753	0.991	2.5	0.0171	1.7	3.55
21	01/03/2018	0.3618	0.995	7.64	0.0007	3.830	21.22

225

226 It can be seen that the majority of tests were performed with a duration higher than the standard, except
 227 for tests 9, 14 and 15 (which should have taken over 4 hours) due to limited access to the dwellings.
 228 Nevertheless, in each of these cases the achieved concentration drop was sufficient and therefore they
 229 are included in the results. The decay tests provide good results with relatively low uncertainty and all
 230 within the limits shown by other authors [32]. It is acknowledged that the use of carbon dioxide as tracer
 231 gas introduces uncertainty due to its natural presence in the environment.

232 The wind measured was an on-site measurement which showed lower values than those ones given in
 233 Appendix U from the SAP document [31]. Probably because the measurements taken in this study
 234 include the urban-caused turbulence. It is important to remark that the installation of the anemometer
 235 during testing depended on the availability of space near the house to obtain the best possible results
 236 without compromising the security of the equipment. Fences or other urban barriers might create wind
 237 turbulence and this bias is acknowledged. For instance, Figure 5a depicts the location of the anemometer
 238 in property 10 where barriers were located; in some properties the anemometer was located in an open
 239 space, (Figure 5b). In all cases, the height of the weather station was limited to 2 meters above the
 240 ground.



Figure 5 a). Example of property where the weather station was blocked by natural obstructions, fences or buildings and; b). Example of property where the weather station was placed in an open space.

241

242 **5.3. Air Permeability (air leakage rate) – infiltration ratios.**

243 The standard assessment procedure (SAP) calculates the infiltration rate with the air permeability value
 244 (q_{50}) obtained by a steady pressurisation test and dividing it by 20, then modifies it by wind and shelter
 245 factors.

246 In Table 6, the divide-by-20 rule is used to predict the infiltration rate, which is then compared with the
 247 measurements of air infiltration. Finally, in the last column a real q_{50} -infiltration ratio is presented.

248

Table 6. Air permeability (@50 Pa) – infiltration ratios

Dwelling	Air Permeability @50 Pa $\text{m}^3\text{h}^{-1}\cdot\text{m}^{-2}$ q_{50}	$q_{50}/20$	Tracer Gas Measured Infiltration $n1$ h^{-1}	Error (relative difference)	$q_{50}/n1$
1	7.88	0.3938	0.1484	165%	53.07
2	6.03	0.3015	0.2093	44%	28.81
3	7.90	0.3948	0.2080	90%	37.96
4	4.40	0.2200	0.1241	77%	35.46
5	3.86	0.1930	0.0787	145%	49.05
6	7.60	0.3800	0.3171	20%	23.97
7	7.22	0.3608	0.3512	3%	20.54
8	6.04	0.3020	0.1645	84%	36.72
9	6.81	0.3405	0.1514	125%	44.98
10	10.04	0.5020	0.2344	114%	42.83
11	8.77	0.4383	0.2284	92%	38.38
12	5.85	0.2923	0.2533	15%	23.08
13	13.61	0.6805	0.4192	62%	32.47
14	4.68	0.2338	0.0849	175%	55.06
15	5.33	0.2665	0.1504	77%	35.44
16	11.16	0.5580	0.5189	8%	21.51
17	4.29	0.2145	0.0998	115%	42.99
18	9.92	0.4960	0.3594	38%	27.60
19	13.43	0.6713	0.2928	129%	45.85
20	13.87	0.6933	0.2753	152%	50.36
21	7.60	0.3798	0.3618	5%	21.00

249

250 It is clear that, in comparison to the measured infiltration rate, a large deviation is created in the
251 estimated infiltration rate by dividing the q_{50} by 20. The use of this ratio overestimates the infiltration
252 rate, this means that systems assume larger heat losses than the ones experienced by a dwelling.
253 Interestingly, results suggest that a much larger value of N (equation 3) is more representative of this
254 sample. However, dwellings 6, 7, 12, 16 and 21 demonstrated that the ratio can be close to 20; these
255 properties represent less than a quarter of the sample. It is important to notice that most of these
256 properties (except number 16) have an air permeability between 5.85 and 7.60 $\text{m}^3\text{h}^{-1}\text{m}^{-2}$ which might
257 indicate that the rule of thumb might be more representative for dwellings with an airtightness that falls
258 in this range. However, considering this sample size is rather small, this should not be treated as a solid
259 conclusion. More tests are required to gain a clearer insight in that regard.

260 It is important to notice if only the tightest properties are considered ($q_{50} < 5 \text{ m}^3\text{h}^{-1}\text{m}^{-2}$), the error
261 (between measured and predicted) is on average 128%, which is a large error. A possible reason for this
262 is that the rule was created based on tests performed in dwellings with different leakage characteristics
263 under different environmental conditions (than the ones measured in this study). There is a trend to
264 build tighter dwellings, “build tight, ventilate right” (in fact some of the tested dwellings went through
265 a refurbishment which resulted in more airtight envelopes); therefore, it can be said that for these results,
266 tighter buildings incur larger errors when predicting infiltration rates using the divide-by-20 rule of
267 thumb. If a correct use of tight construction and an appropriate accompanying ventilation strategy is
268 desired, a revision on the prediction of infiltration must be considered.

269 Table 7 presents the statistical figures for the values taken by N if a ratio using q_{50} is to be used to
 270 predict the air infiltration rate. Results suggest that a value of N closer to 37 (36.53 exactly), is more
 271 representative to predict the infiltration rate. This is almost twice the figure that is originally utilised. It
 272 is important to notice that the minimum value taken by N in the sample is larger than 20 as well (20.54).

273

Table 7. q_{50}/n_1
 statistical figures.

	q_{50}/n_1 (N)
average	36.53
min	20.54
max	55.06
std dev	11.02
std error	2.41

274

275 In the USA, where it was created, the divide-by-20 rule of thumb uses the value of n_{50} (air leakage rate)
 276 instead of q_{50} . A similar analysis is made in Table 8 and Table 9 for the measured values of n_{50} . There
 277 is not a notable change compared with the air permeability since most of the houses have a volume-to
 278 envelope area ratio close to 1.

279 These results suggest that the ratios have to be used with care, the British building stock seems to not
 280 follow the same rules as the North-American stock. Crucially, the prediction of infiltration rate should,
 281 in our view, be done using a range of different ratios or a more accurate infiltration model [17]. This is
 282 in line with [19] which suggests that a divide-by-30 rule would be more accurate for the houses the
 283 study tested in the Belfast region.

Table 8. Air leakage rate (@50 Pa) – infiltration ratios

Dwelling	ACH @50 Pa n_{50} h^{-1}	$n_{50}/20$	Infiltration n_1 h^{-1}	Error	n_{50}/n_1
1	7.62	0.3810	0.1484	157%	51.35
2	5.76	0.2878	0.2093	38%	27.50
3	8.59	0.4296	0.2080	107%	41.31
4	5.31	0.2656	0.1241	114%	42.81
5	3.51	0.1756	0.0787	123%	44.63
6	7.86	0.3931	0.3171	24%	24.79
7	8.61	0.4306	0.3512	23%	24.52
8	5.77	0.2883	0.1645	75%	35.05
9	7.10	0.3548	0.1514	134%	46.86
10	10.45	0.5224	0.2344	123%	44.57
11	9.73	0.4864	0.2284	113%	42.59
12	8.33	0.4164	0.2533	64%	32.88
13	14.97	0.7486	0.4192	79%	35.71
14	5.07	0.2535	0.0849	199%	59.73
15	5.58	0.2788	0.1504	85%	37.08
16	13.27	0.6634	0.5189	28%	25.57
17	4.13	0.2064	0.0998	107%	41.35

18	11.34	0.5671	0.3594	58%	31.56
19	13.29	0.6644	0.2928	127%	45.38
20	12.24	0.6121	0.2753	122%	44.46
21	7.73	0.3865	0.3618	7%	21.37

284

Table 9. n_{50}/n_1
statistical figures.

	n_{50}/n_1
average	38.15
min	21.37
max	59.73
std dev	9.90
std error	2.16

285

286

5. 4. SAP calculated infiltration rates

287 The procedure to calculate the effective air infiltration rate in dwellings by the Standard Assessment
 288 Procedure (SAP), is to divide the air permeability value ($\text{m}^3 \cdot \text{h}^{-1} \cdot \text{m}^{-2}$) by 20 and then modify it by shelter,
 289 wind and ventilation factors. Therefore, the divide-by-20 rule is only partially followed in SAP. SAP
 290 gives monthly average windspeed depending on the location of the building. Furthermore, SAP
 291 considers the shielding depending on the sheltered facades of the dwelling (a semi-detached house will
 292 have one sheltered side).

293 The wind factors are obtained depending on the area where the dwelling is located. In this study all
 294 dwellings were located in two regions: East Pennines and Midlands. The wind measured was smaller
 295 in magnitude than the one given in SAP. Furthermore, it is important to say that SAP does not include
 296 factors to modify the infiltration rate by the temperature difference even when the theory recognizes its
 297 importance when wind speed is low [18, 40].

298 Table 10 shows the air infiltration rates calculated as per SAP after including the modifying factors;
 299 two cases are considered, first during the month when the tracer gas test was carried out and, an annual
 300 average of the air infiltration rate. SAP uses monthly wind modifying factors. The “during month”
 301 columns of Table 10 only use the wind modifying factors from the month of the tracer gas test; the
 302 “annual average” columns were calculated using the average of all year wind modifying factors.
 303 Dwelling 1 was tested during the month of April; hence, the “during month” calculation was done using
 304 the April wind speed for the region (4.4 m/s leading to a correction factor of 1.1 with a sheltering factor
 305 of 1) given by SAP in Appendix U [31]. It is important to remark that SAP calculates the infiltration
 306 rates depending on the characteristics of the dwellings such as ventilation system. Furthermore, Table
 307 10 includes the values that N would take if a direct leakage – infiltration ratio (or divide-by-N rule) is
 308 to be used. Finally, the table mentions the error (difference) of using the air infiltration rates calculated
 309 by SAP compared with measurements.

Table 10. Air infiltration rates (h^{-1}) calculated using SAP, values of N from values calculated, and their error compared to measured values

Dwelling	SAP n_1 during month	SAP n_1 annual average	N SAP month	N SAP annual	ACH, tracer gas	Error SAP month	Error SAP annual average
1	0.5938	0.5938	13.2621	13.2625	0.1484	300%	300%
2	0.5449	0.5470	11.0654	11.0228	0.2093	160%	161%
3	0.5703	0.5943	13.8432	13.2855	0.2080	174%	186%

4	0.5207	0.5293	8.4501	8.3132	0.1241	320%	326%
5	0.5159	0.5225	7.4816	7.3871	0.0787	556%	564%
6	0.5529	0.5747	13.7468	13.2235	0.3171	74%	81%
7	0.5470	0.5569	13.1898	12.9563	0.3512	56%	59%
8	0.5352	0.5472	11.2852	11.0380	0.1645	225%	233%
9	0.5448	0.5600	12.5008	12.1606	0.1514	260%	270%
10	0.5922	0.6304	16.9524	15.9528	0.2344	153%	169%
11	0.5531	0.5656	15.8464	15.4965	0.2284	142%	148%
12	0.5327	0.5404	10.9725	10.8164	0.2533	110%	113%
13	0.7289	0.7397	18.6709	18.4003	0.4192	74%	76%
14	0.7289	0.7330	6.4135	6.3780	0.0849	759%	763%
15	0.5320	0.5336	10.0179	9.9891	0.1504	254%	255%
16	0.6202	0.6260	17.9937	17.8285	0.5189	20%	21%
17	0.6850	0.6883	6.2628	6.2328	0.0998	586%	590%
18	0.6027	0.6075	16.4592	16.3289	0.3594	68%	69%
19	0.7033	0.7130	19.0880	18.8279	0.2928	140%	144%
20	0.6856	0.6944	20.2244	19.9662	0.2753	149%	152%
21	0.6173	0.5873	12.3070	12.9357	0.3618	71%	62%

310

311 It can be seen that SAP overestimates the infiltration rate of all test houses, this can be translated as a
312 step backwards in the energy efficiency due to the oversizing of heating and ventilation equipment.
313 Such overestimation by SAP is more obvious in more airtight dwellings, the error compared to the
314 measured values is greater than 500% in some cases. The authors suggest urgent revisions are made to
315 the correction factors and the divide-by-20 rule as currently used. Whilst it may be seen as more
316 appropriate to err of the side of caution and act conservatively when estimating infiltration losses, the
317 construction sector is continually advancing toward ever better levels of fabric performance and air
318 tightness. The infiltration estimate plays a vital role in this, impacting both the fabric heat loss rate
319 calculation as well as serving to guide and dictate ventilation strategies. If, as these findings indicate,
320 buildings are already far more air tight than the SAP infiltration and ventilation rate models suggest,
321 there is a very real risk of a mismatch between fabric performance and ventilation with many associated
322 risks in terms of indoor air quality, health and wellbeing.

323

324 6. Error analysis

325 The derivation of leakage-infiltration ratio is based on the measurements of the air leakage results at 50
326 Pa using the blower door unit and the infiltration rate using the tracer gas decay method. Although the
327 leakage-infiltration ratio used in the UK context is based on the air permeability at 50 Pa (q_{50}), the ratio
328 of the air change rate at 50 Pa (n_{50}) to the infiltration rate is also appraised in order to provide the
329 international context.

330 The leakage-infiltration ratios based on the q_{50} and n_{50} are given by eq.(6) and eq.(7), respectively.

$$N_{UK} = q_{50}/n_1 \quad (6)$$

331

$$N_{IT} = n_{50}/n_1 \quad (7)$$

332

333 Where the subscripts UK and IT refer to the United Kingdom and international context. Therefore, the
 334 errors in deriving N_{uk} and N_{IT} are based on the measurement errors of the combination of q_{50} and n_1 ,
 335 and the combination of n_{50} and n_1 , respectively. Both q_{50} and n_{50} are calculated by normalising the
 336 air leakage rate at 50 Pa, Q_{50} respectively with the envelope area and volume of the building.

337 According to the BS EN ISO 9972 [38], the error in obtaining the building parameters is between 3%
 338 and 10% and doesn't specify the difference between the envelope area and volume. It is assumed that
 339 the measurement errors of both building parameters are the same and therefore the error analysis herein
 340 will be only performed to the derivation of N_{uk} . The associated error sources of N_{uk} are summarised
 341 and listed in Table 11.

342 Table 11 Sources of error in obtaining N_{uk}

Source	Error denotation	Error value
Air leakage rate at 50 Pa, Q_{50} (m ³ /h)	δQ_{50}	1.24%-3.77%
Envelope area of the building, A (m ²)	δA	3%-10%
Air infiltration rate, n_1 (h ⁻¹)	δn_1	0.17%-12.53%

343
 344 Based on eq.(6), the calculation of N_{uk} can be described by eq.(8) using the error sources listed in Table
 345 11,

$$N_{uk} = Q_{50}/(A \times n_1) \quad (8)$$

347
 348 Therefore, the error in obtaining the leakage-infiltration ratio based on the air permeability at 50 Pa
 349 (q_{50}) can be quantified by eq.(9):

$$\delta N_{uk} = \sqrt{\delta Q_{50}^2 + \delta A^2 + \delta n_1^2} \quad (9)$$

351 Where, δQ_{50} is determined by the instrumentation error of the blower door unit used in the test, the
 352 precision error caused by environmental conditions and manual readings and the model specification
 353 error that is used to quantify Q_{50} [28].

354 The instrumentation error or bias error is given by the manufacturers of the DG-1000 gauge (pressure)
 355 used with the blower door. The precision error is calculated by the procedure described in Annex of the
 356 ISO 9972 standard [38] which is based on the error by each of the pressure and flow readings in each
 357 pressurisation test. Finally, the model error was calculated through the propagation of the error in the
 358 procedure given in section 6.2 from the ISO 9972 standard; this approach is based on the uncertainty
 359 given by the measuring device, and, how it propagates through the algorithm.

360 Figure 6 shows the leakage-infiltration ratio of all the test dwellings with the error bands. The boxes in
 361 Figure 6 represent the lowest uncertainty range, when $\delta A=3\%$; and the lines represent the highest
 362 uncertainty when $\delta A=10\%$. For example, in dwelling one the calculated N is 53.07, the range of values
 363 that N can take when $\delta A=3\%$ is between 51.3 and 54.8; on the other hand when $\delta A=10\%$ N can be
 364 between 47.7 and 58.4.

365

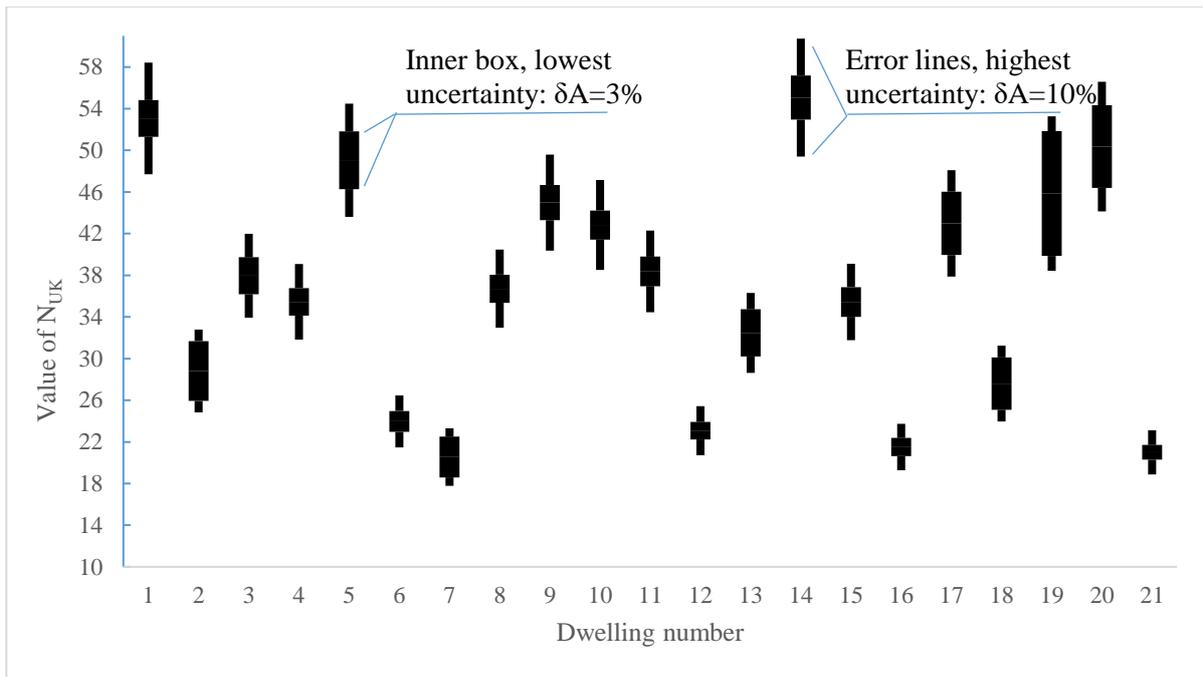


Figure 6 N_{uk} of all testing dwellings with their uncertainties, boxes representing the best case scenario for $\delta A = 3\%$ and, lines representing the worst case scenario $\delta A = 10\%$.

366 It is important to remark that each uncertainty is for each dwelling and depends on the uncertainty of
 367 each measurement. On average, the calculation of the uncertainty in q_{50} was 1.81% and as mentioned
 368 in Table 11, the range of the uncertainty in this parameter is from 1.24% to 3.77% which is small,
 369 especially when compared to the one given in the calculation of the uncertainty in n_1 ; overall 14
 370 dwellings had their Q_{50} uncertainty under 2%. Dwelling 19 has a large uncertainty mainly due to a large
 371 uncertainty in the calculation of air infiltration (n_1); on the other hand, dwelling 10 presents a small
 372 uncertainty due to a low uncertainty in n_1 . Finally, the uncertainty in the measurement of the envelope
 373 area is fixed, in this case set as 3 to 10%. This particularly remarks the importance of having a good
 374 measurement of the envelope area (or volume if n_{50} is used) of the dwelling; an inaccurate value of
 375 envelope area leads to the calculation of an inaccurate N.

376 The previous analysis implies that despite the uncertainties in each factor (Q_{50} , n_1 and A) most of the
 377 values in the range of N are higher than 20 used in the divide-by-20 rule. Only 3 dwellings include 20
 378 in the range within the uncertainty. In conclusion, a higher value for N represents better the sample
 379 reported in this study.

380 According to the ISO 9972, the measurement uncertainty of blower door test is $\pm 10\%$ under calm
 381 conditions and $\pm 20\%$ under windy conditions. Considering the calculation of N_{uk} is arrived from the
 382 aforementioned multiple measurements, the probability of N_{uk} of each dwelling lying within $\pm 20\%$ of
 383 the average $\overline{N_{uk}}$ is evaluated. Such assumption might be crude and have the tendency of being
 384 conservative considering N_{uk} is affected by a range of factors, but it gives us a benchmark estimate so
 385 a better understanding can be obtained.

386 The value of N of twelve dwellings would fall in a range of $\overline{N_{uk}} \pm 20\%$ ($37 \pm 20\%$) when considering
 387 the ranges of uncertainty calculated for each N, which represents 57% of the test dwellings. However,
 388 the overall probability in this sample of an infiltration being correctly predicted using $\overline{N_{uk}} \pm 20\%$ is only
 389 41% (When using the worst case scenario of $\delta A = 10\%$). When using the original divide-by-20 $\pm 20\%$
 390 rule the probability of correctly predicting infiltration is 20%. If $\delta A = 3\%$ is considered, the probability
 391 of predicting correctly are 42% for the new $\overline{N_{uk}} \pm 20\%$ and 22% for the original divide-by-20 rule $\pm 20\%$,
 392 respectively. In both cases the ratio proposed in this study is more accurate than the divide-by-20 rule;
 393 nevertheless, in both cases the accuracy is low.

394 These results indicate that it is possible that a divide-by-20 rule is accurate for some very specific cases,
 395 as Johnston [20] has previously mentioned. However, other studies have showed that a higher N value
 396 is more representative in the UK context, such as the one proposed by Keig (divide-by-30), and the
 397 average N value reported herein (37). This study followed a similar approach as the one taken by Keig
 398 [19]; however, the starting concentration in the tracer gas tests by Keig was lower (between 1700 and
 399 3300 ppm) than the ones used in this study (above 4000 ppm). The Johnston [20] study considered
 400 multiple tests in each of the 4 dwellings tested, however, in a graph presented the initial concentration
 401 of CO₂ was under 700 ppm, this only allowed a decay of less than 300 ppm, this small decay leads to
 402 high uncertainty in the predictions; perhaps such testing arrangement resulted in higher infiltration
 403 measurement even when the air permeability (q_{50}) was in all cases under 8.48 m³h⁻¹m⁻².

404 Table 12 Values for N found in literature

Source	Value of N	Sample location
Meier, 1986 [41], Sherman, 1987 [30]	20	US, Sweden
Johnston and Stafford, 2016 [20]	20	UK
Keig et al. 2016 [19]	30	UK
This study	37	UK

405
 406 The sample size reported in this study is larger than the ones presented by the previous studies, and
 407 whilst different results have been obtained concerns over the applicability of the divide-by-20 rule arise
 408 once more. These results suggest that the current divide-by-20 rule is not representative of the leakage-
 409 infiltration ratio identified in this study. The results show that the value of N spreads in a wide range
 410 that is highly dwelling and context dependent. If a leakage-infiltration ratio is to be used as a quick
 411 measure for predicting the infiltration rate from an airtightness measurement, 37 will offer a better
 412 representativeness for the UK dwellings than any ratio available according to this study. Nevertheless,
 413 the sample size of the tested dwellings in this study is not large enough for us to make any solid
 414 conclusion on which ratio should be used and further experimental investigations are required to fill the
 415 gap.

416 7. Conclusion

417 Airtightness is the most influencing factor to calculate the air infiltration in a house, namely air
 418 infiltration. Twenty one houses in the east midlands region of the UK were tested by means of blower
 419 door and tracer gas methods according to standards to provide an experimental insight into the leakage-
 420 infiltration ratio in the UK context.

421 The rule of thumb was evaluated and results suggest that, if a ratio is used, a number closer to reality is
 422 37. This is true when using both, q_{50} and n_{50} , since most of the house had a volume to envelope area
 423 ratio close to 1. The error of using the rule of thumb ranged from 3% to 175%. After an error analysis
 424 it was seen that based on the dwellings from this sample there is a 41% probability that the value for N
 425 $37 \pm 20\%$ represents the infiltration rate of a dwelling, which is twice as high as the current divide-by-
 426 20 rule suggesting the divide-by-20 rule is not representative of the leakage-infiltration ratio given by
 427 the dwelling sample reported in this study.

428 After adding the modifying factors for sheltering and local wind, SAP overestimated the air infiltration
 429 rate creating errors larger than 500% in airtight houses. As homes are built with ever lower air
 430 permeability values, the error in the air infiltration rate calculations will be larger. If the main UK
 431 Government policy instrument used for driving energy efficiency in buildings, SAP, doesn't rectify this
 432 issue, there is a really risk of and ever growing mismatch in how fabric performance, air tightness and
 433 ventilation is presented and dealt with in the industry. The potential consequences of this are significant,
 434 with the infiltration rate contributing to the overall whole fabric heat loss rate for a dwelling whilst also
 435 serving to guide ventilation system strategies which in turn have bearing on indoor air quality, health
 436 and wellbeing.

437 A modification of the divide-by-20 rule of thumb in UK legislation is advised alongside revisions to
438 the modification factors currently adopted. A more accurate approach in our view would be to predict
439 infiltration rates through the use of infiltration models [17].

440 **Acknowledgements**

441 The experimental data used in this study was obtained in collaboration with Build Test Solutions Ltd in
442 a project supported by Department for Business, Energy and Industrial Strategy Energy Entrepreneurs
443 Fund Scheme, Phase 5 (EEF5029). Part of this work was funded by the Mexican Council of Science and
444 Technology (CONACYT), and EPSRC Impact Acceleration Grant and their full support is
445 acknowledged by the authors.

446

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448

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