

# Highly tunable magnetocrystalline anisotropy energy in Fe<sup>3+</sup>-doped BaTiO<sub>3</sub>

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Magnetic dopants in ferroelectric oxide host materials provide a platform for electric field control of isolated spins, facilitated by tuning of the magnetocrystalline anisotropy energy (MCAE). We present first-principles calculations of the MCAE experienced by isolated Fe<sup>3+</sup> dopants in the tetragonal, orthorhombic, and rhombohedral phases of the prototypical ferroelectric BaTiO<sub>3</sub>. We identify an order-of-magnitude decrease in the MCAE in the rhombohedral phase, relative to the tetragonal and orthorhombic phases. We explain this dramatic decrease, as well as the formation of a spin-easy plane in the tetragonal phase and spin-easy axes in the orthorhombic and rhombohedral phases, using crystal field theory arguments. Building a superposition model from crystal field theory, we show how a set of simple criteria based on crystalline environment can be used to estimate the MCAE. We suggest this as a route to rapidly screen candidate ferroelectric hosts and magnetic dopants that possess phases with spin easy axes and maximal MCAE tunability.

## I. INTRODUCTION

Controlling the spin degree of freedom with electric fields in materials is appealing for its potential in spintronics[1, 2] and quantum information processing [2–4]. The electric-field control over spin offers several advantages to magnetic-field control: they typically require less energy for switching, and they enable control over smaller length- and time-scales [5, 6]. This has driven substantial research into the fundamental physics of magnetoelectric coupling mechanisms as well as materials that exhibit and enhance this property. Examples of electric control of spin properties include the electric field modulation of spin-spin interactions [7] and spin transitions[8], and the field of magnetoelectric multiferroics [9–11]. Recent studies have pushed towards the atomic-scale limit, considering electric field control of molecular and even single-atom magnets [12–15] with the ultimate goal of electric field-based single spin processing for both classical and quantum computing.

Complementing molecular magnets where design of ligand fields provide exceptional tunability over spin functionality [16], oxides with dilute magnetic dopants provide offer highly tunable crystal-field environments. In particular, if these are ferroelectric oxides, electric-field-induced structural changes can result in the modification of the magnetocrystalline anisotropy energy (MCAE), enabled by magnetoelectric coupling. A combination of the magnetic ion’s spin-orbit coupling strength together with its local crystalline environment provided by the ferroelectric host determines its MCAE. This gives the magnetic dopant spin an energetic preference for certain orientations with respect to the lattice, which in principle can be modified by local crystal field changes induced by electric field-based polarization switching in the ferroelectric host. Recent investigations of Fe<sup>3+</sup> dopants in ferroelectric PbTiO<sub>3</sub> and Bi<sub>2</sub>WO<sub>6</sub> hosts demonstrated the feasibility of this approach by showing that 90° flips in the ferroelectric polarization direction can control the orientation of spin-easy planes and axes, respectively [17, 18].

Due to their rich chemical and structural diversity, ferroelectric oxide hosts hold great potential for designing MCAEs to enable electric field control of spin directionality. Tunable MCAEs are particularly desirable, whereby large MCAE values can enable information storage with stable spin directionality, followed by lowering of the MCAE to manipulate to spin direction with minimal energetic cost. However, there is still limited understanding of how modulations to the local crystalline symmetry environment of a single magnetic ion translates into its MCAE.

Here, we address this problem using first-principles calculations on the model system of Fe<sup>3+</sup>-doped ferroelectric BaTiO<sub>3</sub>. BaTiO<sub>3</sub> is an ideal ferroelectric host for this study because it has three distinct ferroelectric phases, thus any changes to the MCAE of a magnetic dopant as the material traverses these phases can be tied directly to the changes in crystalline environment. At room temperature, bulk BaTiO<sub>3</sub> crystallizes in a tetragonal (*T*) phase with *P4mm*

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symmetry (space group #99), and as temperature lowers it transitions to an orthorhombic ( $O$ )  $Amm2$  phase (s.g. #38) at 278 K, followed by a rhombohedral ( $R$ )  $R3m$  phase (s.g. #160) at 183 K [19, 20]. The polarization arises from an off-centering of the  $Ti^{4+}$  cation with respect to the oxygen sublattice due to the second-order Jahn-Teller effect [21]. As shown in Figure 1(a-c), the polarization in the tetragonal, orthorhombic, and rhombohedral phases lies along  $\langle 001 \rangle$ ,  $\langle 110 \rangle$ , and  $\langle 111 \rangle$ , respectively. In thin films, these three ferroelectric phases are also accessible via epitaxial strain [22].

Previous Electron Paramagnetic Resonance (EPR) studies of  $Fe^{3+}$  dopants in  $BaTiO_3$  showed a spin-easy plane in the  $T$  phase oriented perpendicular to its ferroelectric polarization, a spin-easy axis in the  $O$  phase along  $\langle 001 \rangle$  perpendicular to its ferroelectric polarization along  $\langle 110 \rangle$ , and a spin-easy axis in the  $R$  phase parallel to its ferroelectric polarization along  $\langle 111 \rangle$  [23–25]. The magnitude of the MCAE decreases as  $BaTiO_3$  traverses between these phases, with the MCAE in the  $R$  phase being an order of magnitude smaller than it is in the other two phases. This drastic reduction of the MCAE in the  $R$  phase is surprising because all three phases have roughly the same polarization and Ti displacement. Subsequent theoretical work explained this phenomenon using the semi-empirical Superposition Model method [26, 27], assuming a significant reduction in the ferroelectric displacement of the  $Fe^{3+}$  ion, compared to that of  $Ti^{4+}$  [28]. Such a reduction of the ferroelectric distortion at the magnetic dopant site is plausible, because the partially filled  $d$  orbitals of the dopant (in the case of  $Fe^{3+}$ , half-filled) reduce the magnitude of the second-order Jahn-Teller effect [21]. This contrasts with previous work on  $Gd^{3+}$ -doped  $BaTiO_3$ , which showed little variation in its MCAE between the  $T$ ,  $O$ , and  $R$  phases [28, 29], which can be understood because the unfilled  $d$  shells in lanthanides allow for the retention of the ferroelectric distortion. These results suggest that transition metal dopants, despite exhibiting lower spin-orbit coupling strengths compared to lanthanides, provide a promising pathway to highly controllable MCAEs.

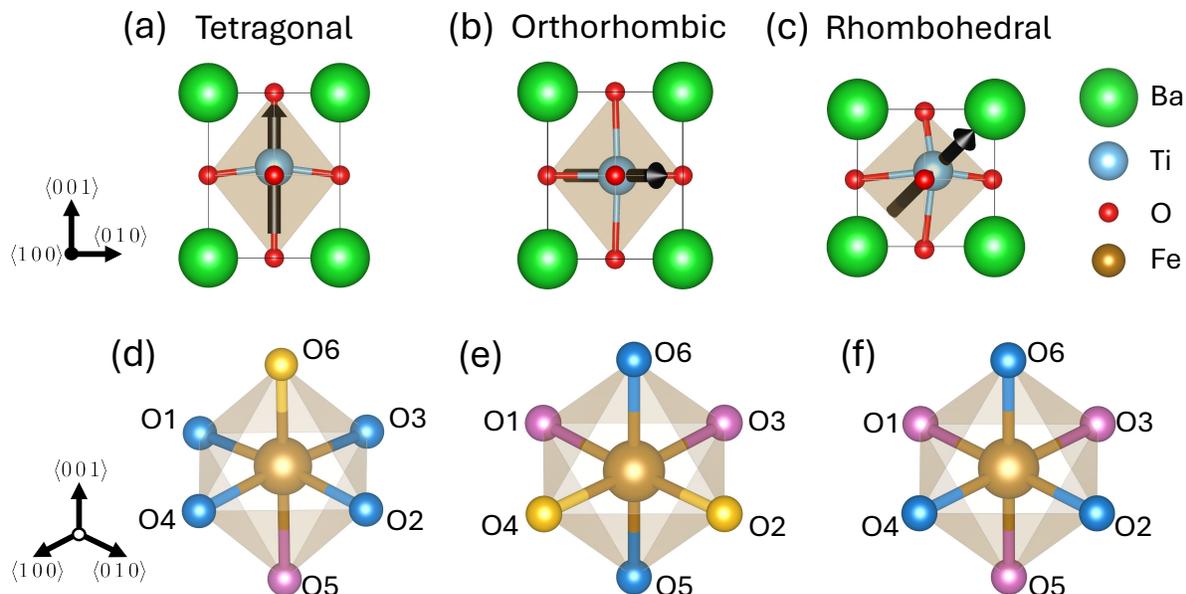


FIG. 1. (a-c) Primitive unit cells and polarization vector for (a) tetragonal  $P4mm$ , (b) orthorhombic  $Amm2$ , (c) rhombohedral  $R3m$   $BaTiO_3$ . (d-f) Local octahedral environment about the  $Fe^{3+}$  dopant, with symmetry-equivalent oxygens denoted by oxygen coloration: (d) tetragonal  $P4mm$ , (e) orthorhombic  $Amm2$ , (f) rhombohedral  $R3m$ . The shortest bond lengths are coded with yellow O atoms, intermediate with blue, and longest with purple.

## II. COMPUTATIONAL METHODS

We use Density Functional Theory (DFT) to calculate the MCAE surfaces  $Fe^{3+}$  in  $BaTiO_3$ , using supercells to model a dilute concentration. We employ the PBEsol [30] exchange-correlation functional in a plane-wave basis with the Vienna Ab initio Simulation Package [31–35]. We substitute  $Fe^{3+}$  for  $Ti^{4+}$ , adding one electron for charge compensation, and include a Hubbard  $U$  correction of 4.0 eV on the  $3d$  orbitals of Fe within the Dudarev scheme [36].

This  $U$  choice is within the range of appropriate  $U$  values for Fe obtained by linear response calculations on a database of transition metal oxides [37]. It also is consistent with prior work on  $\text{Fe}^{3+}$  dopants in similar systems [17].  $\text{Fe}^{3+}$  substitutions for  $\text{Ti}^{4+}$  in  $\text{ABO}_3$  perovskites [23, 38] can also be accompanied by an oxygen vacancy to give a defect complex with an overall neutral charge. DFT+ $U$  calculations in Ref. [17] indicate the  $\text{Fe}^{3+}$ - $V_{\text{O}}$  complex has qualitatively similar MCAE surfaces to the individual substitutional defect studied here.

We employ a 600 eV plane-wave basis cutoff, which converges total energies for the  $T$  phase to within 10 meV/f.u. compared to an 800 eV cutoff. Structural relaxations of undoped  $\text{BaTiO}_3$  are performed in a 5-atom primitive cell with a  $\Gamma$ -centered  $6\times 6\times 6$   $k$ -point grid, which gives relaxed lattice parameters within 0.001 Å of those computed with an  $8\times 8\times 8$   $k$ -point grid. Agreement with experiment [39, 40] for the lattice parameters is within 1%. Doping calculations are performed by replacing one Ti atom with a Fe atom in a  $3\times 3\times 3$  supercell of  $\text{BaTiO}_3$  (135 atoms). Calculations in the supercells employ a  $\Gamma$  point-centered  $2\times 2\times 2$   $k$ -grid. Induced magnetic moments are not observed on any of the non-Fe ions in the supercell.

We employ VASP pseudopotentials with Fe  $3p\ 3d\ 4s$  (Fe\_pv), Ba  $5p\ 6s$  (Ba\_sv), Ti  $3p\ 3d\ 4s$  (Ti\_pv), O  $2s\ 2p$  (O), K  $3s\ 3p\ 4s$  (K\_sv), Nb  $4s\ 4p\ 5s\ 4d$  (Nb\_sv), and Pb  $6s\ 5d\ 5p$  (Pb\_d) states treated as valence. Total energies are converged to  $10^{-6}$  eV within the self-consistent field (SCF) loop, and forces are converged to 1 meV/Å and 10 meV/Å during structural relaxation for the undoped primitive cells and doped supercells, respectively. The volume of the supercell is held fixed during structural relaxation. SCF total energies for the non-collinear calculations are converged to  $10^{-7}$  eV.

The DOS calculations are performed without spin-orbit coupling, using a  $k$ -grid sampling of  $3\times 3\times 3$ . The supercell axes for these calculations are chosen such that the  $c$  axis coincides with the  $z$  axis, and the  $a$  and  $b$  axes are oriented as closely as possible to the  $x$  and  $y$  axes, respectively.

The MCAE is computed from the total energies from non-collinear SCF calculations that incorporate spin-orbit coupling, with a  $\Gamma$  point-centered  $k$ -grid sampling of  $2\times 2\times 2$ . The spin axes are oriented on 194 points on the unit sphere from 23rd order Lebedev quadrature [41, 42], by PyMatGen using available symmetries as found from the spglib library [43, 44].

The FINDSYM software [45] from the ISOTROPY Software Suite is used for group theoretic analysis and VESTA [46] is used for crystal structure visualization.

### III. RESULTS

#### A. Magnetocrystalline anisotropy energy

Figure 2 presents our DFT-computed MCAE surfaces for the  $T$ ,  $O$ , and  $R$  phases of  $\text{BaTiO}_3$ . The MCAE of each phase is given by the energy difference between maximum and minimum values on the MCAE surface. For the  $T$  phase, the MCAE is 82  $\mu\text{eV}$  with a spin-easy plane, consistent with previous calculations of  $\text{Fe}^{3+}$ -doped  $\text{PbTiO}_3$  [17]. In the  $O$  phase, the MCAE reduces to 33  $\mu\text{eV}$  with a spin-easy axis along  $[001]$ , and in the  $R$  phase the MCAE is further reduced to 4  $\mu\text{eV}$  with a spin-easy axis along  $[111]$ . We fit the MCAE surfaces in Fig. 2 to functions suitable to each crystal symmetry in order to obtain the magnetic anisotropy constants  $K$  for each phase (given in Appendix A).

To compare our computed MCAEs to experimental values, we recast them in a form accessible from the zero-field spin Hamiltonian [27], used to extract magnetic anisotropies from experimental EPR spectra:

$$H_S = \sum_{k,q} b_k^q f_k \hat{O}_k^q(\mathbf{S}), \quad (1)$$

where  $k$  and  $q$  are the major and minor ranks, respectively, for the tensorial Stevens operators  $\hat{O}_k^q(\mathbf{S})$  with coefficients  $b_k^q$  (see Ref. [27] for a compilation of the Stevens operators through rank  $k = 6$ ).  $f_k$  is a numerical factor, with  $f_2 = 1/3$ . Magnetic anisotropy energies, to leading order, come from the  $k = 2$ ,  $q = 0$  term in Eq. 1 [27]:

$$H_S^{aniso} \approx \frac{1}{2} b_2^0 (S_z^2 - \frac{1}{3} S(S+1)). \quad (2)$$

Obtaining the MCAE within this framework requires calculating the energy difference between evaluating Eq. 2 with the spin oriented along the  $\langle 001 \rangle$  axis ( $\perp$ , by convention) and along the  $\langle 100 \rangle$  axis ( $\parallel$ ). For a  $S = 5/2$  ion such as

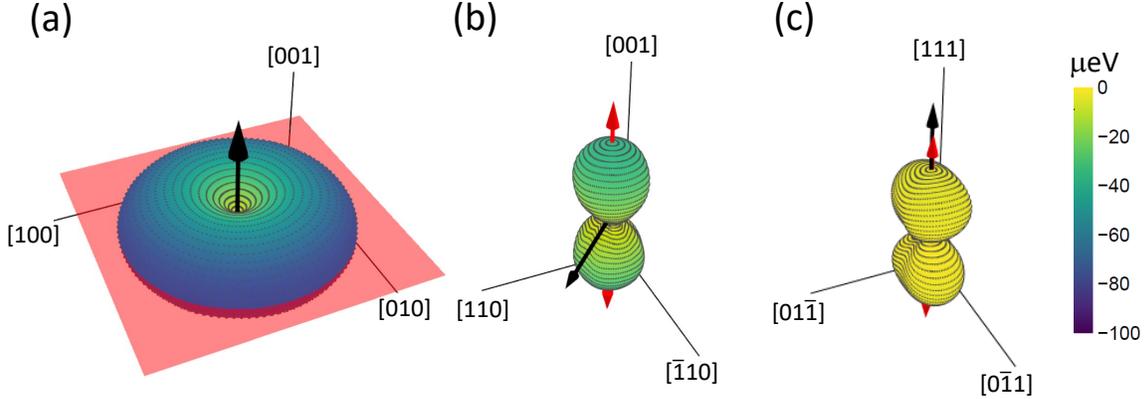


FIG. 2. MCAE surfaces for the (a) tetragonal, (b) orthorhombic, and (c) rhombohedral phases of BaTiO<sub>3</sub>. The spin-easy plane in (a) is denoted by a red plane, and the spin-easy axes in (b,c) are denoted by red arrows. Polarization vectors are denoted by black arrows. The coordinate axes refer to lattice vectors particular to each phase of BaTiO<sub>3</sub>

Fe<sup>3+</sup>, this requires evaluating the matrix elements (with the assistance of tables from Ref. [47]):

$$\begin{aligned}
 E_{\perp} - E_{\parallel} & \\
 &= \langle S = 5/2, \perp | b_2^0 f_2 \hat{O}_2^0(\mathbf{S}) | S = 5/2, \perp \rangle \\
 &\quad - \langle S = 5/2, \parallel | b_2^0 f_2 \hat{O}_2^0(\mathbf{S}) | S = 5/2, \parallel \rangle \\
 &= 5 b_2^0.
 \end{aligned} \tag{3}$$

The key result here is that to lowest order in the spin Hamiltonian, the MCAE is 5 times the anisotropy constant  $b_2^0$  ( $b_2^0$  is often reported as  $D$  in literature). Full details of the derivation are provided in the SI [48] (see also references [49–54]).

Table I compares the spin Hamiltonian parameter  $b_2^0$  obtained from our DFT+ $U$  calculations for each of the three phases of BaTiO<sub>3</sub> with experimental values taken from Ref. [55]. We observe good agreement between the computed and experimental values, with DFT providing a slight overestimate for the  $T$  and  $R$  phases. In both DFT and experiment,  $b_2^0$  is positive in the  $T$  phase and negative in the  $O$  and  $R$  phases, indicating a spin-easy plane and spin-easy axis, respectively. This level of agreement between theory and experiment is particularly impressive given the small energy scale of the MCAE.

TABLE I. Spin Hamiltonian parameter  $b_2^0$  calculated with DFT+ $U$  in the present work and reported experimental values for the tetragonal [23–25, 56–61], orthorhombic [24], and rhombohedral [25, 62] phases.

$b_2^0$ ( $10^{-4}$ cm <sup>-1</sup> )	Tetragonal	Orthorhombic	Rhombohedral
DFT+ $U$	1315	-608	-36
Experiment	890 – 991	-530 – -640	-19 , -23

TABLE II. Comparison of the  $B$ -site transition metal displacement  $\Delta$  away from the central position in the octahedron for the three phases of BaTiO<sub>3</sub>.  $\Delta^{\text{Ti}}$  is computed for an undoped primitive unit cell, and  $\Delta^{\text{Fe}}$  is computed in the Fe-doped supercell. Values of  $\Delta$  for all Ti<sup>4+</sup> ions in the supercells are presented in the SI [48].

phase	$\Delta^{\text{Ti}}$ (Å)	$\Delta^{\text{Fe}}$ (Å)	$\Delta^{\text{Fe}} - \Delta^{\text{Ti}}$ (Å)
Tetragonal	0.400	0.368	-0.032
Orthorhombic	0.098	0.034	-0.064
Rhombohedral	0.084	0.003	-0.081

## B. Origin of MCAE reduction

We next seek to understand the origin of the large reduction in MCAE upon going between the three phases of BaTiO<sub>3</sub>. Figure 1(d-f) indicates the symmetry of the local crystalline environment about the Fe<sup>3+</sup> dopant in each of these three phases, with symmetry-equivalent oxygen atoms denoted by the same color. The atoms that lie in planes perpendicular to the polar displacement form one symmetry-equivalent set (e.g. the equatorial oxygens O1-O4 in the *T* phase and the apical oxygens O5 and O6 in the *O* phase in Fig. 1). The oxygens which the Ti displaces towards and away from are symmetry-distinct. The *T* and *O* phases have three symmetry-distinct oxygen positions, whereas the *R* phase has just two. The symmetries of the pristine crystal are preserved for the defected supercells, so the point groups at the Fe<sup>3+</sup> defect site are  $C_{4v}$ ,  $C_{2v}$ , and  $C_{3v}$  for the *T*, *O*, and *R* phases, respectively.

One approach to arrive at the MCAE values for these three phases of different symmetry is to use conventional perturbation theory to evaluate corrections to the total energy of the (spin-collinear) supercells upon inclusion of spin-orbit coupling matrix[52, 63]. Such an approach involves the evaluation of matrix elements between occupied and unoccupied Fe *d* states, so that the projected density of states (pDOS) can be used to quickly estimate the MCAE. Figure 3 shows the DOS and pDOS for the *T*, *O*, and *R* phases of BaTiO<sub>3</sub>, with the  $e_g$  and  $t_{2g}$  states highlighted in green and blue, respectively. We find that the  $e_g$  and  $t_{2g}$  states are qualitatively very similar in the three phases, indicating that the the cubic electrostatic field of the oxygen ligands about the Fe<sup>3+</sup> defect is similar in all the phases, despite the changes to the local crystalline environment discussed above (this also is consistent with experiment[55]). We thus seek an alternative approach for understanding the order of magnitude reduction of the MCAE value, as well as the influence of the symmetry of the crystalline environment in determining a spin-easy axis or plane. Although approaches based on treating the axial crystalline electrostatic potential as a perturbation to the cubic field provide physical insight[64], we instead prefer a direct approach, evaluating the axial coefficients of the spin Hamiltonian using methods developed in Crystal Field Theory, including the Superposition Model[26].

As noted in Sec. I, Ref. [28] successfully explained experimental trends in the sign and magnitude of  $b_2^0$  (and hence the MCAE) in Fe<sup>3+</sup>-doped BaTiO<sub>3</sub> using a Superposition Model analysis with a “centered” Fe<sup>3+</sup> dopant with no polar displacement (and no oxygen displacements). Motivated by this result, we computed the displacement  $\Delta$  of the Ti and Fe ions away from the octahedral center position using our DFT+*U*-optimized supercells for the three phases of BaTiO<sub>3</sub>. Table II shows that our computed  $\Delta$  for Fe<sup>3+</sup> is less than that for Ti<sup>4+</sup> in all three phases. Compared to the Ti<sup>4+</sup> displacements, the Fe<sup>3+</sup> ion loses 0.03 Å, 0.06 Å, and 0.08 Å of displacement, which corresponds to a 8%, 65% and 96% reduction, in the *T*, *O*, and *R* phases, respectively. Strikingly, the Fe<sup>3+</sup> ion in the *R* phase returns very nearly to the center of the octahedron. The larger center-seeking displacements in the *O* and *R* phases are consistent with the diminished MCAE in these phases, compared to the *T* phase [28]. To our knowledge, experimental values for these local Fe displacements are not available, so our first-principles results provide confirmation of Ref. [28]’s proposed explanation linking the significant differences in  $b_2^0$  values in the three phases of Fe<sup>3+</sup>-doped BaTiO<sub>3</sub> to the centering of the magnetic ion.

Our computational confirmation of the Fe<sup>3+</sup> dopant-centering behavior suggests that we may be able to analytically determine trends in MCAE values for different combinations of ferroelectric hosts and magnetic dopants using a Superposition Model analysis analogous to that performed in Ref. [28]. Compared to computationally expensive DFT+*U* MCAE surface calculations, the Superposition Model approach only requires experimental (or DFT-calculated) lattice parameters and ionic coordinates as input. The Superposition Model [26, 27] is a phenomenological approach which yields physical insight, although perturbative approaches for calculating  $b_2^0$  for <sup>6</sup>*S* magnetic ion dopants also have been determined [64–66]. This model assumes that the contribution to  $b_2^0$  from each ligand may be considered independently with each initially oriented along the quantization axis, and then finally the metal ion–ligand bonds are rotated to constitute the actual polyhedron. The Superposition Model was initially developed for crystal electric fields, where vector rotations are appropriate. With  $L = 0$  for a <sup>6</sup>*S* magnetic ion such as Fe<sup>3+</sup>, the tensor product of the spin operator with the ground state transforms as a vector, and the Superposition Model also applies for the spin Hamiltonian [27]. The Superposition Model’s assumption that the crystal field at a magnetic ion is a superposition of the contributions from each ligand is strictly true if the interactions are between electrostatic point charges. In the presence of quantum mechanical effects such as overlap between the magnetic ion orbitals and those of its neighboring ligands, this assumption remains valid in the limit of small inter-ligand charge penetration. This is a reasonable assumption because the stronger electrostatic repulsion between the ligands prevents an appreciable contribution from the inter-ligand charge penetration. An additional assumption of the Superposition Model is that only nearest-neighbor ligands make a contribution, since further neighbors have minimal orbital overlap with the magnetic ion [27].

Figure 4 summarizes the key features of the Superposition Model relevant for our analysis. To obtain  $b_2^0$  values from crystal structure parameters, the Superposition Model requires a scaling of the single-ligand parameter with respect to distance. A common choice is to assume a simple power-law scaling with respect to the transition metal ion–ligand bond length  $R_i$ :

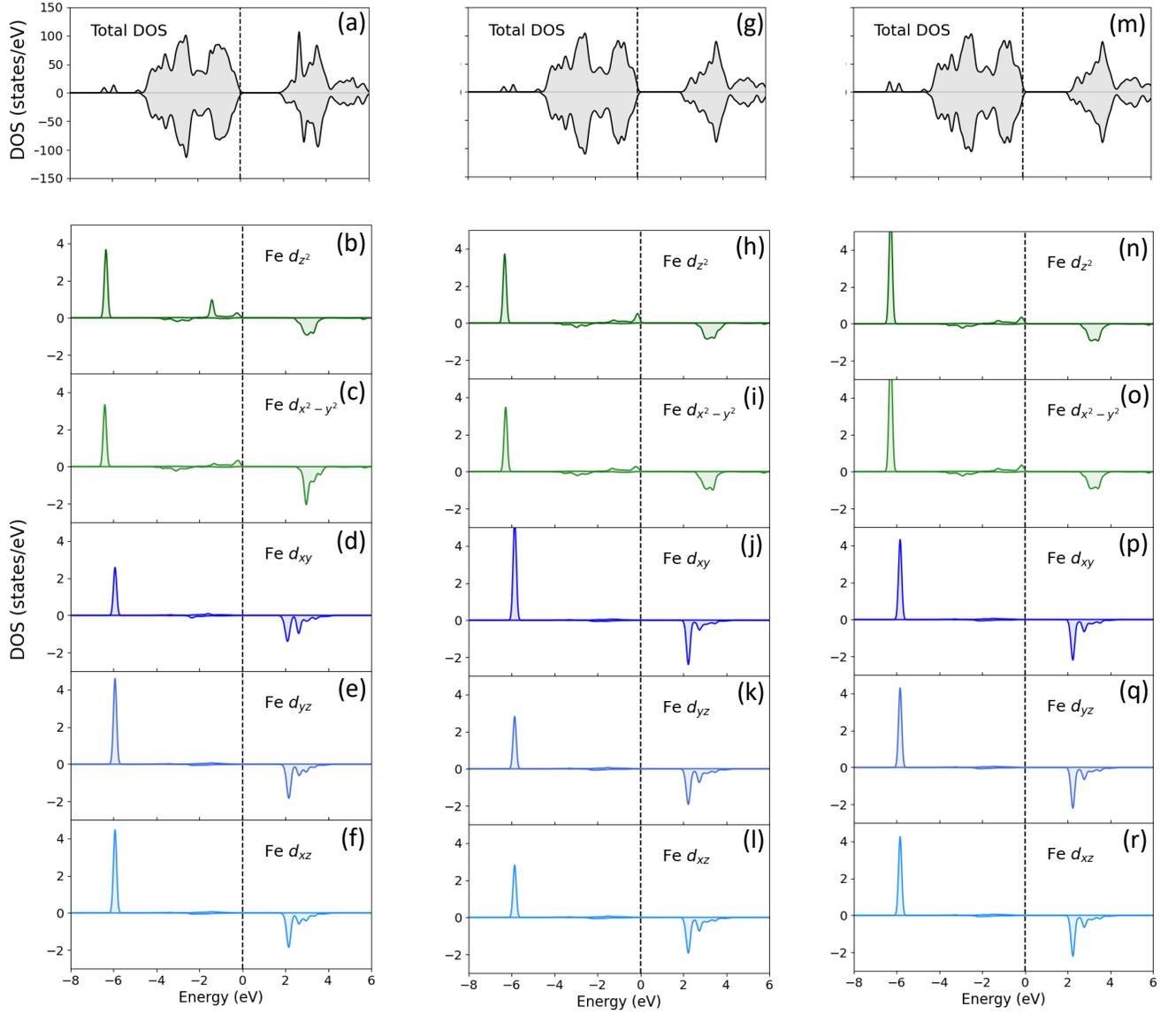


FIG. 3. Total and projected density of states. Tetragonal  $P4mm$ : (a-f). Orthorhombic  $Amm2$ : (g-l). Rhombohedral  $R3m$ : (m-r). Total density of states: a, g, and m. Fe atomic orbital resolved projected density of states with  $e_g$  states in green and  $t_{2g}$  states in blue.

$$b_2^0 = \sum_{i \in \text{Ligands}} \bar{b}_2(R_0) \left( \frac{R_0}{R_i} \right)^{t_2} \frac{1}{2} (3 \cos^2 \theta_i - 1). \quad (4)$$

Here  $\bar{b}_2$  is an intrinsic parameter that depends primarily on the species, charge, and spin-orbit coupling strength of the transition metal ion and its ligand,  $R_0$  is a typical ion–ligand distance at which  $\bar{b}_2$  is evaluated (Fig. 4(a)),  $t_2$  is the power law constant, and the last term is the  $k = 2$ ,  $q = 0$  form factor that accounts for the angle  $\theta_i$  between the transition metal–ligand bond  $R_i$  and the  $z$  axis (Fig. 4(b)) [67].

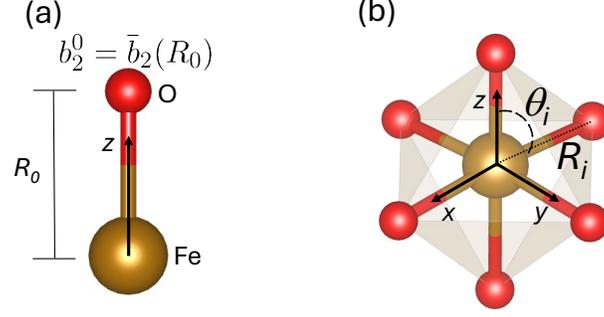


FIG. 4. Key components of the Superposition Model. (a) The magnetic ion–ligand bond, with length  $R_0$ , is taken to be along the  $z$  axis. The coefficient  $b_2^0$  for the spin Hamiltonian from this isolated ion–ligand bond defines the intrinsic parameter  $\bar{b}_2(R_0)$ . (b) Now considering multiple ligands, each ion–ligand bond gives a contribution to  $b_2^0$  determined by the particular bond length  $R_i$  (dashed line) and polar angle  $\theta_i$  (dashed-dotted line). The  $z$ -axis must be chosen to coincide with the axis of maximal symmetry [67].

For an  $\text{Fe}^{3+}$  ion with O ligands, Ref. [28]’s Superposition Model analysis fit experimental data to obtain  $\bar{b}_2(R_0) = -0.412 \text{ cm}^{-1}$  with  $R_0 = 2.101 \text{ \AA}$  [68] and  $t_2 = 8$ . Using our DFT+ $U$ -computed  $b_2^0$  from Table I together with DFT-relaxed  $R_i$  values for the  $T$  phase, and assuming the same values of  $R_0$  and  $t_2$  as given above, we solve Eq. 4 to obtain a first principles-based  $\bar{b}_2(R_0) = -0.437 \text{ cm}^{-1}$ , in good agreement with the value fitted from experiment.

In a centered model, where the transition metal ion is not displaced from its high-symmetry position at the center of the transition metal-oxygen octahedron, Eq. 4 reduces to the following analytic expressions [28]

$$b_2^0(T) = 2\bar{b}_2(R_0) \left(\frac{R_0}{c/2}\right)^{t_2} \left(1 - (c/a)^{t_2}\right) \quad (5)$$

$$b_2^0(O) = 2\bar{b}_2(R_0) \left(\frac{R_0}{a/2}\right)^{t_2} \left(1 - \left(\frac{2a}{\sqrt{b^2 + c^2}}\right)^{t_2}\right) \quad (6)$$

$$b_2^0(R) = 6\bar{b}_2(R_0) \left(\frac{R_0}{a/2}\right)^{t_2} (2 \cos^2(\alpha/2) - 1) \quad (7)$$

for the  $T$ ,  $O$ , and  $R$  phases, respectively. Here  $a$ ,  $b$ , and  $c$  are the lattice parameters appropriate for each phase (with the convention that the  $c$  axis is the polarization direction in the  $T$  and  $O$  phases), and  $\alpha$  is the rhombohedral angle.

Equations 5-7 provide insight into the MCAE evolution in the three phases of  $\text{BaTiO}_3$ . From Eq. 7 we can explain the small MCAE in the  $R$  phase because the rhombohedral angle  $\alpha$  is a small deviation  $\epsilon \approx 0.13^\circ$  from  $\pi/2$  (that is,  $\alpha = \pi/2 - \epsilon$ ) for  $\text{BaTiO}_3$ . Rewriting the angular factor in Eq. 7 as  $(2 \cos^2(\alpha/2) - 1) = \sin \epsilon$ , it is immediately clear for small  $\epsilon$ , this term is proportional to  $\epsilon$  and hence  $b_2^0(R) \propto \epsilon$ . In addition, the strain-polarization coupling in ferroelectrics will always yield  $(c/a) > 1$  in the  $T$  phase and  $2a/\sqrt{b^2 + c^2} < 1$  in the  $O$  phase, which imply a spin-easy plane and axis, respectively. These expressions also make clear how changing the lattice parameters can tune the MCAE value, for example to create large MCAEs in the  $T$  phase one should target ferroelectric hosts with large  $c/a$  ratios.

Table III presents centered model estimates of  $b_2^0$  for the three phases of  $\text{BaTiO}_3$ , computed from Eqs. 5-7 using experimental lattice constants for the  $T$  and  $O$  phases from Ref. [69] and for the  $R$  phase from Ref. [40], and our first principles-based  $\bar{b}_2$  value given above. The centered model reproduces the sign of  $b_2^0$  for all three phases. The  $b_2^0$  magnitudes are quite close to the experimental values, and the reduction in magnitude going from the  $T$  to  $R$  phase is captured. We note that the level of agreement between the centered model and first-principles  $b_2^0$  values for the  $T$  phase is striking, given that the Fe ion retains a significant ferroelectric displacement in this phase (Table II). This can be understood as arising from a fortuitous cancellation of errors in the centered model for the  $T$  phase, because both the Fe offcentering as well as the accompanying oxygen displacements are neglected (see the SI for further discussion).

We also construct centered-model  $b_2^0$  estimates using DFT-computed lattice parameters (see Appendix B), and find that they also reproduce the MCAE trends. However, care must be taken because the  $t_2$  exponential in Eqs. 5-7 makes the results highly sensitive to small changes in lattice parameter values, and therefore dependent on the choice of

TABLE III. Estimates of  $b_2^0$  (in  $10^{-4} \text{ cm}^{-1}$ ) from the centered model for specified magnetic dopants in specified hosts. For  $\text{Fe}^{3+}$  dopants we use our first principles-computed  $\bar{b}_2(R_0)=-0.437 \text{ cm}^{-1}$  and  $t_2=8$ , and for  $\text{Mn}^{2+}$  dopants we use  $\bar{b}_2(R_0)=-0.1575 \text{ cm}^{-1}$  and  $t_2=7$ , taken from Ref. [28].

Ion	Host	Geometry	Centered model	Experiment
$\text{Fe}^{3+}$	$\text{BaTiO}_3$ ( $T$ )	$a = 3.986 \text{ \AA}$ , $c/a = 1.01$ [69]	1023	890 – 991 [23–25, 56–61]
$\text{Fe}^{3+}$	$\text{BaTiO}_3$ ( $O$ )	$a = 3.990 \text{ \AA}$ , $b = 5.669 \text{ \AA}$ , $c = 5.682 \text{ \AA}$ [69]	-599	-530 – -640 [24]
$\text{Fe}^{3+}$	$\text{BaTiO}_3$ ( $R$ )	$a = 4.004 \text{ \AA}$ , $\alpha = 89.87^\circ$ [40]	-88	-19, -23 [25, 62]
$\text{Fe}^{3+}$	$\text{KNbO}_3$ ( $T$ )	$a = 3.996 \text{ \AA}$ , $c/a = 1.017$ [70]	1628	
$\text{Fe}^{3+}$	$\text{KNbO}_3$ ( $O$ )	$a = 3.971 \text{ \AA}$ , $b = 5.692 \text{ \AA}$ , $c = 5.719 \text{ \AA}$ [70]	-1635	-1776 [71]
$\text{Fe}^{3+}$	$\text{KNbO}_3$ ( $R$ )	$a = 4.016 \text{ \AA}$ , $\alpha = 89.817^\circ$ [70]	-120	
$\text{Mn}^{2+}$	$\text{BaTiO}_3$ ( $T$ )	$a = 3.986 \text{ \AA}$ , $c/a = 1.01$ [69]	307	215 [72]
$\text{Mn}^{2+}$	$\text{BaTiO}_3$ ( $O$ )	$a = 3.990 \text{ \AA}$ , $b = 5.669 \text{ \AA}$ , $c = 5.682 \text{ \AA}$ [69]	-180	
$\text{Mn}^{2+}$	$\text{BaTiO}_3$ ( $R$ )	$a = 4.004 \text{ \AA}$ , $\alpha = 89.87^\circ$ [40]	-29	
$\text{Fe}^{3+}$	$\text{PbTiO}_3$ ( $T$ )	$a = 3.905 \text{ \AA}$ , $c/a = 1.063$ [73]	6070	5300 [74]

exchange-correlation functional. Taking the example of tetragonal  $\text{BaTiO}_3$ , the lattice parameters calculated with the PBEsol functional are  $a = 3.970 \text{ \AA}$ ,  $c/a = 1.020$ , yielding  $b_2^0=2019 \times 10^{-4} \text{ cm}^{-1}$  which is a significant overestimate. In contrast, the LDA lattice parameters are  $a = 3.943 \text{ \AA}$ ,  $c/a = 1.013$ , yielding  $b_2^0=1384 \times 10^{-4} \text{ cm}^{-1}$  which is in better agreement with the experimental value in Table III. In summary, these results show that the  $b_2^0$  spin Hamiltonian coefficients, and therefore the magnitude and sign of the MCAE for each phase can in principle be reconstituted from a small set of parameters and the geometric information of the oxygen ligands.

### C. Extension to other ferroelectric hosts and magnetic dopants

We next test whether the Superposition Model with a centered magnetic dopant can explain MCAE trends in other ferroelectric host materials. As a first test case, we consider an  $\text{Fe}^{3+}$  dopant in  $\text{KNbO}_3$ , which exhibits the same sequence of three ferroelectric structures as  $\text{BaTiO}_3$  [75]. Table III shows our estimated  $b_2^0$  values, obtained with experimental lattice parameters from Ref. [70] (see the SI for analogous results using DFT lattice parameters). We observe good agreement between the centered model estimate of the  $O$ -phase MCAE and the experimental value (Table III). Like  $\text{BaTiO}_3$ , the  $T$  phase displays a spin-easy plane (positive  $b_2^0$ ) whereas the  $O$  and  $R$  phases display spin easy axes (negative  $b_2^0$ ), and there is an order-of-magnitude diminution of the MCAE going from the  $O$  to the  $R$  phase. As a second test case, we consider a  $\text{Fe}^{3+}$  dopant in tetragonal  $\text{PbTiO}_3$  (Table III). The MCAE is significantly larger than in tetragonal  $\text{BaTiO}_3$  and the centered model prediction shows reasonable agreement with experiment. This increased MCAE can be attributed to the larger  $c/a$  ratio in  $\text{PbTiO}_3$  compared to  $\text{BaTiO}_3$ .

The level of agreement between the experimental and centered-model MCAEs in Table III for Fe-doped  $\text{KNbO}_3$  and  $\text{PbTiO}_3$  is quite remarkable, given that the centered model does not explicitly depend on the spin-orbit coupling strength  $\lambda_{\text{SOC}}$  of the ferroelectric host material: changing the host just modifies the lattice parameters in Eqs. 5-7. Compared to  $\text{BaTiO}_3$ ,  $\text{Nb}^{5+}$  has a larger spin-orbit coupling than  $\text{Ti}^{4+}$ , and  $\text{Pb}^{2+}$  has a significantly larger spin-orbit coupling than  $\text{Ba}^{2+}$ . Although the host's spin-orbit coupling does implicitly help determine the experimental lattice parameters, one would naively expect that the lack of explicit  $\lambda_{\text{SOC}}$  dependence in the centered model would yield  $b_2^0$  estimates that are significantly lower than the experimental values. This is not the case, however, demonstrating the unexpectedly large impact of the local crystal field environment of the host in determining MCAE surfaces.

As a final test case, we consider  $\text{Mn}^{2+}$  dopants in the three phases of  $\text{BaTiO}_3$ . The intrinsic parameter  $\bar{b}_2$  for  $\text{Mn}^{2+}$  is roughly one-third that of  $\text{Fe}^{3+}$  for oxygen ligands at the same distance [68] due to the reduction of the spin-orbit coupling strength [76], so we may expect a reduction in the MCAE by a similar factor. Using the Superposition Model parameters  $\bar{b}_2$ ,  $R_0$ , and  $t_2$  from Ref. [68], our centered-model estimates for  $b_2^0$  with  $\text{Mn}^{2+}$  are indeed roughly one-third of those of  $\text{Fe}^{3+}$ , for all three phases (Table III). The experimentally determined MCAE value for a  $\text{Mn}^{2+}$  dopant in tetragonal  $\text{BaTiO}_3$  is nearly five times smaller than  $\text{Fe}^{3+}$  (see Table III). This may be because the centered model estimates do not take into account the dopant ionic size:  $\text{Ti}^{4+}$  and  $\text{Fe}^{3+}$  have similar ionic radii, whereas  $\text{Mn}^{2+}$  is larger, which would lead to an expansion of the lattice parameters.

## IV. DISCUSSION

Finally, we use our results to formulate criteria for selecting combinations of magnetic dopants and ferroelectric hosts that exhibit large MCAE magnitudes together with high tunability. The small ( $<100 \mu\text{eV}$ ) MCAE energy scale

for  $\text{Fe}^{3+}$  dopants in  $\text{BaTiO}_3$  would require very low temperature operation ( $\approx 1$  K) in order to avoid thermally-induced spin switching. This is above the typically mK operating temperature of some quantum devices, however, in general higher temperature operation is desirable for applications. In addition, larger MCAEs would be easier to disentangle from other physical processes in materials that occur at low energy scales. Although lanthanide ions provide large spin-orbit coupling strengths, they retain the ferroelectric displacements of their hosts and therefore do not provide tunable MCAEs [28, 29], thus leading us to focus on transition metal dopants with partially filled  $d$  shells.  $\text{Fe}^{3+}$  and  $\text{Mn}^{2+}$  are both  $L = 0$  dopants which have large effective magnetic moments due to their half-filled  $d$ -shells. However, they have fairly small spin-orbit coupling strengths [76] ( $H_{\text{SOC}} = \lambda_{\text{SOC}} \mathbf{L} \cdot \mathbf{S}$ ), which limits the magnitude of their MCAE values. Transition metal dopants with  $L \neq 0$  as free ions may exhibit a larger magnitude MCAE, for example, a neutral Co adatom has been reported to exhibit the atomic limit of magnetic anisotropy energy [77]. Transition metal ion candidates with relatively large effective magnetic moments [78], non-zero  $L$  as free ions, ionic radii close to that of  $\text{Ti}^{4+}$ , and a spin-orbit coupling larger than  $\text{Fe}^{3+}$  [76] include  $\text{Ni}^{3+}$ ,  $\text{Co}^{3+}$ , and  $\text{Co}^{2+}$  (see the SI for more details [48]). We note that the Superposition Model no longer formally applies for the  $L \neq 0$  ions, so first-principles calculations are needed to assess the MCAEs of these dopants.

In selecting  $\text{ABO}_3$  ferroelectric hosts for magnetic dopants, materials that maximize the geometric factors in Eqs. 5-6 will provide large MCAEs in tetragonal and orthorhombic phases. For tetragonal ferroelectrics, a large  $c/a$  ratio is desirable. In this context, several bulk “super-tetragonal” ferroelectric phases with large  $c/a$  ratios may be of particular interest, such as  $\text{BiFeO}_3$  [79] with  $c/a=1.23$  and  $\text{BiCoO}_3$  with  $c/a=1.27$  [80]. Similar  $c/a$  ratios can be obtained in  $\text{PbTiO}_3$  thin films through strain engineering [81]. For orthorhombic ferroelectrics, materials with the ratio  $2a/\sqrt{b^2 + c^2}$  as small as possible is desired. In addition, choosing  $A$  and/or  $B$  cations with sizeable spin-orbit coupling will further increase the MCAE. Theoretical approaches that compute the admixture of the spin-orbit coupling from the  $A$ -site orbitals with that of the magnetic ion [82] can aid in optimizing the choice of  $A$ -site cation.

In summary, we have determined the influence of the local crystalline environment on the MCAE of a  $\text{Fe}^{3+}$  dopant in the ferroelectric  $\text{BaTiO}_3$ . The polar displacement of  $\text{Fe}^{3+}$  is substantially reduced compared to that of  $\text{Ti}^{4+}$ , allowing the application of a Superposition Model with a centered dopant position to predict MCAEs. We test the centered model on several other ferroelectric oxide hosts and magnetic dopants and show that it successfully captures experimental MCAE trends. The implication of this result is that the local crystalline environment provided by the host, rather than its spin-orbit coupling strength, is key for determining the MCAE. This insight can be utilized to construct high-throughput screening of databases such as the Materials Project for promising ferroelectric hosts and magnetic dopants. Estimations of sign and magnitude of the MCAE from such a screening could guide towards ferroelectric oxide hosts which facilitate dramatic changes of MCAE near phase-change boundaries.

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### Appendix A: Appendix A: Magnetic anisotropy constants

We fit the DFT-computed MCAE surfaces from Fig. 2 to sums of products of trigonometric functions, appropriate for the particular crystalline symmetry [84]. The coefficients are the magnetic anisotropy constants  $K$  (distinct from the constants  $b_2^0 = D$ ,  $1/3b_2^2 = E$ ,  $2/5b_4^4 = a$ , from the spin Hamiltonian). The MCAE fitting function for the  $T$  phase is

$$U_{\text{aniso}} = K_1 \sin^2 \theta + K_2 \sin^4 \theta + K_{2'} \sin^4 \theta \cos(4\phi) + K_3 \sin^6 \theta + K_{3'} \sin^6 \theta \cos(4\phi), \quad (\text{A1})$$

with magnetic anisotropy constants  $K_1 = -78.0$ ,  $K_2 = -6.4$ ,  $K_{2'} = 1.1$ ,  $K_3 = 3.2$ ,  $K_{3'} = -1.1$  (all  $\mu\text{eV}$ ), all obtained by fitting the DFT-computed MCAE presented in the main text.

For the  $O$  phase, Ref. [85] arrives at a form of a fitting function from squares of standard Cartesian direction cosines. We instead derive a fitting function based on the expansion of the MCAE with a basis set of spherical harmonics, and

then expand the elementary trigonometric functions. This gives, to sixth order in  $\sin \theta$ , the fitting function

$$\begin{aligned}
 U_{\text{aniso}} = & K_1 \sin^2 \theta + K_{1'} \sin^2 \theta \cos(2\phi) + K_2 \sin^4 \theta \\
 & + K_{2'} \sin^4 \theta \cos(2\phi) + K_{2''} \sin^4 \theta \cos(4\phi) \\
 & + K_3 \sin^6 \theta + K_{3'} \sin^6 \theta \cos(2\phi) \\
 & + K_{3''} \sin^6 \theta \cos(4\phi) + K_{3'''} \sin^6 \theta \cos(6\phi).
 \end{aligned} \tag{A2}$$

The values of these anisotropy constants are  $K_1 = 41.2$ ,  $K_{1'} = -0.8$ ,  $K_2 = -12.7$ ,  $K_{2'} = 10.2$ ,  $K_{2''} = 0.4$ ,  $K_3 = 7.6$ ,  $K_{3'} = -7.7$ ,  $K_{3''} = -0.2$ , and  $K_{3'''} = 0.2$  (all  $\mu\text{eV}$ ).

This function for the magnetic anisotropy energy is in fact equivalent (to fourth order in  $\sin \theta$ ) to that from Ref. [86],

$$\begin{aligned}
 U_{\text{aniso}} = & \sin^2 \theta (K'_1 \cos^2 \phi + K'_2 \sin^2 \phi) \\
 & + \sin^4 \theta (K'_3 \cos^4 \phi + K'_4 \sin^2 \phi \cos^2 \phi + K'_5 \sin^4 \phi) \\
 & + \sin^2 \theta \cos^2 \theta (K'_6 \cos^2 \phi + K'_7 \sin^2 \phi),
 \end{aligned} \tag{A3}$$

using  $K'$  to denote the convention for anisotropy constants in that work. However, the convention in the present work explicitly indicates only five independent anisotropy constants through fourth order in  $\sin \theta$ , and the method easily generalizes to any point group [87].

The small magnitude for the rhombohedral  $R3m$  phase is nearly the convergence criterion for total energies (0.1  $\mu\text{eV}$ ), so the surface in Fig. 2(c) is fit to a function that is second order in  $\sin \theta$ , appropriate for rhombohedral systems [88, 89]

$$\text{MCAE}(\theta, \phi) = \sin^2(\theta - \theta_0)[K + K' \cos(2(\phi - \phi_0))]. \tag{A4}$$

The values of the fitting parameters are  $K = -6.1 \mu\text{eV}$ ,  $K' = 2.1 \mu\text{eV}$ ,  $\theta_0 = -0.52^\circ$ ,  $\phi_0 = -1.13^\circ$ .

The surface with as-computed values is presented in the SI [48].)

## Appendix B: Appendix B: Centered model calculation of $b_2^0$ with DFT lattice parameters

Table A1 shows centered model calculations of  $b_2^0$  from Eqs. 5-7, performed using DFT-relaxed lattice parameters. Both PBEsol and LDA functionals are considered. Trends in  $b_2^0$  values from Table III are reproduced.

TABLE A1. Estimates of  $b_2^0$  from the centered model for specified magnetic dopants in specified hosts. We use the same  $\bar{b}_2(R_0)$  and  $t_2$  parameters as in Table III of the main text: for  $\text{Fe}^{3+}$  dopants we use  $\bar{b}_2(R_0) = -0.437 \text{ cm}^{-1}$  and  $t_2=8$ , and for  $\text{Mn}^{2+}$  dopants we use  $\bar{b}_2(R_0) = -0.1575 \text{ cm}^{-1}$  and  $t_2=7$ . Lattice parameters are given in  $\text{\AA}$  and  $b_2^0$  values are given in units of  $10^{-4} \text{ cm}^{-1}$ .

Ion	Host	Geometry (PBE)	$b_2^0$ (PBE)	Geometry (LDA)	$b_2^0$ (LDA)
$\text{Fe}^{3+}$	$\text{BaTiO}_3(T)$	$a=3.970, c= 4.049$	2008	$a=3.943, c=3.992$	1382
$\text{Fe}^{3+}$	$\text{BaTiO}_3(O)$	$a=3.963, b=5.683, c= 5.705$	-1662	$a=3.939, b=5.613, c=5.623$	-953
$\text{Fe}^{3+}$	$\text{BaTiO}_3(R)$	$a=4.006, \alpha= 89.86^\circ$	-94	$a=3.962, \alpha= 89.93^\circ$	-50
$\text{Fe}^{3+}$	$\text{KNbO}_3(T)$	$a=3.970, c= 4.057$	2210	$a=3.945, c=3.990$	1269
$\text{Fe}^{3+}$	$\text{KNbO}_3(O)$	$a=3.962, b=5.679, c= 5.695$	-1554	$a=3.941, b=5.613, c=5.62$	-879
$\text{Fe}^{3+}$	$\text{KNbO}_3(R)$	$a=4.002, \alpha= 89.91^\circ$	-64	$a=3.962, \alpha= 89.96^\circ$	-32
$\text{Mn}^{2+}$	$\text{BaTiO}_3(T)$	$a=3.970, c= 4.049$	604	$a=3.943, c=3.992$	411
$\text{Mn}^{2+}$	$\text{BaTiO}_3(O)$	$a=3.963, b=5.683, c= 5.705$	-498	$a=3.939, b=5.613, c=5.623$	-283
$\text{Mn}^{2+}$	$\text{BaTiO}_3(R)$	$a=4.006, \alpha= 89.86^\circ$	-32	$a=3.962, \alpha= 89.93^\circ$	-16
$\text{Fe}^{3+}$	$\text{PbTiO}_3(T)$	$a=3.878, c= 4.186$	7587	$a=3.865, c=4.033$	4935

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