

1           **Equine influenza vaccination as reported by horse owners and factors**  
2                           **influencing their decision to vaccinate or not**

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17 **Abstract**

18 **Background:** Equine influenza virus is a highly contagious respiratory pathogen  
19 that causes pyrexia, anorexia, lethargy and coughing in immunologically naïve  
20 horses. Vaccines against equine influenza are available and vaccination is  
21 mandatory for horses that participate in affiliated competitions, but this group forms a  
22 small proportion of the total horse population. The aims of this study were to: i)  
23 identify the equine influenza vaccination rate as reported in 2016 by horse owners in  
24 the United Kingdom (UK); ii) examine the demographics of owners and horses which  
25 were associated with significantly lower influenza vaccination rates and iii) explore  
26 factors that influence horse owners' decisions around influenza vaccine uptake.

27 **Results:** Responses from 4,837 UK horse owners who were responsible for 10,501  
28 horses were analysed. An overall equine influenza vaccination rate of 80%  
29 (8385/10501) was reported. Several owner demographic characteristics were  
30 associated with significantly lower ( $p \leq 0.05$ ) reported equine influenza vaccination  
31 rates including: some geographical locations, increasing horse owner age, annual  
32 household income of less than £15,000 and owning more than one horse. Horse-  
33 related features which were associated with significantly lower reported equine  
34 influenza vaccination rates included age ranges of  $\leq 4$  years and  $\geq 20$  years, use as a  
35 companion or breeding animal or leaving their home premises either never or at  
36 most once a year. The most common reasons cited for failing to vaccinate horses  
37 was no competition activity, lack of exposure to influenza and expense of vaccines.  
38 In contrast, the most common underlying reasons given by horse owners who  
39 vaccinated their horse were protection of the individual horse against disease,  
40 veterinary advice and to protect the national herd. Owners of vaccinated horses had

41 less previous experience of an influenza outbreak or adverse reaction to vaccination  
42 compared with owners of unvaccinated horses.

43 **Conclusions:** This study documented a high rate of equine influenza vaccination as  
44 reported by owners in a substantial number of horses in the UK, but this does not  
45 reflect the level of protection. Sub-populations of horses which were less likely to be  
46 vaccinated and the factors that influence each owner's decision around vaccination  
47 of their horses against equine influenza were identified, but may alter following the  
48 2019 European influenza outbreak. This information may nevertheless help  
49 veterinary surgeons identify "at-risk" patients and communicate more personalised  
50 advice to their horse-owning clients. It may also influence educational campaigns  
51 about equine influenza directed to horse owners, which aim to improve uptake of  
52 vaccination against this pathogen.

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54

55 **Key words:** equine influenza, vaccine, vaccination, prevalence, decisions, survey,  
56 horse owners

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## 60 **1. Introduction**

61 Equine influenza A virus causes a contagious respiratory tract infection (Paillot,  
62 2014; Sack, 2019), with 100% morbidity in populations of naïve animals that are  
63 exposed. Clinical signs in naïve horses include pyrexia, lethargy and anorexia, a  
64 harsh dry cough and serous nasal discharge (Wood et al., 2006). In primed horses,  
65 clinical signs are more mild and of shorter duration. In the majority of horses, the  
66 mortality rate arising from equine influenza is low, but secondary bacterial infection,  
67 which presents as a mucopurulent nasal discharge and an extended fever, can  
68 increase mortality in a small number of cases (Wood et al., 2006). The majority of  
69 equine influenza virus transmission occurs through close contact between infectious  
70 and naïve individuals, but this virus is highly infectious and can also be spread by  
71 transport of infected animals, contaminated personnel and equipment or in a wind-  
72 borne aerosol over 1-2 km, as reported in South Africa and Australia (Guthrie et al.,  
73 1999; Davis et al., 2009).

74

75 In 2007, a notable outbreak of equine influenza occurred in unvaccinated horses in  
76 Australia, which was previously free of the disease (Callinan, 2008; Webster, 2011).  
77 The cost of controlling this equine influenza outbreak amongst the naïve Australian  
78 horse population and regaining the country's disease-free status was estimated at  
79 approximately 270 million Australian dollars (Callinan, 2008), demonstrating the  
80 potentially large economic impact of such an outbreak. Other recent notable equine  
81 influenza outbreaks have occurred in unvaccinated horses and donkeys in India and  
82 Nigeria (Virmani et al., 2010; World Organisation for Animal Health (OIE), 2019a),  
83 vaccinated horses in Japan (Yamanaka et al., 2008) and both vaccinated and

84 unvaccinated horses in the UK, Ireland and France in 2019 (Newton, 2019; Animal  
85 Health Trust, 2020).

86

87 Inactivated whole virus vaccines have been used against equine influenza since the  
88 1960s. A range of vaccines, including subunit and recombinant virus products is  
89 currently available (Paillot, 2014; Daly and Murcia, 2018). For complete protection,  
90 these vaccines rely primarily on generating cell-mediated immunity (Hannant and  
91 Mumford, 1989; Paillot et al., 2006b; Paillot et al., 2007) and a strong, but often  
92 short-lived, antibody immune response to protect against exposure to homologous  
93 virus (Paillot et al., 2006a). Correlates of protection for cell mediated immunity  
94 remain to be identified. However a correlation between existing antibody levels, as  
95 measured by single radial haemolysis (SRH), and protection of horses against  
96 infection with a homologous strain of influenza virus has been established. Thus  
97 clinical (e.g. absence of fever, cough) or virological (significant reduction in  
98 nasopharyngeal virus shedding) protection against homologous strains requires  
99  $\geq 85\text{mm}^2$  or  $\geq 154\text{mm}^2$  SRH antibody areas respectively (Mumford and Wood,  
100 1992).

101

102 In April 2019, the recommendations of the World Organisation for Animal Health  
103 (OIE) expert advisory panel on equine influenza advised that vaccines should  
104 contain representative strains of both the H3N8 Florida sub-lineages, namely clade 1  
105 ( e.g. *A/equine/South Africa/04/2003*-like or *A/equine/Ohio/2003*-like) and clade 2  
106 (e.g. *A/equine/Richmond/1/2007*-like) (World Organisation for Animal Health (OIE),  
107 2019b). These recommendations, which are reviewed annually, have remained the  
108 same between 2010 and 2019. In the UK, the so-called H3N8 American strains,

109 Florida clades 1 and 2 circulate currently (Animal Health Trust, 2020). Here, three  
110 vaccines are available against equine influenza, only one of which complies with the  
111 current OIE recommendations to include both H3N8 Florida clade 1 and clade 2  
112 (ProteqFlu, Boehringer Ingelheim; A/equine/Ohio/2003 and  
113 A/equine/Richmond/2007;(Animal Health Trust, 2020)). The other two vaccines  
114 contain European and American (Kentucky) strains (Equip F, Zoetis;  
115 A/equine/Borlange/1991; A/equine/Kentucky/1998) or European and Florida clade 1  
116 strains (Equilis Prequenza, MSD Animal Health; A/equine/Newmarket/2/1993;  
117 A/equine/South Africa/2003) respectively (Durham, 2019). However despite these  
118 apparent shortcomings, shortly after a third vaccination of yearling horses, all three  
119 vaccines stimulated single radial haemolysis antibody responses against Florida  
120 clade 2 strain (A/equine/Richmond/1/2007) which are associated with clinical and  
121 virological protective immunity (Dilai et al., 2018) and Equip F shows cross protection  
122 against virulent challenge for representative strains of Florida clades 1 and 2 (Paillot  
123 et al., 2008; Bryant et al., 2010; Paillot, 2015) . Nevertheless, if influenza infection  
124 occurs in vaccinated animals, clinical signs are more mild and of shorter duration.  
125 However, in addition to providing complete or partial protection of individuals against  
126 infection, the aim of vaccination is to reduce the amount of virus shed from the  
127 nasopharynx and thus limit the spread of infection to other equids. In the UK,  
128 influenza vaccination (at least annual) is compulsory for horses competing under the  
129 regulations of affiliated organisations such as the British Horseracing Authority  
130 (Thoroughbred horseracing), British Show Jumping, British Eventing and Federation  
131 Equestre Internationale, but there is no mandatory vaccination of non-competitive,  
132 leisure horses imposed by the UK government.

133 Despite the requirements for compulsory vaccination in some disciplines, there have  
134 been periodic epidemics of equine influenza, particularly in unvaccinated animals in  
135 the UK in 2003 (Newton et al., 2006) and more recently in 2019 (Newton, 2019;  
136 Animal Health Trust, 2020). In the latter, Thoroughbred horseracing was cancelled  
137 for six days, resulting in a substantial interruption to racehorse training and racing  
138 schedules and financial losses to the economy. Non-Thoroughbred horses and  
139 equestrian events were also affected, which required dissemination of consistent  
140 advice to veterinary surgeons and horse-owners, particularly in relation to booster  
141 vaccination (Newton, 2019) (<https://www.aht.org.uk/disease-surveillance/equiflunet>;  
142 <https://www.britishhorseracing.com/regulation/equine-influenza-update/>).

143

144 Mathematical models show a dramatic reduction of influenza outbreaks among  
145 groups of vaccinated horses (reviewed in Daly et al. (2013)). However, the efficacy  
146 of influenza vaccines depends on a close match between the virus strain(s)  
147 incorporated in the vaccine and the strain(s) circulating in the field. Where there is a  
148 mismatch between the vaccine strain and circulating virus, much higher levels of  
149 antibody are required to prevent an individual from becoming infected. From  
150 mathematical models, it is estimated that vaccine coverage of 40% of the population  
151 is sufficient to prevent outbreaks involving homologous strains, i.e. provide “herd  
152 immunity” (Park et al., 2009). However, as the virus evolves over time, the  
153 effectiveness of vaccines containing older strains is reduced, increasing the risk of  
154 outbreaks occurring (Park et al., 2004). Models have illustrated that, following an  
155 accumulation of 4 or 5 amino acid changes in key regions of the virus, at least 70%  
156 of horses must be vaccinated to provide herd immunity and with 6 changes, this  
157 figure increases to at least 95% (Park et al., 2009).

158

159 A limited number of studies into the influenza vaccination status of leisure or pet  
160 horses in the UK have been conducted. These have described the influenza  
161 vaccination rate as reported by horse-owners ranging between 79% and 91%  
162 (Hotchkiss et al., 2007; Boden et al., 2013; Ireland et al., 2013). In contrast, based  
163 on sales of influenza virus vaccines in the UK, which can only be prescribed and  
164 administered by veterinary surgeons, the estimated annual vaccination rate is <50%  
165 (MSD, 2017). Recent data estimates that there are between 847,000 and 1,350,000  
166 horses in the UK (Boden et al., 2012; British Equestrian Trade Association (BETA),  
167 2019). A total of 4,098 horses (98.3%), asses (0.2%) and mules (1.5%) were  
168 imported (Food and Agricultural Organization of the United Nations (FAO), 2017),  
169 but it is likely that the majority of imported horses were high value bloodstock or  
170 racing Thoroughbreds or sports horses. In one national survey, 98% (3419/3482) of  
171 respondents reported that they rode (Boden et al., 2013), with 1.8 million riding at  
172 least once a month in the past 12 months (British Equestrian Trade Association  
173 (BETA), 2019). Another report stated that around 60% of riders compete in non-  
174 affiliated events (British Equestrian Trade Association (BETA), 2015). These data  
175 indicate the size and mobility of the leisure horse population within the UK, but their  
176 influenza vaccination status and factors which influence vaccine decisions by owners  
177 are unknown. The aims of this study were first to identify the equine influenza  
178 vaccination rate as reported in 2016 by horse owners in the United Kingdom (UK),  
179 second, to examine the demographics of owners and horses which were associated  
180 with significantly lower reported influenza vaccination rates and third, to explore  
181 factors that influence horse-owners' decisions around influenza vaccine uptake.

182



## 183 **2. Materials and Methods**

### 184 2.1 Survey Design

185 This study was approved by the School of Veterinary Medicine and Science's  
186 Research and Ethics committee (University of Nottingham) and participants gave  
187 informed consent. A modified tailored design was used to create a mixed method  
188 directional survey in English via esurveycrator®, applying the authors' expertise in  
189 equine infectious respiratory viral disease (JMD and JHK). A pilot survey was  
190 completed by 15 individual volunteers who were horse owners, including veterinary  
191 students, riders and horse trainers and thus formed a convenience sample: two  
192 questions were removed and four shortened to produce the final survey  
193 (Supplementary Figure 1) in which each participant was asked a total of 29  
194 questions, of which 26 were compulsory to progress. The directional nature allowed  
195 appropriate yet consistent phrasing and collection of relevant data, depending on  
196 whether participants vaccinated their horse or not. Only one completion was  
197 permitted per participant and the survey took approximately 10 minutes, although  
198 participants could exit the survey and resume later. To determine any variation in  
199 vaccination status of horses owned by the same person, the survey allowed  
200 collection of data for up to 5 horses per participant, all of which were anonymised.  
201 Closed questions were used to collect information on the geographical location, age,  
202 gender and income of participants, the age and reported vaccination status of their  
203 horses, as well as the purpose for which they were kept and frequency of leaving  
204 their home premises for any reason, including a hack. The assumption was made  
205 that horse(s) were located in the same geographical region as their owners. In some  
206 questions, participants were asked to indicate how much they agreed with several  
207 statements on an eight-option Likert scale ranging from strongly disagree (1) to

208 strongly agree (7), with an eighth option for 'does not apply'. To collect information  
209 on how influential different factors were on horse owners' decisions to vaccinate  
210 against equine influenza, a separate set of statements was presented to participants  
211 who reported vaccinating either all, some or none of their horses. Owners used a  
212 visual analogue scale ranging from 0 (no influence) to 100 (significant influence) to  
213 assess nine pre-determined categories. These categories were horse welfare,  
214 competition requirements, financial situation, advice from their vet, scientific reports,  
215 national herd protection, online forums and social media, opinions of friends or pony  
216 club recommendations. There was one open question to record any additional  
217 factors that affected participants' decisions around vaccination. The survey was  
218 released online on the 5<sup>th</sup> July 2016 and closed on the 19<sup>th</sup> October 2016.

219

## 220 2.2 Survey Distribution

221 The online survey titled "Calling all horse owners", was distributed and publicised  
222 through a number of media outlets, including a University of Nottingham press  
223 release, regional TV news, a national equestrian magazine and equestrian  
224 associations *via* their members' mailing lists. The survey was also heavily promoted  
225 at regular intervals on social media platforms. A poster with a QR code and web  
226 address for the survey was displayed on notice boards at local equine retail stores,  
227 selected competitions and livery stables (Supplementary Figure 2). Participants who  
228 completed the survey were entered into a prize draw to win £150 worth of vouchers.

229

## 230 2.3 Data and thematic analysis

231 Data were downloaded into Microsoft Excel 2010 (Microsoft®, Redmond, USA) and  
232 imported into the statistical analysis program GenStat (GenStat®, v19, VSNi

233 International Ltd., Rothampsted, UK). Odds ratios (ORs) with 95% confidence  
234 intervals (CI) were generated from ordinal and multinomial logistic regression  
235 analysis to examine the strength of the relationship between categorical variables  
236 and reported vaccination status and represent the strength of any predictive factors.  
237 An OR not equal to 1, with confidence intervals which did not overlap the confidence  
238 intervals of the null value (OR=1) was considered significant ( $p \leq 0.05$ ). OR reference  
239 categories were selected as the median by GenStat or based on the most logical  
240 selection. Owner ages were grouped in an attempt to reflect young adults, adults,  
241 middle aged adults and those of elderly status. Owner reference categories were  
242 selected as 18-25 years, because this age range is most likely to be competing and  
243 a salary range of £15,000-£34,999, because it included the national average income  
244 of £28,600 in 2016 (Office for National Statistics, 2017). Horse ages were grouped  
245 into six categories at 5 year intervals for convenience and to reflect the stage of their  
246 training / ridden career e.g. horses 0-4 years were likely to be un-ridden or in the  
247 early stages of ridden training, whereas horses >20 years tend to compete less.  
248 Thus horse related reference categories were selected as 5-9 years (the age when  
249 horses commonly start to compete). Other reference categories were chosen  
250 arbitrarily. Chi-squared tests with Yates' correction were used to assess the  
251 statistical independence between use of horse and reported vaccination status. The  
252 statistical significance of the variations between median values of those respondents  
253 who reported vaccinating their horses against equine influenza virus and those who  
254 did not were analysed using Mann-Whitney U tests. For all statistical tests,  $p \leq 0.05$   
255 was considered significant. All data presented relate to vaccination against equine  
256 influenza virus as reported by horse owners and for most analyses, each horse was  
257 treated as an individual, with no allowance made for horses owned by the same

258 person. The exceptions were the analysis of the influence of previous experience of  
259 a horse owner in relation to an influenza outbreak, adverse reaction and reasons  
260 which influenced horse owners around influenza vaccination. In these instances, the  
261 vaccination status of all horses owned by the same person was identified as either  
262 all vaccinated or all non-vaccinated or a mix of vaccinated and non-vaccinated  
263 (“both”).

264

265 Thematic analysis was used to interpret the qualitative data arising from one open  
266 question, which aimed to capture other factors which influenced horse owners’  
267 decisions on equine influenza vaccination. The response(s) by each horse owner  
268 was assigned to one or more codes which were in turn amalgamated into themes.

269 The topic of each theme was either one which arose from quantitative data analysis  
270 or novel, as appropriate.

271

## 272 **3. Results**

### 273 3.1 Survey inclusion criteria

274 The final survey was started by 6,547 participants. Participants who did not complete  
275 the survey (1,242), those who lived outside the UK (468) and those who owned only  
276 donkeys were excluded. Therefore final data for analysis comprised a maximum of  
277 4,837 owners of a total of 10,501 horses. Throughout the results, the number of  
278 responses to individual questions are detailed. Full details of the numbers,  
279 percentages and statistical analysis including odds ratios with 95% confidence  
280 intervals, z statistics and *p* values are shown in Supplementary Tables 1 and 2.

281

### 282 3.2 Demographics of survey participants and regional distribution

283 The majority of participants were female (98.5%, 4765/4837) and were aged  
284 between 41–60 years (43%, 2061/4837). The annual household income ‘£15,000 to  
285 £34,999’ was most common, with 30% (1469/4837) of participants in this category  
286 (Table 1). Most horse owners owned one horse (40%; 1955/4837). All UK regions  
287 were represented, ranging from 0.8% (41/4837) respondents in Greater London to  
288 19% (926/ 4837) in the South East of England and 14% in both the South West  
289 (668/4837) and East of England (675/4837). Respondents from all other areas  
290 represented <10% of the total number of participants.

291

### 292 3.3 Equine influenza virus vaccination rate and regional distribution

293 The overall reported equine influenza virus vaccination rate was 80% (8385/10501).  
294 The highest reported influenza vaccination rate, 85% (1656/1954), was in horses  
295 owned by participants who lived in the South East (Figure 1a). Odds ratio analysis  
296 using East Midlands as a reference category (79%; 786/989), showed that horse  
297 owners in the South East of England were more likely to vaccinate (85%, 1656/1954;  
298  $p=0.0003$ ) whereas those in Wales (63%, 334/528;  $p<0.0001$ ), the South West  
299 (73%, 1056/1443;  $p=0.0004$ ), West Midlands (73%, 624/849;  $p=0.0026$ ) and Scotland  
300 (74%, 679/916;  $p=0.0057$ ) were significantly less likely to vaccinate (Figure 1a;  
301 Supplementary Table 1, Section 1a).

302

### 303 3.4 Horse owner-related factors associated with reported influenza vaccination

#### 304 3.4.1 Gender and age

305 There was no significant difference between the gender of an owner and the  
306 reported equine influenza vaccination status of their horse(s) ( $p=0.129$ ;  
307 Supplementary Table 1, Section 1b). Compared with the reference category of 18-25

308 years (83%, 1588/1911), owners in all age groups  $\geq 26$  years were significantly less  
309 likely to report vaccinating their horse(s) against equine influenza virus (26-40 years,  
310 79%; 2534/3207,  $p=0.002$ ; 41-60 years, 78%, 3716/4793,  $p<0.001$ ; >60 years, 70%,  
311 415/590,  $p<0.001$ ; Figure 1b; Supplementary Table 1, Section 1c).

312

### 313 3.4.2 Income

314 The reference category of '£15,000-£34,999' was chosen as representative of the  
315 average UK salary of £28,600 in 2016 (Office for National Statistics, 2017).

316 Compared with the reference category (78%, 2402/3063), horses owned by  
317 participants with an annual household income of 'Less than £15,000' had the lowest  
318 reported equine influenza vaccination rates (69%, 806/1162,  $p<0.0001$ ). Participants  
319 earning '£55,000-£74,999' and '£95,000 or more' had significantly higher reported  
320 equine influenza vaccination rates (83%, 901/1086;  $p=0.0014$ ; 86%, 526/621,  
321  $p=0.0004$  respectively; Figure 1c; Supplementary Table 1, Section 1d) compared  
322 with the reference category.

323

### 324 3.4.3 Number of horses owned

325 Reported equine influenza vaccination rates were highest when only one horse was  
326 owned (88%, 1721/1957; Figure 1d; Supplementary Table 1, Section 1e). Odds ratio  
327 analysis using one horse as the reference category showed that reported vaccination  
328 rates were significantly lower if more than one horse was owned (2 horses, 81%,  
329 2241/2765,  $p<0.0001$ ; 3 horses 79%, 1651/2090,  $p<0.0001$ ; 4 horses, 76%,  
330 1028/1344,  $p<0.001$ ; 5 horses 73%, 648/890,  $p<0.001$ ; > 5 horses, 66%, 964/1455,  
331  $p<0.001$ ).

332

333 3.5 Horse-related factors associated with reported influenza vaccination

334 3.5.1 Age

335 Horses in the age category of 10-14 years had the highest reported equine influenza  
336 vaccination rates (84%, 2062/2456) while the reported lowest vaccination rates were  
337 seen in horse age category 25->36 years (55%, 316/579; Figure 2a; Supplementary  
338 Table 1, Section 2a). The median age of horses reportedly vaccinated was 11 years,  
339 whereas the median age of reported non-vaccinated horses was 15 years ( $p<0.001$ ).  
340 The reference category of 5-9 year old horses had a reported vaccination of 83%  
341 (2728/3275). Using this reference value, horses in each of the age categories 0-4,  
342 20-24 and 25->36 years were significantly less likely to be reported as vaccinated  
343 (72%, 809/1120,  $p<0.0001$ ; 69%, 813/1172,  $p<0.0001$ ; 55%, 316/579,  $p<0.0001$   
344 respectively).

345

346 3.5.2 Use

347 The highest reported equine influenza vaccination rates were recorded in horses  
348 used for competitions, whether affiliated and unaffiliated (88%, 918/1047; 86%,  
349 2047/2389 respectively; Figure 2b; Supplementary Table 1, Section 2b). The lowest  
350 reported equine influenza vaccination rates were noted in horses used solely for  
351 breeding or companionship (64%, 141/220 and 67%, 1552/2293, respectively). The  
352 reference category of hacking had 79% (2603/3289) reported vaccinated. Odds ratio  
353 analysis showed the reported influenza vaccination rates were significantly higher for  
354 horses in affiliated and unaffiliated competitions but lower in horses used solely for  
355 breeding or as companions (affiliated competitions  $p<0.0001$ ; non-affiliated  
356 competitions  $p<0.0001$ ; breeding  $p<0.0001$ ; companion,  $p<0.0001$ ). Vaccination of

357 horses used for leisure riding approached significance (leisure riding  $p=0.052$ ; Figure  
358 2b).

359

### 360 3.5.3 Frequency of leaving yard

361 Horses that left the premises at which they were stabled on a daily basis had the  
362 highest reported equine influenza vaccination rates (83%, 127/153). The reference  
363 category of leaving once a month had 75% (298/396) reported vaccination rates; in  
364 comparison with this, horses that left their home premises less than once a year  
365 (54%, 63/116,  $p<0.0001$ ), once a year (60%, 37/62,  $p=0.011$ ) or never (30%, 96/324,  
366  $p<0.0001$ ) had significantly lower reported vaccination rates (Figure 2c;  
367 Supplementary Table 1, Section 2c). Horses which left daily (83%, 127/153,  $p=0.05$ )  
368 or 2-6 times a week (80%, 1158/1444,  $p=0.032$ ) or 2-3 times a month (82%,  
369 719/879,  $p=0.007$ ) were significantly more likely to be reported as vaccinated against  
370 equine influenza compared with the reference category.

371

## 372 3.6 Owner-related factors associated with reported equine influenza vaccination

### 373 3.6.1 Underlying reasons

374 Owners were asked to indicate on a visual analogue Likert scale how influential  
375 various factors were on their decision to vaccinate their horse(s) against equine  
376 influenza. The eight options ranged from “*Strongly disagree*” (1) to “*Strongly agree*”  
377 (8) with “*neutral*” (4) and “*does not apply*” (0) available. The majority of horse owners  
378 who reported vaccinating their horse against equine influenza agreed slightly,  
379 moderately or strongly with the statement “I vaccinate to protect my horse from  
380 equine influenza” (86%, 2846/3624), followed by national herd protection (43%,  
381 1547/3624) or vet’s advice (43%, 1523/3624; Figure 3a; Supplementary Table 1,



382 Section 3a). In contrast, of horse owners who reported not vaccinating their horse  
383 against equine influenza, the majority selected “My horse does not compete in  
384 affiliated competitions” (65%, 793/1215), followed by horse not exposed (42%,  
385 512/1215) and expense (36%, 435/1215; Figure 3b; Supplementary Table 1, Section  
386 3b). Responses relating to the most popular reason for reported vaccination or non-  
387 vaccination in each category were polarised towards “strongly agree”.

388

389 3.6.2 Impact of previous experience of equine influenza outbreak or adverse vaccine  
390 reaction

391 For this analysis, participants were split into three groups based on whether they  
392 reported vaccinating: (i) all of their horses (*'vaccinate'* = 75%, 3623/4837); (ii) some  
393 but not all of their horses (*'both'* = 13%, 629/4837); (iii) none of their horses (*'non-*  
394 *vaccinate'* = 13%, 585/4837). A small number of participants had experienced an  
395 equine influenza outbreak as diagnosed by a vet (5%, 242/4837; Supplementary  
396 Table 1, Section 3c) or had observed a self-assessed “adverse reaction” to equine  
397 influenza vaccination (19%, 933/4837; Supplementary Table 1, Section 3d).

398 Compared with the ‘non-vaccinated’ reference group, owners in the ‘vaccinate’ group  
399 were significantly less likely to have had any experience of an equine influenza  
400 outbreak (4%, 152/3623;  $p < 0.0001$ ; Figure 4a) or to have observed a self-assessed  
401 “adverse reaction” to equine influenza vaccination (16%, 598/3623;  $p < 0.001$ ; Figure  
402 4b).

403

404 3.6.3 Importance of underlying reasons

405 The influence of nine pre-determined factors on horse owners’ decisions to vaccinate  
406 against equine influenza was assessed using a visual analogue scale ranging from 0

407 (no influence) to 100 (significant influence). These categories were horse welfare,  
408 competition requirements, financial situation, advice from their vet, scientific reports,  
409 national herd protection, online forums and social media, opinions of friends or pony  
410 club recommendations. Data were analysed according to the number of horses up to  
411 a maximum of five owned by one individual which were reported as '*vaccinated*' by  
412 their owners, ranging from all vaccinated (5/5) to none vaccinated (0/5). In all groups,  
413 horse welfare was the most influential factor (median scores of 96, 80-95 and 76 out  
414 of 100 for the '*vaccinate*' n=3623, '*both*' n=629 and '*non-vaccinate*' n=585' groups  
415 respectively; Supplementary Table 1, Section 3e). For horse owners who reported  
416 vaccinating all five or at least two of their five animals, competition requirements,  
417 advice from their vet and scientific reports were most influential and had median  
418 scores that were significantly different from horse owners in the "*non-vaccinate*"  
419 group ( $p < 0.001$ ). Protection of the national herd gained influence with increasing  
420 numbers of horses reported vaccinated. In contrast, horse owners who reported  
421 vaccinating 1-3 of their five reported horses indicated that their financial position was  
422 more influential (range of median scores 50-58) than owners who vaccinated all five  
423 horses (median score 10) or none (median score 27). See Supplementary Table 1,  
424 Section 3e for interquartile ranges.

425

### 426 3.7 Thematic analysis

427 A total of 508 horse owners answered the open question "Please state any other  
428 factors that affect your decision on equine influenza vaccination" and analysis  
429 revealed 15 over-arching themes (Supplementary Table 2). Responses were also  
430 categorised as positive, mixed or negative attitudes towards influenza vaccination  
431 and representative quotes are shown in Supplementary Figure 3. The most common

432 responses which were associated with a negative attitude towards vaccination  
433 included adverse vaccine reactions and minimal exposure to new horses. Factors  
434 cited by horse owners that had a positive attitude to influenza vaccination included  
435 insurance requirements and a sense of responsibility, although numbers of  
436 responses were small. Mixed attitudes to vaccination arose following advice from  
437 their vet or a local influenza outbreak.

438

#### 439 **4. Discussion**

440

441 In this study, an overall reported equine influenza vaccination rate of 80% among  
442 10,501 horses was obtained from an online survey of horse owners in the United  
443 Kingdom during 2016, but this does not reflect the level of protection. This rate is  
444 comparable to the 79% (n=873) and 82% (n=797) rates previously found in mainland  
445 Britain (Hotchkiss et al., 2007; Ireland et al., 2013), but lower than others (91%,  
446 n=4601; (Boden et al., 2013). These consistently high overall equine influenza  
447 vaccination rates reported by respondents are at odds with the estimated number of  
448 530,000 vaccine doses sold by pharmaceutical companies in 2013, which equates to  
449 an estimated 53% vaccination rate (MSD, 2017). This suggests that a significant  
450 number of horses are not vaccinated annually which, until the 2019 influenza  
451 outbreak in the UK was the minimum interval recommended by vaccine  
452 manufacturers and various equine authorities. Several potential explanations for this  
453 discrepancy may be proposed. First, the high reported vaccination rate may be self-  
454 selection bias whereby more horse-owners who vaccinated their horses participated.  
455 This is a recognised failing of an electronic survey approach (Sax et al., 2003;  
456 Bethlehem, 2010), but this method permits access by large numbers of people

457 easily; the number of individual UK households with internet access has increased  
458 dramatically in recent years to 89% in 2016 (Office for National Statistics, 2016).  
459 Second, the survey's publicity title, "Calling all horse owners" attempted to minimise  
460 sampling bias by avoiding the use of the word "vaccination". Nevertheless it is  
461 possible that horse-owners who are actively engaged with their horses' health were  
462 more likely to complete the survey. One qualitative study on attitudes to vaccination  
463 revealed that veterinary surgeons divided farmers into three groups, namely  
464 proactive, receptive to advice or disengaged (Richens et al., 2016); similar groups  
465 are likely to exist amongst horse owners. Third, the survey relied on recall by  
466 owners, who were not questioned specifically about the product administered or the  
467 frequency and time since last vaccination as this was deemed too unreliable; the  
468 question simply asked whether their horse had been vaccinated against equine  
469 influenza at some point in their memory. Ireland et al. (2013) reported that 4% of  
470 horses vaccinated against equine influenza received their last vaccination more than  
471 one year previously, thereby failing to meet manufacturer's recommendations of at  
472 least annual vaccination in most horses or even bi-annual vaccination in high-risk  
473 populations. Others reported that 6% of the horses received vaccinations once every  
474 2 years (Mellor et al., 2001). Accessing veterinary records would have improved the  
475 quality of the data, but was too difficult logistically with the numbers of respondents  
476 and horses.

477

478 The study had several strengths, for example, horse owners could record the  
479 vaccination status of up to five horses, which captured differences in reported  
480 vaccination status within horses owned by the same person. The directional nature  
481 also allowed collection of relevant data, depending on whether participants reported

482 vaccinating their horse or not. In addition, completion of the questions relating to  
483 vaccination was compulsory, largely because Boden et al. (2013) found that 20% of  
484 participants skipped the vaccination question in their survey, leading to the possibility  
485 that non-response bias led to an under-representation of non-vaccinated horses. A  
486 total of 81% of participants completed the current survey, likely encouraged by the  
487 use of a prize draw, regular publicity on social media and the ability to resume at a  
488 later date (Edwards et al., 2002; Boden et al., 2013). The completion rate decreased  
489 as the survey progressed, indicating that length may have discouraged some  
490 participants to complete and emphasising the need to avoid over-long surveys. The  
491 assumption that horse(s) are located in the same region as their owners was based  
492 on the finding by Boden (Boden et al., 2013) who reported that just over 90% of  
493 respondents to their survey kept their horses within 10 miles of their own home. In  
494 the current survey, Greater London was the least represented region by  
495 respondents, corresponding with the low density of horses reported in this area,  
496 whereas the high number of participants in areas such as the South East and the  
497 South West corresponded with the high density of horses in these regions (Boden et  
498 al., 2012).

499

500 After completion of this survey, there was a widespread outbreak of equine influenza  
501 (American lineage, Florida clade 1 sub-lineage) amongst vaccinated and non-  
502 vaccinated horses throughout the United Kingdom, Ireland and France in 2019,  
503 which led to the suspension of Thoroughbred horse racing in the UK for 6 days  
504 (<https://www.aht.org.uk/disease-surveillance/equiflunet>). Prior to this outbreak and  
505 after the previous widespread outbreak in 2003, one mathematical model predicted  
506 that with annual booster vaccination, more frequent outbreaks of equine influenza

507 involving a smaller number of horses would be the trend (reviewed in (Daly et al.,  
508 2013)). Up until 2013, the pattern of influenza outbreaks supported the model, but  
509 despite the high rate of equine influenza vaccination reported by horse-owners in the  
510 current and other studies, this was insufficient to prevent the substantial 2019  
511 incursion. The size of the 2019 outbreak suggests that vaccination levels were  
512 insufficient to afford protection of the national herd. One potential explanation is that  
513 the true annual vaccination rate is lower than reported by horse owners and closer to  
514 that reported by pharmaceutical companies. In addition, a mismatch between the  
515 2019 influenza virus strain and the strains included in current vaccines may mean  
516 that a higher rate of vaccination is required to achieve herd immunity. The  
517 substantial size and well-publicised impacts of the 2019 influenza outbreak may  
518 assist in improving the actual uptake of vaccines against this virus by horse owners.

519

520 In order to ensure a population is protected against an equine influenza outbreak  
521 with a homologous strain, at least 70% of horses must be vaccinated annually  
522 (Baker, 1986) and this rises to 95% if at least 6 amino acid HA substitutions arise  
523 (Park et al., 2009). Notably vaccination rates among some groups of horses reported  
524 here were significantly lower. This is concerning because in a population which is  
525 partially immune to influenza, the index case that triggers an outbreak is usually a  
526 seronegative horse (ie non-vaccinated or vaccinated >6 months previously) (Wood,  
527 1991). Several factors appeared to influence the reported equine influenza  
528 vaccination rate, including owner's age  $\geq 26$  years old. It may be that older  
529 participants are less likely to compete in affiliated competition, removing any  
530 compulsory requirement for influenza vaccination of their horses. In contrast, a  
531 survey of dog, cat and rabbit owners reported that participants over the age of 55

532 years were more likely to say their pets had received booster vaccinations (PDSA,  
533 2019). There was a significant association between annual household income and  
534 equine influenza vaccination rates, with participants on annual household incomes  
535 lower than the national average salary less likely to vaccinate their horse(s) against  
536 equine influenza. Vaccine cost was also important in Australian horse-owners who  
537 failed to vaccinate their horses against Hendra virus (Goyen et al., 2017). Owners  
538 located in the municipality of Wales reported the lowest rate of influenza vaccination  
539 but it is likely that confounding factors, in particular the use of horse, contribute to the  
540 regional trends observed. For example, Wales has very few affiliated eventing  
541 competitions for which vaccination is compulsory. Nevertheless a lower booster  
542 vaccination of pets (dogs, cats and rabbits) has been reported in Wales elsewhere  
543 (PDSA, 2019) so animals in this municipality may be more vulnerable to infectious  
544 disease.

545

546 The reported equine influenza vaccination rate was inversely proportional to the  
547 number of horses owned. Thus 88% of owners reported that 'horse 1' was  
548 vaccinated, but this fell to 69% for 'horse 5', a pattern also observed by Koskinen  
549 (2014a) in Finland. This suggests that survey participants are more likely to record  
550 vaccinated horses first and before unvaccinated horses, which may have influenced  
551 data in previous studies that only analysed the first horse per participant (Hotchkiss  
552 et al., 2007; Boden et al., 2013; Koskinen, 2014a). In addition, Boden et al. (2013)  
553 assumed that all horses owned by a participant had the same equine influenza  
554 vaccination status. However, the current survey revealed that 13% of participants  
555 vaccinated some, but not all the horse(s) they owned. If all horses owned by one  
556 participant were assumed to have the same vaccination status, a vaccination rate of

557 88% would have been found instead of 80%, illustrating the effect such an approach  
558 can have. It may also be speculated that as the number of horses owned and age of  
559 owners increase, their use may become more diverse (e.g. athletes, companionship,  
560 retired or bloodstock), for which equine influenza vaccination may be perceived as  
561 unnecessary.

562

563 Significant differences were detected between age groups of horses and their  
564 reported equine influenza vaccination status. The highest reported vaccination rates  
565 were seen in horse age categories spanning 5 to 14 years. The eldest horses  
566 (category  $\geq 20$  years), and the youngest horses (category  $\leq 4$  years) were less likely  
567 to be vaccinated against equine influenza, with an even lower figure (63%) of horses  
568 <1 year old reportedly vaccinated, although this is likely to include foals which were  
569 too young to be vaccinated. Koskinen (2014a) similarly found that participants gave  
570 'too old' and 'too young' as reasons for non-vaccination. The use or activity level of a  
571 horse is likely to be a confounding factor, with both the youngest and eldest horses  
572 unlikely to be competing or ridden regularly.

573

574 The use of horses influenced reported influenza vaccination rates, with the highest  
575 rates found in horses used in affiliated competitions. However, some horses  
576 competing in affiliated competitions were reported as not vaccinated against equine  
577 influenza, despite the mandatory vaccination specifications. This sub-optimal equine  
578 influenza vaccination rate may illustrate the necessity for stricter enforcement of  
579 regulations at affiliated competitions; stricter monitoring has been introduced by  
580 many regulatory authorities and shows since the 2019 influenza outbreak (Horse of  
581 the Year Show, 2019). In the UK, equine influenza vaccination is recorded on each



582 horse's paper passport, which legally, must accompany the horse at all times. There  
583 is no legal requirement for leisure horse owners in the UK to vaccinate their horses,  
584 unless competing in affiliated events. No central equine influenza vaccination  
585 electronic database exists, unlike selected equine sports in some countries (e.g.  
586 Finnish Trotting and Breeding Association; (Koskinen, 2014b; Koskinen, 2014a)).  
587 Instead officials at events involving athletic horses are responsible for checking  
588 vaccination status on the horse's arrival at the event. At each Thoroughbred race  
589 course or international competition, official Veterinary Officers employed by the  
590 British Horseracing Authority or FEI inspect passports prior to each horse entering  
591 the stables. Inspection by officials at affiliated national competitions (e.g. British  
592 Show Jumping, British Dressage etc) has been formalised since the 2019 influenza  
593 outbreak but is often reliant on amateur volunteers, with a veterinary surgeon on-call  
594 for advice. However, this study aimed to target the large numbers of leisure horse  
595 owners, who have freedom of choice around influenza vaccination, who do not  
596 compete and are therefore not required to vaccinate their horses. Although the  
597 reported vaccination rate was high (~80%), there were clearly sub-groups of horses  
598 owned by some groups of horse owners where the rate of vaccination was  
599 substantially lower. Therefore further education of horse owners about the benefits of  
600 influenza vaccination in the prevention of infection and thus improving the welfare of  
601 their horse, which was noted as an important influential factor in decision making,  
602 may be useful in altering behaviour.

603

604 Only 30% of horses that never left their home premises were reported as vaccinated  
605 against equine influenza. The frequency with which horses leave their home  
606 premises is likely to be linked to the purpose for which they are kept. Horses used for

607 breeding or companionship rather than competing in affiliated competition were  
608 reported as less likely to be vaccinated against equine influenza. Koskinen (2014a)  
609 and McGowan et al. (2010) also found breeding, retired and companion horses were  
610 less likely to be vaccinated against equine influenza. Lack of exposure to new horses  
611 has previously been documented as a common reason for non-vaccination (Ireland  
612 et al., 2013; Koskinen, 2014a). In the current study, “minimal exposure to new  
613 horses” was stated frequently in the free text as a reason for not vaccinating against  
614 equine influenza. Participants who did not vaccinate strongly agreed with the  
615 statement regarding non-vaccination because of lack of affiliated competition  
616 requirements. Therefore further education of horse owners about the highly  
617 contagious nature of equine influenza and the 1-2km distance over which virus can  
618 be transmitted as a wind-borne aerosol (Guthrie et al., 1999; Davis et al., 2009;  
619 Cullinane et al., 2010) may be required.

620

621 When horse owners were asked about factors that influenced their decision to  
622 vaccinate against equine influenza, the welfare of their horses was the most  
623 important. The main reason behind owners not vaccinating their horse against  
624 equine influenza was their non-participation in affiliated competitions and ownership  
625 of a horse that suffered an adverse reaction, which may be related to owners’  
626 increased age and life experience. Adverse events have been identified as a barrier  
627 to vaccination in dogs and cats (Belshaw et al., 2018). In the UK, adverse reactions  
628 should be reported to the Veterinary Medicines Directorate who collate and  
629 disseminate information to vaccine manufacturers. Here, an adverse event was not  
630 defined but includes a failure to protect against challenge infection (more accurately  
631 described as vaccine breakdown) and reactions involving clinical signs or illness,

632 including very rarely, anaphylaxis. The latter is more likely to concern individual  
633 horse owners. If adverse events are a barrier to the uptake of influenza vaccination  
634 by a sub-group of horse owners, more publicly available data is required to describe,  
635 for example, their form, frequency, any association with the inoculation site or  
636 administration method or predisposition by horse breed or age. Minor reactions  
637 (clinical signs) involving mild and transient heat and pain (tenderness) at the injection  
638 site are likely to be detected by horse owners and perhaps classified as “adverse”,  
639 without realising that such inflammation is a necessary pre-requisite for the  
640 stimulation of an effective adaptive immune response. A marked adverse reaction  
641 involving more severe inflammation may result in horses developing a very stiff neck,  
642 with severely restricted movement, which requires adaptations to enable them to eat  
643 and drink normally. In these instances, it may be that repeated administration of  
644 vaccines which contain non-viral components such as tissue culture derived proteins  
645 e.g. egg proteins and stabilizers e.g. bovine serum albumin can lead to the  
646 development of IgE mediated, hypersensitivity Type I reactions in animals with a  
647 genetic predisposition for this response (Gershwin et al., 2012). Thus although  
648 difficult, further research and then education of horse owners about the range of  
649 adverse influenza vaccine reactions may provide data to re-assure and encourage  
650 vaccine uptake.

651

652 Additional potential future research around the motivation, barriers and decision-  
653 making processes undertaken by horse owners with regard to uptake of vaccination  
654 may provide further insight into this complex process. In parents, vaccine hesitancy  
655 of their children is well recognised and has been defined by the World Health  
656 Organisation as “a behaviour, influenced by a number of factors including issues of

657 confidence (do not trust a vaccine or a provider), complacency (do not perceive a  
658 need for a vaccine or do not value the vaccine) and convenience (access)” (Edwards  
659 and Hackell, 2016), Multiple factors influence parents when making decisions about  
660 vaccination of their children (Rhodes, 2017; Smith et al., 2017), with concern about  
661 cost, adverse effects, attitudes to vaccination, trust and information availability  
662 featuring consistently. In pet owners (dogs, cats and rabbits), there has been a  
663 sustained reduction since 2016 in the proportion who report a primary vaccination of  
664 young animals or booster vaccination of adults, with the most common reasons for  
665 not vaccinating cited as “too expensive”, “lack of contact with other animals” and  
666 “unnecessary” (PDSA, 2019). The owner’s age, education level and gross household  
667 income were all influential factors. In dog-owners, vaccination of their pets against  
668 rabies virus in Texas, USA increased following a change from annual to triennial  
669 vaccination (Rogers, 2011), indicating the influence of vaccine intervals in owner  
670 compliance. However, reducing the frequency of equine influenza vaccinations is  
671 unlikely because protective immunity is relatively short-lived (~ 6 months) and the  
672 concurrent circulation of multiple influenza strains of different sub-lineages means  
673 that cross-protective immunity induced by an annual vaccination interval is  
674 insufficient to prevent clinical signs of disease. During the widespread 2019 influenza  
675 outbreak in western Europe, vaccine breakdown was detected in 31-34% of horses  
676 which had been vaccinated annually but succumbed to infection, (Gildea et al., 2018;  
677 Fougérolle et al., 2019) implying that the level and / or duration of protective  
678 immunity stimulated by the current vaccination protocols was insufficient to provide  
679 clinical protection against infection with heterologous strains. The reasons and risk  
680 factors underlying vaccine breakdown therefore requires additional research and in  
681 particular the immunity gap during a primary vaccination course (Daly and Murcia,

682 2018) and sexual dimorphism in susceptibility to infection (Barquero et al., 2007;  
683 Ryan et al., 2015). Furthermore an estimated 10% of the equine population respond  
684 poorly to influenza vaccination, by consistently generating antibody levels below the  
685 thresholds required for clinical and virological protection (Daly et al., 2004; Baguelin  
686 et al., 2010; Gildea et al., 2011; Gildea et al., 2013); the underlying causes may  
687 include genetic background, poor administration interval compliance or vaccine  
688 degradation. Regardless of the underlying reasons, these animals are likely to act as  
689 index cases during an outbreak. In Australia, vaccination uptake against Hendra  
690 virus, which can be fatal for horses and people was 11-17%. Reasons cited for the  
691 poor initial uptake included vaccine safety, cost and effectiveness (Manyweathers et  
692 al., 2017b). Improved vaccination uptake was triggered by a nearby infection  
693 outbreak (Manyweathers et al., 2017a) and vets played an important advisory role,  
694 which concurs with data from owners involving dog and cat vaccination (Belshaw et  
695 al., 2018). Increased odds ratios for non-vaccination against Hendra virus included  
696 non-vaccination against strangles, handling more than 3 horses each week,  
697 concerns over the motivation of veterinary surgeons to make money, the side effects  
698 and lack of efficacy of vaccines and non-vaccination of other pets (Goyen et al.,  
699 2017); these insights may inform future research on risk factors associated with poor  
700 compliance around influenza vaccination. Thus many studies show that the factors  
701 which influence vaccination uptake in animals and people are complex and may be  
702 inter-related. Hence future studies to identify motivation and barriers to influenza  
703 vaccination of horses may prove beneficial in altering behaviour and improving  
704 vaccine uptake by horse-owners and thus limit or prevent disease caused by  
705 harmful, infectious pathogens.

706

## 707 **5. Conclusions**

708 In conclusion, this study documented a high rate of equine influenza vaccination as  
709 reported by owners in a substantial number of horses in the UK. However time since  
710 last vaccination or product administered and therefore the potential level of  
711 protection against influenza infection was unknown. Nevertheless, it identified sub-  
712 populations of horses which were less likely to be vaccinated and provided novel  
713 insights into the factors that influence owners' decisions around vaccination of their  
714 horses against equine influenza. Although these factors may alter subtly in response  
715 to the 2019 influenza outbreak in Europe, this information may nonetheless help  
716 veterinary surgeons identify "at-risk" patients and thus communicate more  
717 personalised advice to their horse-owning clients. It may also influence educational  
718 campaigns about equine influenza (e.g. the distance influenza can travel) directed to  
719 horse owners, which aim to improve uptake of vaccination against this highly  
720 infectious pathogen.

721

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723 *Ethics approval and consent to participate.* This study was approved by the School  
724 of Veterinary Medicine and Science's Research and Ethics committee (University of  
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726 *Consent for publication:* All authors have consented to the publication of these data.

727 *Availability of data and material:* All data generated or analysed during this study are  
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738 contributed to survey design and writing of the manuscript. NRK, MB and DSG  
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744

745 **Figure legends**

746 **Figure 1.** Impact of horse-owner related factors on horse-owners' decision whether  
747 to vaccinate their horse against equine influenza virus. a) geographical region; b)  
748 owner's age; c) owner's salary; d) number of horses owned. No. of horses owned; n  
749 = 10,501. OR Odds Ratio with lower and upper 95% Confidence Intervals. Reference  
750 category is indicated by an OR of 1. Black dots: categories which are significantly  
751 different ( $p \leq 0.05$ ) from the reference category. Grey dots: categories are not  
752 significantly different. Percent of horses reported by horse owners as vaccinated: a)  
753 East Midlands 85%, South East 85%, Wales 63%, South West 73%, West Midlands  
754 73%, Scotland 74%; b) 18-25 years 83%, 26-40 years 79%, 41-60 years 78%, >60  
755 years 70%; c) £15,000-£34,999 78%, >£95,000 86%, £55,000-£74,999 83%,  
756 <£15,000 69%; d) 1 horse 88%, 2 horses 81%, 3 horses 79%, 4 horses 76%, 5  
757 horses 73%, >5 horses 66%.

758

759 **Figure 2.** Impact of horse-related factors on horse-owners' decision whether to  
760 vaccinate their horse against equine influenza virus. a) Horses' age (years), n =  
761 10,483, b) Horses' use, n=12,473; c) Frequency of horse leaving yard, n = 4,836. OR  
762 Odds Ratio with lower and upper 95% Confidence Intervals. Reference value is  
763 indicated by an OR of one. Black dots: categories which are significantly different  
764 ( $p \leq 0.05$ ) from the reference category. Grey dots: categories are not significantly  
765 different. Percent of horses reported by horse owners as vaccinated with age: a) 5-9  
766 years 83%, 25->36 years 55%, 20-24 years 69%, 0-4 years 72%; b) hacking 79%,  
767 affiliated competitions 88%, unaffiliated competitions 86%, breeding 64%,  
768 companion 68%; c) once a month 75%, never 30%, once a year 60%, less than once



769 a year 54%, 2-3 times a month 82%, daily 83%, 2-6 times a week 80%, once a week  
770 79%.

771

772 **Figure 3.** Reasons underlying horse owners' decisions: a) to vaccinate n=3624. b)  
773 not to vaccinate against equine influenza virus. n=1215. x axis legend shows topic of  
774 each question; see Supplementary material for full text of questions.

775

776 **Figure 4.** Previous experiences of horse-owners which influenced their decisions  
777 around equine influenza vaccination. a) influenza outbreak; b) adverse reaction  
778 following influenza vaccination. n = 4,837. Odds ratio (OR) with lower and upper 95%  
779 Confidence Intervals. Reference value is indicated by an OR of 1. Black dots:  
780 categories which are significantly different ( $p \leq 0.05$ ) from the reference category.  
781 Grey dots: categories are not significantly different. Percent of owners with previous  
782 experience of: a) an outbreak with, non-vaccinated horses 8%, vaccinated horses  
783 4%; b) an adverse reaction with, non-vaccinated horses 28%, vaccinated horses  
784 16%.

785

Table 1. Demographics of horse owners who participated in the survey. Total number of respondents =4837. United Kingdom regional abbreviations: East Midlands, East of England, Greater London, North East, North West, Northern Ireland, Scotland, South East, South West, Wales, West Midlands, Yorkshire and the Humber.

<b>Demographic</b>	<b>Category Percent (number)</b>											
<b>Gender</b> Percent (No.)	<b>Female</b> 98.5 (4765)			<b>Male</b> 1.5 (72)								
<b>Age (years)</b> Percent (No.)	<b>18-25</b> 21.0 (1017)		<b>26-40</b> 31.2 (1509)		<b>41-60</b> 42.6 (2061)		<b>&gt;60</b> 5.2 (250)					
<b>Annual income (£1000s)</b> Percent (No.)	<b>&lt;15</b> 12.2 (590)	<b>15-34.9</b> 30.4 (1469)		<b>35-54.9</b> 21.1 (1021)		<b>55-74.9</b> 10.5 (508)		<b>75-94.9</b> 5.3 (256)		<b>&gt;95</b> 5.1 (249)	<b>I'd rather not say</b> 15.4 (744)	
<b>No. of horses owned</b> Percent (No.)	<b>1</b> 40.4 (1955)	<b>2</b> 28.6 (1381)		<b>3</b> 14.4 (696)		<b>4</b> 6.9 (336)		<b>5</b> 3.7 (178)		<b>&gt;5</b> 6.0 (291)		
<b>Region</b> Percent (No.)	<b>E Mid</b> 9.9 (477)	<b>E Eng</b> 14.0 (675)	<b>G Lond</b> 0.8 (41)	<b>NE</b> 3.9 (189)	<b>NW</b> 6.8 (327)	<b>N Ireland</b> 2.3 (111)	<b>Scotland</b> 8.3 (403)	<b>SE</b> 19.1 (926)	<b>SW</b> 13.8 (668)	<b>Wales</b> 4.3 (208)	<b>W Mid</b> 7.7 (371)	<b>Y &amp; H</b> 9.1 (441)

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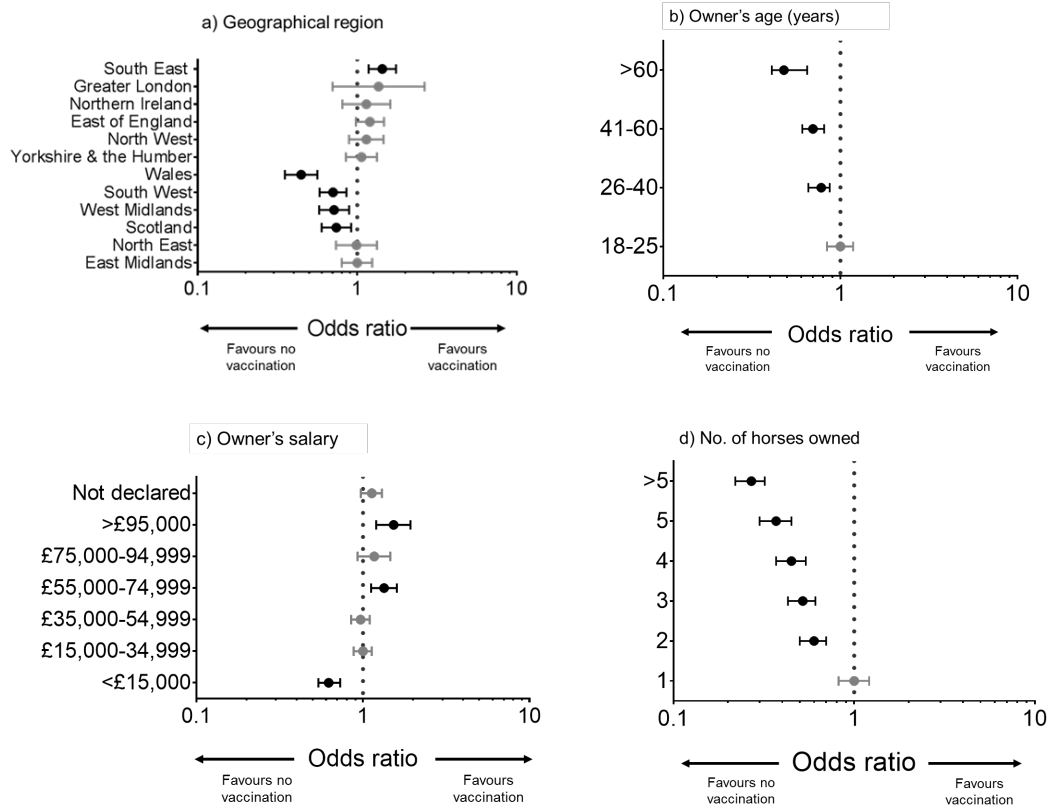
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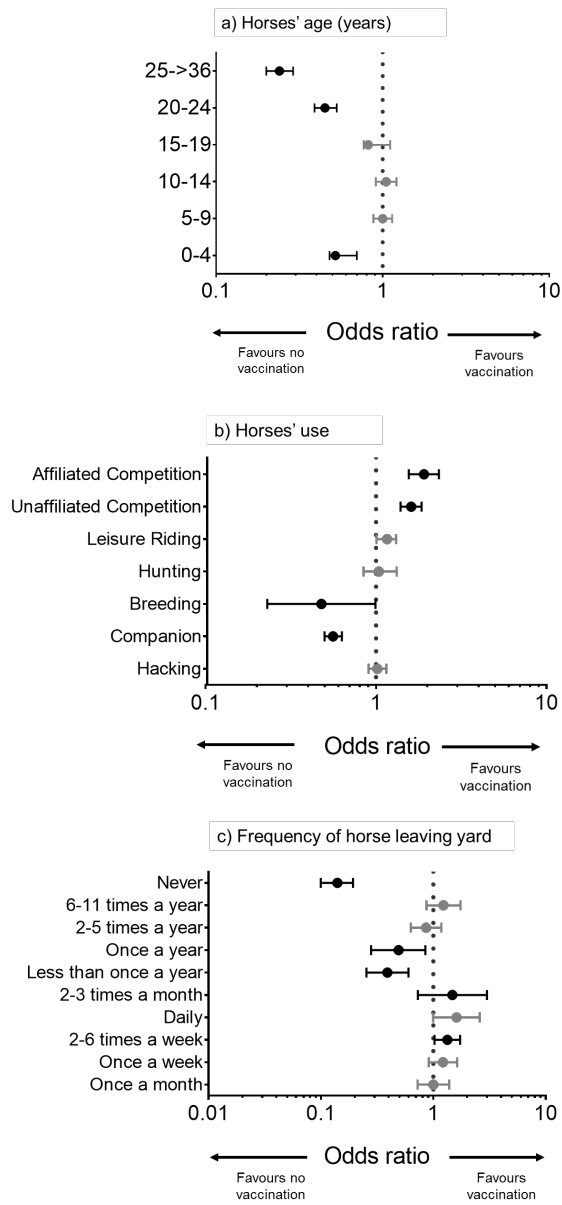
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167 Figure 1

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169 Figure 2.

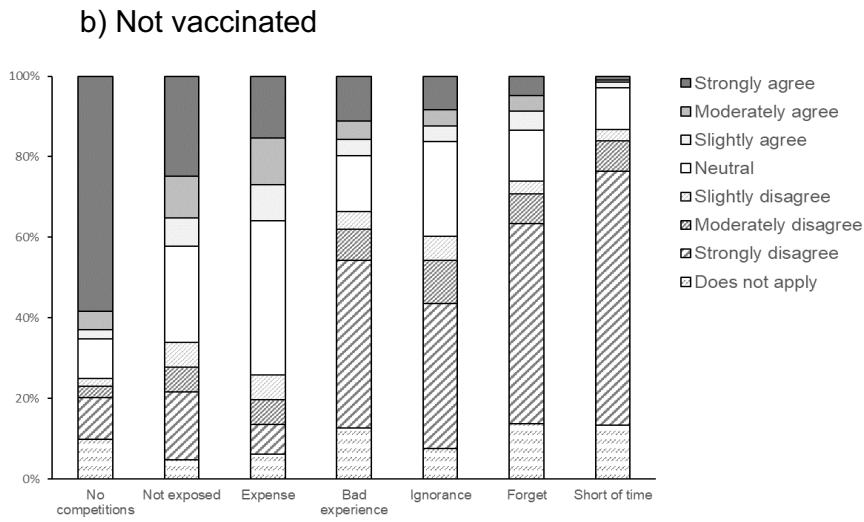
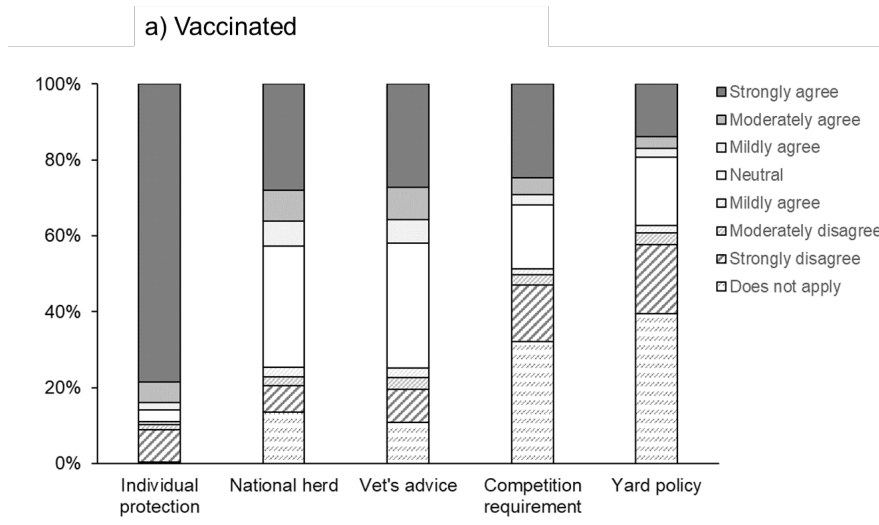


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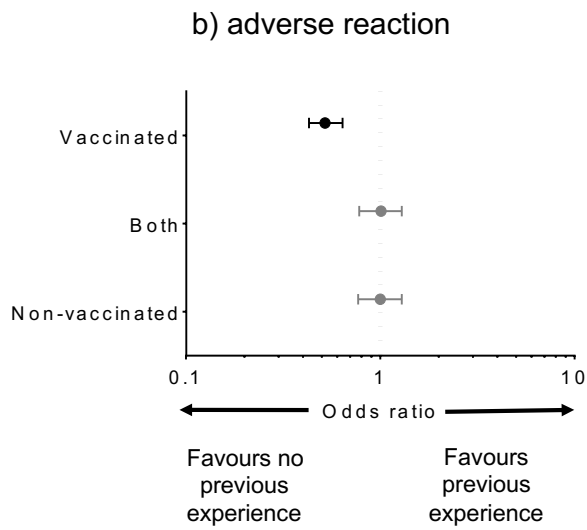
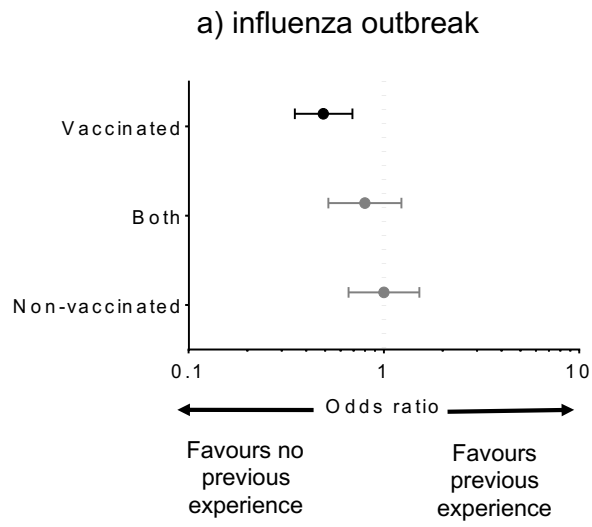


172 Figure 3.



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