

1 **Two For The Road: An Exploratory Study Investigating Driver and Co-Passenger**  
2 **Interactions during Automation and the Transition of Control in a Level 3**  
3 **Automated Vehicle**

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8 **ABSTRACT**

9 To explore the impact of a front-seat passenger during SAE level 3 (L3) automation, eighteen established driver-passenger  
10 pairings undertook three 30-minute journeys framed as ‘days-out’ in a L3 driving simulator. Participants were reminded  
11 of their responsibilities at L3 (as per SAE lexicon), and were given agency to decide what behaviour was acceptable, but  
12 were otherwise free to behave as they wished with the only stipulation that they should resume manual control and exit the  
13 motorway at the correct junction to reach a specified destination. Distracting behaviours emerged, in the form of  
14 participatory activities, that engaged both vehicle occupants in cooperative tasks, such as watching shared content or  
15 playing competitive games on a smartphone, and these delayed or disrupted the resumption of the driving task. Supportive  
16 behaviours were also evident amongst more loquacious passengers, who assisted with route-finding and keeping the driver  
17 awake/alert. Findings provide empirical evidence regarding permissible activities at L3 and can inform in-vehicle design  
18 to promote appropriate behaviour.

19 **Keywords:** SAE level 3, conditional automation, non-driving related tasks, conversation, passenger

20 **1 INTRODUCTION**

21 Near-future vehicles capable of operating at SAE level 3 conditional driving (L3) automation [1] will enable drivers  
22 to undertake secondary tasks, or non-driving related tasks (NDRTs), if they choose to relinquish control to the vehicle, but  
23 will inevitably still involve episodes of manual driving and hence, will require the driver to transition in and out of control,  
24 and be prepared to do so. This represents a radical change in driving ideology, completely redefining the role of, and  
25 expectations placed upon, the driver [2]. The types of NDRTs and the impact these have on the driver’s attention to the  
26 road situation and their ability to resume manual control thus remain the focus of ongoing research activities. In a novel,  
27 longitudinal simulator study, in which participants were given agency to behave as they wished during multiple simulated  
28 ‘commute’ drives during a 5-day working week, Large et al. [3] observed a diverse range of NDRTs and novel behaviours  
29 during manual driving and L3 automation. Drivers’ NDRTs (undertaken during periods of L3 automation) often had high  
30 visual, manual and cognitive demands, such as using a mobile phone, reading books or magazines, using a laptop or tablet  
31 computer, and even included short episodes of sleeping. These behaviours were reflected in participants’ subjective ratings,

32 which indicated a high level of trust from day one, and this increased over the week. When asked to resume control after  
33 periods of L3 automation, drivers took longer to respond during each subsequent visit, and focused primarily on control  
34 level driving tasks, suggesting that they were overly optimistic about the capability of the automation and their own ability  
35 to resume manual driving when required to do so [3].

36 While the behaviour and performance of the driver at L3 automation understandably predominates research activities,  
37 it is also noted that a significant proportion of cars currently on the road contain at least one passenger – over one third in  
38 the UK according to recent figures [4]. Moreover, there is an expectation that multiple-occupant travel is likely to increase  
39 due to predicted behavioural changes from single occupancy to shared mobility solutions. The presence of one or more  
40 passengers has been shown to distract drivers during manual driving, with reported reductions in situational awareness and  
41 increases in the risk of taking unsafe actions – factors that elevate crash risk, particularly for young drivers and passengers  
42 [6]. Moreover, in the presence of other vehicle occupants, the car becomes a social space [7] [8]. This can lead to new  
43 collaborative behaviours, which may divert the driver’s attention away from the road situation and even lead to ‘social  
44 discomfort’ caused by contentious conversations with passengers, particularly if they are known to the driver [8].

45 There has been considerable focus on human behaviour in vehicles containing multiple occupants at SAE Level 4 (L4)  
46 high driving automation or SAE Level 5 (L5) full driving automation [1]). These studies are often associated with devising  
47 novel vehicle cabin designs and seating configurations to enable (or potentially, inhibit) different (non-driving-related)  
48 activities and social situations, for example, through ‘interior metamorphosis’ [10] [11]. Findings from these studies are  
49 therefore commonly articulated using measures of user experience (UX) and acceptance (e.g. see: [12]). These studies are  
50 fundamentally grounded by the fact that the vehicle occupants will not be required to resume the driving task (in fact, there  
51 may be no physical controls to enable this), and this significantly limits their relevance and applicability for lower levels  
52 of automation in which the driver will, by definition, need to switch between manual driving and periods of automation.

53 In contrast, the presence of a passenger in vehicles offering L3 automation has, to date, received no empirical attention,  
54 yet such vehicles are generally considered to be close to large-scale deployment, with several examples already in the  
55 market, and this will likely be drivers’ first experience of ‘automation’. Inspired by previous investigations undertaken by  
56 the authors (see: [3] [4]), and utilising the same methodological approach, we conducted an exploratory study to investigate  
57 the interactions that naturally took place between the driver and a front-seat co-passenger in a vehicle offering L3  
58 automation, representing the next evolution of the driving task. The aim of the investigation was to document the types of  
59 NDRTs that drivers and co-passengers naturally undertook, and to consider the impact these had on distraction and  
60 performance as they transitioned between manual driving and L3 automation. The study thus builds on knowledge of the  
61 impact of a passenger during manual driving and aims to inform the design and acceptance of emerging vehicles with L3  
62 automation.

## 63 2 BACKGROUND

64 Vehicles offering L3 automation present a unique situation: to ‘users’, these vehicles are unlikely to appear  
65 substantially different in design to manually-driven cars, and the driver (and indeed, any co-passengers) are therefore  
66 unlikely to appreciate the different challenges they present; moreover, evidence suggest that drivers are likely to misjudge  
67 their own ability to successfully interact with L3 automation, and indeed, the capability of the automation itself (see: [2]).  
68 As a consequence, the current study primarily builds on work from manual driving, in which our understanding of the  
69 impact of passengers is well-established, to consider how this knowledge may apply to vehicles capable of transitioning  
70 between manual driving and automation.

71 In manual driving, the presence of a passenger can significantly influence various aspects of manual driving behaviour,  
72 including driving performance, attention allocation and risk-taking behaviour. While much of the literature supports the  
73 common wisdom that passengers are a distraction to drivers in manually driven cars, and that their presence can therefore  
74 increase crash risk, reported findings are rather nuanced, with some reported instances in which a passenger can provide a  
75 supportive or protective effect during manual driving, thereby minimising crash risk, for example, by encouraging good  
76 driving behaviours or helping the driver with specific driving-related tasks, such as route-finding.

77 If a passenger is present in a manually driven vehicle, interaction with the driver is likely to occur. Many studies have  
78 subsequently highlighted correlations between interaction with passengers and road crashes during manual driving (e.g.,  
79 [20] [21]). In their meta-analysis, Theofilatos et al. [15] reported that 'interaction with passenger' is one of the most  
80 frequently cited distracting activities undertaken by drivers and resulted in a 'non-negligible' number of crashes. Moreover,  
81 they showed that there is a significant increase in injury severity associated with passenger presence, compared to injuries  
82 sustained when a driver is alone. Similarly, Orsi et al. [22] undertook an analysis of accident data and highlight passenger  
83 presence as a factor influencing crash outcome. They also highlight that the influence of passenger presence on crash  
84 outcome severity for drivers was found to depend on driver age: amongst young drivers (under 25 years of age), the  
85 consequences of a road crash were more severe if there were passengers in the car. The reported increase in injury severity  
86 reported by the aforementioned authors ([15] [22]) (amongst others) is thought to be due to an increase in risky driving  
87 behaviours in the presence of a passenger, for example, a reduction in the wearing of seat belts amongst young drivers and  
88 young passengers [23]. These findings are also in line with the results of other studies which show an increased risk of  
89 injury or death in young drivers carrying passengers [24] [25] [26].

90 Moreover, Orsi et al. [22] report that crash outcome for young drivers is more likely to be severe when the passenger  
91 is male. Indeed, other studies have also highlighted an increased risk of crashes [23] and risky driving behaviour [27] in  
92 the presence of male passengers. Such findings are also supported by Ouimet et al. [6], who showed increased risk for  
93 young drivers with at least one passenger compared with solo driving. Increased risk was also found for fatal crashes and  
94 for combined or nonfatal crashes with male versus female passengers and for younger versus older drivers [6]. Results  
95 were mixed for nonfatal crashes, with no clear evidence that teenage passengers or passengers of any age are associated  
96 with increased risk, although there is some reported evidence of a small protective effect (that is, reduced risk) associated  
97 with male driver-female passenger partnering [25] [28].

98 In the presence of a passenger, interaction often takes the form of conversation, although this in itself might develop to  
99 something more significant, for instance, an argument, or 'dealing with children' [14]. Nevertheless, it has been reported  
100 that passengers modulate their conversation based on their perception of the road situation, suggesting that they are aware  
101 of the potential distraction they pose [30]. Indeed, Drews et al. [30] report that passengers frequently and intuitively  
102 withdraw from a conversation when the driver approaches a complex junction. Interestingly, similar effects are not noted  
103 during mobile phone conversations with a driver as the so-called 'remote passenger' is evidently not experiencing the  
104 driving situation first-hand and is thus unable to modulate their conversation appropriately [31]. There is also some  
105 evidence of reciprocal behaviour from drivers, who may compensate for their reduced attention when talking to a passenger  
106 by facilitating the driving task, for example, by driving more slowly while they are engaged in a conversation [32].

107 It has also been suggested that passengers often discuss items pertinent to driving (particularly if they are also an  
108 experienced driver themselves) thereby supporting the driver and enhancing their situational awareness (SA) (in so far as  
109 improving their perception of critical factors in the environment at 'level 1' SA) [18] [33]. For example, passengers who  
110 are familiar with the driving task have been noted highlighting significant features and events in the driving environment  
111 or monitoring the condition and performance of the vehicle or, indeed, the driver (for example, to determine if they are

112 tired or affected by alcohol) [34] [33] [35]. Passengers have also been observed actively assisting with the navigation task  
113 when approaching a motorway or highway exit [30], although Vollrath et al. [36] warn that certain driving cues such as  
114 traffic signs, apparent hazards or warnings, are often missed by passengers. In contrast, conversation with a passenger who  
115 has no, or very limited, driving experience can actually have a negative impact on the driver's situational awareness [18].  
116 Indeed, any conversation can be a distraction in and of itself, as it requires attention and imposes cognitive load, regardless  
117 of topic, and this can divert the driver's attention away from the primary driving task [37]. Consequently, 'talking to  
118 passengers' is one of the most commonly reported distractions by drivers [14], with 21% of distractions attributed to  
119 passengers and their verbal interactions [37]. Moreover, driver-passenger conversation reportedly contributes to up to 20%  
120 of distraction-related road accidents [38].

121 Passengers can also offer other protective effects during manual driving. For example, drivers' involvement in physical  
122 activities within the car, such as adjusting the radio or using electronic devices, notably reduces when a passenger is present  
123 [29]. In their interview study, Sagberg et al. [29] conjecture that this is either because the passenger undertakes the  
124 secondary task on the driver's behalf (recognising that the driver is currently engaged in the driving task, and therefore  
125 unable to do so themselves) or because the presence of a passenger has an inhibitory effect on the driver's motivation for  
126 undertaking distracting secondary tasks. It has also been noted that the presence of a passenger can reduce the likelihood  
127 that a driver will commit a traffic violation [39].

128 Although passengers have the potential to support drivers and facilitate positive benefits, for example, by contributing  
129 to a shared awareness of the road situation, or through the encouragement of positive safe-driving behaviours such as  
130 suggesting taking a break on a long drive [30], these effects may be influenced by certain sociodemographic factors, such  
131 as the driver's and passenger's age and gender. For example, Rueda-Domingo et al. [28] found that the presence of  
132 passengers had a more protective effect for older drivers than younger drivers. Vollrath et al. [36] also report protective  
133 effects associated with passenger presence in their analysis of accident data, although they too cite a number of modifying  
134 variables, such as the driver's age, time of day etc. (notably, protective effects were lowest for young drivers and during  
135 night-time driving). Negative effects are typically attributed to social facilitation theory [40], which describes how people  
136 are affected differentially by the presence of others (also referred to as the audience or spectator effect): young drivers are  
137 purportedly more susceptible to peer pressure than adult drivers [41] [27], and this may account for the higher incidence  
138 of risky behaviours. However, social facilitation theory may also explain positive effects, such as the lower incidence of  
139 traffic violations if one or more passengers were present [39], and a higher likelihood of seat-belt usage and lower  
140 likelihood of alcohol use in the presence of passengers (notwithstanding younger drivers) [32].

141 The nature of the relationship between the driver and their co-passenger can also influence the effects of a passenger  
142 during manual driving. Notably, drivers who are romantically involved with their passenger often engage in contentious  
143 or emotionally charged conversations [42]. If their partner is present in the vehicle, this can adversely affect vehicle control  
144 (longitudinal and lateral), compared to situations when the driver talks to their (romantic) partner on a hands-free mobile  
145 phone [42]. Laurier et al. [7] go further to suggest that the privacy of the car is an occasion that enables, or even encourages,  
146 conversations on 'very serious or difficult' topics amongst close friends and partners. They highlight that car-bound  
147 conversational partners cannot easily walk away from the conversation, and thus, being co-located in a car allows for slow  
148 and considered responses to complex or difficult issues. Moreover, Laurier et al. [7] highlight that in manual driving, the  
149 driver and their passenger are both facing forward (and the driver would be notionally required to remain so at L3  
150 automation) and this avoids them having to make 'awkward' eye-contact which can ease difficult discussions.

151 Despite the evident impact of a passenger (both positive and negative) during manual driving, there is currently a  
152 scarcity of published works regarding the effect of passenger presence in vehicles offering L3 automation which maintains

153 manual driving as a fallback in all situations. The current study therefore aims to explore the behaviour and opinions of  
154 the driver and their co-passenger as they transition between states of manual driving and L3 automation.

### 155 3 METHOD

156 The overall goal of the research was to conduct an exploratory study to investigate the behaviour of a driver and a  
157 front-seat, co-passenger during L3 automation [1]. It builds on previous work undertaken by the authors (see: [3] [2]),  
158 which effectively forms the methodological baseline. In particular, we were looking to uncover the impact of a co-  
159 passenger during periods of L3 automation and when resuming the manual driving task, and to provide both qualitative  
160 and quantitative data to inform the debate regarding permissible activities during L3 driving automation and the design of  
161 in-vehicle information systems and functions to support and promote the safety of drivers and passengers, and, indeed, all  
162 other road users. The research aimed to address the following research questions:

163 RQ1. What will drivers and their co-passengers naturally do in vehicles offering level 3 automation?

164 RQ2. What impact does the presence of a co-passenger have during periods of L3 automation and when transitioning  
165 between states of L3 automation and manual driving?

166 RQ3. What levels of situational awareness, workload, trust and acceptance are experienced by drivers and co-  
167 passengers?

#### 168 3.1 Participants

169 Established driver-passenger pairings (n=18 pairs) were recruited to take part. Unfortunately, one pair withdrew  
170 partway through the study due to symptoms of simulator sickness. All reported data refers to the remaining 17 pairs (or 34  
171 individuals). All driver-passenger pairings were known to one another, and they were asked to self-articulate their  
172 relationship. Relationships were thus described as “Friends” (n=6), “Partners” (n=8) and “Colleagues” (n=4). The study  
173 included multiple journeys and participants fulfilled the same role (driver or passenger) during each journey for  
174 consistency. All drivers were experienced (14 male, 3 female; mode age: 25-34; mean years driving: 12.0; mode annual  
175 mileage: 5,000 to 10,000); eleven of the passengers were also experienced drivers (2 male, 9 female; mode age: 25-34;  
176 mean years driving: 12.0; mode annual mileage: up to 5,000). All participants completed a consent form and received a  
177 £30 (GBP) shopping voucher as a token of goodwill for taking part. The study design was approved by the University of  
178 Nottingham Faculty of Engineering ethics committee, which requires approval from two independent members of the  
179 committee.

#### 180 3.2 Equipment

181 The study took place in the University of Nottingham Human Factors driving simulator, which was chosen to ensure  
182 an equitable driving experience for all participants and to ensure their safety and wellbeing. The simulator comprises a  
183 right-hand drive, mark one Audi TT car (Figure 1). Three ceiling-mounted, high-definition projectors provide an  
184 approximately 270 degrees forward and side view of the dynamic, unfolding driving scene onto a curved screen, with edge-  
185 blending and image warping ensuring a contiguous image is presented to participants. Side mirror displays are integrated  
186 within the original mirror housings. The rear view is displayed via a display screen placed behind the vehicle. Vehicle  
187 performance data is presented on a 7-inch LCD screen, replacing the original Audi instrument cluster. A Thrustmaster  
188 T500RS force feedback steering wheel and pedal set are integrated with the existing Audi primary controls and cabin  
189 environment. The driving simulator has been used in numerous behavioural studies and has been specifically validated in  
190 the context of driver behaviour and distraction (see: [39])

191 The simulated driving environment was created using AV Simulation SCANeR software  
192 (<https://www.avsimulation.com/scaner/>) and was designed to replicate a geo-typical UK road network comprising  
193 suburban and 3-lane motorway elements (Figure 2). The road layout, junctions, markings and signage conformed with UK  
194 standards, as far as practicable, although road names and locations were fictitious, with no association with real places  
195 implied or intended. Traffic levels were moderate to heavy throughout the journey to reflect typical, changing traffic  
196 conditions. The same road network was used for all drives although the volume and behaviour of surrounding traffic  
197 differed between drives.  
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199  
200 Figure 1: Driving simulator, showing (clockwise from top left): side view, vehicle cabin/interior, control room and full  
201 vehicle with surrounding screen



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Figure 2: AVSimulation SCANeR rendering of motorway scenario, showing accident and traffic jam on counter carriageway (top) and inclement weather prompting unexpected, emergency handover on drive 3 (bottom)

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### 3.3 Procedure

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Three journeys were curated and framed as ‘days-out’ occurring over a week to reflect genuine driving experiences, with the aim of eliciting authentic behaviours rather than for experimental convenience or control. The three journeys were described to participants as: (1) visiting a shopping outlet on Monday, (2) a walk in the country on Wednesday, and (3) dinner with friends on Friday. In practice, the three journeys occurred during the same day to aid participant recruitment and retention. After completing drive (1), participants left the vehicle and were asked to complete various questionnaires (see Section 3.4). After a short break, they returned the driving simulator to undertake drive (2), and so on.

Each journey began in the same residential setting, described as the driver’s home. Participants drove manually to the motorway (approximately 5 minutes) and always joined at junction 27. L3 automation was made available as they approached the motorway and routinely activated on the slip road. Participants were required to resume manual control (using a specified voice command which began a 10 s countdown) and to leave the motorway at the correct exit based on the specified destination. The current vehicle status (manual driving, automation available, automated driving etc.) was communicated multimodally, as a text-based notification on an HMI located in the centre console of the vehicle and as a

219 voice message which announced any change of state, for example, “automated driving available”. Each journey lasted  
220 between 20 and 30 minutes, depending on the distance to the required destination. The destination was described in detail  
221 to the driver and passenger before each journey, including the destination’s name, motorway junction and expected  
222 duration, for example, “*You’re going shopping in Tyson’s outlet shopping centre. You will need to exit the motorway at  
223 junction 33, signposted to A68 Tysons. This journey should take approximately 25-30 minutes.*” During drive 3, an  
224 unexpected situation necessitated the ‘emergency’ handover of control approximately 11-minutes into the drive and notably  
225 prior to the specified junction. This was due to inclement weather (i.e. heavy rainfall) affecting the vehicle sensors (Figure  
226 2-bottom) and was described as such to participants using the in-vehicle HMI and an accompanying voice message.

227 Participants were told that during periods of automation they were free to undertake any activities they deemed to be  
228 acceptable in the context of L3 automation (having also been made aware of this prior to attending so that they could bring  
229 with them any artefacts or paraphernalia they deemed appropriate). No restrictions were placed on the types of activities  
230 drivers and passengers could do, other than their own interpretation of their role and responsibility at L3 automation, which  
231 was described exactly as per the SAE definition [1], effectively replicating a ‘user manual’, prior to undertaking any drives.  
232 Participants were also informed that they would be video recorded and so should not discuss any topics, or reveal any  
233 information, that they would not want a stranger to hear.

### 234 3.4 Measures and Analysis

235 Videographic data were used to determine the activities undertaken by the driver and passenger, and their behaviour  
236 immediately prior to and during the handover of control, replicating the coding scheme and techniques employed by Large  
237 et al. [3] in their study.

238 Subjective ratings of situational awareness (SA) [44], situational trust (ST) [45] and workload (WL) [43] were captured  
239 immediately after each of the three drives. Ratings of trust in automation [46] were captured before and after the full  
240 experience (i.e., all three drives), and ratings of technology acceptance (TA) [47] were captured at the end of the study. All  
241 ratings were provided independently and in isolation by both the driver and the passenger with the aim of investigating  
242 each occupant’s own engagement with the driving scenario and driving task, and their attitudes towards the automation,  
243 rather than to determine any interrelationship between partners’ ratings. Thus, for SA, ST and WL, ratings were compared  
244 between the three drives using repeated-measures ANOVAs, with the role (driver or passenger) as a between-subjects  
245 factor. For trust, ‘before’ and ‘after’ ratings were compared using paired-samples *t*-tests. Drivers’ and passengers’ TA and  
246 trust ratings were also compared using independent samples *t*-tests.

247 A bespoke post study questionnaire examined the perceived role and influence of the passenger (from both the driver’s  
248 and passenger’s perspective) using Likert rating scales and written responses. Thirteen statements were rated independently  
249 by the driver and passenger using 7-point Likert scales, where 1 was labelled “completely disagree” and 7, “completely  
250 agree”. Again, ratings were provided independently by both parties with the aim of investigating their attitudes as an  
251 individual rather than to explore any influence of their partner’s attitudes and ratings. Where possible, statements were  
252 worded identically for the driver and passenger. For example, the statement: “I attempted to maintain awareness of the  
253 driving scene while the vehicle was in control” was used for both the driver and passenger. For analytical purposes, any  
254 negative statements were reverse-scaled, such that a higher numerical value always indicated a more positive attitude  
255 towards the stated behaviour or more positively perceived impact of the passenger. Statements were grouped into three  
256 clusters for analysis: during automation, decision to takeover and during takeover. Cumulative ratings were computed for  
257 each of the three clusters by amalgamating and scaling ratings. Drivers’ and passengers’ ratings were subsequently  
258 compared using independent-samples *t*-tests to determine any statistically significant differences between their responses.

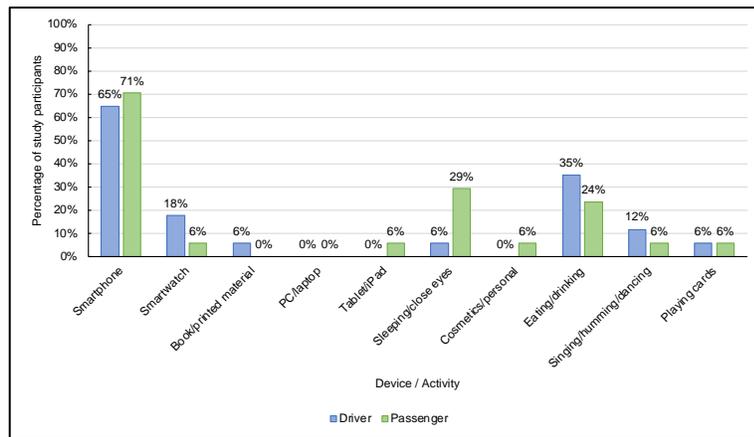
259 In addition, we transcribed all dialogue that occurred between the driver and passenger during the routine takeover on  
 260 drive one and during the unexpected, emergency handover on drive three. For the routine handover, this also included any  
 261 dialogue associated with the decision to resume control, immediately before the takeover was initiated, until the car left  
 262 the motorway. For the unexpected, emergency handover, all dialogue associated with the emergency itself was transcribed  
 263 until manual control has been transferred to the driver. This included any dialogue in response to the emergency notification  
 264 as well as any dialogue associated with the conditions leading to the unexpected handover, for example, if the driver or  
 265 passenger noticed and commented on the degradation in weather conditions. Salient dialogue was subsequently analysed  
 266 using speech act theory [48] by applying the driving skills hierarchy [13] as a framework (in other words, each speech act  
 267 was associated with its relevant aspect of the driving task: control, tactical or strategic). In addition, episodes of perspicuous  
 268 dialogue were captured verbatim to support findings.

269 Finally, vehicle control data immediately following the resumption of control were captured by the SCANeR simulation  
 270 software (notably, lane position, lateral instability, speed and speed variability, driver’s first primary control input), and  
 271 analysed to evaluate the driver’s performance during the take-over.

272 **4 RESULTS**

273 **4.1 NDRTs**

274 Smartphone use was popular amongst drivers and passengers, with 65% of drivers and 71% of passengers engaging  
 275 with their smartphone at some point during their drive (Figure 3). This is largely unremarkable, given the role that  
 276 smartphones play in many people’s daily lives. However, there was also some evidence of drivers and passengers using  
 277 smartwatches, ostensibly as a surrogate to using a smartphone (for example, to quickly read or respond to a message).  
 278 Despite the prevalence of smartphone use, there were concerns expressed by some drivers and passengers regarding  
 279 whether the driver should be allowed to use their phone or not, even during periods of automation (*Driver: “I do have my*  
 280 *phone, but I don’t really want to go on it.” Passenger: “I don’t want you to go on it either.”*).  
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282 Figure 3: Secondary devices and activities undertaken by drivers and passengers during periods of automation  
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284 There were some notable differences in the activities conducted by drivers compared to passengers. For example,  
 285 passengers tended to relax (‘sleep’) more and use their smartphones for longer periods than drivers. Participants comments

286 suggested that many thought the passenger was more able to completely disengage from the driving task (for example,  
287 sleep, watch engaging content on their phone) than the driver, who ultimately retained responsibility for driving and the  
288 vehicle (*Passenger: "Even though I can sleep, you can't!"* Pair 1). Some passengers therefore admonished the driver if  
289 they suggested that they may sleep (*Driver: "I suppose I could have a nap really." Passenger: "No, no."* Pair 15).  
290 However, some drivers were quite prepared to sleep (often reclining their seat to do so) and were supported in their decision  
291 by their respective passenger (*Driver: "Can I sleep?" Passenger: "Yeah. You don't need to drive."* Pair 2), and there were  
292 also examples where the driver and passenger appeared to notionally share the driving task, for example, by taking turns  
293 to rest or sleep, while the other stayed alert. While some activities were initially undertaken in isolation (for example, the  
294 driver and passenger used their smartphone to check their own messages), they often subsequently shared their news or  
295 updates with their partner, typically by physically showing their partner the phone.

296 It was also common for the driver and passenger to use their smartphones to facilitate joint gaming activities, for  
297 example, playing a game of chess, solving an online crossword puzzle or playing a word game. However, not all joint  
298 activities were digital or device-based; there were also examples of participants who chose to play games in a more  
299 traditional manner, such as a game of cards, with physical playing cards. Notably, several participants, who were jointly  
300 engaged in gaming, missed their junction in drive one, prioritising their NDRT above preparations to resume control and  
301 exit the motorway. In contrast, some joint activities, such as sharing drinks and snacks, enabled the driver and passenger  
302 to remain visibly attentive to the road – at least in so far as their gaze was still directed to the forward driving scene.

303 Arguably, the most notable finding was that participants were often quite content to sit and talk while the car was in  
304 control, with no secondary device or activity *per se*. The natural, side-by-side forward-facing seating configuration also  
305 ensured that both driver and passenger were notionally looking at the road ahead while they interacted and talked, and their  
306 glance behaviour and conversation suggested they continued to attend visually to the road scene, often highlighting or  
307 discussing the behaviour of other vehicles on the road or features in the scenario. More generally, topics of conversation  
308 between drivers and passengers included: the road situation and other road users (including gestures to highlight other  
309 vehicles or features), the behaviour of their car, their journey (and deciding when they should resume control and takeover)  
310 and their attitudes towards automation more generally. There were also numerous examples of drivers explaining road  
311 signs and interpreting the behaviour of other road users for the benefit of the passenger, particularly in situations where the  
312 passenger was not a qualified or experienced driver themselves.

313 In situations where the driver and passenger were also engaged in a joint NDRT while talking (for example, discussing  
314 chess moves), the conversation appeared to move seamlessly between this and driving. For example, while playing chess,  
315 the driver and passenger tended to glance back at the road between turns (though notably still missed their exit in this  
316 particular example); other participants (drivers and passengers) looked up in response to the noise of a passing vehicle or  
317 in response to their vehicle changing lanes, suggesting a natural 'chunking' to their NDRT. In addition, we observed drivers  
318 and passengers waving to other motorists in the simulation. This natural inclination to share observations and engage with  
319 other road users shows good immersion within the simulated driving experience, from a methodological perspective, but  
320 also suggests the potential for continued engagement with the road situation even during periods of automation and while  
321 undertaking NDRTs. Nevertheless, participants commonly described their experience in the automated vehicle as  
322 somewhat tedious: *"It's actually really boring."* (Driver, Pair 2); *"After 5 minutes, I'll be sleeping. Very boring."* (Driver,  
323 Pair 14); *"If you didn't have to do anything, it would be so boring."* (Driver, Pair 16), confirming common knowledge that  
324 it is challenging to stay attentive and alert during long periods of automation.

325 Conversation was particularly perspicuous when deciding when to resume control and during the takeover itself, with  
326 drivers often discussing and negotiating this decision with their accompanying passenger. Overall, participants tended to

327 discuss more *control* [13] aspects during routine takeovers, whereas more conversational turns and speech acts were  
 328 dedicated to *tactical* and *strategic* [13] aspects during the unexpected, emergency takeover. This arguably reflects the  
 329 nature of the experience: during routine handovers, participants had already decided to resume manual driving, meaning  
 330 that they were, to some degree, strategically prepared and had made some (albeit, cursory) assessment of the road situation  
 331 in preparation for this (tactical). Thus, their focus during routine takeovers was arguably directed more to the control  
 332 aspects of resuming control. During the third drive, however, participants were not expecting to take over control so soon,  
 333 having prepared for a longer journey, and were therefore required to promptly stop their NDRT, make a quick assessment  
 334 of the tactical situation and then consider the strategic elements, in response to the emergency notification (for example,  
 335 assessing where their required junction was in relation to their current position). This was subsequently reflected in the  
 336 additional dialogue and speech acts associated with tactical and strategic elements. In contrast, the control aspects of taking  
 337 over were less commonly discussed during the unexpected, emergency handover as this was largely imposed upon drivers  
 338 by the ‘unexpected’ nature of the takeover request.

#### 339 4.2 Situational Awareness, Situational Trust, Workload, Trust in Automation, Technology Acceptance

340 For SA, no statistically significant differences were evident, indicating that drivers’ and passengers’ ratings of SA were  
 341 comparable between drives ( $F(2,64) = 2.35, p = .10$ ), and indeed, between roles ( $F(2,64) = .26, p = .77$ ). In addition,  
 342 drivers’ and passengers’ ratings of ST were statistically comparable between drives ( $F(2,64) = .46, p = .63$ ), and indeed,  
 343 between roles ( $F(2,64) = .09, p = .92$ ). Drivers’ and passengers’ ratings of workload were also statistically comparable  
 344 between drives ( $F(2,64) = .86, p = .43$ ) and between roles ( $F(2,64) = .62, p = .54$ ) (Table 1).

345 For trust in automation, there were no statistically significant differences between drivers’ ratings before and after the  
 346 drives ( $t(16) = .24, p = .81$ ). However, passengers’ ratings show a significant increase after the drives ( $t(16) = 2.19, p =$   
 347  $.04$ ), suggesting higher trust in automation. The independent samples *t*-tests show no significant differences overall  
 348 between drivers’ and passengers’ ratings of trust in automation ( $t(66) = .39, p = .70$ ). Finally, there were no significant  
 349 differences between drivers’ and passengers’ ratings of technology acceptance ( $t(32) = .05, p = .96$ ) (Table 2).

350

	Drive 1		Drive 2		Drive 3	
	Driver	Passenger	Driver	Passenger	Driver	Passenger
Situational Awareness	19.8 (4.5)	17.3 (6.8)	21.8 (5.4)	20.5 (7.1)	20.2 (4.9)	19.8 (6.7)
Situational Trust	4.1 (0.6)	4.1 (0.5)	4.1 (0.7)	4.1 (0.4)	4.2 (0.6)	4.2 (0.5)
Workload (NASA-TLX)	14.3 (3.4)	14.5 (4.6)	13.1 (4.7)	13.9 (4.6)	14.4 (5.6)	13.5 (3.2)

351 Table 1: Drivers’ and passengers’ ratings of situational awareness [44], situational trust [45] and workload [43], showing  
 352 mean (standard deviation) values

353

	Before		After	
	Driver	Passenger	Driver	Passenger
Trust in Automation	3.26 (0.32)	3.16 (0.42)	3.28 (0.30)	3.31 (0.36)
Technology Acceptance	N/A	N/A	4.93 (0.76)	4.91 (0.93)

354 Table 2: Drivers’ and passengers’ ratings of trust in automation [46] and technology acceptance (TA) [47], showing mean  
 355 (standard deviation) values

356 **4.3 Bespoke Post Study Questionnaire**

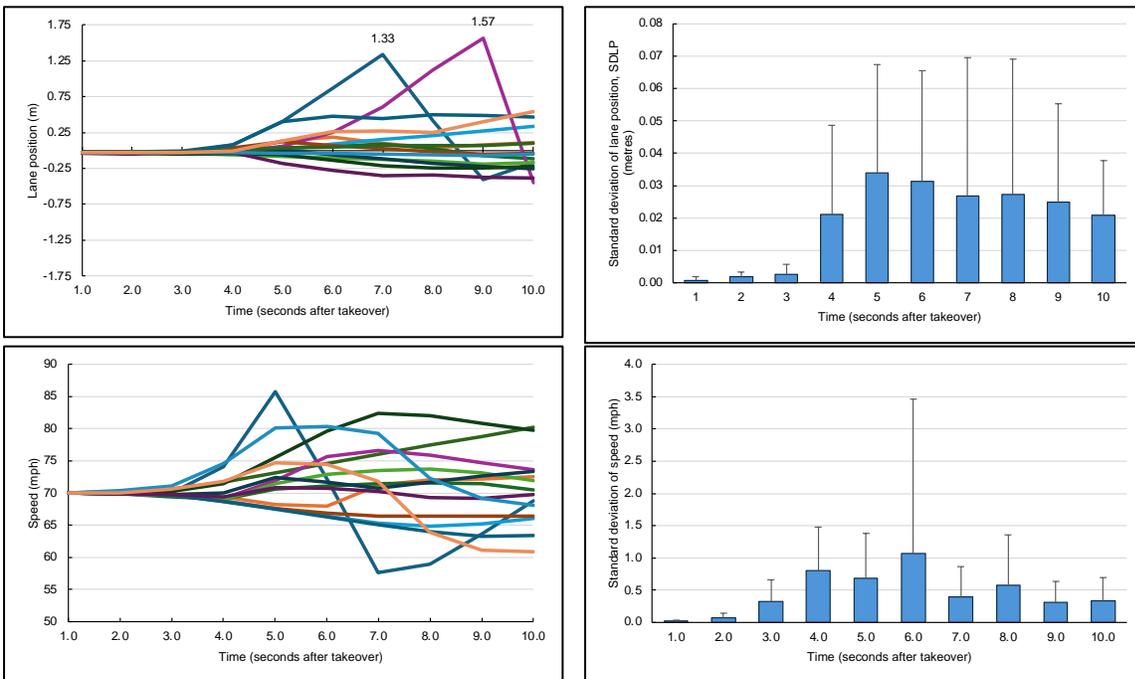
357 The bespoke post study questionnaire aimed to evaluate the impact of a passenger during the drives. Overall, there were  
358 no significant differences in mean ratings made by drivers and passengers regarding the presence of the passenger during  
359 automation, on the decision to resume control or during the takeover itself (Table 3). Nevertheless, mean ratings were  
360 generally above the scale median, suggesting that drivers and passengers thought the passenger’s presence had a positive  
361 impact, on average, in all three situations. The larger range (standard deviation) of responses from passengers regarding  
362 their impact on the decision to resume control and during the takeover itself suggests a wider diversity of opinion amongst  
363 passengers regarding the impact of their presence in these situations. Notably, some passengers felt that they had a very  
364 positive impact (more so than their counterpart drivers’ ratings might suggest), particularly during the takeover itself.  
365

	Driver	Passenger
During automation	3.9 (0.79)	4.0 (0.69)
Decision to take over	5.1 (0.98)	5.1 (1.37)
During takeover	4.7 (0.54)	5.0 (0.90)

366 Table 3: Drivers’ and passengers’ ratings for bespoke post study questionnaire, showing mean (standard deviation) values

367 **4.4 Route Choice and Driving Performance**

368 During the first drive, five out of the seventeen drivers (29%) failed to resume control within sufficient time to exit the  
369 motorway at the correct exit, ostensibly because they were distracted. While two of these (P2 and P9) did request control  
370 but were subsequently too late to exit the motorway (in other words, they requested control with less than ten seconds to  
371 go), three drivers (P3, P5 and P14) were apparently so engaged in and distracted by their chosen activities, that they failed  
372 to even request control. For those who were successful, control was requested, on average, after passing the ‘one mile to  
373 junction’ road sign. Vehicle control data following resumption of manual driving (Figure 4) suggests that initially, lateral  
374 control was typically good, with all drivers appearing to maintain a steady central lane position and negligible variability  
375 in lane position in the first 3 or so seconds. Thereafter, lane position and variability became more erratic – up to 1.5 m for  
376 some drivers, and in both directions. It is worth noting that the lane width was 3.5 m, and the car was 1.8 m wide. Thus,  
377 any lateral position exceeding a magnitude of 0.85 m would result in the edge of the ego-vehicle exceeding the lane  
378 boundary and increasing the risk of colliding with a vehicle in the adjacent lane. After 10 seconds, lane position was still  
379 somewhat variable (Figure 4). Similarly, vehicle speed and speed variability (interpreted as standard deviation of speed)  
380 appeared good initially, but there was, again, high variability after approximately three seconds. It is notable that the first  
381 control input by all drivers during drive one (i.e. their first experience with L3 automation) was the accelerator, with this  
382 actuated, on average, after 2.1 seconds post takeover.  
383  
384



385 Figure 4: Vehicle control data captured from SCANeR simulation software, showing (clockwise from top left): lateral lane position  
 386 (note: lateral positions exceeding a magnitude of 0.85 m have been highlighted; these would result in the edge of the vehicle exceeding  
 387 the lane boundary), standard deviation of lane position (SDLP), mean standard deviation of speed, and vehicle speed (all at one second  
 388 intervals after taking over control in drive one)

389 **5 DISCUSSION**

390 The current study aimed to uncover what drivers and their co-passengers will naturally do in vehicles offering level 3  
 391 automation (RQ1). Findings from our study show that smartphone use is still likely to be very popular during periods of  
 392 L3 automation in which a driver and a co-passenger are both present, but we also noted the increased use of smartwatches  
 393 to fulfil a similar role (ostensibly, to view email and message notifications). However, although drivers and passengers  
 394 often interacted with their own smartphone in isolation to undertake a specific task, for example, to check their own  
 395 messages, or conduct a search on a particular topic of interest to them at that time, they commonly shared their content and  
 396 news items with their partner immediately thereafter by physically showing them the phone screen. Smartphones also  
 397 featured in joint, participatory tasks, for example, using one smartphone to watch shared content together, such a film or a  
 398 social media video feed, or playing online games together, with the driver and passenger each using their own smartphone  
 399 as their digital playing board, for example, to each enter their chosen move during a digital game of chess. These joint,  
 400 participatory tasks were often highly captivating and immersive, particularly if there was a competitive element involved,  
 401 and this resulted in several drivers requesting manual control too late and subsequently missing their designated junction  
 402 during drive one, thus raising significant concerns relating to distraction.

403 However, arguably the most notable observation was the propensity for routine, often unremarkable, conversation that  
 404 took place between the driver and passenger – as also noted during manual driving [7]. Conversation was generally prolific  
 405 during the journeys and covered a wide range of topics, suggesting at the very least that participants were largely unphased  
 406 by the fact that they were taking part in a research study and being observed, and their behaviour being recorded. The

407 prevalence of conversation also highlights that some drivers and passengers were apparently content to continue existing  
408 behaviours (in so far as conversation commonly takes place in the presence of one or more passengers during manual  
409 driving [7]), despite the change in the capability of their vehicle and the driving task. As such, we might not necessarily  
410 expect all users of future L3 automation to develop outlandish new habits, but must also be prepared for the continuation  
411 of conventional in-vehicle behaviours, particularly during the early stages of introduction.

412 In addition, conversation was often intertwined with other NDRTs, such as discussing chess moves or clues to a  
413 crossword. As with everyday conversation, interactions were initiated by both the driver and the passenger and the dialogue  
414 moved seamlessly between different topics, including aspects of the driving task. Indeed, features in the road environment  
415 were routinely observed and commented upon, as was the behaviour of the participants' own automated vehicle in response  
416 to other road users, and their attitudes towards automated vehicles more generally. In addition, drivers and passengers  
417 routinely discussed more social topics, such as recent sporting events or their plans for the weekend (see also: [33]).

418 It was also noted that the driver and passenger remained forward-facing due to the side-by-side seating arrangement  
419 with their gaze notionally directed to the road ahead; in practice, this facilitated the observation of features in the driving  
420 scenario. It would therefore seem prudent to retain this seating configuration, at least in so far as L3 automation is  
421 concerned, rather than attempting to create a more flexible and adaptive design. Indeed, some authors have recommended  
422 that front seats rotate to face passengers in the rear [12]. However, suggestions for this, so-called 'interior metamorphosis'  
423 [10] [11] tend to be aimed at and inspired by higher levels of automation, in which the driver seldom (if at all) drives  
424 manually.

425 In RQ2, we aimed to explore the impact of a co-passenger during periods of L3 automation and when transitioning  
426 between states of L3 automation and manual driving (and indeed, their role in the decision to do so). Analysis of  
427 conversation revealed that passengers provided help and advice in preparation for and during the takeover of control, akin  
428 to the support observed during manual driving [33]. However, during routine handovers (drives one and two), discussions  
429 included more control aspects (that is, relating to the operation of the vehicle – speed adherence, steering etc.), whereas  
430 tactical and strategic elements featured more dominantly in dialogue during the unexpected, emergency takeover request  
431 in drive three; these were more commonly related to road positioning and lane selection (tactical), and the journey goals,  
432 for example, determining the remaining distance to their required exit immediately following resumption of manual driving  
433 (strategic).

434 Conversation analysis also highlighted the role of the passenger as mediator, for example, reprimanding the driver if  
435 they attempted to undertake an activity that the passenger deemed to be unacceptable or inappropriate, such as sleeping (or  
436 if the driver even suggested that they may consider doing so). Other examples show the passenger helping to keep the  
437 driver alert or awake in preparation for resuming control. In contrast to manual driving, however, drivers were not required  
438 to rely on their passenger to undertake tasks on their behalf during periods of automation, such as unwrapping food, opening  
439 a drink bottle, retrieving items that were out of reach (we observed several drivers retrieving items from their bags in the  
440 rear of the car); in essence acting as a second pair of *hands* for the driver (as noted by [33]). However, there were still  
441 abundant examples of the passenger acting as a second pair of *eyes* for the driver, for example, when asked to confirm the  
442 details on a road sign.

443 Although post-study comments indicated that passengers (and indeed, drivers) were generally aware of the potential  
444 distraction created by their presence, they also highlighted examples of positive influences, such as helping the driver to  
445 locate the correct junction and to decide when to take control, or helping the driver stay awake and alert (given how  
446 "boring" many of our participants thought periods of automation were). On average, passengers tended to rate their own  
447 role and influence during these situations more highly than did their accompanying driver, with over half of the drivers (9

448 out of 17) indicating that they felt the presence of a passenger had no, or negligible, impact on their actions and behaviour.  
449 During manual driving, [33] noted a similar attitude, reporting that the majority of drivers (in their case, more than 70%)  
450 responding to their survey indicated that the presence of a passenger would make no difference to their behaviour. There  
451 are, of course, notable benefits associated with helping one another retain or rebuild situational awareness during periods  
452 of automation or taking it in turns to monitor the road situation. As such, it is important to note from the subjective ratings  
453 made in our post-study questionnaires, that the driver and passenger purportedly experienced equivalent levels of  
454 situational awareness, trust, workload and acceptance during the journeys (also in answer to RQ3).

455 The most noticeable impact on the driving task was evident amongst drivers and passengers who became so engrossed  
456 in their secondary activity that they failed to resume control within sufficient time to leave the motorway at the correct  
457 exit. However, it was also evident that vehicular control during the ten seconds immediately after resuming manual driving  
458 was generally poor (e.g., high levels of lateral instability) for all participants. Notably, and in contrast to Large et al. [3],  
459 there was an apparent tendency for vehicular control to become worse (i.e. increased instability) after two to three seconds  
460 and to remain erratic even after ten seconds. Considered in conjunction with the other metrics (most notably dialogue  
461 between the driver and passenger), we believe that, in some situations, this increase in speed variability (erratic accelerating  
462 and braking) and severe lateral instability (or ‘wavering’ in the lane) actually reflects the driver actively testing the primary  
463 control inputs (steering wheel, accelerator, brake), presumably to demonstrate to their passenger that they were now  
464 actively in control.

465 Much of the related literature highlights the impact of young drivers and young passengers [6] and has identified  
466 differences based on various driver-passenger relationships and demographics [42]. While we had a cohort of younger  
467 drivers in our study, and representatives from different driver-passenger partnerships (friends, partners, colleagues),  
468 participants volunteered as pairs known to one other and were therefore likely to have a positive relationship. Thus, our  
469 findings may be biased towards more ‘cooperative’ behaviours and less reflective of those occurring in neutral or difficult  
470 relationships; this arguably limits the generalisability of the results. We also recognise that we only explored behaviour  
471 with one front seat adult passenger present, and there are other possible occupant configurations at L3 automation (multiple  
472 co-passengers, young children in rear seats etc.) that could also be investigated. In addition, the research was conducted in  
473 our driving simulator. Whilst risk in a driving simulator may indeed be different to real-world driving, the driving simulator  
474 nevertheless offers a rigorous methodological approach to provide controlled observational data. In presenting the study  
475 to participants, we aimed to preserve the intrinsic motivational aspects of the driving experience by framing each drive as  
476 a genuine journey, with a different goal or aspiration, and immersing participants within this, for example, by encouraging  
477 them to take all their belongings into the car with them (“in case they were needed at their destination”). The driving  
478 scenarios were also designed to enhance ecological validity, for example, by including commonly occurring situations,  
479 such as a collision and subsequent traffic jam on the counter-carriageway, unusual vehicular activity on crossing bridges,  
480 and the vexatious behaviour of nearby vehicles (e.g. speeding / undertaking the ego vehicle). Moreover, the behaviour of  
481 our participants suggests that they were all actively (and appropriately) engaged with the task presented to them (selecting  
482 and exiting the motorway at the specified junction), other than in situations when they were distracted by their NDRTs,  
483 and strictly complied with speed limits, road signage etc. The broad topics of conversation also suggested that participants  
484 were speaking freely and were uninhibited by the situation and gave us no cause to question the validity of their behaviour.  
485 Even so, we recognise the use of a driving simulator as a potential limitation to our study and suggest that results are treated  
486 accordingly and in the manner intended – as an exploratory study to highlight the interactions that might naturally take  
487 place between a driver and a front-seat co-passenger in a vehicle offering L3 automation, and to set the groundwork for  
488 future investigations into how in-vehicle technologies could mitigate risks or enhance the benefits of passenger interactions.

489 Overall, our findings provide evidence of both distractive and protective behaviours when a front-seat passenger is  
490 present during L3 automation, although we recognise that our sample size was limited and demographically diverse, and  
491 this may limit the generalisability of our findings. Future investigations should explore strategies to remove or reduce the  
492 harmful, distractive elements and to enhance the positive, protective influences, and might also consider how protective  
493 effects could be provided in situations in which there is no passenger present. Indeed, technological solutions could support  
494 this: for example, recognising the proliferation of conversation that occurred in the presence of a co-passenger, and the  
495 influence this evidently has on the driver, a voice interface (or digital assistant) could encourage or invite discussion of  
496 relevant driving-related information between the driver and passenger, or even engage a lone driver as a passenger might.  
497 Furthermore, technology-mediation of NDRTs could ensure drivers and passengers do not become so engrossed in their  
498 activity that they miss their exit or other key driving information, for example, by enforcing natural breaks in activities  
499 ('chunking' tasks) and/or encouraging re-engagement with the driving scene at appropriate intervals. In addition, simple  
500 behavioural training interventions, similar to those evaluated by Shaw et al. [2], could remind drivers (and indeed, their  
501 co-passengers) of the potential risks when a passenger is present and provide best practice guidance to interacting with L3  
502 automation in the presence of a passenger.

## 503 **6 CONCLUSION**

504 Driver behaviour in vehicles offering L3 automation is affected by the presence of a front-seat passenger. Our findings  
505 show that a co-passenger introduces new opportunities for the driver to engage in shared, participatory activities during  
506 periods of L3 automation, such as watching shared content or playing games together on a smartphone, jointly solving  
507 crosswords puzzles, playing cards etc. Although the emerging, shared activities had the potential to distract drivers and  
508 reduce the amount of attention they were able to direct to the road situation, particularly if there was a competitive element  
509 to the activity, they were often intrinsically bound with conversation and dialogue. Analysis of dialogue subsequently  
510 revealed that drivers and passengers also shared their engagement with, and responsibility towards, some aspects of the  
511 driving task. This was evidenced by examples of drivers and co-passengers jointly observing and discussing the behaviour  
512 of other road users during periods of automation, discussing and negotiating *appropriate* secondary activities to undertake  
513 (for example, discussing whether sleeping is permissible at L3 automation), and discussing tactical and strategic elements  
514 during the transfer of control. We therefore conclude that a front-seat co-passenger in vehicles offering L3 automation can  
515 offer both distractive and protective effects but would recommend further investigations to evaluate these phenomena  
516 further. More specifically, further work should seek to preserve and enhance the protective behaviours whilst eliminating  
517 the distractive effects and seek to uncover any nuances in behaviour associated with different sociodemographic groups,  
518 most notably young drivers, and between less familiar or even contentious partnerships.  
519

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523  
524

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