

1 Clinical significance of *Pseudomonas aeruginosa* 2-alkyl-4-quinolone quorum  
2 sensing signal molecules for long-term outcomes in adults with cystic fibrosis.

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24

25 **Abstract**

26 **Introduction**

27 *Pseudomonas aeruginosa* is an important respiratory pathogen in cystic fibrosis (CF), which is  
28 associated with an accelerated decline in lung function, frequent pulmonary exacerbations and  
29 increased mortality. *P. aeruginosa* produces intercellular signalling molecules including 2-alkyl-4-  
30 quinolones (AQs), which regulate virulence factor production and biofilm formation in the CF  
31 airways. Studies have shown that AQs are detectable in the sputum and plasma of adults with CF  
32 and chronic pulmonary *P. aeruginosa*.

33 **Aim**

34 We tested the hypothesis that the presence of six AQs in plasma or sputum obtained from adults  
35 with CF was associated with long term adverse clinical outcomes.

36 **Methodology**

37 We analysed clinical data over an 8-year follow period for 90 people with CF who had previously  
38 provided samples for AQ analysis at clinical stability.

39 The primary outcome was all cause mortality or lung transplantation. Secondary outcomes were  
40 rate of lung function decline and number of intravenous (IV) antibiotic days for pulmonary  
41 exacerbations.

42 **Results**

43 There was no statistical association between the presence of any of the six measured AQs and the  
44 primary outcomes or the secondary outcome of decline in lung function. One of the 6 AQs was  
45 associated with IV antibiotic usage. The presence of 2-nonyl-3-hydroxy-4(1*H*)-quinolone (C9-PQS)  
46 in sputum was associated with an increase in the number of IV antibiotic days in the follow up period  
47 (Mann-Whitney;  $p=0.011$ ).

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50 **Conclusion**

51 Further investigation to confirm the hypothesis that C9-PQS may be associated with increased  
52 antibiotic usage for pulmonary exacerbations is warranted as AQ-dependent signalling is a potential  
53 future target for anti-virulence therapies.

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## 72 Introduction

73 *Pseudomonas aeruginosa* is a highly successful opportunistic gram-negative bacterium which is  
74 well adapted to the airway niche in cystic fibrosis (CF). *P. aeruginosa* is the dominant pathogen in  
75 the CF lung and is associated with increased morbidity and mortality in this population [1]. *P.*  
76 *aeruginosa* is intrinsically resistant to many classes of antibiotics, produces a host of virulence  
77 factors and forms impenetrable biofilms in the CF airways [2]. *P. aeruginosa* controls the production  
78 of these virulence factors using a cell-to-cell communication known as quorum sensing (QS) [3]. This  
79 allows the whole bacterial population to sense and respond to changes in environmental stimuli and  
80 to coordinate gene expression of the community as a whole.

81 The *P. aeruginosa* QS system consists of 3 interlinking QS circuits, one of which is the *pqs* QS  
82 circuit. The *pqs* QS systems uses multiple 2-alkyl-4 quinolones (AQs) as signal molecules, including  
83 the pseudomonas quinolone signal (PQS) molecule (2-heptyl-3-hydroxy-4(1*H*)-quinolone) and its  
84 precursor HHQ (2-heptyl-4-hydroxyquinoline) [4]. Both PQS and HHQ act as autoinducers to increase  
85 AQ biosynthesis [5]. In addition, PQS is crucial for the production of virulence factors and biofilm  
86 formation both *in vitro* and in animal models of infection [5]. *P. aeruginosa* produces over 50 AQs,  
87 and the roles of many of these AQs are not yet fully understood [6].

88 Several AQs are detectable in the sputum and plasma of adults with CF and chronic pulmonary *P.*  
89 *aeruginosa*. Higher systemic concentrations of several AQs are associated with higher *P.*  
90 *aeruginosa* loads and lower lung function, in cross section analyses [7, 8]. This suggests that high  
91 systemic AQ levels may be associated with an adverse prognosis. In addition, systemic  
92 concentrations of several AQs decrease following intravenous anti-pseudomonal antibiotics, [8]  
93 suggesting they have potential as biomarkers of change in clinical status.

94 We hypothesised that higher levels of AQs in the sputum and plasma of adults with CF would be  
95 associated with adverse long term clinical outcomes in this patient population.

96 We investigated whether detection of six individual AQs in the sputum and plasma of people with  
97 CF could be linked to long-term outcomes, including death or lung transplantation, as well as annual  
98 rate of lung function decline and intravenous (IV) antibiotic use for pulmonary exacerbations. Clinical

99 data over an 8 year period was retrospectively collected on 90 adults with CF who had previously  
100 participated in an AQ biomarker study [7].

101

## 102 **Methods**

### 103 *Participants*

104 We studied 90 adults with CF who had previously participated in an AQ biomarker study, the full  
105 details of which were previously published [7]. In summary, participants were recruited at clinical  
106 stability from two UK adult CF centres between the years 2009 and 2011. Baseline demographic data  
107 and data on six AQs measured in both sputum and plasma samples were used [7].

### 108 *Study design*

109 Follow up clinical data were retrospectively obtained from the UK CF registry. Annual data were  
110 collected from the participants from the year of recruitment to the end of the study period in 2017.  
111 Data on death, lung transplantation, lung function and the number of IV antibiotic days for pulmonary  
112 exacerbations were obtained. The number of IV antibiotic days was measured annually from the year  
113 of recruitment to the end of 2017. For lung function data, the best recorded forced expiratory volume  
114 in 1 s (FEV<sub>1</sub>) of the preceding year was used.

115 The primary outcomes were death or lung transplantation during the follow up period. Secondary  
116 outcomes were the number of IV antibiotic days for pulmonary exacerbations and rate of decline in  
117 FEV<sub>1</sub>.

### 118 *Sample processing and AQ analysis*

119 All sample processing and AQ analyses were performed in the initial study as previously described  
120 [7]. Sputum plugs were harvested for quantitative AQ analyses [9, 10]. Venous blood samples were  
121 centrifuged at 1000 g for 15 min at 4°C, plasma was then separated and snap frozen in liquid nitrogen.  
122 Sputum samples for AQ analysis were extracted using acidified ethyl acetate (Fisher Chemicals,  
123 Loughborough, UK) [9, 10]. Plasma samples were extracted by solid phase extraction and plasma  
124 matrix matched samples from a healthy volunteer donor were prepared to allow calibration of samples.

125 Prepared clinical samples for AQ analyses were subjected to liquid chromatography-tandem mass  
126 spectrometry (LC-MS/MS) according to Ortori *et al* 2011 [9]. All samples were analysed once using  
127 LC-MS/MS with no replicate analysis performed.

128 The lower limit of quantification (LLOQ) was established by using serial dilutions of the analyte mix  
129 and spiking into blank plasma samples prior to extraction and analysis, The LLOQ for plasma was  
130 defined as the analyte concentration at which a signal/noise ratio of 10:1 was achieved. In the absence  
131 of blank sputa to produce matrix matched calibration, 1.0mL aliquots of 0.9% NaCl were used and  
132 there was no LLOQ defined for sputum samples. A total of six AQs were analysed individually: HHQ  
133 (2-heptyl-4-hydroxyquinoline), NHQ (2-nonyl-4-hydroxyquinoline), PQS (2-heptyl-3-hydroxy-4(1*H*)-  
134 quinolone), C9-PQS (2-nonyl-3-hydroxy-4(1*H*)-quinolone), HQNO (2-heptyl-4-hydroxyquinoline-*N*-  
135 oxide) and NQNO (2-nonyl-4-hydroxyquinoline-*N*-oxide). Calculated LLOQs in plasma samples were  
136 as follows: HHQ 10 pmol/L; NHQ 10 pmol/L; HQNO 30 pmol/L, NQNO 40 pmol/L; PQS 100 pmol/L  
137 and C9-PQS 100 pmol/L [7]. Quantitative concentrations for the six AQs both in plasma and sputum  
138 are summarised in Supplementary Table 2.

### 139 *Statistical Analysis*

140 The six measured AQs were analysed individually and combined detectable AQ levels in sputum and  
141 plasma were calculated.

142 For initial analyses, individual AQ levels were classified as detectable or undetectable (concentrations  
143 above or below the LLOQ respectively). A binary measure of combined AQs was defined as a  
144 detection of at least one individual AQ in sputum or plasma respectively. Two-sample Wilcoxon rank-  
145 sum (Mann-Whitney) tests and Pearson's chi-squared tests were used to assess binary AQ levels  
146 with the primary and secondary clinical outcomes.

147 If significant associations were obtained using binary AQ analyses, further quantitative analyses were  
148 performed. Individual AQ concentrations were then compared with clinical outcomes using Spearman  
149 rank correlation coefficients. Statistical significance was assessed as  $p < 0.05$ . All data were analysed  
150 using Stata SE15 statistical software (Texas, USA).

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152 **Results**

153 Of the 90 participants in the original study, 7 were lost during follow up and therefore 83 participants  
154 were included in the analyses. Baseline characteristics are summarised in Table 1.

155 The median follow up period was 6.3 years (IQR 5.6 to 6.7 years). During the follow up period, 23  
156 participants (27%) either died or had bilateral lung transplantation. Three people had a bilateral lung  
157 transplant and subsequently died during the follow up period. These characteristics are summarised  
158 in Table 2.

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160 **Table 1. Baseline clinical characteristics and *P. aeruginosa* status of participants.**

Variable	Baseline (n=83)
Nottingham University Hospitals NHS trust	42
University Hospitals Birmingham NHS Foundation Trust	41
Age in years: median (range)	28.4 (17.8 to 61.5)
Gender, males (%)	54 (65.1)
FEV <sub>1</sub> % predicted: mean (SD)	58 (±20)
Absolute FEV <sub>1</sub> in L: mean (SD)	2.13 (±0.9)
BMI: mean (SD)	22.9 (± 3.3)
<i>P. aeruginosa</i> status at baseline: n (%)	
Never	0 (0)
Free	1 (1.2)
Intermittent	2 (2.4)
Chronic	80 (96.4)

n = number of participants with data available; SD = standard deviation  
*P. aeruginosa* status of participants defined by Leeds criteria [11]

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Variable	N	(%)	Outcome
Follow up time <sup>‡</sup>	83	100.0%	6.3 (5.6-6.7)
Number of deaths/lung transplantation	23	27.7%	
Died during follow up	15	18.1%	
Rate of decline per year <sup>§</sup> :			
Absolute FEV <sub>1</sub> (ml)	80	96.4%	53.1 (55.5)
Percent predicted FEV <sub>1</sub> (%)	80	96.4%	1.6 (2.0)
Number of IV antibiotic days per year <sup>‡</sup> :			
Overall	81	97.6%	37.5 (16.4-58.7)
No death/transplant	59	71.1%	31.9 (13.0-43.7)
Death/transplant*	22	26.5%	60.1 (46.5-80.4)
‡: reported as median and interquartile range. § reported as mean and standard deviation. *Mann-Whitney significance p<0.001. IV= intravenous. N= number of participants with data available.			

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169 *Presence or absence of detectable levels of AQs at baseline on primary outcomes.*

170 Death or lung transplantation during follow up was not statistically different in the presence or absence  
171 of detectable levels of six individual AQs at baseline (Table 3), using binary AQ analyses (detected  
172 versus not detected). Similarly, there were no statistical associations between the combined AQs  
173 measured and primary outcomes, indicating independence (chi-squared p=0.751 and p=  
174 0.351 for total sputum and plasma AQs respectively, Table 3).

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181 **Table 3. Individual and combined total AQs with primary outcome of death or lung**  
 182 **transplantation.**

AQ	Death/transplant: n(%)	No Death/transplant: n(%)	p-value (chi <sup>2</sup> )
Sp HHQ +	14 (70)	34 (65.4)	0.71
Sp HHQ -	6 (30)	18 (34.6)	
PI HHQ +	14 (66.7)	35 (58.3)	0.501
PI HHQ -	7 (33.3)	25 (41.7)	
Sp NHQ +	15 (75)	35 (67.3)	0.526
Sp NHQ -	5 (25)	5 (32.7)	
PI NHQ +	3 (14.3)	18 (30)	0.157
PI NHQ -	18 (85.7)	42 (70)	
Sp PQS +	12 (60)	31 (59.6)	0.98
Sp PQS -	8 (40)	21 (40.4)	
PI PQS +	8 (38.1)	20 (33.3)	0.693
PI PQS -	13 (61.9)	40 (66.7)	
Sp C9-PQS +	15 (75)	33 (63.5)	0.352
Sp C9-PQS -	5 (25)	19 (36.5)	
PI C9-PQS +	3 (14.3)	5 (8.3)	0.431
PI C9-PQS -	18 (85.7)	55 (91.7)	
Sp HQNO +	16 (80)	39 (75)	0.655
Sp HQNO -	4 (20)	13 (25)	
PI HQNO +	11 (52.4)	28 (46.7)	0.652
PI HQNO -	10 (47.6)	32 (53.3)	
Sp NQNO +	14 (70)	39 (75)	0.666
Sp NQNO -	6 (30)	13 (25)	
PI NQNO +	8 (38.1)	21 (35)	0.799
PI NQNO -	13 (61.9)	39 (65)	
Total Sp AQ+	18 (90)	48 (92.3)	0.751
Total Sp AQ -	2 (10)	4 (7.7)	
Total PI AQ +	15 (71.4)	36 (60)	0.351
Total PI AQ -	6 (28.6)	24 (40)	

+ detected; - undetected; Sp=Sputum; PI=Plasma; AQ=2-alkyl-4 quinolones; n= number; HHQ= 2-heptyl-4-hydroxyquinoline; NHQ= 2-nonyl-4-hydroxyquinoline; PQS=2-heptyl-3-hydroxy-4(1*H*)-quinolone; C9-PQS= 2-nonyl-3-hydroxy-4(1*H*)-quinolone; HQNO= 2-heptyl-4-hydroxyquinoline-*N*-oxide; NQNO= 2-nonyl-4-hydroxyquinoline-*N*-oxide

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188 *The presence or absence of detectable levels of AQs at baseline on secondary outcomes*

189 There was no statistical difference demonstrated with rate of FEV<sub>1</sub> decline both with individual and  
190 combined AQs detected in plasma or sputum (Supplementary Table 1).

191 There was no association between five of the individual AQs and number of IV antibiotic days per  
192 year (Supplementary Table 1).

193 The presence of C9-PQS in the sputum was associated with an increase in IV antibiotic days per year  
194 during the follow up period (Mann-Whitney  $p=0.011$ ; Figure 1). The median number of IV antibiotic  
195 days per year if sputum C9-PQS was detected was 41.4 (IQR: 26.6 to 60.7) compared with 28.2 (IQR:  
196 4.0 to 44.4) when not detected. A similar finding was observed when follow up was restricted to 3  
197 years; 44.8 (IQR: 25.1 to 62.1) when sputum C9-PQS was detected compared with 29.7 (IQR: 4.7 to  
198 50.4) when not detected (Mann-Whitney  $p=0.046$ ). The concentration of C9-PQS in sputum was  
199 positively correlated with number of IV antibiotics per year but did not reach statistical significance  
200 (Spearman rank correlation;  $r= 0.2$ ,  $p= 0.09$ ; Supplementary Figure 1). There was no statistical  
201 difference in the number of IV antibiotic days when C9-PQS was detected in plasma (Supplementary  
202 Table 1; Mann-Whitney  $p=0.32$ ), nor detectable levels of total combined AQs in plasma or sputum  
203 (Supplementary Table 1). The number of IV antibiotic days per year was statistically higher in people  
204 who died or had a bilateral lung transplantation in the follow up period (Mann-Whitney  $p<0.001$ , Table  
205 2).

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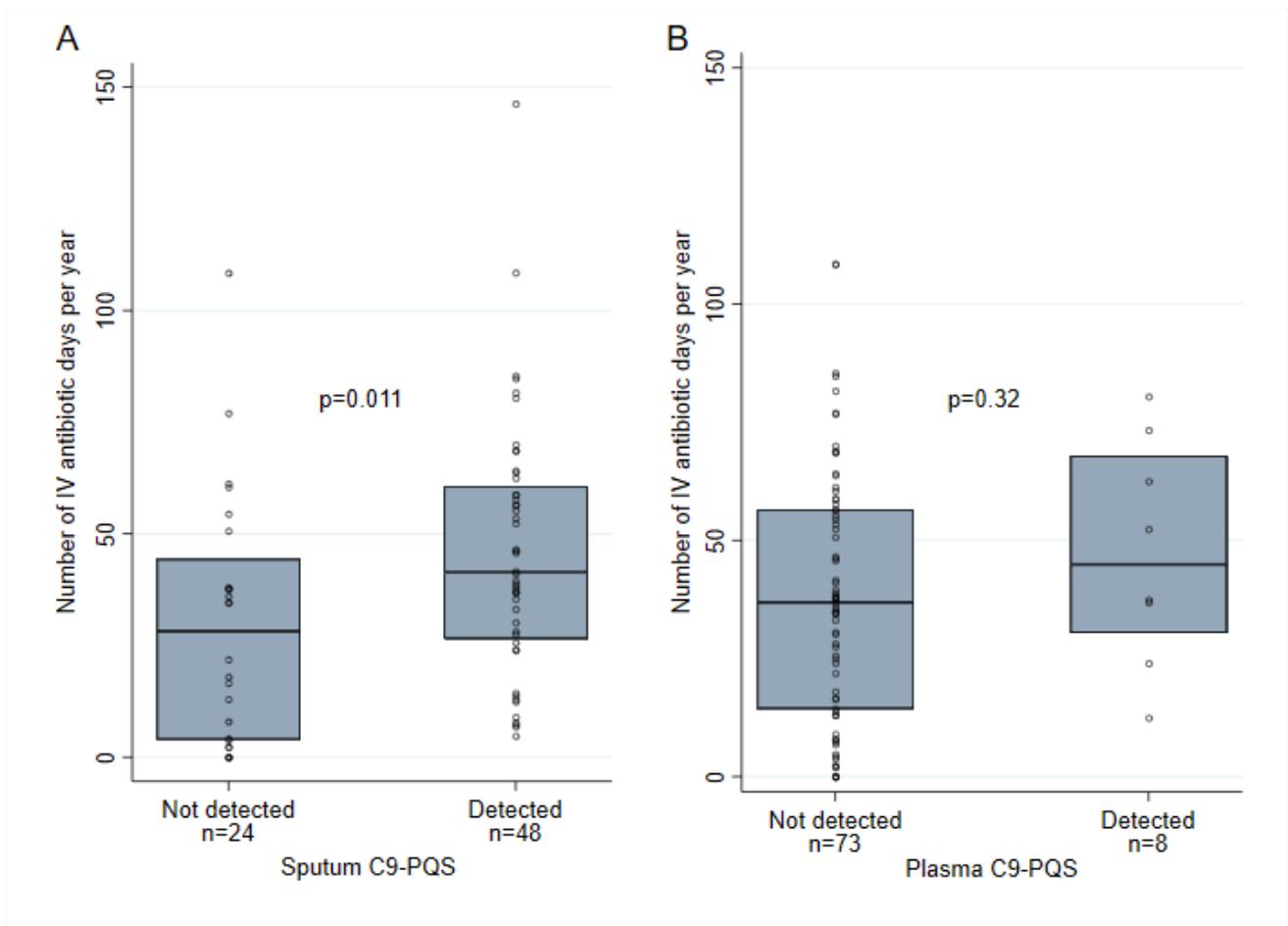
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213 **Figure 1. Annual number of IV antibiotic days according to C9-PQS detection at baseline.**



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215 Figure 1 legend. Box plot showing the relationship between the presence and absence of detectable  
216 levels of sputum and plasma C9-PQS at baseline with the number of intravenous antibiotics days  
217 per year during the follow up period. Box represents interquartile range, Line represents median  
218 value. C9-PQS= 2-nonyl-3-hydroxy-4(1H)-quinolone; IV= Intravenous; p value derived from Mann-  
219 Whitney test; n= number of observations.

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## 225 Discussion

226 This is the first study to explore the relationship between baseline AQ quorum sensing signal  
227 molecules measured in sputum or plasma with long term outcomes in adults with CF. There were no

228 significant difference between the detection of sputum or plasma AQs at baseline with death, lung  
229 transplantation or rate of FEV<sub>1</sub> decline over the follow up period. One of the six AQs measured was  
230 associated with increased IV antibiotic usage in the follow up period. A higher number of IV antibiotic  
231 days for pulmonary exacerbations were observed in the presence of detectable levels of sputum  
232 C9-PQS. However, plasma levels of C9-PQS levels were not significantly associated with IV  
233 antibiotic usage. This may be explained by the low number of participants with detectable C9-PQS  
234 in plasma (eight adults compared to forty eight adults with detectable C9-PQS in sputum).

235 There are a number of limitations in this study that should be considered when interpreting these  
236 data. This is a retrospective analysis and the number of participants who died or had lung  
237 transplantation resulted in small numbers. We primarily assessed AQ levels as dichotomous;  
238 detectable or not detectable, as the variability across the sample size would have provided low  
239 power. Intra-subject variability of AQ concentrations is unknown and a single measure of AQ  
240 concentration may not reflect the longer period during which the AQs may influence disease  
241 progression. Whilst effect sizes are robust, findings are to be regarded as 'hypothesis generating'  
242 as significant *p* values may be a consequence of multiple hypothesis testing.

243 Despite limitations, these findings provide evidence of a possible association between both sputum  
244 and plasma C9-PQS levels and antibiotic usage, which should be confirmed through prospective  
245 study design.

246 *P. aeruginosa* is the major respiratory pathogen in people with CF and is difficult to eradicate from  
247 the CF airways as it is intrinsically resistant to many classes of antibiotics and forms antibiotic  
248 resistant biofilms [12]. The AQ class of quorum sensing molecules plays an important role in  
249 pathogenicity for *P. aeruginosa* and AQ deficient mutants show reduced virulence in infection  
250 models [13-15]. PQS and its immediate precursor HHQ are the major AQ signalling molecules in *P.*  
251 *aeruginosa* [16]. PQS regulates the expression of at least 182 genes including those that code for the  
252 iron-chelating siderophores, pyoverdine and pyochelin [17, 18] as well as playing a role in regulating  
253 antibiotic resistance and biofilm maturation [19-21]. In addition, PQS regulates the production of key  
254 virulence factors that are associated with pulmonary exacerbations such as elastase [22], pyocyanin  
255 [23] and cyanide [24]. Both C9-PQS and NHQ are as effective as their C7 congeners PQS and

256 HHQ at activating the AQ receptor PqsR which further drives the autoinduction of AQ biosynthesis  
257 and up regulates key virulence determinants [25]. Furthermore, molecular imaging techniques have  
258 shown that initial biofilm formation is marked by a dramatic increase in the production of C9-PQS,  
259 suggesting it may be important for the growth of *P.aeruginosa* in communities and early biofilm  
260 formation [26]. Although our current understanding of the role of C9-PQS is limited [27], it is  
261 biologically plausible that C9-PQS may be associated with increased antibiotic usage due to  
262 increased virulence factor production during pulmonary exacerbations.

263 Recurrent severe pulmonary exacerbations are associated with both increased morbidity and  
264 mortality in CF [28]. In recent years, attempts to develop new classes of antimicrobial agents have  
265 included targeting of virulence factors or virulence regulatory mechanisms. Consequently, the AQ  
266 signalling system is a promising potential target for antimicrobial agents which do not kill the organism  
267 but instead block or attenuate the ability to cause disease. This is important as multiple courses of  
268 antibiotics are detrimental to the host and contribute to a growing global burden of multi-antibiotic  
269 resistance that needs to be addressed urgently.

270 In conclusion, this hypothesis generating study showed an association between C9-PQS detected in  
271 the sputum and increased antibiotic usage in the CF population, which requires more comprehensive  
272 investigation to confirm or refute these findings. However, there were no other associations between  
273 the five AQs detected and adverse clinical outcomes measured. There is much to learn about AQ  
274 regulation in the clinical setting, particularly as development of anti-virulence drugs that target PQS-  
275 dependent QS pathways progresses [29].

276

### 277 **Author Contributions**

278 The study was designed by KW/HB/AF. KW collected the data. The analysis was performed by KW  
279 and IS. All authors contributed to data interpretation, data presentation and writing of the  
280 manuscript. All authors approved the final version of the manuscript.

### 281 **Declarations of Interest**

282 The University of Nottingham has a patent for the use of alkyl quinolones as biomarkers for *P.*  
283 *aeruginosa* infection (PCT/GB2014/051458).

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