

Slow heterogeneous relaxation due to constraints in dual XXZ models

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With the aim to understand the role of the constraints in the thermalisation of quantum systems, we study the dynamics of a family of kinetically constrained models arising through duality from the XXZ spin chain. We find that integrable and nonintegrable deformations around the stochastic point give rise to ground state phase transitions between localised and delocalised phases, which in turn determine the nature of the relaxation dynamics at finite energy densities. While in the delocalised phase thermalisation is fast and homogeneous, in the localised phase relaxation is slow, temporal autocorrelations exhibit plateaus indicative of metastability, and the growth of entanglement is heterogeneous in space. Furthermore, by considering relaxation from initial product states, we demonstrate that this slow thermalisation can be rationalised directly from the presence of constraints in the dynamics.

Introduction. Thermalisation is one of the major challenges to the durability of quantum technologies: quantum coherence—their vital property—cannot be sustained indefinitely due to imperfect isolation from the environment [1–4]. It is also expected to occur in extended isolated systems, where infinitely many degrees of freedom provide an effective bath that leads to equilibration of few-body observables. These attain stationary values predictable by standard statistical ensembles or, in the case of integrable systems with infinitely many conservation laws constraining the dynamics, generalisations thereof [5–8]. In generic systems, where only the energy is conserved, one can understand this in the context of the eigenstate thermalisation hypothesis (ETH). The latter, through a combination of thermodynamic suppression of coherences and dephasing, leads to a drastic reduction in the number of parameters required to describe stationarity [9–12].

While the statistical ensembles can predict the asymptotic expectation values of the few-body observables, they give no information about the time scales over which the relaxation towards them occurs. Speed of relaxation can be affected by various circumstances, such as the extent to which the symmetries of the physical system are broken by the initial conditions [13–15], the presence of emergent quasiconserved quantities [16–20], or dynamical constraints [21–29]. The latter are the main feature of kinetically constrained models (KCM), originally conceived as toy models for slow **hierarchical** dynamics of classical viscous fluids and glasses [30–33]. Mimicking excluded-volume interactions [34]—a feature of systems extending from supercooled liquids [35, 36] to Rydberg blockade [37, 38]—they can lead to a wide variety of exotic quantum nonequilibrium phenomena that have recently been in the spotlight. Examples include jamming and related Hilbert space fragmentation [39–44], quantum many-body scars (QMBS) [45–48], anomalous transport [49, 50], and cooperative dynamics of frac-

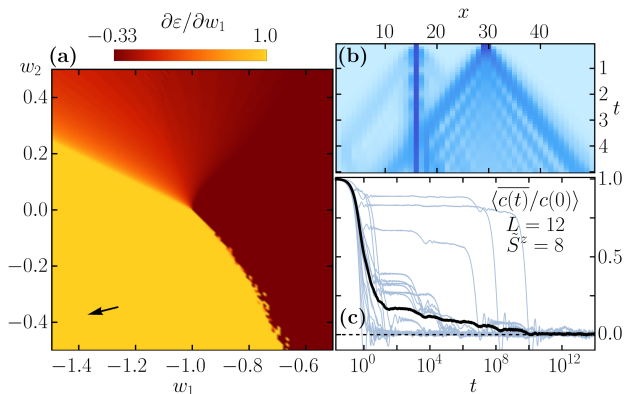


FIG. 1. **Ground state phases and slow relaxation of the XPX model.** (a) Ground state phase diagram of the XPX model in Eq. (1) for $L = 160$ (from DMRG performed using the ITensor library [63, 64]). For smaller $w_{1,2}$ (yellow region) the ground state is localised, while for larger $w_{1,2}$ (red region) it is delocalised. The arrow indicates the values of $w_{1,2}$ used in panels (b,c). (b) Time evolution of a spin configuration $|\cdots \downarrow \cdots \downarrow \downarrow \cdots\rangle$ with dots denoting spins up (from TEBD based on the Armadillo library [65, 66]), for $w_1 = -2, w_2 = -1/2$ in the localised phase. (c) Normalised auto-correlation functions for individual initial configurations (light blue) and their average (black) in the localised phase ($w_1 = -3.5, w_2 = -1.5$), in a specific symmetry sector of the model.

tions [51, 52]. Excluded-volume interactions and dynamical constraints often arise in models with tunable interactions, where strong correlations between excitations are induced in the large coupling limit [37, 41, 53–59]. Alternatively, KCMs can sometimes be related to such models through duality transformations [14, 60–62], an approach we follow here.

In this paper we consider a family of one-dimensional (1D) quantum models mappable to the anisotropic Heisenberg spin-1/2 chain and its nonintegrable defor-

mation. We investigate two phases of the model depicted in Fig. 1(a): a phase where the ground state is localised (yellow) and one where it is delocalised (red). A striking feature of the model in the phase with a localised ground state is the emergence of facilitated dynamics at finite energy density, as illustrated in Fig. 1(b): certain local arrangements (pairs of spins down, see below) can move freely, whereas certain other isolated excitations remain frozen for long times. We demonstrate the resulting separation of time scales by considering the evolution of temporal autocorrelation functions of particle occupation numbers starting from various initial states—cf. Fig. 1(c). This in turn gives rise to a growth of the bipartite entanglement entropy which is heterogeneous in space, depending on the effect that the dynamical constraints have on spatial fluctuations in initial product states.

Models. We consider a one-dimensional XPX model on a chain of L spins $1/2$ with open boundary conditions:

$$H_{\text{XPX}} = \sum_{j=2}^{L-1} \sigma_{j-1}^x (\mathbb{1} - \sigma_j^z) \sigma_{j+1}^x + w_1 \sigma_j^z + w_2 \sigma_{j-1}^z \sigma_{j+1}^z. \quad (1)$$

Pauli matrices acting in the site j are denoted by σ_j^α , $\alpha \in \{x, y, z\}$, while $\mathbb{1}$ is the identity. The dynamical constraint $\mathbb{1} - \sigma_j^z = 2 |\downarrow\rangle\langle\downarrow|_j$ allows the spins in sites $j-1$ and $j+1$ to flip only if a spin down is between them.

When $w_2 = 0$ the XPX model is integrable and belongs to a family of models

$$\begin{array}{ccc} \begin{array}{l} X_j \mapsto \sigma_{j-1}^x \sigma_j^x \\ Z_j Z_{j+1} \mapsto \sigma_j^z \end{array} & \begin{array}{c} H_{\text{XPX}} \\ \swarrow \quad \searrow \\ H_{\text{XXZ}} \quad H_{\text{XOR-FA}} \end{array} & \begin{array}{l} \sigma_j^z \mapsto \tau_{j-1}^z \tau_{j+1}^z \\ \sigma_{j-1}^x \sigma_{j+1}^x \mapsto \tau_j^x \end{array} \\ & & \begin{array}{l} \tau_{j-1}^z \tau_j^z \mapsto Z_j \\ \tau_j^x \mapsto X_j X_{j+1} \end{array} \end{array} \quad (2)$$

related by degenerate duality maps often referred to as the bond-site transformations. One of them yields the anisotropic Heisenberg model [14, 60, 62]

$$H_{\text{XXZ}} = \sum_{j=2}^{L-1} X_j X_{j+1} + Y_j Y_{j+1} + w_1 Z_j Z_{j+1} \quad (3)$$

and the second one the XOR-Fredrickson-Andersen model

$$H_{\text{XOR-FA}} = \sum_{j=2}^{L-1} \tau_j^x (\mathbb{1} - \tau_{j-1}^z \tau_{j+1}^z) + w_1 \tau_{j-1}^z \tau_{j+1}^z, \quad (4)$$

whose kinetic constraint—a quantum XOR gate—allows a spin flip to occur only between two oppositely aligned spins [67]. Operators X_j, Y_j, Z_j , and separately τ_j^α , $\alpha \in \{x, y, z\}$, satisfy Pauli algebra and can be represented as Pauli matrices acting in site j . For $w_2 \neq 0$

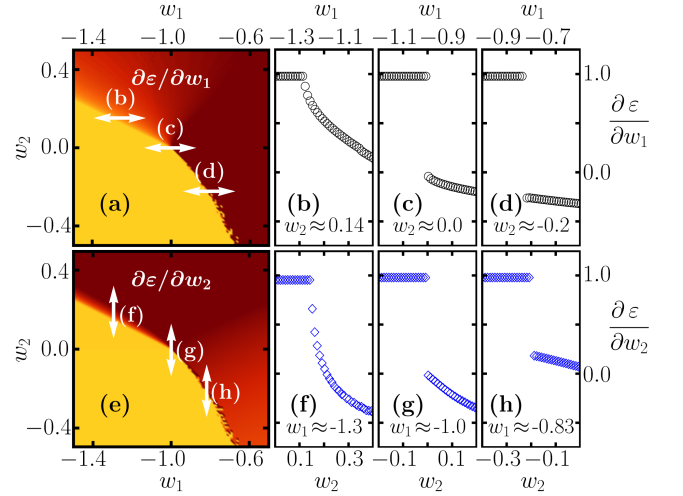


FIG. 2. Order of ground state phase transitions in the XPX model. Panels in the first and the second row show the derivatives of the ground state energy on w_1 , resp. w_2 . For $w_2 \leq 0$ the transition between the localised and the delocalised phase (i.e., between the regions color-coded yellow and red, respectively) is a first-order transition. For $w_2 > 0$ it is of the second order.

the integrability is broken [14]: the corresponding non-integrable deformations are $H_{\text{XXZ}} + w_2 Z_{j-1} Z_j Z_{j+1} Z_{j+2}$ and $H_{\text{XOR-FA}} + w_2 \tau_{j-2}^z \tau_{j+2}^z$.

We note that there is some freedom in specifying the duality transformations. Choosing $X_j \mapsto \sigma_{j-1}^x \sigma_j^x$, $Y_j \mapsto \sigma_{j-1}^y \sigma_j^y \sigma_{j+1}^z \cdots \sigma_L^z$, $Z_j \mapsto \sigma_j^z \cdots \sigma_L^z$ for $1 \leq j \leq L$, with convention $\sigma_0^x = 1$, the conserved magnetisation $S^z = \sum_{j=1}^L Z_j$ of the Heisenberg model is mapped into the “semilocal” charge $\tilde{S}^z = \sum_{j=1}^L \sigma_j^z \cdots \sigma_L^z$ of the XPX model: $[H_{\text{XPX}}, \tilde{S}^z] = 0$. Despite not being local, such an operator may crucially affect local relaxation [14, 60].

Notably, all of the models in the family (2) have classical stochastic counterparts. The one for the XPX model with $w_2 = 0$ and $w_1 = -1 - s$ is associated to $\mathbb{W}(s) = -U(H_{\text{XPX}} + (1+s)\mathbb{1})U^{-1}$, where $U = \prod_{j=1}^{L/4} \sigma_{4j-1}^z \sigma_{4j}^z$ and we have assumed $L/4 \in \mathbb{N}$ for convenience. The operator $\mathbb{W}(s = 0)$ is a stochastic Markov generator, while for $s \neq 0$ it is a deformed (or “tilted”) generator encoding the large deviation (LD) statistics [33, 68, 69] of the number of spin-flips (dynamical activity [70–72]) in trajectories of the dynamics [73].

Via duality to the XXZ model, and up to trivial boundaries, $\mathbb{W}(s = 0)$ corresponds to the stochastic generator of the classical *symmetric simple exclusion process* (SSEP) [74], and for $s \neq 0$, it encodes the LDs of the activity in the SSEP [75, 76]. The SSEP is known to have a phase transition in the space of its (long-time) stochastic trajectories between an active and an inactive phase, which shows up as a nonanalyticity at $s = 0$ in

the largest eigenvalue of $W(s)$ (in the large-size limit) [75–77]. The dynamical LD method [33, 68, 69] provides a means for a statistical ensemble description of trajectories, and the ensuing dynamical phase transition, in the classical stochastic SSEP [75–77]. In the dual picture for the quantum model, this transition (occurring at $w_1 = -1$) corresponds to the ferromagnetic-paraferrimagnetic phase transition in the ground state of the XXZ model [78, 79]. In what follows we explore how it affects the relaxation in the XPX model.

Localised and delocalised phases. In the context of quantum dynamics the inactive and active phase of the XPX model will be referred to as the localised and delocalised phase, respectively. Choosing the inverse participation ratio $\text{IPR} = \sum_{\psi} |\langle \psi | \text{GS} \rangle|^4$ as a measure of localisation ($|\text{GS}\rangle$ is the ground state and $|\psi\rangle$ are computational basis states), we indeed find IPR close to one in the former and close to zero in the latter. As shown in Fig. 2 [see also Fig. 1(a)], these two phases extend beyond the integrable line $w_2 = 0$. For all $w_2 \leq 0$ they are separated by a first order transition, both along w_1 as well as w_2 . Instead, for $w_2 > 0$ the transition is a second order one, cf. Fig. 2.

An interesting feature of the localised phase, indicated in Fig. 1(b), is what could be described as “fractonic” nature of the excitations [56]: an isolated spin down remains immobile for long times, while two adjacent spins down can move without an energy cost. We note that the isolated spin down in the integrable XPX model ($w_2 = 0$) corresponds to a domain wall in the XXZ model, which does not melt in the $|w_1| > 1$ regime due to being close to a stable kink solution [80–85]. The fractonic dynamics in which particles can move only if paired (assisted hopping) is a sort of dynamical facilitation [32], which can lead to separation of time scales [33]. Remarkably, the resulting metastability exhibited by correlation functions which involve the entire spectrum of H_{XPX} , and which will be explored in the following, is tied to the localisation of the ground state.

Slow relaxation. To probe metastability we consider the average temporal autocorrelation of the one-site occupation number $n_j = (1 + \sigma_j^z)/2$:

$$c_t = \frac{1}{L} \sum_{j=1}^L \langle \psi | n_j(t) n_j | \psi \rangle. \quad (5)$$

Here, $n_j(t) = e^{iH_{\text{XPX}}t} n_j e^{-iH_{\text{XPX}}t}$ and $|\psi\rangle$ is a computational basis product state (an eigenstate of all σ_j^z). For such initial states c_t corresponds to the average magnetisation of the initially occupied sites at time t . To smooth out fast fluctuations we furthermore define $\bar{c}_t = t^{-1} \int_0^t d\tau c(\tau)$, which asymptotically approaches the diagonal-ensemble prediction $\bar{c}_\infty = L^{-1} \sum_j \sum_{E_\ell = E_m} \psi_\ell^* \psi_m \langle \ell | n_j | m \rangle$, the sum over j running over the initially occupied sites only.

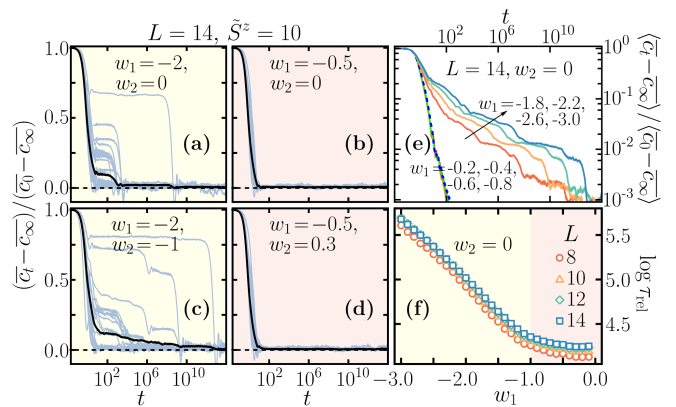


FIG. 3. Slowdown of the relaxation in the localised phase. (a-d) Normalised time-integrated autocorrelations $(\bar{c}_t - \bar{c}_\infty)/(\bar{c}_0 - \bar{c}_\infty)$ from initial computational basis states (light blue), and their average over the sector $\tilde{S}^z = L - 4$ (black), for $L = 14$. Panels (a,b) are for the integrable case ($w_2 = 0$), panels (c,d) for the non-integrable one ($w_2 \neq 0$). Panels (a,c) are in the localised phase, panels (b,d) in the delocalised one. (e) Normalised time-integrated correlation $(\bar{c}_t - \bar{c}_\infty)/(\bar{c}_0 - \bar{c}_\infty)$ averaged over all computational basis states (all sectors of Hilbert space). In the delocalised regime (overlapping curves, topmost being dashed) there is almost no w_1 dependence of the relaxation time, while in the localised regime there is a clear slowdown of relaxation with increasing $|w_1|$. The relaxation time seems to obey exponential scaling $\tau_{\text{rel}} \sim \exp(\alpha|w_1 + 1|)$. (f) Estimate of $\log \tau_{\text{rel}}$ from the area under $(\bar{c}_t - \bar{c}_\infty)/(\bar{c}_0 - \bar{c}_\infty)$ as a function of $\log t$, averaged over the entire Hilbert space.

Panels (a-d) in Fig. 3 portray the autocorrelation functions $(\bar{c}_t - \bar{c}_\infty)/(\bar{c}_0 - \bar{c}_\infty)$, normalised to lie between 0 and 1, for a selection of initial states $|\psi\rangle$ in the semilocal-charge sector $\tilde{S}^z = L - 4$ with $L = 14$. **The same panels show also the average of $(\bar{c}_t - \bar{c}_\infty)/(\bar{c}_0 - \bar{c}_\infty)$ over all $|\psi\rangle$ in that sector.** In contrast to the delocalised phase, panels (b,d) (red background), where all correlation functions quickly attain stationary values, a large number of correlators in the localised phase, panels (a,c) (yellow background), exhibit plateaus which persist for long times before finally relaxing.

Averaging the correlation function over the initial states reveals a hierarchical decay typical for classical glassy systems, where it is associated with a sequence of different length scales on which relaxation occurs [86–88]. This is most apparent in the autocorrelation $(\bar{c}_t - \bar{c}_\infty)/(\bar{c}_0 - \bar{c}_\infty)$, where $\langle - \rangle$ is the infinite-temperature average over the entire Hilbert space, plotted in Fig. 3(e). Defining the relaxation time τ_{rel} as the one required by the average correlator to fall below a certain cutoff value ε , there is a clear distinction between the delocalised phase, with no dependence on w_1 , and the localised one, for which Fig. 3(e) suggests $\tau_{\text{rel}} \sim e^{\alpha|w_1+1|}$ for some $\alpha > 0$ which may differ between the successive plateaus.

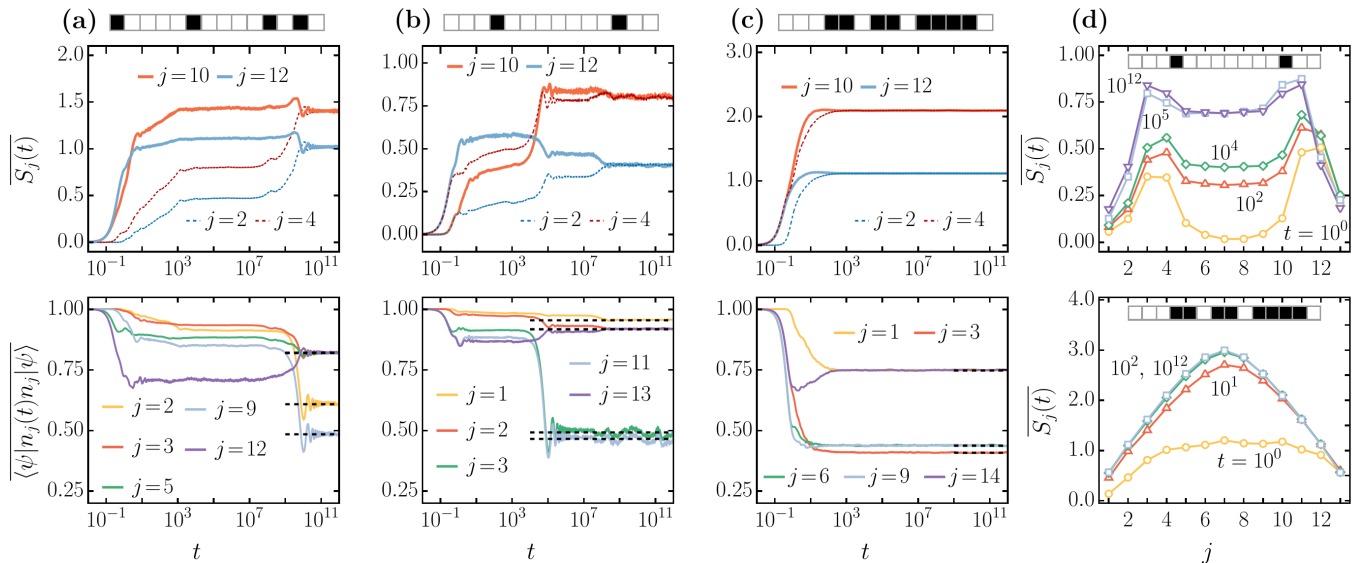


FIG. 4. **Dynamic heterogeneity of the entanglement entropy.** (a-c) Evolution of the time-integrated bipartite entanglement $\overline{S_j(t)}$ (top panels), and the time-integrated temporal autocorrelation of the one-site occupation number $\langle \psi | n_j(t) n_j | \psi \rangle$ (bottom panels), starting from three different initial computational basis states $|\psi\rangle$ (black squares denote spins down, white ones spins up). The plots are for the localised regime of the nonintegrable model with $w_1 = -2, w_2 = -1/2$. (d) Snapshots of the EE profile at different times. The initial configuration for which the time autocorrelations have plateaus indicative of slow relaxation exhibits spatially heterogeneous entanglement evolution. The evolution of EE in the configuration with fast relaxation is instead faster and homogeneous.

An alternative estimate for the relaxation time scale is the area under the averaged correlator in the logarithmic time scale: $\log \tau_{\text{rel}} \approx \int_{\log t_{\text{min}}}^{\infty} d[\log t] (\langle \overline{c_t} - \overline{c_\infty} \rangle / \langle \overline{c_0} - \overline{c_\infty} \rangle)$ [89]. We show this τ_{rel} in Fig. 3(f) as a function of w_1 ranging between the delocalised and the localised regime of the integrable model ($w_2 = 0$): there is a clear crossover from a regime where τ_{rel} is only weakly dependent on w_1 , coinciding with the delocalised phase (red background), to one of exponential dependence on w_1 in the localised one (yellow background). Note the lack of dependence on system size for the sizes accessible to our numerics. This indicates that relaxation can be slow but not divergent with system size, a typical feature of glassy dynamics.

Note that through duality between the models, cf. Eq. (2), the metastability presented above should occur also for the autocorrelation function of the domain-wall occupation number $(\mathbb{1} - Z_j Z_{j+1})/2$ in the XXZ model, as well as for the autocorrelation function of $(\mathbb{1} - \tau_{j-1}^z \tau_{j+1}^z)/2$ in the XOR-FA model.

Large coupling regime. Slow relaxation observed above should be contrasted with the one in the strong coupling limit of the XPX model. In particular, for $w_2 = 0$ and $w_1 \rightarrow -\infty$ the dynamics of the XPX model is described by the integrable *dual folded XXZ model* [41, 42, 90]. The latter has an exponentially large sector of jammed states, typical for Rydberg blockade systems [45, 91], and exhibits strong Hilbert space fragmentation [39, 44, 92]. For finite w_1 the dual folded

XXZ model accurately describes the time evolution of the XPX model up to times $t \sim |w_1|$. On such time scales the dynamics is confined to small subsectors of Hilbert space and time-averaged correlation functions $\overline{c_t}$ exhibit plateaus. While we have checked that they can be correctly predicted by the folded model's diagonal ensemble, such plateaus are not observed for the values of $w_{1,2}$ considered herein, **but instead appear for much larger values of $|w_1|$. Indeed, the plateaus seen in our examples persist on time scales that are exponential and not linear in the parameter.** (see Ref. [93] for a perturbative picture of prerelaxation in certain nonintegrable deformations of the XXZ model).

Dynamic heterogeneity in entanglement. Entropy growth provides crucial insight into the role of kinetic constraints in the emergence of metastability [21, 22, 94, 95]. To demonstrate the heterogeneity of dynamical facilitation we consider the bipartite entanglement entropy (EE) $S_j(t) = -\text{Tr}[\rho_j(t) \log \rho_j(t)]$, where $\rho_j(t)$ is the time-evolved reduced density matrix of the subsystem consisting of sites $1, 2, \dots, j$. Panels (a-c) of Fig. 4 show $\overline{S_j(t)} = t^{-1} \int_0^t d\tau S_j(\tau)$ for several initial states in the localised phase ($w_1 = -2, w_2 = -1/2$) of the XPX model on $L = 14$ sites. Figure 4(a) shows the difference between the EE growth when spins down which facilitate relaxation are initially closer (faster EE growth) to when they are further apart (slower EE growth). Note that the heterogeneity of the EE evolution is accompanied by plateaus in the correlators $\langle \psi | n_j(t) n_j | \psi \rangle$. Figure 4(b)

illustrates the interplay of the kinetic term and the three-site potential energy term (for $w_2 \neq 0$): facilitation caused by the spin down closer to the boundary affects less neighbouring sites, which are thus entangled faster by the quantum unitary dynamics, that is, $\overline{S}_{12}(t) \geq S_2(t)$ for all t . Finally, due to the assisted hopping in the localised regime, a large density of paired down spins results in a quick equilibration, as shown in Fig. 4(c). The profiles of EE plotted at different times in Fig. 4(d) further corroborate the observation that metastability is associated with dynamic heterogeneity, as is also the case in classical glassy materials with or without quenched disorder [34, 35, 94, 96].

Discussion. We have investigated how metastability and slow heterogeneous relaxation emerge from the kinetic constraints in the XPX spin chain (and by extension in its duals, the XXZ and XOR-FA models). The onset of anomalously slow dynamics coincides with a ground state phase transition from a delocalised to a localised one. This is similar to what occurs in other 1D and 2D constrained models [21, 22, 24, 27] for deformations around their stochastic (frustration free) points. In our case, we also find that the two phases with distinct relaxation extend beyond the range of parameters for which the model is integrable and which include the stochastic point. Interestingly, another contrast to previous results is that the ground state transitions delimiting the two dynamical regimes are not always first-order.

While the models studied herein bear some resemblance to certain KCMs with a variety of low-entangled nonthermalising eigenstates [24, 97], the methods for constructing such states do not straightforwardly generalise here due to crucial differences in either dynamical facilitation, or interaction. Whether the onset of slow heterogeneous dynamics in quantum KCMs is related to nonthermalising states interwoven into the energy spectrum, or the presence of some other exotic symmetries constraining the dynamics [47, 98, 99] remains one of the intriguing open questions.

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