

# How Stress and Mental Workload are Connected

Norah H. Alsuraykh  
School of Computer Science  
University of Nottingham  
Nottingham, UK  
[norah.alsuraykh@nottingham.ac.uk](mailto:norah.alsuraykh@nottingham.ac.uk)

Max L. Wilson  
School of Computer Science  
University of Nottingham  
Nottingham, UK  
[max.wilson@nottingham.ac.uk](mailto:max.wilson@nottingham.ac.uk)

Paul Tennent  
School of Computer Science  
University of Nottingham  
Nottingham, UK  
[paul.tennent@nottingham.ac.uk](mailto:paul.tennent@nottingham.ac.uk)

Sarah Sharples  
Faculty of Engineering  
University of Nottingham  
Nottingham, UK  
[sarah.sharples@nottingham.ac.uk](mailto:sarah.sharples@nottingham.ac.uk)

## ABSTRACT

Mental Workload (MWL) can be both good and bad; we can thrive under high MWL, or our performance can drop if the demands become either too low or too high. Similarly, stress is not always bad, short term stress can be beneficial to overcome a challenge or dangerous situation. In our research, we have seen both people that enjoy high workload, and people that feel stressed by it, but we do not know whether that experience of stress significantly affects our measurements. Our recent results show that fNIRS measurements are affected by stress (measured by SSSQ). This paper seeks to discuss the relationship between these concepts, discussing examples of where similar influencing factors appear within models of both Stress and Mental Workload, as well as within subjective measures of them. We conclude that future work must consider participants' experiences of both Stress and Mental Workload, as well as other cognitive concepts, when trying to estimate them from physiological measures.

## KEYWORDS

Stress; Mental Workload; Anxiety; SSSQ; NASA-TLX; MIST;

## 1 Introduction

Recently, Human Computer Interaction (HCI) researchers have focused on using measures of physiological changes to estimate different cognitive activities, such as Stress using e.g. skin response [26] or brain data [56]. Many studies, in fact, show that these types of sensors can pick up different types of cognitive or emotional reactions. Some have pointed out that an increase in Heart Rate is linked with high mental workload [9] [52] while others show that increase in Heart Rate is also triggered by stress [10] [15] [46], and surprise [40], or indeed just physical movement.

Our own research, and research from others in the HCI community, has focused on fNIRS as movement-tolerant brain sensor for use in HCI experiments [27] [33] [37] [44]. For example, it has been used to evaluate the UX of software [34] and used to adapt tasks [1] [56]. While using fNIRS to measure Mental Workload during Air Traffic Control (ATC) tasks, however [38] noted that some people found the task stressful while some found it enjoyable.

Our current studies have been attempting to understand how to measure the impact of stress on fNIRS measurements of Mental Workload, and visa versa. While there are numerous studies which have used fNIRS to discover MWL and Stress separately, few researchers have examined the experiences of stress with MWL and whether this experience of stress is affecting the MWL and physiological measurements or not. We used, for example, the Montreal Imaging Stress Task (MIST) to manipulate stress (in the form of time pressure and negative feedback) whilst maintaining task demand (mathematical difficulty). Our recent NASA-TLX results, however, also showed that mental workload was increased at the same time as stress.

The challenge of manipulating stress and mental workload separately has led us to consider more closely the relationship between them. Interestingly, it is broadly accepted and noted in literature that some people enjoy high workload and believe that it helps them to be more productive, whereas others feel stressed by high-workload and pressure and are less productive. The relationship between MWL and stress is much more complicated than: high workload is bad, and indeed that: experienced stress is bad. As there is a lack of clarity in how stress and MWL are related, because they are used to describe often similar phenomena, this paper reviews literature to clarify the relationship between these two concepts, and elaborate on why they are hard to study separately.

## 2 Background

### 2.1 Mental Workload

Mental workload (MWL) could affect the individuals who are interacting with computers and other devices while navigating complex interfaces that might impose high cognitive demands. Mental overload may compromise a user's performance, by increasing error rates and decline in motivation [54]. MWL has been described as *"the relationship between primary task performance and the resources demanded by the primary task"* [52]. In other words, MWL is the relationship between demands placed upon individuals and their capacity to cope with it. Many measures for assessing mental workload have been developed and they are classified into three types: performance-based assessment, subjective workload assessment, and physiological workload assessment [53]. Traditionally, the effect of MWL is self-reported by the individual using well-established and formally validated subjective techniques such as the NASA Task Load Index (NASA-TLX) retrospectively after a task see e.g. [38] or Instantaneous Self-Assessment of workload technique (ISA) concurrently with a task [25]. In the case of overload, users may even stop reporting ISA scores, because they lack the spare capacity to complete this secondary task. There are measurable physiological changes, however, that correlated consistently with high MWL: facial temperature with our nose temperature dropping with higher mental workload levels [11], pupil diameter [5]

Much HCI research is performed on the premise that high MWL is bad, while [53] highlight that a decrease in performance could also be the result of underload conditions. For underload, the concern is that demand is so low for an extended period of time, that participants' attention to a task also reduces. Conversely, high MWL, may be recognized as desirable, such as in cases where people are driven in high-pressure jobs, enjoy being busy, and feel a sense of achievement when dealing with a large workload. Others may experience stress and anxiety under the same conditions, and thrive with a lack of pressure and available time. The critical point here is that individual's response to MWL for a given task is just that: individual, rather than simply assume that high MWL is bad for everybody, there is potential, assuming MWL can reasonably be measured in real time, to dynamically tailor tasks to an individual's best case. If not, then at least it currently asserted in

literature that at least the task context needs to be considered before determining what level of mental workload is 'desirable' [48].

#### A Mental Workload Measurement Framework

In order to gain better understanding of the measurement process of MWL, the following MWL framework by [52] might be useful. It comprises three main parts: 1) the physical and cognitive task demands, 2) the operator's workload and 3) the performance (See Figure 1). The external and internal influences on workload and the relationships between the three components are important for MWL definition and measurement.

**Physical and cognitive task demands** are a reflection of a task's features that are imposed on a person. It is necessary to determine the work demands that individuals might face in order to measure Mental Workload. In addition, because the demand could be perceived differently by different individuals, it is essential to measure both the imposed demands and perceived demand.

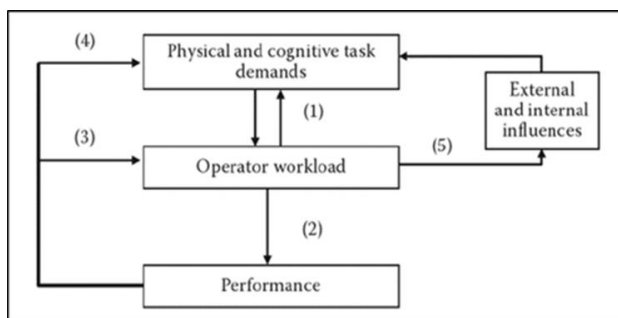
**Operator workload** can be defined as *"equivalent to measures of operator strain or effort"* [40]. Several MWL measurements focus only on measuring the operator experience during and after the task using different subjective questionnaires, however, it has used the measures of effort from behaviour indices and the impact of effort physiology.

**Performance** points to measurements that are usually described in terms of speed and errors rates. Nevertheless, measuring performance can be difficult as task complexity increases, as can analysing the relationship between the MWL components (demand, workload and performance) - e.g. *"contrary to what is expected, as task demands increase there is not necessarily an increase in operator workload, or decrease in task performance"* [40]

### 2.2 Stress

According to [28], they indicated that the meaning of the term "stress" has no precise consensus. It has been defined the "Stress" as the lack of balancing between environmental demands and individual capabilities [30]. It has been suggested that the stress is the interaction between internal or external inverse effects that could challenge or threaten the maintenance of a human's dynamic balance [12]. In other words, stress could indicate to the coping with the external or internal adverse conditions (stressors), which is the behavioural, psychological and physiological responses [12]. It has been indicated that the techniques for inducing the stress response by the body is a status to be unpredictable, and/ or explained as being unprecedented, and/or the person does not the control over this particular situation [33].

Some research refers to "Stress" as a person's experience of pressures and individual demands, whereas the terminology "Strain" indicates to the reaction to such stress. However, some researchers have defined the term of stress as non-equivalent degree between demands made upon a person and his/her ability of coping with those certain demands. Also, The European



**Figure 1: A Framework for MWL definition and evaluation (Wickens, 2008)**

Commission Directorate-General for Employment and Social Affairs (2003) described it as a “state characterized by high levels of arousal and distress and often feelings of not coping”. According to [30], people will react with stress responses if there is a situation of constant attentional demand. From this point of view, it has been revealed that the psychological stress is the consequence of individuals’ appraisals of their environment as exacting or exceeding their resources and/or hazard their safety [30]. The estimates of demanding or threatening surrounding environments are multifaceted, reflecting emotional (affect), motivational (conation), and cognitive processes. However, across nearly all of these dimensions, the notion of ‘too much workload’ recurs.

The Transactional Model of Stress and Coping (TTSC) [30], has influenced psychological stress and coping research cross several fields. This theory can be defined as ‘*a framework which emphasizes appraisal to evaluate harm, threat and challenges, which results in the process of coping with stressful events*’ [30]. Furthermore, it has been argued that the experienced level of stress, such as emotions, feelings and behaviors because of external stressors, relies upon evaluations of the circumstance, which includes a judgment about whether external demands or internal ones have overtaken the available resources and the ability to cope when demands that surpass resources [30]. In addition, it has been suggested that one definition of stress is that ‘*the demands of an event exceed personal resources and is motivated by the emotional response to harm and threat*’ and, in short, is ‘*the relationship between the person and the environment*’ [30]. There are two main functions of the appraisal: 1) for modifying the relationship of person-environment and 2) for having an impact on levels of emotional distress. There is a comparison between the cognitive and behavioral efforts required to administer the internal or external environment when there is discord in the individual’s ability and resources to deal with psychological stress.

Stress is often perceived in a negative concept while it is actually a very useful and highly adaptive response. Our body understands the importance of stress and how it can cause some of the potential damage, such as insomnia, weakened immune systems, increased blood pressure [6]. In addition, it has been suggested that Psychological stress influences numerous psychological and physical processes in healthy individuals and those with psychiatric disorders, and it is thought to influence mood, memory, and decision-making. Interestingly, such stress can be captured, in a way, by using NASA-TLX (for Mental Workload), which has Frustration as a subscale.

Researchers have applied different subjective and objective measurements to detect and measure psychological stress. Various physiological measurements have been approved in the laboratory experiments as effective measures of stress, such as HR. Interestingly, there are some earlier studies which revealed that induction in Mental Stress can be measured by Skin Temperature [27], Electrodermal Activity (EDA) [7], HR [7] [26] and Electroencephalography (EEG) with fNIRS [2]. Moreover, there

are different research that have investigated stress in real-world experiment by applying various subjective measures, such as SSSQ [22] and Dundee Stress State Questionnaire (DSSQ) [37]

### 3 Stress and MWL

In the Transactional Theory of Stress introduced down [13], the Cognitive appraisal of stress has two different processes: 1) primary appraisal which includes the location of a event, such as stressful, and 2) a secondary appraisal appears after an evaluation of the event, such as threat or challenge. According to the theory of transactions, stress can be occurred in situation of particular transaction is evaluated by individuals relating to their well-being. In other words, the people will face stress when there is perceived mismatch between task’s or event’s demands and individual’s resources to cope with it.

There is similarity between the Transactional Theory of Stress by [14] and the Framework for MWL by [51]. In MWL framework, the operator workload is influenced by the way that task is perceived by the operator, while there is association between demand and performance. It has been pointed out that the outcome demand created by a combination of physical and cognitive task demands and external and internal influences [42]. The workload is affected by intrinsic factors, such as the attitude towards a task and operator skills [42]. These factors could affect the operator decision towards performing a task.

It can be challenging to detect the differences in the way an individual is working to maintain a good level of performance during complex or real-world performance measurements [38]. The results from performance could affect task demands, high demand on the operator could increase the errors that may occur which will lead to increase in the task demands. In the Transactional model, the cognitive appraisal that caused the stress response is influenced by perceived demand and perceived capability. Therefore, if an individual has high capacity to respond to an increased demand, he/she will be less likely to experience a stress response and indeed any stress response could be both

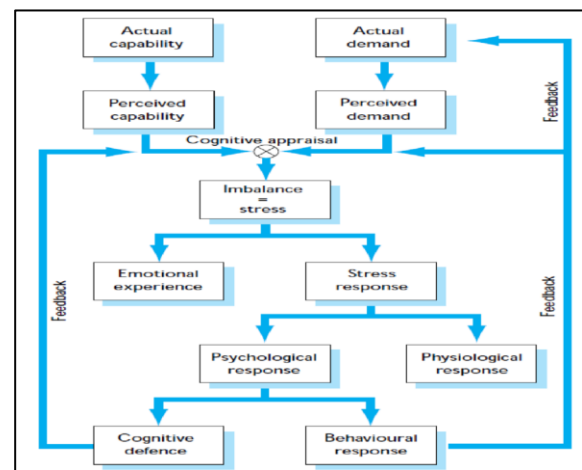


Figure 2: The Transactional Model of Stress (Cox, 1978), updated by (Owusu & Tawiah, 2014)

psychological and physiological. When we consider these models together then, it appears to be the case that stress and MWL are strongly interconnected.

### 3.1 Measuring MWL and Stress

There are various subjective methods that are commonly used to assess the impact of psychological stress and mental workload on performance, such as the NASA Task Load Index (NASA TLX) [21] and the Short Stress State Questionnaire (SSSQ) [22]. It has been indicated that such stressors could increase the mental (cognitive) workload significantly [20]. Firstly, a number of studies that highly connected the aroused stress with reducing the capabilities of decision-making and lower performance [23] which could have a negative effect on person's ability. It has been argued that task performance could be reduced by stress which influences individual's concentration and time [39].

In addition, a great number of studies of the psychophysiological measurements have been conducted to conclude the level of workload and stress from measurements of the Autonomic Nervous System (ANS) activity. For example, it has been revealed that the levels of task difficulty during a simulated flight scenario affected both the heart rate (HR) and blood pressure [50]. Moreover, several researchers found that the MWL which assessed by the NASA TLX was significantly related to the HR [53].

Various studies have attempted to measure the stress and MWL, which can be divided into three categories: (1) Self-reporting and subjective ratings using standard questionnaires such as the NASA-TLX [21] and the SSSQ [22]; (2) Behavioral measures, such as primary and secondary-task performance; and (3) physiological measurements, such as including Heart Rate, Skin Temperature to monitor task demand (MW) [8] [20] [50] as well as stress level [9] [14], pupillometry, electromyography, galvanic skin response, and brain activity [51] [53].

Several experiments have been examined the stress and MWL. For example, it has been found that there are significant correlation stress and mental workload (positive correlation) which means when the subjects have higher mental workload and more negative emotion, they tend to experience more stress [55]. In addition, it has been found that stress is a human state which can be caused by a number of reasons, including high mental workload, emotions, or environmental influences [19]. The stress is identified based on the combinations of the recognized positive or negative emotion and the levels of mental workload. Similarly, it has been revealed that there is tight link between mental workload and psychological stress during flight task, where there found a significant positive correlation between HR and mental workload/psychological stress [11].

## 4 Conclusion

To conclude, various research has indicated that MWL and stress are interconnected, and their measures are influenced by each other

[56] [46]. This paper discusses the similar theories of MWL and Stress and outline how these two are connected.

Although a large number of experiments have showed that MIST was worked efficiently and effectively in terms of inducing stress level, our studies revealed that MIST increased experienced mental workload as well as stress. Indeed, its mechanism relies heavily on increasing time pressure in task demand, and this thus manipulating what one would expect to increase mental workload. Our recent results showed that performance will be decreased as the cognitive demands increased which in turn imposed high demands on the operator (The Framework for MWL [51]). Similar to performance, the lack of coping with the perceived demand and perceived capability will impact the cognitive appraisal, which in turn will induce the stress response, that could be psychological and/or physiological [13]. Therefore, we conclude that stress and MWL are highly connected and the participants' experiences of stress should be considered when evaluating MWL. For DigiStress 2019, we recommend that we consider in detail what cognitive factors are changing when we try to 'measure stress', and consider how we model how those different factors affect each other.

An open question, for example, is how Stress and anxiety are similarly connected. Some initial research revealed that there is a relationship between stress and anxiety [34], despite having different definitions and experiences. Stress is associated with frustration and nervousness whereas anxiety is related to fear, worry and unease. Similar to Stress, there are several studies focused on understanding how long-term anxiety could affect mental health and well-being [8][20]. In addition, there are several self-reporting that applied to measure long-term anxiety, such as the Depression Anxiety Stress Scales (DASS) by [29]. However, in our research, it has been concentrated on the anticipation imminent forms of anxiety that focuses on the instantaneous anxiety about imminent performance, such as the Sport Anxiety Scale Questionnaire 2 (SAS-2) (introduced by [45]). To conclude, stress and anxiety have tight similarity and it has been suggested that anxiety is one element of stress experience [15]; yet, we could not find an anxiety theory about that related to our research.

### 4.1 Future Work

Our ongoing and future work is focusing on evaluating different approaches to manipulating experiences of stress, which do not rely on manipulating the task demand, but instead manipulate another facet in the cognitive appraisal. Such a facet might be social performance anxiety from speaking in public [3] [17] (in regard to what others think, rather than in the task being more time pressured), or indeed in anticipation. There are various ways to induce stress, such as time pressure, negative feedbacks, public speech, difficult arithmetical tasks, social comparison, the Stroop Colour Word Test [16], the Sing a Song Stress Test [7] and the Trier Social Stress Test [28]. For our next study, it is necessarily to test these methods to be able to manipulate stress and MWL separately.

An alternative approach we are considering is to capture participant's experiences of MWL and Stress using a questionnaire, to categorise their response to difficult tasks - whether they found it enjoyable or stressful (similar to [11]). By applying this technique, participants can be divided into different groups by comparing their data based on their experiences, rather than trying to manipulate stress in a within-participants design. Our initial questionnaire to categorize participants will be based upon a simple 5-point scale, unless a more appropriate validated scale is found. This method would be beneficial to compare between groups and help us to understand more about stress and MWL, however comparisons between different people's physiological responses is often difficult, and so reasonable comparison would be hard to establish.

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