

# Field evaluation of the effects of air convection in energy harvesting asphalt pavements

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## Abstract

In this article, the performance of a convection-powered air flow through an asphalt prototype pavement is investigated in a field test. An asphalt prototype pavement with pipes buried in its aggregate layer was connected to a constant temperature heat source and installed at the University of Nottingham, UK. In the experimental configuration chosen, air at 15 °C was free to flow through the prototype pavement by natural convection and exit through a vertical chimney. The natural convection flow was meant to cool down or heat up the pavement based on the temperature gradient between the pavement surface and the air in the pipes. The experimental setup included a weather station and aimed to analyse the effect of the heat fluxes from and to the air in the pipes on the development of the surface temperature.

The experimental results produced a large dataset, which was analysed based on physical and statistical principles to provide guidance for future studies in the field. The system designed was able to provide pavement heating and cooling effectively in a real life environment. The maximum extent of the heating and cooling effects was quantified as  $\pm 5^{\circ}\text{C}$ .

*Keywords:* air convection, temperature management, asphalt pavement, energy harvesting

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## 1. Introduction

2 The durability and reliability of the road infrastructure is influenced by the varia-  
3 tion of its surface temperature, as high or low values of this parameter are responsible  
4 for softening or embrittlement of the asphalt wearing course. When the surface tem-  
5 perature of asphalt pavements is high they become susceptible to rutting [1] and the  
6 ageing process is faster [2]. On the other hand, when the temperature is very low, the  
7 formation of ice on the pavement constitutes a hazard for vehicles [3, 4] and the risk  
8 of fatigue failure is increased [5]. In the scientific literature, many ways have been  
9 considered to fight these issues. If the surface temperature of an asphalt pavement is  
10 expected to become too high the properties of the chosen mix may be changed, e.g.,

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11 thermal conductivity, specific heat capacity, albedo, or emissivity (see for example  
12 [6, 7, 8]). In the case of low pavement temperatures, the focus is usually on trying  
13 to prevent ice formation, thus, chemical substances are spread on the asphalt surface  
14 [3, 4]. A less common option, though occasionally used, is to install piping systems  
15 under the pavement surface and to circulate hot fluids through them, thus, aiming at  
16 increasing the material's temperature [9, 10].

17 The authors have recently tried the use of convection-powered air flows to manage  
18 the temperature of pavements [11, 12, 13], both experimentally and computationally.  
19 The use of air as the operating fluid in the place of liquids comes with advantages,  
20 i.e., the independence from electric machinery for the circulation of the fluid and the  
21 safety in the case of the rupture of pipes [14]. However, air is known to have worse  
22 heat transfer properties than water or other operating fluids, thus, its effectiveness is  
23 usually lower for pavement heating and cooling [11]. Nonetheless, the authors showed  
24 that the use of convection-powered systems allows to both cool down a hot pavement  
25 [11, 12, 13, 14] and to warm up a cold pavement [12]. Based on the previous exper-  
26 imental results obtained, the authors used the equipment called the ground source  
27 heat simulator introduced in [12] to generate a convective air flow through an asphalt  
28 pavement in the attempt to manage its temperature with varying weather conditions.  
29 Therefore, the aims of this paper are (i) to assess the reliability and the potential of  
30 this thermal pavement evaluation equipment when installed in the environment, (ii) to  
31 verify the representativeness of the previous experimental observations through a field  
32 test, and (iii) to assess the influence of actual weather conditions on the performance  
33 of the system.

## 34 **2. Methodology**

### 35 *2.1. Experimental setup*

36 In this paper, the experimental setup known as the ground source heat simulator  
37 and introduced in [12] was used (see Fig. 1). Such an experimental layout is meant  
38 to simplify the shape that an air-powered energy harvesting system could have in a  
39 real-life installation. In [12], the authors hypothesised that an inlet pipe could enter  
40 the soft shoulder of an asphalt pavement at a certain depth, then rise closer to the  
41 asphalt wearing course, and, finally, exit the pavement through an updraft chimney.  
42 The chimney could be, e.g., a traffic sign post or any other component of the road  
43 infrastructure with a similar shape and position relative to the pavement.

44 The ground source heat simulator comprises two main parts, i.e., an energy har-  
45 vesting prototype pavement and a steel cabinet. The size of the pavement prototype  
46 represented in Figs. 1 and 2 is 470 mm x 700 mm x 180 mm [12]. The pavement pro-  
47 totype consists of two layers, i.e., a 50 mm-thick asphalt wearing course (limestone,  
48 maximum size 11 mm) and a 130 mm-thick aggregate layer (coarse limestone gravel),  
49 where the pipes allowing the air flow are installed.

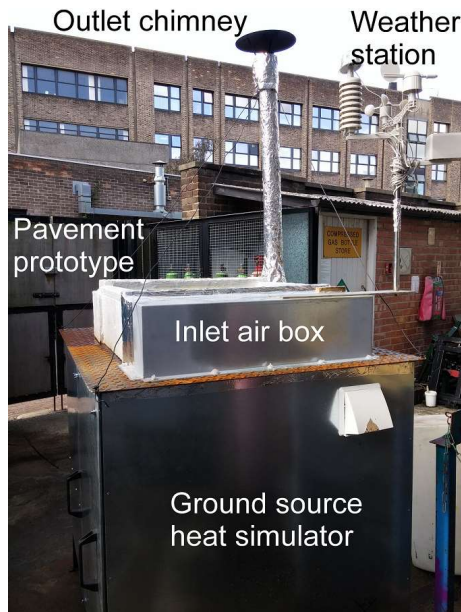


Figure 1: Photograph of the experimental setup.

50 In the steel cabinet, a vertical pipe called the inlet pipe was installed to connect the  
 51 environment to the inlet air box (see Fig. 2). In order to simulate the presence of a  
 52 geothermal source, ceramic heat emitters were pointed at the inlet pipe and connected  
 53 to a thermostat to provide air at a controlled temperature of  $15^{\circ}\text{C}$  inside the inlet air  
 54 box. This temperature was chosen because it is representative of a typical geothermal  
 55 source [15]. It is worth pointing out that such an inlet temperature is based on the  
 56 assumption that the inlet air would be at thermal equilibrium with the surrounding  
 57 soil. While this is not very likely for a dynamic and convection-powered system,  
 58 no data on real inlet temperatures was available for this type of energy harvesting  
 59 pavement (due to its novelty) and an approximation was required. Consequently, we  
 60 recommend that this aspect is addressed in the future, when data availability will be  
 61 higher and more accurate hypotheses will have been formulated.

62 As seen in Fig. 2, the air flows from the inlet air box to the energy harvesting pro-  
 63 totype pavement, which is placed right next to it. The energy harvesting prototype  
 64 consists of an asphalt wearing course, under which a set of 13 steel pipes are installed  
 65 along with limestone gravel [11]. The pipes outlet into a second mixing box, which,  
 66 in turn, is connected to the environment via a 1 m long vertical chimney. At the  
 67 chimney outlet a small cowl was installed to allow air to exit the system but also to  
 68 prevent water infiltration.

69 The steel cabinet was highly insulated, so that the environmental conditions (e.g.,  
 70 weather, precipitation, etc.) could not influence the operation of the ceramic heat  
 71 emitters. A control asphalt slab with no energy harvesting pipes was monitored along  
 72 with the prototype pavement to show the effect of the experimental setup chosen.

73 The ground source heat simulator was installed in the University Park campus at  
 74 the University of Nottingham, UK. As a result, it was exposed to varying weather

75 conditions and day/night cycles. In particular, during the day the combined effect of  
 76 the sun's radiation and thermal radiation from surrounding buildings was expected  
 77 to heat up the prototype pavement, thus, causing an energy flux from the pavement  
 78 to the air in the pipes (negative heat flux for the pavement). In contrast, during the  
 79 night or cold periods the pavement temperature was expected to decrease and, in this  
 80 case, the pavement would receive energy from the warmer air flowing through the  
 81 pipes (positive heat flux for the pavement). The presence of these heat fluxes was  
 82 verifiable by comparing the surface temperature of the prototype pavement to that  
 83 of the control slab installed next to it.

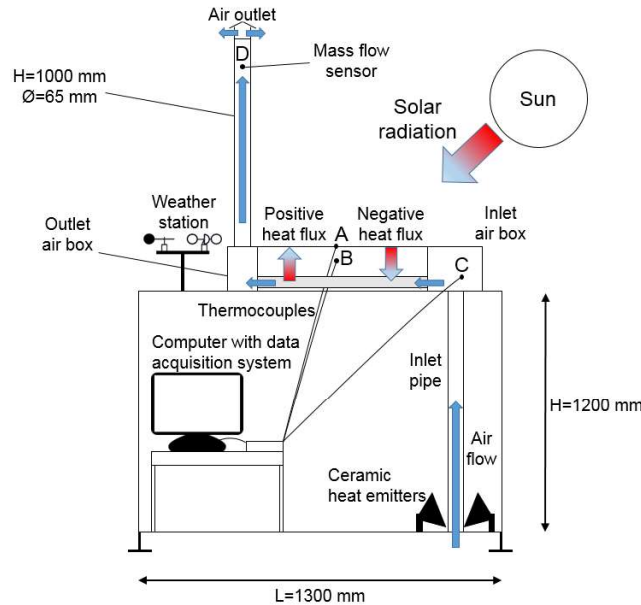


Figure 2: Scheme of the experimental setup used with position of the thermocouples and the mass flow sensor, adapted from [12].

## 84 2.2. Measuring equipment

85 In order to monitor the behaviour of the system a number of measuring tools  
 86 were used. To begin with, K-type thermocouples (see Fig. 2) were used to record  
 87 the temperature evolution on the prototype pavement surface (point A), at 50 mm  
 88 from the surface (point B), in the inlet air box (point C), and on the surface of the  
 89 control asphalt slab (not shown in Fig. 2). In addition, an IST FS5 thermal mass flow  
 90 sensor was used to monitor the wind speed at the chimney outlet (point D in Fig.  
 91 A). The sensor was connected to an electronic board to enable datalogging through  
 92 an OMEGA OMB-DAQ-54 datalogger.

93 Finally, a datalogging PCE-FWS 20 weather station (see Figs. 1 and 2) was installed  
 94 on the steel cabinet to record the evolution of the weather conditions during the  
 95 experiments performed. The weather parameters monitored during the operation of  
 96 the system are outdoor temperature, outdoor relative humidity, absolute pressure,  
 97 wind speed, gust, wind chill corrected temperature, and mm of rain. A summary of  
 98 all the logged parameters is available in Table 1. In this paper, the relative humidity

Parameter	Unit	Instrument Used
Surface temperature of the prototype pavement	°C	OMEGA OMB-DAQ-54 datalogger
Temperature at 50 mm from the surface of the prototype pavement	°C	
Temperature in the inlet air box	°C	
Surface temperature of the control slab	°C	
Outlet air speed	m/s	OMEGA OMB-DAQ-54 datalogger IST FS5 thermal mass flow sensor
Outdoor temperature	°C	PCE-FWS 20 weather station
Outdoor relative humidity	%	
Dew point	°C	
Absolute pressure	hPa	
Wind speed	m/s	
Gust	m/s	
Wind chill corrected temperature	°C	
Rainfall	mm	
Rainfall in the previous 24 h	mm	

Table 1: Parameters monitored during the experimental campaign.

99 is used only to provide information on the experimental conditions and is not used to  
 100 describe the amount of water vapour in the air. This, instead, is done by studying the  
 101 dew point, which provides a direct link with the absolute humidity. Relative humidity  
 102 is the ratio between the absolute humidity and the maximum amount of water that  
 103 can be stored in air at a given temperature and in a chosen volume. Thus, relative  
 104 humidity does not take into account that the maximum amount of water that can  
 105 be stored in air changes as a function of the air temperature and, therefore, is not  
 106 a suitable means of describing the long-term variation in other physical parameters  
 107 [16].

108 The installation recorded data during the period September 2015-January 2016 at a  
 109 logging frequency of 15 minutes, obtaining a total of about 7500 data points for each  
 110 of the parameters under investigation. The measuring equipment was off for routine  
 111 maintenance and data analysis for about 1 week every month.

### 112 2.3. Theoretical background

113 In the experimental setup chosen, heat can flow from air to the pavement and vice-  
 114 versa, as mentioned in Section 2.1. As a result, one must expect that the pavement  
 115 will receive or release heat based on the temperature differences that exist.

116 In this paper, a simplification is made to allow the calculation of the energy flows in  
 117 the pavement, i.e., the asphalt prototype pavement and the control slab are considered  
 118 as if they were identical. It is clear that this is an approximation, because the asphalt  
 119 mixtures might slightly differ and the presence of pipes in the prototype pavement  
 120 (even if blocked to air flow) can be expected to make some difference. It is, however,  
 121 an acceptable approximation, as the thermal mass (product of mass and specific heat  
 122 capacity) does not vary significantly between the asphalt slabs under analysis and the  
 123 weather conditions are the same. The thermal mass, which is the product of mass  
 124 and specific heat capacity, is similar because the presence of air in the asphalt will

125 have a very little influence due to its very low values for both parameters and due to  
126 the fact that it occupies a small portion (less than 2%) of the overall volume.

127 Therefore, since the surface temperature of both the prototype pavement and the  
128 control slab are known, a further simplification can be made. The slabs can be  
129 considered as if they had a constant temperature throughout their bodies. Let us  
130 point out that this highly simplified approach is equivalent to considering the slabs  
131 as points with given mass, temperature, and other average physical properties based  
132 on the presence of both asphalt and gravel.

133 Furthermore, under the hypothesis that both slabs are at thermal equilibrium with  
134 the environment at any measurement step, the energy flux existing as a consequence  
135 of the piping system and the convective air flow,  $\Phi$ , may be calculated as:

$$\Phi = m_{slab} \cdot c_p \cdot (T_A - T_{control}) \quad (1)$$

136 where  $m_{slab}$  is the mass of the pavement Section,  $c_p$  is the specific heat capacity,  
137  $T_A$  is the surface temperature of the prototype pavement, and  $T_{control}$  is the surface  
138 temperature of the control slab. The values of the parameters used are  $m_{slab} = 121$   
139 kg and  $c_p = 0.93$  kJ/(kg K) and the specific heat capacity is a mass weighted value  
140 including both layers of the prototype. Such values were calculated based on the data  
141 seen in [17] for asphalt and on the average properties of the aggregates used in the  
142 energy harvesting setup.

143 The calculation performed in Eq. 1 means that to take a given pavement at thermal  
144 equilibrium with the environment from the temperature  $T_{control}$  to the temperature  
145  $T_A$  the heat flux  $\Phi$ , which can be positive or negative, is needed. Therefore, an ap-  
146 proximation of the heat flux caused by the presence of the energy harvesting system  
147 and the ground source heat simulator is obtained. A positive heat flux for the pave-  
148 ment means that it is receiving energy from the flowing air ( $T_A > T_{control}$ ), while a  
149 negative value means that energy is being harvested from the sun to the air travelling  
150 through the pipes buried in the pavement ( $T_A < T_{control}$ ).

#### 151 2.4. Statistical methods

152 Since the number of data points gathered was very high, statistical methods were  
153 used to interpret the experimental results. In particular, a correlation analysis was  
154 performed by the means of the Pearson's correlation coefficient,  $r$ , using a 2-tailed  
155 significance test. Values of the coefficient between 0.7 and 1 or -0.7 and -1 were  
156 considered as a sign of a strong relationship between the data, while values between  
157 0.4 and 0.7 or -0.4 and -0.7 were considered as a sign of a moderate relationship. The  
158 difference in the Pearson's coefficient ranges between the present paper and an earlier  
159 one [12] is motivated by the fact that in that paper more strict conditions had to be  
160 set due to the much smaller size of the dataset under investigation.

### 161 3. Results and Discussion

#### 162 3.1. Experimental conditions and weather

163 To begin with, it is important to discuss the effectiveness of the experimental setup  
164 chosen. For the data to be valid the inlet temperature needs to be reasonably close  
165 to the set inlet temperature. In Fig. 3, a histogram of the inlet temperature is shown.

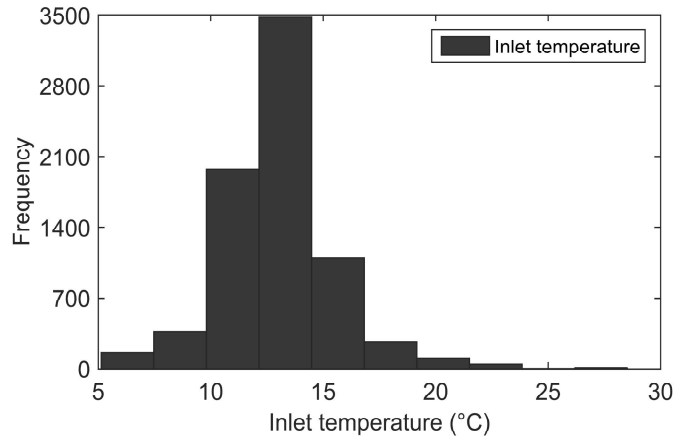


Figure 3: Histogram of the inlet temperature in the ground source heat simulator.

166 The observation of the histogram confirms the effectiveness of the main working  
167 mechanism experimental setup, i.e., the simulation of ground source heat at a given  
168 temperature. The most frequent temperature was between 14°C and 15°C, although,  
169 temperatures between 10°C and about 13°C were also rather frequent. The reason  
170 for this is that even if the inlet air box was highly insulated, it was still exposed  
171 to environmental conditions (see Figs. 1 and 2), thus, its temperature could not be  
172 kept perfectly constant. Nonetheless, a slight variation in the inlet temperature is  
173 even more representative of a real scenario, thus, it is not a cause of concern for  
174 the present investigation. In addition, such variation has to be accepted due to the  
175 very high difference between the maximum and minimum ambient air temperatures  
176 recorded during the experimental trial, i.e., about 19 °C and -2 °C, respectively.  
177 The highest temperature was recorded at the beginning of the experiments during  
178 September 2015, while the lowest one corresponds to a particularly cold week during  
179 November 2016. The maximum temperature was slightly higher and the minimum  
180 temperature was rather lower than the averages reported for the climate period 1981-  
181 2010 by the Met Office [18], however, this is in line with the values reported for the  
182 period of the investigation for Nottingham, UK [19]. These temperatures are not the  
183 maximum and minimum temperatures of the asphalt slabs considered in this study, as  
184 further physical phenomena such as surface convection and heat accumulation heavily  
185 influenced their temperature development.

186 The absolute atmospheric pressure was in the range 1017-1027 hPa (average 1020  
187 hPa), while the relative humidity was in the range 30-100% (average of 85%).

188 *3.2. Temperatures*

189 The data gathered in the investigation period provided satisfactory results. Gen-  
190 erally speaking, two different scenarios were observed:

- 191 • Scenario 1: ambient temperature higher than inlet temperature during daytime  
192 (15°C)
- 193 • Scenario 2: ambient temperature lower than inlet temperature (15°C)

194 Scenario 1 was the first situation observed in the experiments performed, because  
195 in the month of September the ambient temperature was always higher than 15°C  
196 during the day. During the night, however, the ambient temperature dropped below  
197 the temperature of the simulated geothermal source. The temperatures of both the  
198 prototype pavement and the control slab followed this daily oscillation, however, the  
199 energy harvesting pavement was generally colder than the control slab during the peak  
200 hours of the day and warmer throughout the night. This phenomenon can be clearly  
201 seen in Fig. 4, where the surface temperatures of the two asphalt pavement sections  
202 are represented for two sample days. On the other hand, the situation observed in  
203 Scenario 2 was significantly different as the surface temperature of both pavements  
204 was always lower than 15°C. As a result, even if the pavement temperatures still  
205 followed the daily oscillation due to the change in the ambient temperature, the surface  
206 temperature of the prototype pavement was always higher than the temperature of  
207 the control slab. A graphical representation of Scenario 2 is shown in Fig. 5, where  
208 the surface temperatures measured during two sample days are represented.

209 The presence of two different scenarios shows that the prototype pavement designed  
210 worked in two modes, i.e., as an energy source (Scenarios 1 and 2) and as an energy  
211 harvesting system (Scenario 1). The system operation in Scenario 1 changed with the  
212 daily variation of the air temperature, however, in Scenario 2, the air in the pipes  
213 always acted as an energy source. It is expected that in a hotter climate a third  
214 scenario would exist, when the system would always be harvesting energy from the  
215 pavement. This, however, does not seem likely to be observable in the Nottingham,  
216 UK area, as the minimum temperatures in the summer months are between 10°C and  
217 12.1°C (average for years 1981-2010 [18]). As a result, the inlet air would still be  
218 warmer than the environmental temperature at times, implying that the conditions  
219 of Scenario 1 would still apply.

220 The two methods of operation were characterised by different correlations with  
221 environmental conditions, as shown in Table 2. The parameters seen in Table 1  
222 that do not appear in Table 2 do not have significant correlations with the surface  
223 temperature, thus, they are not shown.

224 The most interesting result shown in Table 2 is that there is no strong correlation  
225 between parameters during the energy harvesting process and only moderate correla-  
226 tions exist. This behaviour suggests that the energy harvesting process powered by a



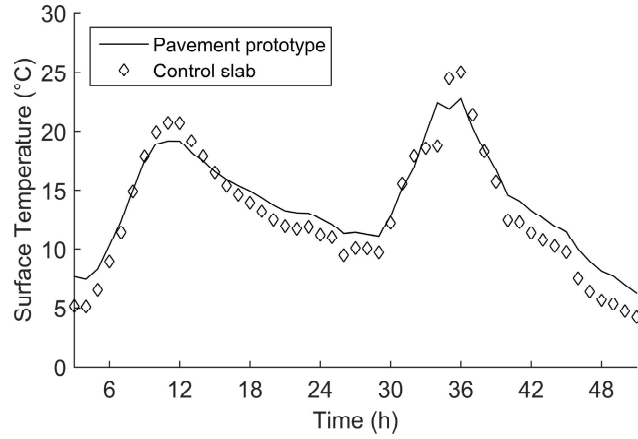


Figure 4: Surface temperature evolution of the prototype pavement and the control slab during two days in Scenario 1.

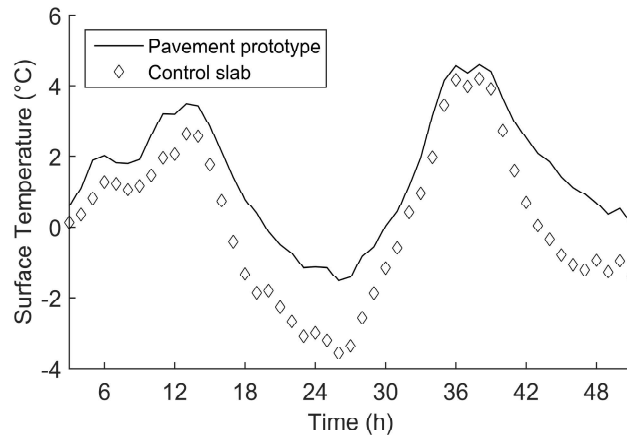


Figure 5: Surface temperature evolution of the prototype pavement and the control slab during two days in Scenario 2.

227 source at a constant temperature (in this case, geothermal) may be able to partially  
 228 uncouple the surface temperature from the characteristics of the environmental condi-  
 229 tions. During energy harvesting, the surface temperature of the prototype pavement  
 230 is not influenced much by the outdoor temperature (see Table 2), but it is moderately  
 231 influenced by wind speed ( $r=-0.50$ ), gust ( $r=-0.52$ ), dew point ( $r=+0.46$ ), and 24  
 232 hours antecedent rainfall ( $r=+0.55$ ).

233 The presence of wind caused the surface temperature to decrease ( $r=-0.50$  for wind  
 234 speed,  $r=-0.52$  for gust). This is in accordance with previous literature on pavement  
 235 thermodynamics [20] and is motivated by the fact that stronger wind (or wind blasts,  
 236 in the case of gust) increase the heat exchange between the warm surface temperature  
 237 and the air, thus, removing energy from the pavement. It is relevant to report that  
 238 even if this natural temperature reduction effect is beneficial for the pavement, less  
 239 energy is available for harvesting. As a result, if that further aim were to be chosen  
 240 for the pavement, the presence of wind is a drawback, as the air in the pipes can  
 241 absorb a lower amount of heat.

242 The correlation coefficient between the dew point and the surface temperature is

	$T_A$ Energy harvesting	$T_A$ Energy release
Outdoor Temperature	+0.28	+0.88*
Wind Speed	-0.50**	+0.03
Gust	-0.52**	+0.04
Dew Point	+0.46**	+0.63**
Wind chill corrected temperature	+0.33	+0.87*
24 Hour antecedent Rainfall	+0.55**	-0.25

Table 2: Pearson’s correlation,  $r$ , between weather conditions and surface temperature of the prototype pavement ( $T_A$ ). \*=strong correlation, \*\*=moderate correlation.

243 mostly related to meteorology, because higher values of the dew point are associated  
244 with higher atmospheric air temperatures, while lower values of the dew point are  
245 normally recorded during cold periods. The Pearson’s correlation coefficient between  
246 temperature of the atmospheric air and dew point was calculated as  $r=+0.72$  for  
247 the whole dataset, which in turn means that the surface temperature of the proto-  
248 type pavement should be affected by the temperature of the atmospheric air to some  
249 extent. The outdoor temperature, however, was not able to strongly influence the  
250 pavement temperature during energy harvesting because the air in the pipes acted as  
251 a damper, thus, mitigating the potential temperature increase due to solar radiation  
252 and thermal radiation and absorbing part of the extra heat that would have affected  
253 the daily surface temperature evolution.

254 Finally, the 24h antecedent rainfall during energy harvesting was found to have a  
255 moderate positive linear correlation with the surface temperature of the prototype  
256 pavement. This phenomenon is unusual, because, generally speaking, the presence of  
257 water on a warm or hot surface is known to reduce its temperature due to evaporation,  
258 which absorbs the energy that drives the phase change from liquid to vapour [21, 17].  
259 Such temperature reduction usually continues until the water on the pavement is  
260 completely evaporated. In this case, however, the increase of the thermal mass of the  
261 material as a whole due to rainwater (asphalt+water instead of asphalt+air in the  
262 pores) probably exceeded the enthalpy of evaporation. The reason for this is that the  
263 pavement temperature never reached high peaks and did not stay warm or hot for  
264 long periods of time, thus, the evaporation rate during the energy harvesting process  
265 was rather low and was overcome by the increase in the thermal mass. Water has  
266 much better thermal properties than the air it replaced in the pores or on the surface,  
267 thus, the pavement obtained a higher thermal inertia, i.e., it was able to retain its  
268 temperature for a longer time based on the first law of thermodynamics [22], where  
269 the thermal inertia of materials is described by the volumetric heat capacity (product  
270 of specific heat capacity and density). Furthermore, due to the very high values of  
271 humidity found in the experiments, water evaporation was hard because the air was  
272 almost always close to vapour saturation.

273 On the other hand, in the case of an energy flux from the air in the pipes to the pave-  
274 ment, the situation was quite different. The surface temperature of the pavement

275 was mostly influenced by the outdoor temperature ( $r=+0.88$ ) and the wind chill cor-  
276 rected temperature ( $r=+0.87$ ). The correlation between the surface temperature of  
277 the pavement and the dew point was discussed above and the same ideas apply also  
278 in the case of energy release from the pavement.

279 The correlation between pavement temperature and both outdoor temperature and  
280 wind chill corrected temperature is similar because these two parameters are related  
281 to each other. The wind chill corrected temperature is defined as the decrease in the  
282 air temperature felt by the human body due to air flow [23] and it is fairly similar to  
283 the air temperature when the wind speed is not high. In the experiments described  
284 in this paper, the wind speed recorded was rather low for the majority of the data  
285 points (see Fig. 6), thus, such similarity is justified. The strong dependency of the  
286 surface temperature on the temperature of the atmospheric air comes from the fact  
287 that, unlike in the case of energy harvesting, in Scenario 2 the air in the pipes could  
288 not provide damping against the environmental conditions. This is because in the  
289 conditions of Scenario 2 the air temperature never went above the inlet tempera-  
290 ture of the ground source heat simulator, and thus, the pavement stayed cold at all  
291 times. Therefore, the warmed-up air was not able to balance the cooling effect caused  
292 (and maintained) by the environment and only provided a constant heat source that  
293 was not strong enough to uncouple the pavement from the changes in atmospheric  
294 conditions. Furthermore the almost linear relationship between temperature of the  
295 atmospheric air and pavement surface temperature ( $r=+0.88$ ) is in agreement with  
296 the previous literature [24].

297 It is also interesting to notice how the wind speed and gust did not influence the  
298 surface temperature when air in the pipes was releasing heat to the pavement. The  
299 reason for this is that in Scenario 2 the temperature gradient was from the air in  
300 the pipes to the pavement surface (the pavement temperature is lower than the air  
301 temperature), thus, there was a very little amount of heat available to remove by  
302 wind through thermal convection. In addition, due to the stratification of air related  
303 to density, the coldest share of the atmospheric air was in contact with the pavement  
304 at all times, which only contributed to keeping the pavement temperature low.

305 Finally, it is important to comment on the sign of the Pearson's correlation coefficient  
306 between the surface temperature of the pavement and the 24h antecedent rainfall dur-  
307 ing heat release from the air in the pipes ( $r=-0.25$ ). This coefficient is not relevant for  
308 the analysis due to its low value, however, it is interesting to look into the reason that  
309 caused an inversion of the sign in the correlation compared to the energy harvesting  
310 condition. During winter or cold periods (part of Scenario 1 and Scenario 2), air was  
311 drier than in hot periods, thus, if water was present on the pavement due to rainfall it  
312 was likely to start evaporating. As mentioned above, the evaporation process requires  
313 energy (i.e., heat), therefore, the water subtracted it from the pavement. As a result,  
314 with a higher amount of rainfall a lower surface temperature of the pavement was  
315 observed [16].

316 In conclusion, it can be observed that the presence of a piping system, through which  
 317 air is allowed to flow, is able to reduce the temperature oscillation of the pavement  
 318 during different weather conditions. The experimental results and the statistical anal-  
 319 ysis of the dataset suggest that the negative effects of a high pavement temperature  
 320 variation in a brief period of time can certainly be mitigated and the extent of such  
 321 mitigation in the experimental setup considered in this paper is quantified in Section  
 322 3.3. The mitigation potential on a higher pavement surface, however, should be stud-  
 323 ied in a larger installation with no thermal insulation, so that more practical guidance  
 324 can be developed for further developments.

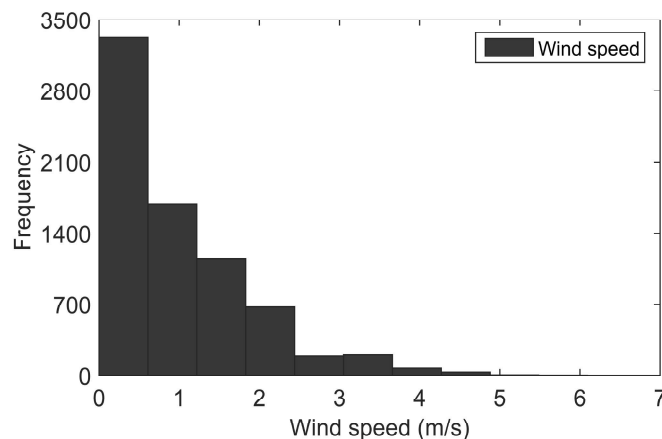


Figure 6: Histogram of the wind speed during the experimental campaign.

### 325 3.3. Energy exchanged

326 Considering the oscillation of the surface temperature seen in Figs. 4 and 5, it  
 327 is expected that the calculation of the energy exchanged based on Eq. 1 will yield  
 328 positive and negative values. In Scenario 1 the curves corresponding to the prototype  
 329 pavement and the control slab intersect twice every 24h, thus, meaning that the sign  
 330 (i.e., the direction) of the heat exchanged calculated with Eq. 1 will change. On  
 331 the other hand, in Scenario 2, the direction of the heat flow is always towards the  
 332 pavement, as the prototype pavement was warmer than the control slab. This can  
 333 be clearly seen in Fig. 7, where the energy calculated with Eq. 1 is ordered by its  
 334 value and plotted against the corresponding data points. To begin with, it can be  
 335 observed that the negative values of energy cover a much smaller portion of the graph  
 336 compared to the positive values. These values correspond to the energy harvesting  
 337 process, which was limited to the first part of the investigation, when the environment  
 338 was still warmer than 15°C (at least during the day).

339 On the other hand, positive values of the heat flux cover the largest part of Fig. 7 and  
 340 represent a heat flux from the air in the pipes to the pavement. This is in agreement  
 341 with the weather conditions that were measured and shows that the pavement received  
 342 heat effectively.

343 The slope of the curve shown in Fig. 7 needs to be analysed, too. The high slope at

344 both ends of the graph ( $x < 500$  and  $x > 7000$ ) means that the number of data points  
 345 where the energy exchange was higher than about 220 kJ or lower than about -220  
 346 kJ was rather low. These points correspond to temperature differences between the  
 347 energy harvesting pavement prototype and the control slab higher than 2 °C and up  
 348 to 5 °C, which were found only in peak conditions (very high or very low ambient  
 349 temperature). It is, therefore, possible to state that the most frequent temperature  
 350 reduction or increase achieved by the means of air convection in the experiments  
 351 performed was between -2 °C and +2 °C. Considering the small size of the prototype  
 352 pavement, the temperature reduction or increase achieved is reasonably high, however,  
 353 further studies must determine whether it is high enough to justify the resources  
 354 needed for the installation of the system. This could be achieved by performing a life  
 355 cycle assessment of the setup chosen (in the case only the increase of the pavement  
 356 life by virtue of reduced rutting and extended fatigue life is considered) and/or by the  
 357 means of a thermo-economic analysis (if the energy in the air flow is used for a chosen  
 358 aim after releasing or absorbing heat). It could be that for Nottingham conditions  
 359 such a pavement would not be justifiable whereas it could be in some other climatic  
 360 conditions.

361 It is also interesting to notice that the maximum and minimum values of energy  
 362 calculated with Eq. 1 are about  $\pm 600$  kJ, which corresponds to a maximum surface  
 363 temperature difference between prototype pavement and control slab of about  $\pm 5.5^\circ\text{C}$ .  
 364 This result is in agreement with the values previously reported by the authors [11, 12].  
 365 Finally, it is important to point out that Fig. 7 refers to the period between September  
 366 2015 and January 2016, thus, it appears unbalanced towards the energy release mode  
 367 of operation. It can be expected that for a whole year the curve would be more  
 368 balanced, with similar numbers of data points for the energy harvesting and the energy  
 369 release parts of the figure. The scale of the vertical axis in Fig. 7, however, is expected  
 370 to change based on the climate, as higher or lower environmental temperatures would  
 371 certainly influence the value of the peak points on the left and right sides of the figure.

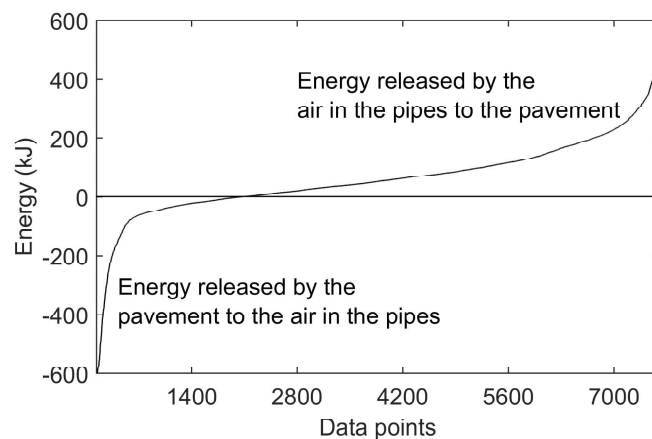


Figure 7: Energy absorbed and released by the prototype pavement according to Eq. 1.

### 372 3.4. Outlet air speed

373 The values of air speed measured by the thermal mass flow sensor ranged between  
374 0 m/s and about 0.3 m/s. Based on the statistical analysis of the whole dataset, it  
375 appears that only a weak link exists between the outlet air speed and the surface tem-  
376 perature of the prototype pavement, as the Pearson's correlation coefficient between  
377 these parameter is  $r=0.4$ .

378 However, if the same hypotheses made in Section 3.2 are considered, it can be ob-  
379 served that the dataset actually shows two different behaviours based on the mode  
380 of operation. When the system is harvesting energy (daytime in Scenario 1), the  
381 Pearson's correlation coefficient between the surface temperature and the air speed  
382 is  $r=0.73$ , which indicates a strong and positive relationship with high linearity be-  
383 tween the two parameters. In addition, during energy harvesting, the outlet air speed  
384 showed a moderate negative correlation with the wind speed ( $r=-0.53$ ), which is in  
385 agreement with the fact that, in this mode of operation, the surface temperature  
386 was reduced in the presence of wind (see Section 3.2). As a consequence of a lower  
387 pavement temperature, a lower amount of energy could be absorbed by the air in the  
388 pipes and used to increase its outlet velocity, which is a function of air density.

389 On the other hand, during part of Scenario 1 and Scenario 2, the air speed was in  
390 the range 0-0.08 m/s, i.e., the air flow was due to natural convection caused by the  
391 residual heat left in the operating fluid after transmitting energy to the pavement.

392 As mentioned in Sections 3.2 and 3.3, most of the data points correspond to Scenario  
393 2, thus, most of the measured values of outlet speed are very low.

394 The effect of the roof installed over the chimney to avoid water infiltration (see Figs. 1  
395 and 2) is not studied in this paper, however, it is expected that this aspect could be  
396 somewhat optimised.

## 397 4. Perspectives for simplified modelling of the system

398 If the surface temperature of the control slab is represented versus the tempera-  
399 ture difference with the prototype pavement and sorted in ascending order, it can be  
400 observed that these parameters are not linearly related (see Fig. 8). Plotting data as  
401 shown in Fig. 8 can be useful if information on the pavement temperature in a chosen  
402 location is available, because it could be used to predict what would happen if energy  
403 harvesting pipes coupled with a heat source (e.g., a geothermal source) were installed.  
404 Such a prediction, however, is not simple, because of the non-linear behaviour seen  
405 in Fig. 8.

406 In order to solve this issue it is possible to use a fitting equation, which, in the case un-  
407 der analysis, is a fifth order polynomial ( $R^2 = 0.9975$ ) with coefficients  $p1 = 5.725e-6$ ,  
408  $p2 = -0.0004303$ ,  $p3 = 0.01153$ ,  $p4 = -0.1333$ ,  $p5 = 0.8229$ , and  $p6 = -2.245$ . The  
409 use of this fitting polynomial yields the results seen in Fig. 9, where it can be ob-  
410 served that the curves representing real and predicted temperatures are reasonably

411 close to each other and that the error rarely exceeds  $\pm 2^\circ\text{C}$ . In the case of peaks, a  
 412 higher error up to  $\pm 5^\circ\text{C}$  can be observed. This means that using a fitting equation  
 413 to try and predict the effects of air flow under an asphalt pavement is acceptable  
 414 only at an early design stage. Furthermore, the fitting equation is specific to the  
 415 location considered, thus, the curve seen in Fig. 8 is very unlikely to be applicable in  
 416 other locations. Finally, it is necessary to keep in mind that the curve might differ if  
 417 other geometric configurations of the pipes or different inlet temperatures were con-  
 418 sidered. Therefore, a possible solution could be creating families of curves similar to  
 419 the one in Fig. 8 corresponding to different designs of the system. This, however, is  
 420 highly impractical, especially considering that convection-powered energy harvesting  
 421 is not mature at the moment. Another obstacle to this approach is that databases of  
 422 pavement temperatures are not common, thus, models should be used to predict the  
 423 pavement temperature based on the weather conditions (see, e.g., [25], [26], or [27]).  
 424 The predicted temperature could, then, be used as an input for the above-mentioned  
 425 fitting equation to try and evaluate the temperature mitigation effect caused by the  
 426 air flowing under the pavement. Future investigations could focus on the approach  
 427 described in this section, however, it is relevant to point out that more realistic com-  
 428 putational fluid-dynamic simulations of convection-powered energy harvesting were  
 429 successfully carried out in [12] and [13], thus, this pathway is also viable (even though  
 it is theoretically more complex).

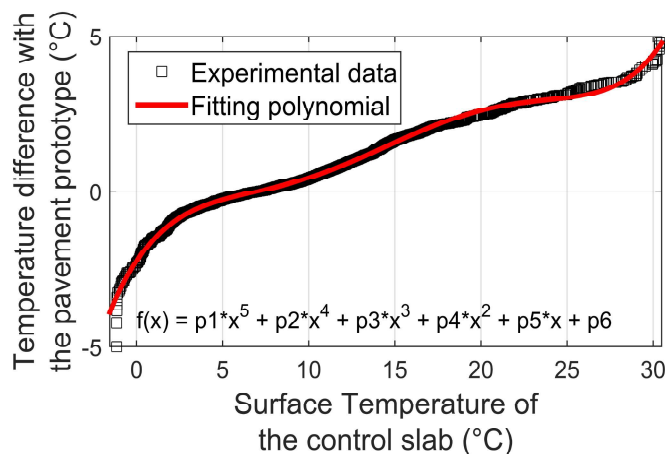


Figure 8: Surface temperature of the control slab vs. Temperature difference with the prototype pavement.

430

## 431 5. Recommendations for future design

432 Based on the experimental results obtained, it is possible to devise some possible  
 433 improvements for the design of this kind of systems. The effects of weather condi-  
 434 tions on thermal performance obviously cannot be avoided if pipes or air channels are  
 435 installed under an asphalt pavement in the environment. Therefore, the only feasible  
 436 improvements will be in the structure of the pavement and they need to be related

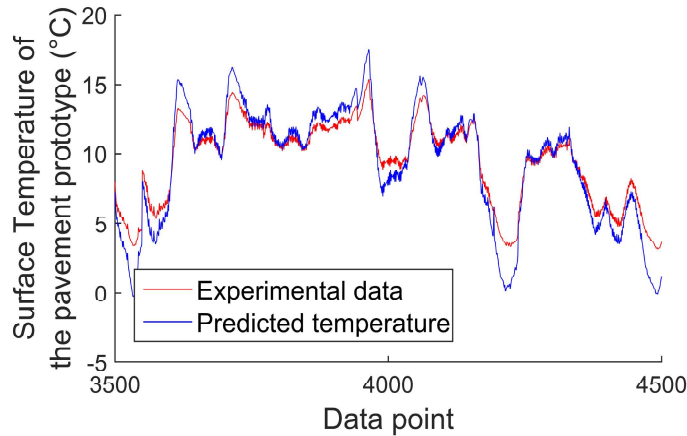


Figure 9: Prediction of the surface temperature of the prototype pavement.

437 to the way the warmed-up air interacts with the materials of which the pavement is  
 438 made and with its temperature evolution.

439 To begin with, it was found that during summer the presence of an internal air flow  
 440 was able to make the pavement somehow independent of the temperature of the atmo-  
 441 spheric air. This was a consequence of the energy harvesting process and is a function  
 442 of the temperature difference between the pavement surface and the air in the buried  
 443 pipes. As a result, it is expected that a colder air flow could absorb a higher amount  
 444 of energy and, therefore, further distance the evolution of the surface temperature  
 445 of the pavement from that of the atmospheric air. Such a solution, however, might  
 446 cause issues in the case of cold weather, when a warmer heat flux would be beneficial.  
 447 In practice, increasing the inlet temperature (i.e., the temperature of a hypothet-  
 448 ical geothermal source) from 15°C might be not financially feasible, as such high  
 449 temperatures are found at increasingly high depths or would require energy storage  
 450 arrangements (e.g., from summer solar energy or summer building cooling activities).  
 451 Therefore, an optimal temperature should be found by the means of computational  
 452 simulations or by trial-and-error in the location where the system would be installed.  
 453 This decision should be based not only on current weather conditions but also on the  
 454 predicted trends for the future, as the buried pipes would be installed in a pavement,  
 455 which is expected to have a rather long lifetime.

456 Due to the high humidity of the climate in the experimental location, it was found  
 457 that the 24h antecedent rainfall did not influence the results negatively. This, how-  
 458 ever, would not be valid in a less humid area, where the evaporation of rainwater  
 459 would be greater, absorbing more heat from the pavement, thus, cooling it down.  
 460 This effect would be stronger with hot weather, when the air temperature is higher,  
 461 however, it may affect the system operation in cold periods, too. The most prob-  
 462 lematic issues would arise with cold weather, when the pavement temperature should  
 463 be kept at the highest possible value and, then, water evaporation might render the  
 464 positive influence of the heating system useless by subtracting energy from asphalt.  
 465 A possible, and probably partial, solution for this is the use of a very dense asphalt



466 wearing course, so that water infiltration would be minimised.

467 Finally, it is expected that the system outlet could be optimised to achieve a better air  
468 speed for the circumstances when this is relevant for the use, if any, of the exhaust air.

469 The optimisation could be approached computationally or experimentally by finding  
470 a design that works for both cold and hot weather, when the outlet air is colder than  
471 15°C or warmer than 15°C, respectively. The temperature of the outlet air should be  
472 carefully considered for this optimisation because the air speed is a function of the  
473 density difference between the outlet of the prototype and the environment.

## 474 6. Conclusions

475 In this paper, an experimental study on the performance of an energy harvesting  
476 pavement coupled with a simulated geothermal source was presented. Base on the  
477 experimental data gathered and on its analysis, it can be concluded that:

- 478 • The experimental setup chosen functions correctly in the environment in all  
479 weather conditions and is able to maintain an inlet temperature of about 15°C.
- 480 • The air flowing in the pipes buried under the prototype pavement is able to  
481 heat up and cool down the pavement by up to  $\pm 5^\circ\text{C}$ .
- 482 • During warm weather, the pavement temperature mostly depends on moisture  
483 and on the presence of rainwater on the surface, while there is no strong link  
484 with the temperature of the atmospheric air. Moreover, the presence of wind  
485 has a negative correlation with the pavement temperature, thus, meaning that  
486 if wind is present the pavement will be colder and there will be less energy  
487 available for harvesting.
- 488 • During cold weather, the pavement temperature depends on the temperature of  
489 the atmospheric air and on its humidity.
- 490 • The study of the energy exchange in the pavement shows that average values  
491 are in the range between -200 kJ and 200 kJ, which correspond to an average  
492 temperature difference of  $\pm 2^\circ\text{C}$ .
- 493 • The air speed at the chimney outlet had rather low values, from 0 m/s to 0.3  
494 m/s and values above 0.08 m/s were found only during energy harvesting. Very  
495 low values related to the natural movement of air due to convection were found  
496 during energy release from the air in the pipes to the prototype pavement.
- 497 • It is possible to predict the surface temperature of the prototype pavement with  
498 a reasonable accuracy, however, the results obtained are not precise enough for  
499 a final design stage. In addition, the approach used might not be applicable to  
500 pavements with different sizes or pipe arrangements.

- 501 • The results presented in this paper refer to a specific time of the year and, thus,  
502 are unbalanced towards the energy release mode of operation. It is expected  
503 that the energy fluxes during a whole year would be balanced in terms of data  
504 points for each mode of operation, but they would have different maximum and  
505 minimum peaks depending on the specific climate that is considered.

506 For the purposes of future work, further studies should be performed to assess if the  
507 benefits resulting from the temperature mitigation effects obtained with the system  
508 under analysis are high enough to justify the investments required for its installation.  
509 Furthermore, a comparison should be pursued to find out how the use of air convection  
510 compares to changes in the physical properties of the asphalt mixture in terms of  
511 sustainability, life cycle cost, and economic cost. Finally, an analysis of the different  
512 approaches to model the system performance in the environment is required to find  
513 out whether they can be accurate enough for the development of final designs or not.

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