

**Ventromedial prefrontal volume in adolescence predicts  
hyperactive/inattentive symptoms in adulthood**

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**Running Head:** ADOLESCENT BRAIN AND ADULT INATTENTION

**ABSTRACT**

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6 Youths with attention-deficit/hyperactivity disorder symptomatology often exhibit residual  
7 inattention and/or hyperactivity in adulthood; however, this is not true for all individuals.  
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9 We recently reported that dimensional, multi-informant ratings of hyperactive/inattentive  
10 symptoms are associated with ventromedial prefrontal cortex (vmPFC) structure. Herein,  
11 we investigate the degree to which vmPFC structure during adolescence predicts  
12 hyperactive/inattentive symptomatology at 5-year follow-up. Structural equation  
13 modeling was used to test the extent to which adolescent vmPFC volume predicts  
14 hyperactive/inattentive symptomatology 5 years later in early adulthood. 1,104  
15 participants (M = 14.52 yrs, SD = 0.42; 583 females) possessed hyperactive/inattentive  
16 symptom data at 5-year follow-up, as well as quality controlled neuroimaging data and  
17 complete psychometric data at baseline. Self-reports of hyperactive/inattentive  
18 symptomatology were obtained during adolescence and at 5-year follow-up using the  
19 Strengths and Difficulties Questionnaire (SDQ). At baseline and 5-year follow-up, a  
20 hyperactive/inattentive latent variable was derived from items on the SDQ. Baseline  
21 vmPFC volume predicted adult hyperactive/inattentive symptomatology (standardized  
22 coefficient =  $-.274$ ,  $p < .001$ ) while controlling for baseline hyperactive/inattentive  
23 symptomatology. These results are the first to reveal relations between adolescent brain  
24 structure and adult hyperactive/inattentive symptomatology, and suggest that early  
25 structural development of the vmPFC may be consequential for the subsequent  
26 expression of hyperactive/inattentive symptoms.  
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## INTRODUCTION

Attention-deficit/hyperactivity disorder (ADHD) symptomatology frequently persists across the span of development. Longitudinal research indicates that functionally impairing symptoms continue into adolescence and adulthood in approximately 60-80% of cases diagnosed during childhood (Barkley RA et al. 1990; McGough JJ and RA Barkley 2004). Despite such findings, longitudinal relations between adolescent brain structure and adult ADHD symptomatology remain virtually unstudied. Prospective longitudinal neuroimaging studies offer an invaluable opportunity to identify early brain-based markers of future emotional and behavioral problems. Investigating links between adolescent brain structure and adult psychopathology may further elucidate the neural underpinnings of adult ADHD symptomatology, as well as help to characterize different disease trajectories. Ultimately, such efforts may inform early intervention and prevention strategies.

The ventromedial prefrontal cortex (vmPFC), comprised of medial portions of the orbitofrontal cortex as well as ventral portions of the medial prefrontal cortex, has long been implicated in ADHD symptomatology and impulse control (Bechara A 2005; Faraone SV et al. 2015). Prior studies demonstrate that the vmPFC is involved in aspects of reward processing, including reward valuation, as well as receipt of reward (Knutson B et al. 2003; Liu X et al. 2011). Indeed, motivation-based dysfunction models of ADHD have been proposed, positing that altered reward processes underpin ADHD behaviors such as hypersensitivity to delay and discounting of future reward (Sonuga-Barke EJ et al. 1994; Sagvolden T et al. 1998; Sonuga-Barke EJ 2005). Portions of the vmPFC also constitute a critical node in the brain's default mode network (DMN)—a functional brain network that, more recently, has been implicated in ADHD

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3 pathophysiology. Specifically, the default-mode interference hypothesis of ADHD  
4 postulates that activity in the DMN, which is normally diminished during goal-directed  
5 tasks, persists into periods of task-related processing and, consequently, interferes with  
6 task-specific processing (Sonuga-Barke EJ and FX Castellanos 2007).  
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13 In the largest voxel-based morphometry (VBM) study to date on adolescent ADHD  
14 symptomatology, Albaugh et al. (2017) reported that parent and youth ratings of ADHD  
15 symptoms were each negatively associated with gray matter volume in an overlapping  
16 portion of the vmPFC (Albaugh MD et al. 2017). In particular, reduced GMV in the  
17 vmPFC was tied to aspects of inattentive symptomatology in adolescents. Further,  
18 Albaugh et al. (2017) found that reaction time variability—posited to reflect attentional  
19 lapses—was negatively associated with gray matter volume in an overlapping region of  
20 the vmPFC. Similarly, in the largest VBM study to date on adult ADHD, a significant  
21 negative correlation was revealed between vmPFC GMV and dimensional measures of  
22 inattentive symptomatology (Maier S et al. 2015). Taken together, vmPFC volume may  
23 be a critical marker for inattentive symptomatology. It is possible that vmPFC structure  
24 during adolescence is not only related to concomitant symptoms of inattention, but may  
25 also be tied to the subsequent trajectories of ADHD symptomatology.  
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43 When characterizing longitudinal relations between adolescent brain structure and  
44 subsequent ADHD psychopathology, it may be beneficial to assess symptomatology in a  
45 quantitative fashion. Indeed, empirically based assessment of psychopathology has  
46 provided strong support for dimensionality with regard to a number of psychiatric  
47 conditions, including ADHD (Hudziak JJ et al. 2007). There have been reports of an  
48 association between subclinical symptoms of hyperactivity and impulsivity in typically  
49 developing youths and evidence of delayed cortical thickness maturation—interestingly,  
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3 delayed thickness maturation was revealed in areas of the cortex that have been  
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5 previously implicated in clinically significant ADHD symptoms (Shaw P et al. 2011;  
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7 Ducharme S et al. 2012). Such evidence supports the use of dimensional measures of  
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9 psychopathology, as emphasized by the National Institute of Mental Health's Research  
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11 Domain Criteria program (Morris S and B Cuthbert 2012). In addition to assessing  
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13 psychopathology using dimensional measures, studying large population-based samples  
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15 affords the opportunity to capture naturally occurring variance in behavioral phenotypes,  
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17 including psychopathology. Unfortunately, few studies have examined the neural  
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19 correlates of hyperactivity/inattention in population-based samples.  
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24 In the present study, we employ structural equation modeling (SEM) in order to examine  
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26 the degree to which adolescent vmPFC volume predicts hyperactive/inattentive  
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28 symptoms during early adulthood in a large, population-based sample of 1,104 youths.  
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30 In a subset of participants, we also test the degree to which hyperactive/inattentive  
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32 symptoms and vmPFC structure are related at study follow-up, during early adulthood.  
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## 37 **MATERIALS AND METHODS**

### 38 *Sample*

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45 Neuroimaging and behavioral data were obtained from the IMAGEN study conducted  
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47 across eight European sites, which includes 2,223 adolescents recruited from schools at  
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49 age 14 years (SD = 0.41 year; age range = 12.9–15.7 years). A description of  
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51 recruitment and assessment procedures, as well as study exclusion and inclusion  
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53 criteria, has been published elsewhere (Schumann G et al. 2010). In the present study, a  
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55 total of 1,104 participants possessed ADHD symptom data at the 5-year follow-up, as  
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3 well as quality controlled neuroimaging data and complete psychometric and  
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5 demographic data at baseline. Of these 1,104 participants, 976 (88.4%) possessed  
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7 quality controlled neuroimaging data at the 5-year follow-up **as well as complete**  
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9 **psychometric and demographic data at baseline.**  
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### 11 12 13 ***Assessment of Hyperactivity and Inattention*** 14

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18 The Development and Well-Being Assessment (**DAWBA**) is a computer-based package  
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20 of questionnaires, interviews, and rating techniques used to assess adolescent  
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22 psychopathology (Goodman R et al. 2000). In the present study, ADHD symptom counts  
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24 were derived from the parent version of the DAWBA administered at baseline and were  
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26 used solely in defining the vmPFC ROI (see below for further details) used in SEM  
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28 analysis.  
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33 At baseline and 5-year follow-up, the self-report version of the Strengths and Difficulties  
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35 Questionnaire (SDQ) was used to assess symptoms of hyperactivity and inattention  
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37 (Goodman R 1997). The SDQ is a reliable and valid measure of youth emotional and  
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39 behavior symptoms, on which scores are predictive of increased probability of clinician-  
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41 rated psychiatric disorders and have retest stability over 4-6 months (Goodman R 2001).  
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43 Importantly, concurrent validity has been established between the Child Behavior  
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45 Checklist Attention Problems subscale—arguably the most widely accepted dimensional  
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47 measure of hyperactive/inattentive symptomatology in youths—and the SDQ  
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49 Hyperactive/Inattentive scale ( $r = .75$ ) (Mieloo C et al. 2012).  
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### 52 53 ***Demographic Measures*** 54 55 56 57 58 59 60

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3 The puberty development scale (PDS) was used to assess the pubertal status of  
4 participants (Petersen AC et al. 1988). The socioeconomic status (SES) score was  
5 derived by summing the following variables: Mother's Education Score, Father's  
6 Education Score, Family Stress Unemployment Score, Financial Difficulties Score,  
7 Home Inadequacy Score, Neighborhood Score, Financial Crisis Score, Mother  
8 Employed Score, and Father Employed Score (Whelan R et al. 2014).  
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### 18 *MRI acquisition*

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22 MRI scanning was conducted at the eight IMAGEN assessment sites using 3T whole  
23 body MRI systems. Image-acquisition utilized a set of parameters that were compatible  
24 with all scanners in order to ensure comparability of data across the different scanners.  
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26 Details surrounding image acquisition protocols and quality checks have been described  
27 elsewhere, including extensive standardization across MRI scanners (Schumann G *et al.*  
28 2010).  
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### 37 *Structural MRI*

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41 High-resolution anatomical MRIs were acquired with a three-dimensional T1-weighted  
42 magnetization prepared gradient echo sequence (MPRAGE) based on the ADNI  
43 protocol (<http://adni.loni.usc.edu/methods/documents/mri-protocols/>).  
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### 49 *MRI data preprocessing*

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53 Preprocessing of the structural T1-weighted data was performed with Statistical  
54 Parametric Mapping version 8 (Wellcome Department of Neuroimaging, London, United  
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3 Kingdom, <http://www.fil.ion.ucl.ac.uk/spm/software/spm8/>), using standard automated  
4 pipelines (Schumann G *et al.* 2010). T1-weighted MRI processing included image  
5 segmentation into gray matter, white matter and cerebrospinal fluid tissue classes,  
6 preceded by an iterative registration to the Montreal Neurological Institute template  
7 space, using SPM's optimized normalization routine (Ashburner J and KJ Friston 2005).  
8 For voxel-based morphometry (VBM), gray matter images were smoothed with a Full  
9 Width at Half Maximum Gaussian kernel of 8 mm, warped to standard MNI space and  
10 modulated by multiplying the linear and non-linear component of the Jacobian  
11 determinants generated during spatial normalization (Ashburner J and KJ Friston 2000).  
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#### 24 *ROI Definition*

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28 Parent reports of ADHD symptoms (obtained at baseline) were used to define the  
29 vmPFC ROI (shown in Supplemental Figure 1). Specifically, baseline regional GMV was  
30 regressed against baseline total ADHD symptom count—using parent reports on the  
31 DAWBA—while controlling for age, sex, total gray matter volume, site, pubertal  
32 development, Performance IQ, Verbal IQ, and SES. As outlined in Albaugh *et al.* (2017),  
33 this regression analysis included 1,538 adolescents and revealed a negative association  
34 in bilateral vmPFC (3424 voxels,  $x = -4$ ,  $y = 30$ ,  $z = -20$ ; peak Z score = 4.12).  
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45 Although the spatial resolution of MRI does not allow for reliable identification of  
46 cytoarchitectonic areas in humans, we have attempted to apply the cytoarchitectonic  
47 scheme of Ongur *et al.* (2003) using anatomical landmarks. Moving in the caudal to  
48 rostral direction along the gyrus rectus, the ROI likely includes areas 32pl, 14c, 14r, and  
49 11m, as well as areas 10m and 10r along the medial wall (Ongur D *et al.* 2003). The  
50 lateral extent of the ROI likely includes portions of area 13.  
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### Statistical Analyses

Structural equation modeling (SEM) was employed to test the extent to which adolescent vmPFC volume was associated with self-reported hyperactive/inattentive symptomatology at 5-year follow-up, while accounting for the effects of sex, age, pubertal status, IQ, handedness, site, SES, and total gray matter volume, as well as baseline self-reports of hyperactive/inattentive symptomatology. **By controlling for baseline symptoms, we tested the extent to which baseline vmPFC structure accounted for unique variance in follow-up H/I symptoms—independent of baseline symptomatology.** At baseline and follow-up, a hyperactive/inattentive latent variable was derived from items on the youth version of the SDQ. Three SDQ items from the hyperactive/inattentive subscale were used to indicate the latent variable (*"I am restless, I cannot stay still for long"*, *"I am constantly fidgeting or squirming"*, *"I am easily distracted, I find it difficult to concentrate"*). This was due to the fact that the two positively coded items (*"I think before I do things"*, *"I finish the work I'm doing. My attention is good"*) did not covary with the other items, likely reflecting their positive scaling. The tendency for positively worded items on the SDQ to cluster together, irrespective of the subscale they belong to, has been previously reported by other groups (DiStefano C and RW Motl 2006; Palmieri PA and GC Smith 2007; Van Roy B et al. 2008). Analysis was carried out using the statistical package Mplus (<http://www.statmodel.com>). We utilized the Weighted Least Squares with Mean and Variance Adjusted Chi Square Test Statistic estimator (WLSMV), which is robust to violations of multivariate normality (Muthén LK and BO Muthén 2001-2016). We also repeated our analysis using standard multiple linear regression, utilizing the 5-item SDQ

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Hyperactive/Inattentive summary scores at baseline and follow-up (rather than indicating latent variables).

In order to assess if brain regions during adolescence—other than the vmPFC—might be associated with adult hyperactive/inattentive symptoms, we performed a subsequent exploratory whole-brain analysis. Specifically, a whole-brain voxel-wise analysis was conducted using the general linear model, performed with the VBM toolbox of SPM8. Regional GMV, measured at baseline, was regressed against self-reports of hyperactive/inattentive symptomatology obtained at 5-year follow-up. Age at baseline, sex, handedness, total gray matter volume (GMV), site, pubertal development, Performance IQ, Verbal IQ, and SES were controlled for in the analysis. An initial height threshold of  $p \leq .001$  was implemented at the voxel level, with a corrected family-wise error (FWE;  $p \leq .05$ ) subsequently applied to identify significant clusters.

## RESULTS

### *Demographic and Behavioral Measures*

Demographic and psychometric information for participants is provided in Table 1. For the 1104 participants included in the main SEM analysis, self-report ratings of hyperactive/inattentive symptomatology at follow-up were inversely correlated with SES ( $r = -0.114$ ,  $p < .001$ ) and Verbal IQ ( $r = -0.068$ ,  $p = .023$ ). In addition, self-reported SDQ H/I scores at follow-up were positively correlated with self-reported SDQ H/I scores at baseline ( $r = 0.434$ ,  $p < .001$ ). Baseline parent-reported DAWBA symptom counts were correlated with baseline self-reported SDQ H/I scores ( $r = 0.345$ ,  $p < .001$ ) as well as follow-up self-reported SDQ H/I scores ( $r = 0.235$ ,  $p < .001$ ) (Supplemental Table 1).

### *Imaging Analyses*

**ROI-based Analysis.** Table 2 displays results from the ROI-based SEM analysis. The model (Figure 1) showed good fit (Root Mean Square Error of Approximation = 0.030; Comparative fit index = 0.941; Tucker-Lewis Index = 0.925). Our analysis revealed that there was a significant direct effect of baseline vmPFC volume on hyperactive/inattentive symptoms at 5-year follow-up (standardized coefficient = -0.274,  $p < .001$ ) where smaller volumes at baseline were associated with higher levels of hyperactive/inattentive symptoms at 5-year follow-up—independent of baseline self-reports of hyperactive/inattentive symptoms. Results were not meaningfully altered when age and pubertal stage at time of MRI scan were removed from the model, or while controlling for other SDQ subscales (including mood and anxiety symptoms captured on the Emotion subscale, as well as oppositional/rule-breaking behaviors captured on the Conduct subscale). These latter findings suggest that co-occurring psychopathology was not confounding our results.

It is noteworthy that very similar results were obtained when standard multiple linear regression analysis was performed in which SDQ Hyperactive/Inattentive summary scores (using all five items) were used rather than latent variables (Supplemental Table 2). More specifically, follow-up SDQ Hyperactive/Inattentive summary scores were regressed on sex, age, pubertal status, Performance IQ, Verbal IQ, handedness, site, SES, baseline total gray matter volume, baseline SDQ Hyperactive/Inattentive summary score, and baseline vmPFC ROI volume.

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3 Using only the 976 participants with available follow-up imaging data, we attempted to  
4 include vmPFC volume (assessed at 5-year follow-up) into the structural equation  
5 model—in particular, as a mediating variable in between baseline vmPFC and follow-up  
6 hyperactive/inattentive symptoms. This resulted in a lack of model convergence. Upon  
7 further investigation, this reflected the fact that follow-up vmPFC volume was not  
8 significantly correlated with hyperactive/inattentive symptoms at baseline or 5-year  
9 follow-up (See Supplemental Tables 3-5). Baseline vmPFC volume, however, was  
10 significantly correlated with follow-up vmPFC volume ( $r = 0.846, p < .001$ ). *Post hoc*  
11 partial correlation analysis revealed a significant association between baseline vmPFC  
12 volume and follow-up hyperactive/inattentive SDQ summary score while controlling for  
13 follow-up vmPFC volume, baseline hyperactive/inattentive SDQ summary score, as well  
14 as sex, handedness, site, SES, age at baseline, pubertal development at baseline,  
15 baseline total GMV, and follow-up total GMV ( $r = -.084, p = .009$ ).  
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32 **Whole-brain Analysis.** Regressing baseline regional gray matter volume against  
33 follow-up hyperactive/inattentive SDQ summary scores revealed a negative association  
34 in the vmPFC (1351 voxels,  $x = -12, y = 46, z = -17$ ; peak Z value = 5.04) (Figure 2). No  
35 other associations survived correction for multiple comparisons. Figure 3 depicts the  
36 spatial overlap between the parent-defined ROI used for the *a priori* analyses above and  
37 the results from this whole-brain analysis.  
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47 When controlling for baseline H/I self-report scores in the above VBM analysis, findings  
48 hold when an initial height threshold of  $p \leq .005$  is implemented at the voxel level, with a  
49 corrected family-wise error (FWE;  $p \leq .05$ ) subsequently applied to identify significant  
50 clusters.  
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## DISCUSSION

To our knowledge, this is the first report of a longitudinal association between adolescent brain structure and hyperactive/inattentive symptomatology in early adulthood. Critically, vmPFC structure during adolescence was linked to hyperactive/inattentive symptomatology in early adulthood. In our SEM and standard multiple linear regression analyses, smaller ventromedial prefrontal volume at baseline predicted greater hyperactive/inattentive symptomatology at 5-year follow-up. It is important to note that, in these analyses, we controlled for baseline symptomatology. Further, covarying for mood and anxiety psychopathology, as well as conduct problems, did not meaningfully alter our results. Thus, our findings indicate that adolescent vmPFC volume accounts for unique variance in self-reported hyperactive/inattentive symptoms at 5-year follow-up— independent of self-reported baseline symptomatology. Taken together, vmPFC morphology during adolescence may possess predictive utility with regard to future symptoms of hyperactivity/inattention in early adulthood.

The vmPFC has been previously associated with concomitant ADHD symptomatology in adolescents and adults. In recent work by Albaugh et al. (2017), it was found that vmPFC gray matter volume during adolescence was negatively associated with concomitant parent and youth reports of inattention. In this same study, it was also reported that reaction time variability was negatively associated with gray matter volume in an overlapping region of the vmPFC. Similar results were obtained in the largest brain structural imaging study to date on adult ADHD, where a significant negative correlation was revealed between vmPFC gray matter volume and a dimensional measure of inattentive symptomatology (Maier S et al. 2015). Taken together, these previous studies further implicate the vmPFC in the pathophysiology of inattention. The present study

## ADOLESCENT BRAIN AND ADULT INATTENTION 15

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3 extends findings from these previous reports, demonstrating that adolescent vmPFC  
4 structure is associated with hyperactive/inattentive symptomatology approximately five  
5 years later in early adulthood, independent of baseline symptomatology.  
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11 Interestingly, the vmPFC represents a central node in the brain's default-mode network,  
12 a brain network that has been hypothesized to play a role in the pathophysiology of  
13 ADHD symptoms. Specifically, the default-mode interference hypothesis posits that  
14 activity in the DMN, which is typically attenuated during goal-directed tasks, can persist  
15 into periods of task-related processing and, as a result, compete with task-specific  
16 neural processing (Sonuga-Barke EJ and FX Castellanos 2007). The ventromedial  
17 prefrontal cortex represents a primary hub in the brain's default mode network (DMN)—a  
18 network believed to play a central role in mind-wandering and task-unrelated thought.  
19 Although speculative, it is possible that the volumetric reductions in the vmPFC may be  
20 linked to both concomitant and future DMN dysfunction. In a recent study by Salavert et  
21 al. (2015), ADHD participants exhibited reduced deactivation of the ventromedial  
22 prefrontal cortex during a working memory task. The authors suggest that failure to  
23 deactivate the medial prefrontal cortex is tied to lapses of attention, and that this may be  
24 a central feature of ADHD symptomatology (Salavert J et al. 2015). In the context of the  
25 present study, reduced vmPFC volume during adolescence may serve as a marker for  
26 increased vulnerability to future DMN dysfunction—more specifically, an impaired ability  
27 to deactivate portions of the DMN. Future studies are needed to test this hypothesis.  
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49 In the context of the DMN, it is noteworthy that mind-wandering—or the drifting of  
50 attention away from external, task-related activities towards self-generated cognitions—  
51 has been previously tied to the vmPFC. Numerous functional imaging studies have  
52 implicated the vmPFC in mind-wandering (Andrews-Hanna JR, JS Reidler, C Huang, et  
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3 al. 2010; Fox KC et al. 2015). Bertossi and Ciaramelli (2016) recently found that patients  
4 with vmPFC damage reported significantly reduced off-task thoughts and less frequent  
5 daydreaming when compared to controls. The extent and overlap of patients' brain  
6 lesions studied by Bertossi and Ciaramelli (2016) share a striking resemblance to the  
7 ROI used in the present study. As noted by others, the vmPFC belongs to the "medial  
8 temporal lobe (MTL)-subsystem" of the DMN (Andrews-Hanna JR, JS Reidler, J  
9 Sepulcre, et al. 2010). As hypothesized by Bertossi and Ciaramelli, the vmPFC—and its  
10 shared connections with MTL structures—may be central to the mental construction of  
11 past events, or possible future scenarios (Bertossi E and E Ciaramelli 2016). According  
12 to their hypothesis, vmPFC patients may experience a relative dearth of internally  
13 generated thoughts about the past and future, and there is little competition from the  
14 internal milieu with regard to the allocation of attentional resources (Bertossi E and E  
15 Ciaramelli 2016). Although speculative, it is plausible that aberrant functioning and/or  
16 connectivity of the vmPFC could also lead to an abundance of internally generated  
17 stimuli that outcompete external stimuli for attentional resources. Interestingly, this  
18 aberrant functioning and/or connectivity of the vmPFC may underpin aspects of  
19 normative, as well as clinically significant, inattention. It is also worth mentioning that  
20 over-activation of the subcallosal cingulate area (Brodmann Area 25)—an area closely  
21 neighboring the caudal extent of the ROI used in the present study—has been tied to the  
22 shifting of attention away from external stimuli and towards negative, self-referential  
23 thoughts (Choi KS et al. 2015).

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49 Findings from the present study may also reflect altered maturation of neural pathways  
50 involved in reward processing. A number of functional neuroimaging studies have found  
51 evidence of hypo-responsiveness during reward anticipation in adolescent and adult  
52 ADHD samples (Scheres A et al. 2007; Strohle A et al. 2008). It was recently reported  
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## ADOLESCENT BRAIN AND ADULT INATTENTION 17

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3 that vmPFC-lesioned neurosurgical patients exhibited reduced ventral striatal activity  
4 during the anticipation of reward, as well as decreased nucleus accumbens volumes,  
5 relative to neurologically healthy controls (Pujara MS et al. 2016). Intriguingly, in the  
6 context of the present study, structural alterations in the vmPFC during adolescence  
7 may be related to enduring functional deficits in reward processing.  
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16 Few imaging studies have attempted to test longitudinal associations between brain  
17 metrics and ADHD-related outcomes. In a seminal longitudinal study by Shaw et al.  
18 (2006), 163 children with ADHD (mean age at study entry, 8.9 years) and 166 controls  
19 underwent MRI scanning, with the majority of participants undergoing MRI scanning two  
20 times or more. Clinical evaluations were conducted at follow-up (mean follow-up, 5.7  
21 years). In brief, children with worse clinical outcome possessed thinner left medial  
22 prefrontal cortex at baseline relative to controls and ADHD participants with better  
23 outcomes. This finding appears in line with results from the present study indicating that  
24 reduced ventromedial prefrontal volume during adolescence is associated with greater  
25 ADHD symptomatology in early adulthood. Mattfeld et al. (2014) recently used resting  
26 state MRI to characterize patterns of functional connectivity within three groups: I)  
27 patients with persistent ADHD diagnoses in both childhood and adulthood, II) patients  
28 who had met criteria for ADHD diagnosis in childhood but not during adulthood, and III)  
29 controls who did not meet criteria for ADHD diagnosis during childhood or adulthood  
30 (Mattfeld AT et al. 2014). Importantly, participants were scanned as adults. Positive  
31 functional correlation between two major midline nodes of the DMN—the vmPFC and  
32 posterior cingulate—was reduced in patients with a persistent ADHD diagnosis, but not  
33 in remitted patients or controls. Furthermore, whereas control participants exhibited  
34 significant negative correlations between resting state activity in medial prefrontal and  
35 bilateral dorsolateral prefrontal regions, these regions were not significantly anti-  
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3 correlated in participants with persistent or remitted ADHD. These findings suggest that  
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5 DMN dysfunction may indeed be related to trajectories of ADHD symptomatology.  
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9 It is noteworthy that baseline vmPFC volume was associated with hyperactive/inattentive  
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11 symptoms at follow-up; however, follow-up vmPFC volume was not significantly  
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13 associated with baseline or follow-up symptomatology. Although seemingly at odds with  
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15 Maier et al. (2015), this finding appears in line with several morphometric studies of adult  
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17 ADHD in which volumetric reductions were limited to the dorsal anterior cingulate and  
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19 areas comprising the dorsal attention network (Seidman LJ et al. 2006; Makris N et al.  
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21 2007). Given the relatively protracted structural development of the vmPFC—particularly  
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23 with regard to cortical surface expansion (Sowell ER et al. 2004)—it may be a region  
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25 where delayed brain maturation could still be observed at time of baseline assessment.  
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27 Interestingly, results from the present study appear to dovetail with findings of Ducharme  
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29 et al. (2012). Studying a large population-based sample of typically developing youths,  
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31 Ducharme et al. (2012) revealed negative associations between Child Behavior  
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33 Checklist Attention Problems score and orbitofrontal (including portions of the vmPFC)  
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35 cortical thickness early on in development; however, this relation was not observed in  
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37 older youths. Thus, our results appear to support previous reports of clinical and  
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39 subclinical ADHD symptoms being associated with reduced rates of brain structural  
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41 change. Moreover, it is notable that self-reported hyperactive/inattentive symptoms at  
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43 follow-up were related to vmPFC structure five years earlier even when partialling out  
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45 the influence of this region's volume at follow-up. This suggests that the earlier  
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47 developmental trajectory of this region may prove to be consequential for the  
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49 subsequent expression of hyperactive/inattentive symptoms.  
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## ADOLESCENT BRAIN AND ADULT INATTENTION 19

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3 We have demonstrated anatomical convergence with regard to the association between  
4 baseline brain structure and baseline parent-reports of ADHD symptoms, and the  
5 longitudinal association between baseline brain structure and subsequent self-reported  
6 hyperactive/inattentive symptomatology in early adulthood (controlling for baseline self-  
7 reports of hyperactive/inattentive symptomatology). Given that this anatomical overlap  
8 was observed primarily in ventromedial prefrontal cortices, these results further implicate  
9 this brain region in the pathophysiology of ADHD symptomatology. Thus, vmPFC  
10 structure during adolescence is not only related to concomitant hyperactivity/inattention,  
11 but also future hyperactivity/inattention in adulthood—with smaller volumes during  
12 adolescence being associated, on average, with greater hyperactive/inattentive  
13 symptomatology in adulthood.

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28 Intriguingly, findings from the present study suggest that aspects of prefrontal structure  
29 during adolescence may, ultimately, be of clinical significance in the context of adult  
30 ADHD. Although speculative, it is possible that more refined assessments of orbital and  
31 ventromedial prefrontal morphology during adolescence may help to identify youths at  
32 greatest risk for clinically significant symptom change. It is possible that youth with  
33 aberrant vmPFC volume during adolescence, when coupled with particular genetic  
34 and/or environmental factors, may increase likelihood of clinically significant  
35 symptomatology in adulthood. Future studies may benefit from investigating the extent to  
36 which environmental and genetic factors may serve to moderate the relationship  
37 between adolescent prefrontal structure and adult hyperactive/inattentive  
38 symptomatology.

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43 Finally, it should be noted that aspects of the vmPFC have been implicated in a number  
44 of different psychopathologies and behaviors, including anxiety, depression, impulse  
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3 control, psychopathy, and reward valuation (Hiser J and M Koenigs 2017). This  
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5 observation likely reflects several important points. First, the majority of previous  
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7 neuroimaging studies have utilized relatively simple approaches to characterizing  
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9 psychopathology. With the advent of more sophisticated statistical approaches, such as  
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11 bifactor models of psychopathology (Lahey BB et al. 2017), it is possible that a more  
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13 general psychopathology factor—a factor that cuts across different classes of  
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15 psychopathology and accounts for observed correlations across different symptom  
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17 domains—may help to elucidate why particular brain areas are implicated in numerous  
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19 psychopathologies. Second, the vmPFC has been identified as a hub node in the brain's  
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21 "rich club" network—a constellation of brain regions that possess rich connections and  
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23 are densely interconnected (van den Heuvel MP and O Sporns 2013). Thus, the vmPFC  
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25 is ideally situated to exert influence on numerous brain networks; its rich connectivity  
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27 may account for the vmPFC's putative role in numerous psychopathologies and  
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29 behaviors.  
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35 The present study possesses a number of methodological strengths. We utilized a large  
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37 longitudinal, population-based sample, capturing naturally occurring variation in ADHD  
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39 symptomatology. We also assessed hyperactive/inattentive symptoms as a quantitative  
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41 trait rather than following a strict categorical approach. These methodological  
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43 approaches serve to greatly bolster statistical power. Nonetheless, given that we have  
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45 focused on regional GMV in our analyses, we are unable to definitively comment on the  
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47 neurophysiological underpinnings of the VBM findings. Similarly, we are unable to  
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49 comment on possible ties to aberrant structural and/or functional connectivity. Future  
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51 studies are needed to address these issues. We were limited by the fact that only self-  
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53 reports of ADHD symptomatology were obtained at follow-up. Thus, our SEM analysis  
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55 rested solely upon self-reports of hyperactive/inattentive symptoms using the SDQ.  
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## ADOLESCENT BRAIN AND ADULT INATTENTION 21

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3 Lastly, we did not have information with regard to prescription stimulant usage, which  
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5 may have qualified the relationship between brain structure and hyperactive/inattentive  
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7 symptoms over the developmental window studied.  
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11 In conclusion, vmPFC structure, which has been previously linked to concomitant ADHD  
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13 symptomatology, also informs ADHD symptom trajectories from adolescence into early  
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15 adulthood. These findings suggest that vmPFC structure in adolescence may have  
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17 clinical utility by informing ADHD symptom trajectories. More granular assessment of  
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19 adolescent vmPFC morphology may increase predictive utility in future studies.  
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**Table 1.** Summary Statistics for Demographic and Psychometric Variables

	N = 1,104	N = 976 (Available Follow-up Imaging)
Age at baseline (in years) (Mean $\pm$ SD)	14.52 $\pm$ 0.42	14.52 $\pm$ 0.42
Sex	52.8% F (583), 47.2% M (521)	53.0% F (517), 47.0% M (459)
SES (Mean $\pm$ SD)	18.28 $\pm$ 3.92	18.37 $\pm$ 3.88
Verbal IQ (Mean $\pm$ SD)	112.75 $\pm$ 14.00	112.76 $\pm$ 13.99
Performance IQ (Mean $\pm$ SD)	109.83 $\pm$ 14.61	109.88 $\pm$ 14.59
Baseline H/I Score on Youth SDQ (Mean $\pm$ SD)	3.80 $\pm$ 2.11	3.82 $\pm$ 2.10
Baseline DAWBA Symptom Count (Mean $\pm$ SD)	3.59 $\pm$ 5.32	3.54 $\pm$ 5.32
Follow-up H/I Score on Youth SDQ (Mean $\pm$ SD)	3.41 $\pm$ 2.14	3.39 $\pm$ 2.13
Participants scoring at, or above, Youth SDQ H/I cut-off of 7 at follow-up	93	82

H/I=Hyperactive/Inattentive scale

## ADOLESCENT BRAIN AND ADULT INATTENTION 23

**Table 2.** Summary of ROI-based Structural Equation Modeling Analysis**Direct effects on Latent H/I Variable at 5-Year Follow-Up**

	<b>Std. beta</b>	<b>Sig.</b>
Baseline ROI GMV	-0.274	<b>&lt;0.001</b>
Sex	0.065	0.224
Hand	0.006	0.871
Site1	0.104	0.036
Site2	0.155	<b>0.003</b>
Site3	0.159	<b>0.001</b>
Site4	-0.024	0.593
Site5	-0.049	0.328
Site6	-0.010	0.835
Site7	-0.025	0.630
SES	-0.123	<b>0.002</b>
Age	-0.002	0.959
Puberty	-0.048	0.303
IQ PR	0.008	0.845
IQ VC	0.029	0.505
Baseline Total GMV	0.188	<b>0.014</b>
Baseline Latent H/I Variable	0.535	<b>&lt;0.001</b>

SES = socioeconomic status; Puberty = pubertal development scale; IQ PR = Perceptual IQ; IQ VC = Verbal IQ; H/I = Hyperactive/Inattentive; ROI = Region of interest; GMV = Gray matter volume (N = 1,104)

**Figure 1:**

The model used to study the relationship between baseline vmPFC GMV and follow-up hyperactive/inattentive symptomatology (N = 1,104). Only statistically significant parameters are reported. A range of parameters is reported for site because it was coded via seven binary dummy-variables. All covariates were assessed at baseline.

**Figure 2:**

Results from whole brain voxel-wise analyses regressing baseline regional gray matter volume against SDQ Hyperactive/Inattentive score (assessed approximately 5 years later at follow-up). Age, sex, handedness, total gray matter volume (GMV), site, pubertal development, Performance IQ, Verbal IQ, and socio-economic status were controlled for in the analysis. An initial height threshold of  $p \leq .001$  was implemented at the voxel level, with a corrected family-wise error (FWE;  $p \leq .05$ ) subsequently applied to identify significant clusters (N = 1,104). In axial view, left is left.

**Figure 3:**

(A) Blue depicts baseline regional GMV related to parent-reported hyperactive/inattentive symptomatology (assessed at baseline) (see Albaugh et al., in press, for further details; N = 1,538). Red depicts baseline regional GMV related to self-reported hyperactive/inattentive summary score (assessed approximately 5 years later at follow-up) on the Strengths and Difficulties Questionnaire (N = 1,104). Pink represents overlap in results. Age, sex, handedness, total gray matter volume (GMV), site, pubertal development, Performance IQ, Verbal IQ, and socio-economic status were controlled for in the analysis. An initial height threshold of  $p \leq .001$  was implemented at the voxel level, with a corrected family-wise error (FWE;  $p \leq .05$ ) subsequently applied to identify significant clusters. (B) Three-dimensional reconstruction of results. Blue depicts baseline regional GMV related to parent-reported ADHD symptomatology (assessed at baseline) (see Albaugh et al., in press, for further details; N = 1,538). Red depicts baseline regional GMV related to self-reported ADHD symptoms (assessed approximately 5 years later at follow-up) on the Strengths and Difficulties Questionnaire (N = 1,104). Results shown in axial view.

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## ADOLESCENT BRAIN AND ADULT INATTENTION 27

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For Peer Review

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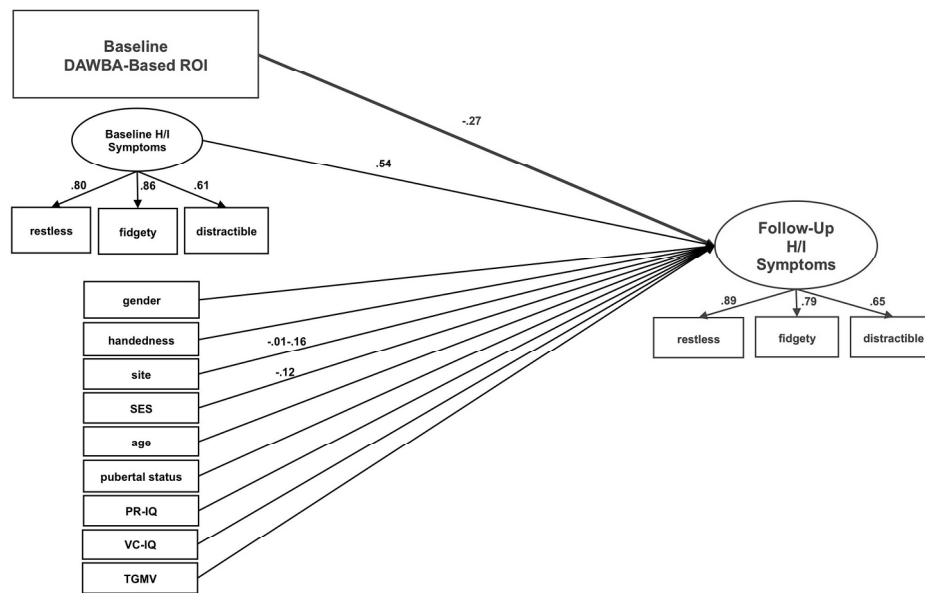


Figure 1: The model used to study the relationship between baseline vmPFC GMV and follow-up hyperactive/inattentive symptomatology (N = 1,104). Only statistically significant parameters are reported. A range of parameters is reported for site because it was coded via seven binary dummy-variables. All covariates were assessed at baseline.

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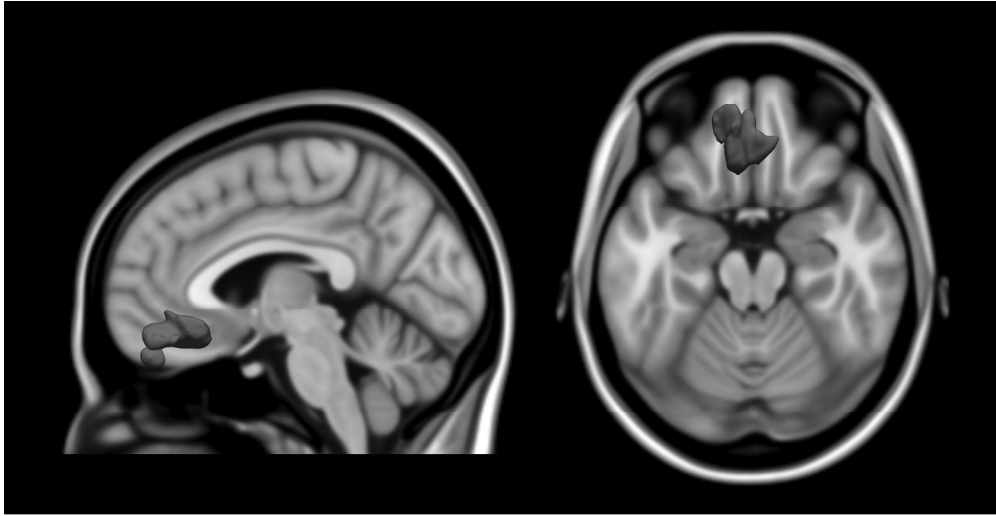


Figure 2: Results from whole brain voxel-wise analyses regressing baseline regional gray matter volume against SDQ Hyperactive/Inattentive score (assessed approximately 5 years later at follow-up). Age, sex, handedness, total gray matter volume (GMV), site, pubertal development, Performance IQ, Verbal IQ, and socio-economic status were controlled for in the analysis. An initial height threshold of  $p \leq .001$  was implemented at the voxel level, with a corrected family-wise error (FWE;  $p \leq .05$ ) subsequently applied to identify significant clusters ( $N = 1,104$ ). In axial view, left is left.

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Review

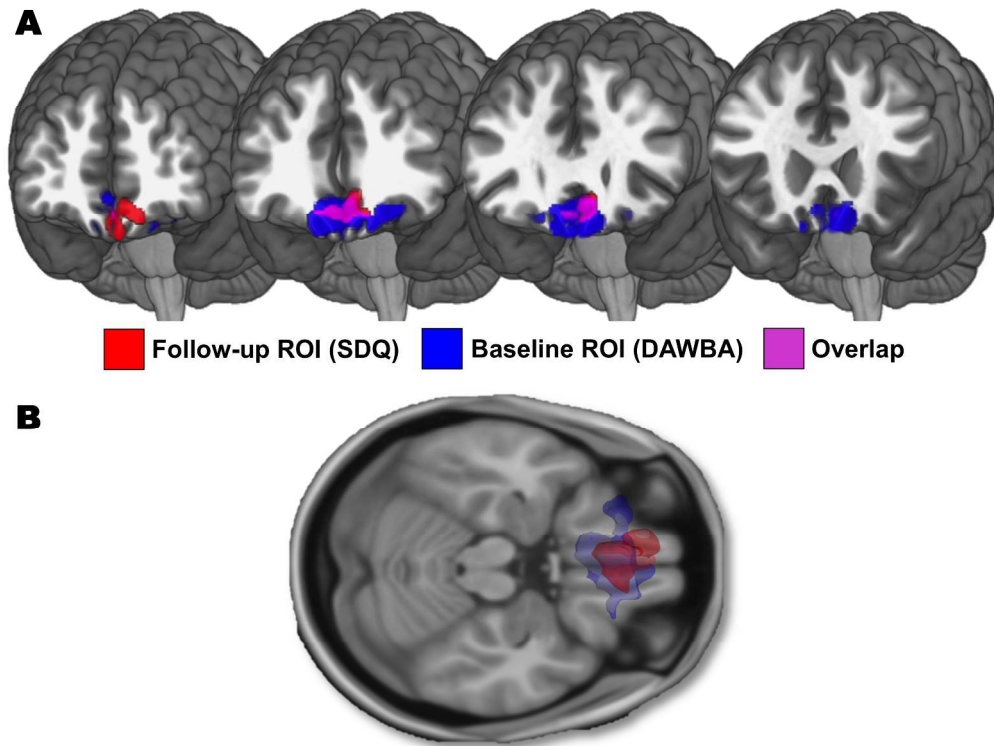
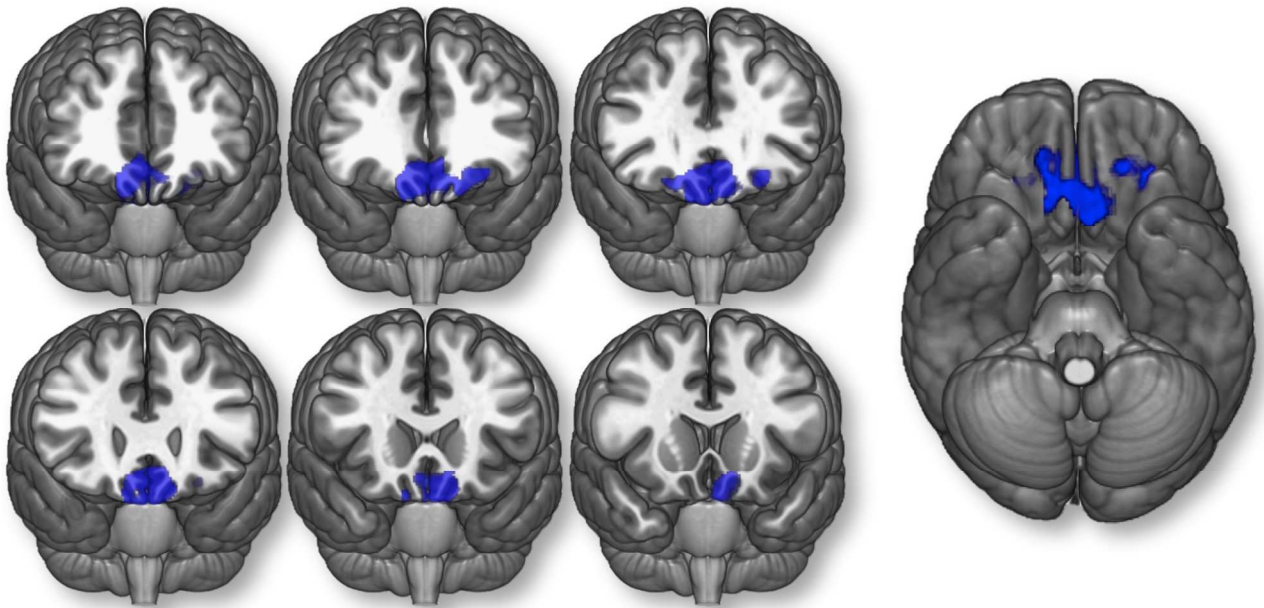


Figure 3: (A) Blue depicts baseline regional GMV related to parent-reported hyperactive/inattentive symptomatology (assessed at baseline) (see Albaugh et al., in press, for further details;  $N = 1538$ ). Red depicts baseline regional GMV related to self-reported hyperactive/inattentive summary score (assessed approximately 5 years later at follow-up) on the Strengths and Difficulties Questionnaire ( $N = 1,104$ ). Pink represents overlap in results. Age, sex, handedness, total gray matter volume (GMV), site, pubertal development, Performance IQ, Verbal IQ, and socio-economic status were controlled for in the analysis. An initial height threshold of  $p \leq .001$  was implemented at the voxel level, with a corrected family-wise error (FWE;  $p \leq .05$ ) subsequently applied to identify significant clusters. (B) Three-dimensional reconstruction of results. Blue depicts baseline regional GMV related to parent-reported ADHD symptomatology (assessed at baseline) (see Albaugh et al., in press, for further details;  $N = 1,538$ ). Red depicts baseline regional GMV related to self-reported ADHD symptoms (assessed approximately 5 years later at follow-up) on the Strengths and Difficulties Questionnaire ( $N = 1,104$ ). Results shown in axial view.

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**Supplemental Figure 1:**

On left, coronal cross-sections of the ventromedial prefrontal cortex region of interest used in the structural equation model (SEM) analysis. Blue depicts baseline regional GMV related to parent-reported ADHD symptom counts (assessed at baseline). Age, sex, total gray matter volume, site, pubertal development, performance IQ, verbal IQ, and socioeconomic status were controlled for in the analyses. An initial height threshold of  $p \leq .005$  was implemented at the voxel level, with a corrected family-wise error ( $p \leq .05$ ) subsequently applied to identify significant clusters. On right, region of interest depicted on the orbital surface.

**Supplemental Table 1.** Correlations between ADHD Measures

	Baseline DAWBA	Baseline SDQ H/I	Follow-up SDQ H/I
Baseline DAWBA (parent)	1		
Baseline SDQ H/I (self-report)	.345	1	
Follow-up SDQ H/I (self-report)	.235	.434	1

N= 1104; all correlations are significant at  $p < .001$

**Supplemental Table 2.** Summary of ROI-based Multiple Linear Regression Analysis**Standard Multiple Linear Regression**

	Std. beta	Sig.
Baseline ROI GMV	-0.135	<b>0.009</b>
Sex	0.028	0.476
Hand	0.038	0.162
Site1	0.054	0.134
Site2	0.097	<b>0.009</b>
Site3	0.135	<b>&lt;0.001</b>
Site4	-0.022	0.499
Site5	-0.017	0.640
Site6	0.009	0.785
Site7	0.028	0.448
SES	-0.083	<b>0.006</b>
Age	0.004	0.902
Puberty	-0.012	0.712
IQ PR	0.028	0.362
IQ VC	0.025	0.446
Baseline Total GMV	0.085	0.131
Baseline SDQ Hyperactive/Inattentive	0.407	<b>&lt;0.001</b>

SES = socioeconomic status; Puberty = pubertal development scale; IQ PR = Perceptual IQ; IQ VC = Verbal IQ; H/I = Hyperactive/Inattentive; ROI = Region of interest; GMV = Gray matter volume.

**Supplemental Table 3.** Partial Correlations between ADHD Measures and Baseline ROI.

**Correlations**

Control Variables			Baseline ROI
Sex, Hand, Site, SES, Age, PDS, IQPR, IQVC, Baseline Total GMV	Baseline SDQ H/I (self-report)	Correlation	-.080
		Significance (2-tailed)	.008
		df	1087
	Baseline DAWBA symptom count (parent)	Correlation	-.138
		Significance (2-tailed)	.000
		df	1087
	Follow-up SDQ H/I (self-report)	Correlation	-.105
		Significance (2-tailed)	.001
		df	1087

SES = socioeconomic status; Puberty = pubertal development scale; IQ PR = Perceptual IQ; IQ VC = Verbal IQ; H/I = Hyperactive/Inattentive; ROI = Region of interest; GMV = Gray matter volume.

**Supplemental Table 4.** Partial Correlations between ADHD Measures and Follow-up ROI.

**Correlations**

Control Variables			Follow-up ROI
Sex, Hand, Site, SES, Age, PDS, IQPR, IQVC, Follow-up Total GMV	Baseline SDQ H/I (self-report)	Correlation	-0.005
		Significance (2-tailed)	0.867
		df	959
	Baseline DAWBA (parent)	Correlation	0.017
		Significance (2-tailed)	0.595
		df	959
	Follow-up SDQ H/I (self-report)	Correlation	-0.050
		Significance (2-tailed)	0.125
		df	959

SES = socioeconomic status; Puberty = pubertal development scale; IQ PR = Perceptual IQ; IQ VC = Verbal IQ; H/I = Hyperactive/Inattentive; ROI = Region of interest; GMV = Gray matter volume.



**Supplemental Table 5.** Multiple Linear Regression Testing Concurrent Association between ROI and Hyperactive/Inattentive Score at Follow-up.

**Standard Multiple Linear Regression**

	<b>Std. beta</b>	<b>Sig.</b>
Follow-up ROI GMV	-.132	.125
Sex	-.012	.788
Hand	.040	.207
Site1	.105	.015
Site2	.096	.028
Site3	.147	.000
Site4	-.007	.861
Site5	-.042	.319
Site6	.004	.926
Site7	.028	.529
SES	-.120	.001
Age	-.009	.793
Puberty	.012	.767
IQ PR	.032	.371
IQ VC	-.019	.612
Follow-up Total GMV	.088	.300

SES = socioeconomic status; Puberty = pubertal development scale; IQ PR = Perceptual IQ; IQ VC = Verbal IQ; ROI = Region of interest; GMV = Gray matter volume.