#### Activating ACVR1 mutations in posterior fossa ependymoma 1

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52	Molecular profiling of ependymal tumors has resolved a previously histologically defined
53	entity into clinically meaningful tumor types driven by gene fusions, copy number
54	variants, and epigenetic alterations. Moreover, the distinct relationship between
55	neuroanatomic compartment (i.e., supratentorial, posterior fossa, spine), molecular
56	alteration, and clinical outcome[10] now forms the basis for their classification in the
57	2021 WHO "Blue Book"[6]. In contrast to other CNS tumors, recurrent mutations are
58	uncommon in ependymal tumors, but instead harbor specific gene fusions (e.g., ZFTA,
59	YAP1) or copy number alterations (MYCN amplification, chromosome 6 loss). In
60	posterior fossa (PF) ependymomas, recurrent somatic alterations are particularly
61	uncommon[7, 8, 10]. However, a recent study demonstrated that a small subset of
62	posterior fossa group A (PFA) ependymomas harbor recurrent missense mutations in
63	the EZHIP/CXorf67 gene[9]. Histone H3 K27M mutations, seen in the majority of most
64	diffuse midline gliomas (DMG), have also been detected in a small proportion of PFA
65	ependymomas[4, 9]. TERT promoter mutations were recently shown to identify a group
66	of clinically aggressive posterior fossa ependymal tumors with hybrid histologic and
67	epigenetic features of ependymoma and subependymoma (EPN/SE)[13]. Exceptionally,
68	ZFTA (C11orf95) fusions have also been detected in PF ependymomas[5]. The lack of
69	recurrent alterations in PF ependymomas has likely hindered the development of
70	effective targeted therapies. Here, we report the occurrence of heterozygous coding
71	mutations in the activin receptor type I (ACVR1) gene in a rare subset of PF
72	ependymomas. Furthermore, we show that, in the context of retained H3K27me3
73	expression, ACVR1-mutant PF ependymomas exhibit a methylation signature distinct
74	from other PF ependymomas.

75	We initially identified an uncharacterized group of ependymal tumors (n=7)
76	through unsupervised clustering of DNA methylation array data from approximately
77	16,000 CNS tumors. Sequencing of tumors from this group revealed pathogenic ACVR1
78	missense mutations in all cases for which sufficient material was available (n=6) (Fig.
79	1a, herein referred to as PF-ACVR1). Evaluation with the Heidelberg classifier
80	(v11b4/12.5) failed to match these tumors to a known DNA methylation class (calibrated
81	score < 0.9). We also identified two methylation-defined PFA ependymomas harboring
82	oncogenic ACVR1 mutations (Fig. 1a, PFA-ACVR1). Clustering analysis of PF-ACVR1
83	among established PF ependymal tumor types (PFA, PFB, EPN/SE) revealed a distinct
84	DNA methylation signature associated with these tumors (Fig. 1b), confirming findings
85	on non-linear dimensionality reduction. In contrast to ACVR1-mutant DMG, ACVR1-
86	mutant PF ependymomas lacked co-occurring alterations in PIK3CA, PIK3R1, and
87	PPM1D (Fig. 1c). One case harbored deleterious mutations in TP53 and RB1 and a
88	single case contained a TERT promoter mutation. In the majority of PF-ACVR1 (6/7),
89	<u>c</u> Copy number analysis revealed broad gains and losses in the majority of PF-ACVR1
90	(6/7), resembling profiles that are often observed in PFB (Supplementary Fig. 1, online
91	resource). The median age at diagnosis (32 years) was similar to PFB (29 years), but
92	significantly older than PFA (median age) ( $p < 0.01$ ) and younger than EPN/SE (median
93	<u>age</u> ) ( $p < 0.05$ ) (Fig. 1b; Supplementary Fig. 2, online resource).
94	Review of histology from available cases showed characteristic morphologic
95	features of ependymoma (e.g., perivascular pseudorosettes) and a compatible
96	immunohistochemical profile (i.e., GFAP+, OLIG2-, perinuclear dot-like EMA).
97	Interestingly, we observed focal papillary or pseudopapillary features in most cases

98	(Supplemental Fig. 3, online resource). Immunohistochemical staining of PF-ACVR1
99	tumors showed retained H3K27me3 and an absence of EZHIP/CXorf67 expression
100	(Supplemental Fig. 3, online resource). The two cases clustering with PFA (PFA-
101	ACVR1) occurred in young children and demonstrated loss of H3K27me3 with
102	concurrent EZHIP expression in tumor cells (Supplementary Fig. 3, online resource).
103	To date, ACVR1 mutations in gliomas have been restricted to specific amino acid
104	residues within the TGF- $\beta$ glycine-serine-rich (GS) (codon 206), protein kinase catalytic
105	(PKc; codons 258, 328) and protein kinase catalytic-like (PKc-like; codon 356)
106	domains[1, 3, 12, 14]. The p.Gly328GIn (c.982G>T, n=2) and p.Arg375Cys (c.982G>T,
107	n=1) substitutions described here have not been previously reported in CNS tumors
108	(Fig. 1d; Supplemental table 2, online resource). Germline mutations in ACVR1 are
109	associated with fibrodysplasia ossificans progressiva (FOP) and result in ligand-
110	independent upregulation of bone morphogenic protein (BMP) pathway signaling[3, 11].
111	Interestingly, the p.G328Q variant reported here has not been reported in a somatic
112	malignancy or in FOP (https://cancer.sanger.ac.uk/cosmic, queried Feb. 22, 2022). In
113	DMG, ACVR1 mutations are associated correlate with distinct clinical and molecular
114	features: they frequently co-occur with the less common histone H3.1 (H3C4) K27M
115	mutations, are more common in females, and have been associated with longer overall
116	survival (OS)[14]. While survival differences were not observed in the current series, the
117	small sample size and short follow-up time may limit interpretation of outcome. Notably,
118	the 7 patients with ACVR-1 mutations were all alive, with a median follow-up of 153
119	months all patients were living at last follow-up with a median overall survival of 153
120	months-(Supplemental Table 1; Supplemental Fig.4, online resource).

121	Despite a relative lack of recurrent somatic mutations, recent evidence suggests
122	that leveraging 'super-enhancer' dependency may be an effective therapeutic approach
123	in ependymal tumors[7]. This approach has identified subtype-specific transcriptional
124	dependencies in ependymomas that may be responsive to small molecule inhibitors.
125	Thus, the identification of lineage- or molecular-based groups will be increasingly
126	important in directing future therapeutic approaches in ependymoma. A recent study
127	also revealed clinical benefit of repurposing a receptor tyrosine kinase inhibitor
128	(vandetanib) in combination with an mTOR inhibitor in patients with ACVR1-mutant
129	DMG[2]. Vandetanib, a multi-RTK inhibitor, has demonstrated a synergistic effect with
130	everolimus in inhibiting ACVR1 downstream effector signaling (i.e., SMAD), as well as
131	improved CNS penetration in this context[2], thus raising the possibility of this approach
132	in ACVR1-mutant PF ependymoma. Our findings indicate further study is needed to
133	delineate the biologic and clinical implications of ACVR1 mutations in PF
134	ependymomas.

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# 196 Data availability

- 197 The raw methylation array data (IDAT format) has been made available for download at
- 198 the Gene Expression Omnibus (GEO) repository under the accession number
- 199 GSE196013 (https://www.ncbi.nlm.nih.gov/geo/).

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## 203 Author contributions

- 204 All authors provided meaningful contributions to the manuscript. All authors read and
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#### 209 Figure legends

Figure 1. Genetic and epigenetic characterization of ACRV1-mutant PF ependymomas. 210 Unsupervised embedding of DNA methylation array data from representative samples 211 212 from ependymal tumors across all neuroanatomic compartments (a); also included are 213 K27-altered diffuse midline gliomas (DMG) with and without ACVR1 mutations. Notably, 214 PF ependymomas with ACVR1 mutations and retained H3K27me3 expression (labeled me3+) form a distinct epigenetic group. Heatmap of DNA methylation array data from 215 PF ependymal tumors confirming four distinct tumor types with hierarchical clustering 216 217 (b). Oncoplot of co-occurring oncogenic or likely oncogenic somatic alterations from ACVR1-mutant PF ependymomas (left) and diffuse midline gliomas (DMG, right) (c). 218 Lollipop plot of the distribution of AVCR1 mutations in PF ependymomas (top) and DMG 219 (bottom) (d); novel missense mutations are labeled arrows. Abbreviations: DMGK27, 220 diffuse midline glioma, K27-altered; ZFTA, supratentorial ependymoma, ZFTA fusion-221 positive; EPN, SPINE, spinal ependymoma; YAP1, supratentorial ependymoma, YAP1 222 fusion-positive; MPE, myxopapillary ependymoma; EPN/SE, PF, posterior fossa 223 224 ependymoma/subependymoma[13]; SUBEPN, SPINE, spinal ependymoma; SUBEPN, ST, supratentorial subependymoma; PFA, posterior fossa ependymoma, group A; PFB, 225 posterior fossa ependymoma, group B; MYCN, spinal ependymoma, MYCN-amplified. 226 Supplemental Figure 1. Copy number profiles derived from DNA methylation data. 227 228 Sample-specific profiles for PF-ACVR1 showed frequently broad gains and losses. Proportion-based plots for other PF ependymoma types are shown on the right. 229 Supplemental Figure 2. Age distribution of PF ependymoma types and statistical 230 differences when compared to PF-ACVR1. ns = p > 0.05, \* =  $p \le 0.05$ , \*\* =  $p \le 0.01$ 231

- 232 Supplemental Figure 3. Representative histologic and immunophenotypic (H3K27me3,
- EZHIP) features of PF-ACVR1 (cases 1-5, 7) and PFA-ACVR1 (cases 8 and 9) included
- in this series.
- 235 Supplemental Figure 4. Kaplan-Meier curves of OS and PFS stratified by PF
- ependymoma tumor type (the exact log rank test is a permutation test based on
- 237 100,000 random permutations). Pairwise comparison of the other groups vs. PF-ACVR1
- 238 groups did not reveal significant differences in survival distributions; this is likely a result
- from extremely unbalanced sample sizes (i.e., 7 vs  $\geq$  47) in pairwise comparisons with

240 PF-ACVR1.