# 1 For *Paleoceanography*

# Pliocene-Pleistocene evolution of sea surface and intermediate water temperatures from the Southwest Pacific

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# 14 Key points

- Reconstructed Tasman Sea surface and Antarctic Intermediate Water temperatures
- Long-term cooling trends: from c.3.0 to 2.6 Ma, and from 1.5 Ma to present
- Complex subtropical front displacement and subantarctic cooling trends since
   Pliocene

# 19 Abstract

Over the last 5 million years, the global climate system has evolved toward a colder mean 20 state, marked by large amplitude oscillations in continental ice volume. Equatorward 21 22 expansion of polar waters and strengthening temperature gradients have been detected. However, the response of the mid- and high-latitudes of the southern hemisphere is not well 23 documented, despite the potential importance for climate feedbacks including sea ice 24 distribution and low-high latitude heat transport. Here, we reconstruct the Pliocene-25 26 Pleistocene history of both sea surface and Antarctic Intermediate Water (AAIW) temperatures on orbital timescales from DSDP Site 593 in the Tasman Sea, Southwest 27 Pacific. We confirm overall Pliocene-Pleistocene cooling trends in both the surface ocean and 28 29 AAIW, although the patterns are complex. The Pliocene is warmer than modern, but our data 30 suggest an equatorward displacement of the subtropical front relative to present, and a poleward displacement of the subantarctic front of the Antarctic Circumpolar Current (ACC). 31 32 Two main intervals of cooling, from c.3 Ma and c.1.5 Ma, are coeval with cooling and ice-33 sheet expansion noted elsewhere, and suggest that equatorward expansion of polar water masses also characterised the Southwest Pacific through the Pliocene-Pleistocene. However, 34 35 the observed trends in SST and AAIW temperature are not identical despite an underlying 36 link to the ACC, and intervals of unusual surface ocean warmth (c.2 Ma) and large amplitude variability in AAIW temperatures (from c.1 Ma) highlight complex interactions between 37 equatorward displacements of fronts associated with the ACC and/or varying poleward heat 38 transport from the subtropics. 39

# 40 Index Terms and Keywords

- 41 Index terms: 1055 Organic and biogenic geochemistry; 4954 Sea surface temperature; 4936
- 42 Interglacial; 4926 Glacial; 9355 Pacific Ocean
- 43 Keywords: Pliocene; Pleistocene; SST; AAIW; South Pacific; DSDP

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# 45 **1. Introduction**

The last 5 Ma of Earth history are marked by two significant transitions that represent 46 both a change in mean global climate state and an evolving response to external forcing by 47 48 solar radiation. The onset or intensification of northern hemisphere glaciation (INHG) is 49 usually defined at c. 2.7 Ma, but occurs within a broader window of cooling and increasing 50 continental ice [e.g. De Schepper et al., 2013; Lisiecki and Raymo, 2005; Rohling et al., 2014]. By c.1 Ma, further cooling and increased continental ice volume are accompanied by 51 52 the emergence and then dominance of the large amplitude, asymmetric, quasi-100 kyr glacialinterglacial cycles (the "mid-Pleistocene climate transition", MPT [*Clark et al.*, 2006; 53 54 McClymont et al., 2013; Mudelsee and Schulz, 1997]). Significantly, in the absence of noteworthy shifts in solar forcing driven by orbital variations, the MPT demonstrates 55 increasing climatic sensitivity to external forcing through the Pleistocene [Imbrie et al., 1993; 56 Ravelo et al., 2004]. 57

Explanations for the INHG and MPT have tended to focus on the evolution of the 58 59 northern hemisphere ice-sheets [Clark et al., 2006; Haug et al., 2005], but changes to Antarctic ice-sheet extent and circulation in the surrounding Southern Ocean have also been 60 detected [reviewed by De Schepper et al., 2013]. By decoupling the temperature and ice 61 volume contributions to benthic foraminifera oxygen isotope composition ( $\delta^{18}$ O) in the deep 62 Northwest Pacific, Woodard et al. [2014] proposed that Antarctic ice volume increased from 63 c. 3.15-2.75 Ma, prior to INHG, and Elderfield et al. [2012] argued that a stepped increase in 64 ice volume during marine isotope stages (MIS) 22-24 (c. 0.9 Ma) might be linked to ice-sheet 65 66 growth in the Ross Sea sector.

The Southern Ocean response to Pliocene-Pleistocene climate evolution may have 67 68 important impact(s) beyond the high-latitudes. For example, cooling and expansion of subpolar water masses in the Subantarctic Atlantic since the Pliocene increased the 69 70 meridional sea-surface temperature (SST) gradients [Martinez-Garcia et al., 2010], and is invoked to explain strengthened mid- and low-latitude upwelling through the intensification 71 72 of Hadley circulation [e.g. Martinez-Garcia et al., 2010; McClymont and Rosell-Melé, 2005; 73 Rosell-Melé et al., 2014]. An intensification and/or northward displacement of the southern 74 hemisphere westerly wind belt since the Pliocene may also have increased deep ocean storage of CO<sub>2</sub> via a strengthened biological pump [Martinez-Garcia et al., 2011], and changes to the 75 ventilation of deep water masses in the Southern Ocean across the MPT have been linked to 76

increased storage of CO<sub>2</sub> in the abyssal and deep ocean [Hodell and Venz-Curtis, 2006; Peña 77 78 and Goldstein, 2014; Sexton and Barker, 2012]. Further high- to low-latitude teleconnections 79 may be provided through intermediate-depth water masses, which form in the Southern 80 Ocean and transport heat, salt, freshwater and nutrients equatorward [Lee and Poulsen, 2008; 81 Loubere et al., 2007; Pahnke and Zahn, 2005]. Where these intermediate waters are returned 82 to the surface, through upwelling systems, there is the potential for water mass properties 83 acquired in the Southern Ocean to be expressed in the tropics [Peña et al., 2008]. The 84 possibility of such remote forcing complicates the interpretation of Plio-Pleistocene cooling 85 trends and zonal/meridional temperature gradients, since many of the continuous and orbitally-resolved records of mid- and low-latitude SST are from upwelling systems [Dekens 86 87 et al., 2007; Etourneau et al., 2009; Rosell-Melé et al., 2014]. Thus, whilst contraction of the 88 subtropical gyres and expansion of subpolar waters are considered to be a key feature of Pliocene-Pleistocene climate evolution [Brierley and Fedorov, 2010; Fedorov et al., 2015; 89 90 Martinez-Garcia et al., 2010], there are few data points from the southern hemisphere with which to test this hypothesis. 91

Here, we reconstruct the Pliocene-Pleistocene history of both surface and intermediate 92 93 water properties from DSDP Site 593 in the Tasman Sea, Southwest Pacific (Figure 1). SSTs 94 in the Tasman Sea are sensitive to the position of the frontal systems of the Antarctic 95 Circumpolar Current (ACC) to the south, and to the extent and intensity of the subtropical 96 gyre to the north. DSDP Site 593 has been bathed by Antarctic Intermediate Water (AAIW) 97 through the last 4 glacial-interglacial cycles [*Elmore et al.*, 2015]. We present here the first continuous and orbitally-resolved Pliocene-Pleistocene reconstructions of Southwest Pacific 98 SSTs and AAIW temperatures, using the alkenone paleothermometer, U<sup>K</sup><sub>37</sub>' [Müller et al., 99 1998a] and the Mg/Ca ratio of the benthic foraminifera Uvigerina peregrina [Elderfield et 100 101 al., 2010; Elmore et al., 2015], respectively. We assess the hypothesised impacts of 102 equatorward expansion of polar water masses since the Pliocene on both mid-latitude SSTs 103 and intermediate water properties, and address the relative scarcity of data spanning the Plio-104 Pleistocene from the Pacific sector of the Southern Ocean.

#### 105 2. Regional oceanography

DSDP Site 593 (40°30.47'S, 167°40.47'E, 1050 m water depth) was drilled on the
Challenger Plateau of the Tasman Sea, in the southwest Pacific Ocean (Figure 1). DSDP Site
593 presently lies to the north of the Subtropical Front (STF), a complex zone delineated by

109 large gradients in SST and salinity [Hamilton, 2006]. The STF separates warm, highly saline 110 and nutrient-depleted Subtropical Surface Water, sourced from the north, from cooler, lower 111 salinity and nutrient-rich waters sourced from Subantarctic Surface Water and thus the Southern Ocean. To the east of DSDP Site 593, there is northward flow of Subtropical 112 Surface Water along the South Island of New Zealand. Modern SSTs at DSDP Site 593 range 113 114 from 13.5°C (winter) to 18.5 °C (summer), with an annual mean of 15°C [Locarnini et al., 2013]. SSTs in the Tasman Sea are considered to be more sensitive to glacial-interglacial 115 displacement of the STF than sites located to the east of New Zealand, where bathymetry 116 constrains the position of both the STF and Subantarctic Front (SAF), resulting in relatively 117 118 muted SST oscillations [e.g. Carter et al., 2004; Hayward et al., 2012].

DSDP Site 593 is bathed by AAIW, which is broadly characterised by low salinity 119 (34.3-34.5 PSU), low temperatures (3.5-10°C; average density  $27.1\sigma_{\theta}$ ) and high dissolved 120 oxygen (200-250 µmoles kg<sup>-1</sup>) [Bostock et al., 2013; Talley, 1999]. Modern bottom water 121 temperature at the site is 4-5°C, and modern salinity is ~34.5 PSU. AAIW formation is 122 123 complex, and is closely linked to the formation of the shallower Subantarctic Mode Waters 124 (SAMW). AAIW formation occurs in association with the SAF, largely in the Southeast 125 Pacific, through a range of processes including Ekman transport of Antarctic Surface Water 126 (AASW), air-sea buoyancy fluxes, and winter mixing [e.g. Bostock et al., 2013; Sloyan and 127 *Rintoul*, 2001]. Intermediate-depth circulation within the Tasman Sea includes contributions from both southern (less saline, <34.40±0.0125 PSU) and recirculated northern (more saline, 128 >34.45±0.0125 PSU) AAIW sources, which tend to meet north of the STF [Hamilton, 2006]. 129 In the modern eastern Tasman Sea, including over DSDP Site 593, a northward flow of 130 131 AAIW from the Southern Ocean has been detected [Bostock et al., 2013; Hamilton, 2006].

#### 132 **3. Materials and methods**

#### 133 **3.1 DSDP Site 593: stratigraphy and age model**

Miocene-Pleistocene sediments of foraminifera-bearing nannofossil ooze extend to c.393 m depth at DSDP Site 593. Very abundant and well-preserved benthic foraminifera are recorded [*Shipboard Scientific Party*, 1996], including the *Uvigerina* and *Planulina* species analysed here. Sampling was guided by a low-resolution but orbitally-tuned stratigraphy extending back to 6.4 Ma, based on shipboard bio- and magneto-stratigraphy, and benthic foraminiferal  $\delta^{18}$ O analyses on infaunal *Uvigerina* spp. [*Head and Nelson*, 1994]. Samples were analysed at 10-20 cm resolution in cores 593Z-1H-1 through 593Z-5H-2 (c. 0-36.3 m depth), and in cores 593A-5H-1 through 593A-7H-6 (36.6-64.0 m depth), to yield mean
sample resolutions of c.5 kyr (0-1.5 Ma) and c.12 kyr (1.5-3.6 Ma).

A revised isotope stratigraphy (Table 1) has been generated using new analyses of 143 benthic foraminiferal  $\delta^{18}$ O on the epifaunal species *Planulina wuellerstorfi* (Section 3.4). The 144 age model from 0-0.4 Ma has previously been published in *Elmore et al.* [2015], extended to 145 1.1 Ma by Kender et al. [2016]. The shipboard magneto- and bio-stratigraphic datums 146 147 [Shipboard Scientific Party, 1996] were re-assigned to the GTS2012 timescale [Gradstein et 148 al., 2012], although they include large depth uncertainties due to low-resolution discrete 149 sampling and/or difficulties identifying the presence/absence of indicator species at this site [Shipboard Scientific Party, 1996]. The Potaka tephra [1.0 Ma, Shane, 1994] was clearly 150 identified and centred on 21.50 mbsf, and lies above a distinct benthic  $\delta^{18}$ O minimum, which 151 152 is aligned here to MIS 31. The top of the Olduvai chron is not well represented, but the base of the Olduvai chron and the Gauss/Matuyama boundary were used to guide identification of 153 key marine isotope stages (Table 1). It is important to note that before 1.1 Ma, glacial-154 interglacial variability is detected in benthic  $\delta^{18}$ O but not every glacial-interglacial cycle is 155 clearly expressed. This poses challenges for assigning absolute isotope stages/ages to the low 156 157 amplitude oscillations in the late Pliocene and early Pleistocene. Mis-alignment of isotope 158 maxima/minima to specific glacial/interglacial stages could introduce an age uncertainty of 159  $\pm 40$  kyr (assuming that just one obliquity-paced cycle was missed). The age model presented 160 here assumes that between each of the tie points outlined above, the sedimentation rate was 161 linear. We do not seek to constrain events to the MIS scale unless they sit close to a tie-point, 162 and we focus instead on the longer-term trends recorded in the data sets.

#### 163 **3.2** Alkenone and chlorin analysis

Alkenones and chlorins [diagenetic products of chlorophyll, *Baker and Louda*, 1986] 164 165 were extracted from freeze-dried and homogenised samples following the microwave-166 assisted protocol of Kornilova and Rosell-Melé [2003], and analysed at Durham University. 167 Chlorins were analysed by UV-vis spectrophotometry, quantified at the 410 nm and 665 nm 168 wavelengths, and normalised for extracted sample weight [Kornilova and Rosell-Melé, 2003]. 169 The average standard deviation within samples was 0.44 units (410 nm) and 0.08 units (665 170 nm). Alkenones were isolated from the lipid extract using silica column chromatography, 171 eluting with *n*-hexane (for apolar hydrocarbons), dichloromethane (for ketones) and methanol 172 (for polar compounds). Alkenones were quantified by Thermo Scientific Trace 1310 gas-173 chromatograph fitted with a flame ionisation detector (GC-FID). Separation was achieved

with a fused silica column (30 m  $\times$  0.25 mm i.d.) coated with 0.25 µm of 5% phenyl methyl siloxane (HP-5MS). The carrier gas was He. Following injection, the following oven temperature program was used: 60–200°C at 20°C/min, 200-320°C at 6°C/min, then held at 320°C for 35 min.

SSTs were calculated using the UK<sub>37</sub>' index [Prahl and Wakeham, 1987] and the 178 global mean annual SST calibration [Müller et al., 1998b]. Alkenone concentrations were 179 180 calculated with reference to the relative response of an internal standard (2-nonadecanone, Sigma-Aldrich) and the extracted dry weight of sediment. We were unable to correct the 181 182 alkenone and chlorin concentrations to mass accumulation rates, due to the very low 183 resolution shipboard porosity and wet density measurements [Shipboard Scientific Party, 184 1996]. However, no changes in sedimentation rates were associated with shifts in alkenone or chlorin concentrations, so we interpret the data here as indicative of organic matter flux to the 185 seafloor at the site. 186

# 187 3.3 Benthic Foraminiferal Mg/Ca analysis

The detailed methods applied here have been published previously [Elmore et al., 188 189 2015]. Briefly, approximately 10 individuals of visually well-preserved Uvigerina peregrina 190 were picked from the  $>250 \mu m$  fraction for elemental analysis, and prepared following the 191 sequential rinsing and oxidative cleaning protocol of *Barker et al.* [2003]. Mg/Ca ratios were 192 measured by ICP-OES (Varian, Vista) at the Godwin Laboratory for Palaeoclimate Research 193 at Cambridge University. Instrumental precision was  $\pm 0.51\%$ , calculated by replicate 194 analyses of a standard solution with Mg/Ca of 1.3 mmol/mol. Inter-laboratory studies have 195 established the accuracy of Mg/Ca determinations [Greaves et al., 2008; Rosenthal et al., 196 2004], confirmed here by replicate analysis of an inter-laboratory comparison standard JCt-1 197 (mean Mg/Ca 1.265  $\pm$  0.011 mmol/mol), consistent with the reported mean Mg/Ca of 1.289  $\pm$ 0.045 mmol/mol [Hathorne et al., 2013]. Fe/Ca and Mn/Ca were measured simultaneously, 198 and record values of less than 0.06 mmol/mol and 0.07 mmol/mol, respectively, for all 199 200 analyses from DSDP Site 593, indicating insignificant contamination by clay minerals or 201 diagenetic coatings [Barker et al., 2003].

Foraminifera Mg/Ca ratios (Mg/Ca<sub>test</sub>) are a function of both temperature and the Mg/Ca ratio of seawater (Mg/Ca<sub>sw</sub>), and the relationship between Mg/Ca<sub>test</sub> and Mg/Ca<sub>sw</sub> is both non-linear and shows variability between species [see discussion by *Evans and Müller*, 205 2012]. Given the residence times of Mg (c. 14 Ma) and Ca (c. 1 Ma), the impact of changing Mg/Ca<sub>sw</sub> on ocean temperature reconstructions is most important for pre-Pleistocene sequences [*Evans and Müller*, 2012; *Medina-Elizalde et al.*, 2008]. During the Pleistocene,
intermediate water temperature (IWT) can be calculated using the *U. peregrina* calibration of
Elderfield et al. [2010]:

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$$Mg/Ca_{test} = 1.0 + 0.1 \text{ x IWT}$$
 (1)

211 Recent studies have indicated that Pliocene Mg/Ca<sub>sw</sub> was lower than modern, and thus a correction should be applied to Mg/Ca-temperature time-series [Medina-Elizalde et al., 212 213 2008; O'Brien et al., 2014]. Applying such a correction is not straightforward, however, since 214 a temporally well-resolved and coherent picture of Mg/Ca<sub>sw</sub> in the Pliocene is not yet 215 available, but rather a range of values have been proposed [Fantle and DePaolo, 2006; 216 O'Brien et al., 2014]. A minimal Pliocene correction ( $<1^{\circ}$ C) has also been advocated, based 217 on considerations of warm pool properties and comparison of single-site, multi-proxy SST reconstructions [Fedorov et al., 2015]. To test the impact of evolving Mg/Ca<sub>sw</sub> on our 218 219 estimates of IWT, we follow the approach of Evans and Müller [2012] and Woodard et al. 220 [2014] to modify equation (1):

$$Mg/Ca_{test} = (1.0 + 0.1 \text{ x IWT}) \text{ x } [(Mg/Ca_{sw}^{t=t})^{H} / (Mg/Ca_{sw}^{t=0})^{H}]$$
(2)

222 Where t=0 is modern, t=t is the given sample age, and H is the species-specific power component of the relationship between Mg/Catest and Mg/Casw. In the absence of a U. 223 224 peregrina value for H, we adopt the approach of Woodard et al. [2014] and use a conservative estimate of 0.41 [Delaney et al., 1985]. We apply a suite of measured, modelled, 225 226 and back-calculated [outlined in O'Brien et al., 2014] estimates of Mg/Ca<sub>sw</sub> to generate a range of possible corrections. As discussed below, these Mg/Ca<sub>sw</sub> corrections raise IWTs 227 during the Pliocene by up to 5°C, although the overall trends and timings of events are 228 independent of the correction applied. 229

#### 230 **3.4 Foraminiferal Stable isotopes**

Previous studies at DSDP Site 593 had analysed the oxygen and carbon isotope 231 composition of both planktonic (*Globigerina bulloides*) and infaunal benthic (*Uvigerina* spp.) 232 foraminifera extending to the Miocene [Cooke, 2003; Head and Nelson, 1994]. Here, we 233 present new  $\delta^{18}$ O and  $\delta^{13}$ C analyses of the epibenthic foraminifera *P. wuellerstorfi* to 64 234 mbsf, since this species precipitates calcite in isotopic equilibrium with ambient seawater, 235 236 whereas isotopic fractionation during calcite precipitation in U. peregrina may be affected by 237 other factors including pore-water pH and organic carbon flux to sediments [Elmore et al., 238 2015; Marchitto et al., 2014; Zahn et al., 1986].

Approximately 4 individuals of *P. wuellerstorfi* were picked from the  $>250 \mu m$ 239 fraction. Samples spanning 0-1.5 Ma were analysed using an IsoPrime dual inlet mass 240 241 spectrometer plus Multiprep device at the NERC Stable Isotope Facility (BGS); samples 242 spanning 1.5-3.5 Ma were analysed at the Godwin Laboratory for Palaeoclimate Research at 243 Cambridge University. Stable isotopic compositions are reported using standard delta notation,  $\delta^{13}$ C and  $\delta^{18}$ O, representing the deviation in  ${}^{13}$ C/ ${}^{12}$ C and  ${}^{18}$ O/ ${}^{16}$ O from the V-PDB 244 standard, and are reported in units of per mille (%). Analytical reproducibility of the in-house 245 calcite standards was less than  $\pm 0.1\%$  for both  $\delta^{13}$ C and  $\delta^{18}$ O at both laboratories. 246

## 247 **4. Results**

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#### 4.1 Alkenone SSTs and concentrations

Overall, the alkenone concentrations at DSDP Site 593 were low (<0.4  $\mu g~g^{-1}),$ 249 despite the dominance of nannofossils in the core lithology [Shipboard Scientific Party, 250 1996]. In 137 samples alkenones were not detected and/or their concentrations were too low 251 to quantify the  $U_{37}^{K}$  index with confidence. Although previous work in the mid- to high-252 latitudes of the southern hemisphere has detected the subpolar water mass indicator (the  $C_{37.4}$ 253 alkenone) during late Pleistocene glacial stages [e.g. Ho et al., 2012; Martinez-Garcia et al., 254 2010], this alkenone was rarely detected at DSDP Site 593, consistent with the relative 255 256 warmth of the SSTs throughout (generally  $>8^{\circ}$ C).

A large range in  $U_{37}^{K}$ -SSTs is recorded at DSDP Site 593 over the Pliocene and 257 Pleistocene (3.3-20.7°C; Figure 2). During the late Pliocene and early Pleistocene, glacial-258 259 interglacial variability of 4-6°C is recorded, with minima close to modern winter (13.5°C) 260 and maxima exceeding modern summer (18.5°C). A long-term cooling trend from 3.1 Ma (6°C Myr<sup>-1</sup>) culminates in an abrupt and pronounced cooling event at 2.65 Ma, which reduces 261 SSTs to values subsequently only recorded during the late Pleistocene glacial stages (~ 262 11°C). After 2.65 Ma SSTs warm (6.2°C Myr<sup>-1</sup>) towards an interval of sustained high mean 263 SSTs (18°C) between 2.3 and 1.8 Ma, with SSTs persistently exceeding both the modern 264 annual average and late Pliocene values. From 1.8 Ma there is a second cooling trend (7.5°C 265 Myr<sup>-1</sup>) until c.1.3, and a final cooling trend occurs from 0.9-0.6 Ma (7.5°C Myr<sup>-1</sup>). From 1.1 266 Ma the amplitude of the glacial-interglacial oscillations in SST increases to 8-12°C, with 267 268 interglacial maxima (17-20°C) comparable to, or exceeding modern summer values, and glacial minima (3-12°C) lying below those of modern winter (Figure 2). 269

Alkenone concentrations at DSDP Site 593 fluctuate on orbital timescales across a range from 0-0.35  $\mu$ g g<sup>-1</sup> (Figure 2). Between 3.0-2.5 Ma alkenone concentrations are particularly low (<0.025  $\mu$ g g<sup>-1</sup>), but increased variability is recorded after 2.5 Ma (0-0.013  $\mu$ g g<sup>-1</sup>) and after 1.0 Ma (0-0.35  $\mu$ g g<sup>-1</sup>). The chlorin dataset does not extend to the Pliocene, but where the chlorin and alkenone data sets overlap (1.5-0 Ma) a similar overall pattern is expressed, with increased variability after 1.0 Ma (Figure 2), and an overall increase in organic matter flux from the Pliocene to present.

#### **277 4.2 Mg/Ca intermediate water temperatures (IWT)**

The Mg/Ca<sub>U,peregrina</sub> ratios at DSDP Site 593 range from 1.01 to 1.8 mmol mol<sup>-1</sup>, 278 equivalent to Mg/Ca<sub>sw</sub>-uncorrected IWTs of 0.97 to 7.9°C (Figure 2). In general, glacial-279 interglacial temperature fluctuations of 3-4°C amplitude are recorded. The reduced amplitude 280 281 variability between 1.5-2.5 Ma may reflect the lower temporal resolution of the record as a result of very low concentrations of Uvigerina. IWT from uncorrected Mg/Ca<sub>U.peregrina</sub> shows 282 283 subtle long-term trends: gradual cooling from a Pliocene average of c. 5.2°C begins ca. 3.1 Ma, a relatively abrupt and pronounced cooling develops from 2.7 Ma (to  $0.9^{\circ}$ C), and a small 284 (c.1°C) warming occurs from 2.0-1.3 Ma. After 1.3 Ma, there is an increase in interglacial 285 286 maxima, and a progressive decline in glacial maxima [Kender et al., 2016], superimposed upon a monotonic cooling of c. 2°C towards the present day. From 0.8 Ma, interglacial 287 maxima cool to align with modern AAIW temperatures of c.4°C [Elmore et al., 2015], 288 289 reducing the orbital-scale variability to c.4°C.

The incorporation of a number of trace elements into benthic foraminifera calcite can 290 be influenced by carbonate ion saturation ( $\Delta[CO_3^{2-1}]$ ). This has enabled reconstructions of past 291  $\Delta$ [CO<sub>3</sub><sup>2-</sup>] using both B/Ca and Mg/Ca ratios in *P. wuellerstorfi* [e.g. *Rae et al.*, 2011; *Elmore* 292 et al., 2015; Kender et al., 2016]. We do not find any relationship between the ratios of 293 Mg/Ca<sub>U,peregrina</sub>, Mg/Ca<sub>P,wuellerstorfi</sub> nor B/Ca<sub>P,wuellerstorfi</sub> at DSDP Site 593 over the last 1.1 Ma 294 [Elmore et al., 2015; Kender et al., 2016], confirming previous work which has shown a 295 minimal impact of  $\Delta[CO_3^{2-}]$  on U. peregrina Mg/Ca ratios, and a stronger relationship to 296 297 bottom water temperatures [e.g. Elderfield et al., 2010].

The absolute values of Pliocene IWT (and thus the magnitude of the Pliocene-Pleistocene cooling trend) are impacted by Mg/Ca<sub>sw</sub> corrections, which elevate mean Pliocene IWTs from being comparable to modern (within 1°C) to between 2 and 5°C higher

(Figure 3). There remains debate and uncertainty about the magnitude and timing of Mg/Ca<sub>sw</sub> 301 302 corrections, and how they should be applied to the benthic foraminifera temperature 303 calibration [Dekens et al., 2008; Medina-Elizalde et al., 2008; Woodard et al., 2014]. 304 Woodard et al. [2014] showed that Mg/Ca<sub>sw</sub> corrections at deep water sites ODP 1208 and 607 gave unrealistic Pliocene temperatures in the water mass source regions. The data from 305 306 DSDP Site 593 does not provide similar constraints on the feasibility of the different Mg/Ca<sub>sw</sub> corrections. Late Pliocene interglacial maxima in uncorrected IWT (6-7°C) fall 307 within the range simulated for intermediate-depth waters for the Southwest Pacific between 308 3.1-3.3 Ma (broadly 500-1200m, 4-8°C)[Dowsett et al., 2009], whereas the corrected values 309 exceed the modelled range. However, the full range of Mg/Ca<sub>sw</sub>-corrected IWT all remain 310 below the SSTs recorded in the likely source region of AAIW, the Subantarctic ACC (ODP 311 1090, 10-19°C) [Martinez-Garcia et al., 2010]. As Mg/Casw evolves toward the modern 312 313 value, the offsets between uncorrected and corrected data decrease to less than 1°C by 1 Ma, 314 making the correction smaller than analytical uncertainty, and thus unnecessary for the 315 middle and late Pleistocene.

# 316 4.3 Foraminiferal stable isotopes

The planktonic  $\delta^{18}$ O record from DSDP Site 593 was previously reported [*Cooke*, 2003; *Head and Nelson*, 1994]. Overall, the  $\delta^{18}O_{G.bulloides}$  data oscillates around a stable Pliocene-Pleistocene mean of c. +1.0‰. A large increase in orbital-scale variability towards the present day occurs at 1.1 Ma, from <+1.27‰ to >+2.5‰ (Figure 2). Accounting for the Pliocene-Pleistocene trends in SST at DSDP Site 593 and the overall increase in continental ice volume over the same time window [*Rohling et al.*, 2014], these trends indicate an overall reduction in sea surface salinity at DSDP Site 593 since the Pliocene.

Benthic foraminiferal  $\delta^{18}O_{P,wuellerstorfi}$  from DSDP Site 593 increases from the Pliocene 324 to present (Figure 2), consistent with global trends of cooling and increasing continental ice 325 volume [Lisiecki and Raymo, 2005; Rohling et al., 2014]. Between 2.5 and 2.4 Ma there is a 326 sustained but temporary increase in  $\delta^{18}O_{P.wuellerstorfi}$ , and from 1.0 Ma an increase in variability 327 is observed. Long-term trends are less clearly defined in benthic foraminiferal  $\delta^{13}C_{P,wuellerstorfi}$ , 328 which oscillates around average values of +0.8 to +0.9‰ (Figure 4). Before 3 Ma, the 329 amplitude of  $\delta^{13}C_{P, wuellerstorfi}$  variations is relatively muted (<0.4‰); after 3 Ma, oscillations 330 with an amplitude >0.45% are recorded. 331

# 332 5. Discussion

# 333 5.1 Pliocene-Pleistocene climate evolution in the eastern Tasman Sea

#### **334 5.1.1 Surface ocean circulation**

Remarkably different signatures of Pliocene-Pleistocene temperature evolution are recorded between the  $U_{37}^{K}$  and Mg/Ca<sub>*U,peregrina*</sub> data from DSDP Site 593, despite the hypothesis that both relate to high-latitude climate changes via connections to the ACC. Both datasets show elements of the typical trend of combined overall cooling and increasing orbital-scale variability toward the present day [*Fedorov et al.*, 2015; *Fedorov et al.*, 2013; *McClymont et al.*, 2013], but SSTs are warmest in the early Pleistocene and IWTs show reduced variability in the late Pleistocene (Figure 2).

342 During the Pliocene and Pleistocene, both the orbital-scale oscillations and longer term trends in SSTs at DSDP Site 593 are interpreted as evidence for varying influences of 343 344 subtropical (warm) and subantarctic (cold) waters in the southern Tasman Sea. Before 2.7 Ma, the warmer-than-present SSTs and overall low alkenone concentrations suggest that the 345 346 STF lay to the south of DSDP Site 593. These conditions are coeval with high abundances of 347 nannofossil species characteristic of modern surface waters to the south of the STF (e.g. 348 Coccolithus pelagicus, Calcidiscus leptoporus) being recorded at ODP Site 1172 in the 349 southwest Tasman Sea (44°57'S, Figures 1 and 6)[Ballegeer et al., 2012]. Taken together, these results suggest that the late Pliocene STF was positioned between DSDP Site 593 and 350 ODP 1172 (between 40-44°S), representing a relatively minor but equatorward displacement 351 352 compared to modern (a maximum of 4° latitude). The 400-kyr running mean in DSDP Site 593 SSTs are c. 2°C lower than an alkenone SST record from ODP Site 1125 (Figures 5 and 353 354 6) [Fedorov et al., 2015]. ODP Site 1125 is located to the east of New Zealand but in an 355 equivalent modern position, north of the STF and influenced by warm surface waters from the northern Tasman Sea (Figure 1). The SST offset may in part reflect the low resolution 356 (c.100 kyr) sampling at ODP Site 1125, since there is some overlap with DSDP Site 593 357 maxima in the original data (Figure 5), or it could indicate that DSDP Site 593 was closer to 358 359 the STF than ODP Site 1125 in the Pliocene.

The long-term surface cooling and increased export productivity (from alkenone concentrations) at DSDP Site 593 since the Pliocene is consistent with an increasing influence of subantarctic waters and/or reduced influence of tropical waters to the southern Tasman Sea, although there is significant complexity and variability within this trend. From 364 3.1 Ma, synchronous surface cooling at DSDP Site 593 and ODP Site 1125 (Figure 5) occurs 365 with increased STF nannofossil indicators at ODP Site 1172 [Ballegeer et al., 2012], 366 suggesting that the STF migrated northward. This occurs when the continued restriction of 367 the Indonesian throughflow from 3.3 Ma [Karas et al., 2011b] would be expected to 368 strengthen the EAC and thus poleward heat transport to the Tasman Sea [Lee et al., 2002]. At 369 DSDP Site 590B, planktonic foraminifera Mg/Ca confirm relatively warm SSTs and a 370 reduced temperature gradient to the West Pacific Warm Pool developing from 3.5 Ma (Figure 371 5b), interpreted to reflect a strong EAC influence to the northern Tasman Sea as the 372 Indonesian gateway becomes increasingly restricted [Karas et al., 2011a]. Thus, the SST 373 cooling at DSDP site 593 from 3.1 Ma is unlikely to reflect changes in the EAC, supporting 374 our interpretation of the surface cooling as being related to the position of the STF.

The subsequent warming, from 2.65 Ma towards the early Pleistocene SST maxima at 375 2 Ma, would therefore reflect a southward displacement of the STF and increased subtropical 376 377 surface waters to the southern Tasman Sea. ODP Site 1125 also records the early Pleistocene 378 warming, and the cooling trend after 1.8 Ma, but the amplitude of the signal is muted 379 compared to DSDP Site 593 (Figure 5). This might in part reflect sampling resolution, or the 380 bathymetric control of the migration of the STF (and SAF) by the Chatham Rise and 381 Campbell Plateau [Hayward et al., 2012]. Thus, as observed during late Pleistocene glacial-382 interglacial cycles [Hayward et al., 2012], SSTs in the Tasman Sea become more sensitive to 383 STF migration than sites to the east; Figure 5 suggests that this situation developed at least from the early Pleistocene. 384

385 After 1.0 Ma, large amplitude glacial-interglacial SST variations develop. SST 386 minima are broadly associated with alkenone and chlorin concentration maxima, consistent with previous suggestions of an increased influence of subantarctic waters and equatorward 387 displacements of the STF in the Tasman Sea during glacial stages [Hayward et al., 2012; 388 Kender et al., 2016; Nürnberg and Groeneveld, 2006]. Although the U<sup>K</sup><sub>37</sub>, index is calibrated 389 390 to mean annual SST [*Müller et al.*, 1998b], seasonality in coccolithophore production has 391 been considered as a potential influence over reconstructed absolute SSTs, especially where multi-proxy analyses have been performed [Sikes et al., 2009]. In an assessment of globally-392 393 distributed sediment traps, Rosell-Melé and Prahl [2013] noted that despite highly variable 394 seasonal patterns of alkenone flux, the sedimentary alkenone signal still closely resembled the mean annual SST calibration. However, in two sites in the Southwest Pacific close to the 395 396 STF, a cold bias in the sediment trap alkenone SST was determined. The authors did not link 397 this bias specifically to seasonality, since the season of maximum production was different 398 between sites, but instead considered that the proximity to the hydrographic fronts may play a 399 role, albeit unexplained at present [Rosell-Melé and Prahl, 2013]. If proximity to the STF 400 does lead to a cold bias in alkenone SSTs at DSDP site 593, then the glacial-stage cooling of 401 the late Pleistocene may have been amplified by the northward migration of the STF. 402 However, this interpretation contrasts with multi-proxy analyses of sites lying close to the 403 STF across the last glacial cycle, where alkenone SSTs were warmer than planktonic 404 foraminifera assemblages, and linked to summer alkenone production [Sikes et al., 2009]. 405 Furthermore, our reconstructed glacial-interglacial cycles in SST are comparable in 406 amplitude (8-12°C) to late Pleistocene 100-kyr cycles recorded in several Tasman Sea sites 407 using a variety of proxies [e.g. Hayward et al., 2012; Nürnberg and Groeneveld, 2006; 408 Nürnberg et al., 2004; Pelejero et al., 2006]. The absolute SSTs at DSDP Site 593 since 1 Ma 409 are also comparable to those recorded in sites which presently sit north of the STF [Hayward et al., 2012], and warmer than those situated close to or to the south of the modern STF 410 [Hayward et al., 2012; Pahnke et al., 2003]. The data from DSDP site 593 are thus consistent 411 412 with the regional-scale evidence for equatorward displacements of the STF during glacial 413 stages, which became particularly pronounced from 1 Ma.

#### 414 5.1.2 Intermediate water circulation

Our benthic foraminifera data indicate long-term and glacial-interglacial variations in intermediate-depth ocean temperatures through the Pliocene and Pleistocene. Several mechanisms could account for these patterns at our site: shifting water mass boundaries, a change in the relative contribution of different sources of intermediate waters, or changes to conditions in the region of intermediate water mass formation.

420 Although large changes in intermediate-depth water temperatures could be driven by 421 displacement of water mass boundaries, we do not think that this accounts for the trends observed here.  $\delta^{13}C_{P.wuellerstorfi}$  oscillates between +0.5 to +1.5% throughout, without long-422 term trends that might reflect a change in water mass source (Figure 4). We recognise that 423  $\delta^{13}C_{P,wuellerstorfi}$  can also reflect changes in organic matter flux to the seafloor [Mackensen et 424 425 al., 1993] which can limit its strength as a water mass proxy, although there is no clear response in  $\delta^{13}C_{P.wuellerstorfi}$  to the increased export productivity indicated by the chlorin and 426 427 alkenone accumulation rates after 1.1 Ma (Figures 2 and 4). No associated increase in mean or interglacial  $\delta^{13}C_{P.wuellerstorfi}$  is observed which might link an increase in SAMW depth to 428

warm IWTs [e.g. Lynch-Stieglitz et al., 1994], although the processes of SAMW and AAIW 429 430 formation (and their properties) are closely linked [Hartin et al., 2011; Sloyan and Rintoul, 431 2001]. The lower boundary of AAIW, with upper CDW, has shoaled in the Tasman Sea and at Chatham Rise during late Pleistocene glacial stages [Elmore et al., 2015; Pahnke and 432 Zahn, 2005; Ronge et al., 2015]. However, we have shown previously that Mg/Ca<sub>U.peregrina</sub> 433 and  $\delta^{13}C_{P.wuellerstorfi}$  at DSDP Site 593 remained offset from upper CDW throughout the last 4 434 glacial cycles, confirming that AAIW continued to bathe the site [Elmore et al., 2015]. The 435 offset between DSDP Site 593 and lower CDW is maintained in both Mg/Ca<sub>U.peregrina</sub> and 436  $\delta^{13}C_{P.wuellerstorfi}$  over the last 1.5 Myr (ODP Site 1123) [Elderfield et al., 2012], and into the 437 Pliocene (ODP Site 849, Figure 4) [Mix et al., 1995]. 438

439 AAIW properties in the modern Tasman Sea reflect variable contributions of the northern- and southern-sourced AAIW (AAIW<sub>N</sub> and AAIW<sub>S</sub>; Figure 1b)[Bostock et al., 440 2004]. At present, AAIW<sub>N</sub> enters the northern Tasman Sea but does not reach DSDP Site 441 593, and is distinguishable from AAIW<sub>S</sub> in the  $\delta^{13}$ C of dissolved inorganic carbon (reflecting 442 the addition of remineralised organic matter during AAIW<sub>N</sub> transport within the subtropical 443 gyre) [Bostock et al., 2004]. An increased presence of AAIW along the Chilean margin 444 during glacial stages has been linked to a northward shift of the ACC with a potential 445 contribution from increased AAIW production in the Southeast Pacific [Martinez-Méndez et 446 447 al., 2013], yet during the LGM, the southward extent of AAIW<sub>N</sub> to the Tasman Sea was reduced [Bostock et al., 2004]. There is no overlap in glacial stage benthic  $\delta^{13}C_{P,wuellerstorfi}$ 448 between DSDP Site 593 and the Chilean margin over the last 1 Ma (Figure 4), suggesting that 449 450 DSDP Site 593 was not bathed by the AAIW that formed in the Southeast Pacific. In the late 451 Pliocene, increasing sand content at DSDP Site 590B (1308 m water depth) from 3.5 Ma was interpreted to reflect an increasing northward influence of AAIW in the Tasman Sea [Karas 452 453 et al., 2011a]. Although the record does not extend to the present day, the Pliocene increase 454 in northward AAIW to DSDP Site 590 suggests that AAIW<sub>s</sub> already had influence to the north of DSDP Site 593 by the late Pliocene. Furthermore, at present there is little difference 455 456 between the temperatures of AAIW<sub>N</sub> and AAIW<sub>S</sub> [*Bostock et al.*, 2004]. Thus, variable contributions from  $AAIW_N$  and  $AAIW_S$  in the Tasman Sea are unlikely to account for the 457 observed IWT changes at DSDP Site 593, although further work is required to fingerprint the 458 signatures and pathways of AAIW in the Pacific through the Pliocene-Pleistocene. 459

460 Our benthic foraminifera data indicate that DSDP Site 593 has likely been bathed by 461 AAIW throughout the Pliocene-Pleistocene, as at present (Figure 1), and that our 462 reconstructed IWT data therefore reflect AAIW temperature. We interpret our reconstructed 463 AAIW properties as a reflection of conditions in the AAIW source regions, closely associated 464 with the Subantarctic Front, including Antarctic Surface Water (AASW) properties, winter 465 convection, and air-sea buoyancy fluxes [Hartin et al., 2011; Slovan and Rintoul, 2001]. 466 These processes can lead to inter-basin differences in AAIW properties: for example, to the 467 south of Australia there is deep winter mixing and cooling of (warm, salty) Indian Ocean-468 sourced SAMW as well as an addition of cold and fresh AASW [McCartney, 1977; Sloyan and Rintoul, 2001]. Using benthic foraminiferal  $\delta^{18}$ O and  $\delta^{13}$ C profiles from south of 469 Tasmania, Lynch-Steiglitz et al. [1994] identified a reduced contribution of Indian Ocean 470 waters to AAIW during the LGM. Regardless of whether a Mg/Ca<sub>sw</sub> correction is applied, the 471 overall decrease in Mg/Ca<sub>Uvigerina</sub> and monotonic increase in  $\delta^{18}O_{P \text{ wuellerstorfi}}$  over the last 3.5 472 Ma at DSDP Site 593 (Figure 2), across an interval of increasing continental ice volume 473 474 [Lisiecki and Raymo, 2005; Rohling et al., 2014], is consistent with an overall shift toward cooler and fresher AAIW since the Pliocene. To fully understand how the Pliocene-475 476 Pleistocene ocean density structure evolved will require development of water column profiles for the Southwest Pacific incorporating benthic foraminiferal Mg/Ca and  $\delta^{18}$ O data 477 with orbital-scale resolution. Here, we draw on the LGM as an analogue, to interpret lower 478 479 AAIW temperatures as a reflection of cooler and/or increased AASW contributions to AAIW 480 [Bostock et al., 2004; Lynch-Stieglitz et al., 1994], reflecting more vigorous winds, Antarctic 481 sea-ice expansion and/or reduced contributions from warmer end-members [Lynch-Stieglitz et al., 1994; Wainer et al., 2012]. 482

# 483 **5.2 Implications for Pliocene-Pleistocene climate evolution**

# 484 **5.2.1 Pliocene-Pleistocene transition**

On a global scale, the Pliocene-Pleistocene transition centred on 2.7 Ma is marked by 485 pronounced cooling in high latitude regions and upwelling regimes, decreasing atmospheric 486 CO<sub>2</sub>, and increasing continental ice volume [Lisiecki and Raymo, 2005; Martinez-Boti et al., 487 488 2015; Martinez-Garcia et al., 2010; Rohling et al., 2014]. The new reconstructed mean and warmest Pliocene SSTs at DSDP Site 593 lie above the multi-model ensemble means for 489 490 warm stages (14-16°C) at 40°S [Dowsett et al., 2012], and above modern SSTs. This occurs 491 as both the weak Walker circulation [Brierley and Fedorov, 2010] and the relatively open 492 Indonesian throughflow [Karas et al., 2011b] are expected to have reduced the strength of the East Australian Current [*Karas et al.*, 2011a; *Lee et al.*, 2002], suggesting that Pliocene warmth at DSDP Site 593 reflects proximity to the expanded warm pools rather than enhanced poleward heat transport.

496 We inferred (Section 5.1) that the late Pliocene STF sat in a similar position to modern, potentially displaced equatorward by a few degrees latitude. In contrast, our 497 Pliocene AAIW temperatures indicate warmer surface waters associated with the 498 Subantarctic Front. Opal deposition in the Bellingshausen Sea [Hillenbrand and Fütterer, 499 2001] and diatom assemblages at multiple sites associated with the ACC [Barron, 1996a; b] 500 501 also demonstrate warmer surface ocean conditions, reduced sea ice cover, and a poleward displacement of the Antarctic Polar Front by 6° relative to present. In combination, these 502 503 patterns suggest that a warmer and more latitudinally extensive subantarctic zone (between the STF and SAF) developed in the Southwest Pacific sector of the Southern Ocean during 504 505 the late Pliocene [Ballegeer et al., 2012]. This hypothesis requires further testing, however, 506 since Ross Sea diatom assemblages indicate development of cooler surface ocean conditions 507 with more persistent sea-ice in the late Pliocene [Riesselman and Dunbar, 2013] which might 508 lead to northward displacement of the SAF, and there is potential for bathymetric control 509 over the position of the Antarctic Polar Front to the south of New Zealand [Barron, 1996b].

510 The late Pliocene cooling recorded at DSDP Site 593 in both SSTs (from 3.1 Ma) and 511 IWTs (from 3.3 Ma) highlight the development of cooler subantarctic waters and/or 512 northward displacement of the STF (Section 5.1, Figure 6). Cooling and freshening of 513 subantarctic surface waters from 3.5 Ma is also recorded by subsurface-dwelling foraminifera, which record SAMW properties, at DSDP Site 590B (Figure 5) [Karas et al., 514 2011a]. At the same time, an increasing northward influence of AAIW at DSDP Site 590 also 515 516 indicates evolving surface ocean conditions in the subantarctic region [Karas et al., 2011a]. 517 From c. 3.2 Ma surface ocean cooling also develops in the Subantarctic Atlantic (Figure 5) 518 [Martinez-Garcia et al., 2010] and in the Ross Sea [Riesselman and Dunbar, 2013]. A potential intensification and persistence of summer sea ice is recorded in the Ross Sea by 519 520 c.3.03 Ma [Riesselman and Dunbar, 2013] and inferred from reduced biogenic opal 521 deposition rates in the Bellingshausen Sea after c.3.1 Ma [Hillenbrand and Fütterer, 2001]. 522 Development of a more extensive Antarctic ice-sheet between 3.15 and 2.75 Ma [Woodard et 523 al., 2014] also indicates changing climate conditions in the high-latitudes of the southern 524 hemisphere through the late Pliocene.

525 The culmination of the late Pliocene cooling at DSDP Site 593 at c. 2.65 Ma in both 526 SST and IWT is followed by a short interval of increased orbital-scale variability in both 527 records until c. 2.4 Ma. The temperature minima at c. 2.65 Ma are tentatively assigned to 528 MIS G2, but this should be treated with caution given the low resolution of the benthic  $\delta^{18}O_{P,wuellerstorfi}$  data presented here (Table 1). The cooling begins earlier in IWT, from MIS 529 G6 (c. 2.7 Ma). An abrupt decrease in deep South Atlantic benthic  $\delta^{13}C_{P.wuellerstorfi}$  at 2.75 Ma 530 (Figure 4) has been attributed in part to more extensive sea-ice and stratification around 531 532 Antarctica [Hodell and Venz-Curtis, 2006], and falls within a broader window of glacial stage cooling (2.7-2.4 Ma, MIS G6 through MIS 95) identified in other ocean basins in the late 533 Pliocene [Herbert et al., 2010; Lawrence et al., 2011; Naafs et al., 2010; Rohling et al., 534 2014]. Reconstructed atmospheric  $CO_2$  concentrations highlight MIS G10 (c. 2.8 Ma) as the 535 first time that a 275 µatm threshold for glaciation was crossed, with even lower 536 concentrations recorded during MIS G6, G2 and 100 [Martinez-Boti et al., 2015]. The 537 538 temperature trends identified at DSDP Site 593 thus support other evidence for high latitude 539 cooling in the late Pliocene, broadly associated with a decrease in atmospheric CO<sub>2</sub>.

540 Immediately after 2.65 Ma, both SST and IWT record warm interglacial maxima at DSDP Site 593, with values similar to those of the Pliocene (Figure 2). Particularly low 541 inputs of glacial sediment to ODP 1119, east of New Zealand (Figure 1), at this time indicate 542 543 a less extensive ice cap on the South Island than during the Pliocene [*Carter et al.*, 2004], and 544 support the evidence for regional warmth in the Southwest Pacific. Relatively warm 545 interglacials at c. 2.5 Ma are also recorded in the Subantarctic Atlantic (Figure 5)[Martinez-546 *Garcia et al.*, 2010], and by two short-lived increases in seasonal sea ice-tolerant diatom taxa 547 in the Ross Sea [McKay et al., 2012]. Thus, despite an overall transition towards globally 548 cooler climate across the Pliocene-Pleistocene boundary and INHG, surface ocean conditions 549 in the Southern Ocean were highly variable and include intervals of relative warmth.

550 5.2.2 Early Pleistocene warmth

Between c.2.4 and 2.1 Ma, SSTs at DSDP Site 593 warm by ~3°C (400 kyr mean), then stabilise until c.1.8 Ma (Figure 3). A similar but smaller (~1°C) warming is also observed at ODP Site 1125 towards 2 Ma (Figure 5, *Fedorov et al., 2015*). Between 2.1 and 1.8 Ma, SSTs at DSDP Site 593 exceed the modern mean annual value, and are comparable to all but the coldest stages of the Pliocene (Figure 2). This unusual early Pleistocene warmth highlights a strong regional control, consistent with a southward displacement of the STF 557 and/or enhanced poleward heat transport into the Tasman Sea. Both scenarios contrast with 558 the inferred equatorward migration and intensification of Hadley circulation cells, the 559 southern hemisphere westerly wind belts, and polar water masses through the Pliocene-560 Pleistocene [Brierlev and Fedorov, 2010; Martinez-Garcia et al., 2010; Martinez-Garcia et 561 al., 2011; Rosell-Melé et al., 2014]. An alternative explanation for the early Pleistocene 562 warmth at DSDP Site 593 is that the continued intensification of meridional temperature 563 gradients through 3.5-2.0 Ma may have remained conducive to poleward heat transport 564 [Brierley and Fedorov, 2010] via the East Australian Current. To test these hypotheses 565 requires additional data from cores spanning the modern STF and subtropical regions of the 566 Southwest Pacific for the early Pleistocene.

#### 567 5.2.3 Mid and late Pleistocene evolution

A rapid SST decrease at 1.8 Ma marks the onset of long-term surface ocean cooling at 568 DSDP Site 593, coeval with evidence for evolving tropical and subtropical climate changes, 569 including intensification of Walker circulation and subtropical upwelling [Brierley and 570 Fedorov, 2010; Ravelo et al., 2004], and particularly strong glacial-stage cooling in several 571 572 tropical SST records (e.g. ODP Sites 662, 722, 846) at 2.1 and 1.7 Ma [Herbert et al., 2010]. 573 In contrast, most mid- and high-latitude SST records show gradual cooling developing later 574 (after c. 1.6 Ma) and intensifying from 1.2 Ma in association with the MPT [McClymont et 575 al., 2013], in line with the cooling we observe in IWT from 1.3 Ma. A tropical/subtropical 576 control over the DSDP Site 593 surface cooling trend would imply a reduced heat transport 577 by the East Australian Current, whereas the strengthening Walker Cell Circulation from 2 Ma 578 [Brierley and Fedorov, 2010; Fedorov et al., 2015] ought to have the opposite effect. Cooling 579 'upstream' in the tropical/subtropical source regions is also unable to explain the DSDP Site 580 593 SST trend, since SSTs in the West Pacific Warm Pool and Coral Sea remain stable or 581 warm slightly (<1°C) between 2.0-1.0 Ma (Figure 5)[see discussion by *McClymont et al.*, 582 2013].

583 Surface ocean cooling from 1.8 Ma is also observed at ODP Sites 1125 and 1090 584 (Figure 5), the latter linked to a northward displacement of subpolar waters in the 585 Subantarctic Atlantic [*Becquey and Gersonde*, 2002; *Martinez-Garcia et al.*, 2010]. We 586 interpret the SST cooling in the Southwest Pacific to reflect an increasing presence of 587 subantarctic waters and northward displacement of the STF. The onset of IWT cooling, from 588 c. 1.3 Ma at DSDP Site 593, occurs within a broader window (from 1.5 Ma) of sustained low 589 SSTs at DSDP Site 593 (Figure 2), intensification of cooling in ODP Site 1090 SSTs 590 [Martinez-Garcia et al., 2010], establishment of the modern high opal deposition belt in the 591 Southern Ocean [Cortese et al., 2004], and a strong reduction in southern-sourced water to 592 the South Atlantic consistent with increased sea-ice cover and/or surface ocean stratification 593 in the Southern Ocean [Hodell and Venz-Curtis, 2006]. Thus, the SST and IWT data from 594 DSDP Site 593 confirm that climate evolution since 1.8 Ma was not restricted to the tropical 595 or subtropical oceans but also affected the mid- and high-latitudes, first in association with 596 the STF (DSDP Site 593 SSTs) and Subantarctic waters (ODP Site 1090)[Martinez-Garcia et al., 2010], and later in association with the SAF (DSDP Site 593 IWT). 597

598 Martinez-Garcia et al. [2010] proposed that the coincidence of expanding subpolar 599 waters in the Subantarctic Atlantic and cooling in the equatorial Pacific cold tongue from 1.8 600 Ma could be mechanistically linked via strengthening Hadley circulation, in response to 601 intensification of the meridional temperature gradients. The new orbital resolution SST data from DSDP Site 593 confirms that the meridional temperature gradient in the southwest 602 603 Pacific also intensified from 1.8 Ma; the cooling is larger than at ODP Site 1125 [Fedorov et 604 al., 2015] but this may reflect differing sampling resolution (Figure 5) and/or the effect of 605 bathymetric pinning of the STF at ODP Site 1125 discussed above (Section 5.1). The 606 relatively minor, and delayed, cooling which occurs in IWTs as the surface ocean cools, 607 suggests that, before the MPT, the propagation of high latitude temperature signals to the low 608 latitude regions via intermediate waters [Lee and Poulsen, 2008] is a less plausible 609 teleconnection than via strengthening Hadley circulation since c.1.8 Ma [Martinez-Garcia et 610 al., 2010]. However, it is important to note that the magnitude of long-term cooling in upwelling regions over the MPT (2-3°C)[McClymont et al., 2013] is comparable to that 611 recorded in IWTs since c.1.5 Ma (almost 3°C, Figure 2). Understanding the relative influence 612 613 of upwelling intensification, thermocline shoaling, and cooling of source waters may help to 614 better constrain the factors driving the observed trends in upwelling sites, and their utilisation 615 in calculations of meridional temperature gradients.

From 1.1 Ma, the amplitude of glacial-interglacial SST variability at DSDP Site 593 increased [*Kender et al.*, 2016], and a long-term cooling trend develops between 0.9-0.6 Ma. Increased SST variability is also recorded at ODP Site 1090 in the south Atlantic but without any long-term trend [*Martinez-Garcia et al.*, 2010]. The SST cooling at DSDP Site 593 after 0.9 Ma suggests a final intensification of the meridional temperature gradient during the MPT in the Southwest Pacific. This contrasts with the largely stable meridional temperature 622 gradient after c.1.2 Ma indicated at ODP Site 1090 [Martinez-Garcia et al., 2010]. IWTs at 623 DSDP Site 593 also indicate secular cooling from c.1.3 Ma, but this trend continues to the present day, and is marked by an unusual pattern of reduced amplitude IWT oscillations after 624 625 the MPT (c. 0.8 Ma) driven by a stepped decrease in interglacial maxima. It is unclear which 626 process(es) explain this fall in interglacial IWTs, but during the late Pleistocene, increased 627 production and/or deepening AAIW is recorded during millennial-scale Antarctic warming 628 events on the Chatham Rise [Pahnke and Zahn, 2005], with warmer AAIW at both Chatham Rise and DSDP Site 593 [Elmore et al., 2015]. Pahnke and Zahn [2005] attributed this 629 630 relationship to reduced northward Ekman transport, in response to relaxation and southward 631 displacement of the circumpolar wind systems. However, there is no shift in Subantarctic 632 Atlantic dust flux at 0.8 Ma to suggest displaced/intensified westerlies [Martinez-Garcia et 633 al., 2011]. In the absence of detailed information from the Indian and Pacific sectors of the 634 Southern Ocean spanning the MPT, the Atlantic data do not support a poleward displacement 635 of the SAF to explain the interglacial warmth in DSDP Site 593 IWTs. Additional records of AAIW properties across the MPT are required from different oceanographic basins to 636 637 determine whether the cause of the reduced interglacial maxima in temperatures is a regional 638 phenomenon.

## 639 6. Conclusions

Through the Pliocene and Pleistocene epochs, expansion of polar waters and 640 641 contraction of the tropical warm pools are considered to be important factors for lowering 642 global mean temperatures, strengthening atmospheric circulation, and affecting heat transport 643 between low and high latitudes [Brierley and Fedorov, 2010; Martinez-Garcia et al., 2010]. 644 Here, we address the relative paucity of temperature data from surface and intermediate-645 depth waters of the mid- and high-latitudes of the southern hemisphere through analysis of 646 DSDP Site 593 in the Tasman Sea, Southwest Pacific. Given current debates around the 647 potential impact of evolving Mg/Ca<sub>sw</sub> on temperature signals recorded in foraminifera Mg/Ca 648 ratios, we present both uncorrected and corrected data for IWTs. The overall timings and 649 trends of IWT evolution are robust regardless of the correction applied, but absolute AAIW 650 temperature values can be raised by as much as 5°C for the Pliocene.

We show that the Pliocene-Pleistocene has a general cooling trend in both SSTs and IWTs at DSDP Site 593, but the patterns are complex and include shifts in orbital-scale variability, and times of relative warmth. The Pliocene is warmer than modern in both datasets, but we infer that the subtropical front of the ACC was positioned close to DSDP 655 Site 593 and thus equatorward relative to present. Cooling begins from c.3.3 Ma (IWT) and 656 c.3.1 Ma (SST), with links to tropical/subtropical warm pool extent and the equatorward 657 expansion of subpolar water masses in the Southern Ocean. Both SSTs and IWTs record 658 marked cooling trends which culminate at 2.65 Ma, and the start of a longer-term cooling 659 trends from 1.8 and 0.9 Ma (SST) and 1.3 Ma (IWT), coeval with cooling and ice-sheet 660 expansion noted in other regions associated with the Pliocene-Pleistocene transition and the 661 MPT. The early Pleistocene is marked by relatively warm SSTs, indicating increased 662 contributions of subtropical surface waters to the southern Tasman Sea. The observed trends 663 in SST and IWT are not identical despite both having an underlying link to the position 664 and/or intensity of circulation within ACC. The results presented here demonstrate the 665 importance of reconstructing and understanding the evolution of different sectors of the 666 Southern Ocean, and the thermal history of both the sea surface and the ocean interior, in 667 order to fully understand Pliocene-Pleistocene climate evolution in the southern hemisphere.

668

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# 932 Tables

- Table 1. Major stratigraphic tie-points used in the construction of the new age model for
- DSDP Site 593. Bio- and magneto-stratigraphy was aligned to the GTS2012 timescale
- 935 [*Gradstein et al.*, 2012]. New *Planulina wuellerstorfi*  $\delta^{18}$ O minima and maxima (*Elmore et*

936 *al.*, [2015], *Kender et al.*, [2016], and this study) were visually aligned with key isotope

- 937 stages in the LR04 benthic  $\delta^{18}$ O stack [*Lisiecki and Raymo*, 2005]. Linear sedimentation rates
- 938 were assumed between all tie-points.

Depth	Age (Ma)	Tie-point	Reference
(mbsf)			
0.31	0.0159	$^{14}C$ (AMS)	Dudley & Nelson [1989]
0.81	0.088	LR04	Elmore et al. [2015]
1.80	0.123	LR04	Elmore et al. [2015]
2.31	0.138	LR04	Elmore et al. [2015]
3.18	0.186	LR04	Elmore et al. [2015]
3.86	0.237	LR04	Elmore et al. [2015]
4.89	0.252	LR04	Elmore et al. [2015]
5.28	0.295	LR04	Elmore et al. [2015]
5.60	0.332	LR04	Elmore et al. [2015]
5.80	0.341	LR04	Elmore et al. [2015]
7.61	0.370	LR04	Elmore et al. [2015]
8.07	0.421	LR04	Kender et al. [2016]
9.81	0.491	LR04	Kender et al. [2016]
10.31	0.513	LR04	Kender et al. [2016]
10.51	0.530	LR04	Kender et al. [2016]
11.01	0.584	LR04	Kender et al. [2016]
11.12	0.600	LR04	Kender et al. [2016]
12.00	0.650	LR04	Kender et al. [2016]
12.26	0.695	LR04	Kender et al. [2016]
12.81	0.706	LR04	Kender et al. [2016]
14.90	0.718	LR04	Kender et al. [2016]
15.10	0.735	LR04	Kender et al. [2016]
15.67	0.766	LR04	Kender et al. [2016]
15.88	0.790	LR04	Kender et al. [2016]
16.80	0.809	LR04	Kender et al. [2016]
17.17	0.831	LR04	Kender et al. [2016]
17.70	0.858	LR04	Kender et al. [2016]
18.10	0.874	LR04	Kender et al. [2016]
18.35	0.907	LR04	Kender et al. [2016]
18.56	0.92	LR04	Kender et al. [2016]
19.59	0.954	LR04	Kender et al. [2016]
21.20	0.987	LR04	Kender et al. [2016]
21.50	1.000	Potaka tephra	Shane [1994]
23.50	1.070	Base of Jaramillo	Cooke et al. [2010]
23.50	1.070	LR04 (MIS 31)	Kender et al. [2016]
33.33	1.778	Top of Olduvai	Cooke et al. [2010]
35.50	1.948	LR04 (MIS 74)	This study
41.90	2.438	LR04 (MIS 96)	This study
48.30	2.664	LR04 (MIS G2)	This study
56.40	3.140	LR04 (MIS KM2)	This study
60.50	3.295	LR04 (MIS M2)	This study

Site	Lat. / Long.	Water depth (m)	Reference
593	40°30'S, 167°40'E	1068	This study
590B	31°10'S, 163°22'E	1308	Karas et al. [2011a]
MD97-2120	45°32'S, 174°56'E	1210	Pahnke and Zahn [2005]
1172	44°57'S, 149°55'E	2620	Balleeger et al. [2012]
1119	44°45′S, 172°24′E	395	Carter & Gammon [2004]
1123	41°47'S, 171°30'W	3290	Elderfield et al. [2012]; Elmore et al.
			[2015]
763A	20°35′S, 112°13′E	1367	<i>Karas et al.</i> [2011b]
806	0°19'N, 159°22'E	2532	Wara et al. [2005]
849	0°11'N, 110°31'W	3850	Mix et al. [1995]; Hodell & Venz [2006]
1090	42°55'S, 8°54'E	3702	Hodell & Venz [2006]; Martinez-Garcia
			<i>et al.</i> [2010]
AND-1B	77°53'S, 167°05'E	936	<i>McKay et al.</i> [2012]

Table 2. Core sites discussed in the main text and shown on Figure 1.

# 942 **Figures**

- Figure 1. (A) Mean annual SSTs and main surface ocean circulation patterns associated with
- the Tasman Sea. Location of DSDP Site 593 (this study) and other sites referred to in the text
- are shown. TF = Tasman Front, STF = Subtropical Front, EAC = East Australian Current, LC
- 946 = Leeuwin Current. (B) Tasman Sea bathymetry and major circulation patterns, adapted from
- 947 Hayward *et al.* [2012]. SAF = Subantarctic Front; (C) Salinity cross-section through the
- Tasman Sea (WOCE transect P11, longitude 155°E), indicating the low salinity AAIW and
- the position of DSDP Site 593 (this study). Data source: World Ocean Atlas 2013; Figures
- 950 created using Ocean Data View [Schlitzer, 2002].
- Figure 2. Pliocene-Pleistocene data from DSDP Site 593. (A)  $\delta^{18}$ O in *G. bulloides*, from
- 952 Cooke [2003]; (B) alkenone and chlorin concentrations (this study); (C) Alkenone
- unsaturation index ( $U_{37}^{K}$ ) and calculated SSTs (this study, blue dots), with 400 kyr running
- 954 mean (thick blue line). The modern annual mean SST is delineated by the horizontal dashed
- line, and the modern annual range by the yellow box on the temperature y-axis; (D)
- 956 Mg/Ca<sub>U.peregrina</sub> ratios and reconstructed intermediate water temperatures, uncorrected for
- 957 Mg/Ca<sub>sw</sub> evolution (this study, red dots), with 400 kyr running mean (thick red line). The
- modern mean and range for the Tasman Sea are shown as in (C); (E)  $\delta^{18}O_{P.wuellerstorfi}$  (this
- study, black) and the benthic foraminiferal  $\delta^{18}$ O stack of Lisiecki and Raymo [2005; grey].
- Age model tie-points (Table 1) are indicated by triangles, and key MIS are labelled.
- 961 Figure 3. Comparison of the impact of Pliocene-Pleistocene seawater Mg/Ca (Mg/Ca<sub>sw</sub>)
- 962 corrections on reconstructed Antarctic Intermediate Water (AAIW) temperatures at DSDP
- 963 Site 593. Uncorrected Mg/Ca applies only the Mg/Ca <sub>Uperegrina</sub> temperature calibration of
- Elderfield *et al.* [2010]. OB14-DBF applies the third order polynomial fit of O'Brien *et al.*
- 965 [2014]; Wol4 applies a linear fit from a conservative estimate of Pliocene Mg/Ca<sub>sw</sub> by
- 966 Woodard et al. [2014]; OB14 applies a back-calculated Mg/Ca<sub>sw</sub> based on multi-proxy SST
- 967 estimates [O'Brien *et al.*, 2014]; FD06 applies a modelled Mg/Ca<sub>sw</sub> evolution which allows
- 968 for variable weathering fluxes to the ocean [Fantle and DePaolo, 2006; Medina-Elizalde et
- 969 *al.*, 2008]. Original data (thin lines) and 25 point running means (thick lines) are shown for
- 970 all time-series.
- Figure 4. Comparison of benthic foraminifera temperature and  $\delta^{13}$ C data from DSDP Site 593
- with published data sets. (A) DSDP Site 593 Mg/Ca<sub>U. peregrina</sub> (this study), uncorrected for
- 973 Mg/Ca<sub>sw</sub> evolution, compared to Mg/Ca<sub>U,peregrina</sub> from ODP Site 1123 [Elderfield et al.,
- 974 2012]; (B) Benthic for a miniferal  $\delta^{13}$ C from DSDP Site 593 (this study). GeoB15016
- 975 [Martinez-Mendes et al., 2013] is bathed by AAIW during glacial maxima; ODP Sites 1123
- 976 [Elderfield et al., 2012] and site 849 [Mix et al., 1995] are bathed by Pacific Deep Water.
- 977 ODP Site 1090 is bathed by lower CDW within the Atlantic basin [Hodell & Venz-Curtis,
- 2006]. Smoothing at ODP Sites 849 and 1090 by Hodell and Venz-Curtis [2006].
- 979 Figure 5. Comparison of DSDP Site 593 SSTs and IWTs (this study) to Pliocene-Pleistocene
- temperature records from the Western Pacific Ocean, South-eastern Indian Ocean, and
- 981 Subantarctic Atlantic Ocean. Site locations are shown on Figure 1. (A) West Pacific Warm
- 982 Pool SSTs (ODP Site 806) [*Wara et al.*, 2005], (B) Leeuwin Current region SSTs (ODP site

- 983 763A) and northern Tasman Sea SSTs (ODP site 590B) [Karas et al. 2011a], (C) SSTs from
- two sites presently situated north of the STF, in the Tasman Sea (DSDP site 593, this study)
- and on the Chatham Rise (ODP Site 1125) [Fedorov et al., 2015], (D) Subantarctic Atlantic
- 986 SSTs (ODP Site 1090, between the STF and the SAF) [*Martinez-Garcia et al.*, 2010], (E)
- SAMW temperatures from DSDP Site 590A [*Karas et al.*, 2011b], and (F) AAIW
- temperatures from DSDP site 593 (this study). The global benthic foraminiferal  $\delta^{18}$ O stack is
- shown for reference in (G) [*Lisiecki and Raymo*, 2005]. For those records generated using
- for a minifera Mg/Ca, the uncorrected (coloured lines, symbols) are presented alongside the
- results of the largest seawater correction, from OB14 (Figure 3; thin grey lines for each site). All sites have benthic foraminiferal  $\delta^{18}$ O stratigraphies, except ODP Site 1125, which is
- All sites have benthic foraminiferal  $\delta^{18}$ O stratigraphies, except ODP Site 1125, which is based on a low resolution biostratigraphic age model [*Fedorov et al.*, 2015]. All SST time
- series are shown to the same vertical scale. 400 kyr running means are shown for all sites
- 995 which span the Pliocene and Pleistocene (thick lines).

996 Figure 6. Schematic of potential changes to surface and intermediate water circulation in the Southwest Pacific since the late Pliocene. Sites which inform the conceptual framework for 997 each time interval are shown. (A) late Pliocene, with amplified EAC and poleward 998 displacement of the Tasman Front (from DSDP Site 590B) and equatorward displacement of 999 the STF (from DSDP Site 593 and ODP Site 1172) relative to modern, whereas warmer IWTs 1000 than modern and reduced sea ice extent [Barron 1996a,b] suggest an overall poleward 1001 1002 displacement of the SAF. Cooling in SSTs and IWT at DSDP Site 593 from c.3 Ma suggests 1003 ongoing subantarctic cooling and/or equatorward migration of the STF and SAF (blue 1004 arrows); (B) early Pleistocene, with the Tasman Front still displaced poleward and a strong EAC (DSDP Site 590B). Cooling in SSTs and IWTs at DSDP Site 593 indicate poleward 1005 migration of the STF and SAF, but the STF remains north of ODP Site 1172; (C) late 1006 1007 Pleistocene glacial stages, which are marked by large equatorward displacements of the STF and SAF, as well as increased bathymetric control over front positions to the east of New 1008 1009 Zealand (constrained by multiple sites in *Hayward et al.* [2012] and *Sikes et al.* [2009], site 1010 numbers not shown here). The TF also migrated northward but some influence of Subtropical 1011 water to the northern Tasman Sea is hypothesized (orange arrows; *Hayward et al.* [2012]). 1012 For the modern positions of the fronts please refer to Figure 1.













