

A systematic review on the relationship between self-esteem and interrogative suggestibility

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ABSTRACT

Some factors, such as age, learning disability and mental health difficulties, have been identified as making police suspects more vulnerable to suggestibility and false confessions during interview. However, there has been no systematic review on the association between self-esteem and suggestibility.

Seven electronic bibliographic databases and reference lists of previous literature reviews of suggestibility in children were searched. Selected studies were quality assessed using pre-defined criteria before data were extracted.

Electronic searches yielded 1914 hits. Of these, 685 duplicates, 1181 irrelevant references and 39 references that did not meet the inclusion criteria were removed. Nine publications were included in the review.

Significant correlations between self-esteem and suggestibility, most notably on the Yield 1 subscale of the GSS, were found but four of the nine studies found no significant correlation. The prevalent use of self-report measures and lack of clarity in defining self-esteem limit the validity of those studies.

A systematic review on the relationship between self-esteem and interrogative suggestibility

1. Introduction

1.1 Defining and measuring interrogative suggestibility

Suggestibility has been defined as “the influence of one person on another without his or her consent, the implanting of an idea, possessing a submissive tendency, and appealing to the unconscious” (Marcuse, 1976, cited in Wagstaff, 1991, p. 132). More recently, this has been divided into two distinct concepts, suggestibility and compliance. Interrogative suggestibility refers to the extent to which an individual comes to accept a message communicated by another person as fact (Gudjonsson & Clark, 1986) and integrates this into their own knowledge and behaviour.

Gudjonsson and Clark (1986) noted three components as prerequisites to the process of interrogative suggestibility: uncertainty, trust (in the interviewer) and expectation (interviewee belief they should know the answer). In contrast, compliance does not require the private acceptance of the message (Gudjonsson, 1997), but rather concerns a conscious decision to carry out the behaviour requested. The concepts are overlapping in that both are prompted in an effort to avoid conflict or confrontation, or in an effort to please the other person.

The most predominantly used tool for measuring interrogative suggestibility remains the Gudjonsson Suggestibility Scales (GSS; Gudjonsson, 1984, 1997). The GSS comprises a narrative containing forty distinct ideas which is of sufficient length that no respondent is able to remember all of the material. This is followed by a series of questions about the story which are read to the respondent by the interviewer. These questions include fifteen suggestive and five “true” questions. Measures include recall (Immediate Recall and Delayed Recall subscales), response to leading questions (Yield 1 and Yield 2 subscales) and response to negative feedback (Shift subscale). A Total Suggestibility score is calculated from the Yield 1 and Shift subscales.

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Interrogative suggestibility, as outlined above, should be distinguished from hypnotic suggestibility, as measures of these concepts are not found to correlate significantly (Register & Kihlstrom, 1988).

1.2 The importance of recognizing and managing interrogative suggestibility

Interrogative suggestibility has been of relevance in cases of false confession during police interview. In 2004, Drizin and Leo compared 125 cases of false confession (proven through DNA) in the United States from 1971 to 2002. Of these, they found that 93% were made by males, with 81% of the false confessions occurring within cases of murder. 63% of those who confessed were aged under 25, and 80% of those who confessed falsely and went to trial were convicted of the offence they had admitted to. Realistically, it is difficult to ascertain the actual numbers of false confessions made. Previous research has found percentages from 7% to as high as 28% where false confessions are self-reported by participants (Gudjonsson et al, 2007a, 2007b, 2010, 2012; Redlich, Summers & Hoover, 2010). It must be noted, however, that where false confessions are self-reported they have rarely been backed by definitive evidence that the confession has been false. Equally, these reports often relate to low-level offences. With this in mind, the validity of such statistics should be considered.

Despite the large number of studies within the area of suggestibility, this evidence tends not to be used in practice for reducing false confessions – for example, whilst it has had some effect in shaping police interviewing techniques with eyewitnesses, it has had little effect on the suspect interview. Although the provision of Appropriate Adults for vulnerable detainees was entrenched into the 1984 Police and Criminal Evidence Act (PACE) in an effort to reduce the high incidence of false confessions within this population, there has been limited guidance for police in identifying the characteristics which make a suspect

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A systematic review on the relationship between self-esteem and interrogative suggestibility “vulnerable” for the purposes of interview and which would therefore allow their identification by custody officers at the time of booking in. At the current time in England and Wales, vulnerability is identified in terms of age, learning disability and mental health difficult/illness, and is supported by research by Conley, Luckasson and Bouthilet (1992), Gudjonsson, Clare, Rutter and Pearse (1993) and Redlich (2004). Literature reviews of suggestibility research have, however, indicated a number of other possible factors, and it is possible that important, but more subtle, factors are being missed by custody staff in the identification of vulnerable detainees.

1.3 Self-esteem and interrogative suggestibility

Blascovich and Tomaka (1991) noted that that throughout the history of research on self-esteem, the concept has remained poorly defined and therefore badly measured. Coopersmith (1967) defined self-esteem as “the extent to which an individual believes himself to be capable, significant, successful and worthy” (pp. 4-5), whilst Baumeister (1998) considered it to be the evaluative aspect of the self-concept that corresponds to an overall view as worthy or unworthy. One of the more popular definitions of self-esteem, however, comes from Rosenberg (1965), who described it as a favourable or unfavourable attitude towards the self (p. 15). More recently, Brown and Marshall (2006) suggested that the confusion surrounding the definition of self-esteem is grounded in a lack of agreement regarding the construct itself (p. 4). They highlighted three different uses of the term “self-esteem”, to describe global self-esteem, feelings of self-worth, or self-evaluations.

Ziegler-Hill (2014) noted “it is difficult to estimate the prevalence of low (or high) levels of self-esteem in the population because self-esteem is almost always conceptualized as a dimensional construct rather than as discrete categories” (p. 268). With few

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3 conceptualizations of what constitutes 'high' or 'low' self-esteem, there are few estimations
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5 of the commonality of self-esteem problems within the general population.
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7 Difficulties in operational definition aside, the development of specific psychometrics
8
9 focussing on self-esteem have brought with them the potential for a common understanding
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11 of this concept and the replication and generalisation of its measurement. It is through the
12
13 development of these self-esteem scales – and comparison with similar measures of
14
15 interrogative suggestibility – that the relationship between these two concepts can be studied.
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17 What is common between these scales and operational definitions are the two ideas, firstly,
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19 that this concept clearly concerns the self, and secondly, that this concept concerns positive
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21 and/or negative views.
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25 Scoping revealed a number of studies where self-esteem had been considered as a
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27 factor relating to suggestibility (Baxter, Jackson & Bain, 2003; Numoja & Bachmann, 2008;
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29 Drake, Bull & Boon, 2008). A significant negative relationship between these two concepts
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31 (i.e. indicating that an individual with lower self-esteem may experience increased
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33 suggestibility) may have implications for police interviewing procedure. Self-esteem is not a
34
35 factor currently considered as causing suspects in police interview to be vulnerable to
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37 suggestibility and subsequent false confession. As such, interviewees presenting in custody
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39 with low self-esteem would not currently be afforded measures to manage this, such as the
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41 engagement of an Appropriate Adult to ensure that their rights are upheld and that
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43 communication between suspect and police is facilitated effectively.
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49 **1.4 Existing reviews and meta-analyses**

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51 No previous systematic literature reviews or meta-analyses focusing specifically on
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53 the association between self-esteem and suggestibility have been published. Whilst there is an
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55 abundance of literature reviews published focusing on factors associated with suggestibility,
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3 none of these have used systematic principles, and rather provide an overview and
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5 exploration of previous research.
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7 Several reviews have been conducted into the factors associated with suggestibility in
8 children. Ceci and Bruck (1993) conducted a review of the suggestibility in relation to child
9 witnesses. Findings identified three ‘families’ of factors in suggestibility: Cognitive, social
10 and biological, and it was suggested that despite age differences in suggestibility, even very
11 young children are able to recall relevant details. Bruck and Melnyk (2004) also explored the
12 individual differences in children’s suggestibility. 69 studies were synthesized and divided
13 into demographic factors, cognitive factors and psychosocial factors. The highest correlations
14 for psychosocial factors included self-concept/self-efficacy. Additional reviews have focused
15 on the relationship between intelligence (learning disability) and suggestibility (Kebbell &
16 Hatton, 1999). Drake and Bull (2011) noted “adult interrogative suggestibility has so far
17 received relatively little consideration from psychologists” (p. 677).
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34 **1.5 Aims and objectives**

35 This review aims to systematically and comprehensively explore the association
36 between self-esteem and suggestibility in individuals of criminally responsible age in
37 England and Wales (≥ 10 years) in whom alternative strongly predictive factors of
38 suggestibility (intelligence and mental health issues) do not exist. This review seeks to
39 explore whether a relationship between self-esteem and suggestibility exists, and if so, the
40 nature of such a relationship. The value of self-esteem in predicting suggestibility will also be
41 considered.
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54 **2. Method**

55 **2.1 Search strategy: Sources of literature**

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For the identification of primary studies on the association between self-esteem and suggestibility, a number of electronic bibliographic databases were searched, including PsychINFO (1806 – week 3 Dec 2014), MEDLINE (1948 – week 3 Dec 2014), EMBASE (1980 – week 3 Dec 2014), ASSIA (1987 – week 3 Dec 2014), Web of Science (1900 – week 3 Dec 2014), NCJRS Abstracts Database (1975 – week 3 Dec 2014) and EThOS (earliest – week 3 Dec 2014).

Researchers additionally searched the Cochrane and Campbell libraries and PROSPERO for relevant reviews with no results. One meta-analysis and two literature reviews (identified above) were found during scoping, and the references of these were hand-searched for additional relevant publications. Time constraints meant that researchers were unable to make contact with experts in the field.

2.2 Search strategy: Search terms

The following is a guide to the search terms that were used in all databases. These were modified to meet the specific requirements and parameters of each database (available upon request).

suggestibility/compliance/misinformation/cross-examination

AND

self-esteem/self-concept/self-perception/self-confidence

2.3 Study selection

Irrelevant studies retrieved through the searches were identified from their titles and abstracts and removed from the sample. Inclusion and exclusion criteria were then applied to the remaining studies using a pre-defined form (available upon request). Studies were

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3 selected based on their adherence to all of these inclusion criteria. A list of excluded studies
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5 and reasons for exclusion is available upon request.
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10 Studies that met the following criteria were included in the review:

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14 Population: Adults or young people, where the mean age of the sample is 10 years
15
16 or older.

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18 Exposure/Issue: Self-esteem measured as below average by psychometric assessment,
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20 rated as “low” by researchers, or measured as part of a scale.
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23 Comparator: Self-esteem measured as above average by psychometric assessment,
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25 rated as “high” by researchers, or measured as part of a scale.
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28 Outcome: Suggestibility measured by psychometric assessment, response to
29
30 leading/misleading questions or response to misinformation.
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32 Study type: Cohort, case control or cross-sectional studies
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34 Exclusion: Studies which focused only on individuals with an identified learning
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36 disability, individuals in psychiatric hospitals or with identified mental
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38 health issues, or where the mean age of the sample was less than 10
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40 years old. Studies where no measurement of self-esteem or
41
42 suggestibility was conducted. Studies which considered social
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44 conformity, social influence, hypnotic suggestibility or persuadability.
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46 Narrative reviews, qualitative studies, editorials, opinion papers,
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48 commentaries and book chapters.
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52 Language: English language only.
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The population was limited to individuals above the age of criminal responsibility in England and Wales to enable findings to be applied to potential police suspects and to link with the provision of the Appropriate Adult for vulnerable suspects. Studies where included participants had a mean age of 10 years old were included, provided that the data of participants of the appropriate age (≥ 10 years) could be separated from those who were too young. Studies which only included participants with a learning disability or with mental health difficulties were excluded, as these factors have been strongly associated with suggestibility (Conley, Luckasson & Bouthilet, 1992; Gudjonsson, Clare, Rutter & Pearse, 1993; Redlich, 2004) and might be considered as mediating variables. No specific standardized assessments of self-esteem or suggestibility were outlined as being necessary for inclusion, as limitations of specific measurements would be taken into account in both the quality assessment and subsequent analysis stages. Studies which measured concepts similar to compliance were excluded, as this is considered a different concept from suggestibility because it does not rely on the internalization of information (Gudjonsson, 1989). This term was included in the search strategy, however, to allow sensitivity to differences in vocabulary and keywords used within studies. Studies exploring hypnotic suggestibility were also excluded as this has been found to differ significantly from interrogative suggestibility (Gudjonsson, 1987a). No limits were set on language during the search stage, but studies could only be included within the final review if they could be sourced in the English language.

2.4 Quality assessment

The quality of each study was assessed using pre-defined criteria (available on request) adapted from the CASP critical appraisal checklists. These checklists assist researchers in examining bias (selection, performance, detection and attrition) in

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A systematic review on the relationship between self-esteem and interrogative suggestibility methodology. Quality criteria allowed researchers to appraise individual bias items as present or absent. Researchers applied structured judgment of the number of quality criteria met and their relative importance to qualify studies as high, reasonable or low quality.

Quality assessment was carried out on all of the studies independently by the researcher and another reviewer, both of whom were engaged in a professional doctoral degree for trainee forensic psychologists. The percentage of agreements between the two reviewers was 97%. An inter-rater reliability analysis using the Kappa statistic was also performed. An intra-class correlation coefficient (ICC) of .817 was achieved between the two assessors, which can be considered 'excellent' according to guidelines given by Fleiss (1986). Disagreements in ratings were resolved by discussion between the two reviewers, where each put forward reasoning for their rating and a compromise was effectively reached.

2.5 Data extraction

A pre-defined form (available upon request) was used to extract data from the included studies prior to synthesis. Relevant data such as the sample size and details, the measures used and the findings were extracted from the publications. In cases where information was unclear, this was recorded as unknown.

3. Results

3.1 Description of studies

The full search yielded 1914 publications. Of these, 685 duplicates and a further 1181 irrelevant references were removed. When inclusion criteria were applied to the remaining 48 publications, 37 were excluded for not meeting these, including 1 meta-analysis, with an additional 1 removed due to unavailability and another 1 removed as it was non-English language. The remaining 9 papers were included in the review, and references of these were

1 A systematic review on the relationship between self-esteem and interrogative suggestibility
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3 hand-searched but yielded no additional results. No minimum quality threshold was set and
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5 this was taken into account during analysis. Figure 1 demonstrates the selection process.
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9 10 **3.2 Characteristics of included studies**

11 The characteristics and findings of all the studies in this review are summarized and
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13 arranged according to measures of self-esteem and suggestibility in Table 1. Each study is
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15 numbered in superscript in the Table and referred to by their study number in the synthesis.
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18 The number of participants considered within this review of nine studies is 631
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20 ($M=70.1$, range=30–120), with all studies treated as having separate participants. Of these
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22 631, 73 cases did not meet the inclusion criteria of this review, with one participant group
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24 falling below 10 years old⁵ and another having Autistic Spectrum Disorder (ASD)⁶. Both of
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26 these studies were included in this review due to additional appropriate participant groups
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28 which were clearly identified and whose data was analysed separately from those who could
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30 not be included. The actual number of included participants in this study is therefore 558
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32 ($M=62.0$, range=30–120) and data synthesis is based only on these participants. Only one of
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34 the nine studies involved a sample of young people with a mean age under 18 years old⁵.
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38 As some researchers have been involved in more than one of the studies included,
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40 with similar recruitment methods and locations, it is possible there may have been some
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42 overlap of participants^{1,2,8,9}. At most, 78 of the participants (14.0%) may have taken part in
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44 more than one study. It was not possible to identify the degree of overlap therefore all
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46 included studies were treated as separate studies.
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49 Four studies did not contain include enough participants for sufficient statistical
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51 power^{1,4,8,9}. Samples also tended to be drawn from a specific population (for example,
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53 undergraduate students, nurses) affecting the applicability of their results to wider
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3 populations. The countries where studies took place included the UK (n=7), the USA (n=1)
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5 and Estonia (n=1).
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7 Five (55.6%) of the nine studies^{3,4,7,8,9} reviewed were of a cross-sectional design, and
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9 involved the examination of the relationship between self-esteem and suggestibility within a
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11 defined population at one point in time. Four (44.4%) of the studies^{1,2,5,6} were of case-control
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13 design, comparing the level of suggestibility between individuals with differing levels of self-
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15 esteem. The majority of studies³⁻⁹ (n=7) adopted a correlational approach, with the remaining
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17 studies¹² using ANOVA to make a comparison of means.
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21 Only one of the nine studies⁶ investigated only self-esteem and suggestibility, whilst
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23 the other eight considered additional factors such as interviewer behaviour or the impact of
24
25 negative life events. Eight of the nine studies^{1,2,3,4,5,7,8,9} used the Gudjonsson Suggestibility
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27 Scale (Gudjonsson, 1984, 1997) to measure suggestibility. The only other measure used was
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29 created specifically for the study in question⁶, and calculated suggestibility scores based on
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31 incorrect responses to (mis)leading questions.
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34 35 36 **3.3 Quality of included studies**

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38 The predominant use of cross-sectional design and correlational analysis within the
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40 included studies meant that no causal relationships between self-esteem and suggestibility
41
42 were established. Conclusions drawn, therefore, could only be with regard to an association
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44 between self-esteem and suggestibility. The methodological aspects of the included studies
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46 are summarized in Table 2.
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50 Whilst all of the studies included a clear operational definition of suggestibility, only
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52 one study clearly defined self-esteem⁶. Several studies included small sample sizes^{1,4,8,9}, and
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54 the lack of consideration for additional background factors and demographics reduce the
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56 ability to generalize findings beyond the original populations tested and establish a real
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3 association (or lack of such) between self-esteem and suggestibility. With regard to
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5 measurement, the assessment of both self-esteem and suggestibility appears to have been
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7 carried out consistently within studies, and in the majority of studies the same psychometric
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9 assessments have been used. There is, however, a heavy reliance on self-report in the
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11 measurement of self-esteem and this is not validated by objective observations or
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13 independent raters. This is, perhaps, more of a critique of available measures rather than of
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15 the studies themselves, but might be thought to affect the overall quality of their findings.
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17 The Gudjonsson Suggestibility Scales (GSS), both the original (Gudjonsson, 1984) and
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19 revised version (Gudjonsson, 1997) as well as the parallel form (Gudjonsson, 1997) have
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21 been used in all but one of the included studies. The GSS possesses a robust and rigorous
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23 research base and relies on a carefully constructed theoretical underpinning. However, there
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25 is a relatively small amount of independent research into the various aspects of validity and
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27 reliability of the tool. There are some difficulties with score interpretation, notably the large
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29 standard errors and lack of classifications with regard to clinically significant scores. Some
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31 flaws in the design are also identified, and particularly in the use of a narrative scenario
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33 which the respondent has not personally experienced and an outcome in which they are not
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35 particularly invested (White & Willner, 2005). These criticisms aside, the widespread use of
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37 the GSS in research might be reflective of practitioners' perceived strength of the assessment.
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39 Within the included studies, blinding of participants and assessors is not clarified and is
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41 therefore for the large part unknown. None of the studies state refusal or attrition rates, and it
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43 is unclear as to whether this is due to no difficulties in this area or lack of reporting.
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52 **3.4 Descriptive data synthesis**

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3 The diversity of the samples, measures employed and divergent design and quality of
4 the included studies made quantitative data synthesis (meta-analysis) unsuitable, and
5 therefore only qualitative data analysis was carried out.
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9 Self-esteem measures differed, with five measures being used across the nine studies.
10 Most prevalent was the use of the Culture-Free Self-Esteem Inventory (CFSEI) (Battle, 1981)
11 in three studies, with the Rosenberg Self-Esteem Scale (Rosenberg, 1965) and the Semantic
12 Differential technique (Osgood, Suci & Tannenbaum, 1957) each being used in two studies.
13 Additional measures included the Behavioural Academic Self Esteem Scale (Coopersmith &
14 Gilberts, 1982) and the Self-Perception Profile for College Students (Neeman & Harter,
15 1986). None of these tools, therefore, have been robustly tested for correlation with
16 suggestibility, and with differences between the measures in terms of process and final
17 outcome, overall conclusions drawn can only be tentative. In contrast, the majority of the
18 studies employed the Gudjonsson Suggestibility Scales (Gudjonsson, 1984, 1997) in the
19 measurement of suggestibility, making these scores directly comparable.
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34 Quality for cross-sectional studies tended to be deemed as 'reasonable'^{4,7,9}, with one
35 deemed as 'high'³ and one deemed 'low'⁸. The highest quality was observed in a study which
36 used the Culture-Free Self-Esteem Inventory (CFSEI), whilst the lowest was observed in a
37 study which used the Semantic Differential technique. For case control studies, quality
38 ranged from 'reasonable'⁶ to 'high'^{1,2,5}. The study identified as having 'reasonable' quality
39 used the Behavioural Academic Self-Esteem Scale (BASE), whilst studies observed to have
40 'high' quality used the (CFSEI) or the Rosenberg Self Esteem Scales.
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50 Most pertinent to the concept of suggestibility in this review is the Yield 1 subscale
51 on the GSS, which measures the effects of (mis)leading questions. Mean score on this
52 subscale ranged from 1.67 to 7.90 (out of 15) over the seven studies which employed the GSS
53 as a measure and used this subscale (only Total suggestibility score was used in Peiffer &
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1 A systematic review on the relationship between self-esteem and interrogative suggestibility
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3 Trull, 2000). The other study⁶ used response to misleading questions as a measure of
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5 suggestibility, and found that these were answered incorrectly at a rate of 52%. Additional
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7 subscales found within the GSS are the Shift, Yield 2 and Total suggestibility. The Shift
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9 subscale measures the extent to which participants change their answers following negative
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11 feedback. Mean scores on this subscale of ranged from 1.72 to 5.50 (out of 20). The Yield 2
12
13 subscale measures the extent to which participants yield to misleading questions following
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15 negative feedback, and mean scores ranged from 1.31 to 8.10 (out of 15) within the five
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17 studies which included this subscale. Total suggestibility represents an overall score,
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19 calculated by summing Yield 1 and Shift scores. Within this review, seven studies included
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21 this subscale, with mean scores ranging from 3.36 to 13.60 (out of 35). No guidance is given
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23 within the GSS manual (Gudjonsson, 1997) for what constitutes an elevated score, but using
24
25 the rule of more/less than one standard deviation from the mean, norms are shown in Table 3
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27 with mean and standard deviation scores taken from the manual (Gudjonsson, 1997). This
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29 table also shows the mean scores on each of the subscales found within this review.
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34 Mean suggestibility scores on each of the subscales within the GSS for the studies
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36 within this review fall within one standard deviation of the mean for adults in the general
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38 population. These scores also fall within (and often less than) one point of the mean scores
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40 given within the GSS manual. This suggests that the overall sample included within this
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42 review is comparable in terms of level of suggestibility to the normed sample for the GSS.
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45 Mean self-esteem scores are not comparable between publications in this review due
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47 to the diverse nature of the measures used and the designs of the studies.
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49 Two of the case control studies⁵⁶ included only one group of participants (controls)
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51 who met the inclusion criteria for this review. The data extracted from these studies was
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53 therefore analysed alongside data from the cross-sectional studies in terms of correlations.
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55 Data from the groups who did not meet the inclusion criteria for this review (in the first of
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3 these studies the cases group was too young, in the second the cases group all had a Learning
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5 Disability) were not analysed in this study.

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7 Three of the five cross-sectional studies^{4,8,9} and one of the four case control studies⁶
8
9 found a significant correlation between self-esteem and at least one aspect of suggestibility.
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11 Two more case control studies¹² found a main effect of self-esteem on at least one aspect of
12
13 suggestibility. Remaining studies found no significant correlation between self-esteem and
14
15 any aspect of suggestibility (Yield 1, n=4; Yield 2, n=2; Shift, n=3; Total suggestibility, n=4).

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17 In terms of response to misleading questions, significant correlations (at the $p < .05$
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19 level) were found in three studies. One of these studies⁶ was the only in this review involving
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21 children (aged under 18 years) and found a correlation coefficient of .79 for the relevant
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23 sample (aged 10-11 years). Dancy and Reidy (2004) offer a rule-of-thumb for strengths of
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25 correlation (zero=0; weak=0.1 - 0.3; moderate=0.4 - 0.6; strong=0.7 - 0.9; perfect=1) and this
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27 coefficient might therefore be regarded as strong.
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31 The Yield 2 subscale measures response to misleading questions following negative
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33 feedback. One cross-sectional study⁴ found a significant correlation ($p < .05$) between this
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35 aspect of suggestibility and self-esteem, with a correlation coefficient of -.32 (weak negative
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37 correlation). This suggested that as self-esteem decreased, response to misleading questions
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39 following negative feedback increased.
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43 Two further studies^{8,9} found significant correlations between self-esteem and response
44
45 to misleading questions, with both using the Semantic Differential technique. Factor analysis
46
47 used in both of these studies revealed slightly different components contributing to self-
48
49 esteem, although there was some overlap. Response to misleading questions was significantly
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51 correlated ($p < .05$) with the 'Competence' (correlation coefficients .59 and .66) and 'Potency'
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53 (correlation coefficient .51 and .40) aspects of self-esteem. As the perceived distance between
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55 self and experimenter increased, so too did the level of suggestibility. Similar findings were
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1 A systematic review on the relationship between self-esteem and interrogative suggestibility

2
3 also presented between the Shift subscale and Total suggestibility subscale in terms of these
4
5 dimensions of self-esteem.

6
7 Two case control studies^{1,2} found a main effect of self-esteem on the Shift subscale of
8
9 the GSS at the $p < .05$ level. The first of these additionally found a significant main effect of
10
11 self-esteem on the Yield 1, Yield 2 and Total suggestibility subscales at the $p < .001$ level,
12
13 with lower self-esteem being associated with higher suggestibility.
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16 Studies which reported at least one significant correlation between an aspect of self-
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18 esteem and an aspect of suggestibility were deemed to be of 'low' quality (n=1), 'reasonable'
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20 quality (n=3) or 'high' quality (n=2). Studies which found no significant correlations were
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22 deemed as 'reasonable' quality (n=1) or 'high' quality (n=2).
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27 **4. Discussion**

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29 The main aims of this systematic review were to comprehensively explore the
30
31 association between self-esteem and suggestibility, with regard to whether a correlative
32
33 relationship exists and, if so, the nature of this (positive or negative). In contrast to previous
34
35 reviews, the current review takes a systematic approach. In addition, it focusses on the role of
36
37 suggestibility within interviews for police suspects, and as such includes studies relating to
38
39 those at or above the age of criminal responsibility for England and Wales (over 10 years)
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41 rather than on children specifically. This systematic literature review sought to focus on only
42
43 one specific factor, self-esteem, in an effort to explore whether a revision of the currently
44
45 recognised factors for vulnerability of age, learning disability and mental health difficulty
46
47 should be extended to encompass more obscure factors such as self-esteem.
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52 Only nine studies were found to research this area directly after inclusion criteria were
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54 applied. The bias generated by the proportion of studies originating from the UK
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1 A systematic review on the relationship between self-esteem and interrogative suggestibility
2
3 (encompassing 74% of participants) means that the conclusions drawn from this review can
4
5 only be tentatively applied to setting and practices in other countries.
6

7 Of interest in this review was the association between self-esteem and interrogative
8
9 suggestibility in a 'typical' population – that is, a population possessing none of the factors
10
11 currently considered as strongly related to suggestibility and which appear in the Home
12
13 Office (2014) guidance. This includes age, learning disability and mental health issues
14
15 (Gudjonsson, 1988; Tully and Cahill, 1984; Warren, Hulse-Trotter and Tubbs, 1991; Redlich,
16
17 1999;). Mean scores on each of the GSS subscales were calculated overall, and suggested that
18
19 the total sample included in this review closely reflected that of the general adult population
20
21 used to calculate means and standard deviations for the scales themselves (reported in
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23 Gudjonsson, 1997).
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27 Reviewed publications demonstrated mixed findings, with some aspects of
28
29 suggestibility, most notably the response to misleading questions, being significantly
30
31 associated with suggestibility whilst others showed no significant correlations. Findings were
32
33 not consistent between studies, and the ability of researchers to explore these as a whole was
34
35 limited by the vast differences in self-esteem measures employed. Whilst there is some
36
37 evidence for an association between the two concepts, this is far from definitive and further
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39 specific research is certainly required to develop the understanding of the relationship
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41 between them.
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48 **4.1 Methodological limitations of included studies**

49 **4.1.1 Definition and measurement of self-esteem**

50 Many of the studies included lacked definition of self-esteem. Self-esteem as a
51
52 concept can vary widely depending on the assessment measure or focus. Indeed, some
53
54 psychometric assessments have gone as far as to specify areas of self-esteem within different
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1 A systematic review on the relationship between self-esteem and interrogative suggestibility
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3 settings, such as the Culture-Free Self-Esteem Inventory (CFSEI-3; Battle, 2002) child
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5 version, which considers academic, general, parental/home, social and personal self-esteem
6
7 as aspects contributing to overall (or 'global') self-esteem. With this in mind, a clear
8
9 operational definition of self-esteem is of great importance when considering the reach of the
10
11 results and in applying these to other contexts. Of note might be the relationship between the
12
13 individual subscales or aspects of self-esteem with suggestibility, and further research might
14
15 reveal a more significant association between, for example, personal or social self-esteem and
16
17 interrogative suggestibility.
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21 Included studies used a wide range of self-esteem measures. Although the majority of
22
23 these were self-report, these were not consistent in terms of the aspects of self-esteem
24
25 measured. One third of the studies stated use of the Culture-Free Self-Esteem Inventory
26
27 (CFSEI) (Battle, 1981), although an updated version (Battle, 2002) exists. Given the date of
28
29 these studies (Baxter, Jackson & Bain, 2003; Bain, Baxter & Fellowes, 2004; Drake, Bull &
30
31 Boon, 2008) it is possible that the more recent version of this psychometric was used. The
32
33 CFSEI, which provides a measure of a self-esteem across a number of dimensions and has
34
35 been validated for use across a wide range of client groups, might be the most appropriate
36
37 tool for use in relation to such research. The various dimensions of self-esteem measured by
38
39 this psychometric as well as the Global Self-Esteem Quotient could be compared directly
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41 with the subscales of the GSS to provide further analysis of the relationships between each of
42
43 these, and potentially highlight specific areas in which to provide support or intervention to
44
45 potentially reduce an individual's suggestibility at a given point in time.
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50 The Rosenberg Self-Esteem Scale (Rosenberg, 1965) was used in two of the
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52 publications, although one of these was the Estonian version, validated for use by Pullmann
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54 and Allik (2000). Both of these scales allow researchers to calculate an overall score of self-
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56 esteem, measured across a variety of contexts and behaviours.
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1 A systematic review on the relationship between self-esteem and interrogative suggestibility

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3 In contrast, the Semantic Differential technique used in two of the studies
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5 (Gudjonsson & Lister, 1984; Singh & Gudjonsson, 1984) requires participants to rate their
6
7 self-perceptions and perceptions of the experimenter, with scores calculated from the distance
8
9 between these concepts. Although the Semantic Differential technique has been used to
10
11 measure self-esteem in other studies (Julian, Bishop & Fiedler, 1966; Franks & Marolla,
12
13 1976; Tafarodi & Swann, 1995), this has tended to be a calculation of the difference between
14
15 *How I am generally* and *How I would like to be*, rather than a measure of distance between
16
17 self and (identified) others. It is arguable as to whether the Semantic Differential technique,
18
19 as used in the two studies included in this review, is an accurate measure of self-esteem or
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21 whether differences in self-perceptions and perceptions of the experimenter might be
22
23 attributed to other factors.
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30 **4.1.2 Measurement of suggestibility**

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32 The majority of the studies employed the Gudjonsson Suggestibility Scales
33
34 (Gudjonsson, 1984, 1997) in the measurement of suggestibility. Whilst alternative versions
35
36 were used (the original version, the revised version, or the parallel version) by different
37
38 publications, the process and scoring remains consistent between these and they have been
39
40 demonstrated to be psychometrically similar in terms of internal consistency (Gudjonsson,
41
42 1984; Gudjonsson, 1992) and inter-rater reliability (Richardson & Smith, 1993; Clare,
43
44 Gudjonsson, Rutter & Cross, 1994) and correlations between the two measures have been
45
46 acceptable ($>.70$) (Gudjonsson, 1987b).
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50 The GSS does, however, possess some limitations. Research has indicated that
51
52 interviewer behaviour can have a significant effect on suggestibility scores (Bain and Baxter,
53
54 2000; Baxter and Boon, 2000; Baxter, Boon and Marley, 2006) and, with the exception of
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56 two studies that directly investigated this issue, this was not controlled for in the majority of
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1 A systematic review on the relationship between self-esteem and interrogative suggestibility
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3 the included studies. There is also a lack of clarity in the GSS manual in terms of score
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5 interpretation, and this reduces the ability of researchers to clarify whether an elevated score
6
7 is a problematic score (i.e. clinical significance).
8

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10 The GSS notes within its guidance the importance of participants being blind to the
11 true purpose of the assessment. Conceptually, if a participant knew they were being asked
12 misleading questions and given (inaccurate) negative feedback, this would affect their
13 performance within each of these domains. The majority of the include studies were unclear
14 as to whether participants were blind to the aims and purpose of the studies. If this were not
15 the case, serious questions about the validity of the results would be raised in terms of the
16 suggestibility scores.
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27 **4.2 Limitations of the current review**

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29 The current inclusion criteria identified papers which studied suggestibility
30 specifically, and on this basis a number of studies were excluded as they studied interrogative
31 compliance instead. These two structures, whilst notably different, have been found to be
32 significantly associated (Gudjonsson, 1989). A further review might therefore be appropriate
33 specifically investigating the association between self-esteem and compliance.
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40 Findings of this review, whilst comprehensive in terms of available publications, are
41 also restricted by methodological and design limitations inherent in the reviewed studies. A
42 limitation of this review developed from the methods used to measure self-esteem in the
43 included publications. With a diverse range of self-esteem measures available, very few of
44 the studies were directly comparable. In contrast, very few instruments are available which
45 directly measure suggestibility, and therefore the majority of publications had made use of
46 the GSS. Whilst this made study results comparable, weaknesses inherent within the GSS
47 limit the findings of the review.
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4.3 Conclusions and recommendations

The lack of consistency between findings makes interpretation of these studies difficult, and therefore no firm conclusions can be drawn as to whether self-esteem and suggestibility are significantly associated. Further research in this area which utilizes larger and more representative samples as well as remaining consistent (or at least comparable) in terms of self-esteem measures may provide further insight and clarification.

Self-esteem can be seen as multidimensional in nature, and the measurement of its individual aspects, such as those provided by the CFSEI, offer an opportunity for researchers to carefully evaluate any differences in the relationships between each of these and suggestibility. However, the existing and ongoing debate regarding the definition of self-esteem requires that significant caution be employed in drawing together the findings of separate studies to develop an overall hypothesis about the relevance and relationship of this concept to suggestibility. Research papers in this area which provide a clear and specific definition of self-esteem should be encouraged to enable direct comparisons to be drawn in a more substantial and reliable way.

Traditionally, the GSS is not used specifically to inform police interviews in England and Wales, although may sometimes be used to inform Court proceedings if a suspect is charged with an offence. Time constraints inherent within the judicial system, and particularly with regard to the length of time suspects may be held in police custody, restrict the opportunity for expert opinion about a suspect's potential vulnerability to suggestibility to be sought. The GSS, as an instrument only to be used by specifically qualified professionals, is thus unsuitable for use by either police custodial staff or by (the majority) of Appropriate Adults. However, the GSS does to some extent accurately reflect the circumstances of police interview, where they are asked to recall events and then answer specific questions about

1 A systematic review on the relationship between self-esteem and interrogative suggestibility
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3 their narrative, and is therefore suitable for use in research scenarios considering the impact
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5 or association of individual factors in relation to suggestibility in investigative interviewing.
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7 Interrogative suggestibility is a key issue in the interviewing of both police suspects
8
9 and witnesses. Whilst some measures have been taken to reduce the incidence of false
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11 confessions from suspects, such as the introduction of the Appropriate Adult role, these are
12
13 often only applied where suspects are considered vulnerable due to age, intelligence (learning
14
15 disability) or the presence of mental health issues. With a developing body of research into
16
17 the area of interrogative suggestibility, there is an increasing number of emerging factors.
18
19 Should further factors be identified as strongly related to suggestibility, the current practices
20
21 of the police with regards to the identification of 'vulnerable' suspects and the consequential
22
23 provision of Appropriate Adults might be questioned. Preliminary steps towards an
24
25 extension of the definition of 'vulnerable', initially by providing additional training to
26
27 appropriate professionals within the custody environment in order to better identify those
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29 with difficulties, might be beneficial in managing this continuing problem. Further reviews
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31 summarising the wide research base of other emerging factors may also be a positive step
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33 towards change in this area.
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Table 1. Summary of included studies

Authors and year of study [study type]	Sample details	Exposure measures	Outcome measures	Findings	Quality assessment
Self-esteem measured by Culture-Free Self-Esteem Inventory (Battle, 1981)					
Baxter, Jackson and Bain (2003) ¹ [case control]	N = 48 (14 male, 34 female) Age (years): <i>M</i> =19.12, <i>SD</i> =1.68, range=17-23 All undergraduate psychology students. 200 participants initially recruited. 168 of these responded. The 24 participants with the highest and lowest self-	Culture-Free Self-Esteem Inventory (CFSEI) (Battle, 1981). Participants allocated to either 'abrupt' or 'friendly' interviewer group.	Gudjonsson Suggestibility Scales ⁱⁱ (GSS) (Gudjonsson, 1997).	Yield 1: $F(1,44)=32.3, p<.001$ Yield 2: $F(1,44)=19.2, p<.001$ Shift: $F(1,44)=12.1, p<.001$ Total suggestibility: $F(1,44)=28.7, p<.001$ An interaction effect was found between self-esteem and interviewer behaviour for Yield 2 score ($F(1,44)=6.4, p=.015$) and Shift score ($F(1,44)=8.9, p=.004$). Participants with low self-esteem obtained lower scores on these two subscales in the friendly conditions, and higher on these subscales in the abrupt condition (and vice versa for high self-esteem participants).	High

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3 esteem were selected for

4 this study.

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8 Bain, Baxter and N = 120 Culture-Free Self-Esteem Gudjonsson Suggestibility Main effect of self-esteem only on Shift High
9 Fellowes (2004)² (33 male, 87 female) Inventory (CFSEI) Scalesⁱⁱ (GSS) scores ($F(1,112)=4.3, p<.05$). Participants
10
11 (Battle, 1981). (Gudjonsson, 1997). with low self-esteem made significantly
12
13 [case control] Age (years): more shifts than those with higher levels of
14 $M=20.12, SD=4.96,$ Participants allocated to self-esteem.
15 range=16-47 either 'abrupt' or
16
17 'friendly' interviewer
18
19 group. Participants also
20 All first-year allocated to either
21 undergraduate
22 psychology students. 'warning' or 'no warning'
23 group
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28 450 participants initially
29 recruited. 387 of these
30 responded. The 60
31 participants with the
32 highest and lowest self-
33 esteem were selected for
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39 this study.
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Drake, Bull and	N = 60	Culture-Free Self-Esteem	Gudjonsson Suggestibility	Yield 1: $r = -.103, p > .05$	High
Boon (2008) ³	(27 male, 33 female)	Inventory (CFSEI)	Scales ⁱⁱ (GSS)	Yield 2: $r = -.084, p > .05$	
		(Battle, 1981).	(Gudjonsson, 1997).	Shift: $r = -.202, p > .05$	
[cross-sectional]	Age (years):			Total suggestibility: $r = -.199, p > .05$	
	$M = 26.9, SD = 11.32,$				
	range = 18-65				
	“Randomly selected”				
	but no details as to how.				
	Participants from a				
	variety of occupations.				
Self-esteem measured by Rosenberg Self-Esteem Scale (Rosenberg, 1965)					
Numoja and	N = 61	(Estonian) Rosenberg	Gudjonsson Suggestibility	Yield 2: $r_s = -.32, p < .05$	Reasonable
Bachmann	(20 male, 41 female)	Self-Esteem Scale	Scales ⁱⁱⁱ (GSS 2)	Shift: $r_s = -.29, p < .05$	
(2008) ⁴		(ERSES) (Pullman &	(Gudjonsson, 1997).		
	Age (years):	Allik, 2000).		Free recall: $r_s = .08, p > .05$	
[cross-sectional]	$M = 20.6, SD = 2.98,$			Yield 1: $r_s = -.19, p > .05$	
	range = 18-35			Total suggestibility: $r_s = -.24, p > .05$	
	Undergraduate students				

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	from different				
	universities in one area				
	of Estonia.				
Maras and	N = 62	Rosenberg Self-Esteem	Gudjonsson Suggestibility	ASD group:	High
Bowler (2012) ⁵		Scale (Rosenberg, 1965).	Scales ⁱⁱⁱ (GSS 2)	Yield 1: $r_s = -.26$	
	ASD: n = 32		(Gudjonsson, 1997).	Yield 2: $r_s = -.23$	
[case control]	(24 male, 8 female)			Shift: $r_s = -.01$	
				Total suggestibility: $r_s = .13$	
	No-ASD: n = 30				
	(22 male, 8 female)			No-ASD group:	
				Yield 1: $r_s = .34$	
				Yield 2: $r_s = .18$	
				Shift: $r_s = .23$	
				Total suggestibility: $r_s = .29$	
				None significant at the $p < .05$ level.	
	Self-esteem measured by Behavioural Academic Self Esteem Scale (Coopersmith & Gilberts, 1982)				
Vrij and Bush	N = 97	Behavioural Academic	% of incorrect responses	Overall: $r(97) = -.78, p < .01$	Reasonable
(2000) ⁶		Self Esteem Scale	to four (mis)leading		
	Group 1: aged 5-6	(BASE) (Coopersmith &	questions.	Group 1: $r(41) = .75, p < .01$	

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[case control] (n = 41) Gilberts, 1982) rated by
 (20 male, 21 female) the teacher of the Group 2: $r(56)=-.79, p<.01$
 participant.
 Group 2: aged 10-11
 (n = 56)
 (22 male, 34 female)
 Children from one
 school. Causasian,
 middle class
 background.
 Self-esteem measured by the Self-Perception Profile for College Students (Neeman & Harter, 1986)
 Peiffer and Trull N = 103 Self-perception Profile for Gudjonsson Suggestibility Total suggestibility: $r=.04, p>.05$ Reasonable
 (2000)⁷ Females only. College Students (SPCS) Scalesⁱⁱ (GSS)
 (Neeman & Harter, 1986). (Gudjonsson, 1997).
 [cross-sectional] Age (years):
 $M=19.50, SD=.83$
 All participants single
 and students from one

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3 course at a university.

4 Ethnicity:

5 White (91.4%), African

6 American (3.8%)
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12 Self-esteem measured by the Semantic Differential technique (Osgood et al., 1957)

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14	Singh and	N = 30	Semantic Differential	Gudjonsson Suggestibility	<i>Myself generally:</i>	Low
15						
16	Gudjonsson	(15 male, 15 female)	technique (Osgood et al.,	Scales ¹ (GSS)	'Competence' & Yield (immediate): $r=.66$;	
17	(1984) ⁸		1957).	(Gudjonsson, 1984).	$p<.001$	
18						
19						
20		All nurses in a			'Competence' & Total (immediate): $r=.64$;	
21					$p<.001$	
22	[cross-sectional]	psychiatric hospital.				
23						
24					'Competence' & Yield (week delay): $r=.45$;	
25					$p<.05$	
26						
27					'Competence' & Total (week delay): $r=.40$;	
28					$p<.05$	
29						
30						
31						
32						
33					<i>Myself during experiment:</i>	
34						
35					'Potency' & Yield (immediate):	
36						
37					$r=.40$; $p<.05$	
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39					'Potency' & Shift (immediate):	
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41					$r=.37$; $p<.05$	
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'Potency' & Total (immediate):
 $r=.50; p<.01$

Experimenter:
'Potency' & Shift (week delay):
 $r=-.31; p<.05$

Gudjonsson and Lister (1984) ⁹	N = 50 (25 male, 25 female)	Semantic Differential technique (Osgood et al., 1957).	Gudjonsson Suggestibility Scales ¹ (GSS) (Gudjonsson, 1984).	Males: Competence & Yield: $r=.59; p<.001$ Evaluative & Yield: $r=.09; ns$ Potency & Yield: $r=.51; p<.01$ Competence & Shift: $r=.62; p<.001$ Evaluative & Shift: $r=.32; ns$ Potency & Shift: $r=.16; ns$ Competence & Total: $r=.75; p<.001$ Evaluative & Total: $r=.23; ns$ Potency & Total: $r=.48; p<.01$	Reasonable
[cross-sectional]	Age (years): Male: $M=26.2$ $SD=10.2$ Female: $M=34.2$ $SD=13.9$	From variety of occupations.		Female:	

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3 Competence & Yield: $r=.31$; ns

4 Evaluative & Yield: $r=.17$; ns

5 Potency & Yield: $r=.01$; ns

6 Competence & Shift: $r=-.05$; ns

7 Evaluative & Shift: $r=.08$; ns

8 Potency & Shift: $r=-.05$; ns

9 Competence & Total: $r=.21$; ns

10 Evaluative & Total: $r=.13$; ns

11 Potency & Total: $r=-.19$; ns

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20 ⁱ Original version of the GSS

ⁱⁱ Revised version of the GSS

ⁱⁱⁱ Parallel version of the GSS

Table 2. Quality of included studies

Study	Study type	Clear definition of self-esteem	Clear definition of suggestibility	Adequate total sample	Measures used for self-esteem adequate	Measures used for suggestibility adequate	Assessor blinding	Participant blinding	Measurement consistent across participants	Confounding factors dealt with	Missing information dealt with	Appropriate statistical analysis
Baxter, Jackson & Bain (2003)	Case control	P	Y	P	Y	Y	U	U	Y	U	U	Y
Bain, Baxter & Fellowes (2004)	Case control	P	Y	Y	Y	Y	U	U	Y	U	U	Y
Drake, Bull & Boon (2008)	Cross-sectional	N	Y	U	Y	Y	U	Y	Y	U	U	Y
Numoja & Bachmann (2008)	Cross-sectional	N	Y	Y	Y	Y	U	Y	Y	U	U	Y
Maras & Bowler (2012)	Case control	N	Y	Y	Y	Y	U	U	Y	U	U	Y
Vrij & Bush	Case	Y	Y	N	Y	Y	Y	Y	Y	U	U	Y

1													
2	(2000)	control											
3													
4													
5	Peiffer &	Cross-											
6			P	Y	Y	Y	Y	U	U	Y	U	U	Y
7	Trull (2000)	sectional											
8													
9	Singh &	Cross-											
10													
11	Gudjonsson	sectional	N	Y	N	N	Y	N	Y	Y	U	U	Y
12													
13	(1984)												
14	Gudjonsson	Cross-											
15													
16	& Lister	sectional	P	Y	U	N	Y	U	Y	Y	U	U	Y
17													
18	(1984)												

Y = Yes

N = No

P = Partial

U = Unclear

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Table 3. Mean and standard deviation scores on the GSS and for total participants within this review

GSS subscale	GSS norms			Current review
	<i>M</i>	<i>SD</i>	Normal range	<i>M</i> (no. of studies)
Immediate recall	21.3	7.1	14.2 – 28.4	20.8
Yield 1	4.6	3.0	1.6 – 7.6	3.8
Yield 2	5.6	3.8	1.8 – 9.4	4.7
Shift	2.9	2.5	0.4 – 5.4	3.5
Total suggestibility	7.5	4.6	2.9 – 12.1	7.3

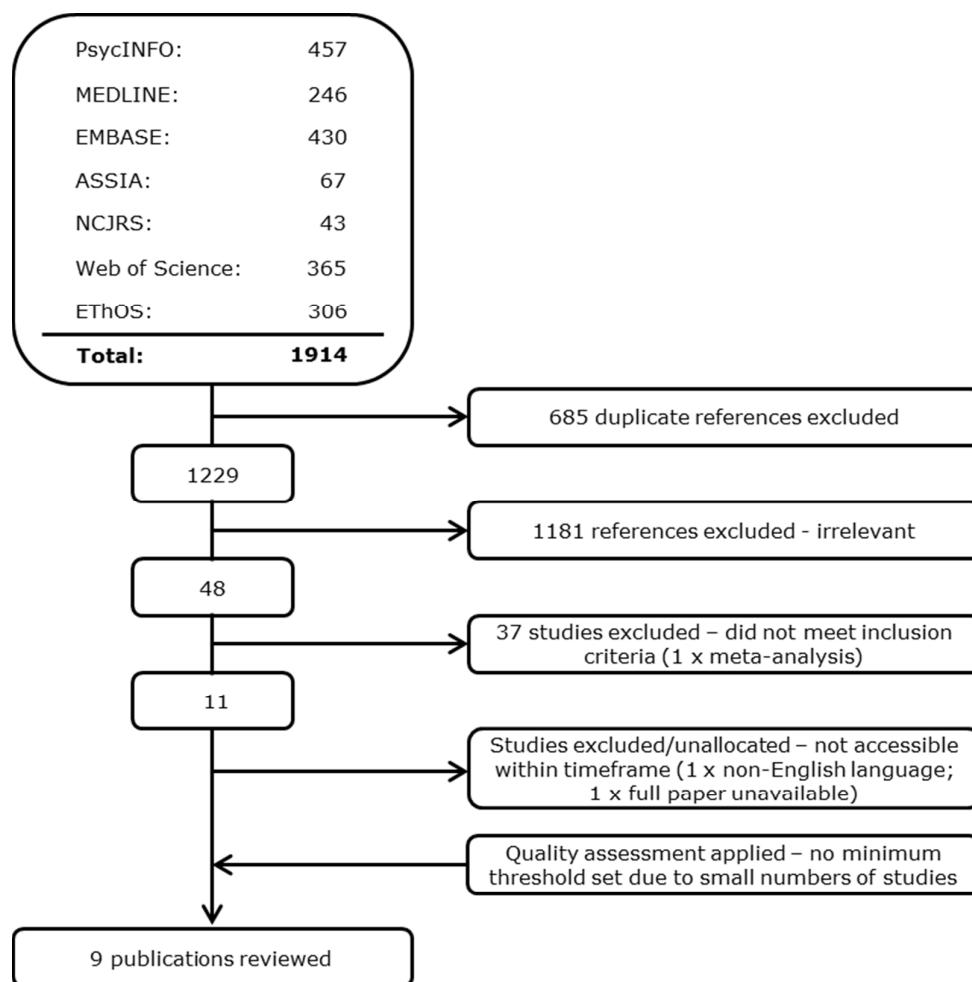


Fig 1. Study selection process