- STUDY OF INTRAGASTRIC STRUCTURING ABILITY OF SODIUM ALGINATE BASED O/W
- 2 EMULSIONS UNDER IN VITRO PHYSIOLOGICAL PRE-ABSORPTIVE DIGESTION CONDITIONS
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- Running title: Structured alginate o/w emulsions for satiety

ABSTRACT

 In the present work, the intragastric structuring ability of o/w emulsions either stabilised (1-4% w/w of 27 sodium alginate (SA)) or structured with sheared ionic gel (1-3% w/w of SA crosslinked with Ca<sup>2+</sup>) in 28 the absence (saliva and gastric phases constituted of deionised water) or presence of in vitro pre- absorptive conditions (physiological simulated saliva and gastric fluids) was investigated. Visualisation of the morphological aspects of the gastric chymes, in the absence of multivalent counterions, demonstrated that SA stabilised systems underwent a remarkable swelling in the pH range of 2-3, whilst at the same pH range, ionic SA gel structured systems maintained their major structure configuration. When the aforementioned systems were exposed to physiological intragastric fluids, a reduction of length and the hydrodynamic volume of the alginate fibres was detected regardless the structuring approach. On their exposure to physiological intragastric conditions (pH = 2), SA stabilised emulsions underwent sol-gel transition achieving ca. 3- to 4-order increase of storage modulus (at 1 Hz). In the case of ionic sheared gel structured emulsions, exposure to physiological intragastric fluids resulted in a 10-fold reduction ability of their acid structuring ability, most likely due to the dialysis of egg-box dimer conformations by monovalent cations and protons and the sterical hindering of hydrogen bonding of MM and GG sequences under acidic conditions. Using of non-physiological simulated intragastric fluids was associated with overestimated structuring performance of SA regardless its physical state. Keywords: acid gelation; ionotropic gelation, rheology; gastric fluids; pre-absorptive digestion conditions

1. INTRODUCTION

 Over the last two decades an alarming increase of obesity rates and obesity-associated chronic health complications has been observed: these include type II diabetes, cardiovascular disease, stroke, hypertension, obstructive sleep apnoea and several forms of cancer e.g. postmenopausal breast, colorectal adenoma, endometrial and kidney cancer (Lavie, Milani, & Ventura, 2009; Vigneri, Frasca, Sciacca, Frittitta, & Vigneri, 2006). Obesogenic lifestyle conditions are mainly diet and physical activity driven, and solutions related to eating behaviour, control of food intake via satiety enhancement and suppression of appetite have been under increasing research interest over recent years. In general, satiety is recognised as a neurobiological-physiological construct involving food choice and intake based on orosensory (cross-modally perceived food quality), pre-absorptive (gastric stretching and emptying, suppression of digestive enzymes, conformational changes of the food matrix) and post- absorptive (macronutrients absorption, modification of microbiota and gut biomarkers) factors (Benelam, 2009). Furthermore, it is well established that macronutrients such as proteins and polysaccharides play a prominent role at regulating satiety post-absorption (Brownlee, 2011; Chambers, McCrickerd, & Yeomans, 2015; Fiszman & Varela, 2013). From a mechanistic point of view, polysaccharides can modulate satiety signalling via several non- absorptive routes such as prolongation of orosensory exposure and modification of sensory perception patterns associated with satisfaction (Morell, Fiszman, Varela, & Hernando, 2014; Tárrega, Martínez, Vélez- Ruiz, & Fiszman, 2014), intragastric structuring (Fiszman & Varela, 2013), suppression of the

gastric and intestinal enzymatic activity (Brownlee, 2011; Houghton et al., 2015), and reduction of



 targeting nutraceutical applications including satiety modulation, specifically sodium alginate. The 84 aforementioned digestion protocols were assessed in sodium alginate stabilised and Ca<sup>2+</sup> sheared gel structured o/w emulsions.

## 2. MATERIALS AND METHODS

2.1 Materials

 Low viscosity sodium alginate (250cP, 2% in water at 25°C, M/G ratio = 1.6, mannuronic to guluronic 89 acid content 61-31, Mw = 1.43 x 10<sup>-5</sup> g mol<sup>-1</sup>), Tween 80, calcium carbonate, δ-glucono-lactone, porcine pepsin (≥ 250 U/mg) were purchased from Sigma Aldrich (Leuven, Belgium). All other chemicals, unless otherwise stated, were from the same supplier and of analytical grade quality. Sodium alginate was used for the preparation of o/w emulsions without further purification. Canola oil (Mazola, Bekkevoort, Belgium) was obtained from the local market.

94 2.2 Preparation of the sodium alginate based solutions and  $Ca<sup>2+</sup>$  mediated hydrogels

 Sodium alginate was dispersed into 400 mL deionised 18 MΩ (Millipore, USA) water (2-8% w/w), heated at 75°C and left to fully dissolve and hydrate overnight. A small amount of sodium azide (0.002% w/w) was added to prevent microbial spoilage. Two hundred mL aliquots of sodium alginate solutions (2-6% 98 w/w) were mixed with CaCO<sub>3</sub> in order to achieve a final concentration of 15, 30 and 45 mM as previously reported by Fernández Farrés & Norton (2014). The biopolymer solutions were successively ultrasonicated (5 min, 90% amplitude, Hielscher UP200S, GmbH, Teltow, Germany) to ensure uniform distribution of CaCO3. Finally, the solutions were mixed with of δ-glucono-lactone (at a 2:1 GDL to 102 CaCO<sub>3</sub> ratio) to trigger the slow *in situ* release of Ca<sup>2+</sup> ions and kept under agitation at 1000 rpm using 103 a paddle stirrer for 6h. The obtained sodium alginate solutions and sheared gels were stored overnight

- 104 at ambient temperature  $(20±2°C)$  prior to successive use.
- 105 2.3 Preparation of the o/w emulsion-sodium alginate systems

106 Sub-micron o/w emulsions (6% w/w in oil) were prepared via the spontaneous emulsification method at 107 ambient temperature (20±2°C), as described by Komaiko and McClements (2015). The lipid phase 108 comprising Tween 80 and canola oil at the ratio of 3:7 w/w (kept at ambient temperature under constant 109 agitation for at least 45 min prior to use) was dropwise (ca. 0.5 g/min) added into Millipore water under 110 constant magnetic stirring (1000 rpm) and the obtained o/w emulsion was kept stirring for 10 min. 111 Finally, the obtained o/w emulsions were blended (1:1) with either the sodium alginate solutions or 112 sheared gels resulting in sodium alginate stabilised (1-4% w/w, dissolved state) or structured (1-3% 113 w/w, sheared gel state) o/w emulsions, respectively.

114 2.4 In vitro pre-absorptive digestion of the o/w emulsions

115 The gastric structuring ability under simulated physiological conditions was studied adopting a static 116 standardised *in vitro* model as recently descripted by Minekus et al. (2014). In brief, 10 mL of the model 117 food matrix (o/w emulsions) were transferred with a pipette into 50 mL plastic centrifuge tubes and 118 blended with simulated salivary fluid (SSF) (pH = 7, K+ = 18.8, Na+ = 13.6, Mg<sup>2+</sup> = 0.15, Ca<sup>2+</sup> = 1.5 mM). 119 Then, the oral phase was blended (1:1) with the simulated gastric fluid (SGF) (pH = 2, K<sup>+</sup> = 7.8, Na<sup>+</sup> = 120 72.2, Mg<sup>2+</sup> = 0.1, Ca<sup>2+</sup> = 0.15 mM) and incubated at 37°C for 1h into a shaking water bath (GFL GmbH, 121 Germany) operated at 100 rpm simulating a physiologically achievable antral shear rate (Vardakou et 122 al., 2011). Simulated gastric chyme systems were cooled down to 25 °C and were successively



- 132 geometry (DG 26.7). All measurements were performed at  $25 \pm 0.03$  °C.
- Steady state shear flow measurements applying an upward-downward ramp shear stress ranged from
- 134 0.1 to 200 s<sup>-1</sup> with a 60 s maintenance shear rate step (at 200 s<sup>-1</sup>) to evaluate thixotropic behaviour of
- 135 the sodium alginate containing samples were carried out. Upward ramp shear stress  $(\tau)$  shear rate
- 136 data  $(\dot{y})$  were fitted to a Herschel-Bulkley model:
- 137  $T = T_0 + K\dot{Y}^n$  (1)

138 where:  $\tau_0$  equals the yield stress (Pa), K the consistency coefficient (mPa\*s-n) and n the rheological

behaviour index (dimensionless).

 Strain-sweep measurements were performed on the sodium alginate containing o/w emulsions at 1 Hz to determine the linear viscoelastic region (LVR). The viscoelastic properties of the sodium alginate



3.1 Flow behaviour and thixotropy of the sodium alginate containing o/w emulsions

 As can be seen in Fig. 1 and Table 1 the viscosimetric response of the sodium alginate containing emulsions was significantly influenced by the structure conformational state of the biopolymer molecules present in the bulk aqueous phase. Based on the flow behaviour data, all systems exerted a shear thinning behaviour with pseudoplasticity being more pronounced in the case of ionic sheared gel structured systems. However, no clear impact of the biopolymer concentration on the rheological behaviour index (n) of the prepared emulsions was found. In all cases, ionic sheared gel structured emulsions exhibited a significantly (p<0.001) higher consistency coefficient and apparent viscosity 168 values (the latter was calculated at a shear rate of 50 s<sup>-1</sup> which is indicative of oral shear forces) for the entire concentration range tested in the present work. However, the gap between the stabilised and structured emulsions was diminished when the biopolymer content was increased. Therefore, it may be postulated that the intermolecular entanglement of the sodium alginate chains in the dissolved state is rather restricted leading to the formation of very weak structures that are able to recover almost completely when shear stress is suspended (Ma, Lin, Chen, Zhao, & Zhang, 2014). On the other hand, ionic sheared gel structured emulsions exerted a stronger pseudoplastic (1.5-fold) and thixotropic character (14 to 30-fold) compared to the biopolymer stabilised ones indicating the presence of a biopolymer network due to the 177 aggregation of Ca<sup>2+</sup>-sodium alginate dimer (egg-box like) structures via their inter-clustering bonding (Fernández Farrés & Norton, 2014).

179 3.2 Gastric structuring of the o/w emulsions



 in the dissolved state exerted an uneven morphology described by either jagged thread-like or compact polymeric aggregates (Fig. 2a,b). Mixing of the o/w emulsions with physiologic saliva fluid did not remarkably change their morphological aspects, apart from particle size e.g. thinner polymer cords or 203 finer aggregates were detected. In the case of the  $Ca^{2+}$  sheared gel structured emulsions (Fig. 2c,d) mixed with deionised water, the presence of low width-to-length particles was confirmed which could be attributed to the high viscosity of the continuous phase and the high shear forces imposed during 206 their fabrication (Wolf et al., 2000). The increase of sodium alginate concentration from 1 to 4% w/w did not confer any remarkable modification of the morphology of the detected particles. When the aforementioned structured emulsions were combined with physiological saliva fluids, the detected biopolymer particles exhibited a more swollen – less compact structure which possibly is associated 210 with the rapid exchange of calcium ions by sodium ions, the latter being present in abundance in the 211 simulated saliva fluids. A similar effect on sodium alginate fibres crosslinked with Ca<sup>2+</sup> immersed in 0.9% w/w saline solution, has been reported by Qin (2004). On the increase of the M/G ratio, the fibrous alginate structures underwent a significant decrease in length which is well corroborating to our observations (Qin, 2004). Exposure of the biopolymer stabilised/structured o/w emulsions to acidic conditions resulted in significant morphological changes as fairly illustrated in Fig. 3. Parameters such as the composition and 217 the pH of the simulated gastric juice, the biopolymer concentration as well as its physical state, e.g.

emulsions. Gastric chymes at pH=3 comprising sodium alginate in the dissolved state, exerted a rather

218 dissolved or  $Ca<sup>2+</sup>$  crosslinked, influenced notably the intragastric structuring performance of the o/w

 compact filamentous structure with protruding outstretched polymeric sheets and limited degree of 221 swelling. When the same systems were exposed to a highly acidic environment (pH=2), cloudy-like polymer aggregates indicative of the formation of acid gel particulates were detected. Although the implemented conditions for light microscope do not allow an accurate determination of the phase 224 volume it appears that the hydrodynamic volume of sodium alginate was increased by increasing the biopolymer content and decreasing the pH, as expected. When the same gastric chyme systems were brought to physiological pre-absorptive conditions, a noteworthy size reduction of the structure 227 polymeric elements was detected regardless the pH value (Fig. 3b,d1). Therefore, the observed pH depending reduction of hydrodynamic volume of the gel particulates under the in-vitro gastric conditions could attributed to a fibre contraction and solvent exclusion associated mechanism (Andriamanantoanina & Rinaudo, 2010; Qin, 2004). 231 In the case of Ca<sup>2+</sup> mediated structured emulsions (Fig. 3a,b2) the morphological changes found to be strongly dependent on the composition of the gastric chyme. For gastric chymes comprising solely deionised water, only minor changes in the gastric structuring performance of sheared ionic gels at the herein tested sodium alginate content and pH conditions were observed. This is in agreement with the findings of Fernández Farrés & Norton (2014) who demonstrated that ionic sheared gels acid structuring ability shows a limited responsiveness to intragastric pH conditions. In all cases, the gel particulates

exerted an agglomerated structure configuration consisting of swollen biopolymer filaments. However,

238 when the Ca<sup>2+</sup> gel structured emulsions were subjected to physiological in-vitro pre-absorptive digestion

conditions, the biopolymer gel particles underwent a noticeable structure conformational change



 loose gel primarily formed via the intermolecular junction of the egg-box dimer structures (Fernández Farrés & Norton, 2014).

 Mixing of the emulsions with simulated saliva and gastric fluids inducing a plausible 4-fold increase of the gastric chyme bulk volume, accompanied by a direct acidification at pH=2, led to a diversified intragastric structuring pattern (Fig. 4c,d). When emulsions containing sodium alginate in the dissolved state underwent physiological pre-absorptive digestion conditions, a sol-gel transition was observed (G'>G'') attaining a 3- to 4-order increase of G' values which were comparable to those obtained in the 266 case of initial sheared gel structured emulsions. On the contrary, pre-absorptive digestion of  $Ca<sup>2+</sup>$  sheared gel structured emulsions induced a 10-fold decrease of G' implying that both the dilution factor 268 (4-fold) but also the high monovalent cation (Na+ and K+) concentration affected adversely the mechanical properties of the intragastric formed gels. However, it should be noted that the digested 270 Ca<sup>2+</sup> sheared gel structured emulsions maintained their low frequency independent viscoelastic 271 character as a result of their loose gel-like structure. In both cases, the rheological analysis observations corroborate the fairly depicted acid structuring of sodium alginate occurring on direct acidification. To elucidate the magnitude of the impact of the simulated pre-absorptive conditions on the acid structuring performance of the sodium alginate containing emulsions, the gastric chyme samples were rheologically characterised over a broad pH range (reflecting both fasted (empty) and fed (full) stomach conditions) and under diversified multivalent counterion composition of the oral and gastric phases (deionised water vs. physiological saliva and gastric fluids; Figs. 5,6). Although the absence of multivalent counterions did not alter the viscoelastic behaviour of the gastric chymes, the gastric  structuring potential remained higher for emulsions containing sodium alginate in the dissolved state. Interestingly, we observed that the G' modulus of the formed acid gels was further increased achieving an almost 10-fold increase compared to initial emulsions. Similarly, gastric chyme systems structured 282 using Ca<sup>2+</sup> sheared gels (1 and 2% w/w in sodium alginate) exhibited a higher G' modulus (36 and 6- fold, respectively) compared to those obtained adopting physiological conditions (Fig. 5b). On the contrary, a 5-fold reduction of the G' modulus was detected in the case of chyme systems stabilised by 285 3% w/w fluid gel. Our findings underpin that the adopted pre-absorptive digestion conditions may lead to notable discrepancies (under- or over-estimation) in the acid self-structuring of biopolymers and 287 therefore, the adoption of standardised *in-vitro* digestion protocols purposed for the assessment of biopolymer based satiety enhancing processed food prototypes is recommended. In the case of sodium alginate systems, their structural configuration (ratio of M- to G-residues, distribution of homopolymeric and heteropolymeric blocks) and their functional properties (thickening, ion-exchange and gelation properties) influence critically their intragastric structuring ability (Draget, 2009). Andriamanantoanina & Rinaudo (2010) analysing the acid gel forming ability of sodium alginate dialysed with saline solution (0.15% w/w NaCl), reported that in the case of high M/G ratio systems (ca. 1.3), acid gel formation (approx. pH=2.5) is induced via the GG block junction zones interactions stabilised by a hydrogen bond 295 network. When the same biopolymer systems were pre-treated with  $Ca<sup>2+</sup>$  and subsequently exposed to highly acidic conditions (pH=1.35) the authors observed a significant loss of the mechanical gel strength (decline of G'), and reduction of the gel hydrodynamic volume compared to the acid gels obtained in 298 the case of Na+ mediated dialysis, which was mainly attributed to the complete exchange of the Ca<sup>2+</sup>



 ability. Inasmuch as model chyme systems in the absence of multivalent counterions (Fig. 6b), it was observed that complex viscosity appears to be more sensitive to pH changes, with most of the tested systems to exerting a fair acid self-structuring ability in the pH range of 1 to 3. The latter implies that adopting non-physiological gastric conditions (e.g. direct acidification with no pre-adjustment of the ionic composition and strength) may result to an overestimation of the acid self-structuring ability of sodium alginate purposed for satiety promoting applications.

4. CONCLUSION AND PERSPECTIVES

326 Overall the present work demonstrated that the adoption of the *in vitro* physiological pre-absorptive conditions affects the acid-self structuring ability of sodium alginate. It was observed that the multivalent 328 counterion composition  $(Ca^{2+}, Mg^{2+}, Na^+, K^+)$  of the simulated pre-absorptive digestion fluids, i.e. saliva and gastric phases, critically influences the structuring ability. The structuring responsiveness of sodium alginate was minimised in the presence of multivalent counterions and in the case of the sheared calcium-mediated gels. Dilution of the initial structured/stabilised emulsions with the simulated saliva phase affected pronouncedly the sheared gel containing systems, which however they retained their viscoelastic character. Adoption of a physiological pre-absorptive digestion conditions protocol (dilution factor, pH range and multivalent counterion concentration and composition) appears to be of paramount importance when developing innovative food products with optimal intragastric ability purposed for satiety modulation. Exploiting the acquired knowledge, in future studies are required to demonstrate 337 how, by adopting physiological in vitro pre-absorptive digestion conditions, the intragastric structuring and colloidal destabilisation of complex model food systems is affected.

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418 TABLE 1: Steady flow rheological characteristics (as calculated according to the Herschel-Bulkley 419 model) of the o/w emulsions containing sodium alginate either in the dilute (Sol) or Ca<sup>2+</sup> mediated gel 420 state (Gel).



421 a Values in a column not sharing the same superscripts are significantly different (p<0.05) according to

422 Tukey's post hoc means comparison test. ns = non-significant

423

424

417





FIGURE 1









FIGURE 3



FIGURE 4



FIGURE 5



FIGURE 6

## FIGURES CAPTION

FIGURE 1: Flow curves of o/w emulsions stabilised with sodium alginate hydrogels either in the dilute (squares) or in the Ca2+ mediated gel-like state (circles).

FIGURE 2: Optical micrographs illustrating the microstructural aspects of the model saliva phases obtained by mixing (1:1) the initial sodium alginate stabilised (A,B) or ionically structured (C,D) o/w emulsions with either deionised water (label 1) or simulated physiological saliva fluid (label 2). Structural configurations corresponding to sodium alginate were stained with toluidine blue solution (0.05% w/w). Magnification: 40x, Scale bar: 20 μm.

FIGURE 3: Optical micrographs illustrating the microstructural aspects of the model gastric chyme systems obtained by mixing (1:4) the initial sodium alginate stabilised (label 1) or ionically structured (label 2) o/w emulsions with either deionised water (A,C) or physiological saliva and gastric fluids (B,D). Gastric chymes were standardised at two different pH values and incubated at 37 °C for 1h and a shear rate of 100 rpm to simulate stomach antral forces. Structural configurations corresponding to sodium alginate were stained with toluidine blue solution (0.05% w/w). Magnification: 40x, Scale bar: 20 μm.

FIGURE 4: Dynamic oscillatory frequency sweep spectra of sodium alginate stabilised o/w emulsions (a: dilute state, b: fluid gel) and the resulting model gastric chyme systems adopting physiological saliva and gastric juice patterns (c: dilute state, d: fluid gel).

FIGURE 5: Dynamic oscillatory frequency sweep spectra of model gastric chyme systems (at pH =2) of pre-absorptively digested o/w emulsions containing sodium alginate either in the dissolved state (a) or in the Ca2+ mediated gel state (b) adopting non-physiological ionic strength conditions (deionised water).

FIGURE 6: pH and ionic composition responsiveness of complex viscosity of model gastric chyme systems of pre-absorptively digested o/w emulsions structured with sodium alginate (a: initial system diluted exclusively with deionised water b: physiological saliva and gastric juice ion composition and concentration).