An exploratory investigation of pupillometry as a measure of tinnitus intrusiveness on a test of auditory short-term memory

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1 Abstract

Objectives: The purpose of the current study was to investigate the potential of pupillometry to
provide an objective measure of competition between tinnitus and external sounds during a test
of auditory short-term memory.

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6 Design: Twelve participants with chronic tinnitus and twelve control participants without tinnitus 7 took part in the study. Pre-test sessions used an adaptive method to estimate listeners' frequency 8 discrimination threshold on a test of delayed pitch discrimination for pure tones. Target and 9 probe tones were presented at 72 dB SPL and centred on 750 Hz. ± 2 semitones with an additional 10 jitter of 5-20 Hz. Test sessions recorded baseline pupil diameter and task related pupillary 11 response (TEPRs) during three blocks of delayed pitch discrimination trials. The difference 12 between target and probe tones was set to the individual's frequency detection threshold for 13 80% response-accuracy. Listeners with tinnitus also completed the Tinnitus Handicap Inventory 14 (THI). Linear mixed effects procedures were applied to examine changes in baseline pupil 15 diameter and TEPRs associated with group (Tinnitus vs. Control), block (1 to 3) and their 16 interaction. The association between THI scores and maximum TEPRs was assessed using simple 17 linear regression.

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Results: Patterns of baseline pupil dilation across trials diverged in listeners with tinnitus and controls. For controls, baseline pupil dilation remained constant across blocks. For listeners with tinnitus, baseline pupil dilation increased on blocks 2 and 3 compared to block 1. TEPR amplitudes were also larger in listeners with tinnitus than controls. Linear mixed effects models yielded a significant group by block interaction for baseline pupil diameter and a significant main effect of group on maximum TEPR amplitudes. Regression analyses yielded a significant association between THI scores and TEPR amplitude in listeners with tinnitus.

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Conclusions: Our data indicate measures of baseline pupil diameter and TEPRs are sensitive to
 competition between tinnitus and external sounds during a test of auditory short-term memory.
 This result suggests pupillometry can provide an objective measure of intrusion in tinnitus. Future
 research will be required to establish whether our findings generalise to listeners across a full
 range of tinnitus severity.

32 Introduction

33 Tinnitus is a prevalent condition (McCormack et al., 2016), often associated with 34 substantial burden and distress, which may include anxiety, depression, and insomnia (Watts et al., 2018). This represents a very significant public health problem, and the societal costs of 35 36 tinnitus are substantial: a UK estimate of tinnitus healthcare costs is £750 (~ \$1,059 or ~€873) 37 million per year (Stockdale et al., 2017). Whilst therapies to alleviate the impact of tinnitus are 38 widely available, a cure has proved elusive (McFerran et al., 2019). One reason for this, is that at 39 present there is no reliable biomarker or objective measure of tinnitus, so treatment studies rely 40 on self-report measures whose subjective nature may obscure possible benefits of interventions. 41 Therefore, the identification and verification of an objective measure of tinnitus is an urgent 42 priority.

43 In the absence of an objective measure, the severity of tinnitus and its impact on listeners is assessed primarily using self-report questionnaires. These comprise subscales that evaluate 44 45 distinct aspects of tinnitus, such as perceptual difficulties, emotional and cognitive distress, and intrusiveness (Kennedy et al., 2005). Intrusiveness is often defined in terms of competition 46 47 between external sounds and the tinnitus percept during the perception and evaluation of 48 auditory information (Andersson et al., 2006; Hallam et al., 1988). Hibbert and colleagues 49 (Hibbert et al., 2020) concluded intrusiveness is dependent on tinnitus awareness, 50 unpleasantness, and its impact on everyday activities. In the current manuscript, we use intrusiveness to describe the impact of tinnitus on capacity-limited cognitive resources and 51 52 mental effort during listening, where capacity is defined as the amount of work a system can 53 perform in a given moment (Townsend & Ashby, 1978). This impact is likely to reflect both 54 perceptual qualities of the internal percept (i.e., loudness and pitch) and the extent to which it 55 captures attention (Kennedy et al., 2005).

The term selective attention describes neural mechanisms that operate to prioritise relevant over irrelevant sensory input, increasing the acuity of attended information and gating access to capacity-limited processes including short-term memory (Choi et al., 2014; Gazzaley, 2011; Hillyard et al., 1998; Myers et al., 2017). Behavioural data from dichotic listening tasks and the Attentional Network Test suggests listeners with tinnitus exhibit an attentional bias towards the tinnitus percept during the encoding and the retention of external sounds (Cuny et al., 2004; Heeren et al., 2014; Roberts et al., 2013). This attentional bias may explain the absence of

63 habituation in problem tinnitus (Hallam et al., 1988; Walpurger et al., 2003), with attention 64 eliciting and reinforcing plastic changes in connectivity between auditory and frontal cortex, 65 hippocampal gyri (Vanneste & De Ridder, 2012) and the limbic system (Erlandsson et al., 1992; 66 Saunders, 2007; Ueyama et al., 2013). An attentional bias towards tinnitus is also likely to impact 67 negatively on hearing; reducing the resources available to encode, maintain and evaluate 68 external sounds in short-term memory. In listeners with normal hearing, the precision of auditory 69 recall is inversely related to perceptual set size (e.g., the number of sounds in a sequence). 70 Changes in the precision of recall for cued compared to uncued stimuli also demonstrate the role 71 of selective attention in gating access to relevant over irrelevant sounds to short-term memory 72 (Kumar et al., 2013). These findings have been interpreted in terms of a reciprocal relationship 73 between the number of attended sounds and the distribution of capacity-limited resources 74 during their encoding and maintenance (Joseph et al., 2015; Kumar et al., 2013).

75 The findings above demonstrate reliable associations between perceptual set size, 76 selective attention, and the precision of recall for auditory objects. In extending this evidence to 77 tinnitus, one can predict an association between the attentional weight assigned to tinnitus and 78 the extent to which it competes for short-term memory resources. Barrett and Pilling (2017) 79 tested this possibility by manipulating the locus of attention towards or away from simulated 80 tinnitus during a delayed pitch discrimination task. In their study, listeners with normal hearing 81 compared the pitch of two tones separated by a three second retention interval. The frequency-82 difference between tones was varied using a method of constant stimuli (Harris, 1948) and the 83 slope of the resulting psychometric function was used to index the precision of recall. Tones were 84 presented in the absence or presence of simulated tinnitus, which was presented at constant or 85 modulated amplitude on a subset of trials. To avoid masking, the tones and simulated tinnitus 86 were separated by a large frequency difference and participants were required to ignore or 87 report the amplitude modulation of the tinnitus when present. The results revealed a decrease 88 in precision when tones were presented in the presence of simulated tinnitus compared to 89 silence. When participants were required to report the amplitude of simulated tinnitus, the 90 decrease in precision was significantly larger than in the silent baseline condition. When 91 participants were instructed to ignore simulated tinnitus, the reduction in precision was smaller, 92 and did not reach statistical significance.

93 Barrett and Pilling's (2017) results suggest changes in the precision of auditory recall 94 reflect competition between simulated tinnitus and task-relevant sounds during tests of short-

95 term memory. For listeners with tinnitus, the internal percept represents an additional stimulus. 96 The extent to which this competes for resource with external sounds, depends on whether 97 attention is oriented towards or away from the tinnitus during listening. Competition between 98 tinnitus and external sounds is also likely to increase the mental effort required to encode and 99 maintain external sounds. In the psychological literature, task-evoked pupillary responses 100 (TEPRs) have been used to index changes in cognitive-load and mental effort during tests of 101 auditory and visual recall (Goldinger & Papesh, 2012; Pichora-Fuller et al., 2016). Early studies 102 revealed a positive association between pupil dilation and the number of tones or digits 103 participants had to retain during tests of auditory short-term memory (Beatty & Kahneman, 104 1966; Kahneman et al., 1967). Subsequent findings have revealed a close correspondence 105 between behavioural estimates of short-term memory capacity and asymptotic pupil dilation during auditory recall (Granholm et al., 1996; Peavler, 1974) and visual change detection 106 107 (Kursawe & Zimmer, 2015). Distributing attention across two, compared to a single speaker, has 108 also been shown to elicit increases in pupil dilation over and above those associated with the 109 degradation of speech (Koelewijn et al., 2014). These findings indicate TEPRs are sensitive to the 110 number and the distribution of attention across sounds during encoding and maintenance in 111 short-term memory. If problem tinnitus reflects competition between tinnitus and external 112 sounds, differences in TEPRs may provide an objective measure of the increase in listening effort 113 required to encode and maintain sounds during tests of auditory short-term memory.

114 The current study is designed to evaluate pupillometry as an objective measure of 115 intrusiveness in tinnitus. To do this, we contrasted pupil size and TEPRs during a delayed pitch 116 discrimination task in listeners with and without tinnitus. TEPRs are defined as phasic changes in pupil dilation relative to a baseline obtained in the absence of stimulation or task-demands 117 118 (Beatty & Lucero-Wagoner, 2000), which is time-locked to stimulus onsets (or offsets) and the 119 inferred mental operations they elicit, such as the encoding and maintenance of a sound on each 120 trial. In addition to TEPRs, we recorded changes in tonic pupil diameter prior to the onset of each 121 trial in the absence of auditory stimulation. Recent evidence has linked changes in tonic pupil 122 diameter to levels or arousal, shifts in selective attention, exploratory behaviour and increases in 123 processing-load (Bast et al., 2018; Pajkossy et al., 2017; Zénon, 2019). In tinnitus, competition 124 between the internal percept and external sounds is likely to increase demands associated with the maintenance of task-relevant information in auditory short-term memory. Competition is 125 126 also likely to increase demands associated with the maintenance of an attentional set that

127 prioritises external sounds over blocks of trials (Maudoux et al., 2012). To control the impact of 128 potential of perceptual differences on these processes in listeners with and without tinnitus, we 129 measured delayed pitch discrimination accuracy for pure tones with frequencies below those 130 associated with i) age-related sensorineural and noise-induced hearing loss (Eggermont, 2019; 131 Jilek et al., 2014; Nicolas-Puel et al., 2002), and ii) psychoacoustic estimates of average tinnitus frequency (Ibraheem & Hassaan, 2017; Schecklmann et al., 2012; Shekhawat et al., 2014). In 132 133 addition, we used an adaptive psychophysical procedure to estimate individual frequency detection thresholds to ensure the accuracy of delayed pitch discrimination was equivalent for 134 135 listeners in each group. In this situation, differences in tonic pupil size and TEPRs can be 136 attributed to an increase in the mental effort required to obtain a fixed level of accuracy during 137 the encoding and maintenance of tone-frequency in auditory short-term memory.

138

139 Method

140 Participants

141 Fourteen participants with chronic tinnitus (TG) were recruited to the study from the local 142 community and Leicester branch of the British Tinnitus Association Support Group. All had 143 experienced tinnitus in one or both ears for at least six months. One participant withdrew from 144 the study during the session, and one was excluded because of astigmatism in their right eye. 145 Twelve participants with no history of tinnitus or neurological disorder were recruited as a 146 control group (CG) for the study. None of the participants wore hearing aids and differences in 147 the age of each group were not statistically significant (TG: M = 46.5, SD = 12.5. CG: M = 43.8, SD= 16.4. t_{22} = 0.45, p = 0.66, Cohen's d = 0.18). Approval for the study was obtained from the School 148 149 of Psychology Ethics Committee at the University of Leicester. Recruitment, consent, and 150 experimental procedures conformed to American Psychology Association ethics standards.

151

152 Apparatus

Experiments were run on an IBM PC with a 21-inch HP Trinition P1130 CRT monitor (Walnut, CA, USA) at a frame-rate of 1000 Hz and resolution of 1,280 * 1,024 pixels. Sounds were presented binaurally over headphones (HDA 200: Sennheiser Electronic Corporation, Wedemark, Germany) and stimulus presentation and timing were controlled using custom-built software in MATLAB (Mathworks, Natick, MA, USA) with Psychtoolbox (Brainard, 1997; Kleiner et al., 2007) and Palamedes (Prins, 2014) toolbox extensions. Viewing distance was fixed at 60 cm using a fixed chin rest and pupil dilation and fixation were measured using an EyeLink 1000 video-based eye tracker (SR Research Ltd., Ottawa, ON, Canada) with spatial resolution of < 0.02 degrees at a sample rate of 1000 Hz. The study was run in a dimly lit room at a constant light level for all participants.

163

164 Stimuli

Stimuli for the delayed pitch discrimination (DPD) task were pure tones. Tones were 500 165 166 milliseconds (ms) long with 10 ms cosine onset and offset ramps presented at 72 dB SPL. Target 167 tones on each trial were centred at one of three frequencies; 750 Hz ± 2 semitones (668 & 842 168 Hz) with an additional jitter of \pm 5 to 20 Hz to avoid consolidation in long-term memory. Probe 169 tones were higher or lower in frequency than target tones by a variable amount (see procedure 170 below). Trials also included white noise bursts of 500. Ms presented at 72 dB SPL. Participants viewed a uniform mid-grey screen (52 cd/m^2) with a centrally located Gabor patch subtending 1 171 172 x 1 visual degree on each trial. Gabor patches were generated by convolving a sine wave with a 173 Gaussian window to produce a discriminable grating with the same mean luminance as the 174 display.

175

176 Procedure

177 Participants completed the Tinnitus Handicap Inventory (THI: Newman, Jacobson & Spitzer, 1996) and the DPD task. The THI consists of 25 questions that assesses the impact of 178 179 tinnitus on an individuals' quality of life. Responses are scored on a 4-point scale to produce an 180 overall score between 0 and 100. Participants then undertook a calibration procedure requiring them fixate a Gabor patch presented sequentially at the centre of the screen and then 5 181 182 equidistant points on the circumference of a virtual circle (eccentricity = 5°). Gabor patches were 183 presented at each location for 2 seconds and the calibration procedure was repeated using high (72.5 cd/m^2) , mid (12.7 cd/m^2) and low (3.8 cd/m^2) luminance displays. The calibration was used 184 185 to ensure pupillary responses during experimental trials fell within listeners' dynamic range. 186 Following calibration, participants were familiarised with the DPD task (see Figure 1).

187 Trials on the DPD task started with a Gabor at the centre of a mid-luminance display and 188 participants were instructed to maintain their gaze on the Gabor throughout the trial. One and a 189 half seconds after the onset of the fixation-point, a target and probe tone were presented. Tones

were separated by a silent retention interval of 2 seconds, and participants reported whether the pitch of the probe was lower or higher than the target using the "up" and "down" arrows on a standard keyboard. The number of low and high frequency probes was equal, and their order of presentation was pseudorandomised across trials. Once a response was recorded, a 500 ms burst of white noise was presented to signal the end of the trial and mask any perceptual priming associated the target and probe tones. Trials were separated by silent interval and a uniform mid luminance display for 500 ms.

197 During familiarisation, the difference between target and probe tones was set at 2 198 semitones. Participants were asked to verbalise their decisions to ensure they understood the 199 task and could make accurate lower-higher decisions. Trials were repeated until participants 200 made at least 10 correct responses. Following a short break, 3 blocks of the DPD task were used 201 to estimate listener's frequency detection thresholds (FDTs). Individual estimates were obtained 202 using a weighted 1-up, 1-down staircase over 80 trials to calculate the frequency-difference 203 required to discriminate between low and high probe- relative to the target-tones with 80% 204 probability (Kaernbach, 1991). Individual FDTs were used to i) control for changes in sensory 205 acuity associated with hearing loss or tinnitus and ii) equate the difficulty of pitch discrimination 206 across TG and CG participants. Pupil size was not recorded during familiarisation or FDT 207 estimation.

208 Following FDT estimation, participants completed 3 test blocks of 50 trials on the DPD 209 task. The frequency-difference between target and probe tones was set at the participant's mean 210 80% accuracy threshold (Δ Semitones). Pupil size and fixation location were recorded from the 211 right eye. Pupil size (area) was tracked using EyeLink's proprietary centroid mode, which tracks 212 the centre of the pupil image using a centre-of-mass algorithm (Zhu et al., 1999). A square root 213 transformation of the pupil area results in a measure of linear angle in arbitrary units that scales 214 with pupil diameter and viewing distance (Hayes & Petrov, 2016). Each test block was preceded 215 by a 9-point calibration sequence to ensure gaze location could be tracked accurately and 216 participants could maintain their gaze on the central Gabor.

217



Figure 1. Illustration of the sequence of events on each trial. Changes in pupil dilation on each trial were calculated using a baseline obtained during a silent period immediately preceding the target-tone. Target-tones were 750 Hz, two semitones higher or lower, with the addition of a random jitter (5-20 Hz). Probe-tones were adjusted with an adaptive procedure (weighted 1-up, 1-down) in semitone steps.

225

226 Participant's accuracy on the test session was quantified as the proportion of correctly 227 categorized probe-tones. Pupillary responses were pre-processed off-line for correct responses 228 for each block of 50 trials. Errors were excluded from analyses as they could reflect poor attention 229 and add noise to the comparison between groups. Blinks and eye movements were excluded 230 from the data using the Eyelink 1000's default detection algorithm. Trials with missing data on 231 30% or more samples were also excluded from further analyses. Pupil diameter recordings on 232 remaining trials (CG mean = 81.22%, SD = 10.81. TG mean = 71.83, SD = 18.04, t_{22} = 1.55, p > 0.05, 233 Cohen's d = 0.63) were smoothed using Locally Weighted Scatterplot Smoothing (Lowess) 234 (Cleveland, 1981) with a 10% span (i.e., 350 ms). Baseline pupil dilation was measured at a single 235 sample before the onset of the target tone on each trial. Baseline correction is commonly 236 achieved by subtracting average pupil dilation over a period between 100 ms and 1 second before 237 the event of interest (Win et al., 2018). Averaging reduces the impact of blinks and outliers on 238 baseline measurements but can also be influenced by preparatory changes in arousal and 239 attention prior to stimulus onset (Akdoğan et al., 2016; Irons et al., 2017). To negate the potential 240 of individual and group differences in preparatory activity on the estimation of TEPR amplitude, 241 we used a single sample in the smoothed trace as an absolute baseline at the beginning of each trial¹. Baseline values were subtracted from the pupil diameter from the onset of the target tone
until 500 msec after the offset of the probe tone. Maximum TEPRs were calculated for the period
between the onsets of the target and probe tones. Maximum TEPR and baseline values ± 3
standard deviations from individual's mean in each block were excluded as outliers.

246

247 **Results**

248 Self-Report and Behavioural Data

249 Mean THI scores in the tinnitus group ranged from 4 to 36 (Mean = 19.7, SD = 7.7, Median 250 = 22). This represents a relatively mild level of subjective tinnitus severity in our sample. Table 1 251 presents summary statistics for estimated FDTs and accuracy on the DPD task by group and block 252 during the test sessions. Differences between CG and TG listeners on FDTs were small (M = 0.016 semitones) and did not reach statistical significance (t_{22} = 0.04, p > 0.95, Cohen's d = 0.02). To 253 254 analyse potential differences in the accuracy of DPD across groups, the proportion of correct 255 responses for each participant were subject to a general linear mixed-effects analysis (GLME) 256 with a binomial link function. Group (CG vs. TG), Block (1, 2 & 3) and their interaction were 257 modelled as fixed-effects. Participant was modelled as a random-effect, to control for individual 258 differences in the intercept of the regression equation (Baayen et al., 2008). CG accuracy in block 259 1 was used as the reference and sliding contrasts were defined using the MASS package in R 260 (Venables, 2002). This yielded a non-significant difference between CG and TG listeners ($\beta = 0.11$, SE = 0.29, p > 0.05). The difference between blocks 1 and 3 (β = 0.35, SE = 0.13, p < 0.05) was 261 262 statistically significant, but the difference between blocks 1 and 2 was not ($\beta = 0.23$, SE = 0.0.12, p > 0.05). Group by Block interactions for blocks 2 ($\beta = 0.04$, SE = 0.23, p > 0.05) and 3 ($\beta = 0.21$, 263 SE = 0.27, p > 0.05) did not reach statistical significance. The results indicate comparable 264 265 frequency detection thresholds and levels of accuracy on the DPD task for CG and TG listeners. 266 Table 1 presents descriptive statistics for FDTs and accuracy on the DPD. Table 5 presents GLME 267 statistics for accuracy by Group and Block.

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- 270

¹ Tonic and TEPR amplitude measured using a single sample and mean over 100ms as the baseline produced equivalent results, suggesting both methods are similarly robust to pre-stimulus variability in pupil dilation.

271 Table1. Mean frequency detection threshold (FDT) and proportion of correct higher or lower

		Proportion Correct		
Group	FDT (semitones)	Block 1	Block 2	Block 3
Control Group	0.61 (0.45)	0.88 (0.33)	0.85 (0.34)	0.92 (0.28)
Tinnitus Group	0.62 (0.39)	0.86 (0.35)	0.83 (0.38)	0.88 (0.33)

272 probe-tone responses for tinnitus and control participants by block

273

274 Pupillometry

275 Pupil diameter during the calibration procedure was averaged across fixations for each 276 level of display luminance and subject to a linear mixed-effects (LME) analysis with group, display 277 luminance and their interaction modelled as fixed-factors. Participant was modelled as a random-278 effect to control for individual differences in the intercept of the regression equation (Baayen et 279 al., 2008). Mid luminance displays were used as the reference and sliding contrasts were defined 280 using the MASS package in R. The MLE on pupil dilation yielded a significant increase in high (β = -3.94, SE = 1.06, t > 1.96) and decrease in low (β = -6.70, SE = 1.06, t > 1.96) compared to mid 281 282 luminance displays. Differences between groups (β = 3.56, SE = 3.45, t < 1.96) and Group by 283 Display Luminance interactions for high (β = -0.91, SE = 1.50, t < 1.96) and low (β = 0.13, SE = 1.50, 284 t < 1.96), compared to mid luminance displays were not significant. These results reveal similar 285 luminance driven changes in pupil diameter in CG and TG listeners. Pupil sizes for mid luminance 286 displays also fell within the dynamic range of listeners in both groups (see Table 2).

287

288 Table 2. Mean pupil diameter by Group and Display Luminance during initial calibration. Standard

289 deviation in parenthesis.

	Mean Pupil Diameter	
	CG	TG
High Luminance Display	31.48 (3.49)	34.13 (7.45)
Mid Luminance Display	35.43 (4.56)	38.99 (9.18)
Low Luminance Display	42.09 (6.24)	45.78 (12.07)

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Table 3. Statistical effects of Group, Display Luminance and Group * Display Luminance
 interactions on pupil diameter during calibration.

	Mean Pupil Diameter		
	ß	SE	<i>t</i> -value
Intercept	35.42	2.44	14.52
Group	3.56	3.45	1.03
M - H Lum.	-3.94	1.06	*3.72
L - M Lum.	6.70	1.06	*6.28
Group * M - H Lum.	-0.91	1.50	-0.61
Group * L - M Lum.	-0.13	1.50	0.08

H = high, M = mid and L = low. Lum = display luminance. Random effect for participants' variance

296 = 53.97, SD = 7.35. * Statistically significant effects on pupil diameter (|t| value > 1.96).

297

298 Due to technical issues, pupil dilation failed to record on one block of the DPD for two CG 299 and four TG participants. Data for 2 blocks for these participants and 3 blocks for the remainder 300 were subject to analyses. Figure 2 plots mean baseline-corrected TEPRs for blocks 1 to 3. To 301 contrast tonic pupil dilation and listening effort across groups, mean baseline pupil diameter and 302 maximum TEPR for each participant were subject to separate LME analyses. Group (CG vs. TG), 303 Block (1, 2 & 3) and their interaction were modelled as fixed-effects and participant as a random-304 effect. Block 1 was used as the reference and sliding contrasts were defined using the MASS 305 package in R. Table 4 presents descriptive statistics and Table 5 the estimated coefficients for the 306 LME analyses of tonic pupil dilation and maximum TEPRs.

307 The LME on baseline pupil diameter yielded a non-significant difference between TG and 308 CG listeners (β = 2.36, SE = 2.90, t < 1.96). Comparisons between blocks revealed a significant 309 increase on blocks 2 (β = 0.92, SE = 0.16, t > 1.96) and 3 (β = 0.84, SE = 0.16, t > 1.96) compared 310 to block 1. Estimated coefficients for Group by Block 2 (β = 1.15, SE = 0.31, t > 1.96) and 3 (β = 2.20, SE = 0.32, t > 1.96) interactions were also significant. Post hoc analyses revealed significant 311 312 increases in baseline pupil diameter in TG listeners on blocks 2 (β = 1.50, t = 6.57, p < 0.001) and 3 (β = 1.94, t = 8.26, p < 0.001) compared to block 1. Differences in CG listeners on blocks 2 (β = -313 0.35, t = 1.60, p > 0.05) and 3 (β = 0.26, t = 1.20, p > 0.05) compared to 1 did not reach statistical 314

significance. These results indicate baseline pupil dilation across blocks was consistent in CG
 listeners. Baseline pupil dilation among TG listeners in contrast, increased significantly on the last
 two blocks of testing.

318 The MLE on TEPRs revealed a significantly higher maximum pupil dilation for TG compared 319 to CG listeners (β = 0.84, SE = 0.32, t > 1.96). Estimated coefficients for the difference between 320 blocks 2 (β = -0.03, SE = 0.9, t < 1.96) and 3 (β = 0.05, SE = 0.09, t < 1.96) compared to 1 did not 321 reach statistical significance. Group by block 2 (β = -0.07, SE = 0.17, t < 1.96) and 3 (β = -0.24, SE 322 = 0.18, t < 1.96) interactions were also non-significant. These results indicate baseline corrected 323 TEPRs were significantly larger among TG than CG participants across all blocks of testing. The 324 lack of any significant group or by block interactions indicates differences between CG and TG 325 listeners in TEPR amplitude were relatively constant (see Table 4). To investigate the relationship 326 between TEPRs and subjective measures of tinnitus, we calculated a simple regression with THI 327 scores the predictor and the mean of participants' maximum TEPR across blocks the outcome. A 328 significant regression equation was obtained ($F_{1,10} = 16.15$, p < 0.05) with an adjusted R^2 of 0.58. 329 This indicates that for every unit increase in THI, maximum pupil dilation in TG listeners increased 330 by 0.8 arbitrary units compared to baseline.

331

Table 4. Mean baseline pupil diameter (PD) and maximum TEPRs in Blocks 1 to 3 for Control (CG)

	Mean Baseline PD (SD)		Max TEPR (SD)	
	CG	TG	CG	TG
Block 1	36.09	38.44	1.63	2.32
	(7.01)	(8.81)	(1.59)	(2.27)
Block 2	36.29	39.94	1.62	2.31
	(5.93)	(9.24)	(1.56)	(2.24)
Block 3	35.71	40.34	1.62	2.23
	(5.38)	(8.55)	(1.82)	(2.10)

and Tinnitus (TG) participants.

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335





Figure 2 Mean baseline corrected pupil dilation for Control (CG) and Tinnitus (TG) groups by time and block in arbitrary units. Vertical dotted lines denote the offset and onset of the probe and target tones respectively. These data are baseline corrected grand average pupil diameter and are distinct from the trial-by-trial baseline and maximum TEPRs subject to analyses and listed in Table 5.

Table 5. Statistical effects of Group, Block and Group * Block interactions on accuracy, baseline
 pupil diameter and maximum TEPR during test trials.

	Accuracy (Proportion of correct higher or lower responses)		
	ß	SE	z-value
Intercept	1.98	0.15	*13.55
Group 1 - 2	-0.11	0.29	0.38
Block 2 - 1	-0.23	0.12	1.95
Block 3 - 1	0.35	0.13	*2.67
Group * Block 2 - 1	-0.04	0.23	0.16
Group * Block 3 - 1	-0.21	0.27	0.80
Baseline Pupil Diameter			

	ß	SE	<i>t</i> -value
Intercept	37.:	1.45	5 *25.60
Group 1 - 2	2.3	36 2.90	0.81
Block 2 - 1	0.9	92 0.16	6 *5.88
Block 3 - 1	0.8	34 0.16	5 *5.22
Group * Block 2 - 1	1.:	15 0.33	1 *3.68
Group * Block 3 - 1	2.2	20 0.32	2 *6.86
	Mean Maximum TEPR		
	ß	SE	<i>t</i> -value
Intercept	2.2	0.15	5 *14.05
Group 1 - 2	0.8	33 0.32	2 *2.63
Block 2 - 1	-0.0	0.09	0.39
Block 3 - 1	-0.0	0.09	9 0.52
Group * Block 2 - 1	-0.0	0.17	7 0.43
Group * Block 3 - 1	-0.2	0.18	3 1.34

Accuracy: Random effect for participant's variance = 0.33, SD = 0.57. Baseline pupil diameter: Random effect for participants' variance = 50.32, SD = 7.09. Maximum TEPR: Random effect for participant's variance = 0.52, SD = 0.72. * Significant effects ($|z| \ge 1.96$) and ($|t| \ge 1.96$).

351

352 Discussion

353 The aim of the current study was to evaluate the use of pupillometry as an objective index 354 of intrusiveness in tinnitus. To do this, we compared baseline pupil diameter and TEPRs in 355 listeners with chronic tinnitus to age-matched controls without tinnitus during a delayed pitch 356 discrimination task. Frequency differences between target and probe tones were titrated using 357 an adaptive procedure to equate the perceptual difficulty of discrimination across listeners with 358 and without tinnitus. Our results reveal significantly larger TEPRs among listeners with tinnitus 359 compared to age-matched controls. TEPRs for TG and CG listeners diverged during the 360 presentation of the target tone, with the mean group differences peaking approximately 800 ms 361 after its presentation before returning to baseline levels before the onset of the probe tone. 362 Regressing the maximum amplitude of TEPRs with THI scores for listeners with tinnitus, also

363 revealed a significant positive association between subjective reports of tinnitus-disruption and 364 an objective measure of listening effort during a test of auditory short-term memory. In addition 365 to group differences in TEPRs, our data revealed divergent patterns of baseline pupil diameter 366 across blocks in listeners with and without tinnitus. For CG listeners, mean baseline or "tonic" 367 pupil diameter remained constant across blocks of trials. For TG listeners, tonic pupil diameter was significantly larger on blocks two and three than the initial block of testing. These findings 368 369 demonstrate tinnitus-specific changes in i) phasic reactivity within trials and ii) tonic pupil size 370 across trials.

371 The results above suggest tinnitus contributes measurable effects on tonic pupil size and 372 reactivity. These effects were obtained for sounds that produced equivalent levels of behavioural 373 accuracy across participants, reducing the potential contribution of tinnitus-related changes in 374 perceptual acuity to differences in mental effort during the maintenance of pure tones. In 375 listeners without tinnitus, TEPR amplitude is positively associated with the number of sounds 376 (Kahneman et al., 1967) or sound sources (Koelewijn et al., 2014) during tests of perception and 377 short-term memory. Task-related increases in phasic pupil dilation have been attributed to an 378 increase in cognitive load, or the mental effort required to encode and maintain sounds over 379 short periods of time (Goldinger & Papesh, 2012; Pichora-Fuller et al., 2016). The increase in TEPR 380 amplitude among TG listeners in our study, is consistent with the prediction that competition 381 between tinnitus and external sounds increases listening effort during tests of auditory short-382 term memory (Barrett & Pilling, 2017). The level of this competition is likely to reflect attentional mechanisms, which determine the distribution of cognitive resources across internal and 383 384 external precepts during hearing (Cuny et al., 2004; Kumar et al., 2013; Maudoux et al., 2012; 385 Roberts et al., 2013). In addition to the phasic changes indexed by TEPRs, differences in the 386 magnitude of baseline pupil diameter in TG compared to CG listeners may also reflect attentional 387 processes that operate over blocks of trials. Task related changes in tonic pupil size have been 388 associated with demands on short-term memory (Peysakhovich et al., 2017), levels of uncertainty 389 (Zénon, 2019) and shifts between focussed and exploratory states of attention (Pajkossy et al., 390 2017). A recent study by Unsworth and Robinson (2016), associated high baseline pupil size with 391 distractibility during a psychomotor vigilance task and elevated levels of intrinsic alertness and 392 sustained attention during a test of vigilance (Unsworth et al., 2020). In the presence of tinnitus, maintaining accuracy on the DPD task in our study requires the maintenance of an attentional 393 394 set that prioritises external sounds over consecutive blocks of trials. The increase in baseline pupil

395 diameter observed in TG listeners on blocks 2 and 3, may reflect the temporal dynamics of this 396 process and provide an objective measure of tinnitus-related fluctuations in arousal, cognitive-397 load and attentional set during tests of auditory short-term memory. Further research will be 398 required to establish the diagnostic sensitivity of changes in baseline pupil diameter to 399 competition between tinnitus and external sounds. Our results, however, suggest measures of 400 tonic pupil size and phasic reactivity have the potential to provide complementary information 401 about the impact of tinnitus on listening effort and attentional control during trials and across 402 blocks of testing.

403 Our results suggest pupillometry holds promise as an objective measure of tinnitus effects. 404 To date, we know of only one other study that has used pupillometry to investigate the impact 405 of tinnitus on listening effort. Juul Jensen and colleagues (Juul Jensen et al., 2018) used a speech-406 in-noise task to contrast pupil dilation in a sample of hearing-impaired listeners with and without 407 tinnitus. The accuracy of participant's responses was used to equate signal to noise ratios for all 408 listeners at two levels of speech intelligibility; 50% and 95%, and maximum TEPR amplitudes were 409 compared in the tinnitus and control groups at each level of intelligibility. In contrast to our own 410 findings, differences in TEPR amplitudes between the tinnitus and control groups did not reach 411 statistical significance. A further comparison using Growth Curve Analyses to estimate the best-412 fitting cubic polynomial for TEPRs, revealed a significant *decrease* in pupil dilation among 413 listeners with tinnitus compared to controls. This direction of this effect is opposite to the 414 increase in TEPRs that we observed and is inconsistent with the hypothesis that competition 415 between tinnitus and external sounds elicits an increase in effort during tests of auditory 416 perception and short-term memory.

One explanation for the difference between Juul Jensen et al.'s (2018) result and our own, 417 418 is that pupillometric measures of listening effort are sensitive to task-demands. Speech 419 recognition is a cumulative process, which involves cognitive resources during the integration 420 and interpretation of sensory input. In addition to auditory short-term memory, report-accuracy 421 depends on linguistic factors, such as lexical similarity, word frequency, and the listener's 422 vocabulary and experience (Kuchinsky et al., 2012). Phasic decreases in pupil size have been 423 observed during high presentation-rates in alternative forced choice tests (Poock, 1973), and 424 during digit span tasks when sequence length exceeds individual's short-term memory (Johnson 425 et al., 2014). Juul Jensen and colleagues reported significantly higher levels of fatigue among 426 listeners with tinnitus compared to controls, suggesting task-difficulty and listener engagement

427 may have contributed to the reduction in phasic pupil diameter in their study. Our stimuli 428 comprised tones at a set level of discriminability that were presented in the absence of noise. 429 Comparing delayed pitch-discrimination accuracy provides a direct test of auditory short-term 430 memory that is independent of linguistic processes. Recording pupillary responses during 431 baseline and retention periods also provides an index of internal processes that operate in the absence of external auditory stimulation. In this situation, group differences in pupillometry can 432 433 be attributed to the impact of tinnitus on post-perceptual processes, such as the retention and 434 evaluation of information in short-term memory. Differences in the stimuli and the cognitive 435 processes under test, therefore, caution against direct comparison between our own and Juul 436 Jensen et al.'s (2018) results, while providing insights into the task-attributes that are likely to 437 influence the magnitude and direction TEPRs to competition between tinnitus and external 438 sounds. These include selecting tasks designed to isolate specific cognitive functions (i.e., short-439 term memory) and optimising task difficulty to maximise engagement and minimise fatigue 440 (Murphy et al., 2011; Zénon, 2019).

441 In addition to differences in the stimuli and task, other factors that may affect the 442 sensitivity of pupillometry to tinnitus include its severity and the incidence of comorbid hearing 443 loss. In our sample, tinnitus-severity was mild, and an important question for future studies is 444 whether group differences in pupillometry generalise to listeners who report higher levels of 445 tinnitus severity. Tinnitus is often preceded by hearing loss and the pitch of the internal percept 446 often correspond to frequency region with the greatest loss (Norena et al., 2002; Schecklmann 447 et al., 2012). To date, only a few studies have investigated the impact of hearing loss on pupil 448 reactivity, and these have produced mixed results (Zekveld et al., 2018). In the current study, tones for the DPD task were selected to fall below frequencies commonly affected by 449 450 sensorineural hearing loss and tinnitus (Ibraheem & Hassaan, 2017; Nicolas-Puel et al., 2002; 451 Shekhawat et al., 2014). This was done to exclude the impact of perceptual masking of tones by 452 tinnitus on pupil responses or any reduction in tone discriminability associated with hearing 453 impairment. Measuring auditory thresholds and extending our method to include frequencies 454 that target individuals' hearing loss and tinnitus frequency, is likely to provide valuable 455 information about the way sensory impairment and perceptual masking interact with cognitive 456 processes to influence pupil reactivity on tests of short-term memory. The current exploratory results, however, provide preliminary evidence that changes in tonic and phasic pupil size can be 457 458 used to measure the impact of tinnitus on listening effort and sustained attention on a test of

auditory short-term memory. Building on this finding will require studies with larger samples that 459 460 are representative of the clinical population with a primary complaint of troublesome tinnitus. 461 This should include classifying tinnitus in terms of both aetiology and severity, as well as information about treatments. Developing robust pupillometric measures, is also likely to require 462 463 a more nuanced understanding of the neural mechanisms that mediate task-related changes in tonic and phasic pupil reactivity and their relationship to other factors that contribute to 464 465 individual's cognitive and psychological responses to tinnitus. Integrating this understanding with tests that target cognitive processes most susceptible to competition between tinnitus and 466 467 external sounds, has the potential to provide clinicians an objective measure of severity and treatment efficacy in listeners with tinnitus. 468

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470 Data Availability

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472 Summary behavioural and pupillometry data are available at the University of Leicester's473 Research Repository.

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