1 2	Elective f	reezing of embryos versus fresh embryo transfer in IVF: a multicentre randomised controlled trial in the UK (E-Freeze)
3	Running title	Elective freezing versus fresh embryo transfer in IVF
4 5	AUTHOR: is this running title acceptable? Or perhaps 'No support for universal elective freeze policy'. There is a limit of 50 characters.	
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37

- 38 Abstract
- 39 STUDY QUESTION

- 40 Does a policy of elective freezing of embryos, followed by frozen embryo transfer result in a higher
- 41 healthy baby rate, after first embryo transfer, when compared with the current policy of transferring
- 42 fresh embryos? **AUTHOR:** edited because the study questions should be a single question, limited
- 43 to the primary objective of the study only. Is the editing acceptable?

44 SUMMARY ANSWER

- 45 This study, although limited by sample size, provides no evidence to support the adoption of a
- 46 routine policy of elective freeze in preference to fresh embryo transfer in order to improve IVF
- 47 effectiveness in obtaining a healthy baby.

48 WHAT IS KNOWN ALREADY

- 49 The policy of freezing all embryos followed by frozen embryo transfer is associated with a higher live
- 50 birth rate for high responders but a similar/lower live birth after first embryo transfer and cumulative
- 51 live birth rate for normal responders. FET is associated with a lower risk of ovarian hyperstimulation
- syndrome (OHSS), preterm delivery and lowbirth weight babies but a higher risk of large babies and
 pre-eclampsia. There is also uncertainty about long-term outcomes, hence shifting to a policy of
- elective freezing for all remains controversial given the delay in treatment and extra costs involved in
- 55 freezing all embryos.

56 STUDY DESIGN, SIZE, DURATION

- 57 A pragmatic two arm parallel randomised controlled trial (E-Freeze) was conducted across 18 clinics
- 58 in the UK from 2016 to 2019. A total of 619 couples were randomised (309 to elective freeze/310 to
- 59 fresh). The primary outcome was a healthy baby after first embryo transfer (term, singleton live birth
- 60 with appropriate weight for gestation); secondary outcomes included OHSS, live birth, clinical
- 61 pregnancy, pregnancy complications and cost effectiveness.

62 PARTICIPANTS/MATERIALS, SETTING, METHODS

- 63 Couples undergoing their 1^{st} , 2^{nd} or 3^{rd} cycle of IVF/ICSI treatment, with at least three good quality 64 embryos on day 3 where the female partner was ≥ 18 and < 42 years of age were eligible. Those using 65 donor gametes, undergoing preimplantation genetic testing or planning to freeze all their embryos
- 66 were excluded. IVF/ICSI treatment was carried out according to local protocols. Women were followed
- 67 up for pregnancy outcome after first embryo transfer following randomisation.
- 68

69 MAIN RESULTS AND THE ROLE OF CHANCE

- Of the 619 couples randomised, 307 and 309 couples in the elective freeze and fresh transfer arms,
- 71 respectively, were included in the primary analysis. There was no evidence of a statistically
- significant difference in outcomes in the elective freeze group compared to the fresh embryo
- 73 transfer group: healthy baby rate {20.3% (62/307) versus 24.4 % (75/309); Risk Ratio (RR), 95% CI:
- 74 0.84, 0.62 to 1.15}]; OHSS (3.6% versus 8.1%; RR, 99% CI: 0.44, 0.15 to 1.30); live birth rate (28.3%
- 75 versus 34.3%; RR, 99% CI 0.83, 0.65 to 1.06), and miscarriage (14.3% versus 12.9%; RR 99% CI: 1.09,
- 76 0.72 to 1.66). Adherence to allocation was poor in the elective freeze group. The elective freeze
- approach was more costly and was unlikely to be cost-effective in a UK National Health Service
- 78 context.
- 79

80 LIMITATIONS, REASONS FOR CAUTION

- 81 We have only reported on first embryo transfer after randomisation; data on the cumulative live birth
- 82 rate requires further follow up. Planned target sample size was not obtained and the non-adherence
- to allocation rate was high among couples in the elective freeze arm owing to patient preference for
- 84 fresh embryo transfer, but an analysis which took non-adherence into account showed similar results.
- 85

86 WIDER IMPLICATIONS OF THE FINDINGS

87 Results from the E-Freeze trial do not lend support to the policy of electively freezing all for everyone,

- taking both efficacy, safety and costs considerations into account. This method should only be adopted
- 89 if there is a definite clinical indication.
- 90

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- 120 TRIAL REGISTRATION DATE: 29th Dec 2015

121 DATE OF FIRST PATIENT'S ENROLMENT: February 2016

- 122 Key words: IVF, Frozen embryo transfer, freeze all, healthy baby, cost effectiveness, fresh embryo
- 123 transfer, Infertility, willingness to pay

131 Introduction

Infertility affects 1 in 6 couples in the UK (Oakley et al., 2008) and the recommended treatment for
 those with prolonged unresolved infertility is IVF (<u>https://www.nice.org.uk/guidance/cg156</u>).

In 2018, the average live birth rate per embryo transferred in the UK was 23% (HFEA https://www.hfea.gov.uk/about-us/publications/research-and-data/), and clinics and patients continue to explore ways of increasing success rates. Advances in freezing techniques have allowed the possibility of electively freezing all suitable embryos (elective freeze), avoiding replacing them as fresh embryos. It has been suggested that transfer of frozen-thawed embryos in a non-stimulated cycle is more conducive to early placentation and embryogenesis when compared with fresh IVF cycles.

- 141 Previous systematic reviews have shown poorer maternal and perinatal outcomes in pregnancies 142 following IVF (Pandey et al., 2012), particularly after fresh embryo transfer (Maheshwari et al., 2012), 143 compared to those in the general population. IVF is also associated with risk of ovarian 144 hyperstimulation syndrome (OHSS), which can cause significant maternal morbidity and, rarely, 145 mortality. It has been suggested that avoiding fresh embryo transfer by electively freezing embryos 146 followed by frozen embryo transfer reduces the chance of OHSS (Devroey et al., 2011), decreases 147 maternal and perinatal risks (Maheshwari et al., 2012) and improves pregnancy rates (Shapario et al., 148 2011a, Shapario et al., 2011b). Hence there have been suggestions that practice should change to 149 elective freeze) for all women, in preference to the current practice of fresh embryo transfer.
- 150 This led to a number of randomised trials across the world. Although trials on women at significant 151 risk of OHSS suggest that an elective freeze strategy increases live birth rates per first embryo transfer 152 (Chen et al., 2016, Aflatoonian et al., 2018), the evidence is less clear for others undergoing IVF. Most 153 studies show no difference (Vuong et al., 2018; Shi et al., 2018; Stromlund et al., 2020) while others 154 show improvement (Wei et al., 2019) in live birth after first embryo transfer, or reduction (Wong et al., 2021) in cumulative live birth rates. Cumulative live birth rate over multiple embryo transfers may 155 156 be reduced by a routine elective freeze policy, as per data from the Human Embryology Fertilisation 157 Authority (HFEA) (Smith et al., 2019) whereas a recent Cochrane review showed no difference (Zaat et al., 2021). 158
- The Cochrane review (Zaat et al., 2021) also suggested that an elective freeze approach may increase the hypertensive disorders of pregnancy, large for gestational age (LGA) (babies, and the birthweight of children. There was uncertainty about the risk of small for gestational age (SGA) babies, but the evidence was of low quality. Despite the continuing scientific debate on this subject, there has been an exponential rise in the adoption of an elective freeze approach. In the UK, fresh embryo transfers decreased by 11% between 2013 and 2018 while the numbers of frozen embryo transfer almost doubled over this period, accounting for 34% of all IVF cycles in 2018.
- As events during pregnancy and birth have long term implications it is important to consider not just live birth rate, but also the health of the baby at delivery before opting for an elective freeze policy in preference to fresh embryo transfer for all. Almost all trials on this topic have reported on live birth as the primary outcome, whereas the ultimate aim of fertility treatments is to have both a healthy mother and a healthy baby.

- The primary objective of the E-Freeze trial reported here was to determine if a policy of electively freezing all suitable embryos, followed by frozen embryo transfer would result in a higher healthy baby rate following the first embryo transfer when compared with the current policy of transferring fresh embryos, where a healthy baby was defined as term singleton live birth with appropriate weight
- 175 for gestation.

176 Materials and Methods

177 Study design and participants

178This was a non-blinded two-arm parallel group multi-centre pragmatic randomised controlled trial179conducted across 18 IVF clinics in the UK. The E-Freeze trial protocol was approved by the North of180Scotland Research Ethics Service (NoSRES) Committee (Study Ref: 15/NS/0114). Local approval and

- 181 site-specific assessments were obtained from each participating site.
- 182

183 Participants

184 Women between 18 and 42 years of age, undergoing their 1st, 2nd or 3rd cycle of IVF, were eligible. At

the outset of the trial only 1st cycle patients were included. However, owing to low recruitment and after discussion with the funders, the inclusion criteria were expanded to incorporate 2nd and 3rd

187 cycles as well. Exclusion criteria included use of donor gametes, pre-implantation genetic testing and

a clinical indication for an elective freeze such as OHSS or fertility preservation. Women underwent

189 controlled ovarian stimulation, egg retrieval, mixing of eggs and sperm, embryo culture, freezing and

190 thawing of embryos following locally approved clinical and laboratory protocols.

191

192 Randomisation, allocation concealment and blinding

Randomisation was performed on day 3 following egg retrieval, in couples who fulfilled the final
inclusion criteria of having at least three good quality embryos. Good quality embryos were defined
as per nationally agreed criteria (Cutting et al., 2018). Couples were randomised (1:1 allocation ratio)

196 to either elective freeze or to fresh embryo transfer.

197 Randomisation was performed using a 24/7 secure internet-based randomisation system hosted by

- 198 the University of Oxford. The randomisation employed a probabilistic minimisation algorithm to
- balance across the following factors: fertility clinic, female partner's age at time of ovarian

stimulation (< 35 years/35 to <40 years/>= 40 years), infertility (primary/secondary), self-reported

- 201 duration of infertility (< 12 months/12 to < 24 months/24 to < 36 months/36 to < 48 months/48 to <
- 202 60 months/>=60 months), method of insemination (IVF/ICSI or a combination of both) and number
- of previous egg collections (0/1/2 cycles) to account for 1st, 2nd or 3rd cycle. For each minimisation
- stratum, the total number of existing participants in the same stratum as the new participant was
- 205 calculated for each allocation. If the absolute difference between the totals was less than three, the
- 206 participant was allocated randomly to treatment A or B (with equal probability). If the absolute
- difference between the totals was greater than two, the participant was allocated to the allocationwith the lowest total with probability 0.8.
- 209 Blinding of the allocated intervention was not possible because of the nature of the treatments,
- 210 ethical considerations and statutory requirements of the regulatory body the HFEA.

211

212 Interventions

- 213 In the intervention arm, all suitable embryos were frozen, while in the standard care arm women
- 214 underwent fresh embryo transfer. Couples who were randomised to elective freeze were contacted
- 215 within 3 working days post-randomisation and arrangements made for frozen embryo transfer
- 216 within 3 months of egg collection.
- 217

218 Outcomes

- The primary outcome was a healthy baby, defined as a live, singleton baby born at term (between 37 and 42 completed weeks of gestation) with an appropriate weight for gestation (weight between
- 221 10th and 90th centile for that gestation based on standardised charts) after first embryo transfer
- 222 following randomisation.
- 223 A pregnancy test was carried out in all randomised women 2 weeks after embryo transfer. All
- women who had a positive pregnancy test underwent a transvaginal ultrasound scan at 6 to 8 weeks

of gestation in pregnancy to identify the presence of a gestational sac with a fetal heartbeat,

- signifying an ongoing pregnancy.
- 227 The secondary outcomes included measures of maternal safety during IVF (OHSS): clinical
- 228 effectiveness (live birth rate and clinical pregnancy rate), complications of pregnancy and delivery
- 229 (miscarriage rate, gestational diabetes, hypertensive disorders of pregnancy, antepartum
- 230 haemorrhage, preterm delivery, mode of delivery, low birthweight, high birthweight, small for
- 231 gestational age, large for gestational age and congenital anomalies) and cost-effectiveness
- 232 (incremental cost per healthy baby and per live birth). Detailed definitions of each are in the
- published protocol (Maheshwari et al., 2019). All outcomes are reported for first embryo transfer
- after randomisation.

235 Women who had an ongoing pregnancy were contacted by their research nurse (by telephone) to

- record pregnancy events and outcomes at 12 and 28 weeks of gestation, and again approximately 6
- 237 weeks after delivery. Those who had a negative pregnancy test were not followed up any further as
- 238 part of this trial.
- 239

240 Economic evaluation

241 Health care resource use and pregnancy outcomes from randomisation up to, and including, delivery

242 were assessed using the trial electronic case report forms. Post-randomisation IVF-related treatment

243 costs were derived for the following categories: freezing of embryos, endometrial preparation, luteal

support, and embryo transfer as well as thawing of frozen embryos, extra monitoring visits, blood

- 245 tests and transvaginal ultrasound scans prior to frozen embryo transfer. Individual patient resource
- 246 use data were valued from a National Health Service (NHS) perspective using unit costs derived from
- 247 UK national sources (Department of Health and Social care reference costs, 2020; Curtis et la., 2019).
- 248 Costs were expressed in 2018/19 pounds sterling. Full details of the economic analysis and modelling

- to extrapolate longer term cost-effectiveness will be published elsewhere. The main within trial cost-effectiveness findings are presented in this paper.
- 251

252 Statistical analysis

In order to achieve 90% power at a two-sided 5% level of statistical significance, 1,086 women (543
per group) were required to show an absolute risk difference in the primary outcome of 8% (from
17% to 25%), between fresh embryo transfer and elective freeze strategy following first embryo
transfer. A difference of 8% was considered to be clinically important by an expert panel of clinicians

- and scientists in order to recommend a change in routine clinical practice, considering the extra
- time, effort and cost involved in electively freezing all suitable embryos in preference of fresh
- embryo transfer.
- A detailed statistical analysis plan has been published (Bell et al., 2020). The primary analysis for all
- 261 primary and secondary outcomes was by intention to treat (ITT). Secondary analyses were
- 262 performed to include the clinically relevant denominators such as: per total number of women with
- a positive pregnancy test after embryo transfer, for miscarriage; per total number of pregnant
- women with an ongoing pregnancy resulting in delivery, for pregnancy complications; per total
- number of babies born, for birthweight and congenital anomalies. For neonatal secondary
- 266 outcomes, the unit of analysis in the ITT analysis was the mother and in cases of multiple pregnancy
- where the infants' outcomes differed, the worst outcome was reported. In this manuscript, results
- are reported per clinically relevant denominator.
- 269 Risk ratios and CIs were calculated using a Poisson regression model with a robust variance
- 270 estimator. Analyses were adjusted for all minimisation factors, where technically possible. Adjusted
- and unadjusted risk ratios are presented, with the primary inference based on the adjusted
- estimates. Linear regression was used for normally distributed continuous outcomes and quantile
- 273 regression for skewed continuous outcomes.
- 274 Pre-specified subgroup analyses for the primary outcome were: age (< 35, ≥ 35 to < 40, and ≥ 40
- years); fertility clinic; cleavage versus blastocyst embryo transfer; single versus multiple embryo
 transfer; and number of previous embryo transfers.
- For the primary outcome, 95% CIs were used for all analyses, and for secondary outcomes, 99% CIs
 to allow cautious interpretation of the results owing to the multiple number of hypothesis tests
- 279 performed.
- 280 Further pre-specified analyses were carried out for the primary outcome only: complier-average
- 281 causal effect analysis; per protocol (restricted to those who complied with the allocated
- 282 intervention), and as treated (grouping couples according to allocation actually received).
- 283 For the within-trial cost-effectiveness analysis, generalised linear regression models with adjustment
- 284 for design covariates were used to estimate mean differences in costs and effects by ITT. The
- 285 incremental treatment cost (inclusive of OHSS costs) per additional healthy baby and per additional
- live birth per first embryo transfer was estimated as the measure of cost-effectiveness.
- Non-parametric bootstrapping (1,000 iterations) was used to characterise uncertainty surrounding
 the joint difference in costs and effects, and to determine the probability of the freeze-all strategy

- being cost-effective at different thresholds of willingness to pay (WTP) per healthy baby and per live
- birth following first embryo transfer. Sensitivity analysis was conducted around the unit costs
- applied to transvaginal ultrasound scans as part of monitoring for frozen embryo transfer, and the
- inclusion of antenatal and delivery care costs. Analyses were performed using Stata version 15 (
- 293 (StataCorp, TX, USA).
- 294
- 295

296 Results

- Between 16th Feb 2016 and 30thApril 2019, 1,578 couples consented to participate in the trial, of
 whom 619 were randomised: 309 to freeze-all and 310 to fresh embryo transfer. Most cases that did
- 299 not progress to randomisation (n=959, 61%) were because of non-availability of three good quality
- 300 embryos (n = 476, Fig. 1). Of those randomised, 117 (19%) did not adhere to their allocated
- 301 intervention.

302 Recruitment was continually below expectation despite an in-built internal pilot and multiple

- 303 strategies used to boost up recruitment. On 9 November 2018, the Data Monitoring Committee
- 304 (DMC) recommended to the Trial Steering Committee (TSC) that the trial should be halted, owing to
- 305 the shortfall in recruitment and the high level of non-adherence in the elective freeze group.
- 306
 Following the recommendation, a joint meeting of the TSC and DMC was convened on 17 January
- 2019, with an independent chair, to agree scenarios for a monitoring meeting with the National
 Institute for Health Research, Health Technology Assessment. After the monitoring meeting on 29
- 309 January 2019, it was agreed that the trial would stop recruitment on 30 April 2019 as it was felt that
- 310 continuing the trial beyond then would yield no further benefit and lead to research wastage.
- 311 The ITT population included 307 couples in the elective freeze and 309 in the fresh embryo transfer
- arm, as three women withdrew consent for use of their data. Of 307 women randomised to elective

freeze, 96 received fresh embryos (31%); non-adherence to the allocated intervention was much

lower (n=21, 7%) in the fresh embryo transfer arm. Personal choice accounted for 72% cases of non-

- adherence in the elective freeze arm, followed by 13% for medical reasons.
- The two randomised groups were similar in terms of baseline characteristics (Table I). The mean age of the women was 35 years with 95% of women under the age of 40 years, and 50% under the age of 318 35 years. Most women (78%) had primary infertility and a high proportion (41%) had unexplained infertility. Median (interquartile range (IQR)) duration of infertility for both arms was 36 months (IQR: 24 to 48 months).
- 321
- Of those randomised, 298 (97%) women in the elective freeze arm and 303 (98%) women in the
 fresh embryo transfer arm had an embryo transfer. Most embryo transfers (94.6% in frozen and
 93.1% in fresh) involved embryos at blastocyst stage. In the elective freeze arm, embryo freezing
 was by vitrification at blastocyst stage in 88.1% cases. Almost all frozen embryo transfers were
 carried out in hormonally mediated cycles (206/223) (Table I). Over 80% women in both randomised
- 327 groups received a single embryo; the others received two embryos, with the exception of one
- 328 woman who had a triple embryo transfer.
- 329 In order to transfer 248 embryos, 280 had to be thawed i.e. 88.6% were suitable to be transferred
- after being thawed. Three couples in the frozen transfer group did not have any embryos to transfer
- owing to the failure of all embryos to survive the freezing thawing process.
- In the elective freeze group, the clinical characteristics pre-randomisation (number of eggs, method of insemination, number of 2pn, number of good quality embryos on day 3, cycle number, number of previous embryo transfers) were similar in the groups who complied with allocated intervention and those who did not (Supplementary Table SI). Median (IQR) of remaining embryos after first transfer were higher in those who complied compared to those who did not (3 (1-4) versus 1 (0-3)). This could partly be related to a lower proportion who had single embryo transfer (72.9% versus 88.6%) and a

higher proportion that received blastocyst transfer (95.8% versus 88.1%) in the non-compliant group,
leading to the use of more embryos at first transfer. More than 50% had at least one embryo
remaining frozen after transfer in the non-compliant group.

341

342 ITT analysis showed that the healthy baby rate was 20.3% (62/307) in the elective freeze arm and 343 24.4% (75/309) in the fresh embryo transfer group (RR 0.84, 95% CI: 0.62 to 1.15) (Table II) after first 344 embryo transfer following randomisation. The treatment effect (RR, 95% CI) was similar using a 345 complier-average causal effect analysis {0.77 (0.44 to 1.10)}, a per-protocol analysis {(0.87 (0.59 to 346 1.26)}, and an as-treated analysis {0.91 (0.64 to 1.29)} (Fig. 2). Within the elective freeze arm, the 347 healthy baby rate was similar (21.3% versus 20.0%) between those who adhered to the allocated 348 intervention and those who did not. There was no evidence of any interaction between treatment 349 and subgroup in the healthy baby rate across all pre-specified subgroups: age of female partner (< 350 35 or \geq 35 years); previous embryo transfer performed (none or \geq 1), or whether one or multiple 351 embryos were transferred (Supplementary Fig. S1). It was not possible to perform subgroup analysis 352 by cleavage versus blastocyst transfer and where female age was over 40 years owing to insufficient

353 numbers.

The risk of OHSS was 3.6% (11/307) in the elective freeze arm compared to 8.1% (25/309) in the

fresh embryo transfer arm (RR 0.44, 99% CI: 0.15 to 1.30) (Table II). The severity of ovarian

356 hyperstimulation was only mild to moderate in the elective freeze group whereas there were 6 cases

357 (1.9%) of severe OHSS in the fresh embryo transfer group .

358 The live birth rate {28.3% versus 34.3%; RR, 99% CI: 0.83 (0.65 to 1.06)} and clinical pregnancy rates

359 {33.9% versus 40.1%; RR, 99% CI: 0.85 (0.65 to 1.11)} were lower in the elective freeze arm, but

there is no statistically significant difference (Table II). The risk of miscarriage was similar in both

361 groups (14.3% versus 12.9%, RR, 99% CI: 1.09, 0.72 to 1.66) when analysed by ITT or by clinically

- 362 relevant denominator i.e. per pregnancy {31.7% versus 26.0%; RR, 99% CI: 1.18 (0.76 to 1.84)}.
- There was no evidence of a difference (RR, 99% CI) in the risk of gestational diabetes mellitus {4.7% versus 3.9%; RR, 99% CI: 1.21 (0.20 to 7.20)} or hypertensive disorder in pregnancies {(9.4% versus 6.8%; RR, 99% CI: 1.38 (0.39 to 4.97)} in pregnancies in the elective freeze arm compared to fresh embryo transfer arm. There were no cases of eclampsia in the trial. There were five cases of preeclampsia (5.9%) in pregnancies in the elective freeze group compared to one (1%) in the fresh embryo transfer group. The was no evidence of a difference in the risk of antepartum haemorrhage {13.1% versus 11.7%; RR, 99% CI: 1.12 (0.41 to 3.07)} and preterm delivery {10.3% versus 11.4%; RR,
- 370 99% CI: 0.91 (0.31 to 2.65)} in the elective freeze group compared to fresh embryo transfer group.
- A total of 196 babies were born (89 in the elective freeze arm versus in 107 in the fresh embryo

transfer arm). One-third of women (32.9% versus 36.2%) had normal vaginal delivery (RR, 99% CI:

373 0.92, 0.63 to 1.33); 23.5% versus 28.6% had an instrumental vaginal delivery (RR, 99% CI: 0.84, 0.56

to 1.27) and 43.5% versus 35.2% had Caesarean section (RR, 99% CI: 1.21 (0.98 to 1.51)) in the

375 elective freeze versus the fresh embryo transfer arm, respectively.

There was no evidence of a significant difference in the risk (RR: 99% CI) of having a low birthweight

- 377 {9.1% versus 13.1%; RR, 99% CI: 0.69 (0.24 to 2.05)}, high birthweight {11.4% versus 9.3%; RR, 99%
- 378 CI: 1.22(0.41 to 3.62)}, small for gestational age {10.2% versus 11.3% RR, 99% CI: 0.90 (0.31 to 2.64)}
- or a large for gestational age baby (10.2% versus 9.4%; RR, 99% CI: 1.08 (0.35 to 3.33)} in babies born

- in elective freeze arm when compared to fresh embryo transfer arm. There was no evidence of a
 difference in the rate of congenital anomaly either (5.7% verus 4.7%) with RR, 99% Cl as 1.22 (0.25 to
 5.95). There was one neonatal death in the elective freeze arm and none in fresh embryo transfer
- 383 group.
- 384

385 Economic analysis

Post-randomisation IVF-related treatment costs were higher in the elective freeze than fresh 386 387 transfer arm (£1,538 versus £1,216) owing to the higher number of pre-embryo transfer monitoring 388 visits and transvaginal ultrasound scans. Costs of OHSS, however, were higher in the fresh transfer 389 arm owing to the higher incidence of this complication (8.1% versus 3.6%). The mean cost (inclusive 390 of treatment and OHSS management costs) was higher (+£170, 95% Cl: 67 to 289) but the healthy 391 baby rate (-0.039 (95% CI -0.101 to 0.027) and live birth rate (-0.06, 95% CI: -0.127 to 0.020) were 392 lower in the elective freeze than fresh transfer arm, although these differences were not statistically 393 significant (Supplementary Table SII). Using bootstrap resampling to characterise the uncertainty 394 around the estimated joint difference in costs and effects (Supplementary Fig. S2), electively freezing 395 all suitable embryos had a low chance of being considered cost-effective at all WTP thresholds. The 396 magnitude and statistical significance of the mean cost-difference was sensitive to the unit cost 397 applied to transvaginal ultrasound scans (Supplementary Table SIII), but the probability of cost-398 effectiveness remained low for the elective freeze approach (Supplementary Fig. S3).

- 399 The cost for pregnancy care was similar between groups, and fresh embryo transfer retained the
- 400 higher probability of being cost-effective from the UK perspective above a WTP threshold of £1,921
- 401 per additional healthy live birth (Supplementary Table SIII, Supplementary Fig. S3).
- 402

403 Discussion

The results of this study, despite limited sample size, showed that a policy of electively freezing all
 suitable embryos followed by thawed frozen embryo transfer did not increase the chance of having a

406 healthy baby after first embryo transfer, but was significantly more expensive from the UK

- 407 perspective. The risk of OHSS was not reduced by an elective freeze policy. There was no evidence of
- a statistically significant difference in live birth, clinical pregnancy, and miscarriage rates in those
 who were randomised. A high level of non-adherence in couples randomised to the elective freeze is
- 410 suggestive of a preference for fresh embryo transfer.
- 411 This is the first UK trial comparing fresh embryo transfer with a policy of electively freezing all
- suitable embryos followed by subsequent frozen embryo transfer. E-Freeze was a pragmatic trial and
- 413 the participants were recruited from a total of 18 NHS and private clinics, as 70% of IVF treatment in
- the UK is self-funded by couples. Withdrawal from the trial was minimal and data collection was
- almost complete. Despite not reaching the original planned sample size of 1,086, it still represents
- the largest trial outside Asia to address this question along with detailed health economic analysis.
- This trial did not recruit to the initial planned numbers, however in view of the trends identified in the data (higher clinical pregnancy rate and live birth rate in fresh embryo transfer but not

- statistically significant) a statistically significant change in direction of the results would be unlikelyeven if 1086 couples were recruited.
- 421 We have not reported on cumulative healthy baby rate in this manuscript as that is a follow up
- study. It is well known that cumulative outcomes are more important than outcomes after singleembryo transfer. We will be reporting on them in the near future.

424 The reported difference in costs is only valid for the UK and therefore this money-saving benefit may 425 not be as significant in other clinics/countries with different characteristics/protocols. 426 The significant drop in numbers of participants between consent and randomisation mainly resulted 427 from the absence of three good quality embryos in a large proportion of recruited couples. This was 428 primarily caused by the broad inclusion criteria, which did not exclude those who were less likely to 429 have a good prognosis. There was high non-adherence to the allocated intervention in the elective 430 freeze arm, despite minimal delay between randomisation and delivery of the intervention (embryo 431 transfer) and sufficient time between consent and randomisation to ensure a well-informed consent 432 process. The most common reason for non-adherence was personal choice owing to a strong 433 preference for fresh embryo transfer. This is interesting as the studies exploring the intentions of 434 couples (Abdulrahim et al., 2021; Stromlund et al., 2019) suggest that they do not prefer fresh over 435 elective freezing when hypothetical scenarios are given. When the benefits of a freeze-all strategy 436 were explained in detail to the participants there was no preference whatsoever. However, from this 437 trial it is clear that intentions do not always translate into real practice. There could be important 438 cultural influence as well in preference towards the fresh embryo transfer, which we could not elicit

in this study.

440 When the trial was designed embryo transfer was usually performed on day 3 but this changed

441 during the trial to day 5. This created a slightly longer gap between randomisation (day 3) and

442 intervention (day 5), which allowed clinicians and participants to change their minds in favour of

fresh embryo transfer. Limited public funding for IVF and no compensation (e.g. free IVF cycle) for

444 those participating in the trial as well participant preference may have contributed to non-

- adherence. The analyses by complier average casual effect, per protocol and as treated did not have
 a noteworthy impact on the results, suggesting that non-adherence is unlikely to have altered the
 overall interpretation of the findings of this trial. Clinical characteristics were also similar between
 those who complied and those who did not comply with allocated intervention in elective freeze
- 449 group, hence it was down to participant's own choice.

450 During the conduct of E-Freeze, five large trials (Vuong et al., 2018; Shi et al., 2018; Stromlund et al., 451 2020; Wei et al., 109; Wong et al., 2021) were published on normal responders. Despite different 452 designs, with randomisation at various points in the IVF treatment the overall results are very similar 453 to E-Freeze. None of these other trials reported on healthy baby rate, hence data on this outcome 454 could not be compared. Since all complications in pregnancy and delivery have an impact on the 455 short- and long-term health of an individual, E-Freeze was unique in taking a holistic view of efficacy 456 and safety, evaluating the healthy baby rate and not just live birth. We also reported on details of 457 obstetrics and perinatal outcomes.

458 Our trial did not show a statistical difference in OHSS between the two arms. One of the reasons459 could be that most patients received HCG as randomisation was not until day 3 after fertilisation.

However, others who have randomised at the start of stimulation also showed no difference in therisk of OHSS (Stromlund et al., 2020). This could be related to the low number of cases in each trial.

462 In the aftermath of the coronavirus disease 2019 (COVID-19) pandemic, national and international

463 guidance (ASRM, ESHRE, and BFS) has tended to recommend a low threshold for freezing all

464 embryos, as a precautionary measure (<u>COVID-19 and ART (eshre.eu</u>). With the increasingly

- 465 widespread practice of elective freeze in preference to fresh embryo transfer across IVF clinics, this
- trial provides timely evidence, though limited by not reaching full sample size, for practitioners to re-
- 467 evaluate this approach in the absence of a strong clinical indication, such as significant risk of OHSS.
- For elective freezing of all suitable embryos to be as accepted as the default strategy for all, it must show clinical and cost effectiveness especially as this involves a delay in getting pregnant, extra clinic activity and additional visits for patients. There was a clear consensus from clinicians and scientists prior to this trial that a policy of electively freezing all suitable embryos should only be used if it improves the absolute healthy baby rate by at least 8%.
- 473 A Cochrane review (Zaat et al., 2021) has suggested that there is moderate quality evidence that 474 elective freeze policy is not better than fresh embryo transfer in terms of cumulative live birth rate 475 and ongoing pregnancy rates. However, in the absence of individual participant data, it was not 476 possible to conduct meaningful subgroup analyses based on important characteristics such as 477 maternal age, embryo number and quality, hence the debate continues. Meta-analyses of 478 observational data have also shown that singletons born as a result of frozen embryo transfer are at 479 lower risk of preterm delivery and small for gestational age but at higher risk of large for gestational 480 age and pre-eclampsia (Maheshwari et al., 2018). Meta-analysis of RCTs (Zaat et al., 2021) confirmed 481 a higher risk of LGA and hypertensive disorders but failed to show a difference in preterm delivery 482 and SGA. Thus, despite the availability of randomised data from over 5000 patients, there is no 483 consensus on the clinical and cost effectiveness of a blanket policy of electively freezing all suitable 484 embryos. The available RCTs are powered for live birth rates and are unable to comment on the 485 comparative benefits and risks of fresh versus frozen embryo transfer with respect to less common 486 outcomes and in key subgroups. The effectiveness of elective freezing of all suitable embryos 487 followed by frozen embryo transfer may vary by maternal age, number of eggs obtained, number of 488 embryos, stage of embryo transfer and type of freezing: sub-group analyses may help to identify the 489 couples undergoing IVF for whom this strategy is particularly effective.
- Rather than investing additional time and resources in further RCTs, we believe that an individual participant data meta-analysis (IPD-MA) offers a more efficient and cost-effective way of addressing this evidence gap. An IPD-MA approach (Riley et al., 2010) will allow researchers to estimate the incidence of clinically important but less common pregnancy and neonatal complications and help to develop a personalised approach based on individualised prediction of success rates associated with fresh versus frozen embryo transfer.
- In conclusion, the results of this multi-centre pragmatic RCT do not support a change to a universal
 elective freeze policy on grounds of clinical or cost effectiveness although the results were limited by
 not reaching full sample size as well as non-adherence.
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501 Data availability statement

502 Data will be shared in accordance with the National Perinatal Epidemiology Unit Data Sharing policy.

503 Requests for access to the data will be considered by the National Perinatal Epidemiology Unit Data

- 504 Sharing committee. Access to anonymised data can be requested from general@npeu.ox.ac.uk. The
- trial protocol, statistical analysis plan, and other study documents are also available through thisroute.
- 507

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514

515 Authors' roles

516 AM wrote the first draft of the article. AM, SB,PB,DB,TC,AC,RC,PH,EJ,YK,JK,SL,NM, NR, GS and ST

517 were involved in securing funding for the study. LL, PH and JB developed the statistical analysis plan.

518 LL supervised and JB performed the study analyses. HC conducted the health economic analysis

under the supervision of GS. CC coordinated the study and data collection. All authors reviewed,

- 520 contributed to and approved the final version of the article .JB and LL have accessed and verified the
- 521 underlying data.

522

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- 527 The sponsors and funders of the study had no role in study design, data collection, data analysis,

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- 529 in the study and had final responsibility for the decision to submit for publication.
- 530 The trial was registered with the International Standard Randomised Controlled Trial Register
- 531 (ISRCTN61225414) as was conducted as per published protocol (Maheshwari et al., 2019).
- 532 This report presents independent research commissioned by the National Institute for Health
- 533 Research (NIHR). The views and opinions expressed by authors in this publication are those of the
- authors and do not necessarily reflect those of the NHS, the NIHR, NETSCC, the NIHR HTA
- 535 programme or the Department of Health.

536

Conflict of interest 537

538 JB, CC, EJ, PH, JK, LL, GS report receipt of funding from NIHR, during the conduct of the study. JB, EJ, 539 PH, KS, LL report receipt of funding from NIHR, during the conduct of the study and outside the 540 submitted work. AM reports grants from NIHR personal fees from Merck Serono, personal fees for lectures from Ferring, and Cooks, outside the submitted work. SB reports receipt of royalties and 541 542 licenses from Cambridge University Press, a board membership role for NHS Grampian and other 543 financial or non-financial interests related to his roles as Editor in Chief of Human Reproduction 544 Open and Editor and Contributing Author of Reproductive Medicine for the MRCOG, Cambridge 545 University Press. DB reports grants from NIHR, during the conduct of the study; grants from European Commission, grants from Diabetes UK, grants from NIHR, grants from ESHRE, grants from 546 MRC, outside the submitted work. YC reports speaker fees from Merck Serono, and advisory board 547 role for Merck Serono and shares in Complete Fertility. PH reports membership of the HTA 548 549 Commissioning Committee. EJ reports membership of the NHS England and NIHR Partnership Programme, membership of five Data Monitoring Committees (Chair of two), membership of six 550 Trial Steering Committees (Chair of four), membership of the Northern Ireland Clinical Trials Unit 551 Advisory Group and Chair of the board of Oxford Brain Health Clinical Trials Unit. RM reports 552 553 consulting fees from Gedeon Richter, honorarium from Merck, support fees for attendance at 554 educational events and conferences for Merck, Ferring, Bessins and Gedeon Richter, payments for 555 participation on a Merck Safety or Advisory Board, Chair of the British Fertility Society and payments for an advisory role to the Human Fertilisation and Embryology Authority. GS reports travel and 556 557 accommodation fees for attendance at a health economic advisory board from Merck KGaA, 558 Darmstadt, Germany. NRF reports shares in Nurture Fertility. 559 Other authors' competing interests: None declared. 560

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Figures

AUTHOR: High quality, editable figure files are required for publication. Ideally, all figures should be in TIFF, EPS or AI format at a minimum of 300dpi. If figures remain in MS Word, they must be fully editable (i.e. not simply cut and pasted in to MS Word) and images and text must not be pixelated or blurry at 400% magnification.

High quality, editable files are also required for the supplementary figures (as described above for main figures).

Please return all new figure files to me. Thank you.

Figure legends

Figure 1 Flow of participants in a randomised controlled trial (E-Freeze) of elective freezing of embryos versus fresh embryo transfer in IVF.

OHSS: ovarian hyperstimulation syndrome, ITT: intention to treat

Figure 2 Primary outcome (ealthy baby rate) analyses.

RR: risk ratio

Supplementary figure S1 Subgroup analysis of the primary outcome (Healthy Baby rate)

Supplementary figure S2 Cost-effectiveness scatter plot and acceptability curve for the incremental costs.

A and B: costs per health baby, C and D: costs per live birth.

Supplementary figure S3 Sensitivity analysis or the incremental cost per health baby (Including Transvaginal scan and cost of antenatal care and delivery).

AUTHOR: I suggest each of the panels in Supplementary figure S3 is labelled A), B), C), etc. and you edit the legend to provide a shorter overall title, with further explanation under a, b, c, etc below. Please would you edit accordingly?