

# Numerical modelling of tsunamis generated by iceberg calving validated with large-scale laboratory experiments

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## Abstract

When calving icebergs interact with water, waves of tens of meters in height, so-called iceberg-tsunamis (IBTs), may be generated. Recent examples include an IBT which reached an amplitude of 45 to 50 m in Eqip Sermia, Greenland, in 2014. A novel numerical methodology and unique large-scale laboratory experiments are presented to investigate the generation and propagation of such IBTs. In the laboratory the IBTs were generated with rigid blocks in a 50 m  $\times$  50 m basin. For the numerical model a multiphase flow solver is extended by coupling it with a motion solver to handle dynamic immersed boundaries such as the surfaces of floating icebergs. An analytical solution of the radiated waves by a heaving sphere in still water, a vertically falling and an overturning block experiment are used to validate the numerical model. The model simulates the laboratory IBTs with a maximum relative error of 15.5% in the first (leading) wave amplitude and 13.8% in the wave height decay exponent if the splash is ignored. The validated model is then used successfully to replicate the 2014 Eqip Sermia IBT. This new numerical model is expected to be useful for IBT hazard assessment and many further floating body phenomena.

*Keywords:* Fluid-structure interaction, Foam-extend, Iceberg calving, Iceberg-tsunamis, Immersed Boundary Method, Wave decay

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1 **1. Introduction**

2 Iceberg calving is the detachment of an iceberg from a larger ice volume such  
3 as a glacier or ice sheet. This phenomenon is a major reason for ice mass loss in  
4 Greenland and the Antarctica (Benn et al., 2017; Depoorter et al., 2013). When  
5 icebergs calve into water, waves of tens of meters in height may be generated  
6 (Lüthi and Vieli, 2016). Such waves are called iceberg-tsunamis (IBTs) herein,  
7 short for iceberg-generated tsunamis (Heller et al., 2019c; 2020). IBTs are gen-  
8 erated by different mechanisms such as fall, overturning and capsizing (Benn et  
9 al., 2007; Heller et al., 2019c; 2020). Examples of IBTs in Greenland include a  
10 wave which reached an amplitude of 45 to 50 m at Eqip Sermia in Greenland in  
11 2014 (Lüthi and Vieli, 2016) and a capsizing iceberg causing severe damage in  
12 a local harbour in 1995 (Mendonsoaz, 2009). Further, some inhabitants of the  
13 village Innaarsuit in Greenland had to be evacuated in July 2018 due to IBT  
14 hazards from a floating iceberg (The Guardian, 2018). The potential hazards  
15 of such IBTs is further highlighted in Burton et al. (2012), Heller et al. (2019c;  
16 2020), Levermann (2011), Lüthi and Vieli (2016) and MacAyeal et al. (2011).

17 However, only a few field measurements and experimental studies have been  
18 conducted thus far to quantify the generation and propagation of IBTs. Experi-  
19 mental investigations include the small-scale laboratory flume tests of Burton  
20 et al. (2012). They estimated that the radiated wave energy and the kinetic  
21 energy of the icebergs account for only 1 and 15% of the total energy released  
22 by icebergs, respectively. Heller et al. (2019c; 2020) conducted large-scale ex-  
23 periments in a 50 m  $\times$  50 m wave basin to investigate IBTs involving five ide-  
24 alised iceberg calving mechanisms: (A) capsizing, (B) gravity-dominated fall, (C)  
25 buoyancy-dominated fall, (D) gravity-dominated overturning and (E) buoyancy-  
26 dominated overturning. Gravity-dominated masses essentially fall into the water  
27 body whereas buoyancy-dominated masses rise to the water surface. Heller et al.  
28 (2019c; 2020) found that the total IBT train energy corresponds to 0.6 to 59.6%  
29 of the theoretically released energy from the icebergs over all mechanisms, with

30 the remaining energy lost in mechanisms such as bobbing and rocking motions  
31 of the block and water system or viscous energy dissipation. Further, Heller  
32 et al. (2019c) showed that IBTs generated by mechanisms B and D are typi-  
33 cally an order of magnitude larger than tsunamis generated by the remaining  
34 three mechanisms. Their experiments were then further analysed by Heller et  
35 al. (2019a,b; 2020) to derive empirical equations for the most important IBT  
36 features for preliminary hazard assessment.

37 The aforementioned Eqip Sermia event was investigated in a field study by  
38 Lüthi and Vieli (2016). They analysed data from a terrestrial radar interferome-  
39 ter, a tide gauge and a video recorded from a tour boat, resulting most likely in  
40 the best documented IBT event ever. They identified an IBT of 45 to 50 m am-  
41 plitude near the glacier terminus running-up 10 to 15 m on the opposite shore,  
42 4 km from the glacier front. Minowa et al. (2018) recorded 420 calving events at  
43 the Perito Moreno glacier in Argentina and found the amplitudes of IBTs to in-  
44 crease with the volume of the iceberg. Vaňková and Holland (2016) investigated  
45 IBT propagation through the Sermilik Fjord, Greenland, and measured still a  
46 24 cm large IBT at a distance of 30 km from the glacier terminus. They further  
47 used the finite-volume method MITgcm model (Marshall et al., 1997) based on  
48 the incompressible Navier-Stokes equations, to compute the resonant modes in  
49 the fjord and to successfully reproduce the observed IBTs. This appears to be  
50 the only numerical simulation of IBTs to date. Given that the numerical op-  
51 tions to simulate IBTs are limited, a new flexible and robust numerical model  
52 is developed in the present work.

53 As highlighted in a number of studies (e.g. Benn et al., 2007; Heller et al.,  
54 2019a,b,c; 2020; Lüthi and Vieli, 2016; MacAyeal et al., 2011), IBTs are related  
55 to landslide-tsunamis, addressed e.g. by Evers and Hager (2016), Heller and  
56 Hager (2010), Heller and Spinneken (2015) and Panizzo et al. (2005). There-  
57 fore, numerical models capable of reproducing subaerial landslide-tsunamis are  
58 also candidates to simulate IBTs. These models include codes based on the  
59 Lagrangian as well as the Eulerian approaches.

60 Lagrangian methods include Smoothed Particle Hydrodynamics (SPH) (e.g.

61 Heller et al., 2016; Monaghan and Kos, 2000; Tan et al., 2018; Vacondio et  
 62 al., 2013). However, wave propagation modelled by SPH can be affected by nu-  
 63 merical dissipation (Violeau and Rogers, 2016), requiring coupling with a wave  
 64 propagation model in the far field, as demonstrated by Abadie et al. (2012),  
 65 Ruffini et al. (2019) and Tan et al. (2018). The mesh-based Eulerian method  
 66 is e.g. used in OpenFOAM (Jasak et al., 2007), Thetis (Abadie et al., 2012),  
 67 REEF3D (Kamath et al., 2016) and SU<sup>2</sup> (Palacios et al., 2013). This method  
 68 is well capable of modelling Fluid-Structure Interactions once the challenges of  
 69 mesh adaptivity and free surface tracking are overcome. OpenFOAM is a widely  
 70 used open source mesh-based computational fluid dynamics code containing nu-  
 71 merous solvers and utilities to efficiently solve complex fluid problems in coastal  
 72 and offshore engineering (Jasak 2009).

73 Handling large displacements of bodies, such as icebergs, and the associated  
 74 remeshing is a challenging key requirement in the context of IBT generation  
 75 modelling. The Immersed Boundary Method (IBM) possesses a natural advan-  
 76 tage in dealing with this type of problems: the boundary of the moving body is  
 77 represented by cells in the mesh (Fig. 1), thus the mesh itself does not need to  
 78 be changed with the movement of the body. The present study uses the IBM  
 79 of Jasak et al. (2014) who implemented the discrete forcing IBM toolbox in  
 80 Foam-extend, a fork of OpenFOAM.

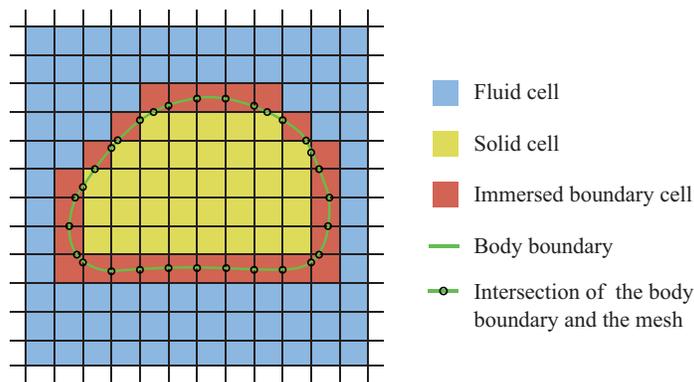


Fig. 1 A block modelled with IBM: the boundary of the block is represented by the brown cells in the mesh

81 The aim of this work is to set up and validate a numerical model capable of  
82 simulating both the generation and propagation of IBTs. A new flow solver and  
83 a motion solver (for icebergs) are coupled in Foam-extend based on the IBM  
84 toolbox of Jasak et al. (2014). To validate this new approach, the analytical  
85 solution of radiated waves from a heaving sphere in still water is used. Given  
86 that laboratory tests prior to Heller et al. (2019c; 2020) only involved the cap-  
87 sizing mechanism investigated at very small scale, and given that available field  
88 observations do not provide data in a suitable high resolution, results of two  
89 large-scale tests of Heller (2019) and Chen and Heller (2020) are also used in  
90 the validation process.

91 Details about the selected large-scale experiments can be found in Section  
92 2 and the numerical model setup is given in Section 3. The validation with  
93 the analytical solution of the floating heaving sphere case, convergence tests,  
94 a comparison of numerical and laboratory experiments and the simulation of  
95 the 2014 Equip Sermia case are presented in Section 4. The results, with and  
96 without turbulence modelling, are discussed in Section 5, along with limitations  
97 of the numerical model. The most important conclusions are then presented in  
98 Section 6.

## 99 **2. Experimental setup**

100 Large-scale experiments were conducted in the 50 m  $\times$  50 m large Delta  
101 Basin at Deltares in Delft, The Netherlands, with an effective size of 40.3 m  
102  $\times$  33.9 m. IBTs were generated by five different iceberg calving mechanisms  
103 (Heller et al., 2019c; 2020). Herein, only mechanisms B and D are addressed  
104 (Fig. 2). These mechanisms generated the largest measured IBTs, and each of  
105 them involves translation or rotation only. Thus, they are well suited to validate  
106 the numerical model. An overview of the experimental setup of the selected tests  
107 is provided here, with full details being given by Heller (2019).

108 A block made of polypropylene homopolymer with a density  $\rho_s \approx 920$  kg/m<sup>3</sup>  
109 was used to mimic the iceberg which was supported by a purpose-built steel

110 frame at the basin wall. For mechanism B, the block was held in position with  
 111 an electromagnet prior to release, which was attached to a small steel plate inte-  
 112 grated into the block. For mechanism D, the rotation of the block was initiated  
 113 by removing the safety mechanism and simply by letting the block go. It rotated  
 114 around a fixed axis defined with a steel rod of 30 mm diameter (Fig. 2b). This  
 115 rod was fed through two ball bearings fixed to the block bottom surface, and  
 116 held in position on both sides with profiles rigidly connected to the steel frame.  
 117 The block performed therefore a pure rotational motion (Heller, 2019).

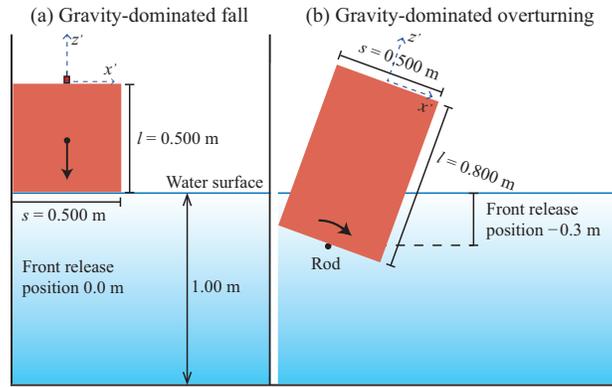


Fig. 2 Illustration of the two iceberg calving mechanisms applied herein:  
 (a) gravity-dominated fall and (b) gravity-dominated overturning  
 (adapted from Heller et al., 2019c)

118 Fig. 3(a) shows a side view of the mechanism B experiment. The water depth  
 119  $h$  was 1.00 m and the basin bottom was horizontal. The block length  $l$ , width  $b$   
 120 and thickness  $s$  were 0.500 m  $\times$  0.800 m  $\times$  0.500 m in mechanism B and 0.800  
 121 m  $\times$  0.500 m  $\times$  0.500 m in mechanism D, and it weighted 187.1 kg including  
 122 the 2.5 kg heavy steel plate. The front release position in Fig. 2 corresponds to  
 123 the distance of the bottom face of the block from the still water surface in each  
 124 of the cases tested.

125 The IBT features were measured with 35 resistance-type wave probes with  
 126 a sampling frequency of 100Hz with an estimated accuracy of  $\pm 0.1$  mm. They  
 127 were placed in a quarter circle as shown in Fig. 3(b), given that the wave field  
 128 is symmetric relative to the block axis. A cylindrical coordinate system  $(r, z, \gamma)$



145 (Fig. 3). The origin of a local coordinate system  $(x', y', z')$  is located at the  
146 centre of the motion sensor (Fig. 2). The axes of the local and global coordinate  
147 systems are parallel before block movement, and the local coordinate system  
148 translates or rotates then along with the motion sensor. The 9-DoF motion  
149 sensor measured accelerations along three local axes (Fig. 2), three global angles  
150 and three components from the Earth’s geomagnetic field. Only the first six DoF  
151 were required to extract the block velocity and position. The trajectory inference  
152 method to extract the block velocity and position based on the 9-DoF motion  
153 sensor is described in Appendix A.

Table 1 Locations of the wave probes and camera of both mechanisms B and D in the laboratory experiments. Values marked with \* were also used in the numerical basin (adapted from Heller, 2019)

Device	Locations in function of the radial distance $r$ (m) and wave propagation angle $\gamma$ ( $^\circ$ ) (Fig. 3a and b)
Wave probes	B1(2, 0)*; B7(3, 0)*; B13(5, 0)*; B19(10, 0); B25(15, 0); B31(22.5, 0); B34(35, 0); B2(2, -15)*; B8(3, -15)*; B14(5, -15)*; B20(10, -15); B26(15, -15); B32(22.5, -15); B35(35, -15); B3(2, -30)*; B9(3, -30)*; B15(5, -30)*; B21(10, -30); B27(15, -30); B33(22.5, -30); B4(2, -45)*; B10(3, -45)*; B16(5, -45)*; B22(10, -45); B28(15, -45); B5(2, -60)*; B11(3, -60)*; B17(5, -60)*; B23(10, -60); B29(15, -60); B6(2, -75)*; B12(3, -75)*; B18(5, -75)*; B24(10, -75); B30(15, -75)
Camera	(6, 45)

### 154 3. Numerical model

155 The numerical model is based on Foam-extend 4.0 (OpenFOAM extensions,  
156 2016), including the IBM toolbox from Jasak et al. (2014). To simulate both the  
157 generation and propagation of IBTs, a new flow solver and a modified motion  
158 solver were implemented in Foam-extend within this work. The new features are  
159 introduced in this section together with the coupling method and a description  
160 of the numerical domain.

161 The same global coordinate system  $(x, y, z)$  as in Section 2 is applied. The  
162 numerical wave basin, shown in Fig. 4, consists of the IBT generation (zone A)

163 and propagation (zone B) zones. The dimensions of zone A are 1.0 m × 1.0 m  
 164 × 1.7 m and its centre is 9.0 m away from the basin side wall. The length and  
 165 width of zone B are 15.0 and 18.0 m, respectively, excluding zone A. The total  
 166 height of zone B is 1.2 m with a 0.2 m thick air layer above the water surface.  
 167 The cell dimensions in the  $x$ ,  $y$  and  $z$  directions in zone A are identical, while in  
 168 zone B they vary in some convergence tests.

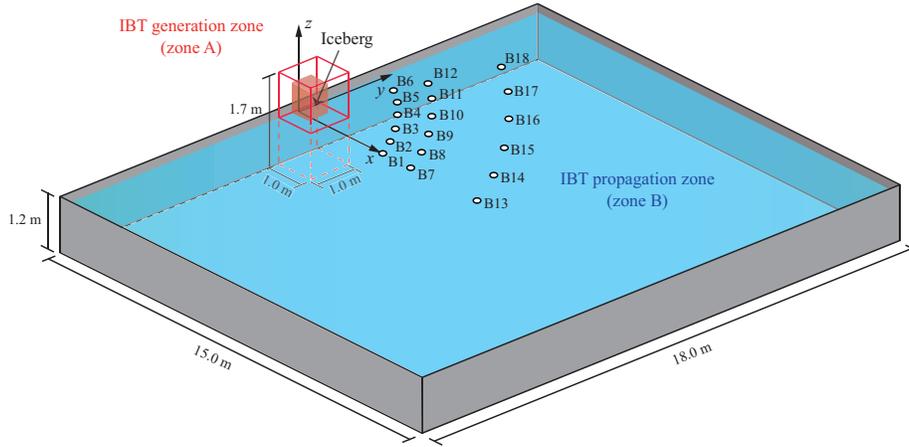


Fig. 4 Sketch of the numerical wave basin with the IBT generation and propagation zones including the wave probe locations. The red frame marks zone A

### 169 3.1. Flow solver

170 The new solver *interDyMibFoam* was implemented within this work based  
 171 on the already provided solver *interIbFoam* for two incompressible fluids (water  
 172 and air) with IBM support in Foam-extend 4.0. In contrast to *interIbFoam*  
 173 based on a static mesh, the new solver *interDyMibFoam* can handle dynamic  
 174 immersed boundaries in order to describe various types of motion of moving  
 175 bodies including icebergs.

176 *InterDyMibFoam* solves the Reynolds-Averaged Navier Stokes (RANS) equa-  
 177 tions using the finite volume method based on the Cartesian coordinate system  
 178 ( $x$ ,  $y$ ,  $z$ ) shown in Fig. 4. The two governing equations for both viscous Newto-  
 179 nian fluids water and air are

$$\nabla \cdot \mathbf{u} = 0, \quad (1)$$

180

$$\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla) \mathbf{u} = -\frac{1}{\rho} \nabla p + \frac{\mu + \mu_t}{\rho} \nabla^2 \mathbf{u} + \frac{1}{\rho} \mathbf{g}. \quad (2)$$

181 In Eqs. (1) and (2)  $\mathbf{u} = (u_x, u_y, u_z)$  is the fluid velocity vector,  $p$  the pressure,  
 182  $\nabla = (\frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z})$  the differential operator,  $\mathbf{u} \cdot \nabla = u_x \frac{\partial}{\partial x} + u_y \frac{\partial}{\partial y} + u_z \frac{\partial}{\partial z}$  the  
 183 dot product,  $\rho$  denotes the density,  $\mu$  the dynamic viscosity,  $\mu_t$  the turbulent  
 184 viscosity ( $\mu_t = 0$  in the laminar model) and  $\mathbf{g}$  the gravitational acceleration  
 185 vector. The Volume of Fluid method is applied to track the interface between  
 186 the two fluids. The phase fraction  $0 \leq \alpha \leq 1$  is introduced with  $\alpha = 1$  denoting  
 187 one fluid (water),  $\alpha = 0$  the other one (air) and  $0 < \alpha < 1$  the interface. The  
 188 physical parameters such as  $\rho$  and  $\mu$  of the two fluids are then evaluated in  
 189 function of  $\alpha$  as

$$\rho = \rho_w \alpha + \rho_a (1 - \alpha), \quad (3)$$

190

$$\mu = \mu_w \alpha + \mu_a (1 - \alpha), \quad (4)$$

191 where the subscripts  $w$  and  $a$  denote water and air, respectively. Once the ve-  
 192 locity field is obtained,  $\alpha$  can be updated over time by solving the transport  
 193 equation

$$\frac{\partial \alpha}{\partial t} + \nabla \cdot (\alpha \mathbf{u}) + \nabla \cdot [\alpha(1 - \alpha) \mathbf{u}] = 0. \quad (5)$$

194 The term  $\nabla \cdot [\alpha(1 - \alpha) \mathbf{u}]$  in Eq. (5) is used to sharpen the air-water interface  
 195 (Weller et al., 1998).

196 Fig. 5 shows the steps applied in the *interDyMFoam* solver. When the solver  
 197 is executed, a small initial time step  $\Delta t^1$  is set. The time step is then con-  
 198 trolled by the Courant-Friedrichs-Lewy number. The forces on the iceberg are  
 199 calculated for each time step before the motion solver is called to determine the  
 200 new position of the iceberg. The immersed boundary is updated by regenerat-  
 201 ing the immersed boundary mask (Jasak et al., 2014). Thereafter, governed by  
 202 the PIMPLE loop, which is a combination of Pressure Implicit with Splitting  
 203 of Operator (PISO) and Semi-Implicit Method for Pressure-Linked Equations  
 204 (SIMPLE) algorithms, *interDyMIBFoam* solves the velocity and pressure equa-  
 205 tions to obtain the velocity and pressure fields successively. Then Eq. (5) is

206 used to update the current interface between the two fluids (Fig. 5). Finally, a  
 207 turbulence correction function can be called for each time step if turbulence is  
 208 included in the simulation.

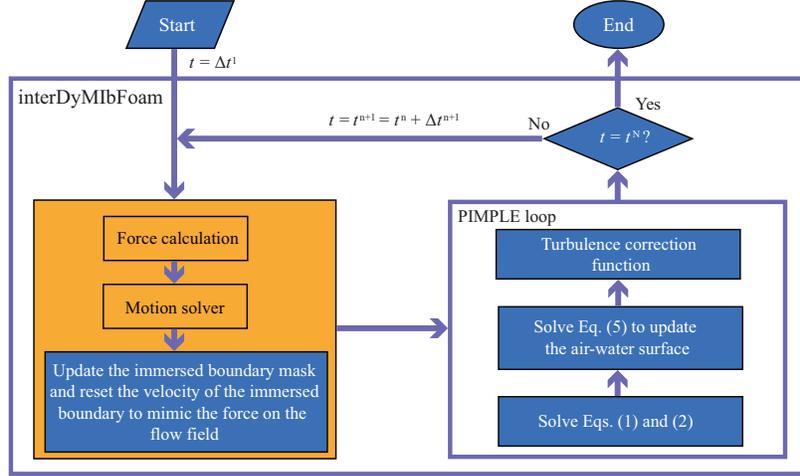


Fig. 5 Steps applied in the *interDyMIbFoam* solver added to Foam-extend (the orange boxes denote new implementations or modifications in this work, while blue boxes denote previously available functions)

### 209 3.2. Motion solver

210 In the numerical model, the icebergs can translate, rotate or perform a com-  
 211 bination of the two. When using the motion solver, all motions are flow-induced  
 212 rather than prescribed. The equations of motion for the iceberg are given as

$$\mathbf{a} = \frac{\mathbf{F}}{m_s} \quad (6)$$

$$\xi = \frac{\mathbf{M}}{I} \quad (7)$$

214 where  $\mathbf{a}$  and  $\xi$  are the acceleration and angular acceleration vectors, respectively.  
 215  $\mathbf{F}$  is the total force vector acting on the iceberg.  $\mathbf{M}$  is the total torque in relation  
 216 to the centre of rotation,  $I$  the moment of inertia and  $m_s$  denotes the mass of  
 217 the iceberg. The approach to calculate  $\mathbf{F}$  followed here is commonly used in the  
 218 modelling of dynamics of floating bodies (Newman, 2018): the added mass and  
 219 drag force coefficients appear explicitly in the momentum equation. The same

220 approach was used for the modelling of submerged landslides in Grilli and Watts  
 221 (2005) and Enet and Grilli (2007). Given the strong analogy between landslide-  
 222 tsunamis and IBTs, this was deemed suitable for the problem at hand. Further,  
 223 although the derivation of the drag forces from the flow characteristics is possible  
 224 (Mei, 1989), the approach by Enet and Grilli (2007) has been applied because  
 225 of its simplicity in allowing the derivation of the drag force when experimental  
 226 cases are analysed. An alternative approach is followed by Hadžić et al. (2005),  
 227 in which the flow equations are solved directly, i.e. without explicit added mass  
 228 and friction coefficients. However, an under-relaxation technique was used and  
 229 this was deemed equivalent to introducing the added mass in Eq. (19) in Hadžić  
 230 et al. (2005). Therefore,  $\mathbf{F}$  is defined as

$$\mathbf{F} = \mathbf{F}_p + \mathbf{F}_v + \mathbf{F}_d - m_a \mathbf{a} + \mathbf{G}, \quad (8)$$

231 where  $\mathbf{F}_p$  is the pressure force,  $\mathbf{F}_v$  the viscosity force caused by the two fluids,  
 232  $\mathbf{F}_d$  the drag force,  $-m_a \mathbf{a}$  the virtual force caused by the added mass  $m_a$  and  
 233  $\mathbf{G}$  is the gravity force. Only these force terms are considered in the calculation  
 234 of  $\mathbf{M}$ . Details about the calculation of  $\mathbf{F}$  and  $\mathbf{M}$  are given in Section 3.3. Once  
 235  $\mathbf{a}$  and  $\boldsymbol{\xi}$  are calculated, the velocity vector of the centre of mass  $\mathbf{v}_{CoM}$  and the  
 236 angular velocity of the centre of rotation of the iceberg  $\boldsymbol{\omega}_{CoR}$  can be obtained  
 237 after one, and the position vector of the centre of mass of the iceberg  $\mathbf{X}_{CoM}$  and  
 238 the iceberg rotation angle vector  $\boldsymbol{\theta}_{CoR}$  after two integrations of  $\mathbf{a}$  and  $\boldsymbol{\xi}$  with  
 239 respect to time. The location of the centre of mass of the iceberg is only used  
 240 as a reference for determining the displacement of the block. In this work, the  
 241 motion solver is modified based on the already provided solver *sixDoFMotion*,  
 242 where a leapfrog scheme with second-order accuracy based on Dullweber et al.  
 243 (1997) is applied to update the position ( $\mathbf{X}_{CoM}$  and  $\boldsymbol{\theta}_{CoR}$ ) and velocity ( $\mathbf{v}_{CoM}$   
 244 and  $\boldsymbol{\omega}_{CoR}$ ) successively for each time step.

245 Some restrictions for the DoFs are required based on the laboratory con-  
 246 ditions. These are applied by imposing the moment and force components to  
 247 zero at each time step for the translational and rotational motion, respectively.

248 Moreover, only the relevant acceleration and angular acceleration components  
 249 are used in Eqs. (6) and (7).

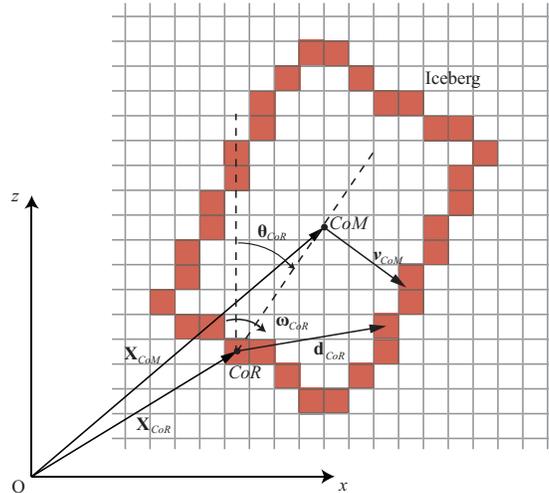


Fig. 6 Sketch of vectors used in Eqs. (11) and (12) involving the mechanism D test. The brown cells denote the immersed boundary of the iceberg

### 250 3.3. Coupling method

251 Coupling the flow and motion solvers requires data exchange. This is achieved  
 252 with a new dynamic mesh handling class in Foam-extend, via which the velocity  
 253 and pressure field data are read and used to calculate the new force. In turn, the  
 254 new position of the immersed boundary may change the velocity and pressure  
 255 fields.

256  $\mathbf{F}_p$  and  $\mathbf{F}_v$  are directly calculated using data of the velocity gradient and  
 257 pressure fields at each time step.  $\mathbf{F}_d$  and  $m_a$  are given by Enet and Grilli (2007)

258 as

$$\mathbf{F}_d = -\frac{1}{2}C_d\rho_s A_b v_{CoM}|v_{CoM}|, \quad (9)$$

259

$$m_a = C_m m_s, \quad (10)$$

260 where  $C_d$  is the drag force coefficient,  $A_b$  the iceberg's cross section perpendicu-  
 261 lar to the direction of velocity and  $C_m$  the added mass coefficient. The selection  
 262 of the values of  $C_d$  and  $C_m$  is discussed in Section 4.4. Therefore,  $\mathbf{F}$  and  $\mathbf{M}$  in

263 Eqs. (6) and (7) are calculated with

$$\begin{aligned} \mathbf{F} &= \mathbf{F}_p + \mathbf{F}_v + \mathbf{F}_d - m_a \mathbf{a} + \mathbf{G} \\ &= \Sigma(p_{ib} \cdot \mathbf{S}_{ib}) + \Sigma(\tau_{ib} \cdot \mathbf{S}_{ib}) - \frac{1}{2} C_d \rho_s A_b v_{CoM} |v_{CoM}| - C_m m_s \mathbf{a} + m_s \mathbf{g}, \end{aligned} \quad (11)$$

$$\begin{aligned} \mathbf{M} &= \Sigma[\mathbf{d}_{CoR} \times (p_{ib} \cdot \mathbf{S}_{ib})] + \Sigma[\mathbf{d}_{CoR} \times (\tau_{ib} \cdot \mathbf{S}_{ib})] \\ &\quad - (\mathbf{X}_{CoM} - \mathbf{X}_{CoR}) \times \frac{1}{2} C_d \rho_s A_b v_{CoM} |v_{CoM}| - (\mathbf{X}_{CoM} - \mathbf{X}_{CoR}) \times C_m m_s \mathbf{a} \\ &\quad + (\mathbf{X}_{CoM} - \mathbf{X}_{CoR}) \times m_s \mathbf{g}. \end{aligned} \quad (12)$$

264 The vectors used in the force and torque calculations are shown in Fig. 6. In  
 265 Eqs. (11) and (12)  $\mathbf{S}_{ib}$  denotes the vector of the immersed boundary cell area  
 266 and  $\tau_{ib}$  is the shear stress along the immersed boundary cell that is calculated  
 267 by multiplying the immersed boundary (subscript *ib*) cell's dynamic viscosity by  
 268 its velocity gradient. In Eq. (12),  $\mathbf{d}_{CoR}$  is the vector pointing from the immersed  
 269 boundary cell to the centre of rotation and  $\mathbf{X}_{CoR}$  is the position vector of the  
 270 centre of rotation of the iceberg. By passing the force and torque data to the  
 271 motion solver, the new position of the immersed boundary can be calculated.  
 272 According to the no-slip condition, the updated moving immersed boundary  
 273 mimics the effect of the force from the iceberg on the fluids. Then the PIMPLE  
 274 loop is applied (Fig. 5).

## 275 4. Results

276 The presented results include the validation of the numerical model with an  
 277 analytical solution of radiated waves from a floating heaving sphere. An overview  
 278 of IBT generation in the laboratory tests is then given using snapshots from the  
 279 experiments, followed by convergence tests with prescribed iceberg motion and  
 280 a comparison of the numerical and laboratory IBTs for resolved iceberg motion.  
 281 Finally, this numerical model is used to simulate the 2014 Equip Sermia case.

### 282 4.1. Validation with the radiated waves from a floating heaving sphere

283 The theoretical floating heaving sphere case of Hulme (1982) is used to vali-  
 284 date the numerical model. The geometry of the mathematical problem is shown

285 in Fig. 7. A spherical polar coordinate system is adopted with  $z = 0$  cor-  
 286 responding to the still water surface and also the top face of the hemisphere. This  
 287 floating hemisphere with a radius  $a_r$  performs a vertical oscillation at the angu-  
 288 lar frequency  $\sigma$  and a velocity of  $V_z = A\cos(\sigma t)$ , with the oscillation amplitude  
 289  $A = 1$  m. The surrounding water has an infinite depth and is assumed to be  
 290 incompressible, inviscid with irrotational motion. The governing equations and  
 291 boundary conditions for this problem are the continuity equation, a free surface  
 292 condition, radiation equations and boundary conditions on the body surface.  
 293 Note that only the last condition depends on the geometry of the body. When  
 294 the hemisphere undergoes an oscillation with small amplitude relative to  $a_r$ ,  
 295 the body surface boundary condition given by Eq. (2.5) in Hulme (1982) can  
 296 be assumed to be the same as for the full sphere case. Therefore, a full sphere  
 297 is applied with the corresponding velocity potential  $\Phi$  of the surrounding water  
 298 given as

$$\Phi = \text{Re}\{Ca_r^2[\phi_0 + \sum_{i=1}^{\infty} p_i^0 a_r^{2i} \phi_i]e^{-i\sigma t}\}. \quad (13)$$

299 In Eq. (13)  $C$  and  $p_i$  are the unknown complex constants and  $\phi_0$  and  $\phi_i$  denote  
 300 the wave source and wave-free potentials, respectively. Based on linear wave  
 301 theory, the water surface elevation  $\eta$  is obtained as

$$\eta = -\frac{1}{g} \frac{\partial \Phi}{\partial t}. \quad (14)$$

302 Details about the solutions of  $p_i$ ,  $C$  and  $\Phi$  can be found in Appendix B.

303 The numerical basin of  $12.0 \text{ m} \times 12.0 \text{ m} \times 3.2 \text{ m}$  is shown in Fig. 8. The  
 304 floating sphere with a radius of  $a_r = 0.25 \text{ m}$  is placed at the centre of the basin.  
 305 A  $0.2 \text{ m}$  thick air layer is located above the water surface extending to  $0.5 \text{ m}$   
 306 in the wave generation zone (Fig. 8). A cell dimension of  $0.02 \text{ m} \times 0.02 \text{ m} \times$   
 307  $0.02 \text{ m}$  was chosen. The computational domain consisted of 57,662,500 cells and  
 308 the simulation of  $8.0 \text{ s}$  required approximately  $36 \text{ h}$  with 144 cores on an HPC  
 309 cluster. In order to satisfy linear wave theory, a small oscillation amplitude of  
 310 the sphere was prescribed as  $z = 0.06\sin(\sigma t + \pi)$  with  $\sigma = 4.72 \text{ rad/s}$ . The ratio

311 of the oscillation amplitude to  $a_r$  was 0.24.

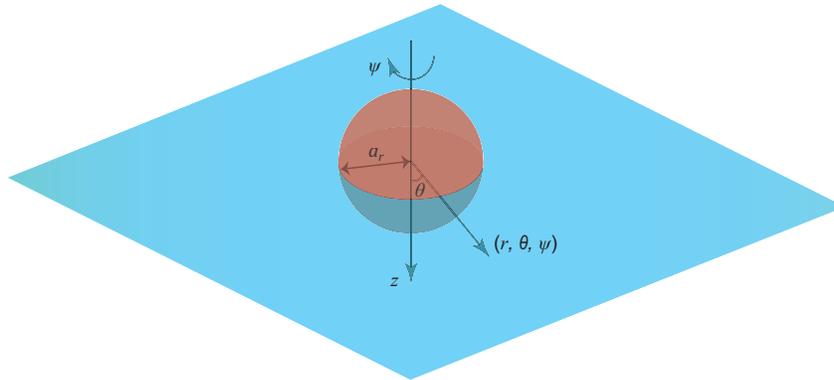


Fig. 7 Sketch defining the parameters for the mathematical problem of a heaving sphere (adapted from Hulme, 1982)

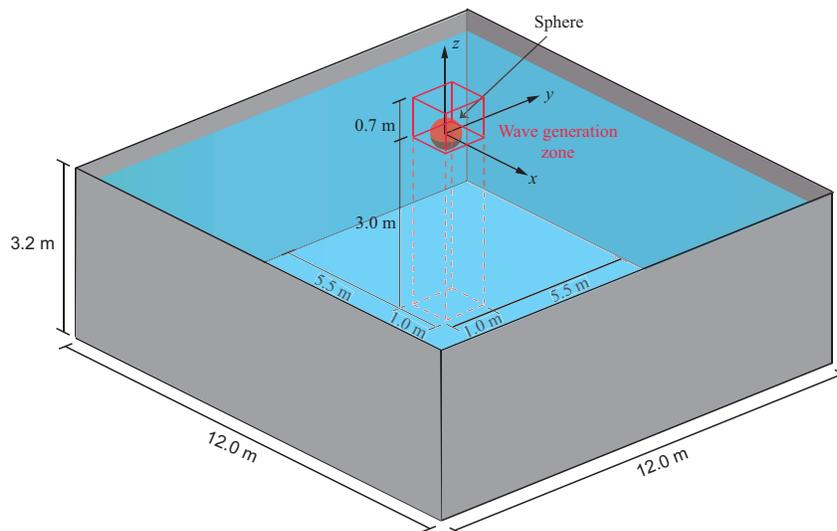


Fig. 8 Sketch of the numerical wave basin of the theoretical heaving sphere case

312 Fig. 9 shows the comparison of the simulated free water surface  $\eta$  at  $r = 1.0$   
313 and 3.0 m with the corresponding analytical solution. The analytical solution is  
314 asymptotic, while the numerical solution is transient as the waves are gradually  
315 generated. Note that the numerical results affected by reflection are excluded in  
316 this work. The arrival time of the first reflected wave was calculated based on

317 the wave celerity and the travel distance of the wave front from the measurement  
 318 location to the boundary and back. The normalised Root Mean Square Error  
 319 (nRMSE) is given by

$$\text{nRMSE} = \frac{\sqrt{\frac{\sum_{i=t_1}^{t_N} (\eta_{\text{analytical},i} - \eta_{\text{numerical},i})^2}{N}}}{\eta_{\text{analytical},\text{max}} - \eta_{\text{analytical},\text{min}}}. \quad (15)$$

320 The nRMSEs between the numerical and analytical  $\eta$  at each location in the  
 321 steady state region are 0.134 and 0.165, respectively. The relative errors of the  
 322 mean wave amplitude and wave period are  $-10.2$  and  $0.1\%$  at  $r = 1.0$  m and  
 323  $-10.3$  and  $1.0\%$  at  $r = 3.0$  m. The difference may be because the sphere is  
 324 represented by cells in the computational domain in the IBM (Fig. 1) such that  
 325 the geometry does not fully conform to its original shape, which contributes to  
 326 the more irregular numerical wave profiles compared to the analytical solution.

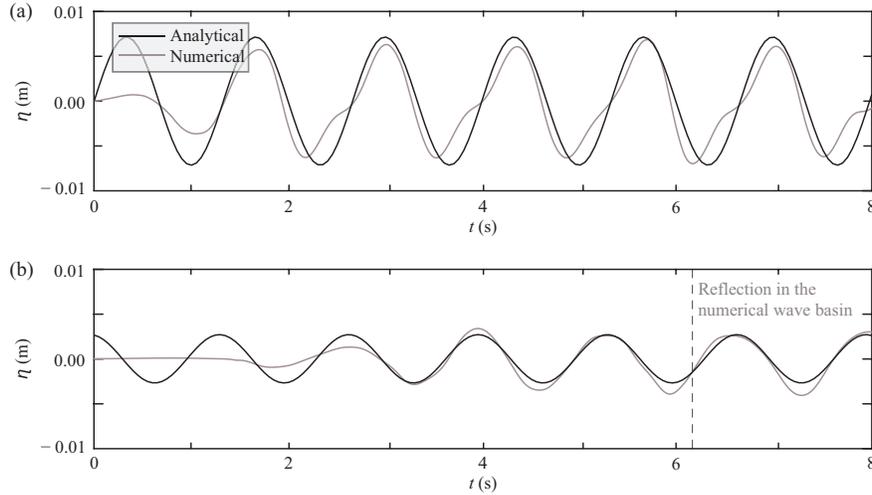


Fig. 9 Numerical and analytical water surface elevations  $\eta(t)$  at (a)  $r = 1.0$  m and (b)  $r = 3.0$  m (for legend see a)

#### 327 4.2. Overview of IBTs in the laboratory tests

328 Snapshots of IBTs generated by mechanisms B and D in the laboratory are  
 329 shown in Figs. 10 and 11, respectively, with 1.33 s time intervals between the  
 330 frames. For mechanism B (Fig. 10), the block is released at  $t = 0.00$  s, falls

331 vertically and is fully submerged at 1.33 s. A splash together with the first two  
 332 waves can be seen in Fig. 10(b). At  $t = 2.67$  s, the block is more submerged and  
 333 the radiated waves continue to propagate in a semi-circular pattern. The block  
 334 moves then upwards, and the top face of the block reaches the water surface at  
 335  $t = 4.00$  s.

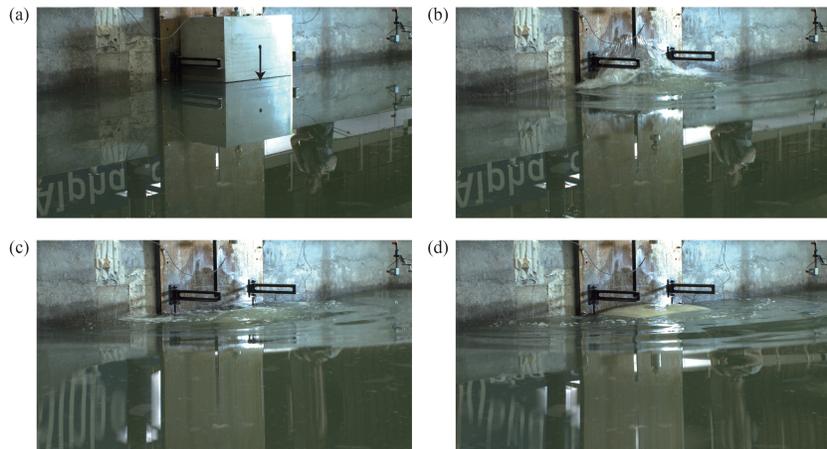


Fig. 10 Snapshots of IBTs in the laboratory generated by mechanism B at (a)  $t = 0.00$  s, (b)  $t = 1.33$  s, (c)  $t = 2.67$  s and (d)  $t = 4.00$  s

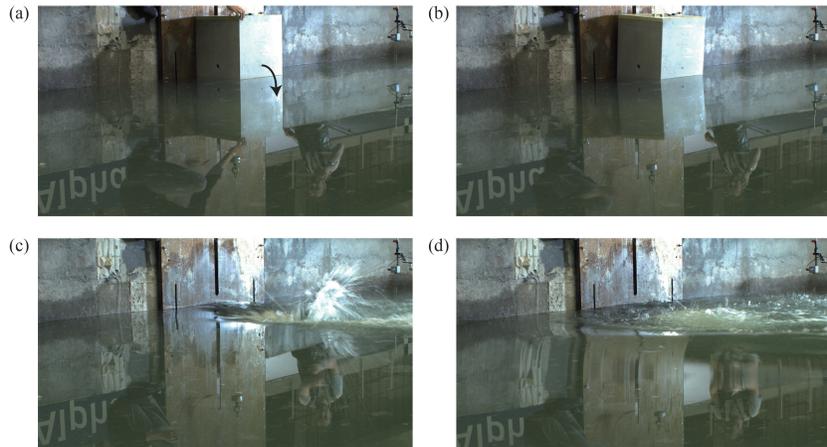


Fig. 11 Snapshots of IBTs in the laboratory generated by mechanism D at (a)  $t = 0.00$  s, (b)  $t = 1.33$  s, (c)  $t = 2.67$  s and (d)  $t = 4.00$  s

336 For mechanism D (Fig. 11), the block starts to overturn at  $t = 0.00$  s, which

337 is still ongoing at 1.33 s. The block is fully submerged at  $t = 2.67$  s and causes  
 338 a large splash in the main wave generation direction shown in Fig. 11(c). The  
 339 block front moves then back upwards to the water surface but is still submerged  
 340 at  $t = 4.00$  s.

#### 341 4.3. Convergence tests with prescribed motion

342 For the convergence tests for mechanism B, the block was directly located  
 343 at the basin back wall (Fig. 4). However, for mechanism D, the block had to  
 344 be two cell widths away from the back wall for the solver to recognise the  
 345 immersed boundary and to accommodate rotation. This is likely to affect the  
 346 wave magnitude as discussed in Section 4.6. Three resolutions in zone A (Fig.  
 347 4) were considered namely 0.020 m, 0.025 m and 0.050 m in all three directions.  
 348 In zone B four different resolutions namely  $0.050 \text{ m} \times 0.050 \text{ m} \times 0.050 \text{ m}$ ,  
 349  $0.050 \text{ m} \times 0.025 \text{ m} \times 0.025 \text{ m}$ ,  $0.025 \text{ m} \times 0.025 \text{ m} \times 0.025 \text{ m}$  and  $0.020 \text{ m} \times$   
 350  $0.020 \text{ m} \times 0.020 \text{ m}$  were investigated. The resolution plays an important role  
 351 in the force calculation, affecting both the iceberg motion and tsunamis. To  
 352 preserve the same iceberg velocity for different resolutions in the convergence  
 353 tests, the velocity was prescribed using the motion measured in the laboratory  
 354 experiments (Fig. 12).

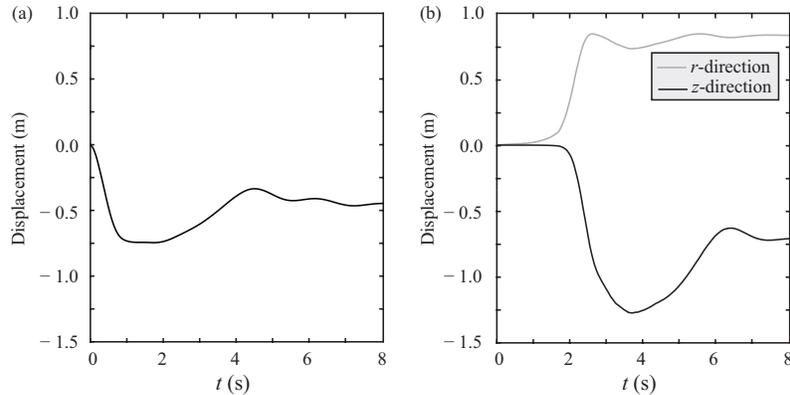


Fig. 12 Displacements in function of time based on the motion sensor data of (a) mechanism B along the  $z$  and (b) mechanism D along the  $r$ - and  $z$ -directions

355 All simulations were run on a HPC cluster. The number of cells in the com-

356 putational domain varied from 0.34 to 5.46 million, and the corresponding cores  
 357 and memory varied from 3 cores and 4 GB to 30 cores and 36 GB. It required  
 358 8 h to simulate 5 s real time for the coarsest and 96 h for the finest resolution.

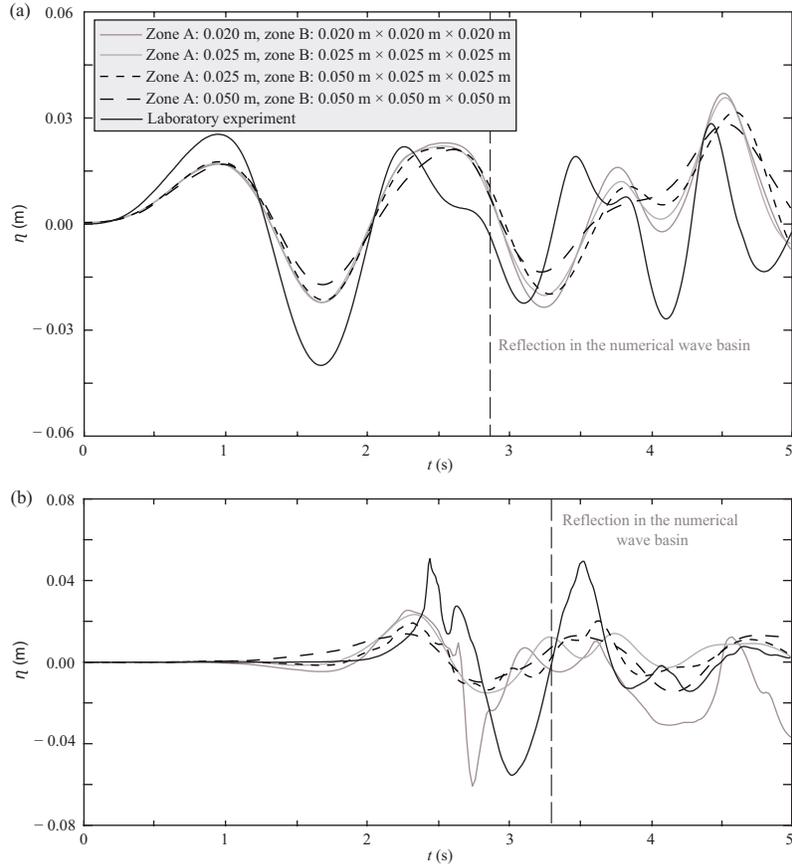


Fig. 13 Convergence tests of (a) mechanism B and (b) mechanism D (for legend see a)

359 The wave profiles measured in the convergence tests at wave probe B1 to-  
 360 gether with the laboratory results are shown in Fig. 13. The convergence tests  
 361 show that the differences of the first wave amplitude  $a_1$  between the two closest  
 362 wave profiles in each mechanism are 0.03 and 0.21 cm, respectively, for resolu-  
 363 tions higher than 0.050 m  $\times$  0.025 m  $\times$  0.025 m. 0.025 m  $\times$  0.025 m  $\times$  0.025  
 364 m was selected for the main tests for both the IBT generation and propagation  
 365 zones as a finer resolution did not provide further benefits. Fig. 13 further shows

366 that IBTs from the prescribed iceberg motion are always smaller than those ob-  
 367 served in the laboratory. This is likely because the interpolation method of this  
 368 IBM toolbox results in a slight underestimation of the velocity and pressure at  
 369 the immersed boundary when using the prescribed motion, resulting in smaller  
 370 waves. A more robust interpolation method or a more accurate immersed bound-  
 371 ary representation may help to solve this issue. However, as later demonstrated  
 372 with Fig. 15, our results are sound despite of this shortcoming.

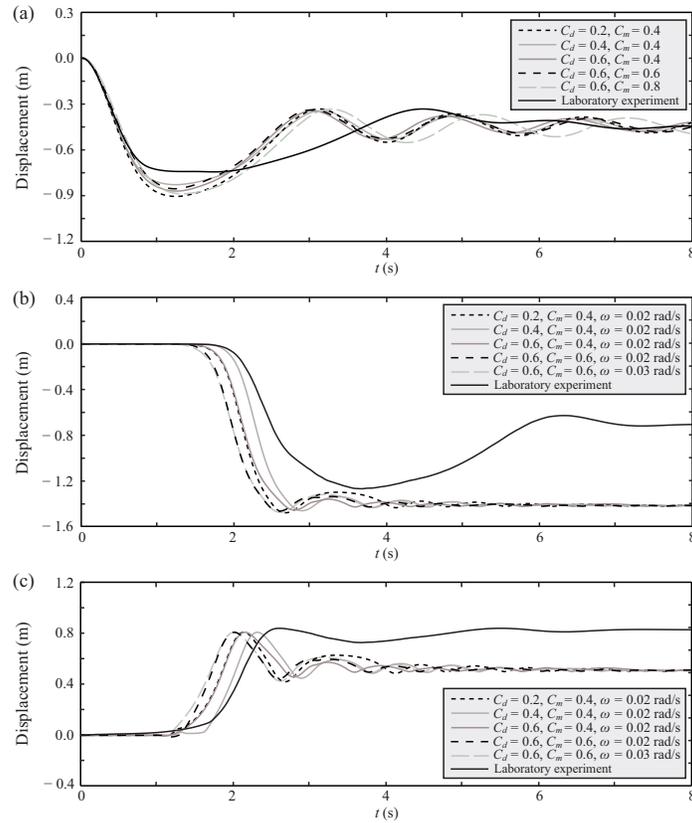


Fig. 14 Iceberg displacements in function of time of (a) mechanism B and (b) vertical and (c) horizontal displacements of mechanism D with different  $C_d$ ,  $C_m$  and  $\omega$

#### 373 4.4. IBTs generated with resolved motion

374 The results presented in this section were obtained with resolved iceberg  
 375 motion and the laminar model was applied. The effect of turbulence is discussed

376 in Section 5.1. The computational domain consists of 20,768,000 cells and 54  
377 cores were used. Each test required approximately 50 h to complete 8.0 s of real  
378 time with the domain size shown in Fig. 4.

379 For mechanism D an initial angular velocity  $\omega$  for the iceberg was required  
380 to ensure a forward rotation. The time shift of 0.8 s has been introduced in  
381 the numerical time series for the laboratory block to reach a similar  $\omega$  as in  
382 the numerical simulation. Therefore, in this case, three parameters affect the  
383 numerical results: the drag force coefficient  $C_d$ , the added mass coefficient  $C_m$   
384 and  $\omega$ , while for mechanism B only  $C_d$  and  $C_m$  are relevant. Some indications  
385 for the values of  $C_d$  and  $C_m$  are given by Lee (1995) for rectangular structures  
386 with  $0.0 < C_d < 0.6$  and  $0.4 < C_m < 0.8$ .

387 Fig. 14 shows the iceberg displacements for different  $C_d$ ,  $C_m$  and  $\omega$  and the  
388 corresponding IBTs recorded at wave probe B1 are shown in Fig. 15. Increasing  
389  $C_d$  and  $C_m$  reduces the iceberg motion and tsunami heights, and thus the wave  
390 celerity. Based on the first wave height  $H_1$  and amplitude  $a_1$ , the best agreement  
391 between the numerical and experimental IBTs is obtained for  $C_d = 0.6$  and  $C_m$   
392  $= 0.4$  for the fall case and  $C_d = 0.6$ ,  $C_m = 0.4$  and  $\omega = 0.02$  rad/s for the  
393 overturning case (Fig. 14). The numerically reproduced block motion is always  
394 faster than that in the laboratory tests. This may be due to the overestimated  
395 underwater volume of the block represented by the IBM resulting in a larger  
396 numerical acceleration.

397  $a_1$  is well captured in both calving mechanisms, apart from the large peak  
398 of the first wave crest, which is due to the splash in the laboratory experiment  
399 (Figs. 11c and 15b). The splash is not fully modelled because of the chosen  
400 resolution and the laminar application used. Snapshot series of the simulations  
401 of the two mechanisms are shown in Figs. 16 and 17. The numerical results  
402 generally agree with the laboratory observations (Figs. 10 and 11). However,  
403 only parts of the splash observed in the laboratory tests (Figs. 10b and 11c) are  
404 simulated in Figs. 16(b) and 17(b,c). Similarly to the laboratory experiments,  
405 the waves propagate then in a semi-circular pattern in Figs. 16(c,d) and 17(c,d)  
406 and leave the area of view.

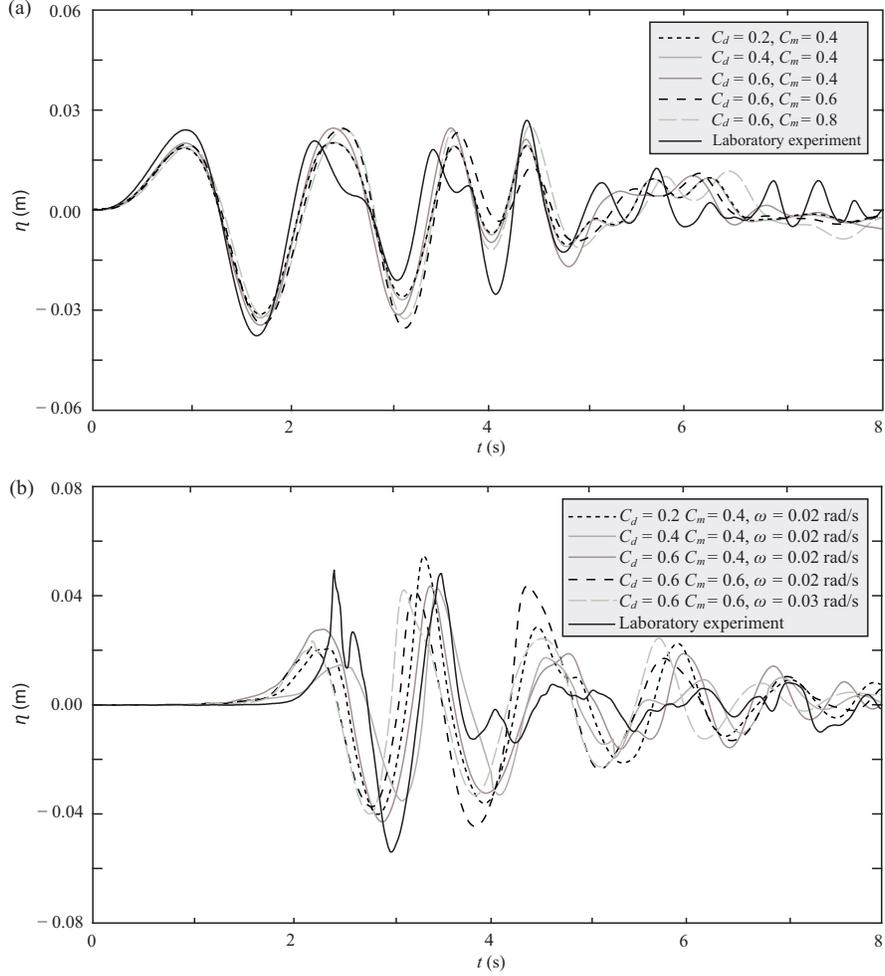


Fig. 15 IBTs involving different  $C_d$ ,  $C_m$  and  $\omega$  for (a) mechanism B and (b) mechanism D

407 The relative difference between the laboratory and numerical  $a_1$  is 15.5% in  
 408 Fig. 15(a) and 44.5% in Fig. 15(b). However, if the splash is excluded by using  
 409 the measured wave amplitude at wave probe B2 (Fig. 4, where no splash occurs)  
 410 and interpolating this value from  $\gamma = 15^\circ$  to  $\gamma = 0^\circ$  with the term  $\cos(\gamma/2)$  of  
 411 Eq. (B.10) found in Heller et al. (2020),  $a_1 = 0.0294$  m and the difference reduces  
 412 to 4.8%. Further, the troughs of the first numerical waves are 10.5 and 23.1%,  
 413 respectively, smaller than in the laboratory experiments. The reason for this  
 414 underestimation may be that the aforementioned larger numerical acceleration

415 of the iceberg in Fig. 14 makes the iceberg moving back to the water surface  
416 faster and it inhibits the growth of the first wave.

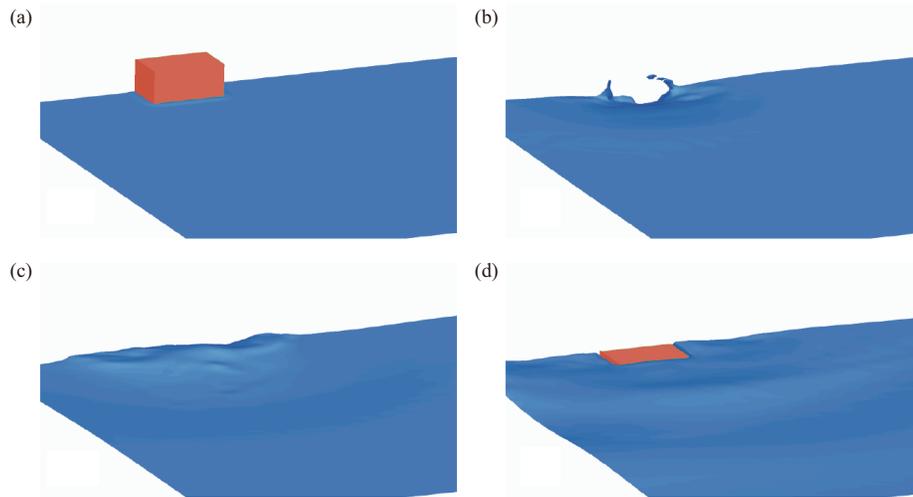


Fig. 16 Snapshots of numerical IBTs generated by mechanism B at (a)  $t = 0.00$  s, (b)  $t = 1.33$  s, (c)  $t = 2.67$  s and (d)  $t = 4.00$  s

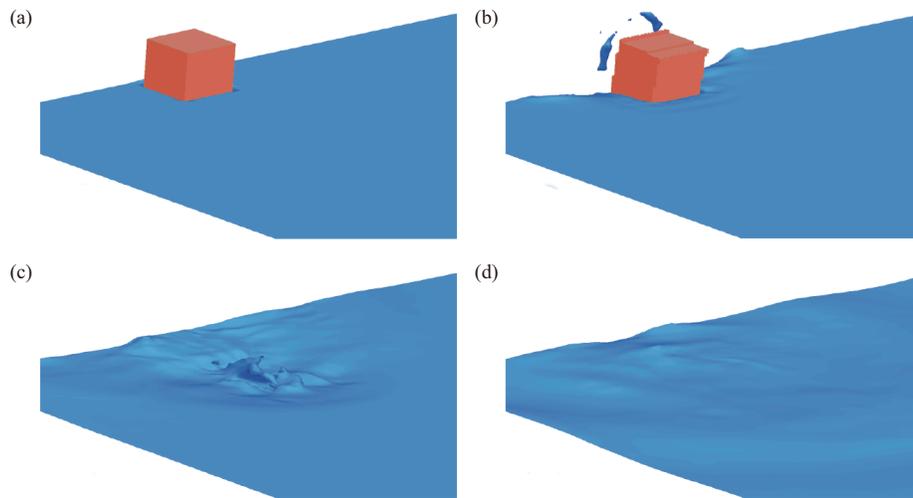


Fig. 17 Snapshots of numerical IBTs generated by mechanism D at (a)  $t = 0.00$  s, (b)  $t = 1.33$  s, (c)  $t = 2.67$  s and (d)  $t = 4.00$  s

417 4.5. Comparison of numerical and laboratory wave decay

418 IBT decay is important for hazard assessment for offshore and coastal struc-  
 419 tures. Fig. 18 shows the water surface elevation  $\eta(t)$  at wave probes B1, B7 and  
 420 B13 (Table 1) for both the numerical and laboratory models. The wave decay  
 421 is based on the relative first wave amplitude  $a_1/h(r/h, \gamma = 0^\circ)$ , relative height  
 422  $H_1/h(r/h, \gamma = 0^\circ)$  and the assumption that the waves decay with a power func-  
 423 tion in the form  $a_1/h(r/h, \gamma = 0^\circ) \sim (r/h)^c$  and  $H_1/h(r/h, \gamma = 0^\circ) \sim (r/h)^c$ .  
 424 The results of the comparison between the numerical ( $c_n$ ) and laboratory ( $c_l$ )  
 425 decay exponents are shown in Table 2, together with  $a_1/h(r/h, \gamma = 0^\circ)$  and  
 426  $H_1/h(r/h, \gamma = 0^\circ)$  of the first wave. Note that  $c$  is obtained using the first  
 427 three wave probes only, which explains the difference from  $c_l = -1.2$  found by  
 428 Heller et al. (2020) who used all wave probe data. Table 2 shows that both the  
 429 numerical wave amplitude and height decay in mechanism B agree well with the  
 430 laboratory tests with a maximum deviation of 12.0%. However, for mechanism  
 431 D, the IBTs in the laboratory decay up to 45.8% faster than in the numerical  
 432 simulations. This is again due to the larger splash in the laboratory affecting  
 433 the first wave crest. If  $a_1 = 0.0294$  m from Section 4.4 is used, excluding the  
 434 splash, then  $c_n$  for the wave amplitude and height decay become 29.0% and  
 435 13.8% smaller than  $c_l$ , respectively. This removal of the splash is justified as it  
 436 is of small relevance for the far field wave propagation.

Table 2 Comparison of numerical and laboratory wave decay:  $a_1/h$  and  $H_1/h$  measured at wave probes B1, B7 and B13 and the numerical  $c_n$  and laboratory model  $c_l$  wave decay exponents

	Mechanism B				Mechanism D			
	$a_1/h$		$H_1/h$		$a_1/h$		$H_1/h$	
	Lab.	Num.	Lab.	Num.	Lab.	Num.	Lab.	Num.
B1	0.0238	0.0201	0.0619	0.0541	0.0505	0.0280	0.1064	0.0710
B7	0.0141	0.0116	0.0398	0.0341	0.0112	0.0143	0.0391	0.0366
B13	0.0079	0.0066	0.0232	0.0194	0.0046	0.0077	0.0127	0.0185
$c_l$ or $c_n$	-1.214	-1.360	-1.090	-1.114	-2.619	-1.420	-2.201	-1.476
$\frac{c_n - c_l}{c_l} \times 100\%$	-	12.0%	-	2.2%	-	-45.8%	-	-32.9%

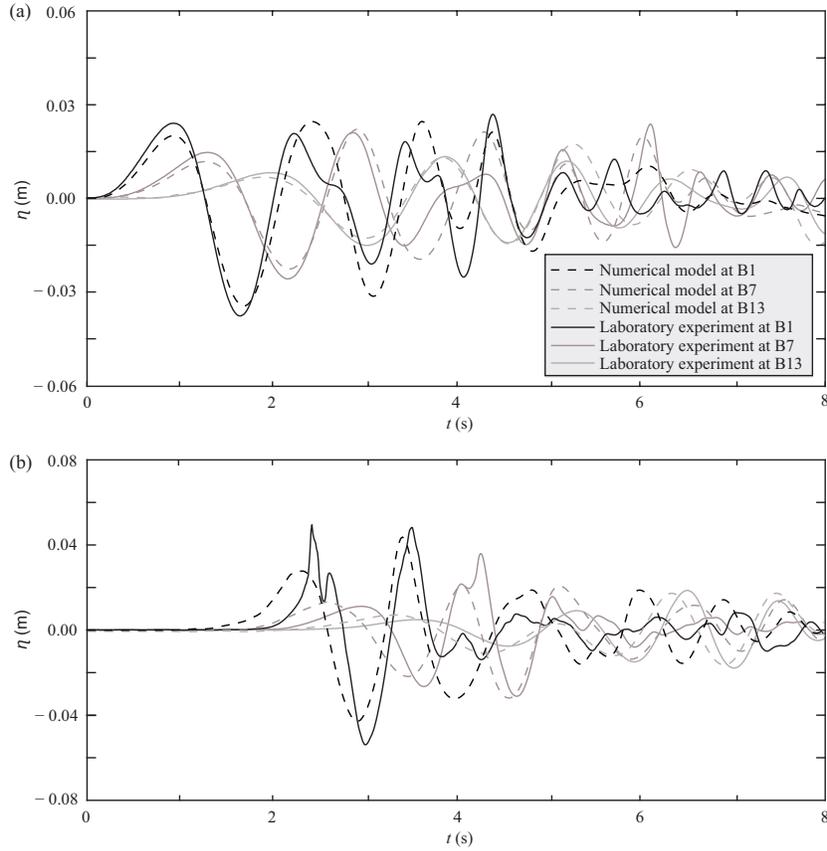


Fig. 18 Water surface elevation  $\eta(t)$  at wave probes B1, B7 and B13 in the numerical and laboratory models (for legend see a)

437 *4.6. Simulation of the 2014 Eqip Sermia case*

438 The IBT at Eqip Sermia (Lüthi and Vieli, 2016) is simulated in this section.  
 439 The bathymetric data of Eqip Sermia is available from GEBCO (2019) with a  
 440 resolution of approximately  $160 \text{ m} \times 460 \text{ m}$ . A linear interpolation was applied  
 441 on the raw bathymetry data to obtain a higher resolution of  $5 \text{ m} \times 5 \text{ m}$ . In  
 442 order to be consistent with the cell dimension in the convergence tests and the  
 443 main IBT simulations, a structured mesh with a length scale of 1:100 was then  
 444 generated based on the processed bathymetry data and the following results are  
 445 all presented at this scale. Fig. 19(a) shows the Cartesian coordinate system,  
 446 where  $z = 0.0 \text{ m}$  corresponds to the sea level and the  $x$ - and  $y$ -axes are parallel

447 to the local latitudinal and longitudinal directions, respectively. The numerical  
 448 domain is  $15.0 \text{ m} \times 15.0 \text{ m}$  with heights between 2.45 and 3.15 m (Fig. 19). The  
 449 cell dimension is  $0.05 \text{ m} \times 0.05 \text{ m} \times 0.05 \text{ m}$ . The numerical simulation was run  
 450 on 4 cores and required 38 h for 5.0 s real time. Fig. 20 shows the evolution of  
 451 the IBT in the impact zone. The topography of the glacier terminus and the  
 452 geometry of the calving iceberg were obtained from Fig. 3 in Lüthi and Vieli  
 453 (2016). The iceberg represented by the IBM has a volume of  $0.9 \text{ m}^3$  (the brown  
 454 body in Fig. 19). The IBM requires at least a space of 2 cells between the domain  
 455 boundary and the immersed boundary. This gap between the iceberg and the  
 456 glacier is likely to reduce the iceberg amplitude as discussed later in Section 4.6.

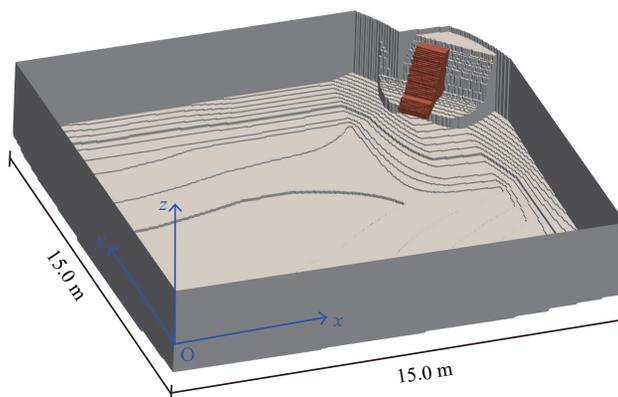


Fig. 19 Computation domain used for the Eqip Sermia case with the calving iceberg  
 represented by the IBM

457 The motion of the calving iceberg was resolved in this simulation, while the  
 458 trajectory was restricted to ensure that the iceberg did not touch the domain  
 459 boundary and the impact velocity of  $48.2 \text{ m/s}$  (which is slightly larger than the  
 460 estimated value of  $42 \text{ m/s}$  by Lüthi and Vieli, 2016) was imposed after investi-  
 461 gating a range of values. The motion was performed in the plane  $(x = y, z)$ . The  
 462 iceberg movement was modelled as a combined translation and rotation, which  
 463 was most likely also observed in nature given the glacier terminus geometry, the  
 464 iceberg shape and  $h = 25$  to  $45 \text{ m}$  in the impact zone.

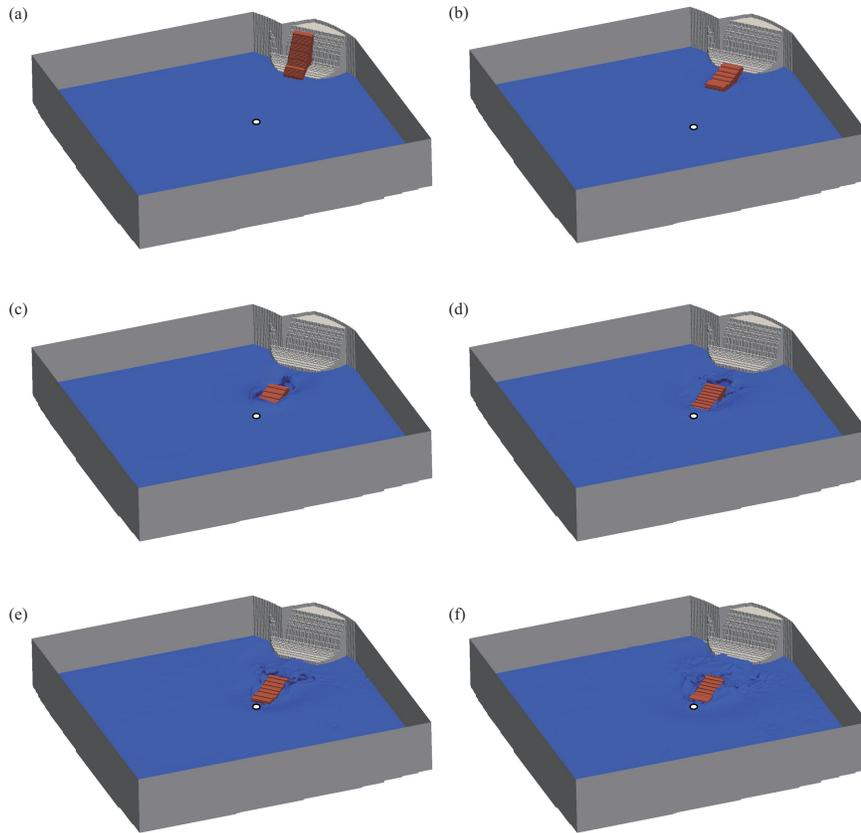


Fig. 20 Snapshots of IBTs in the Eqip Sermia case at a scale 1:100 at (a)  $t = 0.0$  s, (b)  $t = 0.4$  s, (c)  $t = 0.8$  s, (d)  $t = 1.2$  s, (e)  $t = 1.6$  s and (f)  $t = 2.0$  s. The white circle denotes the wave probe

465 At  $t = 0.4$  s, the iceberg starts to move along the glacier terminus and reaches  
 466 the water surface. The iceberg progressively submerges and rotates generating  
 467 waves (Fig. 20c). The waves continue to grow due to the iceberg at  $t = 0.8$ , 1.2  
 468 and 1.6 s. In Fig. 20(f) wave run-up on the glacier front can be observed and  
 469 the iceberg floats backward. In contrast to the real event, no splash or bore is  
 470 observed due to the selected resolution and the application of the laminar model  
 471 (Section 4.4).

472 There were a number of wave probes placed along the direction of the mov-  
 473 ing iceberg. The maximum measured IBT was observed at 3.80 m from the  
 474 glacier terminus and used for further analysis (Fig. 21).  $a_1$  corresponds to 0.404

475 m, which is 10.2 to 19.3% smaller than the down-scaled observed amplitude.  
 476 The difference is likely due to the gap between the iceberg and the glacier front  
 477 reducing the efficiency of the generation of the leading wave. This effect is dif-  
 478 ficult to estimate, however, Heller and Spinneken (2013) investigated a closely  
 479 related phenomenon with a rigid mass impacting into a water body and gen-  
 480 erating a wave with the part of the water in the gap between the mass and  
 481 the boundary also remaining passive in the wave generation process. Heller and  
 482 Spinneken (2013) found that a gap of 12% between a solid slide heavier than  
 483 water and the side wall in a flume reduces the wave height by approximately the  
 484 same percentage. Extrapolated to the present results, the gap is  $\approx 20\%$  of the  
 485 iceberg thickness, which may reduce the wave height by  $\approx 20\%$ . The maximum  
 486 IBT amplitude of  $1/(1-0.2) \times 0.404 = 0.505$  m would therefore reach the upper  
 487 value of the observed range. However, the rigidity of the iceberg, which tends  
 488 to increase the wave amplitude compared to a granular slide under the given  
 489 conditions, may also play a role (Heller and Spinneken, 2013).

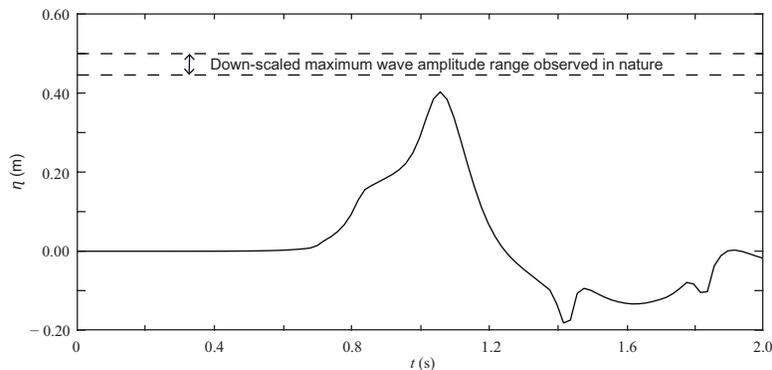


Fig. 21 IBT at scale 1:100 measured 3.80 m away from the glacier terminus

## 490 5. Discussion of results

### 491 5.1. Effect of turbulence modelling

492 The RANS based  $k-\epsilon$  turbulence model is already implemented in the IBM  
 493 toolkit in Foam-extend 4.0, but some modifications in the boundary conditions

494 were necessary. Details about this turbulence model and the necessary modi-  
 495 fications can be found in Appendix C. Fig. 22 shows the generated tsunamis  
 496 of mechanism B including laboratory results together with the corresponding  
 497 results of the laminar and turbulence model. The simulation with turbulence  
 498 for the overturning case was also conducted, confirming the findings for the fall  
 499 case, however, with a worse fit to the laboratory data. Initial values for the  
 500 turbulent kinetic energy  $k$  and the dissipation of the turbulent kinetic energy  
 501  $\epsilon$  were allocated and then resolved at each time step. The ranges of  $k$  and  $\epsilon$  in  
 502 Fig. 22 are  $[10^{-6}, 0.5] \text{ m}^2/\text{s}^2$  and  $[0.2, 0.8] \text{ m}^2/\text{s}^3$ , respectively.

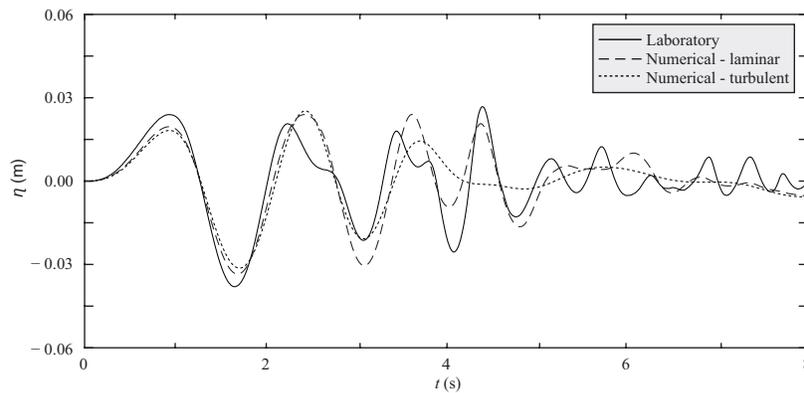


Fig. 22 Comparison of the water surface  $\eta(t)$  of mechanism B based on the laboratory test, the laminar model and the turbulence model

503 As expected, the tsunami including turbulence is smaller than the laminar  
 504 one. The exponents of the wave height decay power function have also been  
 505 calculated with a worse fit than the laminar ones (Section 4.5). Given the better  
 506 agreement of the results for the laminar model and that computational resources  
 507 can be saved, the IBTs in the main part of Section 4 were modelled without  
 508 turbulence.

### 509 5.2. Limitations

510 The introduced model technique is expected to be useful for many other  
 511 related applications involving floating bodies such as floating structures and  
 512 ships in waves. However, there is also some room for improvements. Firstly, the

513 dimension of the computational domain is limited (Fig. 4), such that only the  
514 first two waves at the first three wave probes were analysed. Secondly, the gap  
515 between the iceberg and basin back wall of mechanism D affects IBT generation.  
516 Thirdly, more work is required to improve the accuracy of the iceberg motion  
517 under water. Lastly, since ensuring zero divergence may cause some disturbance  
518 for multiphase flows around the immersed boundary, especially when the iceberg  
519 surface frequently interacts with the interface of the multiphase flow. Therefore,  
520 the current numerical model requires more work to also simulate small waves,  
521 in the order of millimetres at laboratory scale, well.

## 522 6. Conclusions

523 This article presented a novel numerical methodology to simulate the gener-  
524 ation and propagation of tsunamis generated by calving icebergs. The proposed  
525 methodology is based on the solution of flow equations using the Immersed  
526 Boundary Method (IBM) in Foam-extend 4.0, validated with one analytical  
527 solution and two selected large-scale iceberg-tsunami (IBT) laboratory experi-  
528 ments conducted in a 50 m  $\times$  50 m wave basin. A newly implemented multiphase  
529 solver *interDyMIbFoam* was coupled with a modified motion solver. This enables  
530 to handle dynamic immersed boundaries to resolve iceberg motion under a wide  
531 range of iceberg calving mechanisms. Coupling between the motion and flow  
532 solvers was achieved by simulating the fluid-solid interaction including the cal-  
533 culations of pressure force, viscosity force, drag force and virtual force due to  
534 the added mass.

535 This numerical model is, in principle, capable of simulating all five iceberg  
536 calving mechanisms investigated by Heller et al. (2019c; 2020). The model has  
537 been validated with an analytical solution of radiated waves from a heaving  
538 sphere in still water and with resolved iceberg motion and IBTs of gravity-  
539 dominated fall (B) and gravity-dominated overturning (D) mechanisms. The re-  
540 sults show that the assumption of laminar flow in the simulations leads to better  
541 accuracy, outside the splash zone, with less computational resources than simu-

542 lations involving a turbulence model. The numerical model underestimates the  
543 laboratory IBTs with a maximum of 15.5% (mechanism B) and 44.5% (mecha-  
544 nism D, mainly due to the splash) relative to the first (leading) wave amplitude.  
545 If the splash is artificially removed by relying on an empirical equation, then  
546 the underestimation for mechanism D reduces to 4.8%. This is likely because  
547 the iceberg volume under water is overestimated, making it move too fast to-  
548 wards the water surface. For IBT propagation, the numerical wave height power  
549 function decay exponent is 2.2% larger and 13.8% smaller in mechanism B and  
550 D, respectively (Section 4.5), with the effect of the splash excluded for the lat-  
551 ter. The numerical model was then used to successfully simulate the 2014 Eqip  
552 Sermia case resulting in a good agreement with the observation in nature.

553 In future work further IBT mechanisms will be simulated. The present model  
554 should also be made more computational efficient and the overestimation of the  
555 iceberg volume in the IBM should be addressed. Furthermore, the presented  
556 model is ready to model other floating bodies such as floating breakwaters,  
557 wave energy converters and vessels.

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567 Nottingham HPC clusters Augusta and Minerva. The raw data of the experi-  
568 ments used herein are available from Heller (2019) with two experiments docu-  
569 mented in detail in Chen and Heller (2020). The source code of the new solver  
570 is available upon request.

571 **Notation**

$\mathbf{a}$	acceleration vector	$[\text{m}\cdot\text{s}^{-2}]$
$\mathbf{a}_a$	global acceleration vector	$[\text{m}\cdot\text{s}^{-2}]$
$\mathbf{a}_l$	local acceleration vector	$[\text{m}\cdot\text{s}^{-2}]$
$a_r$	sphere radius	$[\text{m}]$
$a_1$	first wave amplitude	$[\text{m}]$
$A$	oscillation amplitude	$[\text{m}]$
$A_b$	cross section perpendicular to the direction of velocity	$[\text{m}^2]$
$b$	block width	$[\text{m}]$
$c$	wave decay exponent	$[-]$
$C$	complex constant	$[-]$
$C_d$	drag force coefficient	$[-]$
$C_m$	added mass coefficient	$[-]$
$C_\mu, C_{1\epsilon}, C_{2\epsilon}$	constants in the turbulence model	$[-]$
$\mathbf{d}_{CoR}$	vector pointing from the immersed boundary cell to the centre of rotation	$[\text{m}]$
$d_i$	complex constant series	$[-]$
$\mathbf{F}$	total force vector acting on the iceberg	$[\text{kg}\cdot\text{m}\cdot\text{s}^{-2}]$
$\mathbf{F}_d$	drag force vector	$[\text{kg}\cdot\text{m}\cdot\text{s}^{-2}]$
$\mathbf{F}_p$	pressure force vector	$[\text{kg}\cdot\text{m}\cdot\text{s}^{-2}]$
$\mathbf{F}_v$	viscosity force vector	$[\text{kg}\cdot\text{m}\cdot\text{s}^{-2}]$
$\mathbf{g}$	gravitational acceleration vector	$[\text{m}\cdot\text{s}^{-2}]$
$g$	gravitational acceleration	$[\text{m}\cdot\text{s}^{-2}]$
$\mathbf{G}$	gravity force vector	$[\text{kg}\cdot\text{m}\cdot\text{s}^{-2}]$
$h$	water depth	$[\text{m}]$
$H_1$	first wave height	$[\text{m}]$
$i$	imaginary unit	$[-]$
$I$	moment of inertia; integral of Legendre polynomials	$[\text{kg}\cdot\text{m}^2; -]$
$J$	polynomials	$[-]$
$k$	turbulent kinetic energy	$[\text{m}^2\cdot\text{s}^{-2}]$

$K$	wave number	$[\text{m}^{-1}]$
$l$	block length	$[\text{m}]$
$m_a$	added mass	$[\text{kg}]$
$m_s$	iceberg mass	$[\text{kg}]$
$\mathbf{M}$	total torque in relation to the centre of rotation	$[\text{kg}\cdot\text{m}^2\cdot\text{s}^{-2}]$
$M_{ij}$	complex constant series	$[-]$
$N$	truncated number of infinite linear system of equations	$[-]$
$N_k$	truncated number of infinite integral upper bound	$[-]$
$p$	fluid pressure	$[\text{kg}\cdot\text{m}^{-1}\cdot\text{s}^{-2}]$
$p_i$	complex constant series	$[-]$
$P$	Legendre polynomial	$[-]$
$r$	radial distance	$[\text{m}]$
$R$	distance between the motion sensor and the centre of rotation	$[\text{m}]$
$R_x, R_y, R_z$	rotation matrix relative to the $x$ , $y$ , $z$ -axis	$[-]$
$s$	block thickness	$[\text{m}]$
$\mathbf{S}_{ib}$	vector of the immersed boundary cell area	$[\text{m}^2]$
$t$	time after start of block movement; moment in time	$[\text{s}]$
$\mathbf{u}$	fluid velocity vector	$[\text{m}\cdot\text{s}^{-1}]$
$u_x, u_y, u_z$	fluid velocity component along $x$ , $y$ , $z$ -axis	$[\text{m}\cdot\text{s}^{-1}]$
$\mathbf{v}_{CoM}$	velocity vector of the centre of mass of the iceberg	$[\text{m}\cdot\text{s}^{-1}]$
$V_x, V_y, V_z$	velocity component along $x$ , $y$ , $z$ -axis	$[\text{m}\cdot\text{s}^{-1}]$
$x$	horizontal coordinate	$[\text{m}]$
$x'$	local horizontal coordinate	$[\text{m}]$
$\mathbf{X}_{CoM}$	position vector of the centre of mass of the iceberg	$[\text{m}]$
$\mathbf{X}_{CoR}$	position vector of the centre of rotation of the iceberg	$[\text{m}]$
$y$	coordinate orthogonal to object plane	$[\text{m}]$
$y'$	local coordinate orthogonal to object plane	$[\text{m}]$
$z$	vertical coordinate	$[\text{m}]$
$z'$	local vertical coordinate	$[\text{m}]$

$\alpha$	phase fraction	[-]
$\Delta t$	time step	[s]
$\epsilon$	dissipation of turbulent kinetic energy	[m <sup>2</sup> ·s <sup>-3</sup> ]
$\eta$	water surface elevation	[m]
$\gamma$	wave propagation angle	[°]
$\Gamma$	Gamma function	[-]
$\lambda$	Euler-Mascheroni constant	[-]
$\mu$	dynamic viscosity	[kg·m <sup>-3</sup> ]
$\mu_t$	turbulent viscosity	[kg·m <sup>-3</sup> ]
$\nabla$	differential operator	[-]
$\omega$	angular velocity	[s <sup>-1</sup> ]
$\boldsymbol{\omega}_{CoR}$	angular velocity vector of centre of rotation of the iceberg	[s <sup>-1</sup> ]
$\Phi$	velocity potential	[m <sup>2</sup> ·s <sup>-1</sup> ]
$\phi_i$	wave-free potential	[m <sup>2</sup> ·s <sup>-1</sup> ]
$\phi_0$	wave source potential	[m <sup>2</sup> ·s <sup>-1</sup> ]
$\pi$	mathematical constant; $\pi = 3.14159$	[-]
$\psi$	azimuthal angle	[°]
$\rho$	fluid density	[kg·m <sup>-3</sup> ]
$\rho_s$	iceberg density	[kg·m <sup>-3</sup> ]
$\sigma$	angular frequency	[s <sup>-1</sup> ]
$\sigma_\epsilon$	constant	[-]
$\sigma_k$	constant	[-]
$\tau_{ib}$	shear stress	[kg·m <sup>-1</sup> ·s <sup>-2</sup> ]
$\theta$	polar angle	[°]
$\boldsymbol{\theta}_{CoR}$	iceberg rotation angle vector	[°]
$\theta_x, \theta_y, \theta_z$	yaw, roll, pitch angle	[°]
$\boldsymbol{\xi}$	angular acceleration vector	[s <sup>-2</sup> ]

572 **Subscripts**

<i>a</i>	air; global
<i>CoM</i>	Centre of Mass
<i>CoR</i>	Centre of Rotation
<i>d</i>	drag
<i>i</i>	indexing number
<i>ib</i>	immersed boundary
<i>j</i>	indexing number
<i>k</i>	indexing number
<i>l</i>	laboratory; local
<i>m</i>	m-order
<i>max</i>	maximum
<i>min</i>	minimum
<i>n</i>	n-order
<i>n</i>	numerical
<i>N</i>	maximum indexing number
<i>p</i>	pressure
<i>s</i>	slide, used for iceberg (adapted from subaerial landslide-tsunami research)
<i>t</i>	turbulent
<i>v</i>	viscosity
<i>w</i>	water
<i>x, y, z</i>	<i>x</i> -, <i>y</i> -, <i>z</i> -axis
1	first

573 **Abbreviations**

CoM	Centre of Mass
CoR	Centre of Rotation
DoF	Degree of Freedom
HPC	High Performance Computing

IBM	Immersed Boundary Method
IBT	Iceberg-tsunami
Lab.	Laboratory
nRMSE	normalised Root Mean Square Error
Num.	Numerical
PIMPLE	Pressure Implicit Splitting Operator (PISO) and Semi-Implicit Method for Pressure-Linked Equations (SIMPLE)
RANS	Reynolds-Averaged Navier Stokes
SPH	Smoothed Particle Hydrodynamics

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693 **Appendix A: Trajectory inference using the motion sensor**

694 The trajectory inference method presented here applies to all five iceberg  
 695 calving mechanisms investigated by Heller et al. (2019c; 2020). The motion  
 696 sensor includes three sensors: a gyroscope, an accelerometer and a geomagnetic  
 697 sensor. To obtain the block trajectories, only the gyroscope and accelerometer  
 698 are required. Three global angles and three local accelerations along three local  
 699 axes were recorded, which were converted from local to global coordinates as  
 700 presented hereafter.

701 The following three standard rotation matrices rotate vectors by an angle  $\theta$   
 702 relative to the  $x$ -,  $y$ -, and  $z$ -axes, respectively.

$$R_x(\theta_x) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_x & -\sin\theta_x \\ 0 & \sin\theta_x & \cos\theta_x \end{bmatrix}$$

703

$$R_y(\theta_y) = \begin{bmatrix} \cos\theta_y & 0 & \sin\theta_y \\ 0 & 1 & 0 \\ -\sin\theta_y & 0 & \cos\theta_y \end{bmatrix} \quad (\text{A.1})$$

704

$$R_z(\theta_z) = \begin{bmatrix} \cos\theta_z & -\sin\theta_z & 0 \\ \sin\theta_z & \cos\theta_z & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

705 The global acceleration along three axes can thus be obtained by using ma-  
 706 trix multiplication as

$$\mathbf{a}_a = [R_z(\theta_z)R_y(\theta_y)R_x(\theta_x)]^{-1}\mathbf{a}_l + \mathbf{g}. \quad (\text{A.2})$$

707 In Eq. (A.2),  $\mathbf{a}_a$  denotes the global acceleration vector,  $\mathbf{a}_l$  the local acceleration  
 708 vector obtained from the accelerometer,  $\theta_x$ ,  $\theta_y$  and  $\theta_z$  are the three global an-

709 gles recorded with the gyroscope and  $\mathbf{g} = (0, 0, -9.81)$  ms<sup>-2</sup>. By integrating  
 710 the global acceleration once and twice, the velocity and displacement can be  
 711 obtained, respectively.

712 A low-pass filter with cut-off frequency of 10Hz was applied before data-  
 713 processing to remove noise. Note that in the overturning mechanism the icebergs  
 714 performed a pure rotational motion. This would have resulted in the accumula-  
 715 tion of small errors from three global angles and local accelerations with time.  
 716 To avoid this, only the global angle  $\theta_x$  was used for the overturning mechanisms  
 717 to calculate the velocity components  $V_y$  and  $V_z$  with

$$V_y = -R \frac{d\theta_x}{dt} \cos\theta_x, \quad (\text{A.3})$$

718

$$V_z = -R \frac{d\theta_x}{dt} \sin\theta_x. \quad (\text{A.4})$$

719 In Eqs. (A.3) and (A.4),  $R$  is the distance between the motion sensor and the  
 720 centre of rotation (rod), and a central difference scheme was adopted to calculate  
 721  $\frac{d\theta_x}{dt}$  as

$$\left(\frac{d\theta_x}{dt}\right)_{t=t_n} = \frac{1}{2} \left( \frac{\theta_x^n - \theta_x^{n-1}}{t^n - t^{n-1}} + \frac{\theta_x^{n+1} - \theta_x^n}{t^{n+1} - t^n} \right), \quad (\text{A.5})$$

722 where the superscript  $n+1$ ,  $n$  and  $n-1$  denote the next, current and previous  
 723 moments in time, respectively.

## 724 **Appendix B: Implementation of the solution of the theoretical floating** 725 **heaving sphere case**

726 The theory of the floating heaving sphere is based on Hulme (1982) and was  
 727 implemented in Matlab. In order to solve the unknown complex constant series  
 728  $p_i$ , which is used to determine the complex constant  $C$  and velocity potential  $\Phi$ ,  
 729  $p_i$  needs to satisfy the infinite linear system of equations

$$p_i + \left(\frac{\sigma^2}{g} a_r\right) \sum_{j=1}^{\infty} p_j M_{ij} = d_i \quad (i = 1, 2, 3, \dots). \quad (\text{B.1})$$

730 Further, the notation  $K = \frac{\sigma^2}{g}$  and  $I(m,n;0) = \int_0^1 P_m(x)P_n(x)dx$  is adopted,  
731 where  $K$  is the wave number and  $P_m(x)$  and  $P_n(x)$  are  $m$ - and  $n$ -order Legendre  
732 polynomials, respectively. In Eq. (B.1),  $g$  is the gravitational acceleration,  $\sigma$  the  
733 angular frequency and  $a_r$  the sphere radius.

$$M_{ij} = \frac{4i+1}{2i+1} [I(2i, 2j-1; 0) - 2I(2i, 1; 0)I(0, 2j-1; 0)], \quad (\text{B.2})$$

734

$$d_i = \frac{4i+1}{2i+1} [J(2i, Ka_r) - 2J(0, Ka_r)I(2i, 1; 0)] \quad (\text{B.3})$$

735 where

$$\begin{aligned} J(m, Ka_r) &= -I(m, 0; 0) - Ka_r \sum_{n=1}^{\infty} \frac{-Ka_r^n}{(n-1)!} \frac{\partial}{\partial j} [I(m, j; 0)]_{j=n} \\ &\quad + Ka_r \sum_{n=1}^{\infty} \frac{-Ka_r^n}{n!} \{n[\Gamma(n+1) + \pi i - \ln(Ka_r)] - 1\} I(m, n; 0). \end{aligned} \quad (\text{B.4})$$

736 In Eq. (B.4),  $\Gamma(n+1) = -\lambda + \sum_{i=1}^n \frac{1}{i}$  where  $\lambda = 0.577$  is the Euler–Mascheroni  
737 constant. Further,

$$I(2i, 2j; 0) = \begin{cases} 0, & i \neq j \\ \frac{1}{4i+1}, & i = j \end{cases} \quad (\text{B.5})$$

738

$$I(2i, 2j-1; 0) = \left(\frac{-1}{4}\right)^{i+j-1} \frac{(2i)!(2j-1)!}{(2i-2j-3)(2i+2j-2)(i!j!)^2} \quad (\text{B.6})$$

739 when  $n$  is an odd number

$$\begin{aligned} \frac{\partial}{\partial j} [I(m, j; 0)]_{j=n} &= \frac{-1 \frac{m+n+1}{2}}{4 \frac{m+n-1}{2}} \frac{m!}{[(\frac{m}{2})!]^2} \frac{n!}{(m-n)(m+n+1)} \frac{1}{[(\frac{n-1}{2})!]^2} \left[\frac{\pi}{2} - \ln 2\right. \\ &\quad \left. + \frac{\Gamma(n+1)(m-n)(m+n+1)+2n+1}{(m-n)(m+n+1)} - \Gamma\left(\frac{n+1}{2}\right)\right], \end{aligned} \quad (\text{B.7})$$

740 and when  $n$  is an even number

$$\frac{\partial}{\partial j} [I(m, j; 0)]_{j=n} = \begin{cases} 0, & n \neq m \\ \frac{-4}{(4n+1)^2}, & n = m. \end{cases} \quad (\text{B.8})$$

741 All components in Eq. (B.1) can now be calculated. In order to solve Eq.  
742 (B.1), a truncated finite  $N \times N$  system of equations was used (here  $N = 20$ ).

743 After the complex constant series  $p_i$  is known,  $C$  is given by

$$C = \frac{1}{2}[J(0, Ka_r) - Ka_r \sum_{i=1}^N p_i I(0, 2i - 1; 0)]^{-1}. \quad (\text{B.9})$$

744 The velocity potential  $\Phi$  can now be fully determined. In Eq. (13),

$$\phi_0 = \int_0^{N_k} \frac{k}{k - K} J_0(kr) dk \quad (\text{B.10})$$

745

$$\phi_i = \frac{K}{2i} \frac{1}{r^{2i}} P_{2i-1}(0) + \frac{1}{r^{2i+1}} P_{2i}(0) \quad (\text{B.11})$$

746 where  $N_k = 500$  was selected and  $r$  is the radial distance.

### 747 Appendix C: Turbulence model in the Foam-extend IBM toolkit

748 The RANS equations are introduced in Section 3.1. In order to calculate  
 749 the turbulent viscosity  $\mu_t$  in Eq. (2), the following equations for the turbulent  
 750 kinetic energy  $k$  and the dissipation of the turbulent kinetic energy  $\epsilon$  need to be  
 751 solved:

$$\rho k + \frac{\partial}{\partial x_i} (\rho u_i k) = \frac{\partial}{\partial x_i} \left( \frac{\mu_t}{\sigma_k} \frac{\partial k}{\partial x_i} \right) + \mu_t \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \frac{\partial u_i}{\partial x_j} - \rho \epsilon \quad (\text{C.1})$$

752

$$\rho \epsilon + \frac{\partial}{\partial x_i} (\rho u_i \epsilon) = \frac{\partial}{\partial x_i} \left( \frac{\mu_t}{\sigma_\epsilon} \frac{\partial \epsilon}{\partial x_i} \right) + C_{1\epsilon} \frac{\epsilon}{k} \mu_t \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \frac{\partial u_i}{\partial x_j} - C_{2\epsilon} \rho \frac{\epsilon^2}{k}. \quad (\text{C.2})$$

753  $\mu_t$  is then obtained with

$$\mu_t = \rho C_\mu \frac{k^2}{\epsilon} \quad (\text{C.3})$$

754 Eqs. (1), (2) and (C.1) to (C.3) include five unknown variables to be solved,  
 755 namely the velocity  $u_i$  ( $i = x, y, z$ ), density  $\rho$ ,  $k$ ,  $\epsilon$  and  $\mu_t$ , and the five constants  
 756  $C_{1\epsilon} = 1.44$ ,  $C_{2\epsilon} = 1.92$ ,  $C_\mu = 0.09$ ,  $\sigma_k = 1.0$  and  $\sigma_\epsilon = 1.3$ .

757 Note that the original  $k$ - $\epsilon$  turbulence model in Foam-extend 4.0 is based on  
 758 the velocity boundary condition *immersedBoundaryVelocityWallFunction*. How-  
 759 ever, this boundary condition involves a function correcting the velocity of the  
 760 immersed boundary, such that it differs from the velocity of the block. Further,

761 these corrected boundary velocities resulted in unphysical numerical oscillations  
762 in the pressure force. These shortcomings resulted in larger tsunamis than mea-  
763 sured in the laboratory. Therefore, the *immersedBoundaryVelocityWallFunction*  
764 was modified to use the same velocity boundary calculation method (quadratic  
765 interpolation) as the *immersedBoundary* in this work.