

# Phase-field modelling of brittle fracture in thin shell elements based on the MITC4+ approach

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**Abstract** We present a phase field based MITC4+ shell element formulation to simulate fracture propagation in thin shell structures. The employed MITC4+ approach renders the element shear- and membrane-locking free, hence providing high-fidelity fracture simulations in planar and curved topologies. To capture the mechanical response under bending-dominated fracture, a crack-driving force description based on the maximum strain energy density through the shell thickness is considered. Several numerical examples simulating fracture in flat and curved shell structures are presented, and the accuracy of the proposed formulation is examined by comparing the predicted critical fracture loads against analytical estimates.

**Keywords** Mindlin shell elements · Shear and membrane locking · MITC4+ formulations · Phase-field implementation · Brittle fracture

## 1 Introduction

Thin shell structures find numerous applications in a wide range of industries within the aerospace, automotive, and construction sectors. Thin composite laminates in particular are being deployed in aircraft structures and comprise the chassis of automotive vehicles. Hence, high-fidelity simulation of damage processes pertinent to thin-shells is vital for estimating their critical load bearing capacities while at the same time reducing the number of high-cost experimental test.

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Numerical simulation of evolving damage in thin shell-like structures is often performed using Reissner-Mindlin shell elements which allow efficient modelling of both in-plane (membrane) and out-of-plane (bending) deformations at a reduced computational cost. Especially when using an explicit time-integration scheme, shell elements do not penalize the stable time-increment even when the thickness is extremely small [60]. This makes Mindlin shells an ideal candidate for modelling computationally complex fracture problems involving, e.g., impact driven damage scenarios.

Damage modelling methods can be broadly categorized into two types, i.e., Discrete or Smeared/Diffuse. In discrete methods, a crack is treated either explicitly as a geometrical entity or implicitly as a discontinuity in the displacement field. In diffuse methods, the crack is smeared over the surrounding domain and the stress degradation effects are incorporated by means of a damage variable embedded directly into the constitutive formulations.

Discrete crack approaches primarily rely on modifying an existing finite element mesh in the locations where crack propagates, see, e.g., the robust remeshing algorithms developed by Ingraffea and Saouma [35], Bouchard et al [18, 19], Rethore et al [52], Shahani and Fasakhodi [57]. The extended finite element method (XFEM), first introduced in Belytschko and Black [13] [see, also, 24], eliminates the need of expensive mesh-updating algorithms for tracking crack paths by decoupling the crack topology from the underlying finite-element mesh. The XFEM models cracks by introducing a set of additional (enriched) degrees of freedom and corresponding discontinuous basis functions. Over the past fifteen years, the method has evolved onto the industrial standard for resolving crack-tip stress singularities without the requirement of very fine discretiza-

tions. However, the XFEM is not free from computational complexities pertinent to the the number of additional DOFs; furthermore, it relies on the definition of ad-hoc assumptions vis-a-vis the stress field at the crack-tip. Furthermore, the extension of XFEM to 3-D problems is not straightforward and poses challenges in specifying the crack propagation increment in 3-D [27].

Cohesive Zone Modelling (CZM) is a discrete method [25, 10, 34] that simulates fracture propagation by redistributing the stresses ahead of the crack-tip over a finite fracture process zone (FPZ). The constitutive behaviour of the FPZ is defined on the basis of a traction-separation law. With the exception of the Cohesive Segments Method (CSM) [51], CZM relies on the pre-definition of the crack surfaces. Hence, it cannot predict arbitrary crack propagation scenarios and is mostly applied in cases where crack path is known a-priori, e.g., in composite delamination.

Diffuse damage modelling approaches such as the Phase-field method (PFM) [29, 20] and the thick level set method [43], overcome these challenges and have been proven robust in treating complex crack patterns, e.g., branching, merging, and curvilinear crack paths. The PFM emerged from the step-changing works of Francfort and Marigo [29], Bourdin et al [20] and has garnered much attention in the past 10 years. The main advantage of the PFM is that the crack initiation location and crack-paths do not need to be predefined, but naturally emerge from the solution of a PDE that is derived on the basis of energy-minimisation principles and solved over the entire computational domain. The PFM relies on replacing the sharp crack edges with a diffusive crack interface represented by the phase field and hence resolves difficulties of numerically tracking discontinuities in the displacement field during crack propagation. To this point, the PFM has been extended to treat brittle fracture [41, 40, 44], ductile fracture [4, 17], hydraulic fracture [62, 33, 28, 47], and has also been applied within material-point method (MPM) [37] and virtual-element method (VEM) setting [1].

Despite the significant advantages provided by shell elements in resolving three dimensional surfaces in a robust and efficient manner, there have been only limited efforts to apply the PFM for simulating shell damage problems; a detailed review is provided in [63]. The PFM has been used to modelling thin shell fractures based on the Kirchoff-Love shell theory [7, 61, 38]. Kiendl et al [38] adopted higher order smooth basis functions (NURBS), whereas Amiri et al [7] employed maximum entropy meshfree approximations based on  $C^1$  continuous basis functions. Reinoso et al [50] extended the PFM for brittle fracture in large

deformation solid shell elements based on enhanced assumed strain (EAS) formulations.

An important challenge to address when using thin Mindlin shell elements is that they display membrane and transverse shear locking [39], which significantly affects the evolution the simulated crack path. Transverse shear locking occurs purely due to the displacement-based interpolation that is also used for the calculation of strains. This leads to a significant over-prediction of the bending stiffness and an under-prediction of the transverse deformations which may become lower than the theoretical estimates by orders of magnitude [26]. In addition, when the shell elements are curved or become overly distorted during nonlinear deformation, spurious coupling may occur between membrane and transverse shear strains; this also increases the element stiffness and leads to membrane locking [39]. Since in thin shells the membrane stiffness can be significantly larger than the bending stiffness, membrane locking leads to the exclusion of the desired bending modes from the overall element response [23].

To this point, several approaches have been proposed to alleviate locking in shell elements. Selective/reduced integration schemes have been employed [15, 14, 64], that however result in spurious zero energy modes necessitating additional hourglass stabilization techniques. More notably, the precise prediction of crack paths using elements based on reduced integration necessitates an even finer mesh discretisation in the critical regions which adds up to the computational complexity. The assumed strain approach based on the Mixed Interpolation of Tensorial Components (MITC) formulation proposed in the works of Dvorkin and Bathe [26], Bathe and Dvorkin [12], Bathe [11], and more recently the MITC4+ approach proposed by Ko et al [39] has been successful in alleviating both transverse shear and membrane locking issues and also pass all basic patch tests in an optimal convergence behaviour for both uniform and distorted meshes.

In this work, we extend the phase-field modelling framework to simulate brittle fracture in MITC4+ based thin Mindlin shell elements, wherein damage initiates and evolves due to coupled membrane/bending deformations. We restrict our implementation to thin 4-noded shell elements subjected to small strain deformations; however, the approach is general and can be straight-forwardly extended to higher order shell elements. We use the proposed formulation to examine the post-fracture response of 3D surfaces and establish its accuracy by comparing against analytically predicted critical fracture loads.

The paper is structured as follows: In Sec. 2, the geometrical and kinematic considerations for the Mindlin

169 shell element based on small-strain theory and coupled  
 170 bending/membrane deformations are discussed. This is  
 171 followed by a brief review of MITC4/MITC4+ formula-  
 172 tions in Sec. 2.3. In Sec. 3 the combined constitutive re-  
 173 lations extending brittle phase-field theory to MITC4+  
 174 shells are proposed, followed by numerical validations  
 175 in Sec. 4.

## 176 2 The MITC4+ Reissner-Mindlin shell element

### 177 2.1 Geometrical considerations

178 Point of departure for the formulation presented herein  
 179 is the Reissner-Mindlin degenerated 4-node shell ele-  
 180 ment [23]. The element comprises 6 local degrees of  
 181 freedom (DOF), i.e., 3 translations and 3 rotations, as  
 182 shown in Fig. 1.

183 The vector of the local nodal DOF at each node  $i$   
 184 is  $d_i = [u_i, v_i, w_i, \alpha_i, \beta_i, \gamma_i]$  (Fig. 1b). The translational  
 185 DOF, i.e.,  $[u_i, v_i, w_i]$  are defined with respect to the  
 186 global coordinate system  $xyz$ . The rotational DOF, i.e.,  
 187  $[\alpha_i, \beta_i, \gamma_i]$  are aligned with the local shell vectors, i.e.,  
 188  $\mathbf{V}_{1i}$ ,  $\mathbf{V}_{2i}$ , and  $\mathbf{V}_{3i}$ , respectively. The vector  $\mathbf{V}_{3i}$  is  
 189 normal to the shell midsurface; the coplanar vectors  $\mathbf{V}_{1i}$ ,  
 190 and  $\mathbf{V}_{2i}$  are perpendicular to  $\mathbf{V}_{3i}$ .

The coordinates of any arbitrary point  $\mathbf{x}$  within the  
 shell element are expressed in terms of the mid-surface  
 nodal coordinates according to Eq. (1)

$$\mathbf{x} = \sum_{i=1}^4 N_i \mathbf{x}_i + \sum_{i=1}^4 N_i \zeta \frac{t_i}{2} \mathbf{V}_{3i} \quad (1)$$

191 where,  $t_i$  is the shell thickness,  $N_i$  and  $\mathbf{x}_i = [x_i \ y_i \ z_i]^T$   
 192 are the shape functions and coordinate vector for mid-  
 193 surface nodes, respectively. Furthermore,  $\zeta$  is the para-  
 194 metric coordinate along the thickness direction ( $\zeta \in$   
 195  $[-1, 1]$ ), see, also, Fig. 1a.

### 196 2.2 Kinematics

The displacement at any point  $P$  lying above or below  
 the shell mid-surface (Fig. 1a) is derived with respect  
 to the mid-surface according to Eq. (2) [23].

$$\mathbf{u}_P = \begin{bmatrix} u \\ v \\ w \end{bmatrix} = \sum N_i \left( \begin{bmatrix} u_i \\ v_i \\ w_i \end{bmatrix} + \zeta \frac{t_i}{2} [\mu_i] \begin{bmatrix} \alpha_i \\ \beta_i \\ \gamma_i \end{bmatrix} \right) \quad (2)$$

where  $\mu_i$  contains the direction cosines of the shell vec-  
 tors  $\mathbf{V}_{1i}$  and  $\mathbf{V}_{2i}$  and assumes the following form (Eq.  
 (3))

$$[\mu_i] = \begin{bmatrix} -\frac{\mathbf{V}_{2i}}{|\mathbf{V}_{2i}|}, \frac{\mathbf{V}_{1i}}{|\mathbf{V}_{1i}|}, \mathbf{0} \end{bmatrix} = \begin{bmatrix} -l_{2i} & l_{1i} & 0 \\ -m_{2i} & m_{1i} & 0 \\ -n_{2i} & n_{1i} & 0 \end{bmatrix}. \quad (3)$$

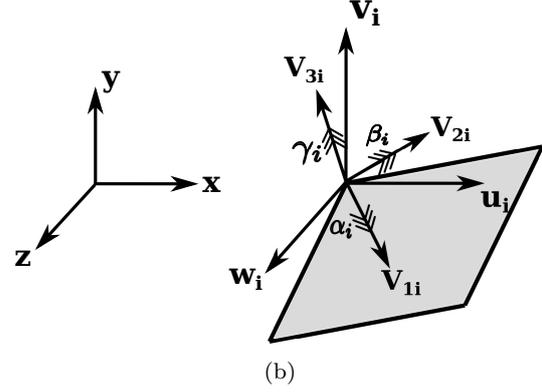
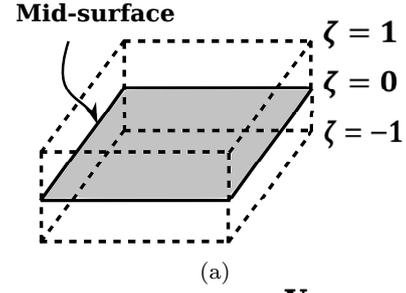


Fig. 1: A degenerated 4-noded Reissner-Mindlin shell element: (a) shell mid-surface (b) degrees of freedom and local coordinate system

The strain tensor  $[\varepsilon]_{xyz}$  in the global cartesian system is defined according to Eq. (4) below.

$$[\varepsilon]_{xyz} = [\varepsilon_{xx} \ \varepsilon_{yy} \ \varepsilon_{zz} \ \gamma_{xy} \ \gamma_{yz} \ \gamma_{zx}]^T = \sum_{i=1}^4 [\mathbf{B}_i^u] d_i \quad (4)$$

where  $[\mathbf{B}_i^u]$  is the  $6 \times 6$  strain-displacement matrix at each shell node  $i$ . The detailed definition of matrix  $[\mathbf{B}_i^u]$  can be referred from Cook et al [23].

*Remark 1* The drilling DOF  $\gamma_i$  have no stiffness associated with them. Hence, when coplanar elements share a common structural node, the drilling rotation about the shell normal  $V_{3i}$  at that node is not resisted and the system matrix becomes singular. On the contrary when not all elements surrounding a structural node are coplanar, the normal rotation of any element at the shared node has a component which gets resisted by the bending stiffness of adjacent elements. This means that in flat-shell geometries, the drilling rotation DOFs  $\gamma_i$  can be omitted from the list of overall structural DOFs. However when the shell geometry is curved, any such suppression of  $\gamma_i$  would lead to an over-constrained model and unwarranted stiffening of the structure [23]. Keeping this in view, in this work all 6 DOFs  $[u_i, v_i, w_i, \alpha_i, \beta_i, \gamma_i]$  are retained at nodes which are shared by non-coplanar elements; they are however omitted for nodes shared by coplanar elements.

To conveniently describe the kinematics of the shell element, the following coordinate systems are introduced (Fig. 2), i.e.,

1. Global Cartesian coordinate-system  $[x, y, z]$
2. Parametric coordinate-system  $[\xi, \eta, \zeta]$  used for defining parametric space of the master element.
3. Shell-aligned local coordinate system  $[1, 2, 3]$  based on mid-surface nodal vectors  $[V_1, V_2, V_3]$  which are used to define the directions of rotational DOFs  $\{\alpha, \beta, \gamma\}$ .
4. Convective coordinate system  $[r, s, t]$  in which MITC4+ modifications are performed. This can be given as  $r = g_1/|g_1|$ ,  $s = g_2/|g_2|$ ,  $t = g_3/|g_3|$ . Here,  $g_i = \mathbf{x}_{,\zeta_i}$  are the tangent vectors to the shell-surface at any arbitrary point having position vector  $x$ , where  $\zeta_i \in \{\xi, \eta, \zeta\}$  represents the parametric directions.

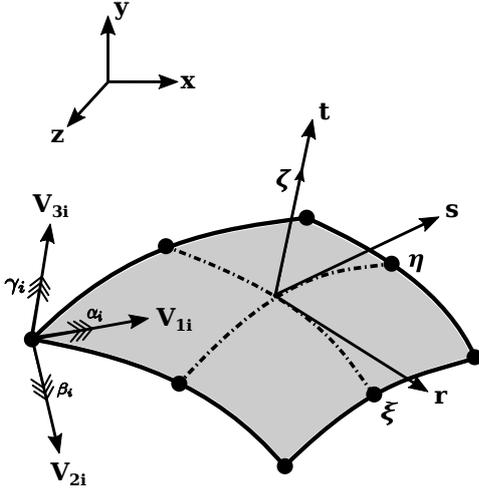


Fig. 2: Illustration of the different coordinate systems used in the formulation of the Reissner-Mindlin shell element

### 2.3 MITC4/MITC4+ formulations

In this section, the modified formulations for the transverse shear strain components based on the MITC4+ approach [26, 39] are briefly presented. The 4-noded flat shell element shown in Fig. 2 is considered, with its convected and shell-aligned local coordinate systems represented by  $[r, s, t]$  and  $[1, 2, 3]$ , respectively.

In the original MITC4 formulations [26], the transverse shear strains  $\varepsilon_{st}$  and  $\varepsilon_{rt}$  are considered constant along the edges perpendicular to the  $r$  and  $s$  axes, respectively (Fig. 3a). Furthermore, instead of using the

displacement based interpolations shown in Eq. (4), the transverse shear strain components at any arbitrary point inside the element are interpolated based on the strain values at a pre-defined set of tying points  $\{A, B, C, D\}$  (Fig. 3a) using Eq. (5).

$$\begin{aligned}\varepsilon_{rt} &= \frac{1}{2}(1 + \eta)\varepsilon_{rt}^{(A)} + \frac{1}{2}(1 - \eta)\varepsilon_{rt}^{(B)} \\ \varepsilon_{st} &= \frac{1}{2}(1 + \xi)\varepsilon_{st}^{(C)} + \frac{1}{2}(1 - \xi)\varepsilon_{st}^{(D)}\end{aligned}\quad (5)$$

The transverse shear strains at these tying points, i.e.,  $\{\varepsilon_{rt}^{(A)}, \varepsilon_{rt}^{(B)}, \varepsilon_{st}^{(C)}, \varepsilon_{st}^{(D)}\}$ , are calculated using the standard approach in Eq. (4)

$$\begin{aligned}\varepsilon_{rt}^{(TP)} &= (\varepsilon_{rt})_{\text{at TP using DI}} \\ \varepsilon_{st}^{(TP)} &= (\varepsilon_{st})_{\text{at TP using DI}}\end{aligned}\quad (6)$$

where  $TP \in \{A, B, C, D\}$  denotes the tying points, and  $DI$  denotes the direct displacement-based interpolation analogous to Eq. (4).

Similarly, in the MITC4+ formulations the membrane strain components  $\{\varepsilon_{rr}, \varepsilon_{ss}, \varepsilon_{rs}\}$  are interpolated using Eq. (5) using the membrane tying points  $\{A, B, C, D, E\}$  shown in Fig. 3b. The detailed expressions are omitted herein and can be found in [39].

### 2.4 Coordinate transformations

To formulate the local element matrices and the constitutive relations, the strain tensor in Eq. (4) must be transformed into the shell-aligned local coordinate system  $[1, 2, 3]$  using the strain-transformation matrix  $\mathcal{T}_\varepsilon$  according to Eq. (7)

$$[\varepsilon]_{123} = [\varepsilon_{11} \ \varepsilon_{22} \ \varepsilon_{33} \ \gamma_{12} \ \gamma_{23} \ \gamma_{13}]^T = \mathcal{T}_\varepsilon [\varepsilon]_{xyz} \quad (7)$$

A general definition for  $\mathcal{T}_\varepsilon$  involving strain-transformation between any two arbitrary coordinate systems is provided in Appendix B for completeness.

The assumed strains introduced in Eq. (5) are defined in the convected coordinate system  $[r, s, t]$ , whereas the strains in Eq. (7) are expressed with respect to the shell-aligned local system  $[1, 2, 3]$ . Hence, to impose the MITC4+ modification, the shell-aligned local strains  $[\varepsilon]_{123}$  must be first transformed into the convected strains  $[\varepsilon]_{rst}$ . Due to the planar geometry of the 4-noded Mindlin shell elements, the in-plane directions for both coordinate systems  $[r, s]$  and  $[1, 2]$  are co-planar, but rotated with respect to each other. The rotation for transverse shear strains  $[\gamma_{13}, \gamma_{23}]^T$  into the convected coordinates  $[r, s, t]$  is performed according to Eq. (8)

$$[\gamma_{rt} \ \gamma_{st}]^T = [R] [\gamma_{13} \ \gamma_{23}]^T \quad (8)$$

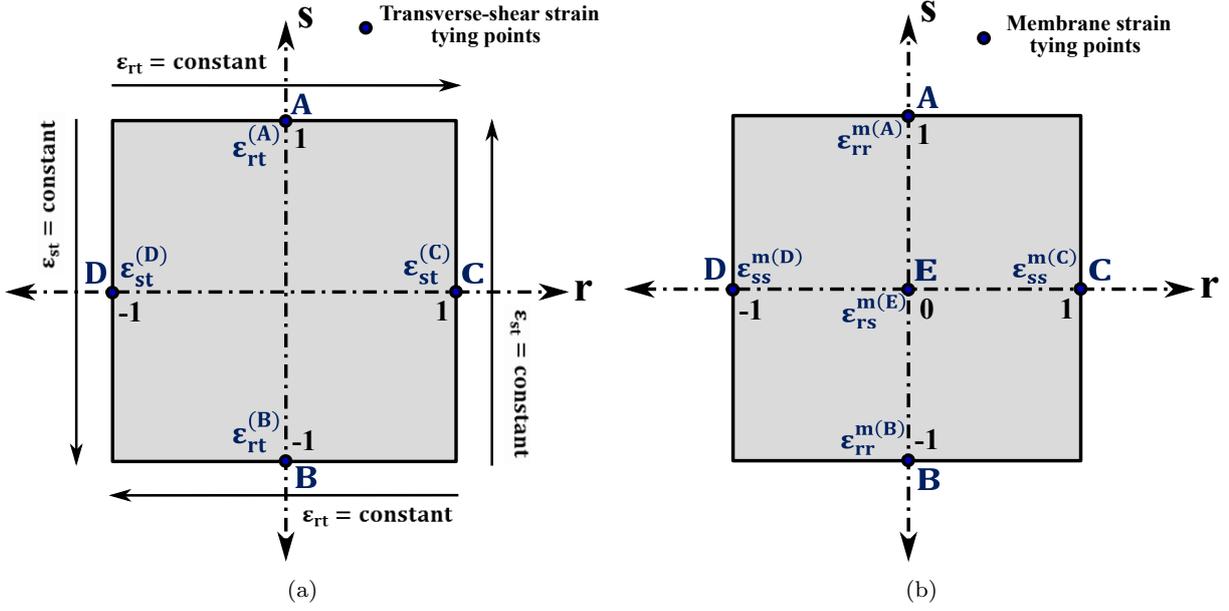


Fig. 3: Location of tying points used for assumption of (a) transverse-shear strains [26] (b) membrane strains within MITC4+ approach [39]

where

$$[R] = \begin{bmatrix} \sin \beta & -\sin \alpha \\ -\cos \beta & \cos \alpha \end{bmatrix}^{-1}. \quad (9)$$

In Eq. (9),  $\alpha$  and  $\beta$  are the angles between the  $r$  and  $V_1$  axes and  $s$  and  $V_1$  axes respectively.

The in-plane convective strain components  $[\epsilon_{rr}, \epsilon_{ss}, \gamma_{rs}]$  is derived according to Eq. (10)

$$[\epsilon_{rr}, \epsilon_{ss}, \gamma_{rs}]^T = [\mathcal{T}'_\epsilon] [\epsilon]_{123} \quad (10)$$

where  $[\epsilon]_{123}$  is provided in Eq. (7). The transformation matrix  $\mathcal{T}'_\epsilon$  is directly derived from  $\mathcal{T}_\epsilon$  in Appendix B using only the elements of the 1<sup>st</sup>, 2<sup>nd</sup>, and 4<sup>th</sup> rows of  $\mathcal{T}_\epsilon$  that correspond to the in-plane strain components  $[\epsilon_{rr}, \epsilon_{ss}, \gamma_{rs}]$ .

After performing the MITC4+ modifications on the convective transverse shear strains  $\{\gamma_{st}, \gamma_{rt}\}$  and in-plane membrane strains  $\{\epsilon_{rr}, \epsilon_{ss}, \gamma_{rs}\}$ , the total convected strain tensor  $[\epsilon]_{rst}$  is transformed back into the shell-aligned local coordinate system  $[\epsilon]_{123}$  by applying the inverse of linear transformations shown in Eq. (8)-(10).

The overall shell-aligned local strain tensor can then be expressed according to Eq. (11).

$$[\epsilon]_{123} = \begin{bmatrix} \epsilon_{11} & \epsilon_{12} & \epsilon_{13} \\ \epsilon_{12} & \epsilon_{22} & \epsilon_{23} \\ \epsilon_{13} & \epsilon_{23} & \epsilon_{33} \end{bmatrix} \equiv [\epsilon_{11} \ \epsilon_{22} \ \epsilon_{33} \ \gamma_{12} \ \gamma_{23} \ \gamma_{13}]^T \quad (11)$$

In the MITC4+ shell element, plane-stress assumptions hold, i.e. the out-of-plane tensile stress  $\sigma_{33} = 0$

the shell-aligned local coordinate system [1, 2, 3]. Hence, the expression for the out-of-plane tensile strain  $\epsilon_{33}$  is derived according to Eq. (12)

$$\epsilon_{33} = -\frac{\nu}{1-\nu} (\epsilon_{11} + \epsilon_{22}) \quad (12)$$

where  $\nu$  is the material Poisson's ratio. We further drop the subscript for local strains  $[\epsilon]_{123}$ , and denote it as  $[\epsilon]$  for the remainder of this paper.

As discussed in Sec. 2, the translational DOFs  $[u_i, v_i, w_i]$  are defined with respect to the the global Cartesian vectors  $[x, y, z]$ . However, the rotational DOFs  $[\alpha_i, \beta_i, \gamma_i]$  are defined in the direction of shell-local vectors  $[V_1, V_2, V_3]$ . Therefore, the local DOF vector  $d_{loc} = [u_i, v_i, w_i, \alpha_i, \beta_i, \gamma_i]$  is transformed to the global coordinate system according to Eq. (13) below

$$d_{glob} = [\mathcal{T}_{rot}]^T d_{loc} \quad (13)$$

with,

$$\mathcal{T}_{rot} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & l_1 & m_1 & n_1 \\ 0 & 0 & 0 & l_2 & m_2 & n_2 \\ 0 & 0 & 0 & l_3 & m_3 & n_3 \end{bmatrix}$$

where  $d_{glob} = [u_{xi}, v_{yi}, w_{zi}, \theta_{xi}, \theta_{yi}, \theta_{zi}]$  is the global vector of DOF and the expressions for the direction cosines  $\{l_i, m_i, n_i\}$  with  $i \in \{1, 2, 3\}$  are provided in B.

### 283 3 Constitutive phase-field model

Griffith's theory of brittle fracture [32] derives from the assumption that the total potential energy of a fractured solid is additively decomposed into the bulk strain energy depending on the elastic deformations and the crack surface energy (Eq. (14))

$$\Pi(\mathbf{u}, \Gamma) = \int_{\Omega} \psi_e(\varepsilon(\mathbf{u})) d\Omega + \int_{\Gamma_c} \mathcal{G}_c d\Gamma_c - \mathcal{W}_{ext}$$

with,  $\mathcal{W}_{ext} = \int_{\Omega} \mathbf{b} \cdot \mathbf{u} d\Omega + \int_{\partial\Omega} \mathbf{t} \cdot \mathbf{u} d\partial\Omega$

In Eq. (14), and also Fig. 4,  $\mathbf{u}$  is the displacement vector at any arbitrary point within the domain  $\Omega$ ,  $\mathbf{b}$  and  $\mathbf{t}$  represent the body forces within  $\Omega$  and surface-traction forces on external boundary  $\partial\Omega$  respectively,  $\Gamma_c$  is the internal discontinuous boundary,  $\psi_e$  is the elastic energy density and  $\mathcal{G}_c$  is the critical fracture energy density. The linearised strain tensor  $\varepsilon(\mathbf{u})$  is

$$\varepsilon(\mathbf{u}) = \frac{\nabla \mathbf{u} + \nabla^T \mathbf{u}}{2} \quad (15)$$

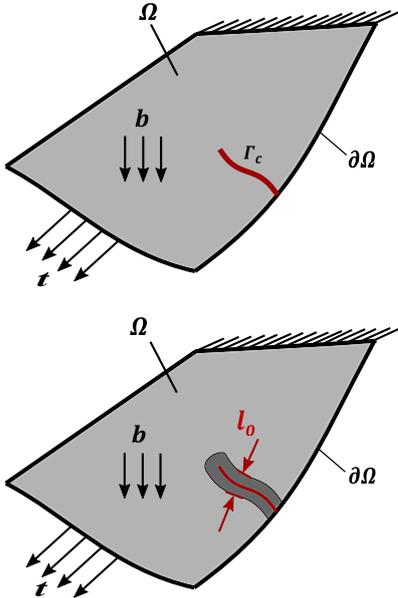


Fig. 4: Illustration of general shell-domain  $\Omega$  containing (a) Internal sharp crack, and (b) Diffused crack, and subjected to body force  $\mathbf{b}$  and surface traction forces  $\mathbf{t}$

In the variational phase-field formulation, the sharp crack surface energy term in Eq. (14) is replaced by

the regularized volume integral of a diffuse crack term shown in Eq. (16), i.e.,

$$\int_{\Gamma_c} \mathcal{G}_c d\Gamma_c \approx \int_{\Omega} \mathcal{G}_c \gamma(\phi, \nabla \phi) d\Omega \quad (16)$$

where,  $\phi \in [0, 1]$  is the phase-field variable. For a quadratic fracture surface energy approximation introduced in Ambrosio and Tortorelli [6, 5], the phase-field function  $\gamma(\phi, \nabla \phi)$  assumes the following form, i.e.,

$$\gamma(\phi, \nabla \phi) = \left[ \frac{(\phi - 1)^2}{4l_o} + l_o |\nabla \phi|^2 \right] \quad (17)$$

where  $l_o$  is the length-scale parameter controlling the width of phase-field diffusion zone. Using the functional definition of Eq. (17) it is straight-forward to show that  $\phi = 0$  and  $\phi = 1$  correspond to the fully-cracked and fully-intact states of the material, respectively.

As a crack evolves, the elastic strain energy and induced stresses of the solid must decrease to compensate for the fracture energy required to generate new crack surfaces. This degradation mechanism is achieved by means of a degradation function  $g(\phi) \in [0, 1]$  so that the elastic strain energy becomes

$$\psi_e(\varepsilon, \phi) = g(\phi) \psi_e(\varepsilon). \quad (18)$$

Combining Eqs. (14)-(18), the following expression for the regularized potential energy of a cracked solid is obtained

$$\begin{aligned} \Pi(\varepsilon, \phi, \nabla \phi) = & \int_{\Omega} [g(\phi) \psi_e(\varepsilon) + G_c \gamma(\phi, \nabla \phi)] d\Omega \\ & - \int_{\Omega} b_i u_i d\Omega - \int_{\partial\Omega} t_i u_i d\partial\Omega \end{aligned} \quad (19)$$

with  $u_i$ ,  $b_i$  and  $t_i$  as the vector components of displacement  $\mathbf{u}$ , body-force  $\mathbf{b}$  and surface traction force  $\mathbf{t}$  respectively. Eq. (19) corresponds to the phase-field model with an isotropic energy split; this however results also in cracks evolving under pure compression.

To address the issue of non-physical crack evolution under pure compression, phase-field models based on an anisotropic energy-splitting have been proposed, see, e.g., [8, 41, 3]. In the current work, we employ the spectral decomposition of the strain tensor as introduced in Miehe et al [41] to facilitate comparisons with published results. To effectively impose plane-stress assumptions and calculate the in-plane and out-of-plane contributions of the strain energy density accurately, an additional 2-D strain tensor  $[\varepsilon]'$  comprising only in-plane strain components  $[\varepsilon_{11}, \varepsilon_{22}, \varepsilon_{12}]$  is defined, i.e.,

$$[\varepsilon]' = \begin{bmatrix} \varepsilon_{11} & \varepsilon_{12} & 0 \\ \varepsilon_{12} & \varepsilon_{22} & 0 \\ 0 & 0 & \varepsilon_{33} \end{bmatrix} \text{ with, } \varepsilon_{33} = \frac{-\nu}{1-\nu} (\varepsilon_{11} + \varepsilon_{22}) \quad (20)$$

The effective Cauchy stress vector is defined accordingly as

$$\sigma = [\sigma_{11}, \sigma_{22}, \sigma_{33}, \tau_{12}, \tau_{23}, \tau_{13}]^T \quad (21)$$

*Remark 2* To effectively impose the plane-stress assumption after damage has initiated, the in-plane membrane stress components  $[\sigma_{11}, \sigma_{22}, \tau_{12}]^T$  and their corresponding contributions to the total strain energy density must be calculated based on the 2-D strain tensor  $\varepsilon'$  in Eq. (20), whereas the out-of-plane components  $[\tau_{23}, \tau_{13}]^T$  and their strain-energy contributions calculated using the complete 3-D strain tensor  $\varepsilon$  in Eq. (11). In addition, the out-of-plane tensile stress  $\sigma_{33}$  can be explicitly set to zero to achieve optimal convergence characteristics and ensure that the plane-stress assumptions hold even post-initiation of damage.

The tensile and compressive components of the 2-D and 3-D strain tensors  $\{\varepsilon', \varepsilon\}$  defined in Eq. (20) and (11) respectively, can be obtained using Eqs. (22) and (23) below.

$$\varepsilon = \sum_{i=1}^3 \lambda_i \mathbf{n}_i \otimes \mathbf{n}_i \quad ; \quad \varepsilon' = \sum_{i=1}^3 \lambda'_i \mathbf{n}'_i \otimes \mathbf{n}'_i \quad (22)$$

$$[\varepsilon]_{\pm} = \sum_{i=1}^3 \langle \lambda_i \rangle_{\pm} \mathbf{n}_i \otimes \mathbf{n}_i \quad ; \quad [\varepsilon']_{\pm} = \sum_{i=1}^3 \langle \lambda'_i \rangle_{\pm} \mathbf{n}'_i \otimes \mathbf{n}'_i \quad (23)$$

where  $\lambda_i/\lambda'_i$  are eigenvalues (principal stretches),  $\mathbf{n}_i/\mathbf{n}'_i$  are eigenvectors (principal stretch directions), and  $\{\varepsilon_{\pm}, \varepsilon'_{\pm}\}$  are the tensile/compressive strain components for the strain tensors  $\{\varepsilon, \varepsilon'\}$  respectively. The expression  $\langle \cdot \rangle_{\pm}$  denote Macaulay brackets  $\langle \cdot \rangle_{\pm} = [(\cdot) \pm |(\cdot)|]/2$ , where  $\langle \lambda_i \rangle_{\pm}$  and  $\langle \lambda'_i \rangle_{\pm}$  contain only positive/negative eigenvalues of the strain tensors  $\{\varepsilon, \varepsilon'\}$  respectively.

Based on the spectral strain decomposition for the 2-D strain tensor  $[\varepsilon']$  in Eq. (23), we define the in-plane components of strain energy density  $\psi^{IP}$  and its corresponding tensile/ compressive parts  $\psi_{\pm}^{IP}$  in Eq. (24)

$$\begin{aligned} \psi_e^{IP}(\varepsilon', \phi) &= g(\phi) \psi_+^{IP}(\varepsilon'_+) + \psi_-^{IP}(\varepsilon'_-) \\ \psi_{\pm}^{IP}(\varepsilon'_{\pm}) &= \frac{\lambda}{2} \langle \text{tr}(\varepsilon') \rangle_{\pm}^2 + \mu \text{tr} \left[ (\varepsilon'_{\pm})^2 \right] \end{aligned} \quad (24)$$

with  $\lambda$  and  $\mu$  as the Lamé constants, and  $\mathcal{I}$  as 2x2 identity tensor. The corresponding split stress tensor definitions  $\sigma_{\pm}^{IP}$  are provided in Eq. (25) as

$$\begin{aligned} \sigma^{IP} &= \begin{bmatrix} \sigma_{11} & \tau_{12} \\ \tau_{12} & \sigma_{22} \end{bmatrix} = g(\phi) \sigma_+^{IP}(\varepsilon'_+) + \sigma_-^{IP}(\varepsilon'_-) \\ \sigma_{\pm}^{IP}(\varepsilon'_{\pm}) &= \begin{bmatrix} (\sigma_{11})_{\pm} & (\tau_{12})_{\pm} \\ (\tau_{12})_{\pm} & (\sigma_{22})_{\pm} \end{bmatrix} = \lambda \langle \text{tr}(\varepsilon') \rangle_{\pm} \mathcal{I} + 2\mu (\varepsilon'_{\pm}) \end{aligned} \quad (25)$$

The stress tensor  $\sigma^{IP}$  is expressed in Voigt notation as  $\sigma^{IP} = [\sigma_{11} \ \sigma_{22} \ \tau_{12}]^T$ .

According to Eqs. (24) and (25), only the positive tensile parts of the strain energy density and the Cauchy stress tensor, respectively are multiplied by the degradation function  $g(\phi)$ . In this work, we employ the quadratic degradation function originally introduced in Pham and Marigo [45], Miehe et al [42], i.e.,

$$g(\phi) = (1 - \eta_r) \phi^2 + \eta_r \quad (26)$$

where the parameter  $\eta_r$  was first defined in Ambrosio and Tortorelli [5] and denotes the residual stiffness to prevent ill-conditioning of system matrices when damage has fully propagated.

To similarly obtain the out-of-plane Cauchy stress  $\sigma^{OP}$  and corresponding strain energy density terms  $\{\psi_e^{OP}, \psi_{\pm}^{OP}\}$ , the tensile/ compressive components of full 3-D strain tensor  $[\varepsilon]$  provided in Eq. (23) are used, as shown in Eq. (27)

$$\begin{aligned} \psi_e^{OP}(\varepsilon, \phi) &= g(\phi) \psi_+^{OP}(\varepsilon_+) + \psi_-^{OP}(\varepsilon_-) \\ \psi_{\pm}^{OP}(\varepsilon_{\pm}) &= 2\mu \left[ (\varepsilon_{23})_{\pm}^2 + (\varepsilon_{13})_{\pm}^2 \right] \end{aligned} \quad (27)$$

and Eq. (28)

$$\begin{aligned} \sigma^{OP} &= \begin{bmatrix} \tau_{23} \\ \tau_{13} \end{bmatrix} = g(\phi) \sigma_+^{OP}(\varepsilon_+) + \sigma_-^{OP}(\varepsilon_-) \\ \sigma_{\pm}^{OP}(\varepsilon_{\pm}) &= \begin{bmatrix} (\tau_{23})_{\pm} \\ (\tau_{13})_{\pm} \end{bmatrix} = 2\mu \begin{bmatrix} (\varepsilon_{23})_{\pm} \\ (\varepsilon_{13})_{\pm} \end{bmatrix} \end{aligned} \quad (28)$$

, respectively, where  $\{(\varepsilon_{23})_{\pm}, (\varepsilon_{13})_{\pm}\}$  are the transverse shear components in the tensile/ compressive 3-D strain tensors  $\{\varepsilon_{\pm}\}$  previously defined in Eq. (23).

In the standard Mindlin shell theory, the transverse shear stresses along the shell thickness are not constant; rather they follow a parabolic distribution. To account for this effect, the transverse shear strains in Eq. (28) are scaled by a factor of 5/6 as also highlighted in Cook et al [23].

$$\begin{aligned} \tau_{23} &= (5/6) \tau_{23} \\ \tau_{13} &= (5/6) \tau_{13} \end{aligned} \quad (29)$$

Based on the in-plane and out-of-plane contributions given in Eq. (24) and (27), the overall tensile and compressive components of the total strain energy density can be given as in Eq. (30).

$$\psi_{\pm} = \psi_{\pm}^{IP}(\varepsilon'_{\pm}) + \psi_{\pm}^{OP}(\varepsilon_{\pm}) \quad (30)$$

and hence, the expression for the total potential energy in Eq. (19) can be modified to naturally suppress crack

growth in the regions under pure compression.

$$\begin{aligned} \Pi(\varepsilon, \phi, \nabla \phi) = & \int_{\Omega} [g(\phi)\psi_+(\varepsilon^+) + \psi_-(\varepsilon^-) + \\ & G_c \gamma(\phi, \nabla \phi)] d\Omega - \int_{\Omega} b_i u_i d\Omega - \int_{\partial\Omega} t_i u_i d\partial\Omega \end{aligned} \quad (31)$$

The strong form of the governing linear momentum and phase-field evolution equations are henceforth obtained by minimizing the total potential energy in Eq. (31) with respect to the field variables  $\{\mathbf{u}, \phi\}$ .

$$\nabla \boldsymbol{\sigma} + \mathbf{b} = 0, \text{ on } \Omega$$

$$\left( \frac{4l_0(1-k)\psi_+}{G_c} + 1 \right) \phi - 4l_0^2 \Delta \phi = 1, \text{ on } \Omega \quad (32)$$

where the boundary conditions satisfy,

$$\begin{aligned} \mathbf{u} &= \bar{\mathbf{u}}, \text{ on } \partial\Omega_u \\ \frac{\partial \phi}{\partial x_i} n_i &= 0, \text{ on } \partial\Omega_\phi \end{aligned} \quad (33)$$

with  $n_i, i \in \{1, 2, 3, \dots, r\}$  being the outward pointing normal vectors at the crack boundary.

To facilitate crack-irreversibility, a history variable (also referred to as crack-driving force  $\mathcal{D}$ ) proposed by [41], based on maximum strain energy density throughout the deformation history is adopted in the current formulations. The expression for  $\mathcal{D}$  can be given as:

$$\mathcal{D} = \max_{(t > t_0)} \psi_+ \quad (34)$$

and the second of Eqs. (33) is re-written as

$$\left( \frac{4l_0(1-k)\mathcal{D}}{G_c} + 1 \right) \phi - 4l_0^2 \Delta \phi = 1, \text{ on } \Omega \quad (35)$$

Using the history variable to impose crack irreversibility produces acceptable and accurate results in cyclic loading scenarios. It must be emphasized however that it also disrupts the original variational formulation, see also [31, 30] for alternative techniques to impose crack irreversibility.

### 3.1 Effective material tangent operator

The undamaged material elastic constitutive law for homogeneous materials is expressed in the local shell-

aligned coordinate system [1, 2, 3] as

$$\begin{aligned} \boldsymbol{\sigma} &= \mathbf{C}_o \boldsymbol{\varepsilon} \\ \Rightarrow \begin{bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \tau_{12} \\ \tau_{23} \\ \tau_{13} \end{bmatrix} &= \begin{bmatrix} E' & \nu E' & 0 & 0 & 0 & 0 \\ \nu E' & E' & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & G & 0 & 0 \\ 0 & 0 & 0 & 0 & 5G/6 & 0 \\ 0 & 0 & 0 & 0 & 0 & 5G/6 \end{bmatrix} \begin{bmatrix} \varepsilon_{11} \\ \varepsilon_{22} \\ \varepsilon_{33} \\ \gamma_{12} \\ \gamma_{23} \\ \gamma_{13} \end{bmatrix} \end{aligned} \quad (36)$$

where  $E' = E/(1-\nu^2)$  with  $E$  and  $\nu$  as Young's modulus and Poisson's ratio respectively, and  $G = 0.5G/(1+\nu)$  is the shear modulus of the material [23].

Eq. (36) is derived on the basis of a plane-stress state and indicates that the in-plane components of the elastic Cauchy stress  $[\sigma_{11}, \sigma_{22}, \tau_{12}]^T$  are obtained only using the corresponding in-plane components of undamaged material tangent  $\mathbf{C}_o$ , whereas the transverse shear stress components  $[\tau_{23}, \tau_{13}]^T$  are obtained using out-of-plane shear components of  $\mathbf{C}_o$ .

To achieve optimal convergence rates even with the modified stress definitions in Eq. (25) and (28), plane-stress assumptions must hold even when the material is undergoing damage. To achieve this, we consider a split of the damaged tangent stiffness matrix  $\mathcal{C}_d$  into its corresponding components as shown in Eq. (37) and (40), which are based on in-plane  $\{\sigma^{IP}, \varepsilon'\}$  or out-of-plane  $\{\sigma^{OP}, \varepsilon\}$  stresses and strains, respectively.

$$[\mathcal{C}_d]^{IP} = \frac{\partial \sigma^{IP}}{\partial \varepsilon'} = g(\phi)[\mathcal{C}_d]_+^{IP} + [\mathcal{C}_d]_-^{IP} \quad (37)$$

where

$$[\mathcal{C}_d]_{\pm}^{IP} = \frac{\partial \sigma_{\pm}^{IP}}{\partial \varepsilon'} \quad (38)$$

The in-plane material tangent operator  $[\mathcal{C}_d]^{IP}$  can also be represented as the 4x4 tensor shown in Eq. (39).

$$[\mathcal{C}_d]^{IP} = \begin{bmatrix} \mathcal{C}_d^{1111} & \mathcal{C}_d^{1122} & 0 & \mathcal{C}_d^{1112} \\ \mathcal{C}_d^{2211} & \mathcal{C}_d^{2222} & 0 & \mathcal{C}_d^{2212} \\ 0 & 0 & 0 & 0 \\ \mathcal{C}_d^{1211} & \mathcal{C}_d^{1222} & 0 & \mathcal{C}_d^{1212} \end{bmatrix}; \mathcal{C}_d^{ijkl} = \frac{\partial \sigma_{ij}^{IP}}{\partial \varepsilon'_{kl}} \quad (39)$$

The out-of-plane component of material tangent operator can be similarly given as Eqs. (40) and (41).

$$[\mathcal{C}_d]^{OP} = \begin{bmatrix} \mathcal{C}_d^{2323} & 0 \\ 0 & \mathcal{C}_d^{1313} \end{bmatrix} = g(\phi)[\mathcal{C}_d]_+^{OP} + [\mathcal{C}_d]_-^{OP} \quad (40)$$

$$[\mathcal{C}_d]_{\pm}^{OP} = \begin{bmatrix} \frac{\partial(\tau_{23})_{\pm}}{\partial(\varepsilon_{23})_{\pm}} & 0 \\ 0 & \frac{\partial(\tau_{13})_{\pm}}{\partial(\varepsilon_{13})_{\pm}} \end{bmatrix} \quad (41)$$

where  $\{(\tau_{23})_{\pm}, (\tau_{13})_{\pm}\}$  and  $\{(\varepsilon_{23})_{\pm}, (\varepsilon_{13})_{\pm}\}$  are the tensile/ compressive components of the 3-D transverse shear stresses and strains defined in Eq. (28) and (23) respectively.

The combined damaged material tangent tensor  $[\mathcal{C}_d]$  can finally be expressed as

$$[\mathcal{C}_d]_{6*6} = \begin{bmatrix} [\mathcal{C}_d]^{IP} & \mathbf{0} \\ \mathbf{0}^T & [\mathcal{C}_d]^{OP} \end{bmatrix} \quad (42)$$

where  $\mathbf{0}$  corresponds to the  $2 \times 4$  null tensor.

### 3.2 Crack driving force variation along shell-thickness

The 3-D kinematics of Mindlin shell elements are defined with respect to the kinematics of the mid-surface. Furthermore, damage evolution as manifested by the evolution of the phase field is obtained only at the mid-surface nodes as a 2-D field. Hence, achieving an accurate and realistic stress degradation along the thickness becomes a challenging task [see, e.g., 38].

Driven by the observation that, especially in thin shell structures, crack propagation through all thickness layers is often sudden and brutal, we employ a maximum through the thickness driving force rule to control the evolution of the phase field. Within this setting, the crack driving forces are evaluated at each through the thickness integration point according to Eq. (34) as

$$\mathcal{D}_{ij} = \max_{(t>t_0)} \psi_{+,ij} \quad (43)$$

where  $i = 1 \dots n_{thick}$  and  $j = 1 \dots n_{GP}$  with  $n_{thick}$  denoting the number of thickness layers and  $n_{GP}$  the number of integration points per layer, respectively. Hence, the crack-driving force is evaluated based on the 3-D stress state at its individual integration point.

The crack-driving force at all thickness integration points corresponding to a particular mid-surface location is then set equal to the maximum of driving forces prevalent at those integration points and phase-field evolution Eq. (35) is integrated at each Gauss-point over the entire shell-element volume, thus causing phase-field (or damage) to evolve based on the max crack-driving force description. The procedure is schematically illustrated in Fig. 5 for the case of

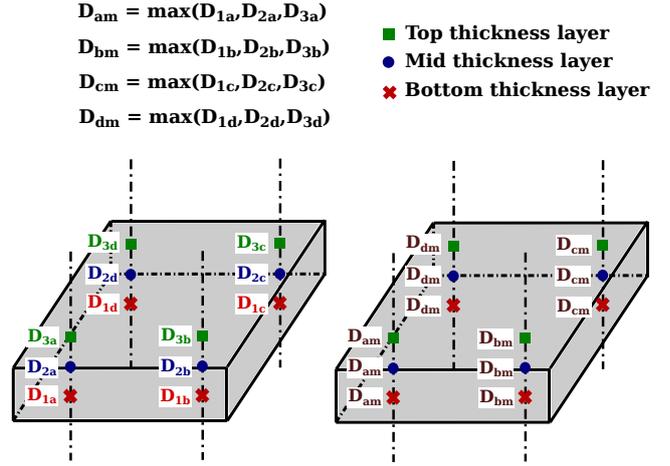


Fig. 5: Schematic illustration of the procedure employed to evaluate the crack-driving force  $\mathcal{D}$  based on the maximum through the thickness rule employed. The case of 3 thickness layers and 4 integration point per thickness layer is considered.

$n_{thick} = 3$  thickness layers and  $n_{GP} = 4$  integration points per layer.

Our extensive numerical experiments have shown that this assumption captures the physical cracking phenomena through the shell thickness and leads to highly accurate critical fracture strength predictions, especially during bending dominated failure scenarios, as also shown in the benchmark numerical examples.

*Remark 3* To accurately capture the phase field variation through the thickness, in the case of multi-layered composite sections, see for e.g. [49], where a significant variation of the fracture toughness is expected, one would stack a number of shell elements along the thickness (see, e.g., [36, 59, 56]). Such aspects are beyond the scope of this work.

### 3.3 Discretization and solution procedure

The coupled strong-form evolution Eqs. (32) are discretized via a Galerkin approximation. The test  $\mathcal{S}$  and weighting  $\mathcal{W}$  function spaces for the displacement field are defined as

$$\mathcal{S}_{\mathbf{u}} = \left\{ \mathbf{u} \in (\mathcal{H}^1(\Omega))^d \mid \mathbf{u} = \bar{\mathbf{u}} \text{ on } \partial\Omega \right\} \quad (44)$$

$$\mathcal{W}_{\mathbf{u}} = \left\{ \delta\mathbf{u} \in (\mathcal{H}^1(\Omega))^d \mid \delta\mathbf{u} = \bar{\delta}\mathbf{u} \text{ on } \partial\Omega \right\}. \quad (45)$$

The corresponding spaces for the phase field are

$$\mathcal{S}_{\phi} = \left\{ \phi \in (\mathcal{H}^1(\Omega)) \right\} \quad (46)$$

$$\mathcal{W}_{\phi} = \left\{ \delta\phi \in (\mathcal{H}^1(\Omega)) \right\}. \quad (47)$$

Multiplying the strong form Eqs. (32), integrating by parts and performing the necessary algebraic manipulations eventually leads to the the following convenient nodal residual form for the equilibrium equation at node  $i$ ,

$$\begin{aligned} \mathcal{R}_i^{\mathbf{u}} &= \mathcal{F}_{ext}^{\mathbf{u}} - \mathcal{F}_{int}^{\mathbf{u}} \\ &= \int_V N_i b_i dV - \int_V [\mathcal{T}_{rot}]^T [\mathcal{T}_\varepsilon \mathbf{B}_i^{\mathbf{u}}]^T \sigma dV \end{aligned} \quad (48)$$

and the phase-field evolution equation at node  $i$

$$\begin{aligned} \mathcal{R}_i^\phi &= -\mathcal{F}_{int}^\phi = \int_V \left( \frac{4l_0(1-k)\mathcal{D}}{\mathcal{G}_c} + 1 \right) N_i \phi dV \\ &\quad + \int_V 4l_0^2 [\mathbf{B}_i^\phi]^T [\mathbf{B}_i^\phi] \phi_i dV - \int_V N_i dV \end{aligned}, \quad (49)$$

respectively. In Eqs. (48) and (49),  $V$  is the element volume,  $N_i$  is the 2-D shape function and  $[\mathbf{B}_i^{\mathbf{u}}]$  is the strain-displacement matrix as expressed in Eq. (4), and  $[\mathcal{T}_{rot}]$ ,  $[\mathcal{T}_\varepsilon]$  are the rotation and strain-transformation tensors defined in Eqs. (13) and (7), respectively, which facilitate the calculation of the internal forces  $\mathcal{F}_{int}^{\mathbf{u}}$  in the local shell coordinate system  $[1, 2, 3]$  and their subsequent rotation into global  $[x, y, z]$  system.

The explicit expressions for  $N_i$  and  $[\mathbf{B}_i^{\mathbf{u}}]$  can be obtained from [23]. Furthermore,  $[\mathbf{B}_i^\phi]$  is defined with respect to shell-local system  $[1, 2, 3]$  as shown in Eq. (50).

$$[\mathbf{B}_i^\phi] = [N_{i,1}, N_{i,2}, N_{i,3}]^T \quad (50)$$

*Remark 4* In practice, the components of  $[\mathbf{B}_i^\phi]$  can be effectively obtained by choosing the relevant components of locally transformed strain-displacement tensor  $[\mathcal{T}_\varepsilon \mathbf{B}_i^{\mathbf{u}}]$ . Since in Mindlin shell theory, the kinematics of the shell-element is represented using 2-D shape functions at the mid-surface,  $N_{i,3}$  can be effectively set as zero.

Assembling the contributions from each element shown in Eqs. (48) and (49) into the overall residual vectors  $\mathcal{R}^{\mathbf{u}}$  and  $\mathcal{R}^\phi$ , the solution  $\{\mathbf{u}, \phi\}$  to the combined system of equations (32) can be obtained by setting  $\mathcal{R}^{\mathbf{u}} \rightarrow \mathbf{0}$  and  $\mathcal{R}^\phi \rightarrow \mathbf{0}$ .

In the current work, the solution is obtained using the staggered or alternating minimization approach based on [41]. To ensure accuracy of the obtained solution, either both equations must be solved using staggered iterations [2] or the analysis must be solved using small incremental steps [41].

### 3.4 Integration procedure

For the MITC4+ shell element analyzed in the current work, a full-integration technique is employed with 4 Gauss integration points defined at each parametric thickness layer within the element. The integral expressions in Eqs. (48) and (49) are expressed in terms of parametric coordinates  $[\xi, \eta, \zeta]$  according to Eq. (51)

$$\int_V (\mathcal{I}) dV = \int_{-1}^1 \int_{-1}^1 \int_{-1}^1 (\mathcal{I}) \det [J] d\xi d\eta d\zeta \quad (51)$$

where  $\mathcal{I}$  is evaluated at each integration point through the shell-volume and the definition for Jacobian  $[J]$  is provided in Appendix A. The in-plane integration over  $\{\xi, \eta\}$  within each thickness layer  $\zeta$  is performed using the Gauss-integration rule,

$$\int_{-1}^1 \int_{-1}^1 (\mathcal{I}) \det [J] d\xi d\eta = \sum_{i=1}^4 (\mathcal{I}_i) \det [J]_i w_i \quad (52)$$

where  $i \in \{1, 2, 3, 4\}$  are the in-plane integration points and  $w_i \in \{1, 1, 1, 1\}$  are the weights associated with each of these points. The out-of-plane integration for all thickness layers is performed using the Simpson's rule, which can be expressed as in Eq. (53) for any integrand  $\mathcal{I}'$ .

$$\int_{-1}^1 \mathcal{I}' d\zeta = \frac{\Delta h}{3} (\mathcal{I}'_0 + 2\mathcal{I}'_1 + 4\mathcal{I}'_2 + 2\mathcal{I}'_3 + \dots + \dots + \mathcal{I}'_n) \quad (53)$$

where  $\Delta h = 2/n$ , and  $\{\mathcal{I}'_0, \mathcal{I}'_1, \dots, \mathcal{I}'_n\}$  are the values of the integrand  $\mathcal{I}'$  evaluated at the different shell-thickness layers  $\zeta \in [-1, 1]$  starting with the value of  $\mathcal{I}'_0$  at the bottom-most layer  $\zeta = -1$ .

While performing through-thickness integration of the phase-field evolution equation (49), the value of crack-driving force  $\mathcal{D}$  at any Gauss-point within a specific thickness layer is obtained based on the maximum crack-driving force rule detailed in Sec. 3.2 and Fig. 5.

## 4 Numerical examples

In all the test cases examined in this Section, a displacement controlled analysis has been employed. Unless explicitly stated, a one-pass staggered (alternating minimization) approach with a very small time-increment size ( $1.e^{-06} - 1.e^{-05}$ ) has been used for the solution of the coupled displacement- phase-field problem, and the residual stiffness  $\eta_r$  is set to 0.

## 4.1 Notched square plate subjected to in-plane tension

The standard benchmark of the notched square plate shown in Fig. 6 under tension is examined herein. The material properties considered are  $E = 210$  GPa,  $\nu = 0.3$ , and  $\mathcal{G}_c = 0.0027$  kN/mm. The mesh-size is  $h_e = 0.0025$  mm in the central strip where the crack is expected to propagate and the length scale parameter is  $l_o = 0.0075$  mm. A displacement control analysis is performed with an equilibrium tolerance of  $tol_u = 1.e^{-08}$ .

It is interesting to note that the length-scale parameter  $l_0$  adopted by Miehe et al [41] is twice the size of  $l_0$  used by Borden et al [16]. This implies that the formulation detailed in [41] requires the minimum value of  $l_0$  to be at-least twice the mesh-size  $h_e$  ( $l_0 \geq 2h_e$ ), whereas on the other hand, the minimum value of  $l_0$  should be  $l_0 \geq h_e$  for the formulations provided in [16]. Indeed both the definitions of  $l_0$  are equivalent, and one must be careful while appropriately choosing the value of  $l_0$  when comparing results from the two formulations. The current work uses the formulations from [16], and hence the definition  $l_0 \geq h_e$  consistently hold for all the numerical simulations performed in this paper.

The resulting crack-path and load-displacement response are shown in Fig. 7 and Fig. 8, respectively. The crack initiates at a critical fracture force  $\mathcal{F}_{crit} = 0.7052$  kN. Both the crack-path and the fracture force prediction are in perfect agreement with the results reported in the literature [see, e.g., 42].

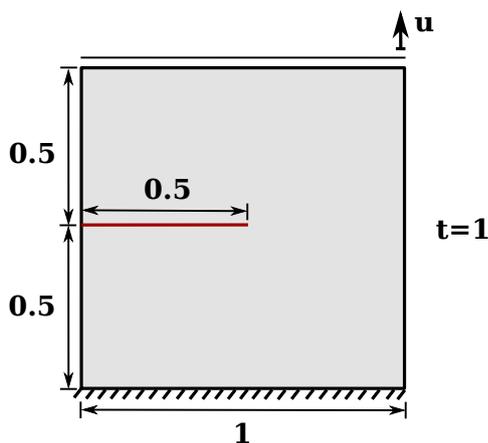


Fig. 6: Geometry and boundary conditions for square plate with horizontal notch subjected to in-plane tension (All dimensions in mm)

## 4.2 Notched square plate subjected to in-plane shear

The square plate specimen examined in Sec. 4.1 is subjected to horizontal in-plane tractions. Due to the nature of the loading and boundary conditions in this case, the specimen attains a bi-axial strain state which leads to the propagation of crack at an angle of  $45^\circ$  to the horizontal direction. An equilibrium tolerance of  $tol_u = 1.e^{-06}$  is used for the displacement controlled analysis. Fig. 10 and Fig. 11 display the development of crack with each subsequent load-increment and the load-displacement response, respectively. The predicted crack-path and the critical fracture load  $\mathcal{F}_{crit} = 0.5248$  kN are in good agreement with the results reported in [41].

## 4.3 1-D beam subjected to transverse bending

A simply-supported rectangular plate subjected to a uniformly distributed pressure over the entire top face is considered as shown in Fig. 12. The aim of this example is to verify the proposed formulation predictions under bending-dominated fracture scenarios. The material and fracture properties are  $E = 1.e10$  MPa,  $\nu = 0$ ,  $\mathcal{G}_c = 3$  N/mm, and  $l_o = 0.01$  mm. The mesh is refined with  $h_e = 0.003$  mm in the entire mid-span of the plate where the crack propagation is expected. The thickness of the beam  $t = 0.01$  mm is very small in comparison to the other two plate-dimensions ( $l = 8$  mm and  $w = 1$  mm) so that the effects of transverse shear and membrane locking on the critical fracture characteristics can be monitored.

The vertical displacement is monitored at the centre-node of the plate, and the total applied distributed load is analysed with  $tol_u = 1.e^{-06}$ . The crack initiates at the plate's mid-span which is also the location of maximum transverse deformation  $u_z$ , as shown in Fig. 13. The load-displacement response is shown in Fig. 14 where a brittle fracture response under pure bending is indeed recovered.

Since the Poisson's ratio is null, the transverse bending stiffness and the critical fracture loads should be identical to those predicted by the classical Euler/ Bernoulli beam theory. According to the Euler/ Bernoulli beam theory, the analytical elastic stiffness/length of the beam is established in Eq. (54) as

$$k = P/\delta = \frac{384EI}{5l^4} \quad (54)$$

where  $\delta$  is the maximum transverse deformation obtained at the centre-span,  $E$  is the Young's modulus,  $I = wt^3/12$  is the area moment of inertia for the beam,

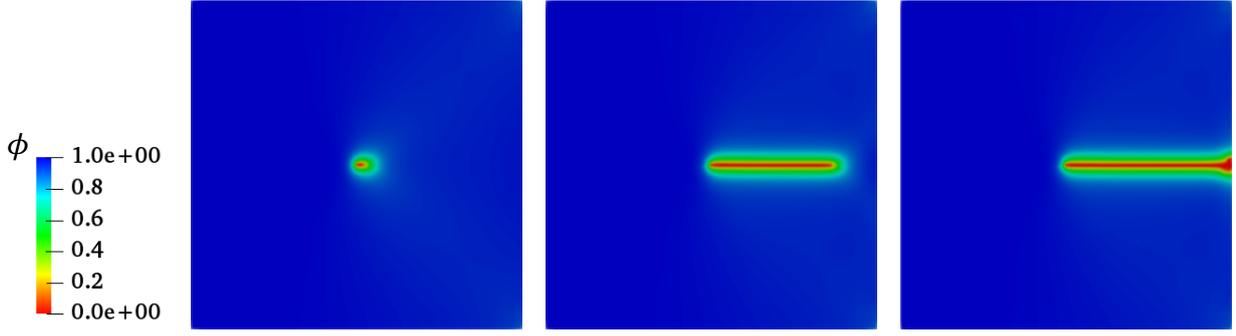


Fig. 7: Notched plate under in-plane tension: phase field evolution with increasing load-increments [ $\phi = 1$  and  $\phi = 0$  intact and cracked states of the material]

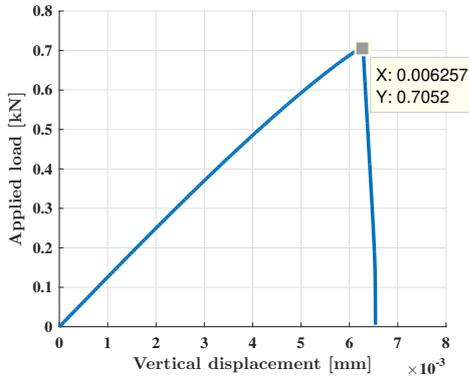


Fig. 8: Notched plate under in-plane tension: Load-displacement response

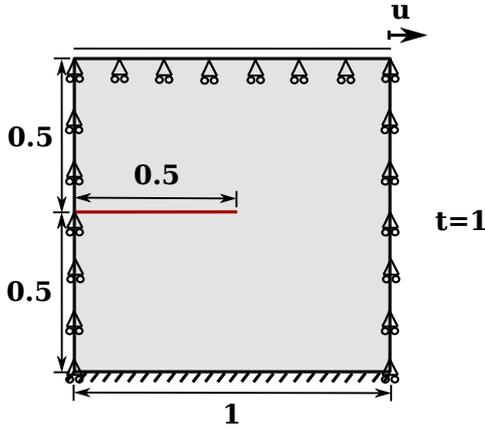


Fig. 9: Geometry and boundary conditions for square plate with horizontal notch subjected to in-plane shear (All dimensions in mm)

497 and  $P = F/l$  is the total distributed applied load-518  
 498 /length on the beam with units in  $N/mm$ , wherein  $F$ -519  
 499 is the total applied load in  $N$ . 520

500 For the current case, the analytical elastic stiff-521  
 501 ness of the beam can be calculated using Eq. (54) as522

502  $k = P/\delta \approx 15.625 N/mm^2$ . The slope of the pre-  
 503 dicted elastic load-displacement response in Fig. 14b  
 504 ( $k' = 0.06249/0.004 = 15.6225 N/mm^2$ ) is in close  
 505 agreement with this analytical estimate.

Considering the case of isotropic phase field fracture, i.e., fracture initiating both at tension and compression, the critical fracture load of the beam can be evaluated as

$$P_{cr} = 8M_{cr}/L^2 \quad (55)$$

where,  $M_{cr}$  is the critical bending moment required for crack initiation

$$M_{cr} = \sigma_{cr}wt^2/6 \quad (56)$$

and  $\sigma_{cr}$  is the critical fracture stress. Based on derivations in [16], the critical fracture stress can be evaluated as Eq.(57).

$$\sigma_{cr} = \frac{9}{16} \sqrt{\frac{EG_c}{6l_o}} \quad (57)$$

506 For the given material and fracture properties, the critical  
 507 stress in Eq. (57) is  $\sigma_{cr} = 3.9775 \cdot 10^5 N/mm^2$ .  
 508 This can be inserted into Eq. (55) to obtain the critical  
 509 fracture load  $P_{cr} = 0.8286 N/mm$ .

510 Comparing the load-displacement responses in  
 511 Fig. 14a, it is evident that the maximum crack-driving  
 512 force description through thickness (detailed in Sec. 3.2)  
 produces good agreement with the analytical fracture  
 force estimated by Eq. (55) for the isotropic phase-field  
 model. This reinstates the validity of the assumption  
 that in thin shells, all transverse thickness layers at a  
 given location would fracture simultaneously as soon as  
 the crack is initiated in any one of these layers. Hence  
 to incorporate this effect, the material stiffness degrada-  
 tion at that shell location must start as soon as the  
 crack-driving force in any one of the associated thick-  
 ness layers attains a critical limit. Such a description of

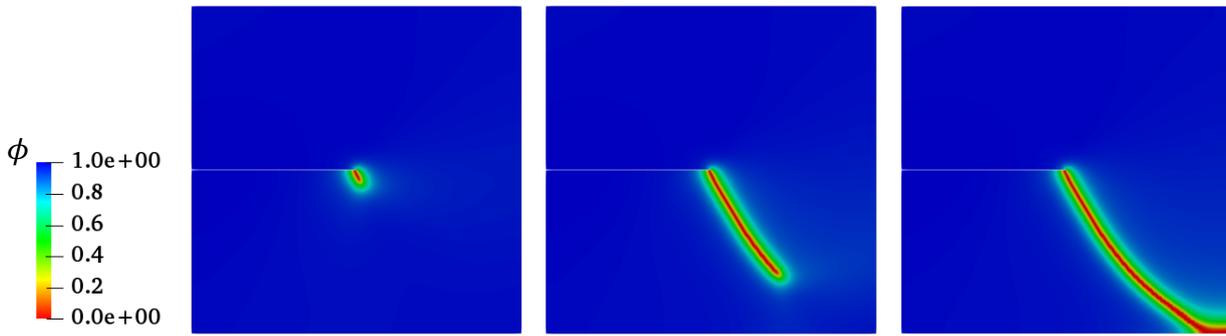


Fig. 10: Notched plate under in-plane shear: phase field evolution with increasing load-increments [ $\phi=1$  and  $\phi=0$  represent intact and cracked states of the material]

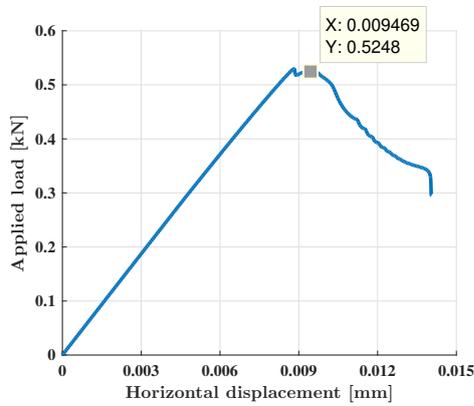


Fig. 11: Notched plate under in-plane shear: Load-displacement response

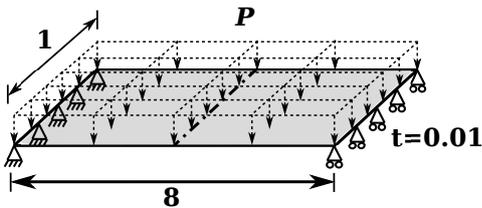


Fig. 12: Geometry and boundary conditions for rectangular 1-D beam subjected to transverse unidirectional bending under uniformly distributed pressure load  $P$  (All dimensions in mm)

523 crack-driving force  $\mathcal{D}$  enables a 3-D description of crack<sub>539</sub>  
 524 topology and stress-degradation effects, albeit using a<sub>540</sub>  
 525 2-D phase-field, refer to Sec. 3.2 for details. <sub>541</sub>

526 Solving the phase-field evolution Eq. (32) using the<sub>542</sub>  
 527 spectral split proposed in [41] and with the same crack-<sub>543</sub>  
 528 driving force definition (Fig. 5) results in the load-<sub>544</sub>  
 529 displacement response in Fig. 14b. The correspondings<sub>545</sub>  
 530 critical fracture load is higher than the one provided by<sub>546</sub>  
 531 the isotropic model as the in this case material degra<sub>547</sub>

532 dation occurs only on the part of the shell undergoing  
 533 tension. The accuracy of the predicted critical force for  
 534 the spectral-split case [41] is verified against the ana-  
 lytical estimates and XFEM results in Sec. 4.4.

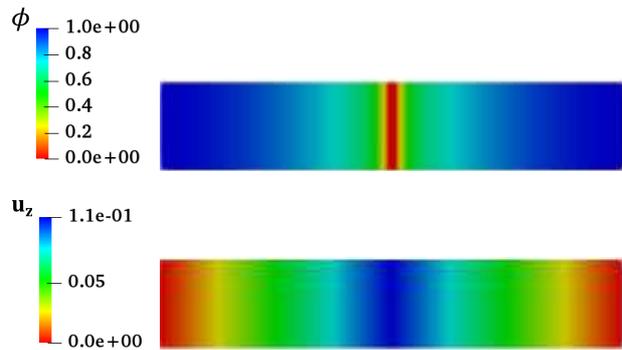


Fig. 13: 1-D beam under transverse unidirectional bending: Plan-view of (a) Crack-path  $\phi$  and, (b) Vertical displacement  $u_z$  represented at the shell mid-surface [ $\phi=1$  and  $\phi=0$  represent intact and cracked states of the material]

#### 4.4 Rectangular plate with a through crack subjected to pure bending moments

538 The rectangular plate specimen with a through crack  
 539 shown in Fig. 15 is subjected to pure bending moments  
 540 on its opposite edges and the accuracy of predicted peak  
 541 moments are compared with the corresponding analytical  
 542 values obtained using the stress-intensity factors in  
 543 [58]. This example has been examined previously in  
 544 Rouzgar and Mirzaei [53], where a comparison between  
 545 SIFs obtained with XFEM and the analytical SIFs was  
 546 performed. Herein, In this example, we attempt a com-  
 547 parison between the critical fracture loads predicted by

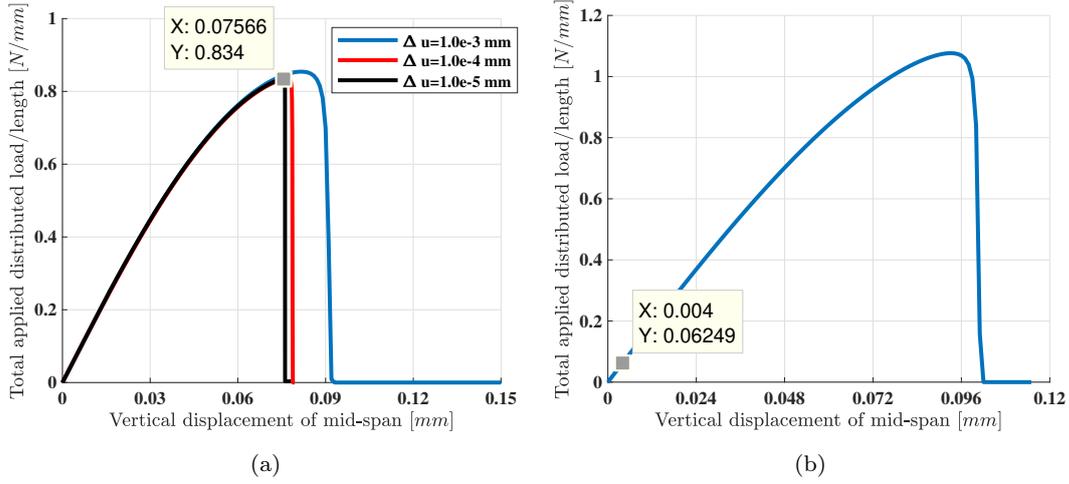


Fig. 14: 1-D beam under transverse unidirectional bending: Load-displacement response at beam's centre-node for a) Isotropic phase-field formulations b) Anisotropic phase-field formulations with spectral decomposition [41]

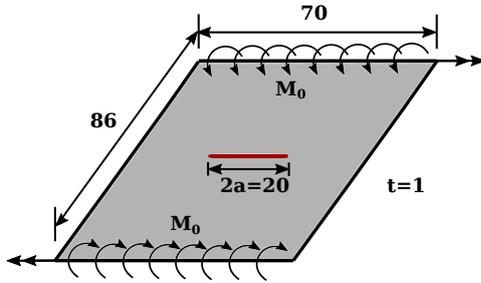


Fig. 15: Geometry and boundary conditions for the rectangular plate subjected to pure bending moments (All dimensions in mm)

548 the proposed phase-field model and the analytical for-  
549 mulations provided in Sih et al [58]. The material prop-  
550 erties are  $E = 210,000$  MPa and  $\nu = 0.33$ .

The rotational increment  $\Delta\theta_X$  is monitored at the top-right corner node, and the plate is analysed with respect to varying sizes of  $\Delta\theta_X$  until the peak critical bending-moment is converged. An equilibrium tolerance of  $tol_u = 1.e^{-06}$  is used in each case. According to [58], the analytical expression for the critical stress-intensity factor (SIF) for a centrally-cracked plate with infinite width and subjected to remotely applied pure bending moment is evaluated as

$$K_{1c} = \frac{6M_{0,crit}}{t^2} \sqrt{a} ; K_{2c} = 0 \quad (58)_{551}$$

$$\Rightarrow K_c = \sqrt{K_{1c}^2 + K_{2c}^2} \quad 552$$

553 where  $K_c$  is the equivalent critical SIF,  $t$  is the plate  
554 thickness,  $M_{0,crit}$  is the critical bending moment and  $a_{555}$   
556 is half-length of the central crack. The analytical values

of critical SIF for this example is provided in [53] as

$$K_{1c} = 189.74 \text{ MPa mm}^{-1/2}. \quad (59)$$

Assuming plane-stress conditions, the correspond-  
ing critical energy release rate  $\mathcal{G}_c$  is

$$\mathcal{G}_c = \frac{K_c^2}{E} = 0.171435 \text{ N/mm}. \quad (60)$$

Substituting the value of  $K_{1c}$  from Eq. (59) into (58)  
and considering the edge length  $l = 70$  mm, the critical  
bending moment/edge-length is derived as

$$\frac{M_{0,crit}}{l} = \frac{K_{1c} t^2}{6l\sqrt{a}} = 10.0002 \text{ N-mm/mm} \quad (61)$$

In our phase-field simulations, the mesh is refined in  
the central region with the element size  $h_e = 0.25$  mm  
where the crack is expected to propagate. The length-  
scale parameter and residual stiffness are chosen as  
 $l_0 = 0.25$  mm and  $\eta_r = 1.0e^{-3}$ , respectively. In the  
original variational formulation proposed by Bourdin  
et al [20], it was shown that the fracture energy is over-  
estimated depending on the size of finite element dis-  
cretization. To compensate for this amplification, an  
effective critical energy release rate was proposed for  
the purpose of phase-field simulations, see also [46].

$$\mathcal{G}_c^{eff} = \frac{\mathcal{G}_c}{1 + (h_e/4l_0)} \quad (62)$$

Considering  $\mathcal{G}_c = 0.171435$  N/mm,  $h_e = 0.25$  mm  
and  $l_0 = 0.25$  mm, the effective critical energy re-  
lease rate  $\mathcal{G}_c^{eff}$  for the current analysis is  $\mathcal{G}_c^{eff} =$   
0.13715 N/mm.

The moment versus edge rotation response is il-  
lustrated in Fig. 16. The resulting crack topology is

shown in Fig. 17. The crack originates simultaneously at both notch-tips and propagates horizontally towards the ends of the plate.

Furthermore, to demonstrate that the obtained results are independent of the magnitude of chosen time increments, a comparison of moment-rotation responses for varying sizes of moment-increments  $\Delta M_0$  is performed in Fig. 16. The converged value for the critical moment/length in Fig. 16 is  $M_{0,PFM} = 10.83$  N-mm/mm, which is in close agreement with the analytical bending moment/length derived in Eq. (61). This example further establishes the validity of assumptions made in Sec. 3.2 for the phase-field model based on anisotropic spectral strain decomposition, and verifies the accuracy of the proposed phase-field formulations in characterising realistic bending-dominated fracture scenarios.

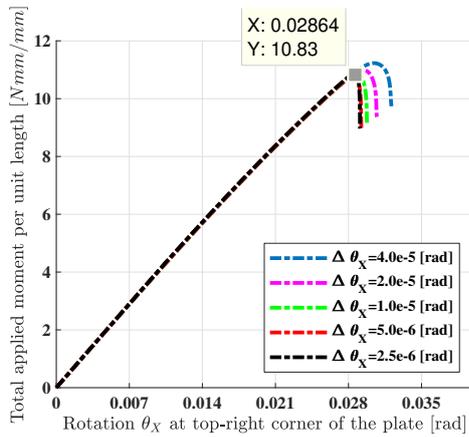


Fig. 16: Rectangular plate under pure-bending moments: Moment vs edge-rotation response

#### 4.5 Simply supported plate subjected to bi-directional bending loads

To demonstrate cracking phenomena under bi-directional bending loads, a simply supported plate with a uniformly distributed surface load is examined. The material and fracture properties are  $E = 1.9e^5$  MPa,  $\nu = 0.3$ ,  $l_o = 0.01$  mm,  $G_c = 0.295$  N/mm and the boundary conditions are as shown in Fig. 18. The mesh is refined along the plate's diagonals with  $h_e = 0.005$  mm. Only a quarter section of the plate is analyzed due to symmetry. The quarter-section is simply supported on the outer edges of the plate, whereas the internal shared edges are subjected to symmetric boundary conditions. A uniformly distributed load is

applied over the entire top face until complete fracture of the plate, and the vertical displacement is monitored at the centre node of the plate. The analysis is run until a convergence tolerance of  $tol_u = 1.e^{-06}$  is reached.

The crack-path is shown in Fig. 19 which is consistent with the results reported previously in [38, 9]. The load-displacement curve is illustrated in Fig. 20.

#### 4.6 Cylinder with/without spherical closing cap subjected to uniform pressure loads

A cylindrical shell geometry with small axial notches placed on diametrically opposite ends and uniformly applied pressure load on its inner surface is considered. Owing to the problem symmetry across the xy and xz planes, only the quarter part of the full cylinder is analyzed as shown in Fig. 21.

To examine the robustness of the approach, two different cases are examined, i.e. with and without a spherical cap at the two ends of the cylindrical shell. The latter is expected to give rise to crack branching at the spherical cap. The material and fracture properties are  $E = 7.0e^4$  MPa,  $\nu = 0.3$ ,  $l_o = 0.125$  mm,  $G_c = 1.5$  N/mm. The mesh is refined with the size  $h_e = 0.1$  mm in all the cylindrical and spherical cap regions where the crack is expected to propagate. A displacement controlled analysis is performed with an equilibrium tolerance of  $tol_u = 1.e^{-05}$ . For the cylinder specimen without spherical cap (Fig. 21a), the vertical circular arc BC is fixed along the x and z directions, whereas symmetric boundary conditions are imposed on horizontal edges AB, CD, and AD. The specimen with spherical closing cap (Fig. 21b) is subjected to symmetric boundary conditions on all free edges, i.e. the vertical circular arc AD towards the notch is subjected to y-symmetric and horizontal edges AB, BC and CD are subjected to z-symmetric boundary conditions. The example demonstrates the capability of proposed phase-field formulations in simulating damage for thin curved geometries which displays significant membrane as well as transverse shear locking.

The responses between the total applied pressure load and the displacement-norm measured at the notch-tip are compared in Fig. 22 for both the uncapped and capped specimens.

The crack-path at increasing load-increments for the uncapped and capped cylinders are shown in Figs. 23 and 24, respectively. In the former case, the crack initiates at the notch-tip and propagates along the longitudinal direction of the shell. In the latter, the specimen demonstrates a similar response (Fig. 24), however, in this case the crack initiates at a slightly lower

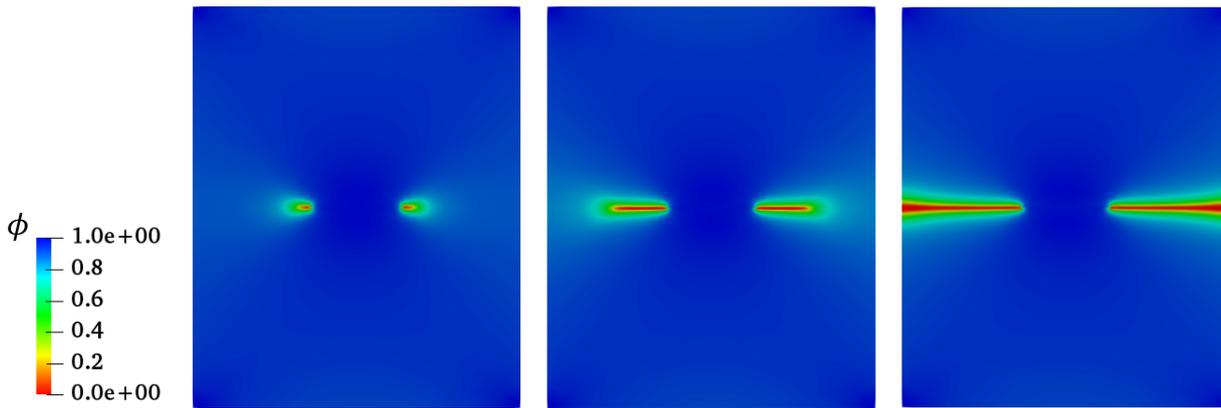
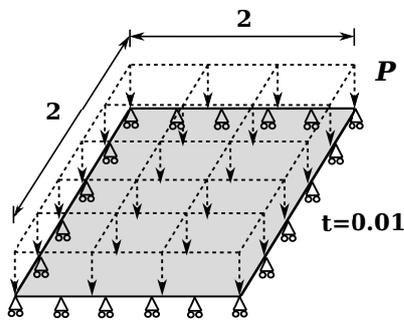
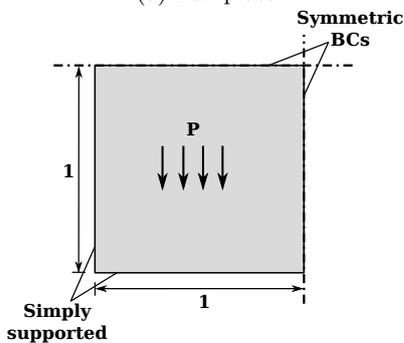


Fig. 17: Rectangular plate under pure-bending moments: phase field evolution with increasing load-increments [ $\phi=1$  and  $\phi=0$  represent intact and cracked states of the material]



(a) Full-plate



(b) Quarter-part of the plate

Fig. 18: Geometry and boundary conditions of simply-supported plate subjected to bidirectional bending under uniformly distributed pressure load  $P$  (All dimensions in mm)

critical fracture load (Fig. 22). Over the spherical cap region, the crack first propagates linearly, but subsequently splits into two symmetric crack branches; these further evolve simultaneously.

#### 4.7 Assymmetric hyperboloid subjected to uniform internal pressure

To further demonstrate the robustness of proposed formulations in analysing curved shell problems, an assymmetric hyperboloid geometry is considered which is subjected to a uniform internal pressure applied in the direction normal to its surface. The thin-shell assumptions apply as the thickness of the geometry  $t = 0.1$  mm is significantly smaller than the other dimensions of the tower. A notch is introduced at the mid-height along the longitudinal direction of the shell. Due to the model symmetry only half part of the complete model as shown in Fig. 25 is analysed. To reduce the effect of bending at the boundary, the hyperboloid geometry is supported by an elastic shell structure, displayed as ABFE in Fig. 25 in which the evolution of phase-field (or damage) is restricted.

The material and fracture parameters for the hyperboloid are  $E = 210$  GPa,  $\nu = 0.3$ ,  $\mathcal{G}_c = 0.0027$  kN/mm,  $l_o = 0.75$  mm, and a uniform mesh size with  $h_e = 0.5$  mm is used. The material properties for the elastic base-support is  $E = 21000$  GPa,  $\nu = 0.3$  with the Young's modulus chosen as 100 times higher than the hyperboloid.

Furthermore, the translational DOFs at the bottom-most part of the elastic base-support is completely fixed ( $u_x = u_y = u_z = 0$ ) while the rotational DOFs are kept free. For the curved side-edges BC and AD, z-symmetric boundary conditions are imposed whereas the top-edge CD is unrestrained. The internal distributed load is applied only on the hyperboloid region EFCD in the direction of outward-pointing normals to its surface. The elastic support ABFE is unloaded. The radial displacement is monitored at the bottom notch-tip shown by P in Fig. 25, and  $tol_u = 1.e^{-05}$ . The crack initiates at the

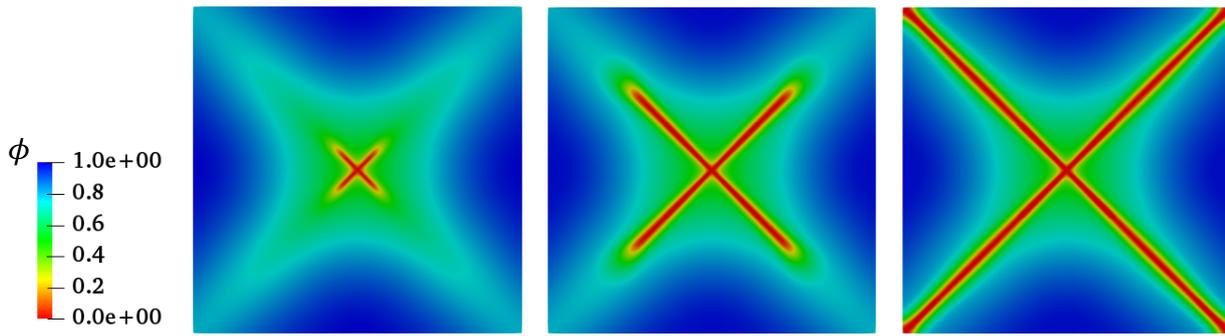


Fig. 19: Simply-supported plate under bidirectional bending: phase field evolution with increasing load-increments [ $\phi=1$  and  $\phi=0$  represent intact and cracked states of the material] (Full-plate assembled for better visualization)

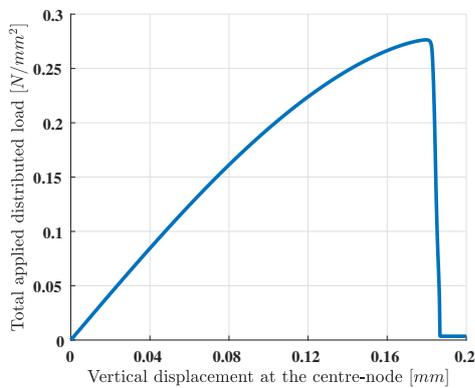


Fig. 20: Simply-supported plate under bidirectional bending: Load-displacement response at the centre node of the plate

677 bottom notch-tip P as shown in Fig. 26, and propagates  
 678 vertically downwards followed by a second branch that  
 679 initiates at the top notch-tip Q. The two cracks propa-  
 680 gate simultaneously and crack-branching is eventually  
 681 observed at the bottom crack due to the shell-curvature  
 682 at which point the shell loses all bearing capacity. The  
 683 response between the vertical z-displacement at the  
 684 bottom notch-tip P and the total applied load is shown  
 685 in Fig. 27.

## 686 5 Conclusion

687 A phase-field driven shell element formulation is pre-  
 688 sented for of brittle fracture in Reissner-Mindlin shells.  
 689 We employ an MITC4+ approach to alleviate shear and  
 690 membrane locking. Our method is based on the assump-  
 691 tion of a maximum through the thickness crack driving  
 692 force rule definition. Considering an anisotropic split for  
 693 damage evolution, we impose the plane stress assump-  
 694 tions directly on the tangent constitutive matrix; this

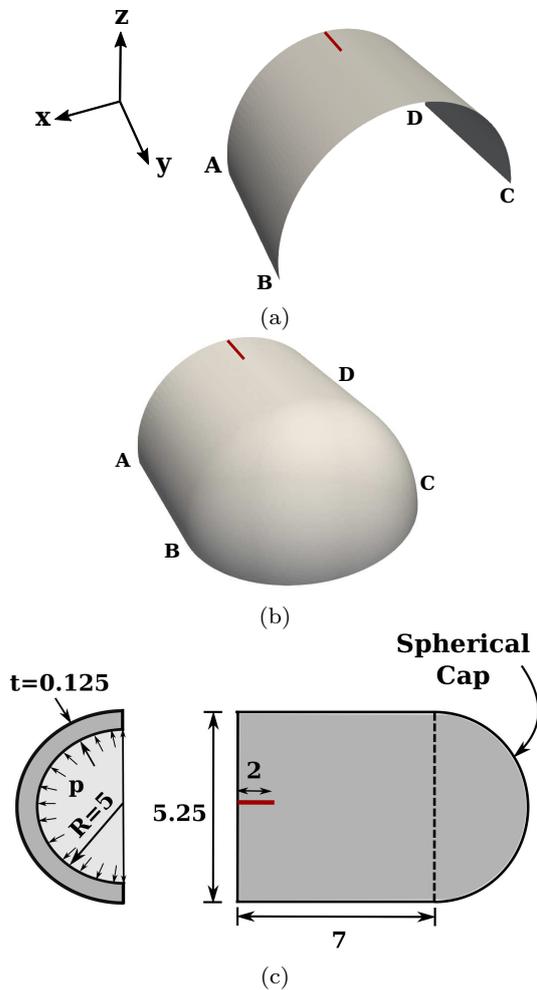


Fig. 21: Geometry and boundary conditions of cylindrical shell with notch (a) without (b) with spherical cap at the end, and subjected to uniform internal pressure  $p$  (All dimensions in mm)

approach has been found to provide optimum conver-  
 gence rates.

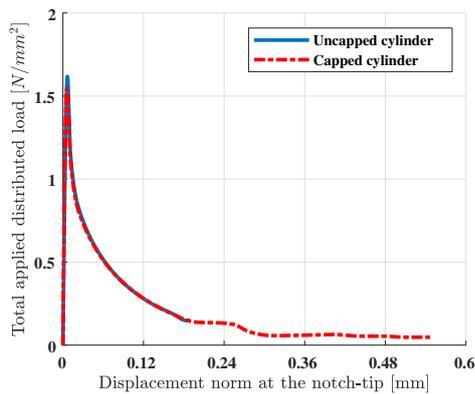


Fig. 22: Notched cylinder with/without spherical cap under uniform internal pressure: Applied pressure load vs norm of the displacement  $\mathbf{u}_{norm} = \sqrt{u_x^2 + u_y^2 + u_z^2}$  measured at the notch-tip

The accuracy of the proposed model is demonstrated by a set of illustrative numerical examples. Our solutions are verified against the analytical estimates both in the isotropic and anisotropic phase field case. The validity of the proposed model is further established by obtaining realistic and accurate fracture predictions in curved shell geometries, which display significant membrane and transverse shear locking due to the coupling of membrane and bending deformations.

The inclusion of rotational degrees of freedom in the MITC4+ formulation would naturally raise an implementation challenge vis-à-vis the modelling of multilayered composite profiles where delamination is a possible failure mode [22, 48]. In this case, coupling with e.g., a cohesive zone model would require the evaluation of displacements at the interface based on the Reissner-Mindlin kinematical assumptions and the definition of multi-point constraints coupling the degrees of freedom associated with the shell and cohesive elements at the interface [54, 55, 21].

Whereas the proposed model highlights the capabilities of brittle fracture phase field modelling to harness the advantages of MITC4+ formulations, research should be directed to account for more complex responses as, e.g., the case of finite strain ductile fracture. In the near future, we aim to extend the capabilities of the proposed phase-field model in simulating diverse anisotropic fracture scenarios.

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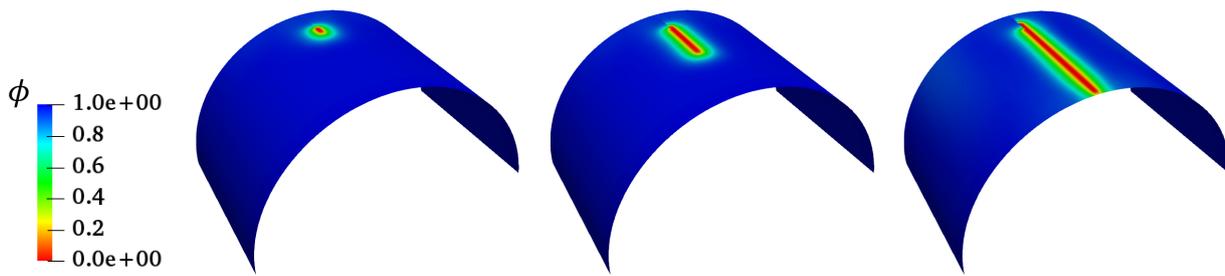


Fig. 23: Notched cylinder under uniform internal pressure: phase field evolution with increasing load-increments [ $\phi=1$  and  $\phi=0$  represent intact and cracked states of the material]

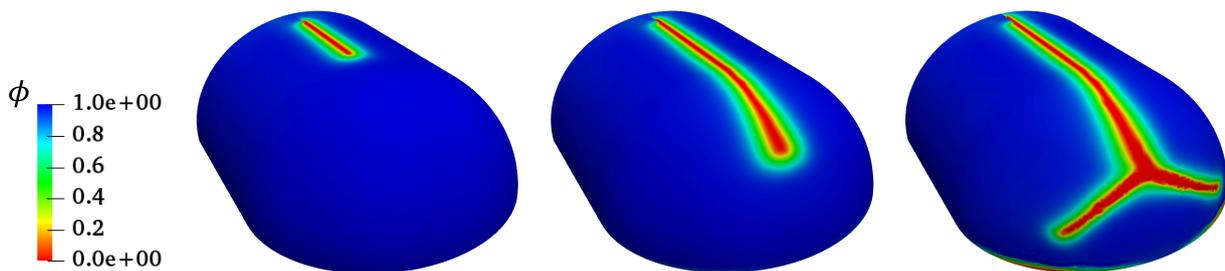


Fig. 24: Notched cylinder with spherical cap under uniform internal pressure: phase field evolution with increasing load-increments [ $\phi=1$  and  $\phi=0$  represent intact and cracked states of the material]

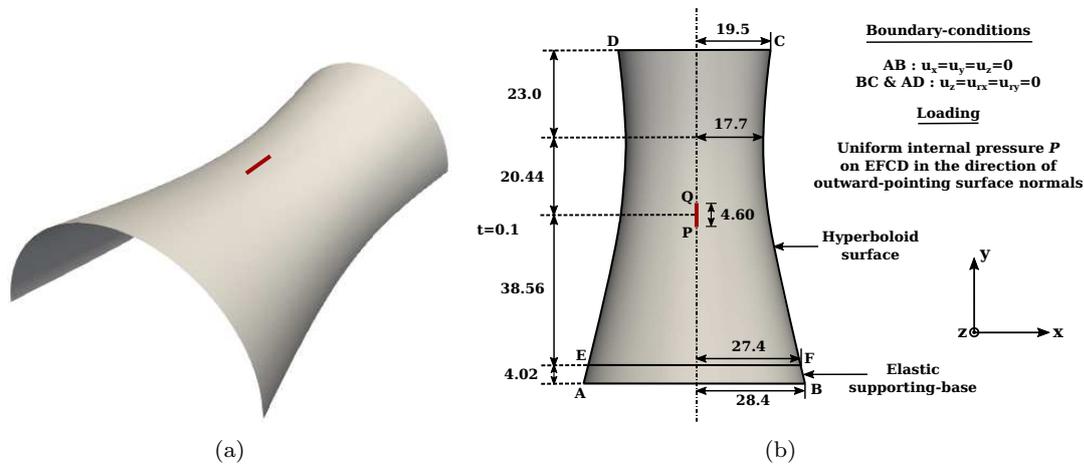


Fig. 25: Geometry, boundary conditions and loading on the asymmetric hyperboloid tower with central notch subjected to uniform internal surface-pressure  $P$  (All dimensions in mm)

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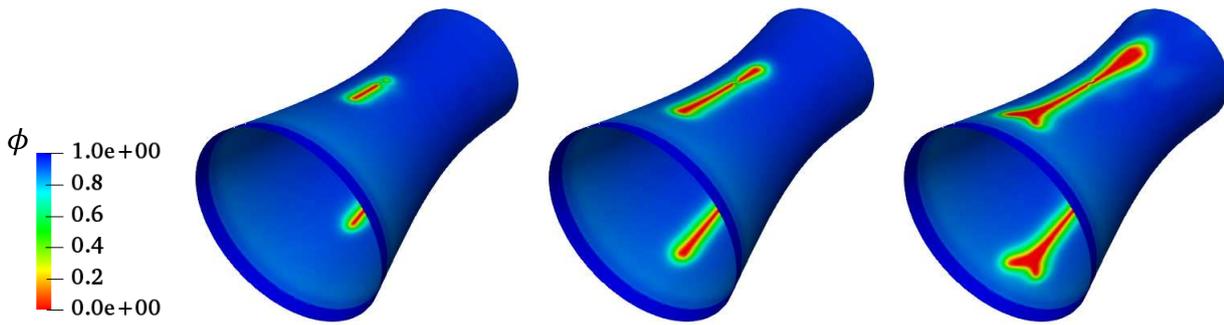


Fig. 26: Assymmetric hyperboloid tower under uniform internal pressure: phase field evolution with increasing load-increments [ $\phi=1$  and  $\phi=0$  represent intact and cracked states of the material] (Full geometry assembled for better visualization)

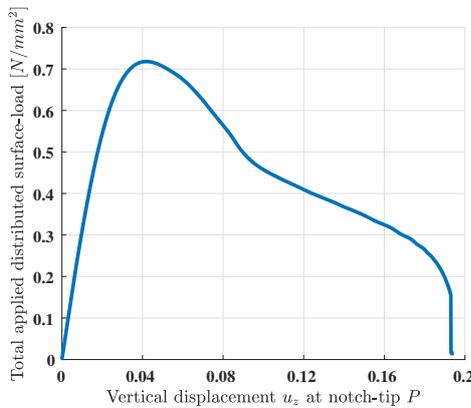


Fig. 27: Assymmetric hyperboloid tower under uniform internal pressure: Applied pressure load vs vertical displacement  $u_z$  measured at the bottom notch-tip  $P$

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## Appendices

### A Jacobian for coordinate transformation

The Jacobian  $[J]$  for coordinate transformation mapping in a Reissner-Mindlin shell element and its first column are defined as in Eq. (63) and (64). Eq. (64) can be subsequently used to derive expressions for second and third column in a similar manner.

$$[J] = \begin{bmatrix} x_{,\xi} & y_{,\xi} & z_{,\xi} \\ x_{,\eta} & y_{,\eta} & z_{,\eta} \\ x_{,\zeta} & y_{,\zeta} & z_{,\zeta} \end{bmatrix} \quad (63)$$

where,

$$\begin{bmatrix} x_{,\xi} \\ x_{,\eta} \\ x_{,\zeta} \end{bmatrix} = \begin{bmatrix} \sum N_{i,\xi} \left( x_i + \frac{\zeta t_i l_{3i}}{2} \right) \\ \sum N_{i,\eta} \left( x_i + \frac{\zeta t_i l_{3i}}{2} \right) \\ \sum N_i \left( \frac{t_i l_{3i}}{2} \right) \end{bmatrix} \quad (64)$$

where,  $\mathbf{x} = [x, y, z]$  is the position vector of any arbitrary point within the shell element,  $\{\xi, \eta, \zeta\}$  are the shell parametric coordinates,  $t_i$  is the shell thickness and  $\{l_{3i}, m_{3i}, n_{3i}\}$  are the direction cosines of normal vector  $V_{3i}$  to the shell mid-surface at any node  $i$ .

### B Coordinate-transformation matrix for rotation of strain tensors

The strains can be rotated from any one coordinate system (say  $C_1$  with normalized basis vectors  $\bar{e}$ ) to another coordinate system ( $C_2$  with normalized basis vectors  $\hat{e}$ ) by multiplying with the strain-transformation matrix  $\mathcal{T}_\varepsilon$  shown in eq. (65).

$$\mathcal{T}_\varepsilon = \begin{bmatrix} \mathcal{T}_{11} & \mathcal{T}_{12} \\ \mathcal{T}_{21} & \mathcal{T}_{22} \end{bmatrix} \quad (65)$$

with,

$$\mathcal{T}_{11} = \begin{bmatrix} l_1^2 & m_1^2 & n_1^2 \\ l_2^2 & m_2^2 & n_2^2 \\ l_3^2 & m_3^2 & n_3^2 \end{bmatrix} \quad (66)$$

$$\mathcal{T}_{12} = \begin{bmatrix} l_1 m_1 & m_1 n_1 & n_1 l_1 \\ l_2 m_2 & m_2 n_2 & n_2 l_2 \\ l_3 m_3 & m_3 n_3 & n_3 l_3 \end{bmatrix} \quad (67)$$

$$\mathcal{T}_{21} = \begin{bmatrix} 2l_1 l_2 & 2m_1 m_2 & 2n_1 n_2 \\ 2l_2 l_3 & 2m_2 m_3 & 2n_2 n_3 \\ 2l_3 l_1 & 2m_3 m_1 & 2n_3 n_1 \end{bmatrix} \quad (68)$$

$$\mathcal{T}_{22} = \begin{bmatrix} l_1 m_2 + l_2 m_1 & m_1 n_2 + m_2 n_1 & n_1 l_2 + n_2 l_1 \\ l_2 m_3 + l_3 m_2 & m_2 n_3 + m_3 n_2 & n_2 l_3 + n_3 l_2 \\ l_3 m_1 + l_1 m_3 & m_3 n_1 + m_1 n_3 & n_3 l_1 + n_1 l_3 \end{bmatrix} \quad (69)$$

where, the terms  $[l_1, m_1, n_1]$ ,  $[l_2, m_2, n_2]$  and  $[l_3, m_3, n_3]$  correspond to the direction cosines of the shell nodal-vectors  $V_{1i}$ ,  $V_{2i}$  and  $V_{3i}$  respectively, defined according to Eq. (70) [11].

$$\begin{aligned} l_1 &= \cos[\bar{e}_x, \hat{e}_x]; & m_1 &= \cos[\bar{e}_y, \hat{e}_x]; & n_1 &= \cos[\bar{e}_z, \hat{e}_x] \\ l_2 &= \cos[\bar{e}_x, \hat{e}_y]; & m_2 &= \cos[\bar{e}_y, \hat{e}_y]; & n_2 &= \cos[\bar{e}_z, \hat{e}_y] \\ l_3 &= \cos[\bar{e}_x, \hat{e}_z]; & m_3 &= \cos[\bar{e}_y, \hat{e}_z]; & n_3 &= \cos[\bar{e}_z, \hat{e}_z] \end{aligned} \quad (70)$$

The resulting  $\mathcal{T}_\varepsilon$  is a  $(6 \times 6)$  matrix which can be multiplied to  $(6 \times 1)$  strain vector (expressed in Voigt notation) to transform it from coordinate system  $C_1$  to coordinate system  $C_2$ .