

1 **STRAWB2 (Stress and Wellbeing After Childbirth): a randomised controlled trial of targeted self-help**
2 **materials to prevent post-traumatic stress disorder (PTSD) following childbirth**

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72 **Shortened running title: Preventing Post Traumatic Stress after Childbirth**

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80 **Abstract:**

81 **Background**

82 Post-traumatic stress disorder (PTSD) can develop after a traumatic childbirth.

83

84 **Objective**

85 To test if providing psychological self-help materials would significantly lower the incidence of

86 PTSD at 6-12 weeks postnatally.

87

88 **Design**

89 Open label, randomised controlled trial, blinded outcome assessment.

90

91 **Setting**

92 Community midwifery services in two North West NHS Trusts

93

94 **Sample**

95 2419 women receiving usual NHS postnatal care

96

97 **Methods**

98 Midwives screened women for traumatic birth experience. 678 women who screened positive

99 (28.1%) were randomly allocated to self-help with usual care (n=336) or usual care alone (n=342).

100 Self-help materials, were a leaflet and on-line film designed to prevent the development of PTSD

101 after trauma exposure through how to manage early psychological responses.

102

103 **Main Outcome Measure**

104 The primary outcome was a composite of diagnostic and sub-diagnostic PTSD at 6-12 weeks
105 postnatally using the gold standard Clinician Administered PTSD Interview (CAPS-5).

106

107 **Results**

108 478 of 678 (70.5%) correctly randomised women and 9 randomised in error were followed up.

109 Diagnostic or sub-diagnostic PTSD rates at follow-up did not differ between groups who received
110 self-help (26.7%, 65/243) or usual care alone (26.2%, 64/244) (ITT analysis: relative risk (RR) 1.02,
111 95% confidence interval (CI) 0.68 to 1.53). Findings remained consistent in the per protocol analysis
112 (RR 1.04, 95% CI 0.85 to 1.27). Women viewed the materials very positively. There were no adverse
113 effects. Health economic micro-costing indicated implementation would be very low cost.

114

115 **Conclusions**

116 Many women experience a traumatic birth and risk developing PTSD, but self-help strategies
117 without professional support are insufficient and should not be routinely introduced.

118

119 **Funding**

120 NIHR:Research for Patient Benefit Programme (Grant:PB-PG 021536037) awarded after external
121 peer review

122

123 **Key Words** : post traumatic stress disorder, postnatal, childbirth, prevention, randomised controlled trial

124

125 **Trial registration ISRCTN 44832384**

126

127 **Tweetable abstract.** Self-help information alone does not reduce the number of women developing
128 PTSD after a traumatic childbirth.
129
130

131 **Introduction**

132 Post-traumatic stress disorder (PTSD) after childbirth is a major cause of psychological distress, with
133 3% of women suffering at full diagnostic levels and 5-9% when sub-diagnostic levels (partial PTSD)
134 are included¹. When childbirth is experienced as traumatic, defined as when there is high fear of
135 death or damage to self or baby during or shortly after childbirth, then women are at risk of
136 developing PTSD²⁻⁵. Other potential risk factors for PTSD include poor quality of interactions with
137 staff medical interventions and previous psychiatric history or trauma ⁶. PTSD is debilitating and in
138 the absence of intervention tends to become chronic. As well as the distress for the woman PTSD can
139 adversely impact the child's cognitive, emotional and social development^{7,8} Prevention where
140 possible is therefore crucial.

141

142 Experiencing an event as traumatic does not inevitably lead to PTSD. Intrusive experiences
143 involving imagery, thoughts and elements of reliving are normal responses to trauma that facilitate
144 normal memory processing. Where women view these intrusive responses as signs of illness or
145 failure to cope and attempt to avoid these responses this contributes to traumatic memories not being
146 processed in a normal way. It is the unprocessed memories that lead to the flashbacks and nightmares
147 that are characteristic of PTSD⁹.

148

149 The STRAWB2 self-help materials (a leaflet and film) were designed to prevent the development of
150 PTSD. Experts by experience guided their development to ensure accessibility, and materials were
151 piloted in a feasibility study¹⁰. The materials are derived from evidence-based psychological theory
152 ¹¹. It incorporates explanations

153 1) Why women experience distressing responses to help normalize these responses and reduce their
154 negative evaluation.

155 2) Why it is important not to block unpleasant images and thoughts.

156 3) How supportive discussions help memory processing and provides an exercise to identify a
157 suitable person, time and place with whom to do this.

158 4) Exercises using implementation intentions throughout to help women translate their new
159 understandings into actions.

160 . PTSD, once established, is chronic and treatment is expensive, so a simple and low-cost prevention
161 package is attractive. However, the evidence on whether psychoeducation and self-help can prevent
162 PTSD is limited and inconsistent^{12,13}. NICE antenatal and postnatal mental health guidelines ¹⁴
163 recommend researchers develop effective psychological interventions for perinatal women, including
164 gathering evidence of cost-effectiveness..

165

166 This study aimed to evaluate whether providing self-help materials to women who have experienced
167 a traumatic childbirth reduced the incidence of PTSD 6-12 weeks postnatally at diagnostic and
168 subdiagnostic levels when compared with usual care and to provide a health economic analysis.

169 PTSD symptoms postnatally are particularly important because of transitions in and the formation of
170 new relationships. As a result of this critical salience NICE recommends that intervention is not
171 restricted to those with diagnostic level symptoms and indeed childbirth trauma services intervene at
172 non diagnostic levels. Therefore the protocol prespecified this combined outcome as the most
173 clinically appropriate. The specific criteria were chosen on the basis of the existing literature. This
174 helpfully meshed with the targeted dimensions of the prevention information.

175

176 **Methods**

177 **Study design**

178 STRAWB2 (Stress and Wellbeing After Childbirth) was a phase III multi-site randomised controlled
179 trial(RCT), evaluating whether providing a targeted sample of women with self-help materials
180 reduced the incidence of PTSD after childbirth when compared with usual care. We included clinical
181 and economic evaluation of cost per case prevented, and qualitative feedback from women on the
182 self-help materials. 125 community midwives were trained to recruit and randomise women, in
183 accordance with Good Clinical Practice standards.

184

185 **Participants**

186 Women aged 16 years or over, who had given birth to a live baby, and had sufficient English
187 language to complete the measures were eligible. An exclusion criterion was those receiving other
188 specialist services (enhanced midwifery for drug/alcohol or social care reasons, or perinatal mental
189 health teams). Study sites were Liverpool Women's NHS Foundation Trust and Lancashire Teaching
190 Hospitals NHS Foundation Trust with recruitment from May 2017 to September 2018.

191

192 **Randomisation and masking**

193 Eligible women were informed about the study at their first postnatal visit (home or community). At
194 a subsequent routine contact, following completion of routine postnatal care, and any actions or
195 advice based on clinical judgement, midwives asked women about participation. After providing
196 written informed consent, women were asked the screening questions to identify those who had
197 experienced birth as traumatic. This screening tool was based on DSM-IVR criteria and developed in
198 liaison with the Birth Trauma Association and piloted in the STRAWB feasibility study¹⁰.

199 *Thinking about your childbirth (and any time in hospital after) was there any time during this when*
200 *you felt (i) horror or helplessness about what was happening? (yes/no) (ii) really frightened about*
201 *your own or your baby's wellbeing? (yes/no).*

202

203 This tool incorporates both the perceived threat and the response, as women's appraisal during the
204 birth process is a key risk factor for PTSD onset^{2,15}. Women answering 'yes' to either question were
205 randomised to self-help or usual care by their midwife, using an independent web-based system
206 (sealedenvelope.com). Owing to the nature of the materials being tested it was impossible to mask
207 women or midwives from treatment allocation.

208

209 **Procedures**

210 Women allocated to self-help received the leaflet and web-link from the midwife, and a reminder
211 text message two weeks later from a researcher not involved in analysis. All trial participants
212 received routine care from health visitor and GP over the follow up period. Information on
213 demographics, childbirth, and maternal and infant morbidity from women and their hospital records
214 was collected

215

216 Women were followed-up by telephone at 6-12 weeks postnatally, at least 4 weeks after
217 randomisation. They completed the CAPS clinical interview with researchers blinded to group
218 allocation and trained to prespecified criterion for reliable rating. Where diagnostic or sub-diagnostic
219 PTSD was identified, the woman's health visitor was informed.

220

221 **Outcomes**

222 The primary outcome was a composite of diagnostic and sub-diagnostic PTSD, assessed at 6-12
223 weeks postnatally using the gold standard CAPS-5 clinical interview. This derives directly from the

224 DSM-5 definition of diagnostic PTSD.. Sub-diagnostic PTSD was defined as meeting the diagnostic
225 threshold for criteria A (exposure) and G (distress or impairment in relation to the event), and
226 meeting the diagnostic threshold for at least one symptom from either criteria B (reexperiencing) or
227 C (avoidance). Secondary outcomes were depression and anxiety Hospital Anxiety and Depression
228 Scale (HADS)¹⁶, attachment Multidimensional Parental Attachment Scale (MPAS)¹⁷, couple
229 relationship quality (Dyadic Adjustment Scale (DAS4))¹⁸. Health service use was measured using a
230 bespoke Client Service Receipt Inventory (CSRI) questionnaire reporting all contacts with NHS
231 healthcare professionals from randomisation to follow-up, including consultations relating to birth
232 experience, whether routine or specially organised.

233

234 **Health economic micro-costing and service use analysis**

235 Micro-costing was used to detail costs of intervention delivery¹⁹. The intervention developers (PS,
236 HW) provided information regarding the cost of the self-help materials (leaflet and film), training
237 and number of midwives trained in the trial to deliver the intervention. Midwives were surveyed to
238 identify time taken for screening and information provision to screen positive women.

239

240 The micro-costing and a cost-consequence analysis were conducted from a service provider (NHS)
241 perspective using national unit costs for 2016-17)^{20,21}.

242

243 **Feedback interviews**

244 To assess use of the leaflet and film, a convenience subsample of women in the self-help arm
245 completed a telephone interview covering:

- 246 (i) Whether they had used the materials;
- 247 (ii) What had been helpful or unhelpful;
- 248 (iii) Any actions taken as a result of the prevention information.

249 Descriptive (frequencies) and thematic analysis²² of the responses was undertaken.

250

251 **Sample size and statistical analysis**

252 Considering only screen positive women, to detect a reduction of PTSD cases from 25% to 15% at 6-
253 12 weeks follow up required a sample size of 247 women in each group (80% power at 5%
254 significance level). We analysed the primary outcome for both intention-to-treat (ITT) and per
255 protocol levels. For the latter, women who had screened negative to traumatic birth but were
256 randomised in error were excluded. The baseline demographic and clinical data were summarised
257 using standard summary statistics. For all primary and secondary outcomes relative risks or mean
258 differences, with 95% confidence intervals are reported.

259

260 Standard hypothesis tests, chi-squared, independent sample t-test etc. were used to determine if there
261 were any between-group differences in the primary and secondary outcome measures. Logistic
262 regression analysis was also used to calculate adjusted odds ratios for the primary outcomes when
263 controlling for the influence of known confounding variables. All hypothesis testing was undertaken
264 at the 5% significance level.

265

266 **Patient and Public Involvement**

267 Patients and public representatives were integral members of the trial management group and their
268 invaluable insights influenced the study from its inception, through implementation interpretation,
269 and dissemination. Our strategy incorporated national and local perspectives via the Birth Trauma
270 Association charity and a local expert by experience.

271

272 **Results**

273 Community midwives invited 3444 eligible women to participate. Of these, 2414 women consented
274 and were asked the two screening questions. 678 women screened positive (28.1%) and were
275 randomly allocated to either self-help with usual care (n=336) or usual care alone (n=342). These
276 women were included in the intention to treat and per protocol analyses. An additional 40 women
277 who had screened negative were randomised in error to self-help with usual care (n=25) or usual care
278 alone (n=15), were included in the intention to treat analysis. Any additional randomisation
279 violations and how managed are shown in Fig1.

280

281 **Site comparisons**

282 355 women were randomised at Liverpool Women's NHS Foundation Trust, and 363 at Lancashire
283 Teaching Hospitals NHS Foundation Trust (Preston and Chorley). The sites differed only in the
284 number of days postnatal when randomisation took place (median of 24 Liverpool and 12
285 Lancashire) reflecting differences in midwifery services. A greater proportion of women in
286 Liverpool lived in areas of higher deprivation. The demographic, obstetric and infant data of the 678
287 randomised women were similar in the two trial sites (Table S1).

288

289 **The sample in context**

290 Compared with all women who gave birth at these two locations during the study period, women
291 who screened positive were more likely to have: induction of labour, birth in theatre, instrumental
292 birth, emergency Caesarean section, blood loss over 1000ml, and infant Apgar<7 at 5 minutes. A
293 higher proportion of White British women took part, likely due partly to the inclusion criterion of
294 sufficient English language (Table S2).

295

296 **Baseline comparisons for self-help and usual care groups**

297 Baseline characteristics were comparable between the groups, except for induction of labour: self-
298 help 53.2% (183/344) and usual care 43.3% (146/337). There was a trend towards more women in
299 the self-help group having had skin-to-skin contact with their baby following birth: self-help 77.3%
300 (265/313), usual care 72.2% (242/306) and having experienced blood loss over 1000ml: self-help
301 19.7% (68/344), usual care 15.1% (51/337). More women who had assisted conception were
302 randomised to usual care (4.1%, 14/338) than self-help (0.9%, 3/342), although numbers are small
303 (Table 1).

304

305 **Follow-up**

306 We successfully followed-up 478 women who had been correctly randomised to self-help or usual
307 care (70.7%) at 6-12 weeks postnatally and at least 4 weeks after randomisation, and an additional 9
308 women who had been randomised in error (Figure 1).

309

310 **Primary outcome**

311 Using an intention to treat (ITT) analysis the proportion of women with diagnostic or sub-diagnostic
312 PTSD at follow-up did not differ between groups who received self-help materials (26.7%, 65/243)
313 or usual care alone (26.2%, 64/244) (relative risk (RR) 1.02, 95% confidence interval (CI) 0.68 to
314 1.53, P=0.92) (Table 2). Findings remained consistent in the per protocol analysis, excluding a small
315 number of screen negative women randomised by midwives in error (RR 1.04, 95% CI 0.85 to 1.27
316 (table S3), and when the ITT analysis was adjusted for induction and blood loss over 1000ml:
317 (adjusted odds ratio (AOR) 0.99, 95% CI 0.65 to 1.49) (Table 3).

318

319 **Secondary outcomes**

320 There were no differences identified in the ITT analysis of secondary outcomes of usual care alone
321 versus with self-help at follow-up, including whether women met the symptom threshold for

322 **criterion A: exposure to a traumatic experience** (RR 0.99, 95% CI 0.70 to 1.39), **criterion B:**
323 **intrusion symptoms** (RR 0.94, 95% CI 0.78 to 1.12), **criterion C: avoidance symptoms** (RR 0.85,
324 95% CI 0.70 to 1.04), **criterion D: cognitions and mood symptoms** (RR 0.98, 95% CI 0.80 to
325 1.19), **criterion E: arousal and reactivity symptoms** (RR 0.93, 95% CI 0.73 to 1.17), **criterion G:**
326 **distress or impairment** (RR 1.01, 95% CI 0.83 to 1.22) (Table 2).

327

328 The self-help materials were particularly targeted at symptoms in criteria B and C, and it is worth
329 noting that fewer women in the self-help group experienced these symptoms (**criterion B:** self-help:
330 87 (37.7%), usual care: 97 (40.8%); **criterion C:** self-help: 46 (19.5%), usual care: 61 (25.3%)).

331 However, these differences did not reach statistical significance.

332 There were also no differences between women in the self-help versus usual care groups for: **anxiety**
333 (mean difference (MD) -0.29, 95% CI -1.03 to 0.45), **depression** (MD 0.31, 95% CI -0.30 to 0.91) as
334 measured by the Hospital Anxiety and Depression Scale (HADS) at follow-up; The
335 Multidimensional Parental Attachment Scale(MPAS) questionnaire **Quality of attachment** to the
336 infant (MD -0.43, 95% CI -1.30 to 0.50), **Absence of hostility** towards the infant (MD -0.29, 95% CI
337 -0.93 to 0.35), and **Pleasure in interaction** with the infant (MD 0.07, 95% CI -0.57 to 0.72), or the
338 DAS4 questionnaire covering the **quality of the couple's relationship** (MD -0.04, 95% CI -0.69 to
339 0.61) (Table 2).

340

341 **Comparison of screen positive and screen negative women.**

342 Comparison of the women who screened positive for a traumatic birth (n=688) and those who
343 screened negative (n=1726) showed that those who screened positive were more likely to be
344 nulliparous, but for other demographics the groups were comparable (Table S4).

345

346 **Comparison of those completing both time points and those lost to the study**

347 Follow-up was completed for 478 of the 678 women randomised (70.5%). Comparison of the
348 demographic, obstetric and infant variables between those completed and who did not complete
349 follow-up showed no differences between the groups (Table S5). Of the women followed up, 236
350 had been randomised to self-help, and 242 to usual care. There were no differences between self-help
351 and usual care in women followed-up, apart from those already observed between the groups of
352 women randomised (fewer women in the self-help group had assisted conception, more women in
353 the self-help group had induction of labour, skin-to-skin contact, and blood loss over 1000ml (Table
354 S5)).

355

356 **Film analytics**

357 Film analytics indicated that the film which was hidden from search engines was watched 67 times
358 (to 26th Sept 2018). It was impossible to know if these were different or the same individuals.

359

360 **CAPS fidelity monitoring**

361 To ensure consistency between the four researchers conducting CAPS interviews, the transcripts of
362 143 interviews were coded by two researchers independently: all diagnostic, sub-diagnostic and 20%
363 of non-diagnostic interviews, until July 2018. The overall agreement on diagnostic category between
364 coders across all interviews was 90.4%. Cohen's Kappa across all raters for all interviews was 0.80,
365 classified as excellent²³.

366

367 **Feedback interviews**

368 A convenience sample of 83 (34.4% of the 241 women randomised to self-help who completed
369 follow-up) took part in a feedback interview. Comparisons of demographic, obstetric and follow-up
370 data showed no systematic differences between these women and others randomised to self-help.

371 Most women remembered receiving the leaflet (N= 77/83; **92.8%**) and had read the leaflet

372 (N=68/75; **90.7%**). Of those who had read it, most women read it once (N=47/70; **67.1%**). The
373 majority of women “Agree” (N= 43/69; **62.3%**) or “Strongly Agree” (N= 14/69; **20.3%**) that they
374 found the leaflet useful. The majority of women “Agree” (N= 40/69; **58.0%**) or “Strongly Agree”
375 (28/69; **40.6%**) that they found the leaflet easy to understand. Most women did not remember
376 receiving the web-link (N= 44/78; **56.4%**) and had not watched the film (N= 48/52; **92.3%**). From
377 this sample, only 4 women said they had watched it. Most women preferred a leaflet format (N=
378 54/68; **79.4%**).

379

380 The key qualitative findings were:

- 381 • Many women liked the design of the materials and information included.
- 382 • It helped women understand and to normalise some of the feelings they experienced after
383 birth.
- 384 • It helped open channels of communication (including professional and personal support).
- 385 • Some suggested that they would like a clearer link to web materials (despite the link being
386 cited twice in the leaflet and embedded in the reminder text message).
- 387 • Some suggested the intervention may have been more beneficial if supported by healthcare
388 professionals.

389

390 **Health economic micro-costing and service use analysis**

391 Intervention costs within the research context ranged from £4 to £6 per woman, based on 2,409
392 women screened in the trial. The costs for screen positive women ranged from £13 to £23 per
393 woman, based on 676 women who received the intervention and depending upon whether the
394 intervention was considered as absorbed or additional time within a routine postnatal appointment.

395

396 If the intervention was to be implemented in a maternity service of 60 midwives, costs would be
397 £3,402 (£57 per midwife) for the set-up year, reducing to £1,731 (£29 per midwife) for subsequent
398 years training/updating 30 midwives due to staff turnover. Using current predominant models of
399 working (non-continuity) estimates of a case load of 100 women per annum per midwife prorated to
400 70 to account for part-time working equates to £0.81 per woman in year of service set up (training of
401 midwives) and £0.41 in maintenance years. In addition, there is the cost of the self-help materials
402 (£0.56 per screen positive woman or prorated to £0.16 across the postnatal population) and time for
403 the midwives to provide the screening (2.8 minutes) and materials (3 minutes). Midwives were
404 evenly split as to whether these elements were absorbed within their usual time-frame or incurred
405 additional time, as postnatal emotional care is a part of the remit.

406

407 Service use for both the usual care and self-help groups was minimal. GPs and Health Visitors were
408 the health professionals most contacted and these were stated for the majority as within routine
409 appointments. However, obstetrician and counsellor contacts tended to be specific rather than
410 routine.

411

412 **Discussion**

413 **Main findings**

414 We evaluated the effect of providing information about the normality of early trauma responses and
415 how best to manage these for women who had a traumatic birth. This was ineffective in reducing the
416 incidence of PTSD at diagnostic (full) and sub-diagnostic (partial) levels at 6-12 weeks postnatally.
417 Given that there was no difference in the incidence of PTSD, the lack of difference in secondary
418 outcomes was unsurprising. A reduction of PTSD symptoms would have formed the mechanism
419 behind other predicted differences.

420

421 Women valued the information, there were no adverse effects, and it did not increase distress.
422 Midwives found it easy to implement the screening tool and administer materials, and it is very low-
423 cost. In its current form, it was insufficient to prevent the development of PTSD following childbirth.
424 Qualitative results indicate that it might be more effective if supported with active input from
425 midwives or health visitors which could facilitate use by giving permission for self-care and through
426 providing practice of the strategies.

427

428 **Strengths and limitations**

429 This is the first trial of a self-help intervention derived directly from psychological theory to prevent
430 PTSD following traumatic childbirth. Bias was minimised by using an independent web-based
431 service to generate the randomisation list and conceal allocation. Researchers who assessed
432 outcomes were blinded to allocation, and the inter-rater reliability was high. Samples were well
433 matched and sufficient for power. Follow up rates are acceptable at a typical level for psychological
434 intervention studies, and there is no evidence that samples differed on this basis. Clearly those lost to
435 follow up could impact on findings. All outcomes are reported according to the prespecified data
436 management plan, and there is minimal missing data. We believe this trial provides robust evidence.

437

438 It is unusual to have 125 community midwives across two sites recruiting to a trial. Overall this
439 worked successfully and enabled ambitious randomisation targets to be reached. The trial design also
440 benefitted from being fitted into usual care to reflect a real world evaluation. The challenges included
441 maintaining consistency and a higher number of women than expected were randomised in error.
442 However, the per protocol analysis shows consistent findings.

443

444 Limitations are that the study tested provision rather than use of the self-help materials. The feedback
445 interviews were from a convenience rather than random sample. They indicate that most women read

446 the leaflet but did not access the film. In the first few months with a newborn baby a woman's
447 attention is naturally focused on her infant, and it may be difficult to legitimize or find time to attend
448 to her own self-care. Therefore, women may have found it difficult to prioritise the exercises in the
449 leaflet. Feedback interviews suggested that it may be more effective if midwives or health visitors
450 supported and prompted use of the self-help materials. Due to the study design, we had specifically
451 emphasised in training that midwives should not change their practice, to ensure that women
452 received their usual care before trial procedures were initiated and to avoid exposing women in the
453 usual care group to principles from the self-help materials.

454

455 It is possible that the screening triggered women in the control group to access other web based
456 material but the frequency of this was equivalent in both groups (N=17). In addition the sites women
457 reported using do not have equivalent material to this novel intervention. The model of screening and
458 provision of information tests the broad utility of this package and readiness to utilize and therefore
459 potential effectiveness may be higher in women who actively seeking information. Finally outcomes
460 were only assessed between 6- 12 weeks and PTSD with deferred onset can occur. Longer term
461 follow up might yield different results.

462

463 **Interpretation**

464 Leaflets are often introduced into practice without evidence of impact. During the trial we repeatedly
465 encountered attitudes that testing the materials was unnecessary, as a prevention package based on
466 sound psychological principles must be a "good thing". Wessley et al ¹² found that despite the
467 ubiquity of psychoeducation following trauma, evidence supporting its use was rare. Only one direct
468 trial of psychoeducation was identified²⁴; an RCT of self-help material for civilian trauma victims
469 presenting at an Accident and Emergency department. There was no evidence of positive impact but
470 the material provided was long, dense and inaccessible.

471

472 Indirect evidence concerning the effectiveness of psychoeducation is mixed ¹². Participants receiving
473 psychoeducation in RCTs have had modest improvements, although the interventions were to treat
474 rather than prevent PTSD, and effects may be due to trial participation rather than the intervention
475 itself²⁵⁻²⁹. A meta-analysis of four studies³⁰ concluded that passive psychoeducational interventions
476 could effectively reduce symptoms of depression and psychological distress. However, this overall
477 effect masks the finding that there was no improvement in the one included study of psychological
478 distress alone³¹. STRAWB2 materials moved beyond passive psychoeducation: tasks encouraged
479 women to practice adaptive responses to facilitate memory processing, so the studies are not directly
480 comparable. None of these trials focused in the early postnatal period when it may be difficult
481 legitimizing time for self-care and self-help.

482

483 A recent systematic review of interventions to prevent PTSD following childbirth(34), concluded
484 that there was insufficient evidence that interventions tested to date prevent PTSD following
485 traumatic childbirth. This study further extends that finding.

486

487 **Conclusions**

488 Over a quarter of women in this UK sample experienced birth as traumatic, and 26% of these women
489 developed diagnostic or subdiagnostic PTSD by 6-12 weeks postnatally. This indicates an overall
490 sample rate of 7.5% which concurs with existing information [1] and further underlines PTSD after
491 childbirth as a significant problem. A robust test of providing of self-help materials well grounded in
492 psychological theory, showed these did not prevent the development of PTSD. Although providing
493 information may be considered important, it was inadequate to generate clinical change. Our study
494 should urge caution in the distribution of psychoeducational self-help following trauma, as such
495 minimalist approaches appear to be an ineffective use of resources and may provide inappropriate

496 reassurance that a vulnerable group are receiving an appropriate help. When trying to extract
497 maximum value from limited budgets and where the need to be seen to be ‘doing something’ is
498 powerful, such minimalist approaches whilst superficially attractive, may be false economy in
499 relation to trauma.

500

501 **Funding and Disclaimer**

502 This research trial was funded by the National Institute for Health Research (NIHR) under its
503 Research for Patient Benefit (RfPB) Programme (Grant Reference Number PB-PG 021536037). The
504 views expressed are those of the authors and not necessarily those of the NHS, the NIHR or the
505 Department of Health.

506

507 **Ethical approval**

508 This was given by the North West - Liverpool Central Research Ethics Committee (16/NW/0680)
509 on 13th December 2016, the Sponsor was the University of Liverpool (UoL001229), and the study
510 was funded by NIHR RfPB (PB-PG-0215-36037).

511

512 **Transparency statement**

513 Professor Slade as lead author affirms this manuscript is an honest, accurate and transparent account
514 of the study and no important aspects are omitted. All authors had access to the data and the study
515 was entirely independent from funders.

516

517 **Author Contributions**

518 PS was principal investigator and took overall responsibility for the trial. She was instrumental in its
519 conception, planning, carrying out, analysing and writing up. HW was the principal researcher for
520 the trial managing all other researchers and completing data collection and providing first draft of the

521 paper. GT was a site lead and contributed to ensuring quality standards of the work carried out and
522 the interpretation and paper. SL the trial statistician was involved in the conception, design and
523 completed the analysis and contributed to the paper. HS was instrumental in conception, design,
524 provided midwifery oversight and input to the write up. RTE oversaw the health economic aspect
525 was involved in conception, design, analysis and write up. JMC contributed to the health economic
526 design and analysis and contributed to the paper. CG was involved in midwifery training, data
527 collection and oversaw fidelity checking for CAPS5 and contributed to the paper. BF provided
528 midwifery training and support, data collection and contributed to the paper. MT and EH provided
529 our public and patient involvement. MT on behalf of the Birth Trauma Association advised on all
530 aspects from conception to completion including the paper. EH was involved in advising on the
531 running of the trial, its interpretation, played major role in dissemination activities and contributed to
532 the paper. AW was instrumental in conception, design, provided obstetric oversight and input to the
533 write up.

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535 **Conflict of Interest Declaration**

536 No author has conflicts of interest in relation to the paper. All authors have completed the ICMJE
537 uniform disclosure form at http://www.icmje.org/coi_disclosure.pdf and declare: no support from or
538 any financial relationships with any organisations that might have an interest in the submitted work
539 in the previous three years and no other relationships or activities that could appear to have
540 influenced the submitted work.

541

542 **Acknowledgements**

543 We would like to acknowledge our many collaborators: Katie Balling, Jenny Butters, Rebecca
544 Crookall, Joanne Goss, Claire Lyon, Katrina Rigby, Afra Qassim, Bernice Shaffri, Sim Steen, Avril
545 Swan, all our midwife recruiters and all the women who participated without whom this work could
546 not have been completed

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629 **Table 1: Demographic and Obstetric: Self-help (intervention) versus Usual care (control) (randomised**
 630 **women).**

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Variable		Self-help¹² N=346	Usual care¹² N=345
Age	mean(st. dev)	30.10 (5.09)	30.39 (5.26)
Parity	median(IQR)	1 (1)	1 (1)
	range	1 - 5	1 - 7
Ethnicity n(%)			
	<i>White</i>	290 (88.4)	300 (90.6)
	<i>Asian/Asian British</i>	15 (4.6)	17 (5.1)
	<i>Black/African/Caribbean</i>	3 (0.9)	3 (0.9)
	<i>Other</i>	20 (6.0)	11 (3.3)
Days postnatal at recruitment	median(IQR)	16 (14)	16 (13)
	Range	2 - 70	5 - 84
Highest qualification n(%)	<i>Degree/Higher degree</i>	158 (48.2)	153 (45.8)
Relationship status n(%)	<i>Living together</i>	123 (37.5)	140 (42.2)
	<i>Married</i>	168 (51.2)	160 (48.2)
	<i>Single/divorced/widowed/not answered</i>	37 (9.8)	32 (9.6)
Conception n(%)	<i>Natural</i>	339 (99.1)	324 (95.9)
	<i>Assisted</i>	3 (0.9)	14 (4.1)
Analgesia n(%)	<i>Regional anaesthetic</i>	166 (48.0)	173 (51.2)
	<i>General anaesthetic</i>	20 (5.8)	24 (7.2)
	<i>Inhaled nitrous oxide / oxygen</i>	59 (17.1)	62 (18.6)
	<i>Opiates</i>	69 (19.9)	52 (15.6)
	<i>None /non pharm /not recorded</i>	32 (9.2)	22 (6.6)
Place of birth n(%)	<i>Theatre</i>	132 (38.2)	128 (37.9)
	<i>Midwife led unit</i>	55 (15.9)	47 (13.9)
	<i>Consultant led unit</i>	154 (44.5)	155 (45.9)
	<i>Homebirth</i>	2 (0.6)	5 (1.5)
	<i>Unplanned outside maternity unit</i>	2 (0.6)	3 (0.9)
	<i>Maternity assessment unit</i>	1 (0.3)	0 (0)
Mode of birth n(%)	<i>Spontaneous</i>	145 (42.3)	146 (43.3)
	<i>Instrumental</i>	85 (24.8)	71 (21.1)
	<i>Emergency CS</i>	91 (26.5)	84 (24.9)
	<i>Elective CS</i>	22 (6.4)	36 (10.7)
Labour induced n(%)		183 (53.2)	146 (43.3)
Episiotomy n(%)		82 (23.1)	74 (21.9)
Perineal trauma n(%)	<i>No</i>	239 (70.9)	230 (70.3)
	<i>1st degree perineal tear</i>	16 (4.7)	14 (4.3)
	<i>2nd degree perineal tear</i>	94 (19.0)	67 (20.5)
	<i>3rd degree perineal tear</i>	18 (5.3)	13 (4.3)
Blood loss >1000ml n(%)		68 (19.7)	51 (15.1)
Apgar <7 at 5 minutes n(%)		16 (4.6)	19 (5.7)
NICU admission n(%)		24 (6.9)	26 (7.6)

632 ¹Includes women randomised in error.

633 ²Numbers may not add up to total due to missing data.

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635 **Table 2: Trial outcomes: Self-help (intervention) versus Usual care (control) Intention to treat analysis (followed-up women).**

Variable		Self-help¹² N=243	Usual care¹² N=244	Difference (95% CI) Relative risk (95%CI)	Significance
PTSD Diagnosis	<i>None</i>	178 (73.3)	179 (73.7)		
	<i>Partial</i>	49 (20.2)	43 (17.7)		
	<i>Full</i>	16 (6.6)	21 (8.6)	1.02 (0.68, 1.53) ^{6,7}	P=0.92 ³
CAPS Criterion A met n(%) (Trauma exposure)	<i>No</i>	23 (9.5)	18 (7.4)		
	<i>Yes</i>	220 (90.5)	226 (92.6)	0.87 (0.61, 1.24) ⁶	P=0.41 ³
CAPS Criterion B met n(%) (Intrusion symptoms)	<i>No</i>	151 (63.4)	142 (59.2)		
	<i>Yes</i>	87 (36.6)	98 (40.18)	0.92 (0.76, 1.10) ⁶	P=0.34 ³
CAPS Criterion C met n(%) (Avoidance symptoms)	<i>No</i>	197 (81.1)	181 (74.5)		
	<i>Yes</i>	46 (18.9)	62 (25.5)	0.83 (0.67, 1.01) ⁶	P=0.08 ³
CAPS Criterion D met n(%) (Cognitions & mood symptoms)	<i>No</i>	181 (74.8)	178 (73.3)		
	<i>Yes</i>	61 (25.2)	65 (26.7)	0.96 (0.79, 1.17) ⁶	P=0.70 ³
CAPS Criterion E met n(%) (Arousal & reactivity symptoms)	<i>No</i>	208 (86.0)	203 (83.5)		
	<i>Yes</i>	34 (14.0)	40 (16.5)	0.91 (0.73, 1.15) ⁶	P=0.46 ³
CAPS Criterion G met n(%) (Distress & impairment symptoms)	<i>No</i>	169 (69.8)	168 (68.1)		
	<i>Yes</i>	73 (30.2)	75 (30.9)	0.98 (0.81, 1.19) ⁶	P=0.87 ³
HADS Anxiety mean(st. dev)		5.63 (4.16)	5.40 (3.95)	-0.23 (-0.97, 0.49) ⁵	P=0.53 ⁴
HADS Depression mean(st. dev)		3.77 (3.23)	4.13 (3.43)	0.35 (-0.28, 0.91) ⁵	P=0.25 ⁴
MPAS Quality of attachment mean(st. dev)		41.02 (4.92)	40.57 (4.97)	-0.43 (-1.31, 0.45) ⁵	P=0.34 ⁴
MPAS Absence of hostility mean(st. dev)		20.87 (3.68)	20.52 (3.32)	-0.33 (-0.98, 0.28) ⁵	P=0.28 ⁴
MPAS Pleasure in interaction mean(st. dev)		22.27 (3.35)	22.39 (3.81)	0.10 (-0.54, 0.74) ⁵	P=0.76 ⁴
DAS4 total mean(st. dev)		17.13 (3.61)	17.00 (3.63)	-0.12 (-0.76, 0.52) ⁵	P=0.71 ⁴

636 ¹Includes women randomised in error.

637 ²Numbers may not add up to total due to missing data.

638 ³Chi-squared test

639 ⁴Independent sample t-test

640 ⁵Mean difference

641 ⁶Relative risk

642 ⁷Comparison full/partial against none

643

644 **Table 3: Trial outcomes: Self-help (intervention) versus Usual care (control) Intention to treat analysis adjusted for induction and Blood loss >1000ml**
 645 **(followed-up women).**

Variable		Self-help ¹² N=243	Usual care ¹² N=244	Adjusted Odds ratio (95%CI)	Significance
PTSD Diagnosis	<i>None</i>	178 (73.3)	179 (73.7)		
	<i>Partial/Full</i>	65 (26.7)	63 (26.63)	0.99 (0.65, 1.49)	P=0.95 ³
CAPS Criterion A met n(%) (Trauma exposure)	<i>No</i>	23 (9.5)	18 (7.4)		
	<i>Yes</i>	220 (90.5)	226 (92.6)	0.70 (0.35, 1.35)	P=0.28 ³
CAPS Criterion B met n(%) (Intrusion symptoms)	<i>No</i>	151 (63.4)	142 (59.2)		
	<i>Yes</i>	87 (36.6)	98 (40.18)	0.82 (0.56, 1.19)	P=0.29 ³
CAPS Criterion C met n(%) (Avoidance symptoms)	<i>No</i>	197 (81.1)	181 (74.5)		
	<i>Yes</i>	46 (18.9)	62 (25.5)	0.64 (0.41, 0.99)	P=0.047 ³
CAPS Criterion D met n(%) (Cognitions & mood symptoms)	<i>No</i>	181 (74.8)	178 (73.3)		
	<i>Yes</i>	61 (25.2)	65 (26.7)	0.86 (0.57, 1.31)	P=0.71 ³
CAPS Criterion E met n(%) (Arousal & reactivity symptoms)	<i>No</i>	208 (86.0)	203 (83.5)		
	<i>Yes</i>	34 (14.0)	40 (16.5)	0.72 (0.43, 1.21)	P=0.21 ³
CAPS Criterion G met n(%) (Distress & impairment symptoms)	<i>No</i>	169 (69.8)	168 (68.1)		
	<i>Yes</i>	73 (30.2)	75 (30.9)	0.92 (0.62, 1.38) ⁶	P=0.69 ³
HADS Anxiety mean(st. dev)		5.63 (4.16)	5.40 (3.95)	-0.23 (-0.97, 0.49) ⁵	P=0.97 ⁴
HADS Depression mean(st. dev)		3.77 (3.23)	4.13 (3.43)	0.35 (-0.28, 0.91) ⁵	P=0.38 ⁴

646 ¹ Includes women randomised in error.

647 ² Numbers may not add up to total due to missing data.

648 ³ Logistic regression

649 ⁴ Analysis of covariance

650 ⁵ Mean difference

651 ⁶ Relative risk

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