

## On the nature of majority and minority traps in $\beta$ -Ga<sub>2</sub>O<sub>3</sub>: A review

Labeled Madani <sup>1,\*</sup>, Sengouga Nouredine <sup>2</sup>, Chowdam Venkata Prasad <sup>1</sup>, Henini Mohamed <sup>3</sup> and  
You Seung Rim <sup>1,4,\*</sup>

<sup>1</sup>*Department of Intelligent Mechatronics Engineering and Convergence Engineering for Intelligent Drone, Sejong University, Seoul, 05006, Republic of Korea.*

<sup>2</sup>*Laboratory of Semiconducting and Metallic Materials (LMSM), University of Biskra, 07000, Algeria.*

<sup>3</sup>*School of Physics and Astronomy, University of Nottingham, Nottingham NG7 2RD, UK.*

<sup>4</sup>*Department of Semiconductor Systems Engineering, Sejong University, Seoul, 05006, Republic of Korea.*

\* Corresponding authors:

E-mail: [madani@sejong.ac.kr](mailto:madani@sejong.ac.kr) (L.M) [youseung@sejong.ac.kr](mailto:youseung@sejong.ac.kr) (Y.S. Rim).

### Abstract

In the last decade, researchers and commercial companies have paid great attention to ultrawide bandgap semiconductors especially gallium oxide (Ga<sub>2</sub>O<sub>3</sub>). Ga<sub>2</sub>O<sub>3</sub> has very interesting properties such as a bandgap higher than 4.8 eV, high electrical breakdown field and easy to control the doping density. For example, vacancies and impurities play an important role in controlling the n-type conductivity of this material and hence improving the device performance. This review paper discusses mostly the point defects in Ga<sub>2</sub>O<sub>3</sub> and the sources of majority and minority deep levels (traps) in Ga<sub>2</sub>O<sub>3</sub> characterized using different methods such as deep level transient spectroscopy (DLTS), optical DLTS (ODLTS), deep level optical spectroscopy (DLOS) and other techniques. Majority traps such as E1, E2<sup>\*</sup>, E2 and E3 with energies of about 0.56, 0.75, 0.79 and 1.05 eV below the conduction band maximum (CBM), respectively, are the most observed in Ga<sub>2</sub>O<sub>3</sub>. These

traps are mostly related to impurities such as iron (Fe), silicon (Si), titanium (Ti) and other impurities, or alternatively to gallium or oxygen vacancies. Minorities traps H1, H2 and H3 with energies of about 0.2, 0.3 and 1.3 eV, respectively, above the valence band maximum (VBM) are the most known defects that are related to vacancies. These minorities traps are usually extracted using optical techniques because of the very low hole density in Ga<sub>2</sub>O<sub>3</sub>.

**Keywords:** Ga<sub>2</sub>O<sub>3</sub>, review, traps, formation energy, majority, minority, DLTS, ODLTS, ODLs, TL.

## 1. Introduction:

Over the past few years, there has been a renaissance in the study of beta-phase gallium oxide ( $\beta$ -Ga<sub>2</sub>O<sub>3</sub>). The ultra-wide bandgap of 4.8 eV, the widely tunable n-type conductivity ranging between  $10^{16}$  and  $10^{19}$  cm<sup>-3</sup>, the high theoretical breakdown field of 8 MV/cm, the high electron saturation velocity of  $2 \times 10^7$  cm/s, the large Baliga's Figure of Merit (BFOM), and the availability of large and high-quality single-crystal substrates are some of the characteristics that have sparked interest in this material [1]. Deep ultraviolet (DUV) LEDs and high-power devices operating in severe environments, such as ionizing radiation in space, are made possible by the use of ultra-wide bandgap (UWBG) semiconductors. The  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> crystal structure belongs to the C2/m space group and has the following lattice constants:  $a = 1.22$  nm,  $b = 0.303$  nm,  $c = 0.580$  nm, and angle  $\beta = 103.8^\circ$  [1,2]. For large-size single crystals, melt growth procedures such edge-defined film-fed growth (EFG)[3], Czochralski method (CZ)[4], and floating-zone (FZ) [5] have been utilized successfully. Conductive  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> substrates are produced by Si [6] and Sn [4,7] doping with a carrier concentration in excess of  $10^{18}$  cm<sup>-3</sup>, which is necessary to produce vertical devices with high breakdown voltage. Higher free carrier concentration is produced by a higher shallow dopant concentration, but the mobility is decreased by impurity scattering[8]. Particularly

for strongly doped  $\text{Ga}_2\text{O}_3$ , free carrier absorption occurs, and the plasma frequency shifts to shorter wavelengths with a greater free carrier concentration [9]. In addition to the well-known reduction of minority carrier lifetimes through non-radiative recombination, deep defect states also play a crucial role in the creation of semi-insulating materials in the case of Iron (Fe) doped  $\beta\text{-Ga}_2\text{O}_3$  [10].

In last year, there is great interest of studying traps in  $\beta\text{-Ga}_2\text{O}_3$ . This can be seen by the high increasing in the number of the published research papers about traps in  $\beta\text{-Ga}_2\text{O}_3$  using different techniques such as deep-level transient spectroscopy (DLTS), optical deep-level transient spectroscopy (ODLTS) and deep-level optical spectroscopy (DLOS) and other techniques.

In general,  $\beta\text{-Ga}_2\text{O}_3$  deep traps can be classified into two types, majority and minority traps. This classification is either based on its capability to capture an electron (majority trap) or a hole (minority trap) which is clearly manifested in the capture cross-section rate value or depends on how close to the valence band maximum (VBM) and/or conduction band minimum (CBM).

DLTS, ODLTS and DLOS have been widely used up in order to examine the basic characteristics of deep-level defects in  $\beta\text{-Ga}_2\text{O}_3$ . Recent research on deep electron traps in n-type  $\beta\text{-Ga}_2\text{O}_3$  Schottky barrier diodes (SBDs) has enabled mapping of the upper half of the bandgap and revealed the presence of four deep electron traps with activation energy values near  $E_c-0.6$  eV (E1),  $E_c-0.79$  eV (E2),  $E_c-0.75$  eV (E2\*) and  $E_c-1$  eV (E3) [11–15].

DLTS is based on measuring the transient capacitance arising from the depletion region at different temperatures and voltages. This transient capacitance is very sensitive to capture and emission processes of majority and minority charge carrier traps. However, in ODLTS and DLOS, optical illumination is used to activate the carriers located in traps, and they are mostly used to detect minority traps with very deep energies. When exposed to high energy particles, the concentrations of E2\* and E3 centers were found to significantly increase [14]. These centers were

also thought to be involved in conductivity compensation. The sites of these levels resemble the locations of donors related oxygen vacancies as predicted by theory [16], and in strong electric fields, the activation energies of these centers are reduced. This is consistent with these levels being deep donors and not participating in n-type material compensation[17]. In addition, a correlation between changes in such electron trap concentration and a reduction in the diffusion lengths of charge carriers has also been reported [15].

The majority of the DLTS measurements were performed using  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> SBDs, which are effectively majority carrier devices. As a result, traditional thermal excitation is unable to access the whole defect spectrum across wide bandgap semiconductors. Only majority carrier traps with energy levels less than 1 eV below the CBM have been observed [18,19]. Other techniques such as thermoluminescence (TL) [20], surface photovoltage spectroscopy (SPS) [21,22] and depth-resolved cathodoluminescence spectroscopy(DRCL) [21] are used for traps extraction. However, In order to detect minority traps near VBM of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, DLOS and ODLTS were employed [25]. In these methods optical excitation with photon energies that were slightly above the material bandgap are used. The time constants for optical emission are slower than those for a normal thermal transient, which makes it difficult to determine the precise concentration of each trapping level and distinguish between states for Ga<sub>2</sub>O<sub>3</sub> with numerous sub-gap states [26]. In addition to the optical methods, conventional DLTS can be used but in a heterojunction consisting of n-type Ga<sub>2</sub>O<sub>3</sub> and highly doped p<sup>+</sup>-type layer such NiO with high hole density.

In this review, the electronic structures of defects and their formation energies will be discussed in detail in terms of first-principle calculations. In addition, majority and minority traps extracted using DLTS, TL, PL, ODLTS and DLOS techniques will be presented. Furthermore, particular attention is focused on the famous majority traps E1, E2, E2\* and E3 and H1, H2 and H3 minority

traps. Moreover, this review describes DLTS, ODLTD, DLOS, TL and PL techniques for the readers.

## 2. Ga<sub>2</sub>O<sub>3</sub> Polymorphs and Crystal structures:

There are several polymorphs of Ga<sub>2</sub>O<sub>3</sub>, which were studied in detail experimentally and theoretically by many researchers including Roy et al.[27], Zinkevich and Aldinger[28], Penner et al.[29], Playford et al. [30], Yoshioka et al. [31], Bosi et al. [32], Delgado et al. [33] and Cora et al.[34] and others. Although as many as six polymorphs ( $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$ ,  $\epsilon$ , and  $\kappa$ ) having different crystallographic systems has been reported for Ga<sub>2</sub>O<sub>3</sub> as presented in **Table 1**, there are likely only four polymorphs:  $\alpha$ ,  $\beta$ ,  $\gamma$ , and ( $\delta/\epsilon/\kappa$ ). The  $\delta$ -phase could be a mixture of  $\beta$  and  $\epsilon$  phases, according to Playford et al. [30], while the  $\epsilon$  phase could resemble the  $\kappa$  phase due to rotation grains formed on sapphire, as shown by Cora et al. [34].

However,  $\beta$  phase is the most thermodynamically stable and can be grown directly from melt. **Figure 1** shows monoclinic  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> which has a C2/m symmetry with four formula units in the conventional unit cell with 20 atoms (Ga<sup>+3</sup> and O<sup>-2</sup>) characterized by four lattice parameters (a, b, c and  $\beta$ ) [36] given in **Table 1**. In addition, the melting point of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> is about of 1793 °C. The formation energy of the polymorphs have the following order  $\beta < \epsilon < \alpha < \delta < \gamma$  [31].

Most bulk and thin film  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> available today have n-type conductivity because of the unintentional presence of silicon, hydrogen and other impurities which act as shallow donors in both interstitial and substituted Ga atoms in the crystal structure[16,39]. In addition, different dopants, such as Si[40], Mg[41], Ge[42], Sn[43], Nb[44], Ta[45], Fe[46], and Cr[47], are used to

increase n-type conductivity of  $\beta\text{-Ga}_2\text{O}_3$  as will be discussed in detail in this review. For p-type  $\beta\text{-Ga}_2\text{O}_3$ , there are many attempts to improve its stability [48–50], but this material suffers from the very low hole mobility which is related to the very high effective hole mass because of the very flat top of valence band[16] as shown in **Figure 2**.

### **III. Point defects in $\beta\text{-Ga}_2\text{O}_3$ :**

Defects in general affect the electrical, optical, mechanical and electronic properties of  $\beta\text{-Ga}_2\text{O}_3$ . In addition to point defects and extended defects, defects can be categorized considering their location in the crystal, as bulk or surface defects. In this review paper, only point defects and their effect on majority and minority traps in  $\beta\text{-Ga}_2\text{O}_3$  will be investigated.

A point defect is an imperfection that occurs at a specific location in the crystal. These point defects can be vacancies such as oxygen and gallium vacancies (intrinsic defects) or impurities, which replace Ga or oxygen atoms in  $\beta\text{-Ga}_2\text{O}_3$  crystal structure (extrinsic defects).

Usually, the effect of oxygen vacancies ( $V_O$ ) and their role on  $\beta\text{-Ga}_2\text{O}_3$  properties are studied theoretically using density functional theory (DFT)[16,51], which is based on the electron density distribution and positions of the nuclei. Binet et al.[52] found that n-type conductivity of  $\beta\text{-Ga}_2\text{O}_3$  is due to oxygen vacancies which form shallow donors with an ionization energy of about 0.04 eV. However, many studies reported that oxygen vacancies form deep levels rather than shallow donor levels. For example, Varley et al.[16], using hybrid functional method, found that oxygen vacancies in which O (I) and O (II) are threefold coordinated, while O (III) is fourfold coordinated as shown in **Figure 3**, have formation energies of 3.31 eV, 2.70 eV and 3.57 eV, respectively. Therefore, oxygen vacancies form deep donor levels and cannot explain the unintentional n-type conductivity of  $\beta\text{-Ga}_2\text{O}_3$ . These formation energies are close to those published by Dong et.al[53]

which are 3.2 eV, 3.7 eV and 3.9 eV for O (I), O (II) and O (III), respectively. Furthermore, gallium vacancies ( $V_{Ga}$ ) are expected to be point defects in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> since Ga has doubly ionized ( $V_{Ga}^{-2}$ ) and singly ionized ( $V_{Ga}^{-1}$ ) gallium vacancies as shown in **Figure 3**, with  $V_{Ga}^{-1}$  having a lower formation energy than  $V_{Ga}^{-2}$ [54,55] which form deep acceptor levels[56].

The second type of point defects are extrinsic defects, which form shallow or deep traps. Si atom can replace Ga (I)[16] or sometimes Ga (II)[58]. The formation energies for Si in Ga (I) vacancy for O-poor condition is lower than for O-rich condition [59]. This indicates that Si-doped  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> can be formed easily under O-poor condition. Sn atoms also form shallow levels with a formation energy lower than the formation energy of Si atoms for O-rich condition and higher for O-poor condition[16,59] as shown in **Figure 4**.

Furthermore, Chlorine (Cl) atoms, which prefer O (I) sites, have a formation energy higher than that for Si and Sn for both O-rich and O-poor conditions as shown in **Figure 4**. Varley et al.[16] and Sun et al.[60] suggested that Cl atoms, which form shallow levels, have a strong effect on  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> n-type conductivity.

According to Ingebrigtsen et al. [61], Iron atoms (Fe) can be incorporated in both tetrahedral sites (Ga(I)) or octahedral sites (Ga(II)) with a low formation energy for O-rich condition as shown in **Figure 5**. Both cases can form trap levels of about 0.61 and 0.59 eV below the conduction band. However, according to electron paramagnetic resonance spectroscopy (EPR) study, Fe<sup>+2</sup> has not been observed in contrast to Fe<sup>+3</sup> which is detected in bulk  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>.

### 3. Trap states in Ga<sub>2</sub>O<sub>3</sub>:

As mentioned in the introduction, deep traps in Ga<sub>2</sub>O<sub>3</sub> can be classified into two types, namely majority and minority traps. Although optical and thermal methods, including thermally stimulated luminescence (TSL), photoluminescence (PL) and surface photovoltage spectroscopy, can be used for deep trap detection, DLTS (deep level transient spectroscopy) and its variants are the mostly employed techniques in this respect.

Conventional DLTS technique is based on measuring the transient capacitance arising from the depletion region of a SBD or a heterojunction. The transient capacitance is given by[62]:

$$C(t) = A \frac{\varepsilon}{d} = A \sqrt{\frac{q\varepsilon(N_d - N_t(t))}{2(V_{bi} - V)}} \quad (1)$$

where  $\varepsilon$ ,  $d$ ,  $A$ ,  $N_d - N_t(t)$ ,  $V_{bi}$  and  $V$  are the dielectric constant of semiconductor, depletion region width, contact area between the metal and the semiconductor, the difference between doping density and traps transient density, built-in potential and applied bias, respectively.

Furthermore, bias pulses are periodically applied at a fixed repetition frequency to change the occupancy of the traps. Firstly, the device is subjected to a reverse bias ( $V_R$ ) to empty the traps above the Fermi level and increasing the depletion region as shown in **Figure 6 (a)**. Then, as shown in **Figure 6 (b)**, a filling pulse ( $V_P$ ) is applied with fixed pulse width, causing the depletion region width to decrease and the traps present in the depletion region to be filled with carriers. Finally, a reverse bias is re-applied and the depletion region increases again and the filled traps start to emit carriers as shown in **Figure 6 (c)** resulting in a change of capacitance with time because of the emission and capture processes. Since the depletion region is highly ionized, the capture process can be ignored with the assumption of electrons emission domination ( $e_n \gg e_p, C_n, C_p$ ). The transient capacitance is usually, for  $N_t \leq N_d/10$ , given by[63]:

$$C(t) \approx C_\infty \left[ 1 - \frac{N_t}{2N_d} \exp(-e_n t) \right] \quad (2)$$



where  $C_{\infty}$  and  $e_n$  are the maximum reverse bias capacitance and electron emission rate, respectively.

Since the emission process is thermally stimulated, the output signal of the change of the transient capacitance ( $\Delta C(t)$ ) is measured between two times  $t_1$  and  $t_2$  (boxcar method) at different temperatures (T). Using previous equation, the DLTS output signal as function of T, S (T) given by[63]:

$$S(T) = C(t_2) - C(t_1) = \Delta C_0 \left[ \exp\left(-\frac{t_2}{\tau}\right) - \exp\left(-\frac{t_1}{\tau}\right) \right] \quad (3)$$

where  $\tau$  is the inverse of the electron emission rate ( $\tau = 1/e_n$ ).

$\tau$  can be evaluated from the maximum signal S(T) ( $\frac{\partial S(T)}{\partial \tau} = 0$ ) as:

$$\tau = \frac{t_1 - t_2}{\ln(t_1/t_2)} \quad (4)$$

$\Delta C_0$  is the change in the capacitance at  $t=0$ , which is given by[63]:

$$\Delta C_0 \approx \frac{C_{\infty} N_t}{2N_d} \quad (5)$$

The DLTS signal (S(T)) is calculated at different temperatures as shown in **Figure 7 (a)** for traps in a  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> SBD scanned from 200 K to 350 K with a reverse bias  $V_R = -8$  V, a filling pulse height of about  $V_P = -0.1$  V and filling pulse width  $t_f = 1$  ms. Consequently, the obtained Arrhenius plots are shown in **Figure 7 (b)**. Trap level activation energy ( $E_t$ ) and capture cross-section ( $\sigma_n$ ) can be extracted from the slope and intercept of the Arrhenius plots, respectively, as indicated in **Figure 7(b)**. In DLTS, the trap concentration can be determined from the change in the capacitance at  $t=0$  s in equation (5). It is worth mentioning that the DLTS signal sign of minority traps is opposite to majority traps.

Conventional DLTS is a thermal scanning technique where the temperature of the diode is changed continuously during the acquisition of the capacitance transient which may lead to the formation of broad DLTS spectrum peaks [64], thus affecting the accuracy of the extracted traps characteristics. In addition, the resolution of the time constant is also problematic as DLTS cannot distinguish amongst closely spaced defects with comparable emission rates. To overcome these limitations, Laplace DLTS (LDLTS) is used to extract the emission rate ( $e_n$ ) from the Laplace Transform of the capacitance transient at a fixed temperature, which makes the LDLTS to be an isothermal technique[64]. **Figures 8 (a) and (b)** show the extracted traps levels using DLTS and LDLTS.

Furthermore, there are other technique can be used for deep, shallow and minority traps extraction such as thermoluminescence (TL), which is a powerful technique based on light emission under the effect of thermal stimulation after irradiation the sample. After irradiation using Xenon lamp, electron excited from valence band to conduction band. These electrons can be tapping by non-radiative centers. Then, because of the effect of thermal stimulation and retrapping of these electrons can be occupy a radiative recombination the full process is summarized in **Figure 9**. From the emitted energy and using mathematical model for TL, which can be constructed from the Arrhenius equation and then trap energy level can be extracted.

For very deep traps or minority traps (hole traps) in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, DLTS and LDLTS require very high temperatures. Deep level optical spectroscopy (DLOS) or optical deep level transient spectroscopy (ODLT) techniques are used instead where an optical excitation signal of the deep levels replaces the electrical one, which is used in DLTS and Laplace DLTS. In ODLTS, a monochromatic illumination is used for optical excitation with temperature scanning. However, in DLOS, a different illumination energy is used at a fixed temperature. For example, for  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> the optical excitation energy must be higher than the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> bandgap. Usually Xe- lamps are used which provide photons over a wide range of energies (1.2 eV to 5 eV)[13]. In addition, there are other techniques such as photoluminescence (PL), depth-resolved cathodoluminescence spectroscopy (DRCL) and surface photovoltage spectroscopy (SPS) techniques, which are used mostly for very deep trap extraction and minority traps, as we will discuss.

### **3.1. Majority traps (electron traps)**

#### **3.1.1. E2 trap level**

Majority traps in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> were first reported by Imscher et al.[12] for different crystal structures with  $V_R = -10$  V (except the samples #5 and #7 biased at  $V_R = -4$  V),  $V_P = 0$  V and  $t_p = 100$   $\mu$ s. It was found that, in most cases, a trap level lying at  $\sim 0.78$  eV below CBM is dominant and it was labelled as E2 with density higher than  $1 \times 10^{16}$  cm<sup>-3</sup> [12,65]. This trap level extracted in most  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> traps analysis works based on DLTS [12,19,61,65,67] or TL[66,68] and not detected by ODLTS or DLOS because these techniques are used mostly for very deep traps levels as we will discuss. In most cases, this trap level related to impurities such as Fe<sup>+3</sup> and Co<sup>+2</sup> which prefer to substitute both Ga (II) or Ga(I) sites [12,61,65,67] as we discussed before.

Zimmermann et al.[69] used steady-state photo-capacitance(SSPC) method with first-principles calculations confirmed the trap formed trap level for each case. For Fe<sub>Ga</sub> (I), the formed trap level

is 0.66 eV; however, for  $\text{Fe}_{\text{Ga}}$  (II) the formed trap level is deeper with energy level 0.79 eV. This trap level its important lies in reducing  $\beta\text{-Ga}_2\text{O}_3$  conductivity and forms semi-insulating  $\beta\text{-Ga}_2\text{O}_3$  layer by compensating and capturing free electrons in  $\beta\text{-Ga}_2\text{O}_3$  layer, for example Ueda et al.[70] found an increase in resistivity with increasing Fe concentration in  $\beta\text{-Ga}_2\text{O}_3$ . This semi-insulating layer is used for developing a high performance metal-oxide-semiconductor field effect transistor (MOSFET)[71].

Furthermore, for understanding the source of this trap and other traps,  $\beta\text{-Ga}_2\text{O}_3$  analyzed at different conditions. Polyakov et al. [72] analyzed  $\beta\text{-Ga}_2\text{O}_3$  exposed to Ar plasma revealed that E2 is not detected, which maybe due to the high density of other surface traps. However, a very close trap level ( $E_c-0.8$  eV, where  $E_c$  is the bottom of the conduction band) was detected near the surface and in the bulk. After Ar plasma exposurer, a high increasing in  $E_c-0.8$  density near the surface of  $\beta\text{-Ga}_2\text{O}_3$  as shown in **Figure 10**.

In other work, Polyakov et al.[14] studied the effect of 10 MeV proton irradiated on  $\beta\text{-Ga}_2\text{O}_3$  films grown by Hydride Vapor Phase Epitaxy (HVPE). They found that, E2 trap density increased from  $4.6 \times 10^{13} \text{ cm}^{-3}$  to  $3.2 \times 10^{14} \text{ cm}^{-3}$  after irradiation. The increasing in E2 trap level density her and  $E_c-0.8$  as presented in **Figure 10**, supports Ga vacancy source of this trap level especially Ar plasma and proton irradiation increase Ga vacancy density in the surface of  $\beta\text{-Ga}_2\text{O}_3$ [11,21,24].

However, Ingebrigtsen et al. [11] analyzed single crystal  $\beta\text{-Ga}_2\text{O}_3$  irradiated by Proton with dose  $6 \times 10^{14} \text{ cm}^{-2}$ . As shown in **Figure 11**, after irradiation E2 trap level is not affected in contrast to the other trap. This results agree with the result obtained by Zimmermann et al.[73] they studied the effect of helium and hydrogen implementation and E2 trap level not affected.

Using TL technique, Luchechko et al.[68] analyzed the source of each trap level for  $\text{Cr}^{+3}$  and  $\text{Mg}^{+2}$  doped  $\beta\text{-Ga}_2\text{O}_3$  at different concentrations.  $\text{Cr}^{+3}$  and  $\text{Mg}^{+2}$  atoms prefer Ga sites in  $\beta\text{-Ga}_2\text{O}_3$  [74,75]. The extracted traps levels for both cases were  $E_C\text{-}0.55\text{ eV}\sim E_1$ ,  $E_C\text{-}0.62\text{ eV}$ ,  $E_C\text{-}0.7\text{ eV}\sim E_2^*$ ,  $E_C\text{-}0.78\text{ eV}\sim E_2$ ,  $E_C\text{-}0.94\text{ eV}$  and  $E_C\text{-}1.1\text{ eV}$ . After doping with  $\text{Cr}^{+3}$  and  $\text{Mg}^{+2}$  atoms,  $E_C\text{-}0.55\text{ eV}$  and  $E_2^*$  trap levels intensity increased substantially in comparison with the unintentionally doped sample as shown in **Figure 12** in contrast to  $E_2$ , which indicates that the source of  $E_2$  trap level not  $\text{Cr}^{+3}$  and  $\text{Mg}^{+2}$  atoms.

In order to resolve this controversy, Ingebrigtsen et al. [61] used a combination of DLTS and secondary ion mass spectroscopy (SIMS) to confirm the origin of this trap level. As shown in **Figure 13**, Si atoms in  $\beta\text{-Ga}_2\text{O}_3$  show a weak dependence with  $E_2$  level, which confirms that Si forms a shallow level. This is in contrast to Fe atoms, which have a linear dependence with  $E_2$  concentration. This indicates that the source of this trap level is related to  $\text{Fe}^{+3}$ .

### 3.1.2. $E_1$ trap level

$E_1$  is another dominating trap, with an energy level  $0.4\text{-}0.66\text{ eV}$  below CBM. This trap is related mainly to simple point defects in  $\beta\text{-Ga}_2\text{O}_3$  such as  $\text{Cr}^{3+}$ ,  $\text{Fe}^{+2}$  or  $\text{Co}^{+3}$  impurities [68]. According to Polyakov et. al[76], three configurations of this trap are expected as a source, either  $\text{Si}_{\text{Ga(I)}}\text{-H}$  or  $\text{Si}_{\text{Ga(II)}}\text{-H}$  complexes, Si residual major impurity or Sn impurity with less probability. These impurities are possibly incorporated during the growth process [65,77,78]. Most of these traps in the range  $0.4\text{-}0.66\text{ eV}$  below CBM are labelled  $E_1$ . According to LDLTS analysis, this trap level is located at  $E_C\text{-}0.56\text{ eV}$  as shown in the inset of **Figure 8 (b)** [65]. The impurity source of this trap can be confirmed from the effect of Ar plasma exposer and proton irradiation. As shown in

**Figure 10** and **11**, we can observe this trap is not affected by Ar plasma and proton irradiation. This indicates this trap level related to impurities like  $\text{Cr}^{3+}$ ,  $\text{Fe}^{+2}$  or  $\text{Co}^{+3}$ .

### 3.1.3. $\text{E2}^*$ trap level

Another trap which is very close to E2 with energy around  $E_c-0.75$  or closer are usually referred to as  $\text{E2}^*$  with a concentration of about  $\sim 10^{14} \text{ cm}^{-3}$ . Most of these traps are associated with intrinsic native defects such as oxygen vacancies[13,24,61]. As shown in **Figure 11**, after exposed the surface of  $\beta\text{-Ga}_2\text{O}_3$  to proton,  $\text{E2}^*$  intensity was increased. This explained by the increasing of vacancy in the surface of  $\beta\text{-Ga}_2\text{O}_3$ . So, this trap level can be related to native defects such as oxygen vacancies. However, Zimmermann et al.[73] studied the effect of hydrogen and helium implementation and they expected this trap is related to a complex defect and  $\text{GaO}$  antisites in  $\beta\text{-Ga}_2\text{O}_3$ , they mentioned that hydrogen atoms can passivate  $\text{E2}^*$  trap level. However, as shown in **Figure 12** after doping with 0.1%  $\text{Mg}^{2+}$  a new trap level with energy  $E_c-0.7$  formed which is very close to  $\text{E2}^*$  trap level and its intensity increased more after co-doping with 0.05%  $\text{Cr}^{3+}$ , 0.1%  $\text{Mg}^{2+}$ . The origin of this trap in this work maybe related to  $\text{Cr}^{+3}$  atoms. From this work, in contrast to other works, the impurity source of this trap can be explained maybe by the shifting of E1 trap level from  $E_c-0.55$  to 0.7 because as we discussed before E1 trap originated from impurities like  $\text{Cr}^{3+}$ ,  $\text{Fe}^{+2}$  or  $\text{Co}^{+3}$ . So, the shifting maybe related to the effect of charges like oxygen vacancy ( $\text{V}_o$ ) which will effect on the electron trapping, this will influence the trap energy shifting further from CBM, from  $E_c-0.55 \text{ eV}$  to  $E_c-0.7 \text{ eV}$ .

### 3.1.4. E3 trap level

In addition, another important electron trap is the Ec-1.05 eV or near trap energy level, which is labelled E3. This trap level is obtained in most published work and it is related to several source. Some works related it to intrinsic traps such as vacancies and other related this trap level to impurities such as Fe and titanium (Ti). The first expected source, as shown in **Figure 10**, this trap level concentration highly increased from  $5 \times 10^{13} \text{ cm}^{-3}$  to  $8.9 \times 10^{15} \text{ cm}^{-3}$  after Ar plasma exposer. This means that Ar plasma treatment increases the vacancies density, which leads to an increase of E3 density. In contrast to proton irradiation, E3 trap level not affected as shown in **Figure 11**. Furthermore, according to Irmscher et al. [79]  $\text{Fe}^{+3}$  and  $\text{Co}^{+2}$  impurities are expected as sources of this trap level.

A very close trap level, Ec-0.95 eV, was observed by Zimmermann et al.[65] using DLTS analysis and SIMS. It was related to titanium (Ti) atoms that substitute Ga (II) in  $\beta\text{-Ga}_2\text{O}_3$  as shown in **Figure 14**, which presents DLTS spectra and comparison between the titanium concentration obtained from SIMS measurements and E3 concentration.

Furthermore, Islam et al. [66] studied the effect of doping  $\beta\text{-Ga}_2\text{O}_3$  used TL for analyzing Fe-doped  $\beta\text{-Ga}_2\text{O}_3$  and compared with undoped  $\beta\text{-Ga}_2\text{O}_3$ . For Fe-doped sample, a trap with energy level 1.262 eV was observed in contrast to undoped. So, for this trap level, tow sources are expected, one related to vacancies in  $\beta\text{-Ga}_2\text{O}_3$  and the other is related to impurities such as Fe and Ti. For this, we expected that, there more than two close traps levels each one has a source and because of the difficulty to detect very close traps level, for each case the highest concentration is detected.

### 3.1.5. Other very deep levels

Traps with a very deep energy can be detected using different optical techniques such as deep level optical spectroscopy (DLOS), Deep level optical spectroscopy (DLOS), Photoluminescence (PL), thermoluminescence (TL), surface photovoltage spectroscopy (SPS) and depth-resolved cathodoluminescence spectroscopy (DRCL) techniques. Firstly, traps related to Ga vacancy ( $V_{Ga}$ ) such as 2.16 eV (E4) and 3 eV (E5) which are observed by many researchers using DLOS[13,23,24], DRCL[21], SPS [21,22] and TL[20]. Most of the work related these traps levels to Ga vacancy. The source of these trap level confirmed by  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> surface irradiation and Ar plasma exposer. Firstly, GaO el.[21] studied the effect of neutron irradiation on the surface of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> at different energy and they observed that these two traps the normalized intensity highly increased after irradiation at different energies as shown in **Figure 15 (a) and (b)** and the neutron irradiation mostly effect on Ga vacancy[80]. Therefore, they related this trap level to Ga vacancy ( $V_{Ga}$ ). In addition, this confirmed by Polyakov et al. [24] after Ar plasma exposer of the surface of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, new traps levels with energies 2.3 and 3.1 were detected in the surface of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> in contrast to the bulk as shown in **Figure 10**.

### 3.2. Minority traps:

Using conventional DLTS, minority traps cannot be detected because of the very low hole density and low hole mobility in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>. Using ODLTS, Polyakov et al. [81] observed a minority trap with an energy level 1.3-1.4 eV above VBM. A 365 nm LED was used for the optical excitation at a temperature of about 430 K as shown in **Figure 16**. This trap is related to gallium vacancy[81]. However, this trap level is much lower than that predicted by hybride functional theory by Varley el al.[82] which is of about 0.53 eV above VBM.



Farzana et al.[25] and Zhang et al.[13] have found a very close level to the theoretical energy value of about 4.37 eV below CBM or ~0.5 eV above VBM ( $\beta$ -Ga<sub>2</sub>O<sub>3</sub> bandgap of about ~4.85 eV) with a concentration of  $\sim 10^{16} \text{ cm}^{-3}$  in a  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> layer grown by plasma-assisted molecular beam epitaxy using DLOS as shown in **Figure 17**. This trap level was related to oxygen and gallium vacancies [25]. Furthermore, it is related to the transition of holes from the polaronic self-trapped hole (STH) state which is at the origin of the unstable p-type conductivity and poor hole mobility drawbacks of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>[82].

Other intrinsic hole traps with energy levels near VBM, namely 0.2-0.25 eV and 0.3-0.4 eV, are referred to as H1 and H2, respectively, as shown by the ODLTS Arrhenius plots in **Figure 18**.

One of the proposed solutions to detecting minority traps in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> using conventional DLTS is by forming a heterojunction with a highly doped p<sup>+</sup>-type layer. Wang et al.[83] deposited highly doped p<sup>+</sup>-NiO layer to increase hole injection to  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> by trap assisted tunneling process. For a comparable SBD, a majority trap with an energy level at  $E_c - 0.79 \text{ eV}$  was revealed but after the deposition of p<sup>+</sup>-NiO, a new minority bulk trap was detected with an energy level of 0.14 eV above VBM at different frequencies and pulse time. This trap or closer traps are referred to as H3.

#### **4. Summary:**

Future developments and applications of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> depend mostly on how much is known about the properties of this material. Among these properties, are electronic properties and traps levels in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>. In general, traps are classified into two types, majority and minority traps. In  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, majority traps or electron traps which can capture electrons are close to the conduction band and have high electron capture cross sections. Using different techniques such as DLTS, ODLTS, ODLT and other techniques, E2 trap level is usually dominant and is related in most case to Fe<sup>+3</sup> or Co<sup>+2</sup> impurities in Ga sites. The other trap is E1 with an energy level of about 0.4-0.66 eV below CBM which is related to Cr<sup>3+</sup> impurity and Si<sub>Ga(I)</sub>-H or Si<sub>Ga(II)</sub>-H complexes inserted during the deposition. Another important trap, namely E2\*, is associated with native defects such as oxygen and gallium vacancies. For E3 trap, there are two possible sources: (i) impurities atoms such as Fe<sup>+3</sup> and Co<sup>+2</sup> or (ii) gallium vacancies. In contrast to majority traps, minority traps are close to the valence band with high capture cross sections for holes. These minority traps or hole traps are detected using only optical techniques or by forming a heterojunction with highly doped p-type layer. Three minority traps are detected, namely H1, H2 and H3 traps which are related to gallium vacancy.

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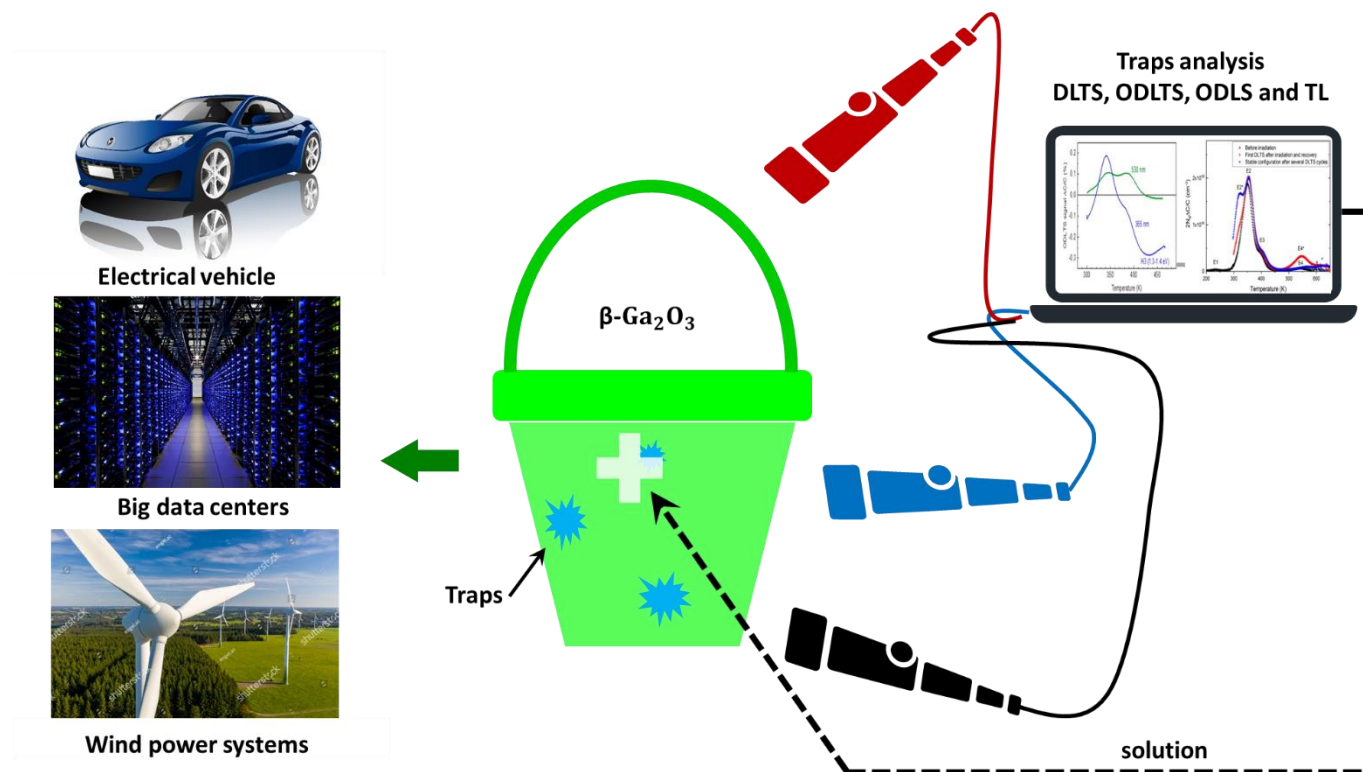
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## Graphical abstract:



**Tables list:**

**Table 1.** Ga<sub>2</sub>O<sub>3</sub> polymorphs and crystal structures.

Polymorph	System	Lattice parameters (Å)	Ref
$\alpha$	Hexagonal	a=4.9825 b=13.433	[35]
$\beta$	Monoclinic	a=12.48 b=3.09 c=5.89 $\beta$ =103.71	[36]
$\gamma$	Cubic	a=8.22	[37,38]
$\delta$	Cubic	a=9.491	[31]
$\varepsilon$	Orthorhombic	a=5-5.1 b=8.7-8.8 c=9.2-9.4	[27]
$\kappa$	Orthorhombic	a=5.0463 b=8.7020 c=9.2833	[34]

### Figures captions:

**Figure 1:** The crystal structures of monoclinic  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>. The Ga and O atoms are represented by green and red spheres, respectively[36]. Reproduced with permission of J. Cryst. Growth. Copyright 2019 Elsevier.

**Figure 2:**  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> band structure shows a flat valence band[16]. Reproduced with permission of Appl. Phys. Lett. Copyright 2010 AIP Publishing.

**Figure 3:** Schematic representation of the unit cell of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> with two nonequivalent Ga sites marked by small spheres Ga (I) (brown) and Ga (II) (orange). There are three nonequivalent O sites marked by big spheres O (I) (blue), O (II) (green) and O (III) (turquoise)[57]. Reproduced with permission of Sci. Rep. Copyright 2017 Springer Nature Publishing.

**Figure 4:** Formation energy versus Fermi level for several expected shallow donor impurities in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> under (a) O-rich and (b) O-poor conditions[16]. Reproduced with permission of Appl. Phys. Lett. Copyright 2010 AIP Publishing.

**Figure 5:** Formation energy diagram for Fe in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> shown for both Ga-rich and O-rich growth conditions. Ga (I) and Ga (II) denote two possible configurations of Ga in the lattice[61]. Reproduced with permission of Appl. Phys. Lett. Copyright 2018 AIP Publishing.

**Figure 6:** Energy band diagrams of a Schottky diode illustrating the occupancy changes at (a)  $V=V_R$ , (b) filling pulse  $V=V_P$ , and (c) thermal emission of electrons at  $V=V_R$ .

**Figure 7:** (a) DLTS signal at different temperatures of a  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> SBD. (b) Arrhenius plots of the deep trap levels[12]. Reproduced with permission of Appl. Phys. J. Copyright 2011 AIP Publishing.

**Figure 8:** (a) DLTS spectra for  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> crystal and (b) the Arrhenius plots for three defects signatures. The inset shows the emission rate spectrum obtained from LDTs signal at 400 K[65]. Reproduced with permission of Appl. Phys. J. Copyright 2020 AIP Publishing.

**Figure 9:** A schematic diagram of TL process[66]. Reproduced with permission of Appl. Phys. J. Copyright 2019 AIP Publishing.

**Figure 10:** DLTS traps detected before and after Ar plasma exposure. The length of the bars represents the relative concentrations[24]. Reproduced with permission of APL Mater. Copyright 2019 AIP Publishing.

**Figure 11:** DLTS measurements on bulk sample before and after Proton irradiation [11]. Reproduced with permission of APL Mater. Copyright 2018 AIP Publishing.

**Figure 12:** Energy diagram and trap levels in gallium oxide crystals: (a) unintentionally doped  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> (blue lines) and  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>:0.05% Cr<sup>3+</sup> (red lines); (b)  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>:0.1% Mg<sup>2+</sup> (green lines) and  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>:0.05% Cr<sup>3+</sup>, 0.1% Mg<sup>2+</sup> (magenta lines)[68]. Reproduced with permission of ECS Journal of Solid State Science and Technology. Copyright 2020 IOP Publishing.

**Figure 13:** E2 concentration as measured by DLTS versus Fe<sup>+3</sup> concentration (black squares) and Si concentration (red circles) as found by SIMS for bulk and epitaxial samples[61]. Reproduced with permission of Appl. Phys. Lett. Copyright 2018 AIP Publishing.

**Figure 14:** (a) DLTS spectra for-Ga<sub>2</sub>O<sub>3</sub> deposited with different methods and (b) Comparison between the titanium concentration obtained from SIMS measurements and the trap concentration related to E3[65]. Reproduced with permission of Appl. Phys. J. Copyright 2020 AIP Publishing.

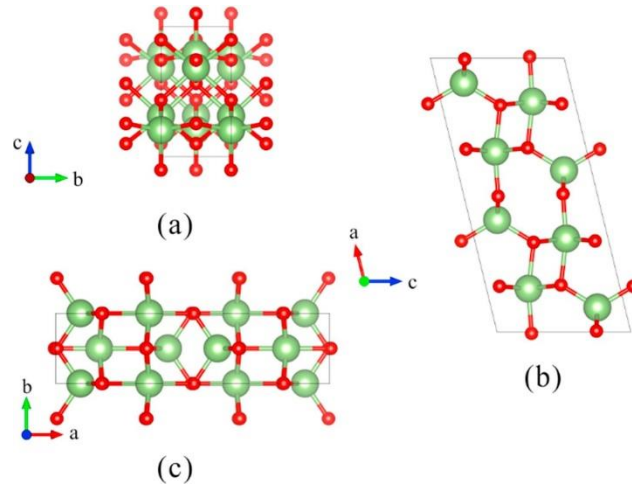
**Figure 15:** Effect of neutron irradiation at different energy (a) 0.5 keV and (b) 5.0 keV[21]. Reproduced with permission of Appl. Phys. Lett. Copyright 2018 AIP Publishing.

**Figure 16:** ODLTS signal for Si-doped  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> with 365 nm LED excitation (blue line) and 530 nm LED excitation (olive line) [81]. Reproduced with permission of APL Mater. Copyright 2016 AIP Publishing.

**Figure 17:** (a) DLOS optical capture cross section and (b) its DLOS trap concentration [25]. Reproduced with permission of Appl. J. Appl. Phys. Copyright 2018 AIP Publishing.

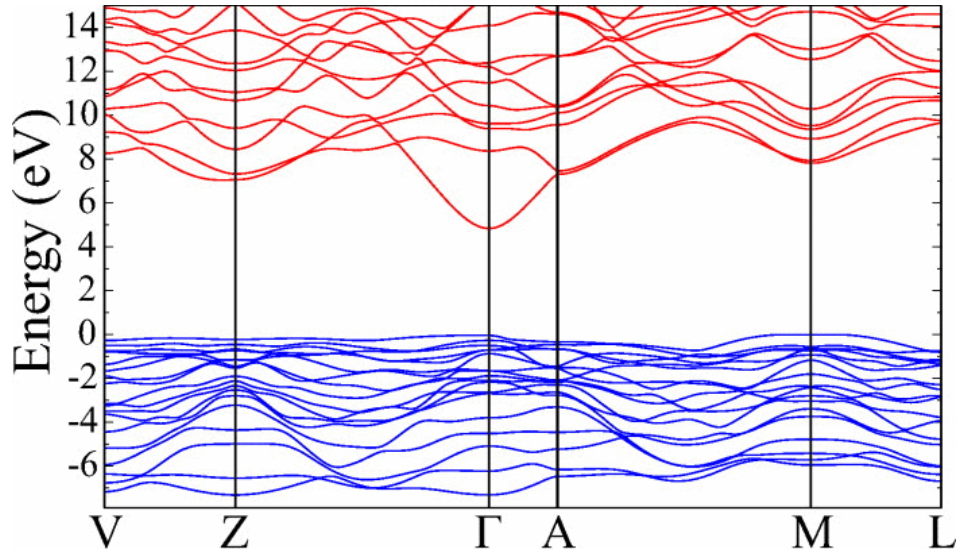
**Figure 18:** Arrhenius plots for hole traps observed in ODLTS[81]. Reproduced with permission of APL Mater. APL Mater. Copyright 2016 AIP Publishing.

### Figures list:

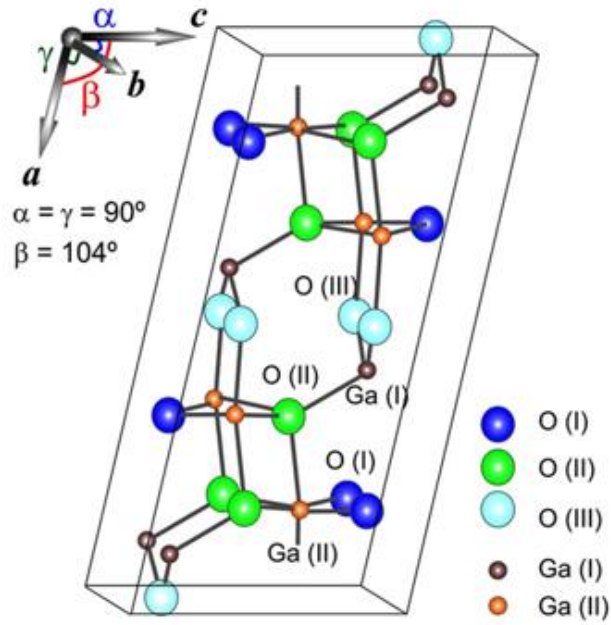


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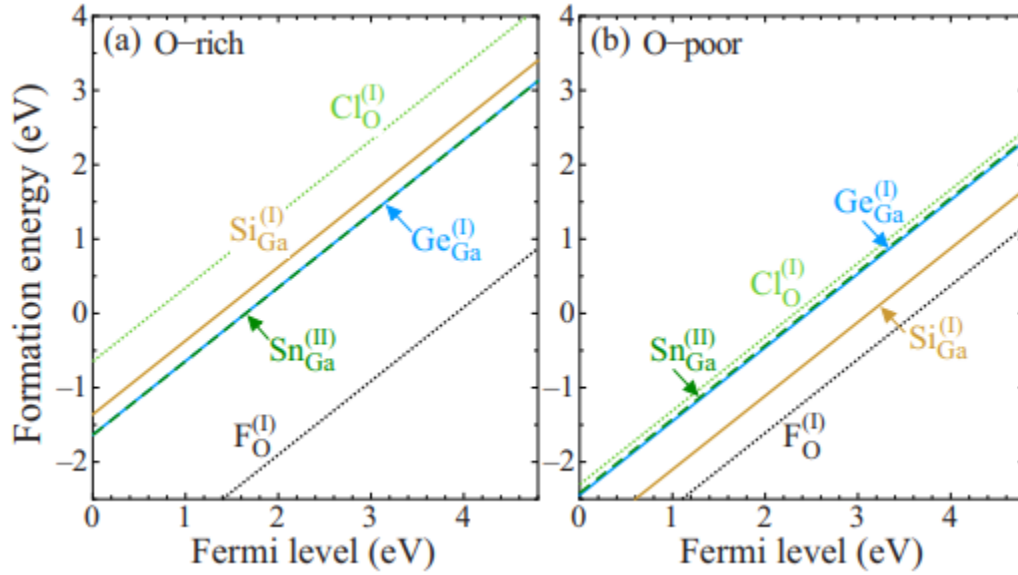


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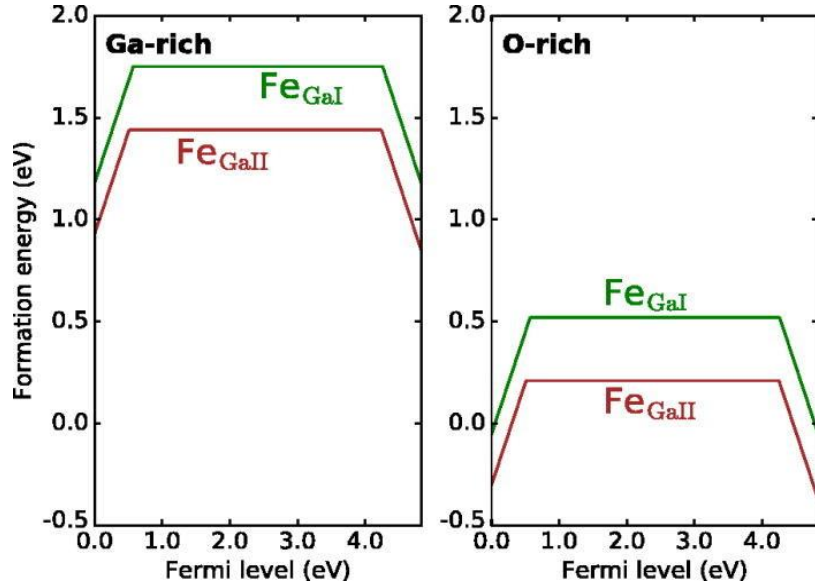


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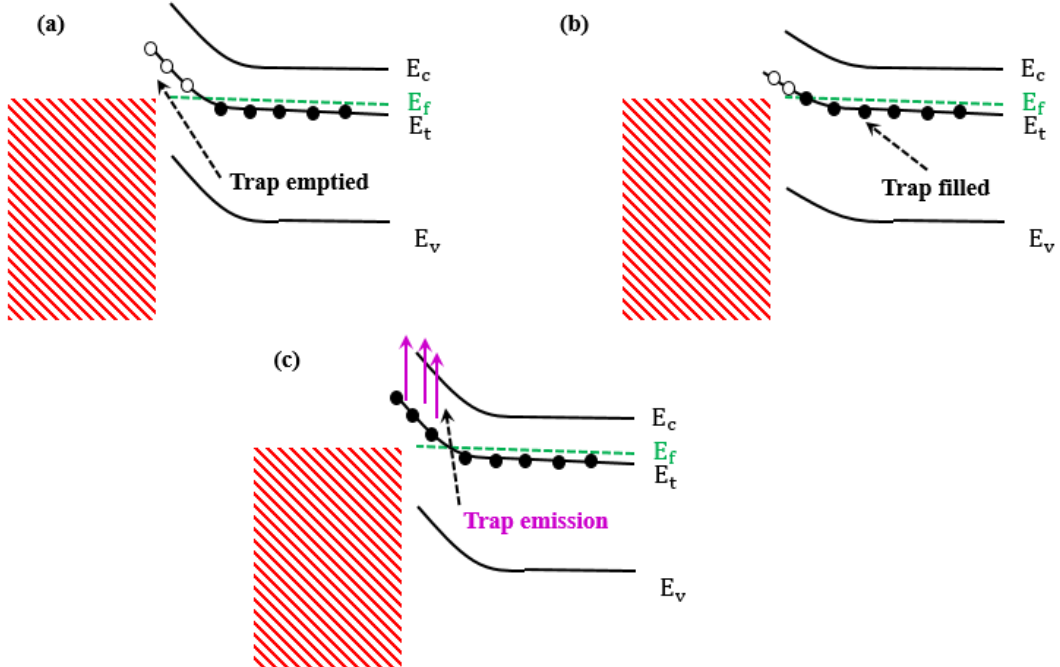
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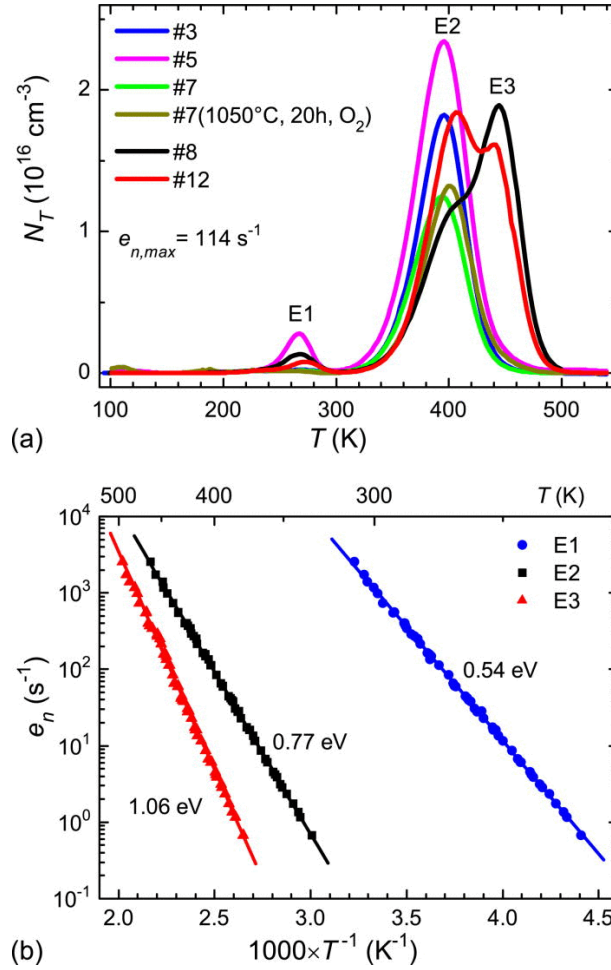
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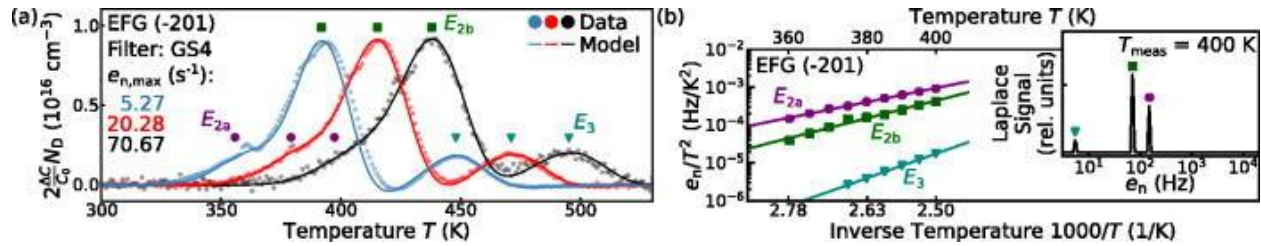
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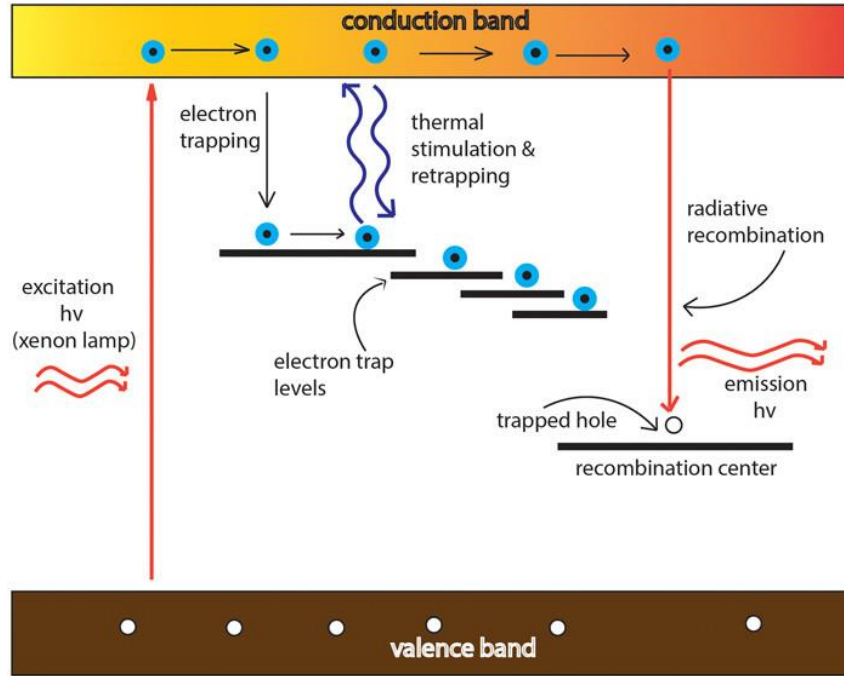


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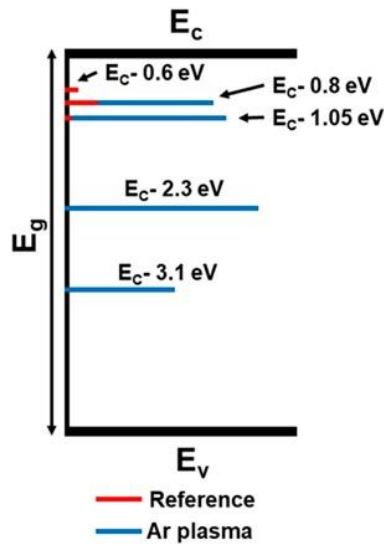


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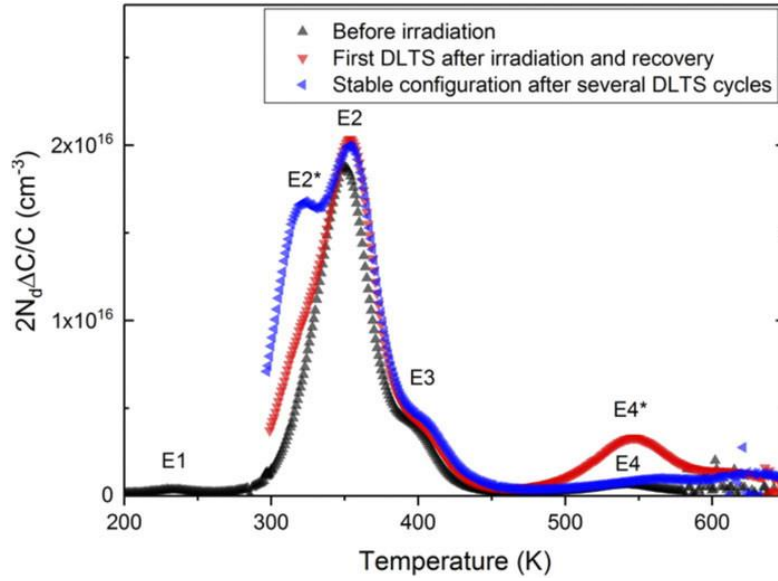
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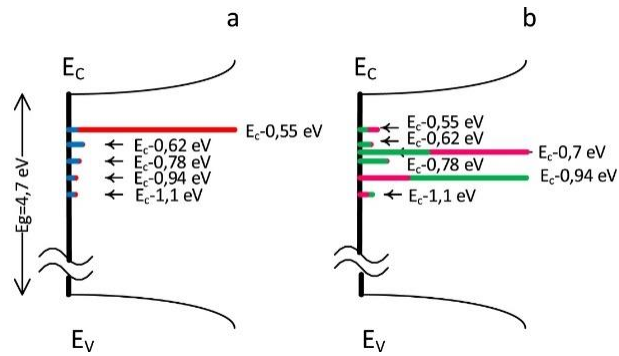


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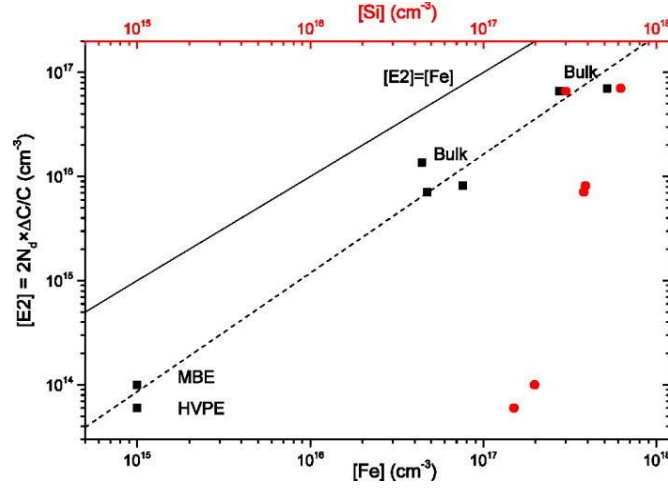


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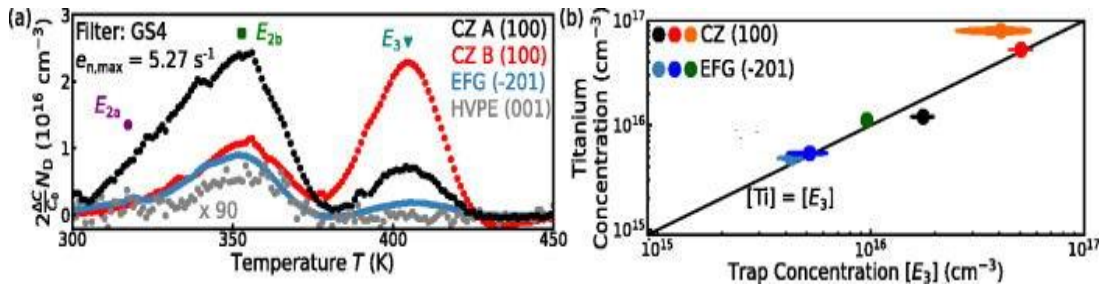
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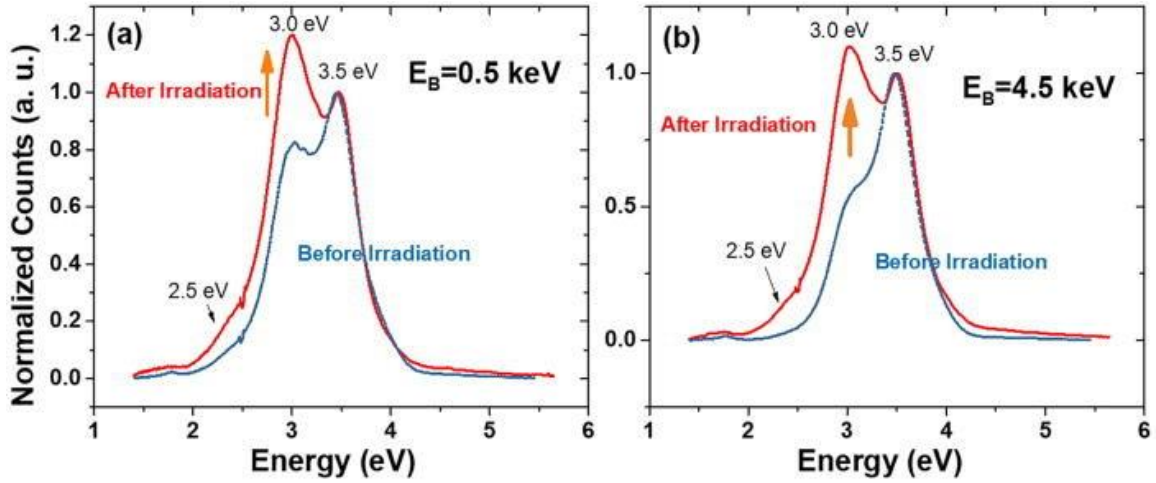


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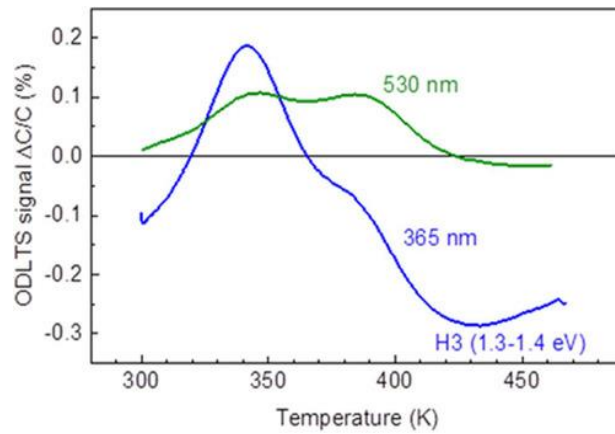
**Figure 14:** (a) DLTS spectra for- $\text{Ga}_2\text{O}_3$  deposited with different methods and (b) Comparison between the titanium concentration obtained from SIMS measurements and the trap concentration related to  $E_3$ [65]. Reproduced with permission of Appl. Phys J. Copyright 2020 AIP Publishing.





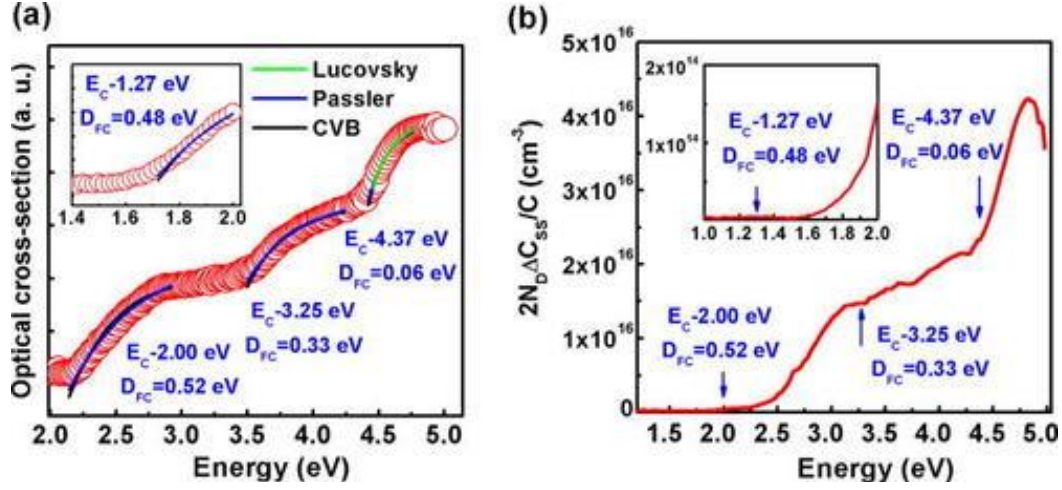
**Figure 15:** Effect of neutron irradiation at different energy (a) 0.5 keV and (b) 5.0 keV[21].

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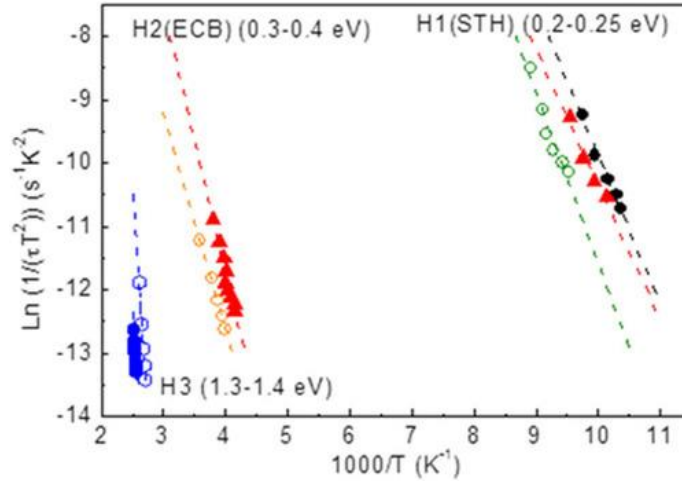


**Figure 16:** ODLTS signal for Si-doped  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> with 365 nm LED excitation (blue line) and 530 nm LED excitation (olive line) [81]. Reproduced with permission of APL Mater. Copyright 2016 AIP Publishing.





**Figure 17:** (a) DLOS optical capture cross section and (b) its DLOS trap concentration [25]. Reproduced with permission of Appl. J. Appl. Phys. Copyright 2018 AIP Publishing.



**Figure 18:** Arrhenius plots for hole traps observed in ODLTS[81]. Reproduced with permission of APL Mater. APL Mater. Copyright 2016 AIP Publishing.