

1 An evaluation study of miniature dielectric crossed compound 2 parabolic concentrator (dCCPC) panel as skylights in building 3 energy simulation

4 Meng Tian^a, Li Zhang^a, Yuehong Su^{a,*}, Qingdong Xuan^b, Guiqiang Li^{b,c,*}, Hui Lv^d

5 ^a Department of Architecture and Built Environment, Faculty of Engineering, University of
6 Nottingham, University Park, Nottingham, NG7 2RD, UK

7 ^b Department of Thermal Science and Energy Engineering, University of Science and
8 Technology of China, 96 Jinzhai Road, Hefei, 230026, China

9 ^c School of Engineering, University of Hull, Hull, HU6 7RX, UK

10 ^d Hubei Collaborative Innovation Center for High-efficiency Utilization of Solar Energy, Hubei
11 University of Technology, Wuhan, 430068, China

12 * Corresponding authors: yuehong.su@nottingham.ac.uk, Guiqiang.Li@hull.ac.uk

13 Abstract

14 The potential of miniature dielectric crossed compound parabolic concentrator (dCCPC)
15 panel as skylights for daylighting control has drawn a considerable research attention in the
16 recent years, owing to its feature of variable transmittance according to the sun position,
17 but the viability of using it as skylights in buildings has not been explored yet
18 comprehensively. This paper aims to study the feasibility of utilizing miniature dCCPC panel
19 as skylight in different locations under various climates in terms of energy saving potential
20 besides its daylighting control function. The transmittance of dCCPC panel varies at every
21 moment according to the sky condition and sun position. Due to this specific property, this
22 study novelly implemented a polynomial formula of the dCCPC transmittance in the
23 Grasshopper platform, from which EnergyPlus weather data can be called to calculate the
24 hourly transmittance data of dCCPC skylight panel throughout the whole year. An hourly
25 schedule of transmittance is generated according to the hourly sky condition determined by
26 the daylight simulation through Radiance and Daysim, and is then input to EnergyPlus
27 simulation to predict the energy consumption of a building with dCCPC skylight. Fourteen
28 locations around the world are therefore compared to find the most appropriate place for
29 using miniature dCCPC panel as skylights. The energy saving in cooling, heating and lighting
30 with use of dCCPC skylight panel are investigated and compared with low-E and normal
31 double glazing. The results show that the dCCPC skylight panel can reduce cooling load by
32 mitigating solar heat gain effectively although its performance is affected by several criteria
33 such as sky conditions and local climates. It is generally more suitable for the locations with
34 longer hot seasons, e.g., Los Angeles, Miami, Bangkok and Manila, in which dCCPC could
35 provide up to 13% reduction in annual energy consumption of building. For the locations
36 having temperate and continental climates like Beijing, Rome, Istanbul and Hong Kong, a
37 small annual energy saving from 1% to 5% could be obtained by using dCCPC skylight panel.

38 Keywords

39 Dielectric crossed compound parabolic concentrator (dCCPC); daylighting control;
40 Grasshopper; energy saving.

41 Nomenclature

42 **Abbreviations**

DB	Double glazing
dCCPC	Dielectric crossed compound parabolic concentrator
dCCPC-lowE	Low-E double glazing with dCCPC inside
dCCPC-DB	Double glazing with dCCPC inside
SHGC	Solar heat gain coefficient
VT	Visible transmittance

43 **General symbols**

I	Direct normal solar irradiance (W/m^2)
I_{total}	Total irradiance (W/m^2)
I'	Equivalent direct normal solar irradiance for a tilted surface (W/m^2)
I_h'	Equivalent diffuse horizontal irradiance for a tilted surface (W/m^2)
T_0	Transmittance of dCCPC under overcast sky
T_{dCCPC}	Transmittance of dCCPC
Z	Solar zenith angle ($^\circ$)
Z'	Equivalent solar zenith angle for a tilted surface ($^\circ$)
a_n, b_n, c_n	Regression coefficients
k	Constant coefficient
β	Tilt angle of dCCPC entry aperture ($^\circ$)
γ	Solar azimuth angle ($^\circ$)
γ'	Equivalent solar azimuth angle for a tilted surface ($^\circ$)
$\Delta\gamma'$	Relative equivalent azimuth angle for a tilted surface ($^\circ$)
ε	Sky clearness factor
ε'	Equivalent sky clearness factor for a tilted surface
θ_i	Incident angle on the entry aperture of dCCPC ($^\circ$)
θ_h	Solar altitude angle ($^\circ$)
θ_h'	Equivalent solar altitude angle for a tilted surface ($^\circ$)

44 **1. Introduction**

45 The energy consumption in buildings takes more than one-third of total global energy
46 consumption (Lowry, 2016). The electricity required by artificial lighting is one of the main
47 parts of the energy demand for buildings. In the solar heating & cooling (SHC) programme in
48 2015 held by the international energy agency, it was stated that the lighting energy took 19%
49 (2900 TWh) of the total global electricity consumption approximately, and it is estimated to
50 reach 4250 TWh by 2030 under current policies (Attia et al., 2017, SHC, 2015). Daylighting
51 design is a popular choice in modern building design with the considerations of energy
52 saving, visual comfort and hence occupant health. The combination of direct sunlight and
53 diffuse skylight are regarded as daylight whose quality and intensity varies depending on the
54 location, season, time, weather, sky condition and so forth. With an appropriate daylighting
55 design, about 40% lighting energy could be saved (Dubois and Blomsterberg, 2011), and this
56 could even reach 70% with the proper designs of space type and control type (Ahadi et al.,
57 2017). As a passive solar energy application, daylighting is accompanied with solar heating
58 which can reduce the heating load in winter to some extent. It was also found by many
59 researchers that daylight is good for human health by curing medical ailments and reducing
60 psychological sadness related to the seasonal affective disorder (Hraska, 2015, Wong, 2017,
61 Liberman, 1990). In a survey conducted by Hourani et al. (Hourani and Hammad, 2012),
62 more than 80% of the working staffs were willing to sit by windows and similar results were
63 obtained from the student and patient groups. Daylight also results in the better perception
64 and higher productivity for occupants (Sivaji et al., 2013, S. R. Kellert et al., 2008).

65 As one type of the nonimaging optics, compound parabolic concentrator has been
66 attempted to be utilized in building facade for daylighting application in the past decades.
67 Walze et al. (Walze et al., 2005) proposed two kinds of smart windows with the
68 microstructure of two dimensional (2D) compound parabolic concentrator (CPC) array on
69 the surface, which focused on preventing unnecessary solar radiation and improving light-
70 guiding abilities. Yu et al. (Yu et al., 2014) investigated the feasibility of 2D dielectric CPC in
71 daylighting control as it is used as a skylight and found that the transmittance of the
72 stationary CPC varies with the sun positions, which is lower at noon and larger in the
73 morning and afternoon. Li et al. proposed a lens-walled CPC panel integrating photovoltaic
74 and daylighting control that can generate electricity and decrease the indoor illuminance
75 level (Li et al., 2018, Li, 2018). Ulavi et al. (Ulavi et al., 2014b, Ulavi et al., 2014a) designed a
76 hybrid solar window integrating tubular absorber and 2D CPC for the purpose of
77 transmitting daylight to the interior and concentrate solar radiation onto the absorber at the
78 same time. Another hybrid window called PRIDE also works in the similar way but replacing
79 the tubular absorber with photovoltaic (PVEducation) module to generate electricity. With
80 the improvements by many researchers (Zacharopoulos et al., 2000, Mallick et al., 2004,
81 Mallick et al., 2006, Mallick and Eames, 2007, Sarmah and Mallick, 2015, Sarmah et al., 2014,
82 Baig et al., 2014), the electricity generated by the latest generation of PRIDE is 3.17 times
83 higher than that from a flat PV of same size and it also provides daylighting to the interior
84 simultaneously.

85 Although the visual environment provided by daylight is preferred by occupants, the glare
86 that is the result of extreme contrast within the vision field caused by direct sunlight is a key

87 point that should be considered in daylighting design. Various diffuse panel becomes more
88 popular in skylight due to creating better visual environment and saving lighting energy with
89 the advantages of redirecting direct sunlight. Many companies has produced and sold
90 various diffuse skylight panels for real building application. For example, the prismatic
91 diffuse panel designed by Excelite (Excelite, n.d.), the highly diffused Quasar prismatic
92 skylight produced by Kingspan (Kingspan, n.d.), the different prismatic skylights provided by
93 AcuityBrands (AcuityBrands, n.d.), and etc. From our previous research (Tian and Su, 2015,
94 Tian and Su, 2016), it is found that a dielectric crossed CPC (dCCPC) panel as skylight also has
95 an outstanding performance in preventing glare by reflecting back direct sunlight when it is
96 strong around the midday. Further to such daylight control feature, the effect of dCCPC
97 skylight panel on the energy performance of a building will be investigated in this paper to
98 evaluate its implication and suitability in actual applications.

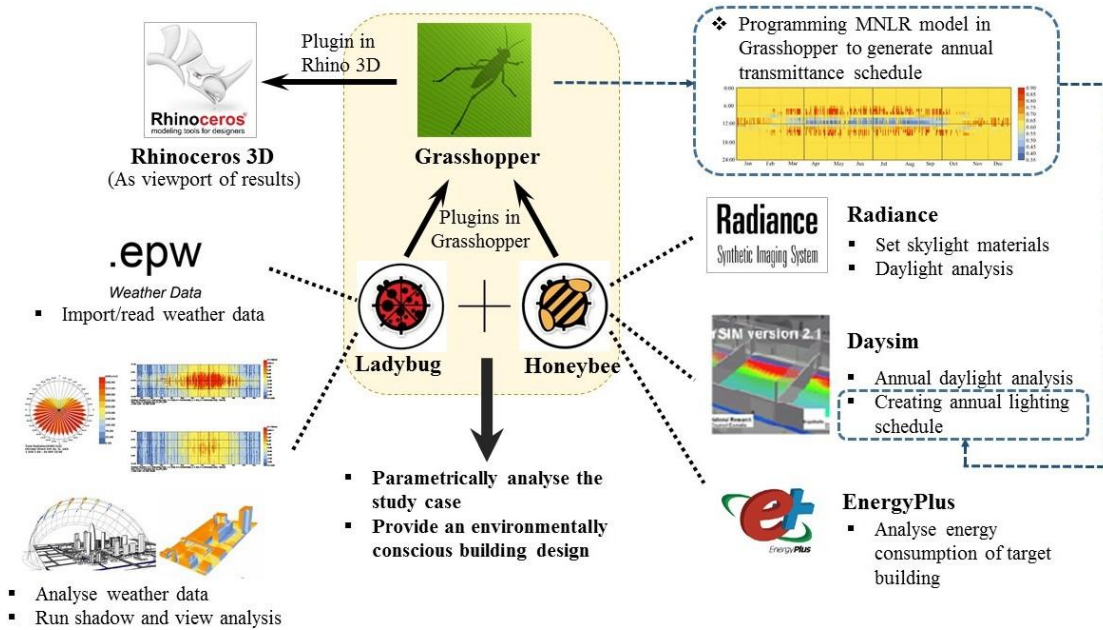
99 As is known, the transmittance of a dCCPC panel varies with sky condition and sun position,
100 which means that it would not be a constant value for different time points. A polynomial
101 formula for their relationship has been obtained in our previous study (Tian and Su, 2018a).
102 In this paper, a novel method implementing this polynomial model in Grasshopper is
103 proposed in order to investigate the energy performance of a building with dCCPC skylight
104 panel. The continuously changed transmittance of dCCPC can be calculated in Grasshopper
105 and fed to the dynamic simulation of building energy consumption in EnergyPlus. Fourteen
106 locations are selected around the world for the simulation, in which the dCCPC panel will be
107 compared with traditional double glazing and low-E double glazing. The main criteria used in
108 evaluation are the effects of dCCPC on thermal load, lighting energy consumption and total
109 energy consumption in buildings. The advantages and drawbacks of dCCPC skylight panel are
110 discussed, and the feasibilities of practical application are summarized in terms of overall
111 energy saving at the end of this research.

112 **2. Methodology**

113 **2.1. Introduction of software for energy simulation**

114 In this study, the building energy simulation package, EnergyPlus, and the lighting analysis
115 tool, Radiance/Daysim will be used to determine the hourly energy and daylighting
116 performance of an example building with dCCPC skylight panels. However, the time-varying
117 feature of the transmittance of dCCPC panel needs to be dealt with tactically using
118 Grasshopper within the Rhinoceros 3D. A multiple nonlinear regression (MNL) model
119 proposed by Tian and Su (Tian and Su, 2018a) which determines the transmittance of dCCPC
120 according to the sun position and sky condition, is applied and modified in order to calculate
121 the transmittance of dCCPC in arbitrary tilt angles under various sky conditions. The details
122 of calculating the hourly transmittance data of dCCPC panel by MNL model is introduced in
123 Section 3. The point to incorporate the dCCPC transmittance model in simulations is
124 illustrated in the workflow diagram in Fig. 1. In the platform grasshopper in Rhinoceros 3D,
125 the transmittance schedule of dCCPC is generated hourly by programming MNL model in
126 grasshopper, and then the required criteria sun position and sky condition are calculated by
127 the imported EnergyPlus weather data and daylight simulation run by Radiance and Daysim.
128 The annual lighting schedule can be then obtained by daylighting simulation through

129 Radiance/Daysim according to the transmittance schedule of dCCPC. Finally, the energy
 130 consumption of building is simulated by the energy analysis through EnergyPlus.



131
 132 Fig. 1. Workflow diagram of running daylighting and energy simulation for the building
 133 model in Grasshopper

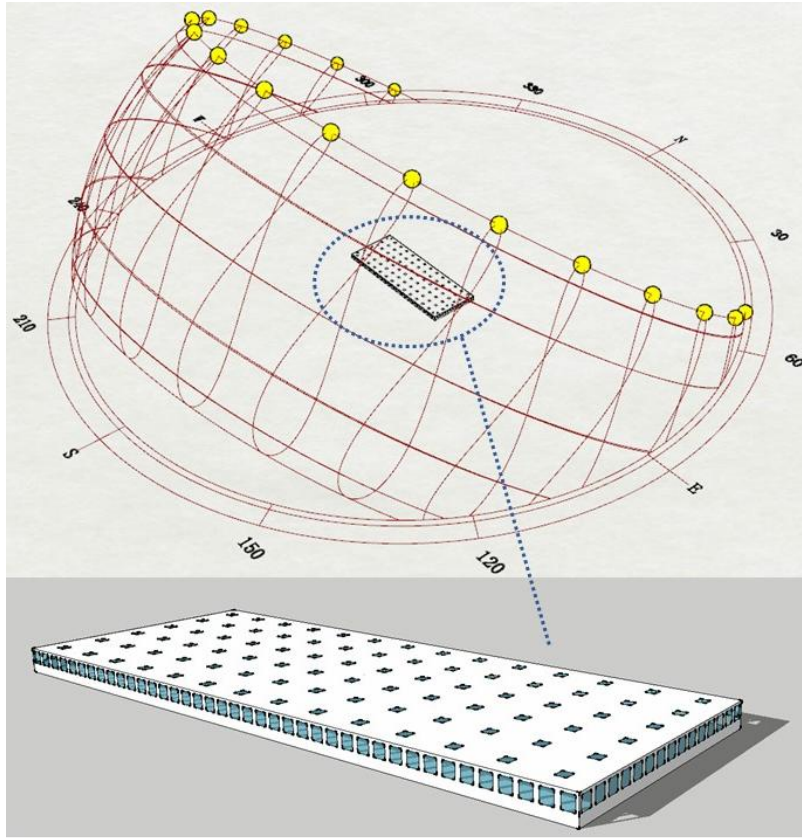
134 Rhinoceros 3D is a three-dimensional (3D) computer graphics and computer-aided design
 135 application software that is good at modelling curves and freeform surfaces in computer
 136 graphics (Rhinoceros, n.d.). Grasshopper is one of the key plugins running within the
 137 Rhinoceros 3D, which is a visual programming language and environment to build generative
 138 algorithms (Grasshopper, n.d.). Programs can be created by dragging provided components
 139 onto a canvas and connecting each component. Ladybug and Honeybee are two plugins for
 140 Grasshopper to import and analyse standard weather data, and run simulations for building
 141 energy, occupant comfort, daylighting usage and lighting energy consumption with the
 142 simulation engines like EnergyPlus, Radiance, Daysim and OpenStudio, etc. Radiance is a
 143 widely used optical simulation tool for analysing the distribution of visible radiation in
 144 illuminated spaces based on the backward ray-tracing from the image plane to the sources
 145 (Radiance, 2014). Daysim is a Radiance-based simulation engine in Rhinoceros for predicting
 146 the annual daylighting performance in building, analysing complex shading and lighting
 147 control system (Jakubiec and Reinhart, 2012). EnergyPlus and OpenStudio are the console-
 148 based software which is good at simulating the energy consumption including heating,
 149 cooling, ventilation, lighting and water usage in buildings (EnergyPlus, 2017). Therefore, a
 150 building can be modelled and analysed in Grasshopper parametrically for both
 151 comprehensive design and accurate energy evaluation.

152 2.2. Building model description

153 The model of an example building is set as a single-storey office building with skylights and
 154 windows as shown in Fig. 2, in which the sun path diagram of Birmingham, UK (52.45°N,
 155 1.73°W) is illustrated with the yellow circles indicating the sun positions from 4am to 8pm
 156 on 21st June. The building is assumed to have the dimension of 80m (L) × 30m (W) × 3m (H)

157 referring to the typical size of standard air-conditioned office building (CIBSE, 2000), and the
158 longitudinal sides of the building are in east-west direction. The window-to-wall ratio (WWR)
159 is set to be 0.35 for the walls in south, north, east and west directions, which is within the
160 optimal range of WWR for most office buildings in different climates (Goia et al., 2013, Goia,
161 2016). The total area of skylights follows the general rule of thumb, i.e., 5% of roof area. The
162 total number of skylights are 84 and located on the roof regularly in a 14×6 array. The
163 skylights are mounted on the flat roof and tilted to the south. The tilt angle of dCCPC stays
164 unchanged for the whole year but is different for each city. The tilt angle and the solar
165 altitude angle at 12:30pm on 21st June in each location are complementary to achieve the
166 best performance.

167 The interior of the office building is open plan. The reflectance values of internal surfaces are
168 0.2 for the floor, 0.5 for the walls and 0.8 for the ceiling according to the typical reflectance
169 values of room surfaces (LightingResearchCenter, n.d.). The work plane whose illuminance
170 distribution would be simulated is taken as 0.8m above floor level. In the following energy
171 simulations in Grasshopper, the 'OpenOffice' schedules are used for occupancy, activities,
172 heating, cooling, equipment and infiltration. The walls, windows, roof and floor are set as
173 the default exterior wall, clear double glazing window, exterior roof and exterior floor
174 constructions provided by EnergyPlus, respectively. The default constructions may not be
175 the best selections for the purpose of energy saving for building, but can be considered as
176 the constructions with average performances that are more suitable for analysing the effect
177 of skylights in different climates. Similarly, the heating and cooling load in simulations are
178 calculated by using the ideal loads air system template, which aims to focus on the variation
179 of thermal load caused by skylights rather than different air-conditioning systems. The
180 heating set point is 21°C and cooling set point is 24°C. It is important to mention that, the
181 control types of artificial lighting for all models are the same, which is auto dimming and it
182 will be switched off when there is no occupancy in the room. The sensor points of lighting
183 and lighting control are located in a 13×5 array detecting the illuminance level of working
184 plane. The set point of lighting is 500lux. Shading and glare control are not considered for
185 windows and skylights.



186

187 Fig. 2. Building model and sun path on 21st June from 4am to 8pm in Birmingham

188

2.3. Skylights model description

189

In order to investigate the effect of dCCPC panel on building energy performance, three types of skylight panels as listed in Table 1 will be compared. The basic skylight type as a reference is a typical clear double glazing window (DB) with a visible transmittance (VT) of 0.79, solar heat gain coefficient (SHGC) of 0.70 and U-value of 2.669 W/m²K (EWC, n.d.). The other two types of skylight panels are with a dCCPC panel sandwiched within a clear double glazing (dCCPC-DB) and a low-E double glazing (dCCPC-lowE), respectively, as shown in Fig. 3. Thus the dCCPC-DB and dCCPC-lowE skylight panels are still in the form of double glazing and can be assumed to have the same U-value as the original double glazing. The U-value, VT and SHGC for typical low-E double glazing is 1.420 W/m²K, 0.69 and 0.27 respectively (EWC, n.d.). To give VT and SHGC values of the dCCPC-DB and dCCPC-lowE skylight panels, the original values of double glazing may be multiplied by the transmittance of dCCPC panel, calculation of which is explained in Section 3 in details.

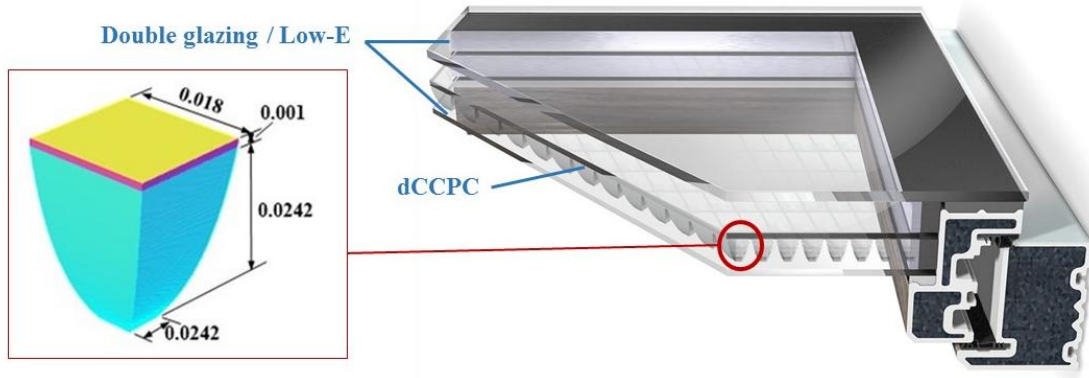
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Table 1. Properties of skylight panels (DB, dCCPC-DB and dCCPC-lowE)

	Clear double glazing (DB)	Clear double glazing with dCCPC (dCCPC-DB)	Low-E double glazing with dCCPC (dCCPC-lowE)
U-value (W/m ² K)	2.669	2.669	1.420
SHGC	0.70	$T_{dCCPC} \times 0.70$	$T_{dCCPC} \times 0.27$
VT	0.79	$T_{dCCPC} \times 0.79$	$T_{dCCPC} \times 0.69$
T_{dCCPC} : Transmittance of dCCPC			

202

203 The detailed dimensions of the dCCPC panel used in simulations is demonstrated in Fig. 3
204 below. The dimension of the entry aperture for each element in the panel is $0.018\text{m} \times$
205 0.018m . A top cover with the thickness of 1mm is used to connect the individual element
206 into a panel. Both of the width and length of the dCCPC panel are about 1.42m so that each
207 panel consists of 66×66 individual components. The thickness of dCCPC panel is 24.3mm .
208 The inner and outer half acceptance angle of dCCPC are 14.47° and 22.02° . The material of
209 dCCPC is acrylic with the refractive index of 1.49 .



210

211

Fig. 3. Dimension of dCCPC panel

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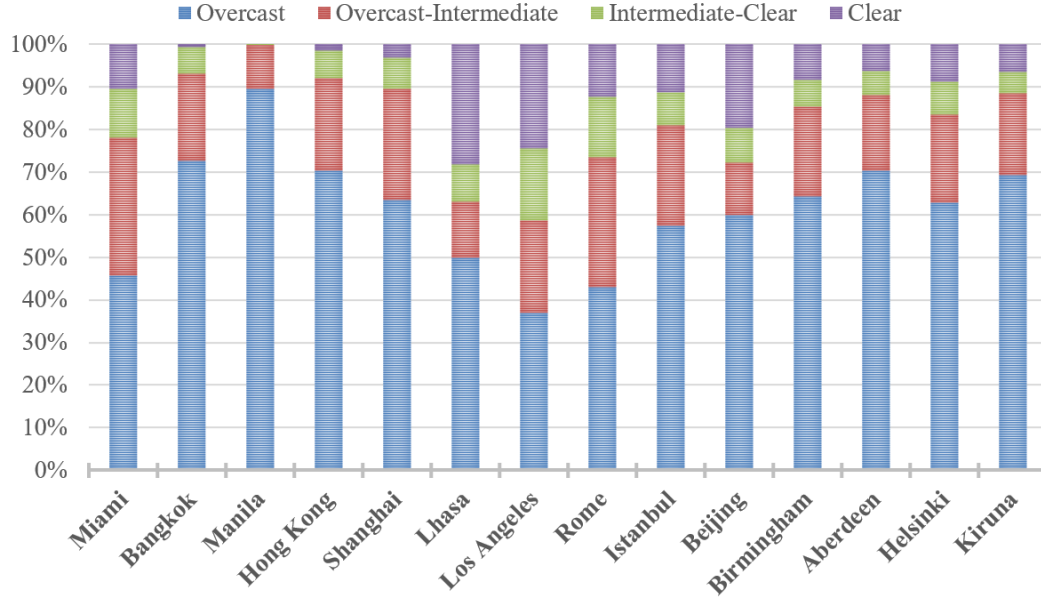
2.4. Location

213 In order to investigate the performance of dCCPC skylight panel in different locations and
214 climates, 14 cities are chosen for energy simulation of the example office building. The 14
215 cities in Table 2 includes the locations from the eastern hemisphere to western hemisphere
216 on earth. Two of them are in America, six of them are in Europe and the rest six are in Asia.
217 According to the Köppen-Geiger climate classification, the climates of the fourteen cities
218 cover four main categories which are tropical climate, dry climate, temperate climate and
219 continental climate. Among all cities, some locations need either only cooling or heating
220 such as Bangkok and Kiruna, and others require both during the whole year like Beijing and
221 Istanbul. Some cities have strong direct sunlight like Lhasa, and some cities are covered by
222 clouds in most of the time like Aberdeen. The sky condition is one of the key factors
223 determining the transmittance of dCCPC panel, the percentage coverage by different sky
224 conditions during the daytime of whole year for each location are demonstrated in Fig. 4.
225 The sky conditions are calculated according to the annual weather data and categorized by
226 sky clearness factor proposed by Perez, et al. (Perez et al., 1990). Because the performance
227 of dCCPC is determined by sky conditions, it is important to point out that the sky conditions
228 are calculated for the daytime simulations, while the sky conditions are assumed as overcast
229 sky in the night, that is, the transmittance of dCCPC under overcast sky is used as the
230 transmittance of dCCPC for night time in simulation. It can be found that the percentages of
231 clear sky are around or less than 10% for most cities, except for Lhasa, Los Angeles and
232 Miami. Aberdeen has the longest time of overcast sky. The overcast and overcast to
233 intermediate sky take about 90% time of the whole year.

234

Table 2. Locations and climates of simulated cities

Location	Latitude	Longitude	Köppen-Geiger climate classification		
Asia	China-Beijing	39.80°	116.47°	Dwa	Continental dry winter and hot summer climate
	China-Hong Kong	22.32°	114.17°	Cfa	Hot summer temperate without dry season climate
	China-Shanghai	31.17°	121.43°	Cfa	Hot summer temperate without dry season climate
	China-Lhasa	29.67°	91.13°	BSK	Arid steppe cold climate
	Philippines-Manila	14.52°	121.00°	Aw	Tropical savanna wet climate
	Thailand-Bangkok	13.92°	100.60°	Aw	Tropical savanna wet climate
Europe	Finland-Helsinki	60.32°	24.97°	Dfb	Warm summer continental without dry season climate
	UK-Aberdeen	57.20°	-2.22°	BSK	Arid steppe cold climate
	UK-Birmingham	52.45°	-1.73°	Cfb	Warm summer temperate without dry season climate
	Italy-Rome	41.80°	12.58°	Csa	Temperate dry and hot summer climate
	Sweden-Kiruna	67.82°	20.33°	Dfc	Hot summer continental without dry season climate
	Turkey-Istanbul	40.97°	28.82°	Csa	Temperate dry and hot summer climate
America	USA-Los Angeles	33.93°	-118.40°	Csa	Temperate dry and hot summer climate
	USA-Miami	25.80°	-80.27°	Aw	Tropical savanna wet climate



237

238 Fig. 4. Percentage of daytime for different sky conditions during a whole year for selected
239 locations

240 **3. Calculation of the transmittance of a tilted dCCPC from equivalent altitude**
241 **and azimuth angles and equivalent sky clearness factor**

242 The transmittance of dCCPC varies at every moment according to the sun position and sky
243 condition, particularly exhibiting a feature of acceptance angle, which is favourable for
244 daylighting control (Tian et al., 2017, Tian and Su, 2016, Tian and Su, 2018b). In order to
245 simulate the energy performance of building using dCCPC as skylight, calculating the variable
246 transmittance of dCCPC accurately for every simulation time step becomes the key to finish
247 the whole simulation of this study.

248 In our previous study (Tian and Su, 2018a), a multiple nonlinear regression model, as shown
249 in Eq. (1), has been proposed to correlate the transmittance of a horizontal dCCPC with the
250 altitude and azimuth angles and sky clearness factor, and the coefficient of determination
251 (R^2) is up to 0.944. However, when a dCCPC panel is used as skylights, its tilt angle should be
252 adjusted according to the local latitude to maximise solar utilization. In order to fit this
253 regression model, the equivalent altitude and azimuth angles and equivalent sky clearness
254 factor with reference to a tilted surface are proposed and applied to calculate the
255 transmittance of dCCPC used in the building energy simulation under given sky conditions in
256 this study, as expressed in Eq. (2). This section introduces how to calculate those and an
257 example of the whole process of calculating the transmittance of dCCPC in a specific
258 moment is given.

$$T_{dCCPC} = \begin{cases} a_1 \cos(b_1\theta_h + b_2) \cos(b_3\gamma + b_4) (c_1 + c_2\varepsilon + c_3\gamma + c_4\theta_h \\ + c_5\theta_h\gamma + c_6\varepsilon\gamma + c_7\theta_h\varepsilon + c_8\varepsilon^2\theta_h\gamma + c_9\theta_h^2\varepsilon\gamma + c_{10}\gamma^2\varepsilon\theta_h, & \varepsilon > 1.2 \\ + c_{11}\varepsilon^2\theta_h^2\gamma^2 + c_{12}\varepsilon^2 + c_{13}\theta_h^2 + c_{14}\gamma^2) + a_2 & \\ T_0, & 1 \leq \varepsilon \leq 1.2 \end{cases} \quad (1)$$

259

260 Where θ_h is altitude; γ is azimuth; ε is sky clearness factor; T_{dCCPC} is the transmittance of
 261 dCCPC; a_n, b_n, c_n are regression coefficients; T_0 is the transmittance of dCCPC under
 262 overcast sky.

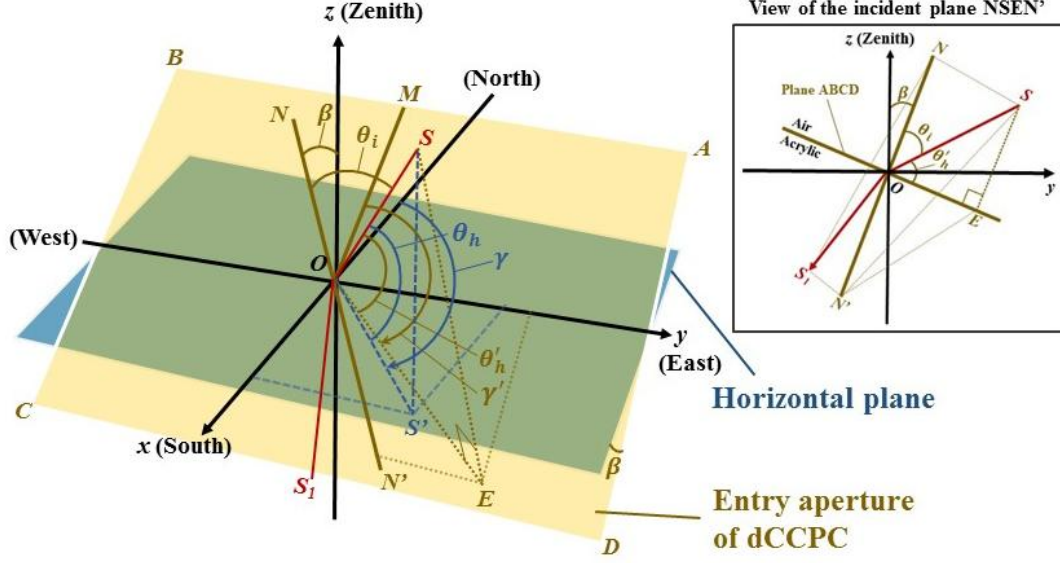
$$T_{dCCPC} = \begin{cases} a_1 \cos(b_1 \theta_h' + b_2) \cos(b_3 \Delta\gamma' + b_4) (c_1 + c_2 \varepsilon' + c_3 \Delta\gamma' + c_4 \theta_h' \\ \quad + c_5 \theta_h' \Delta\gamma' + c_6 \varepsilon' \Delta\gamma' + c_7 \theta_h' \varepsilon' + c_8 \varepsilon'^2 \theta_h' \Delta\gamma' + c_9 \theta_h'^2 \varepsilon' \Delta\gamma' \\ \quad + c_{10} \Delta\gamma'^2 \varepsilon' \theta_h' + c_{11} \varepsilon'^2 \theta_h'^2 \Delta\gamma'^2 + c_{12} \varepsilon'^2 + c_{13} \theta_h'^2 + c_{14} \Delta\gamma'^2) + a_2, & \varepsilon > 1.2 \\ T_0, & 1 \leq \varepsilon \leq 1.2 \end{cases} \quad (2)$$

263
 264 Where θ_h' is equivalent altitude (expressed in radian measure), $0^\circ < \theta_h' \leq 90^\circ$; $\Delta\gamma'$ is
 265 relative equivalent azimuth (expressed in radian measure), $0^\circ \leq \Delta\gamma' \leq 45^\circ$, and $\Delta\gamma' =$
 266 0° when the incident plane to the entry aperture of dCCPC is parallel to either side of its
 267 square entry aperture; ε' is equivalent sky clearness factor.

268 3.1. Description of coordinate system

269 For the purpose of calculating the equivalent altitude and azimuth angles of dCCPC, a
 270 coordinate system is applied as illustrated in Fig. 5. The south, east and zenith directions are
 271 represented by x, y and z axis respectively. The incident sunlight is denoted by vector \overline{SO} .
 272 The actual altitude and azimuth are indicated by θ_h and γ . To obtain the best result of
 273 controlling daylight by dCCPC, the dCCPC would be tilted to the south when it is applied in
 274 the northern hemisphere. The entry aperture (top surface) of dCCPC, which is also the
 275 interface between air and dielectric material, is denoted by the plane ABCD. The plane ABCD
 276 is south-tilted by β from the horizontal plane, which stands for the tilt angle β of dCCPC, and
 277 which is also the angle between the surface normal line NN' of the plane ABCD and the z axis.
 278 M is the point lying on the surface ABCD and the direction of \overline{OM} refers to the equivalent
 279 north direction of the plane ABCD; the projection of \overline{OM} on the horizontal plane coincides
 280 exactly with the x axis. The vector \overline{SO} refers to the incident ray and the vector \overline{OS}_1 indicates
 281 the refracted ray. S' is the projection of point S onto the horizontal, and E is the projection of
 282 point S onto the plane ABCD. Thus, in terms of the sun position, γ is the actual azimuth and
 283 the angle between \overline{OM} and \overline{OE} ($\angle MOE$) is the equivalent azimuth γ' for the entry aperture
 284 of tilted dCCPC; the angle between \overline{OS} and \overline{OS}' is the actual solar altitude θ_h and the angle
 285 between \overline{OS} and \overline{OE} is the equivalent altitude θ_h' . The surface NSEN' is the plane of
 286 incidence, and the line OS_1 lies on this plane. The angle between the vector \overline{OS} and the
 287 vector \overline{ON} is the incident angle θ_i on the entry aperture of dCCPC.

288



289

290 Fig. 5. Coordinate system of an optical path into a south-facing tilted dCCPC. S: sun position;
 291 \overrightarrow{SO} : incident ray; $\overrightarrow{OS_1}$: refracted ray; ABCD: entry aperture of tilted dCCPC; β : tilt angle; NN':
 292 surface normal of the plane ABCD; E: projection of point S onto the plane ABCD; S':
 293 projection of point S onto the horizontal plane; \overrightarrow{OM} : equivalent north direction of the plane
 294 ABCD; γ' : equivalent solar azimuth angle.

295 3.2. Calculation of equivalent altitude angle

296 It is assumed that the lengths of the vector \overrightarrow{OS} and \overrightarrow{ON} are 1. The coordinates of point S and
 297 N can be expressed by:

$$298 S(-\cos \theta_h \cos \gamma, \cos \theta_h \sin \gamma, \sin \theta_h) \text{ and } N(\sin \beta, 0, \cos \beta);$$

299 The vector \overrightarrow{OS} and \overrightarrow{ON} can be defined as:

$$\overrightarrow{OS} = (-\cos \theta_h \cos \gamma, \cos \theta_h \sin \gamma, \sin \theta_h) \quad (4)$$

300 and
$$\overrightarrow{ON} = (\sin \beta, 0, \cos \beta) \quad (5)$$

301 Then the angle between \overrightarrow{OS} and \overrightarrow{ON} , that is, the incident angle θ_i , can be calculated by:

$$\cos \theta_i = \frac{\overrightarrow{OS} \cdot \overrightarrow{ON}}{|\overrightarrow{OS}| \cdot |\overrightarrow{ON}|} = -\cos \theta_h \cos \gamma \sin \beta + \sin \theta_h \cos \beta \quad (6)$$

302 Hence, the incident angle is

$$\theta_i = \arccos(\cos \theta_i) \quad (7)$$

303 And the equivalent altitude of tilted dCCPC is:

$$\theta'_h = \frac{\pi}{2} - \theta_i \quad (8)$$

304 3.3. Calculation of equivalent azimuth angle

305 For the right triangle SOE with hypotenuse SO,

$$|\overline{ES}| = |\overline{OS}| \cdot \cos \theta_i = \cos \theta_i \quad (9)$$

306 In addition, because \overline{ON} and \overline{ES} are two parallel vectors, the vector of \overline{ES} can be expressed
307 as:

$$\overline{ES} = (\cos \theta_i \sin \beta, 0, \cos \theta_i \cos \beta) \quad (10)$$

308 The vector \overline{EO} can be calculated by:

$$\overline{EO} = \overline{ES} + \overline{SO} \quad (11)$$

309 Where $\overline{SO} = (\cos \theta_h \cos \gamma, -\cos \theta_h \sin \gamma, -\sin \theta_h)$ (12)

310 Thus,

$$\overline{EO} = (\cos \theta_h \cos \gamma + \cos \theta_i \sin \beta, -\cos \theta_h \sin \gamma, \cos \theta_i \cos \beta - \sin \theta_h) \quad (13)$$

311 The vector \overline{OM} is the equivalent north direction on the plane ABCD and the length of it is
312 assumed to be 1. The coordinates of point M is:

$$M(-\cos \beta, 0, \sin \beta)$$

313 The angle γ' is the equivalent azimuth angle on the plane ABCD, which is defined by

$$\cos \gamma' = \frac{\overline{EO} \cdot \overline{OM}}{|\overline{EO}| \cdot |\overline{OM}|} \quad (14)$$

$$\cos \gamma' = \frac{-\cos \theta_h \cos \gamma \cos \beta - \sin \theta_h \sin \beta}{\sqrt{(\cos \theta_h \cos \gamma + \cos \theta_i \sin \beta)^2 + \cos^2 \theta_h \sin^2 \gamma + (\cos \theta_i \cos \beta - \sin \theta_h)^2}}$$

314 (15)

315 Considering the symmetry of dCCPC, only the range of 0°- 45° for the relative equivalent
316 azimuth angle $\Delta\gamma'$ with reference to the symmetry needs to be used in calculating the
317 transmittance of dCCPC. The relative equivalent azimuth angle $\Delta\gamma'$ can be given from γ' with
318 reference to either of two symmetry lines of dCCPC:

$$\Delta\gamma' = \begin{cases} \arccos(\cos \gamma') & \text{if } \arccos(\cos \gamma') \leq 45^\circ \\ 90^\circ - \arccos(\cos \gamma') & \text{if } 45^\circ < \arccos(\cos \gamma') < 90^\circ \\ \arccos(\cos \gamma') - 90^\circ & \text{if } 90^\circ \leq \arccos(\cos \gamma') \leq 135^\circ \\ 180^\circ - \arccos(\cos \gamma') & \text{if } 135^\circ < \arccos(\cos \gamma') \leq 180^\circ \end{cases}$$

320 (16)

321 Similarly, Equation (16) can be repeated for the range of 180°- 360°.

322 **3.4. Example of calculating transmittance of dCCPC for random location, time and sky** 323 **condition**

324 An example of calculating the transmittance of dCCPC will be presented in this section in
325 details. The location of Birmingham, UK(52.45°N, 1.73°W) and the local time 11am on 21st
326 Dec were selected as an example. According to the EnergyPlus weather data (EnergyPlus,

327 n.d.), the solar altitude θ_h is 12.8° , solar azimuth γ is 164.7° , and the direct normal
 328 irradiance I is 294W/m^2 . The total irradiance I_{total} on the entry aperture of tilted dCCPC is
 329 273 W/m^2 which was obtained by the simulation in Daysim using the EnergyPlus weather
 330 data.

331 In order to have a more daylighting control in summer, the tilt angle β of dCCPC was
 332 determined to be 37.55° .

333 From Eq. (4)-(8), the equivalent altitude θ'_h is

$$\theta'_h = 90^\circ - \arccos[-\cos\theta_h \cos\gamma \sin\beta + \sin\theta_h \cos\beta] = 48.48^\circ \quad (17)$$

334 From Eq. (4)-(16), the relative equivalent azimuth $\Delta\gamma'$ can be calculated as 22.86° .

335 In order to calculate the transmittance of dCCPC, the equivalent sky clearness factor ε' is
 336 also required. The sky clearness factor ε is proposed in the sky model by Perez et al. (Perez
 337 et al., 1990): When $1 \leq \varepsilon \leq 1.2$, it refers to overcast sky; $\varepsilon \approx 1.2\sim 2$ represents overcast to
 338 intermediate sky; $\varepsilon \approx 2\sim 3$ indicates intermediate to clear sky; when $\varepsilon > 3$, it implies clear
 339 sky. According to the equation of calculating the sky clearness factor, the equivalent sky
 340 clearness factor can be expressed as

$$\varepsilon' = \frac{\frac{(I'_h + I')}{I'_h} + kZ'^3}{1 + kZ'^3} \quad (18)$$

341 where I' is equivalent direct normal solar irradiance; I'_h is equivalent diffuse horizontal
 342 irradiance; k is a constant and equals 1.041 for Z' in radians; Z' is equivalent solar zenith
 343 angle in radians. The values of I' , I'_h and Z' could be obtained as shown in Table 3.

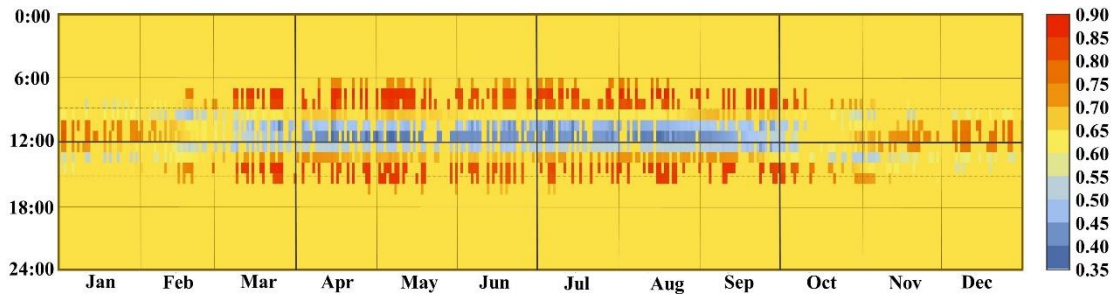
344 The equivalent sky clearness factor ε' is 3.98 according to Eq. (18). Therefore, the
 345 transmittance of dCCPC can be calculated by Eq. (2) and the value of transmittance is 0.72.
 346 In addition, the transmittance obtained by Photopia simulation is 0.75, which provides a
 347 good agreement with the calculated result. All of the values obtained in example calculation
 348 are summarized in Table 3 below.

349 Table 3. Summary of the calculation process and values of symbols used in Example

Term	Calculation formula	Value of example	Step No.
β	$90^\circ - \text{Latitude}$	37.55°	1
$\Delta\gamma'$	Eq. (4)-(16)	22.86°	2
θ'_h	Eq. (17)	48.48°	3
Z'	$90^\circ - \theta'_h$	41.52°	4
I'	$I \cos\theta_i$	220.13 W/m^2	4
I'_h	$I_{total} - I'$	52.87 W/m^2	4
ε'	Eq. (18)	3.98	5
T_{dCCPC} from calculation	Eq. (2)	0.72	6
T_{dCCPC} from simulation	N/A	0.75	N/A

350

351 An example of hourly transmittance for a whole year when the dCCPC is used in Birmingham,
 352 UK (52.45°N, 1.73°W) are shown in Fig. 6. It can be found that the transmittance is lower in
 353 the morning and afternoon and higher at noon from November to February, and the
 354 transmittance variations are reversed from March to Oct. This actually indicates the
 355 daylighting control function of dCCPC.



356

357 Fig. 6. Hourly transmittance of dCCPC for a whole year in Birmingham

357

358 4. Results of energy performance

358

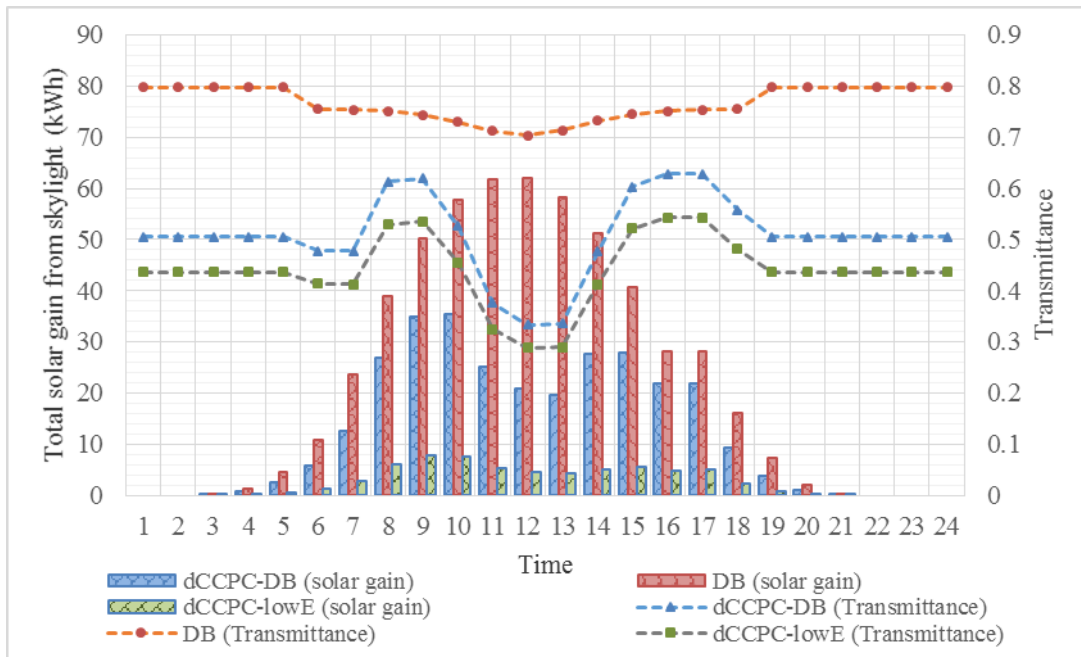
359 4.1. An example of variations of hourly energy consumption

359

360 The particular characteristics of dCCPC panel is that its transmittance can vary with the sun
 361 position and sky condition. Before demonstrating the annual energy performance of building,
 362 a set of example results of Birmingham are provided to show the hourly variations of energy
 363 consumption, solar heat gain, skylight transmittance and sky conditions. In Fig. 7 and Fig. 8,
 364 it can be found how the transmittance of dCCPC-DB and dCCPC-lowE skylight panels varies
 365 with the sun position and sky clearness factor, and how they affect the solar heat gain and
 366 thermal load of building. The example city chosen is Birmingham, UK (52.45°N, 1.73°W), and
 367 the date is 22nd Jun which is a typical day in summer. Three kinds of skylights are compared,
 368 which are standard double glazing (DB), double glazing with a dCCPC layer (dCCPC-DB) and
 369 double glazing with low-E coating and a dCCPC layer (dCCPC-LowE).

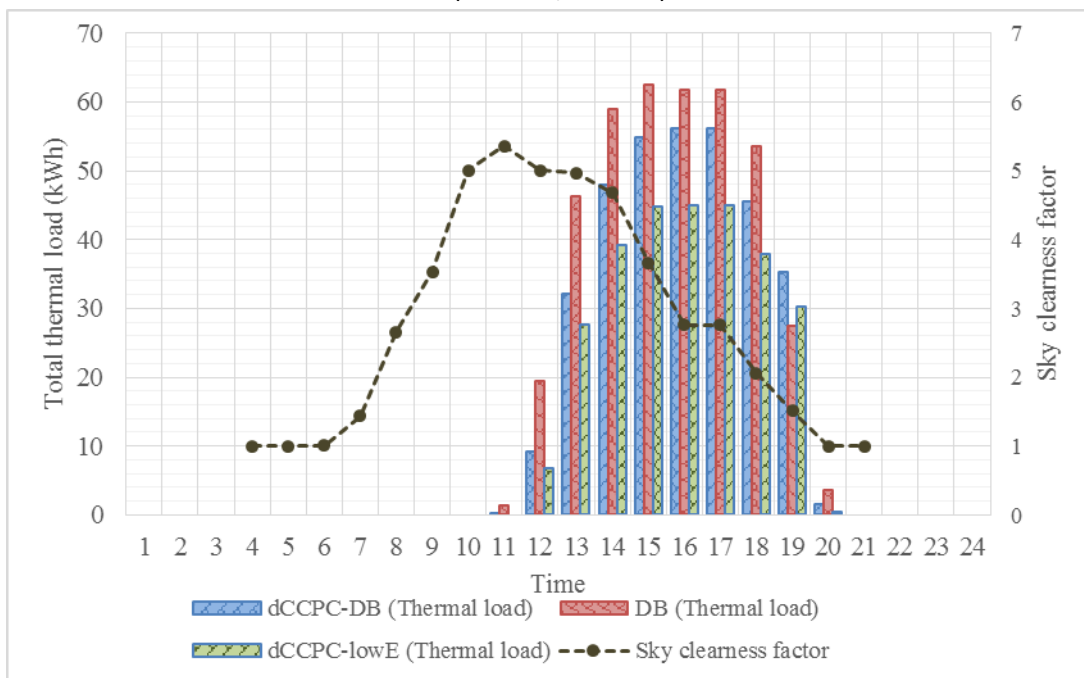
370 Based on the sky clearness factor shown in Fig. 8, the sky is clear from 9am to 3pm, and the
 371 sky is intermediate or overcast in the morning and afternoon. In Fig. 7, the transmittance of
 372 DB stays almost constant about 0.8 and changes slightly as a result of Fresnel effect. The
 373 transmittance of dCCPC-DB and dCCPC-lowE varies as time goes on: the transmittance is
 374 higher in the morning and afternoon, and it becomes lower at noon. The total solar heat
 375 gain from skylight is affected by the transmittance significantly. For DB, the solar gain
 376 becomes higher from morning to noon, and then drops down in the afternoon. For dCCPC-
 377 DB, the solar gain also goes higher from morning to noon and decreases in the afternoon,
 378 but the solar gain is reduced at 11am, 12pm and 1pm due to the low transmittance at noon.
 379 For dCCPC-lowE, the total solar gain is less than 10kWh for all the time and has similar
 380 tendencies with dCCPC-DB. In terms of hourly solar gain, dCCPC-DB reduces more than half
 381 of the solar gain compared with DB. The solar gain by dCCPC-lowE is about a quarter of
 382 dCCPC-DB owing to the lower transmittance and SHGC. The solar gain also affects the total
 383 thermal load. In Birmingham on 22nd Jun, only cooling load is required. In Fig. 8, it is
 384 important to note that the thermal load here indicates cooling load because only cooling is
 385 required in this day. It can be seen that the demand of cooling starts from 11am and
 386 becomes high in the afternoon. Due to the less solar gain through dCCPC-DB and dCCPC-

387 lowE, the cooling load of using these two skylights are less than that of using DB except 7pm.
 388 The reason is that at 19:00, outdoor illuminance becomes low and artificial lighting is
 389 required for dCCPC-DB and dCCPC-lowE. Lighting causes more thermal load so that the
 390 thermal load of DB is smaller at this time. For 12pm, 1pm and 2pm, when the solar gain from
 391 dCCPC-DB and dCCPC-lowE are much less than DB, more than 1/3 of cooling requirement are
 392 saved by dCCPC-DB and dCCPC-lowE compared to DB. The total cooling load savings of
 393 dCCPC-DB and dCCPC-lowE are 14.5% and 30% respectively for the whole day of 22nd Jun
 394 comparing with double glazing (DB).



395

396 Fig. 7. Hourly sol from skylights and transmittance of skylights on 22nd Jun in Birmingham, UK
 397 (52.45°N, 1.73°W)



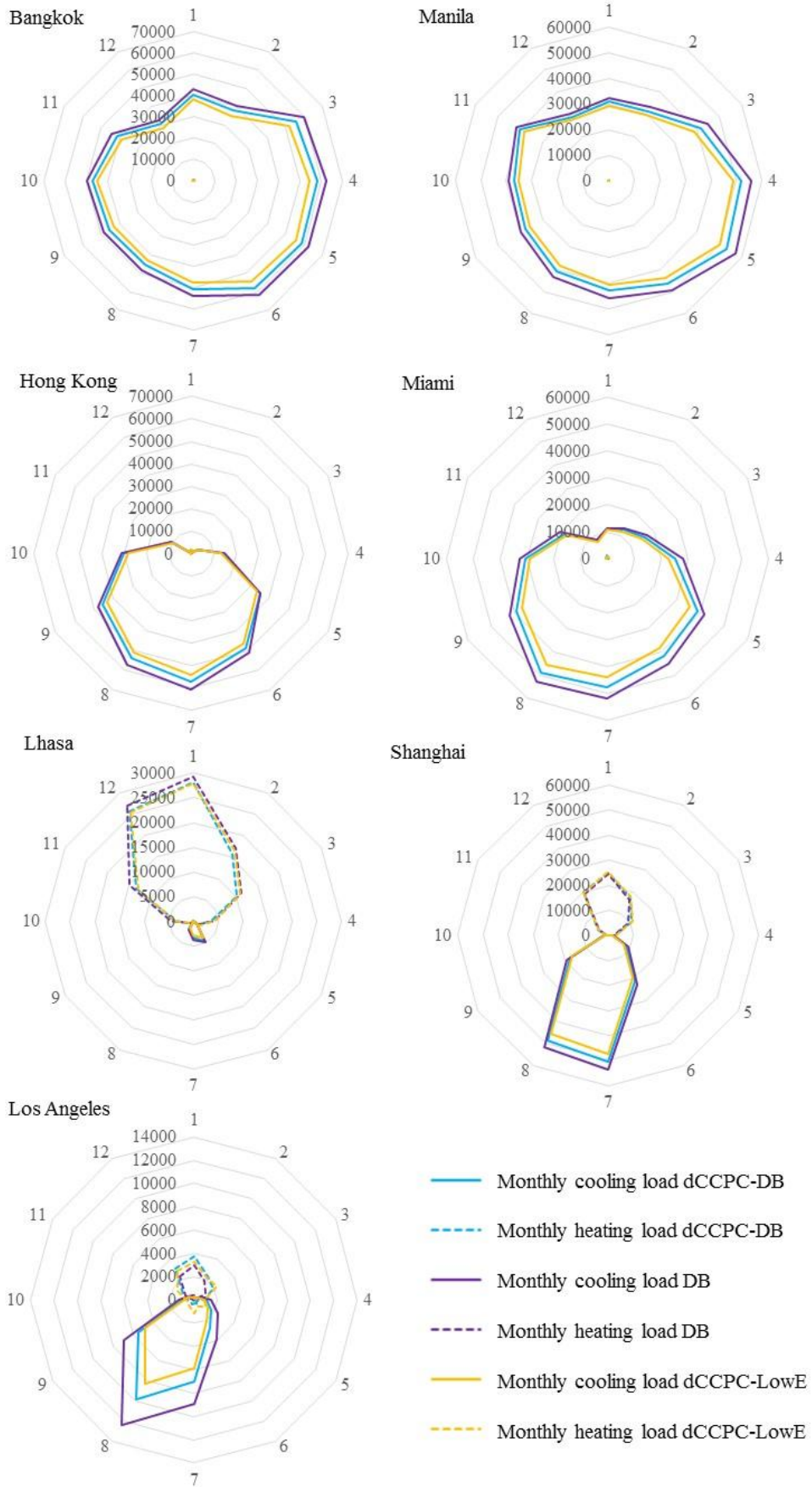
398

399 Fig. 8. Hourly total thermal load (cooling and/or heating) and sky clearness factor on 22nd Jun
400 in Birmingham, UK (52.45°N, 1.73°W)

401 **4.2. Monthly and annual thermal load**

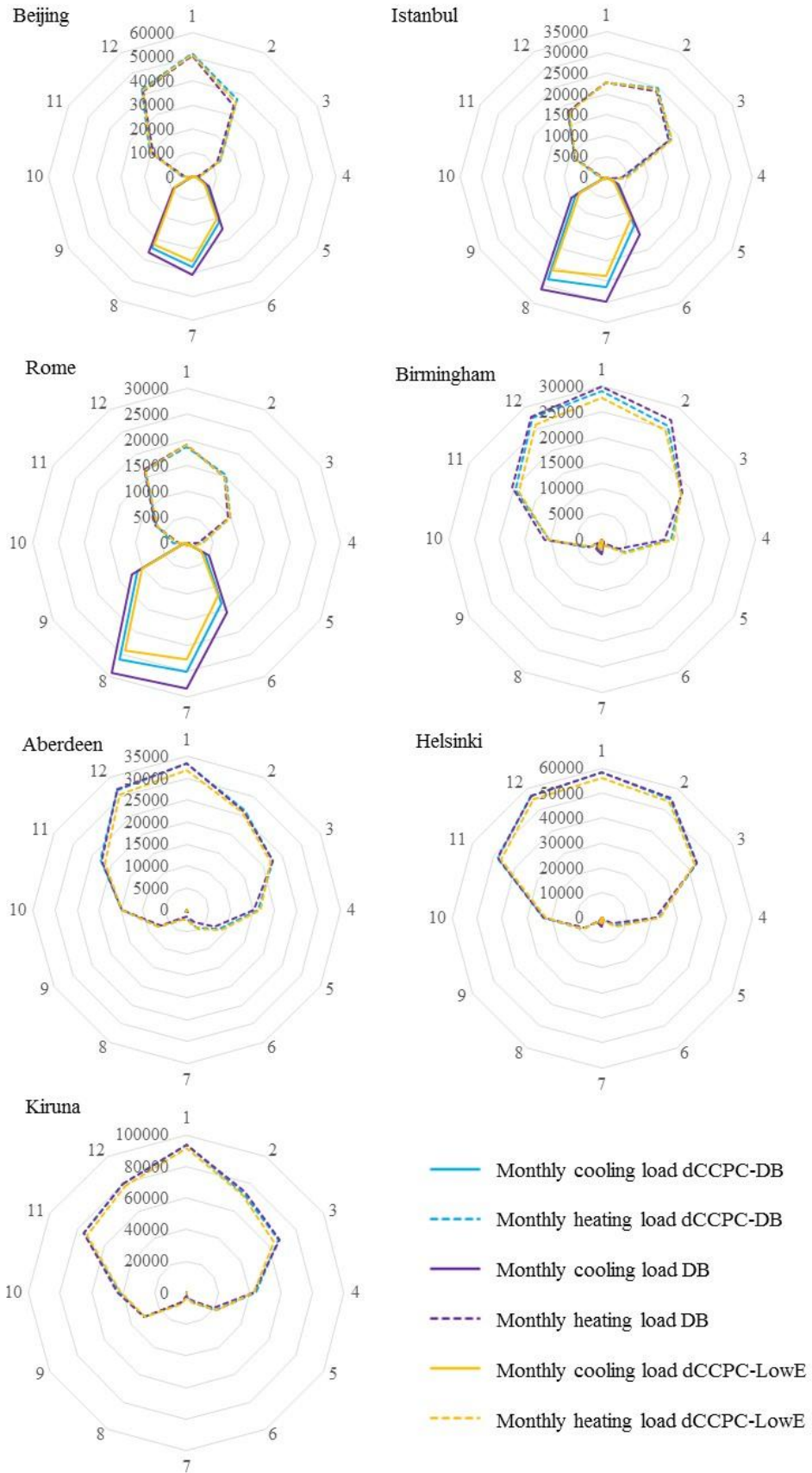
402 Based on the annual weather data and detailed model settings, the results of cooling and
403 heating load of the example building are obtained and compared in this section. Fig. 9(a) and
404 Fig. 9(b) illustrates the data of monthly cooling and heating loads when the building utilizes
405 double glazing (DB), double glazing with dCCPC layer (dCCPC-DB) and low-E double glazing
406 with dCCPC layer (dCCPC-lowE) as skylights. This radar chart is provided aiming to provide a
407 comprehensive idea of how dCCPC-DB and dCCPC-lowE affects cooling and heating loads
408 comparing with DB, that is, increase or decrease or stay same for different locations in
409 different seasons. The quantity of thermal load variations were given in Fig. 10 in detail. For
410 each radar chart, the labelled number from 1-12 represents the months from January to
411 December throughout the year. The solid and dashed lines indicate the cooling and heating
412 load of building with different skylights respectively. In general view, the locations can be
413 categorized into three types, which are the locations where the building has cooling load
414 only, has heating load only and has both cooling and heating loads. For the first type, the
415 locations are Hong Kong, Miami, Bangkok and Manila. The cooling load provides obvious
416 decreases especially in summer time when the skylights using the window with dCCPC layer.
417 Due to the lower value of solar heat gain coefficient (SHGC), the low-E glazing with dCCPC
418 (dCCPC-lowE) provides more reduction than the common double glazing with dCCPC
419 (dCCPC-DB). For the locations with heating load only, e.g. Lhasa, Kiruna, Aberdeen,
420 Birmingham and Helsinki, it can be found that the savings on heating load are not as much as
421 on cooling load, even the heating load after using dCCPC window is more than that of using
422 double glazing in some months. For the locations in which building needs cooling and
423 heating, like Los Angeles, Rome, Beijing, Shanghai and Istanbul, similar results are obtained.
424 The skylights with dCCPC layer can reduce cooling load in summer, and these reductions are
425 quite much in some specific months and locations, for example, the July, August and
426 September in Los Angeles, the July and August in Rome and Istanbul. Generally speaking,
427 dCCPC and low-E coating can reduce cooling load effectively, but the low SHGC can also lead
428 to the increase of heating load in cold seasons. Balances should be found to save the total
429 energy consumption on both cooling and heating for building.

430



(a). for the latitude range of 13°N -34°N

431
432



433

434

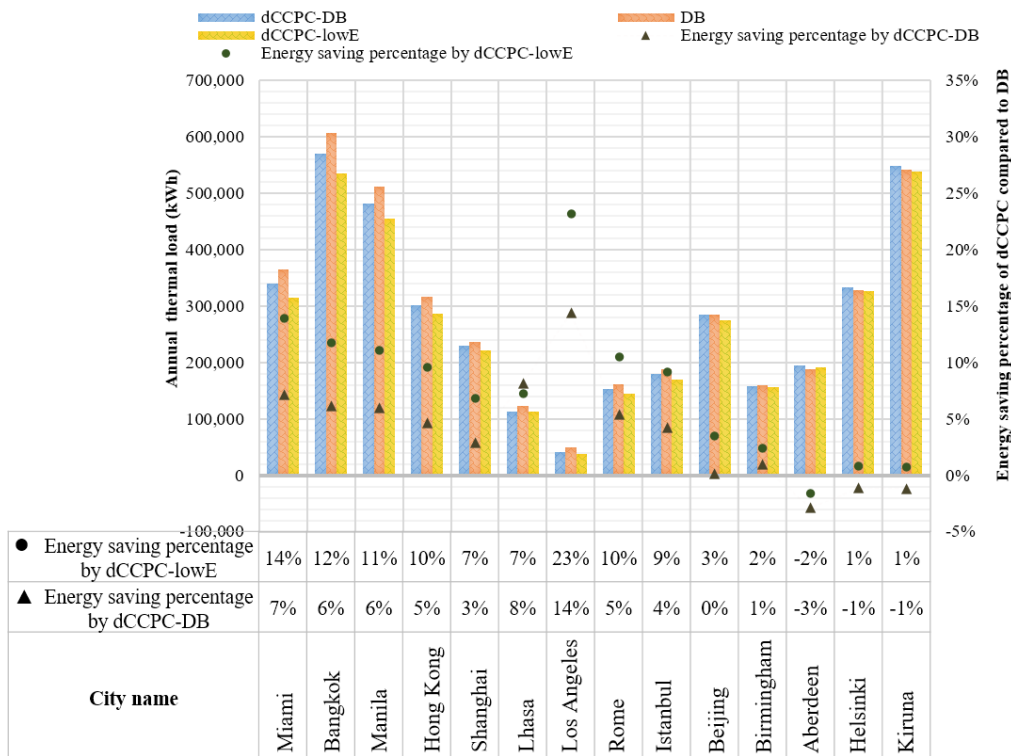
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436

(b). for the latitude range of 34°N -68°N

Fig. 9. Monthly cooling and heating loads for the example building in 14 cities (Latitude: 13°N -68°N) with DB, dCCPC-DB and dCCPC-lowE as skylights, respectively

437 The annual thermal load for the sum of cooling and heating loads in the example building is
 438 summarized in Fig. 10, in which the effects of the skylights with dCCPC layer on the total
 439 thermal load are illustrated. The cities are arranged by climate category firstly. The climates
 440 are ordered from low to high altitude. In each climate type, the cities are ordered by the
 441 time percentage of clear sky from long to short. As is known from Fig. 9(a) and Fig. 9(b), the
 442 effects of dCCPC is mainly on reducing cooling load by preventing solar heat gain. On the
 443 contrary, it will also result in increasing heating load. Thus, after combining the variations on
 444 heating and cooling load, it provides different results compared to the result of either
 445 cooling or heating shown in Fig. 9(a) and Fig. 9(b). It was found that the thermal loads have
 446 slightly decreases (1%-3%) for the cold locations, like Helsinki, Kiruna and Aberdeen, which
 447 may be not suitable for using dCCPC. For the locations having cold winter, such as Beijing
 448 and Birmingham, heating takes more than half of the total thermal load, the reduction in
 449 thermal load by dCCPC are quite low (< 5%). In these locations, cold seasons are long and
 450 solar gain from window are expected to be as much as possible in winter to reduce heating
 451 load. It is important to point out that Lhasa is an exception among cold locations in which
 452 the thermal load of building is decreased after using dCCPC. Although most of the time
 453 during the whole year in Lhasa is cold, the clear sky takes about 65% of daytime during the
 454 whole year so that the annual solar radiation reaches 7.2GJ/m² which is extremely strong
 455 (Wu et al., 2015). Form the annual cooling load, it can be seen that using dCCPC-DB and
 456 dCCPC-lowE reduces 10% and 24% cooling load respectively compared to using traditional
 457 double glazing. They also lead to reductions in heating load in winter time. The reason is
 458 because the dCCPC layer causes lower transmittance of skylights so that more artificial
 459 lighting is required. The thermal energy from lighting offsets some requirements for heating.
 460 For the locations having long hot seasons, the window with dCCPC provides outstanding
 461 performance of reducing total thermal load. Use of dCCPC-lowE reduces up to 23% of annual
 462 thermal load compared with DB for Los Angeles, from 10% to 14% for Hong Kong, Rome,
 463 Miami, Bangkok and Manila. The reduction in heating and cooling load by dCCPC-DB also
 464 ranges from 5% to 10% for these locations.

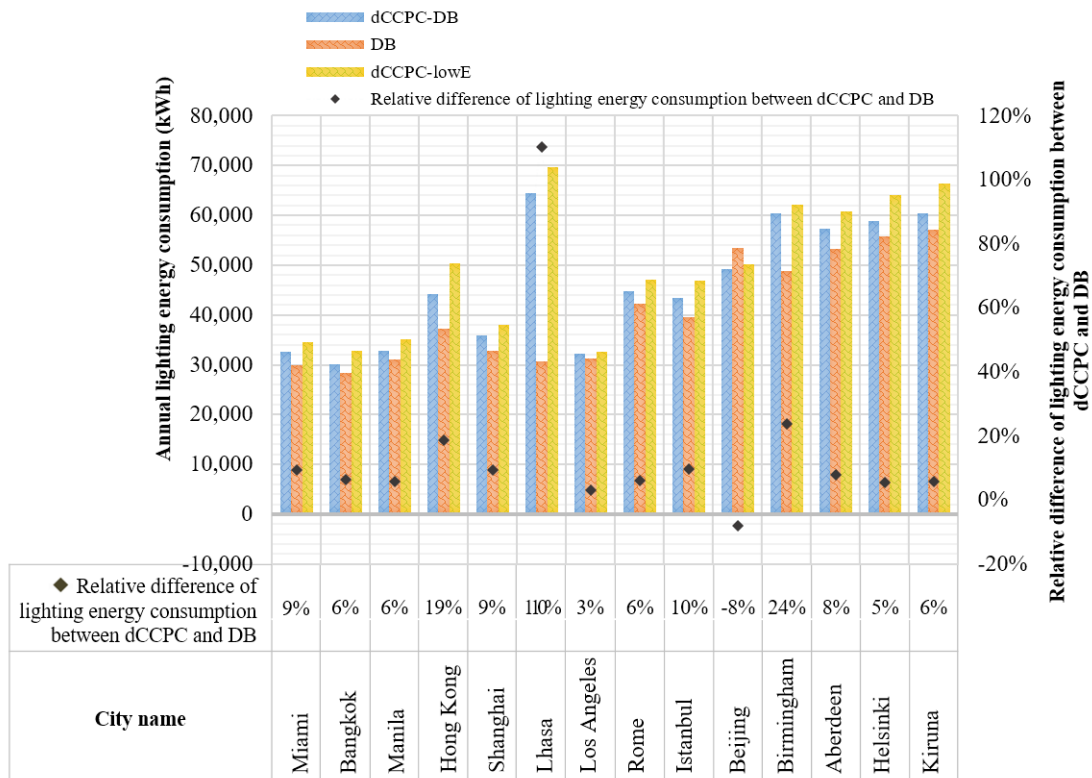


465

466 Fig. 10. Annual thermal load of the example building with dCCPC-DB, DB and dCCPC-lowE as
467 skylights, respectively

468 **4.3. Energy consumption of artificial lighting**

469 Although dCCPC provides effective daylight control, when it is integrated with standard or
470 low-E double glazing, its transmittance is smaller than that of traditional double glazing.
471 Thus, more artificial lighting may be required to guarantee the indoor illuminance level. The
472 annual electricity demand of artificial lighting is demonstrated in Fig. 11, together with the
473 percentage of relative difference of lighting consumption between using dCCPC-DB and DB
474 as skylights. Because the difference in the amount of annual lighting energy consumptions
475 between using dCCPC-DB and dCCPC-lowE for each city is quite small and less than 3%, the
476 percentage difference of using dCCPC-lowE is not shown in Figure. It can be seen that the
477 lighting energy consumption is increased by about 6% when using the skylights with dCCPC
478 layer in general, except for Beijing. It has been discussed that dCCPC has the advantage of
479 diffusing incident light. When the sun is in lower position, traditional double glazing cannot
480 provide a relatively large bright-area, but the dCCPC could lit larger space through diffusing.
481 In Beijing, the sky conditions are possible to be intermediate or clear when the sun is low,
482 and less lighting is needed when the dCCPC is used. For the locations with lower solar
483 radiation and longer time of overcast sky, i.e. the time of overcast and overcast to
484 intermediate sky is more than 80%, for instance, Helsinki, Birmingham, Kiruna and Aberdeen,
485 dCCPC causes relatively large increase on the demand of artificial lighting. The results also
486 demonstrate that Hong Kong is an exception of the cities located in low latitude. Utilizing
487 dCCPC causes 19% increase of lighting energy consumption. The reason is because Hong
488 Kong has the opposite condition with Beijing: during the time when sun is low, more of the
489 sky conditions in Hong Kong is likely to be overcast, and light is prevented by dCCPC causing
490 much more demand on lighting. It is also important to mention another exception of Lhasa.
491 Lhasa has strong direct sunlight and long-time clear sky conditions (about 65%). Although
492 the outdoor illuminance will be extremely high sometime, e.g. 90klux, it is still rare case.
493 Thus, dCCPC performs low transmittance, e.g. 0.3-0.4, during these time periods so that
494 much more lighting is needed. However, shading requirement is not considered in this
495 simulation. But it can be speculated that the normal double glazing can provide extreme
496 bright indoor environment as well as the very high indoor illuminance level in Lhasa, and
497 shading should be a necessary requirement to provide a comfort visual environment. The
498 energy consumed by artificial lighting should be larger than the results presented under such
499 circumstances.



500

501 Fig. 11. Annual lighting energy consumption of the example building with dCCPC-DB, DB and
 502 dCCPC-lowE as skylights, respectively

503 The energy consumption of a building mainly consists of electricity usage of artificial lighting,
 504 electricity usage of equipment and energy consumption of heating and cooling system. As
 505 discussed in previous sections, dCCPC can reduce total thermal load but increase lighting
 506 usage, and the variation of lighting caused by dCCPC can also lead to the change of thermal
 507 load. It is important to investigate the interactions among different energy usage sectors. In
 508 the energy simulations in this study, it is assumed that all of the systems and schedules are
 509 same. Thus the electricity usage of equipment is assumed to be same for different locations.
 510 The lighting and heating/cooling energy demands are the only two aspects that should be
 511 considered to evaluate the performance of using the dCCPC skylights. Fig. 12 shows the
 512 comparisons of the total energy consumptions of lighting, cooling and heating when utilizing
 513 DB, dCCPC-DB and dCCPC-lowE as skylights. It can be found that for the locations with long
 514 hot seasons such as Los Angeles, Miami, Bangkok and Manila, a considerable reductions of
 515 up to 13% (dCCPC-lowE) and 8% (dCCPC-DB) occur in total energy consumption. A small
 516 reduction of 1%-5% can be obtained by utilizing dCCPC for the locations having temperate
 517 and continental climates, e.g. Beijing, Shanghai, Rome, Istanbul and Hong Kong. For the
 518 locations having long cold seasons like Birmingham, Aberdeen, Helsinki and Kiruna, the
 519 reduction in solar gain by dCCPC leads to more energy consumption in heating load and
 520 artificial lighting.

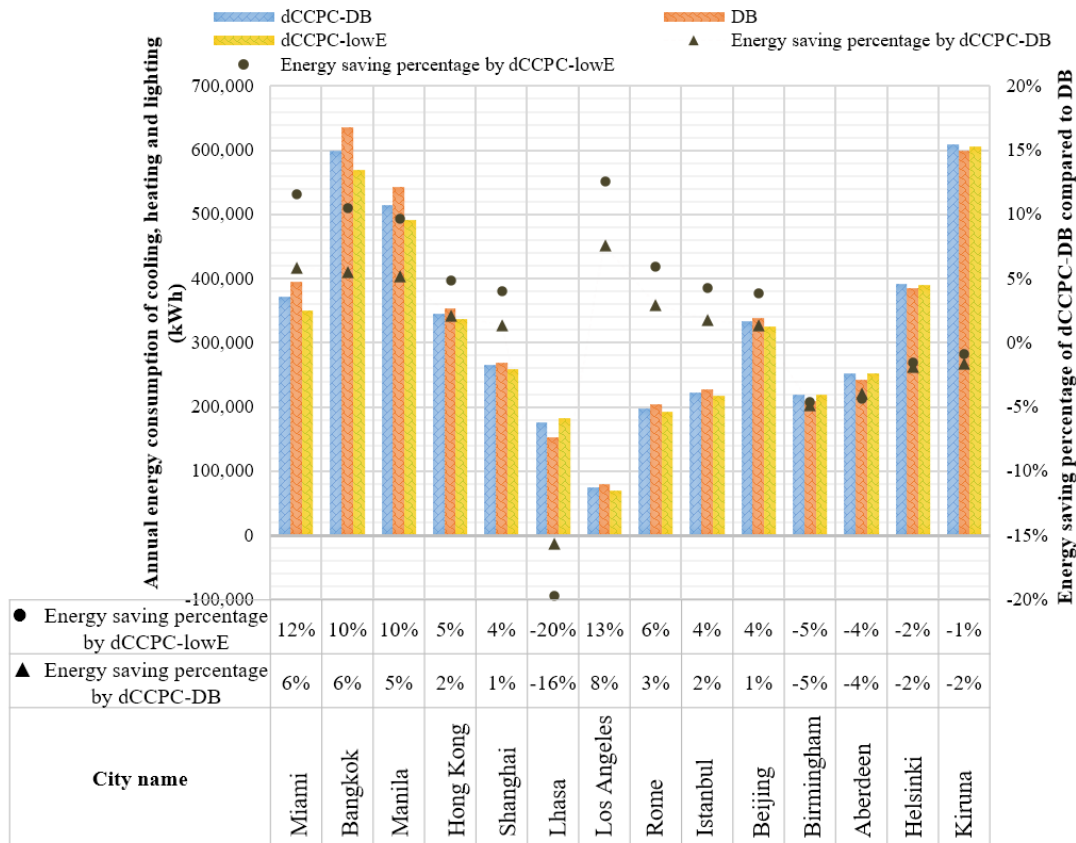


Fig. 12. Annual energy consumption of cooling, heating and lighting for the example building with dCCPC-DB, DB and dCCPC-lowE as skylights, respectively

4.4. Model validation and discussion

To input to the building energy simulation in this study, the variable transmittance of the studied skylights, dCCPC-DB and dCCPC-lowE, were according to the sky condition and solar angles of given time and location using the pre-determined mathematical model (Tian and Su, 2018a) and daylight simulation in Grasshopper. An experiment was taken to validate the accuracy of this part of simulation model calculating the variable transmittance of dCCPC skylight panels. The experiment was conducted in Hefei, China (N 31°N, 117°E) for a dCCPC element with a tilt angle of 8° facing south. The measurement was taken from 9:10am to 12:00pm on 20th Sep under a changing sky condition between typical overcast sky, intermediate sky and clear sky.

Table 4 demonstrates the values of simulation and measured results of dCCPC skylights under different sky conditions. It was found that almost all of the deviations between experiment and simulation results are smaller than 10%, only the deviation at 10:50am are about 16% which may be caused by the occasional experimental error. The root-mean-square-error (RMSE) of the two data sets are 3.33% and 2.89% respectively, which are quite small and can prove the precision and reliability of the transmittance prediction model.

543
544

Table 4. Validation of transmittance prediction for dCCPC skylights in building energy simulation

Local time	Sky condition	dCCPC-double glazing (dCCPC-DB)			dCCPC-lowE		
		Experiment results	Simulation results	Errors	Experiment results	Simulation results	Errors
9:10	overcast	0.51	0.51	1.6%	0.45	0.44	1.2%
9:20	intermediate	0.63	0.57	10.8%	0.55	0.50	10.4%
9:30	overcast	0.58	0.55	6.7%	0.51	0.48	6.3%
9:40	overcast	0.54	0.51	5.3%	0.47	0.45	4.9%
9:50	clear	0.56	0.57	2.4%	0.48	0.50	2.8%
10:00	clear	0.55	0.52	4.7%	0.48	0.46	4.3%
10:10	clear	0.53	0.51	3.0%	0.46	0.45	2.6%
10:20	clear	0.50	0.51	2.8%	0.43	0.45	3.2%
10:30	intermediate	0.47	0.51	7.1%	0.41	0.44	7.5%
10:40	intermediate	0.42	0.47	9.6%	0.37	0.41	10.0%
10:50	clear	0.46	0.40	16.1%	0.40	0.35	15.6%
11:00	clear	0.36	0.37	1.8%	0.32	0.32	2.1%
11:10	clear	0.39	0.40	4.1%	0.34	0.35	4.4%
11:20	clear	0.31	0.33	5.7%	0.27	0.29	6.0%
11:30	overcast	0.45	0.50	9.1%	0.39	0.43	9.5%
11:40	overcast	0.45	0.49	7.9%	0.39	0.43	8.2%
11:50	intermediate	0.47	0.46	1.6%	0.41	0.40	1.2%
12:00	clear	0.38	0.35	10.0%	0.33	0.30	9.6%
RMSE		3.33%			2.89%		

545

546 This paper aims to provide an idea of the feasibility of using dCCPC panel as skylights in
547 different locations with various climates, with a focus to show how the proposed
548 mathematical model of transmittance can be incorporated in a building energy simulation.
549 Therefore the office building used for simulation in this study is assumed to be a typical
550 single-story air-conditioned building according to the CIBSE Guide (CIBSE, 2000), which is
551 expected to be a benchmark office building to show the overall effect of dCCPC skylights on
552 building energy consumption.

553 The energy simulation of building was initiated from Grasshopper which integrates several
554 popular simulation engines such as EnergyPlus, Radiance and Daysim. The accuracy of these
555 simulation software packages has been verified in many studies. EnergyPlus is a famous tool
556 for simulating energy consumption of building, developed by the US Department of Energy
557 and released in 2001. In recent decades, many researchers (Tabares-Velasco et al., 2012,
558 Mateus et al., 2014, Sang et al., 2017, Zhang et al., 2018, Rhodes et al., 2015) have used and
559 validated this software in their works related to building energy. The availability and
560 reliability of EnergyPlus has been highlighted and proved. For example, Anđelković et al.
561 (Anđelković et al., 2016) proceeded a long term research to validate the reliability of
562 EnergyPlus by comparing the simulation and experiment results in surface temperature, air
563 temperature and air velocity. The results highlight a very good agreement and high-level
564 matching between simulation and measured results. In the study provided by Dahanayake
565 and Chow (Dahanayake and Chow, 2017) who investigated the energy performance of a

566 building with vertical greenery systems, the results provided by EnergyPlus also shows a
567 good agreement with experiment results. In the research provided by Shabunko et al.
568 (Shabunko et al., 2018), they compared the energy consumptions of three types of real
569 buildings and their simulation models. The RMSE value of energy use intensity falls below 7%
570 of simulation models which proved the good accuracy of EnergyPlus in providing engineering
571 models to predict building energy consumption. Radiance is a versatile tool for lighting
572 simulation and a physically based renders with available source code, which is a highly
573 accurate ray-tracing software for UNIX computers (BerkeleyLab). The simulation utilize a
574 backwards ray-tracing method with extensions to solve the rendering equation efficiently
575 under most conditions (Ward). Daysim is a Radiance-based simulation tool for analysing the
576 daylighting, shading and lighting control system in building (Jakubiec and Reinhart, 2012).
577 There are many studies validated their accuracy in lighting simulation (Grobe, 2018, Kim et
578 al., 2018, Pagliolico et al., 2017, Mangkuto et al., 2016, Manzan, 2014, Dabe and Adane,
579 2018). In the research provided by Jakubiec and Reinhart (Jakubiec and Reinhart, 2013), the
580 errors of simulation and test results range between 3.6% and 5.3% when investigating the
581 annual urban irradiation by Daysim. Yun and Kim (Yun and Kim, 2013) used EnergyPlus and
582 Daysim to validate the lighting energy consumption of a building, and found that Daysim
583 provides quite close values of lighting power fraction and lighting energy consumption with
584 measured results. Su et al. (Su et al., 2012) simulated the optical performance of lens-walled
585 CPC in ray tracing, flux distribution and optical efficiency by Radiance. The results are
586 compared with the results by the commercial optical analysis software Photopia, and the
587 average relative difference between them is within 5%. Acosta et al. (Acosta et al., 2015)
588 proposed that Daysim shows the sufficient accuracy to obtain credible results as a lighting
589 simulation program after comparing several different lighting simulation software based on
590 the test cases established by the CIE (CIE, 2006).

591 As described above, the proposed mathematical model of transmittance for dCCPC skylights
592 was validated in an outdoor experiment with a good accuracy, and also those building
593 energy simulation software packages have proved accurate enough, therefore,
594 incorporation of the proposed mathematical model in the building energy simulation
595 software can offer a cost effective way to evaluate the viability of dCCPC skylights in
596 buildings. It will be ideal to be followed by the field test of dCCPC skylights in a real building,
597 but due to the resource constriction, it is a regret that a corresponding experiment was
598 unable to be implemented in the current study. However, it is expected and recommended
599 to proceed this field test in a further work.

600 **5. Conclusion and recommendation**

601 Considering the daylighting control feature of a miniature dielectric crossed compound
602 parabolic concentrator (dCCPC) panel, this study has investigated its effects in terms of
603 energy saving by simulating an example office building with dCCPC panel as skylights. In
604 order to do this, calculation of variable transmittance of dCCPC panel has been introduced in
605 an innovative way by using a multiple nonlinear regression model and definition of
606 equivalent altitude and azimuth angles for a tilted surface. In particular, Grasshopper has
607 been used to programme this model and link it to building energy simulation. To evaluate
608 the suitability of dCCPC panels for different locations, 14 cities in the northern hemisphere
609 with the latitude ranging from 13° to 67° have been selected for simulation study. Three
610 types of skylights are compared, which are standard double glazing (DB), double glazing with

611 dCCPC layer (dCCPC-DB), and double glazing with dCCPC layer and low-E coating (dCCPC-
612 lowE).

613 The key findings of this paper can be summarized into following points:

614 1) In general, dCCPC panel as skylights can reduce cooling load due to effectively
615 mitigating solar heat gain. However, it also causes increases of heating load and
616 artificial lighting energy consumption. The energy performance of a building with dCCPC
617 skylights is also related to the local climate conditions such as solar irradiation and
618 temperature.

619 2) The dCCPC skylight is more suitable for the cities having long summer time, such as
620 Bangkok, Manila, Miami, and Los Angeles. The reduction of thermal load is up to 23%
621 and the total energy saving could reach 13%.

622 3) The dCCPC skylight is more effective under clear sky conditions. For example, Los
623 Angeles (23% reduction of thermal load) is the best choice for using dCCPC due to its
624 longest period of clear sky among the cities with long hot seasons.

625 4) For the cities with continental climates, only the place with prevalent clear sky is
626 appropriate for using dCCPC skylight. For instance, in Beijing, Rome, Hong Kong and
627 Shanghai, dCCPC could decrease the annual thermal load by 3% to 10%. Considering the
628 lighting energy consumption, the total energy saving ranges from 1% to 5% in these
629 cities.

630 5) The dCCPC skylight is not suitable for the cities with long cold seasons, e.g. Aberdeen,
631 Birmingham, Helsinki and Kiruna. The reduction of solar gain by dCCPC leads to more
632 energy consumption in heating load and artificial lighting. Using dCCPC in these cities
633 leads to 1%-5% increase of total annual energy consumption.

634 6) In terms of optical properties, dCCPC is recommended for all locations for the purpose
635 of glare control, especially for the cities with strong solar radiation.

636 The further work about dCCPC is suggested to be proceeded in the following aspects. Firstly,
637 different shading devices should be considered and glare analysis are recommended to be
638 taken to evaluate the dCCPC effects on indoor visual environment comparing with
639 traditional glazing, and then the energy analysis in this study could be updated by
640 considering various shading devices. Secondly, an experiment implemented in a real building
641 was highly recommended to verify the simulated effect of dCCPC skylight on building energy
642 and visual environment. Thirdly, considering the great potential of utilizing dCCPC as
643 skylights in diffusing direct sunlight and energy saving of building, the asymmetric dCCPC is
644 suggested for investigating its feasibility in daylighting control as vertical building facade.
645 Finally, the economic analysis of dCCPC could be taken to evaluate its viability in practical
646 application.

647

648 **Acknowledgements**

649 The authors would like to thank the European Commission for the Marie Skłodowska-Curie
650 Fellowship grants (H2020-MSCA-IF-2014-658217, H2020-MSCA-IF-2015-703746). We would
651 also like to thank Dr. Mark Jongewaard from Photopia for creating intermediate sky models
652 for this study.

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Figures

Figure 1

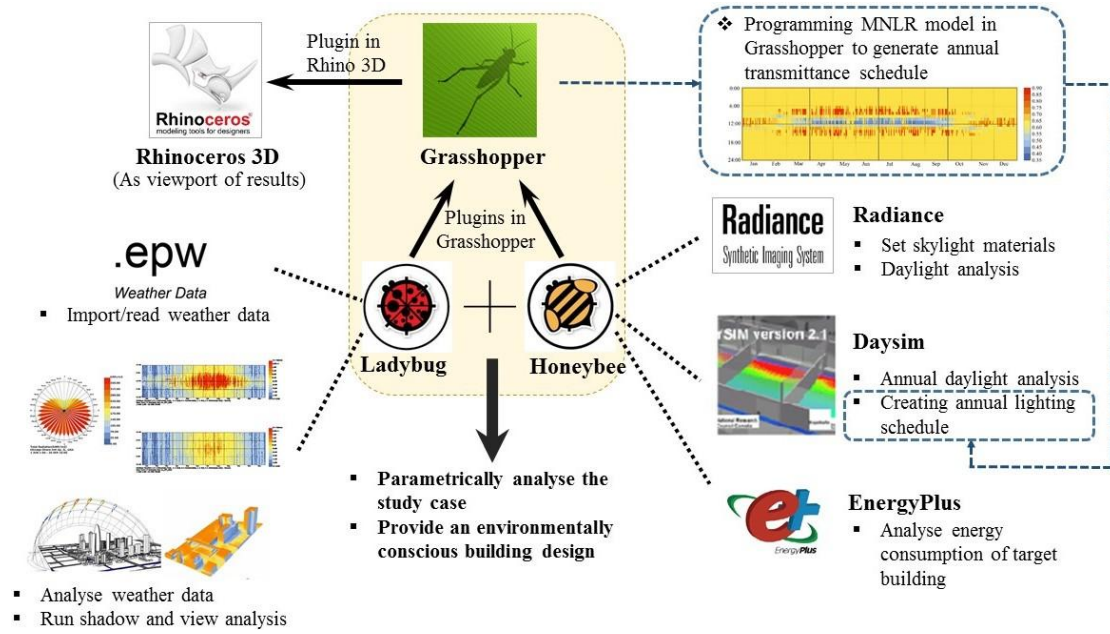


Figure 2

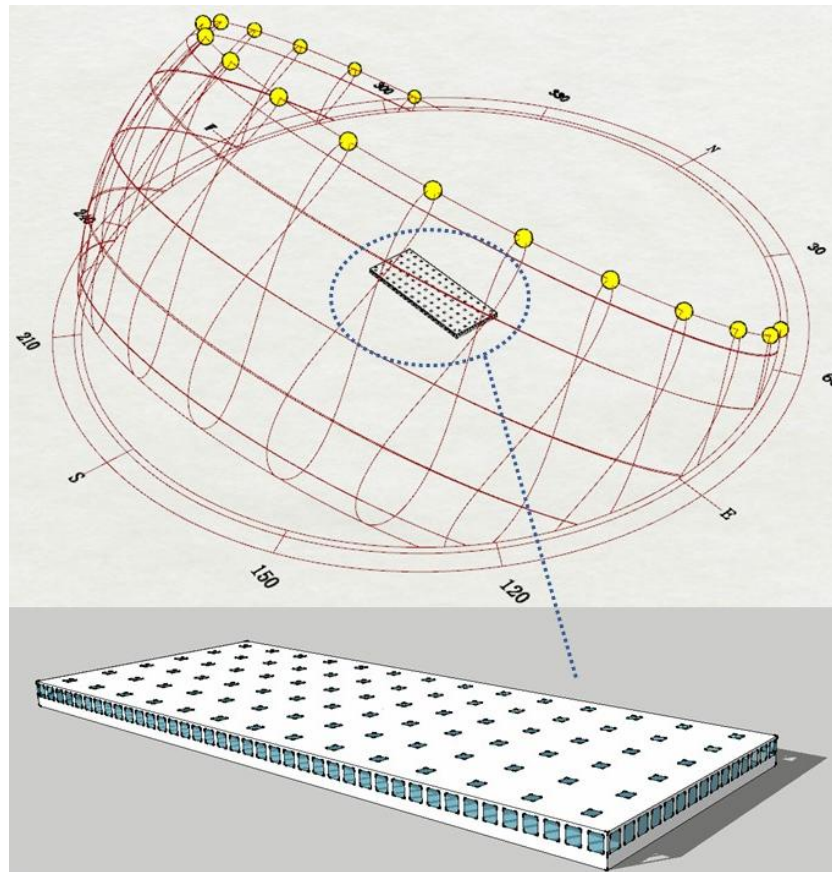


Figure 3

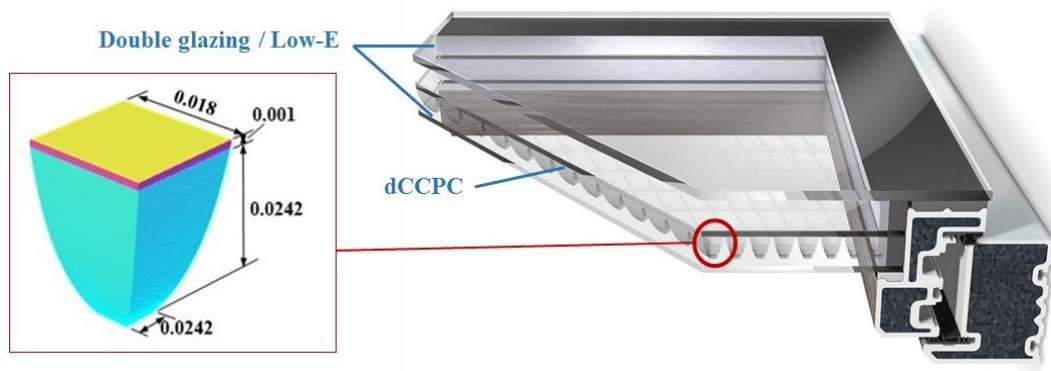


Figure 4

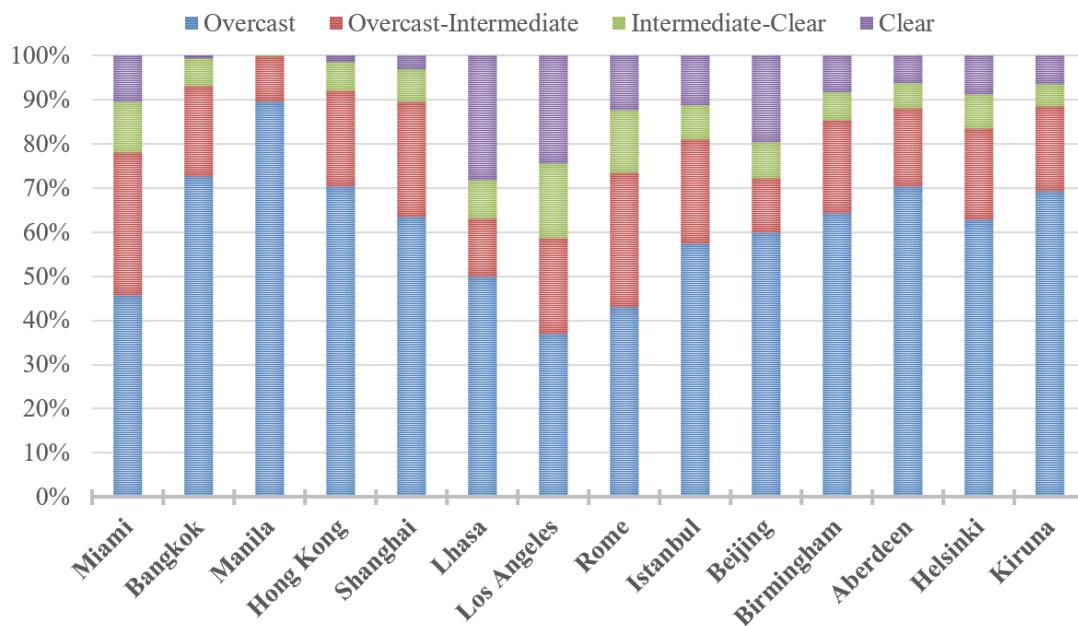


Figure 5

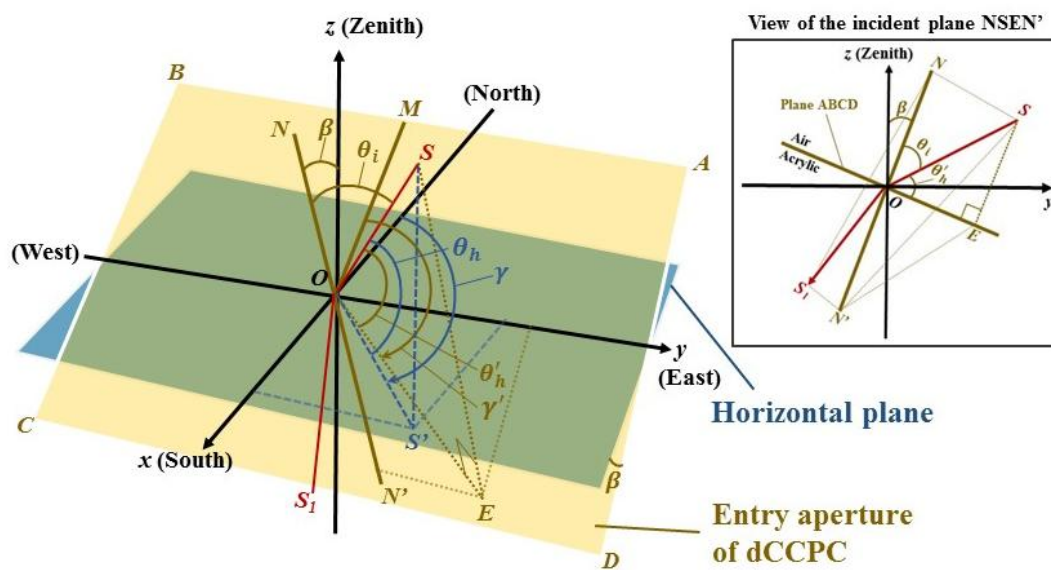


Figure 6

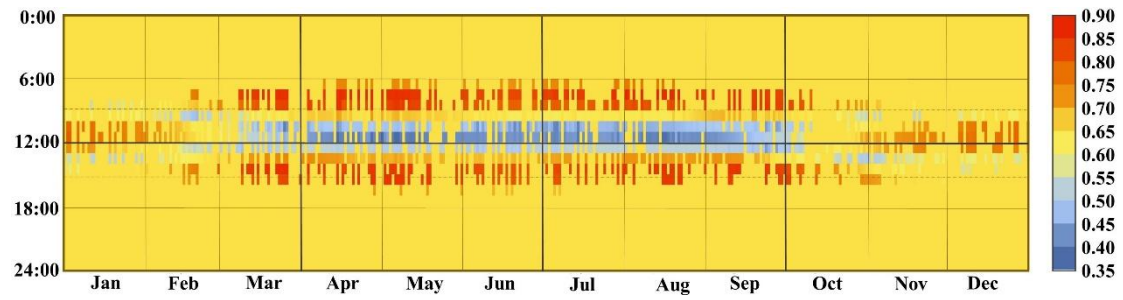


Figure 7

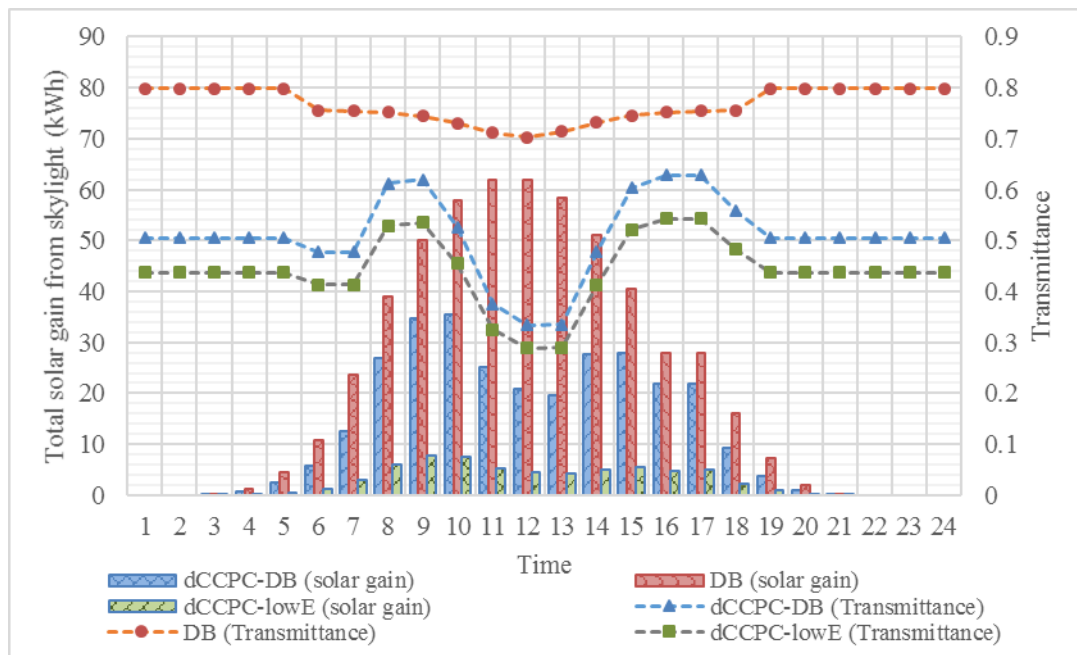


Figure 8

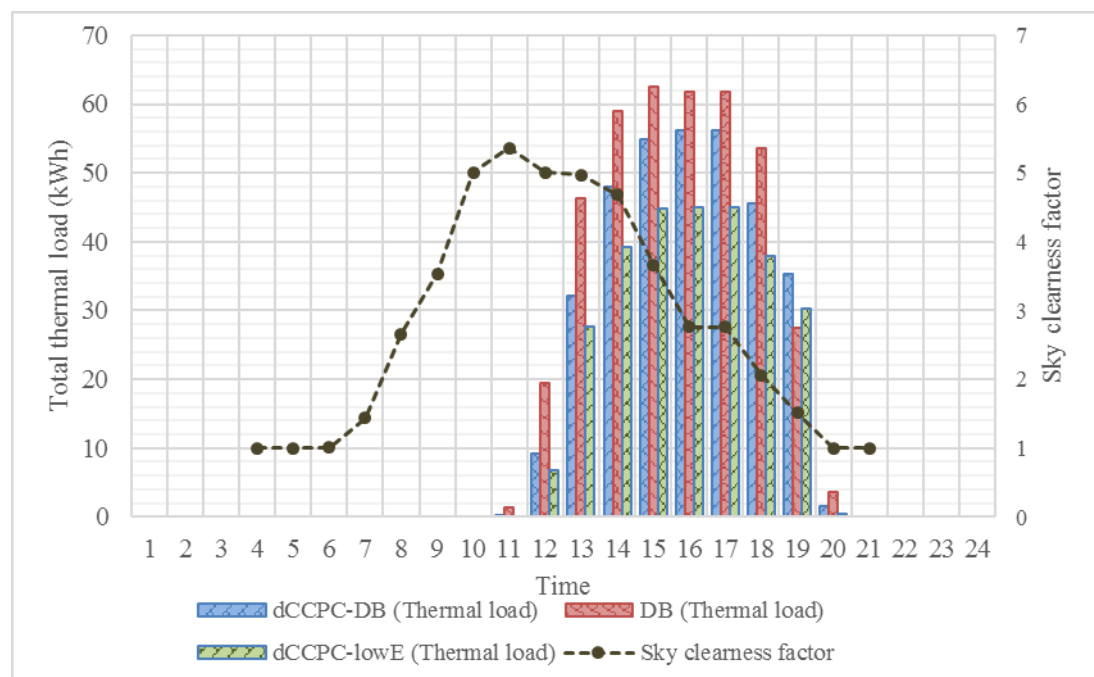


Figure 9 (a)

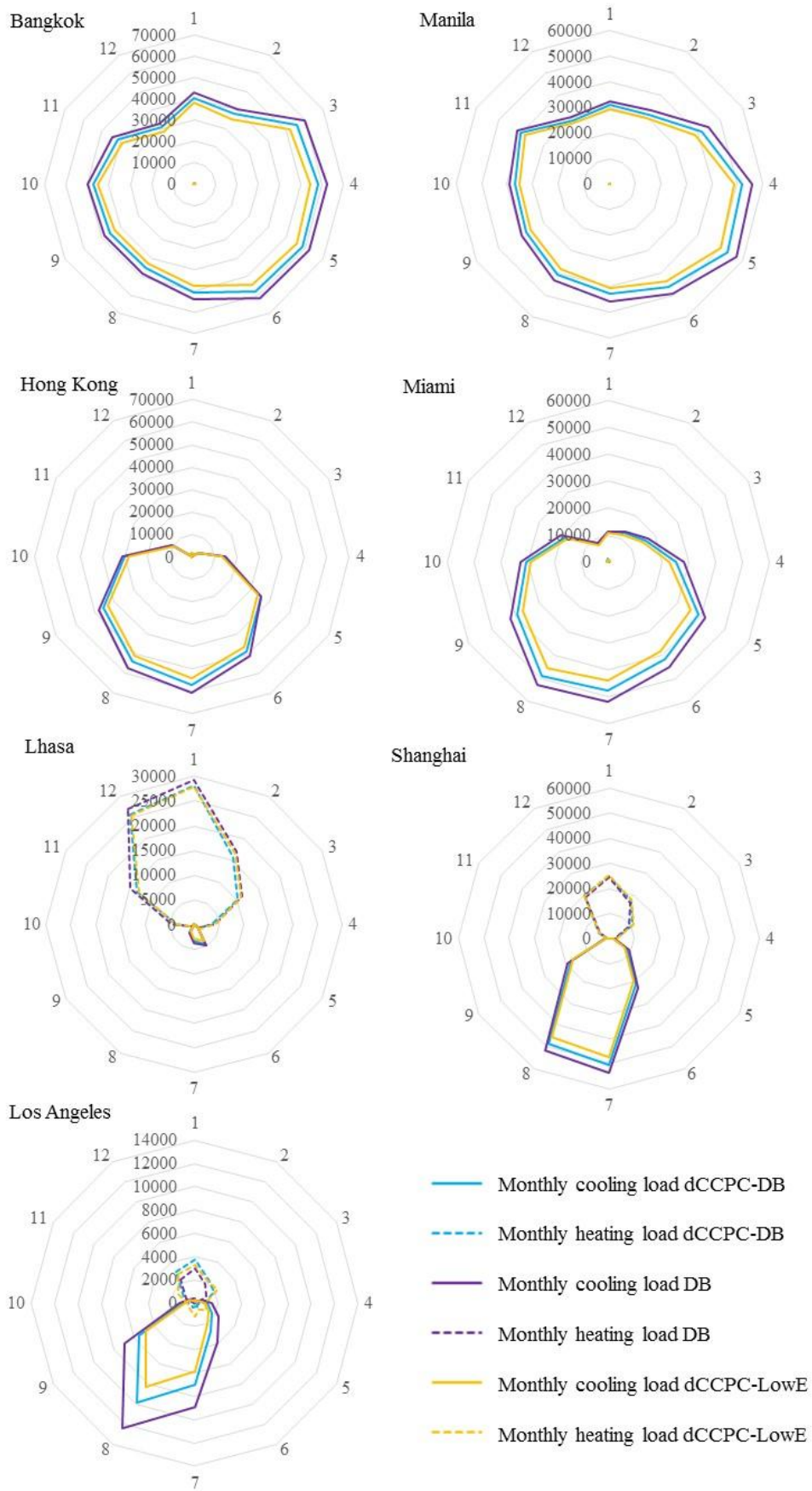


Figure 9(b)

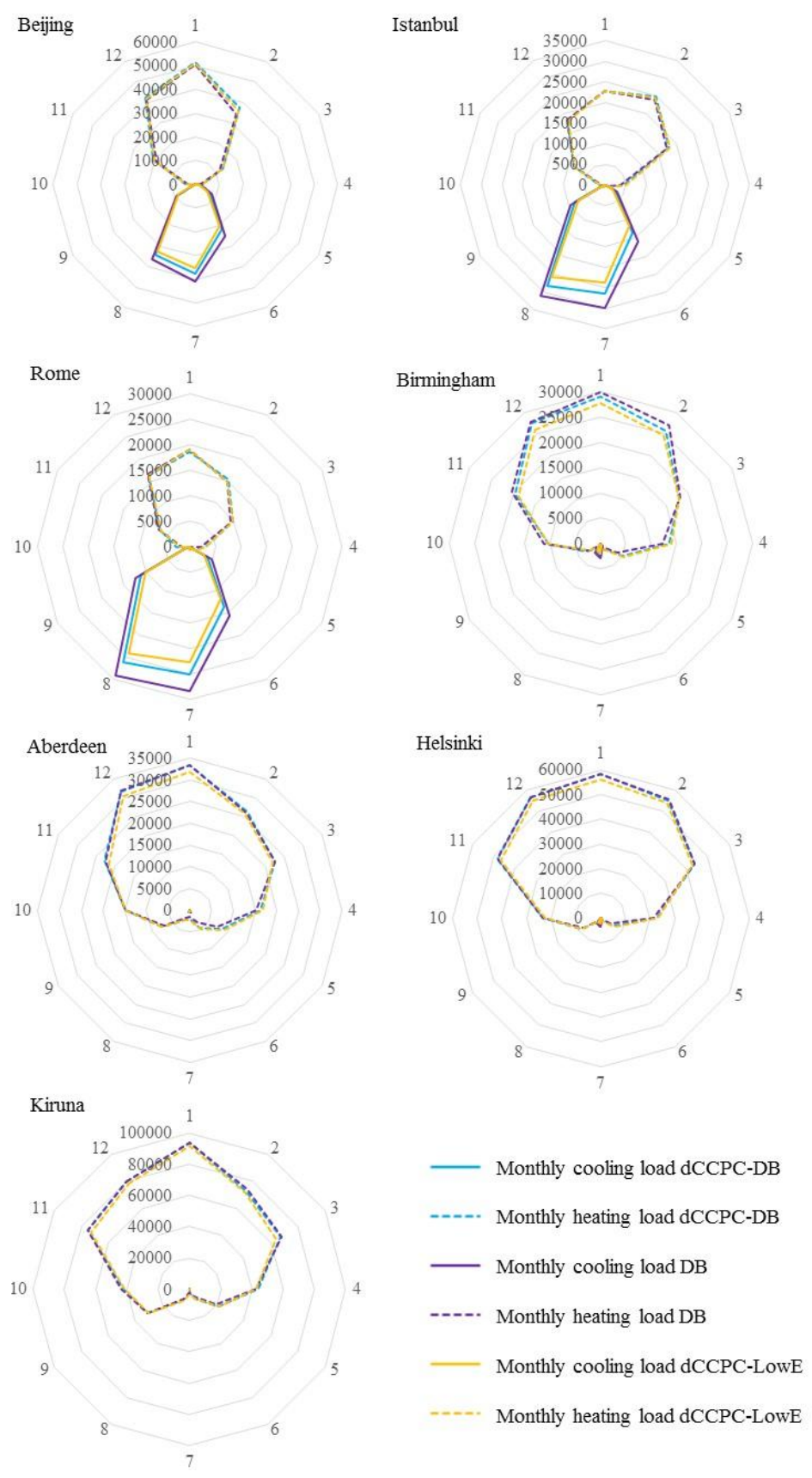


Figure 10

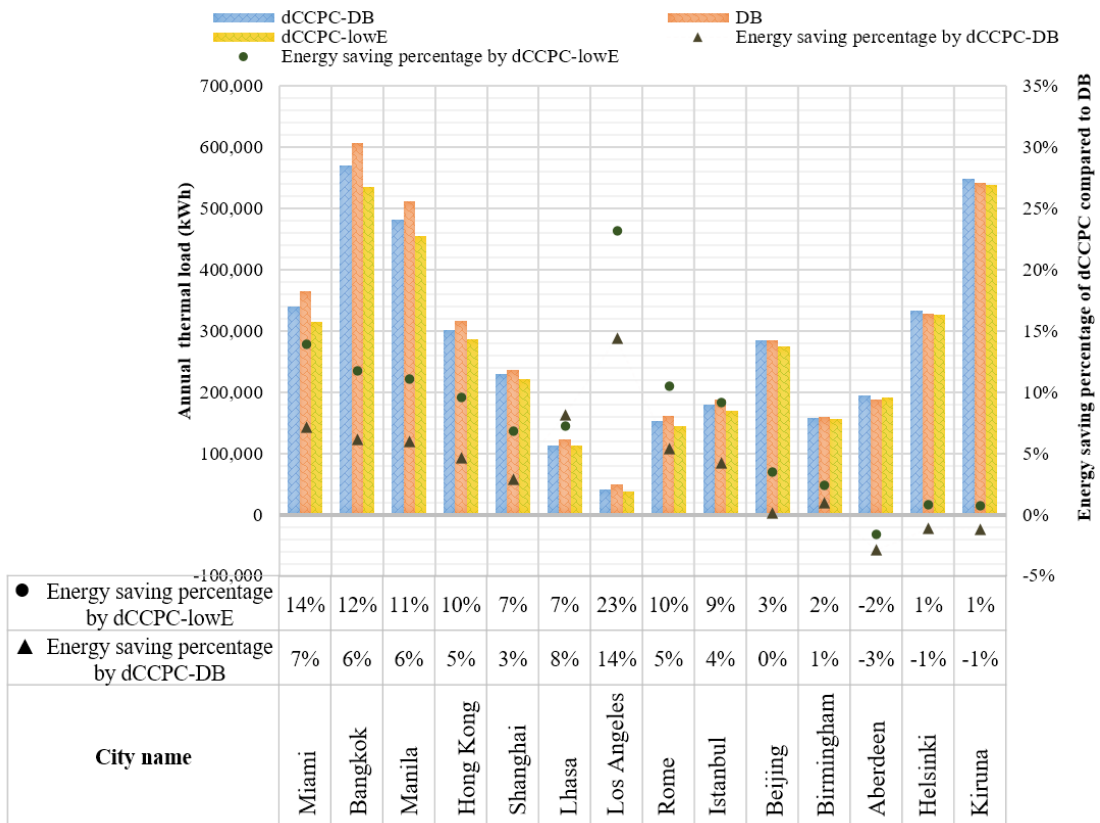


Figure 11

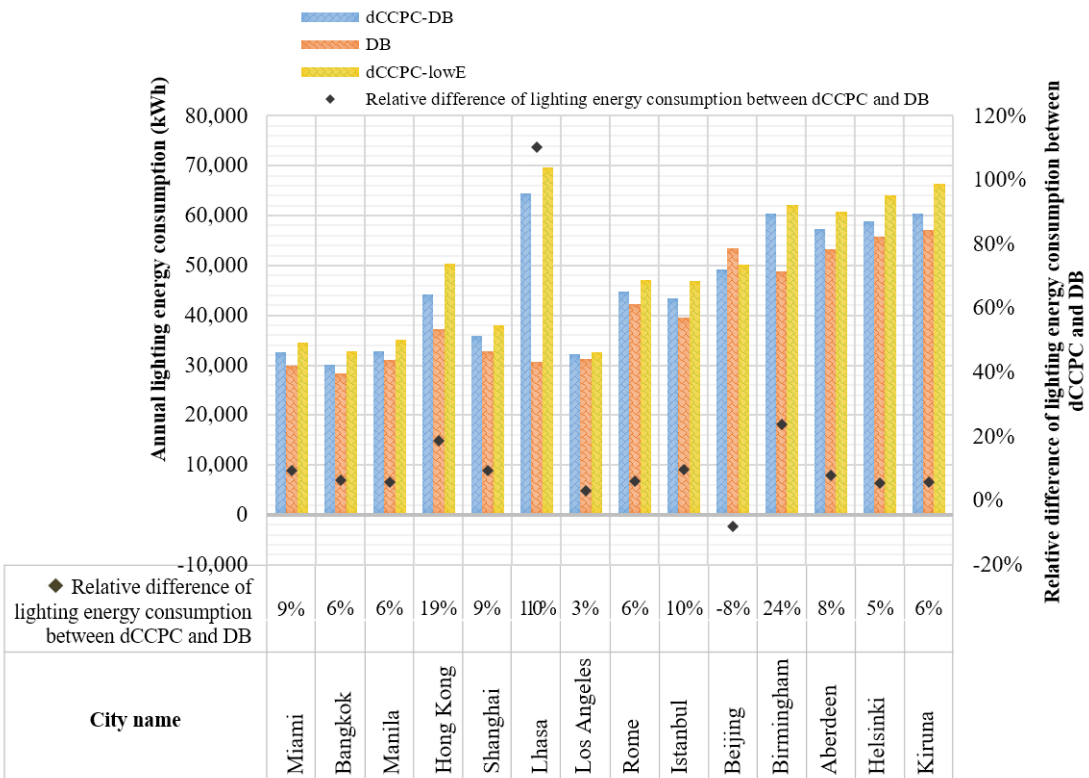
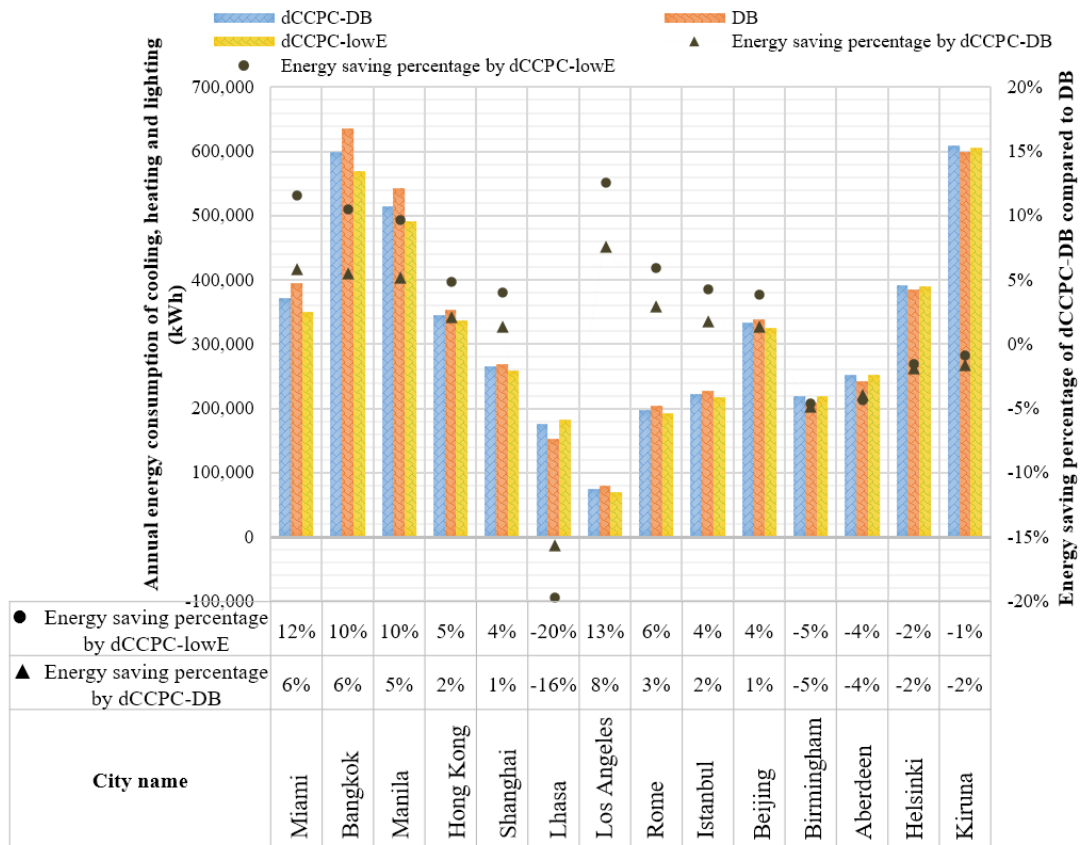


Figure 12



Tables

Table 1

	Clear double glazing (DB)	Clear double glazing with dCCPC (dCCPC-DB)	Low-E double glazing with dCCPC (dCCPC-lowE)
U-value (W/m ² K)	2.669	2.669	1.420
SHGC	0.70	$T_{dCCPC} \times 0.70$	$T_{dCCPC} \times 0.27$
VT	0.79	$T_{dCCPC} \times 0.79$	$T_{dCCPC} \times 0.69$
T_{dCCPC} : Transmittance of dCCPC			

Table 2

	Location	Latitude	Longitude	Köppen-Geiger climate classification	
Asia	China-Beijing	39.80°	116.47°	Dwa	Continental dry winter and hot summer climate
	China-Hong Kong	22.32°	114.17°	Cfa	Hot summer temperate without dry season climate
	China-Shanghai	31.17°	121.43°	Cfa	Hot summer temperate without dry season climate
	China-Lhasa	29.67°	91.13°	BSK	Arid steppe cold climate
	Philippines-Manila	14.52°	121.00°	Aw	Tropical savanna wet climate
	Thailand-Bangkok	13.92°	100.60°	Aw	Tropical savanna wet climate
Europe	Finland-Helsinki	60.32°	24.97°	Dfb	Warm summer continental without dry season climate
	UK-Aberdeen	57.20°	-2.22°	BSK	Arid steppe cold climate
	UK-Birmingham	52.45°	-1.73°	Cfb	Warm summer temperate without dry season climate
	Italy-Rome	41.80°	12.58°	Csa	Temperate dry and hot summer climate
	Sweden-Kiruna	67.82°	20.33°	Dfc	Hot summer continental without dry season climate
	Turkey-Istanbul	40.97°	28.82°	Csa	Temperate dry and hot summer climate
America	USA-Los Angeles	33.93°	-118.40°	Csa	Temperate dry and hot summer climate
	USA-Miami	25.80°	-80.27°	Aw	Tropical savanna wet climate

Table 3

Term	Calculation formula	Value of example	Step No.
β	$90^\circ - \textit{Latitude}$	37.55°	1
$\Delta\gamma'$	Eq. (4)-(16)	22.86°	2
θ'_h	Eq. (17)	48.48°	3
Z'	$90^\circ - \theta'_h$	41.52°	4
I'	$I \cos \theta_i$	220.13 W/m^2	4
I'_h	$I_{\text{total}} - I'$	52.87 W/m^2	4
ε'	Eq. (18)	3.98	5
T_{dCCPC} from calculation	Eq. (2)	0.72	6
T_{dCCPC} from simulation	N/A	0.75	N/A

Table 4

Local time	Sky condition	dCCPC-double glazing (dCCPC-DB)			dCCPC-lowE		
		Experiment results	Simulation results	Errors	Experiment results	Simulation results	Errors
9:10	overcast	0.51	0.51	1.6%	0.45	0.44	1.2%
9:20	intermediate	0.63	0.57	10.8%	0.55	0.50	10.4%
9:30	overcast	0.58	0.55	6.7%	0.51	0.48	6.3%
9:40	overcast	0.54	0.51	5.3%	0.47	0.45	4.9%
9:50	clear	0.56	0.57	2.4%	0.48	0.50	2.8%
10:00	clear	0.55	0.52	4.7%	0.48	0.46	4.3%
10:10	clear	0.53	0.51	3.0%	0.46	0.45	2.6%
10:20	clear	0.50	0.51	2.8%	0.43	0.45	3.2%
10:30	intermediate	0.47	0.51	7.1%	0.41	0.44	7.5%
10:40	intermediate	0.42	0.47	9.6%	0.37	0.41	10.0%
10:50	clear	0.46	0.40	16.1%	0.40	0.35	15.6%
11:00	clear	0.36	0.37	1.8%	0.32	0.32	2.1%
11:10	clear	0.39	0.40	4.1%	0.34	0.35	4.4%
11:20	clear	0.31	0.33	5.7%	0.27	0.29	6.0%
11:30	overcast	0.45	0.50	9.1%	0.39	0.43	9.5%
11:40	overcast	0.45	0.49	7.9%	0.39	0.43	8.2%
11:50	intermediate	0.47	0.46	1.6%	0.41	0.40	1.2%
12:00	clear	0.38	0.35	10.0%	0.33	0.30	9.6%
RMSE		3.33%			2.89%		