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## 8 Abstract

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9 Geochemical maps provide invaluable evidence to guide decisions on issues of mineral exploration, 10 agriculture, and environmental health. However, the high cost of chemical analysis means that the 11 ground sampling density will always be limited. Traditionally, geochemical maps have been 12 produced through the interpolation of measured element concentrations between sample sites using models based on the spatial autocorrelation of data (e.g semivariogram models for ordinary 13 14 kriging). In their simplest form such models fail to consider potentially useful auxiliary information 15 about the region and the accuracy of the maps may suffer as a result. In contrast, this study uses quantile regression forests (an elaboration of random forest) to investigate the potential of high 16 17 resolution auxiliary information alone to support the generation of accurate and interpretable 18 geochemical maps. This paper presents a summary of the performance of quantile regression forests in predicting element concentrations, loss on ignition and pH in the soils of south west England using 19 20 high resolution remote sensing and geophysical survey data.

21 Through stratified 10-fold cross validation we find the accuracy of quantile regression forests in 22 predicting soil geochemistry in south west England to be a general improvement over that offered 23 by ordinary kriging. Concentrations of immobile elements whose distributions are most tightly 24 controlled by bedrock lithology are predicted with the greatest accuracy (e.g. Al with a cross-validated R<sup>2</sup> of 0.79), while concentrations of more mobile elements prove harder to predict. 25 26 In addition to providing a high level of prediction accuracy, models built on high resolution auxiliary 27 variables allow for informative, process based, interpretations to be made. In conclusion, this study 28 has highlighted the ability to map and understand the surface environment with greater accuracy

- and detail than previously possible by combining information from multiple datasets. As the quality
- 30 and coverage of remote sensing and geophysical surveys continue to improve, machine learning
- 31 methods will provide a means to interpret the otherwise-uninterpretable.

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- 34 *Keywords:*35 Uncertainty
- 36 Modelling
- 37 Soil geochemistry
- 38 Quantile regression
- 39 Random forest
- 40 South west England

# 41 **1. Introduction**

42	The value of geochemical maps to mineral exploration (e.g. Hawkes and Webb, 1962; Levinson,
43	1974; Beus and Grigorian, 1977; Xuejing and Xueqiu, 1991; Xu and Cheng, 2001; Johnson et al.,
44	2005), agriculture (e.g. Webb et al., 1971; Jordan et al., 1975; Reid and Horvath, 1980; Lewis et al.,
45	1986; White and Zasoski, 1999; Reimann et al., 2003), and studies of environmental and human
46	health (e.g. Thornton and Plant, 1980; Bowie and Thornton, 1985; Alloway, 1990; Appleton and
47	Ridgway, 1993; Thornton, 1993; Fordyce, 2013) is well established. Surficial geochemistry should be
48	considered an essential component of any comprehensive description of the natural environment
49	(Darnley, 1990). In these times of increasing environmental concern, there is a need for increasingly
50	effective geochemical mapping techniques to support the making of good evidence-based decisions
51	about our interactions with the natural environment.

52 Geochemical maps are produced by the regional interpolation of element concentration data 53 obtained from samples of surface media such as stream sediments, soil or water (e.g. Salminen et 54 al., 1998). The sampling density is often limited by the relatively high cost of sample collection and 55 chemical analysis, resulting in large expanses between sample sites in which there is much 56 uncertainty about concentrations of elements. Traditionally, the interpolation of element 57 concentrations has been based on the spatial autocorrelation of the data, as in ordinary kriging (Cressie, 1988) which uses semivariogram models. While these spatial models are considered
optimal for univariate interpolation in regions where no other information is present, their
ignorance of auxiliary information makes them suboptimal for use in regions for which auxiliary
variables have been measured. For geochemical mapping auxiliary variables might include anything
that provides insight into surface-subsurface conditions, for example airborne gamma spectrometry
and magnetic survey data.

64 Spatial autocorrelation based models such as ordinary kriging can be adapted to make use of 65 auxiliary information, either by combination with regression models, as in regression-kriging or 66 kriging with external drift approaches (e.g. Hengl et al., 2003), or by co-kriging (e.g. Knotters et al., 67 1995). However, the importance of considering spatial autocorrelation in predictive models 68 decreases as the explanatory power of the auxiliary variables increases: eventually the spatial 69 autocorrelation of the target variable is entirely captured within the auxiliary variables. Models 70 which do not rely on spatial autocorrelation information are desirable as they greatly improve the 71 interpretability of the resultant maps. The predicted element concentrations are no longer the 72 product of a crude distance-weighted blend of geographically neighbouring measurements, but 73 instead can be explained by the context of the prediction point within the more informative, process 74 related, feature space of the auxiliary variables. The residuals of such models are useful as they 75 indicate the degree to which samples have been subject to atypical processes.

76 Thanks in part to the Tellus South West airborne geophysical survey (Beamish et al., 2014), south 77 west England is now one of the most thoroughly surveyed areas of Great Britain, and possesses a 78 wealth of quantitative high resolution geoscientific data. It is therefore an ideal study area in which 79 to investigate the ability of the available high resolution data to explain the variations of measured 80 element concentrations in soils. There are many possible regression techniques with which to model 81 soil element concentrations from auxiliary geoscientific data, however, to account for the lack of 82 independence and normality in both predictor and target variables, nonparametric 'machine 83 learning' techniques are advantageous. Interpretability is also a priority; in order to have impact, the

84 resultant models and maps must be explainable to policy makers. Random forest (Breiman, 2001) is 85 a machine learning technique which has been demonstrated to be highly accurate, adaptable and 86 interpretable. The technique uses an ensemble of decision trees, and is capable of both classification 87 and regression. It is gaining popularity for use in predictive mapping in various fields; for example 88 species distribution mapping (e.g. Lawrence et al., 2006; Cutler et al., 2007; Evans et al., 2011), land-89 cover classification (e.g. Gislason et al., 2006; Rodriguez-Galiano et al., 2012), geological mapping 90 (Cracknell and Reading, 2014), digital soil mapping (e.g. Henderson et al., 2005; Wiesmeier et al., 91 2011) and mineral prospectivity mapping (e.g. Carranza and Laborte, 2015; Harris et al., 2015; 92 Rodriguez-Galiano et al., 2015).

93 In this study quantile regression forests (Meinshausen, 2006) – an uncertainty-conscious elaboration 94 of random forest (Breiman, 2001) - are utilised to model the concentrations of elements in the soils 95 of south west England using high resolution geophysical and remote sensed data. The ability of 96 quantile regression forests to use these auxiliary variables to produce high resolution, interpretable 97 geochemical maps with quantified prediction intervals is demonstrated. This approach has 98 important implications for future geochemical survey planning procedure. Additionally, interrogation 99 of the underlying models facilitates improved understanding of the geochemical environment of 100 south west England and has implications for decisions about our interaction with the natural 101 environment.

# 102 2. Materials

103 2.1 Study area

104 The study area, south west England, is located at the southwestern tip of the British Isles (Fig. 1). A 105 wealth of high resolution geoscientific data has been collected across south west England owing to 106 complex and economically significant geology. In brief summary, the geology of the region consists 107 of a suite of metasedimentary facies originally deposited in a series of Devonian-Carboniferous east-108 west trending basins (Shail and Leveridge, 2009). The granites of the Cornubian Batholith were then 109 emplaced following basin inversion during the late Carboniferous to early Permian Variscan Orogeny 110 (Charoy, 1986; Floyd et al., 1993), and have provided a heat source for extensive hydrothermal 111 activity. The result of this hydrothermal activity is that the region is both rich in polymetallic 112 mineralisation (Dines, 1956; Willis-Richards and Jackson, 1989) and complex in terms of mapping 113 and understanding element distributions (e.g. Colbourn et al., 1975; Alderton et al., 1980; Smedley, 1991; Kirkwood et al., 2016). 114

#### 115 2.2 Target variables - soil geochemical data

116 The soil geochemical data used in this study is derived from samples collected across south west 117 England during the summer field campaign of 2012 by the British Geological Survey following 118 standard Geochemical Baseline Survey of the Environment (G-BASE) methods (Johnson et al., 2005). 119 A total of 568 samples were collected within the study area at an average sampling density of one 120 sample per 12.2 km<sup>2</sup> (Fig 1). Samples were collected at random, but exclude coverage of the Tamar 121 Valley area which was sampled in 2004. The Tamar Valley data is not used in this study due to 122 inferior lower limits of detection as a result of advancements in analytical procedure between the 123 years of 2004 and 2012. The soil samples were collected from a depth of 5-20cm and sieved to 124 <2mm grain size before being dried, ground and pelletised prior to analysis by XRF for 48 major and 125 trace elements according to standard G-BASE procedures (Johnson et al., 2005). The 5-20cm 126 sampling depth is intended to target the A horizon of typical soils, with material from the O horizon 127 being excluded with the topmost 5cm. However, soil horizon representation within each sample 128 varies according to local soil profiles. The pH and loss on ignition (LOI) of each sample was also

- 129 measured. Data quality was assured by the inclusion of duplicate samples, replicate samples, and
- 130 certified reference materials within the analytical runs.

131 Total concentrations of the following elements were determined along with pH and LOI: Ag, Al, As, Ba, Bi, Br, Ca, Cd, Ce, Co, Cr, Cs, Cu, Fe, Ga, Ge, Hf, I, K, La, Mg, Mn, Mo, Na, Nb, Nd, Ni, P, Pb, Rb, Sb, 132 133 Sc, Se, Si, Sm, Sn, Sr, Ta, Te, Th, Ti, Tl, U, V, W, Y, Zn and Zr. The major elements (Al, Ca, Fe, K, Mg, Mn, Na, P, Si, Ti, Zr) were assumed to exist as their common oxides, and were each appended with 134 the appropriate additional mass of oxygen so that the sum of all element concentrations for each 135 136 sample approached 100%, or in the units of the study, 1 million milligrams per kilogram. For most 137 samples though, the chemical analyses do not sum to 100%. This 'remainder' (referred to as 'R') is included in the study, to see if it too could be modelled and explained. 138



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 Fig. 1. Locations of 2012 field season G-BASE soil samples within the study area in south west England. The inset map

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 shawe the study area (areas batched) is an favore to the method. The inset map

- shows the study area (cross-hatched) in reference to the rest of Great Britain. The granites of the Cornubian Batholith are shown as they form prominent geological and geochemical landmarks within the region.
- 144 2.3 Auxiliary variables high resolution geophysics and remote sensed data
- 145 In order to provide the quantile regression forest models with as much information as possible from
- 146 which to make predictions, all available regional geophysics and remote sensed data sets were

147	utilised. The available data sets comprise airborne magnetic and radiometric surveys from the Tellus
148	South West project (Beamish et al., 2014), aerial elevation survey from NEXTMap (Intermap
149	Technologies, 2007), land gravity survey from the British Geological Survey et al. (1968), and Landsat
150	8 satellite imagery (Roy et al., 2014). All these auxiliary variables and their derivatives (Table 1) were
151	resampled from their original data grids to a regular 100 m grid covering the study area using
152	bilinear interpolation.
153	The 61,000 line-km of airborne geophysical data collected for the Tellus South West project, and the
154	processing undertaken to produce the original magnetics and radiometrics data grids, is described by

- 155 Beamish and White (2014). The survey used a N-S line separation of 200 m and a magnetic data
- 156 sampling of 20 Hz providing a mean along-line sampling of 3.6 m. Radiometric data were sampled at
- 157 1 Hz intervals providing a sampling of 71 m. Data grids were generated using bicubic spline
- 158 interpolation (magnetic) and minimum curvature (radiometric). The land gravity survey data were
- 159 gridded using minimum curvature.
- 160 Table 1

Variable name	Explanation		
Elevation	NEXTMap Britain Digital Terrain Model		
Slope	Terrain slope angle		
Wetness_index	Terrain wetness index		
Topographic_position_index	Terrain topographic position index		
Plan_curvature	Terrain plan curvature		
Profile_curvature	Terrain profile curvature		
Landsat_B1	Landsat 8 band 1 – Coastal Aerosol (0.43-0.45 µm)		
Landsat_B2	Landsat 8 band 2 – Blue (0.45-0.51 µm)		
Landsat_B3	Landsat 8 band 3 – Green (0.53-0.59 μm)		
Landsat_B4	Landsat 8 band 4 – Red (0.64-0.67 μm)		
Landsat_B5	Landsat 8 band 5 – Near Infrared (0.85-0.88 μm)		
Landsat_B6	Landsat 8 band 6 – Short Wave Infrared 1 (1.57-1.65 $\mu$ m)		
Landsat_B7	Landsat 8 band 7 – Short Wave Infrared 2 (2.11-2.29 $\mu$ m)		
Landsat_B8	Landsat 8 band 8 – Panchromatic (0.50-0.68 µm)		
Landsat_B10	Landsat 8 band 10 – Thermal Infrared 1 (10.60-11.19 µm)		
Landsat_B11	Landsat 8 band 11 – Thermal Infrared 2 (11.50-12.51 µm)		
Regional_bouguer_anomaly	Gravity survey bouguer anomaly		

161 Explanations of the geophysical and remote sensed variables used in the modelling.

Residual_bouguer_anomaly	Gravity survey high pass filtered bouguer anomaly		
TMI_IGRF	International Geomagnetic Reference Field corrected TMI		
TMI_IGRF_1VD	1 <sup>st</sup> vertical derivative of TMI_IGRF		
TMI_IGRF_AS	Analytical signal of TMI_IGRF		
TMI_IGRF_REDP	Reduction to the pole of TMI		
Radiometrics_uranium	Uranium counts from gamma ray spectrometry		
Radiometrics_thorium	Thorium counts from gamma ray spectrometry		
Radiometrics_potassium	Potassium counts from gamma ray spectrometry		
Radiometrics_total_count	Total count of unmixed gamma ray signal		

#### 162 **3. Methods**

## 163 *3.1 Quantile regression forests*

Quantile regression forests (Meinshausen, 2006) are an elaboration of random forest (Breiman, 2001); an ensemble model based on the averaged outputs of multiple decision trees (Breiman et al., 1984). Where random forest takes the mean of the outputs of the ensemble of decision trees as the final prediction, quantile regression forests also take specified quantiles from the outputs of the ensemble of decision trees, providing a quantification of the uncertainty associated with each prediction.

170 The decision trees themselves are constructed through recursive partitioning starting with a root 171 node which contains all the data provided to the tree. The root node is split by defining an optimal 172 threshold in whichever auxiliary variable works best to provide two resulting data partitions each 173 with the greatest purity (the least variation in the target variable). This process is then repeated 174 successively on child partitions until the terminal nodes ('leaves') are reached, at which point each 175 partition contains just a single sample (or specified small number of samples) whose target variable value (or mean value) is explained by a series of increasingly precise "if-then" conditional statements 176 177 referring to the context of the sample in the auxiliary variable feature space.

If all of the decision trees were grown from the same training data there would be no point in using
an ensemble – the trees would all grow identically and the resultant model would be highly liable to
overfit the data. Breiman's (2001) random forest overcomes the problem of overfitting decision

trees by using bootstrap aggregation, or bagging (Breiman, 1996), to grow each tree from a separate subsample (roughly two thirds) of the full training dataset, thus reducing the chance of fitting to noise when the outputs of the multiple trees are averaged. In addition to bagging, random forest also provides only a random subset of the auxiliary variables on which to make each split in each tree, which reduces the chance of the same very strong predictors being chosen at every split, and therefore prevents trees from becoming overly correlated. The resulting algorithm is recognised as a highly competitive machine learning technique (e.g. Liu et al., 2013; Rodriguez-Galiano et al., 2015).

188 One drawback of the random forest method is that, as a consequence of each prediction being 189 equivalent to a weighted average of the target variable values in the training data set (Lin and Jeon, 190 2006), predictions towards the limits of the training data values are increasingly biased towards the 191 mean. This results in a tendency for low value predictions to exhibit positive bias, and high value 192 predictions to exhibit negative bias (Zhang and Lu, 2012). To correct for this all random forest 193 models were appended with a linear transformation defined by a robust linear model (iterative 194 reweighted least squares; Venables and Ripley, 2013) of observations against random forest 195 predictions during their training phase. This process effectively stretches the predictive range of the 196 random forest in order to correct for central tendency bias.

197 All modelling was conducted in R (R Core Team, 2014) with a framework developed around the 198 randomForest package (Liaw and Wiener, 2002). The models each used 1001 decision trees - a 199 sufficient number to allow convergence of error to a stable minimum. The odd number of trees 200 prevents possible ties in variable importance. Each tree was grown until the terminal nodes 201 contained 8 samples in order to reduce overfitting to outliers. The default number of variables to try at each split - one third of the number of features - was used. The mean of the outputs of the 202 ensemble of decision trees was used as the predicted value, and for each prediction the 2.5<sup>th</sup> and 203 97.5<sup>th</sup> percentiles of the ensemble were used as the lower and upper limits of a 95% prediction 204 205 interval.

### 206 *3.2 Model validation*

207 The training dataset was constructed by joining the auxiliary variable data at each soil sample site to 208 the geochemical data for each soil sample, using bilinear interpolation, in order to form a single 209 table of both geochemical and auxiliary variable values for each sample site. A stratified 10-fold 210 cross validation process was then used, in which the training data was randomly split into 10 equal 211 folds of approximately equal mean (Kohavi, 1995). Then, for each element, a quantile regression 212 forest model was constructed using the data in 9 of the folds before being tested by predicting the 213 measured element concentrations in the remaining fold. The folds were cycled through and the 214 modelling process repeated so that, in the course of the full 10-fold cross validation, every sample 215 was used as test data. This process allows the accuracy of the model's predictions and prediction 216 intervals (uncertainty estimates) to be assessed for each element, which is visualised in this study 217 using scatter plots of the predicted against observed values. The prediction interval accuracies are 218 assessed for each model on the basis of how closely the percentage of samples that are observed to 219 fall within the prediction interval match the expected percentage (according to the specified 220 prediction interval). In the case of this study we use a 95% prediction interval and therefore expect 221 that 95% of samples will fall within it during cross-validation.

To allow the quality of each element's model to be compared, cross-validated R<sup>2</sup> values, root-meansquare error (RMSE) and range-normalised RMSE values were derived according to the relationship between each model's predictions and the actual measurements. In addition, Moran's I (Moran, 1950) was also calculated on each element's residuals to provide a measure of residual spatial autocorrelation. The Moran's I scale runs from -1 (perfect dispersion) to 1 (perfect correlation), with values close to zero indicating spatially random phenomena and suggesting that model performance would not be increased by directly taking spatial autocorrelation into account.

In order to provide some context to the prediction accuracy of the quantile regression forest models,
ordinary kriging (using the R package 'automap'; Hiemstra et al., 2009) was run in parallel to the

231 quantile regression forest modelling during the 10-fold cross validation, from which cross-validated

232  $R^2$  values were derived.

#### 233 *3.3 Regional geochemical map production*

234 The geochemical maps for each element were produced using a quantile regression forest model 235 constructed on the full 568 sample training dataset. For each element, both concentration and 236 uncertainty maps were produced. The value assigned to each grid cell in the concentration map is a 237 prediction based on the measured values of the auxiliary variables. The value assigned to each grid 238 cell in the uncertainty map is the width of the 95% prediction interval associated with each 239 concentration prediction. No further measurements of soil geochemistry are used to test the map, 240 but the results of the 10-fold cross validation form an acceptable approximation of the performance 241 of each element's model (and therefore the quality of each element's map)(Kohavi, 1995; 242 Vanwinckelen and Blockeel, 2012). For further assessment of model quality, the residuals of the 243 quantile regression forests were mapped using inverse distance weighted interpolation. This allows 244 for any spatial patterns within the residuals to be assessed (a more involved alternative to the 245 Moran's I metric). Concentration maps were also produced by ordinary kriging to allow visual 246 comparison with the quantile regression forest maps. However, caution is advised against making 247 critical comparisons between methods based on the appearance of the maps alone - the image 248 format encourages far more subjective (and potentially misleading) interpretations than objective model quality measures such as cross-validated R<sup>2</sup>. All maps were symbolised using a CubeHelix 249 250 continuous colour scale to prevent loss of information when viewing in greyscale (Green, 2011).

### 251 *3.4 Model interpretation*

With the help of the R package forestFloor (Welling, 2015) partial dependence scatter plots were
produced to visualise the contribution of a given variable to the predicted element concentration
(Palczewska et al., 2013). Additionally, each quantile regression forest model provides a measure of
the average ability of each auxiliary variable to increase node purity in child partitions; thus
providing a measure of the importance of each auxiliary variable to the predictions of each element.

- 257 The combination of these outputs provides insight into the controls behind each element's
- 258 distribution.

# 259 4. Results and discussion

## 260 *4.1 Model performance*



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Fig. 2. Cross-validated R<sup>2</sup> values for comparison of quantile regression forest (QRF) model quality between each element
 (and R, LOI and pH). The corresponding cross-validated R<sup>2</sup> values achieved by ordinary kriging (OK) are overlain to provide
 some context to the overall quality of predictions.

Comparison of cross-validated R<sup>2</sup> values between quantile regression forests and ordinary kriging 265 reveals that quantile regression forests provide overall improved prediction accuracy for 37 of the 51 266 267 target variables modelled (Fig. 2). Aside from Ni and Cr, which are unique in the strength of their association with the Lizard Ophiolite Complex (the region's southernmost pensinsula; Kirby, 1979; 268 269 Kirkwood et al., 2016), the majority of the 14 elements for which ordinary kriging provided better predictions were minor or trace elements, and poorly predicted by either method. This is an 270 271 encouraging result for the validity of geochemical maps produced by quantile regression forests 272 using this data in south west England.

273 Cross-validated R<sup>2</sup> values for the quantile regression forest models vary greatly across the range of 274 elements from 0.79 (Al) to 0 (Te). There appears to be a general inverse relationship between 275 prediction accuracy and element mobility: elements which are known to be relatively immobile (and 276 thus reflect the underlying lithology), such as Al, La and Ce are predicted with little error, while 277 hydrothermally mobile elements such as W,Bi,Te,Ag and As are predicted with higher error. This 278 discrepancy suggests a relative lack of explanation of hydrothermal processes within the suite of 279 auxiliary variables. However, the Moran's I values for the residuals of all quantile regression forest 280 models (Table 2) only deviate from zero by 0.011 in the worst case (Ge). This suggests that the 281 auxiliary variables used have successfully captured the spatial dependence of all target variables at 282 the scale of the predictor grid. Any residual variation in element concentrations which has not been 283 captured by the models can therefore be attributed to processes which essentially appear to be 284 spatially random at the scale of the geochemical survey, but which additional high resolution 285 auxiliary variables may be capable of explaining. This is supported by inspection of variograms of the 286 residuals of each element (not shown), which appeared to exhibit pure nugget effect.

287 The limited ability of the auxiliary variables used here to explain the distributions of the more mobile 288 elements could perhaps be improved by the inclusion of additional variables which provide more 289 information on spatial context. For example, a measure such as 'distance to nearest fault' could 290 provide valuable context in relation to fluid flow pathways. However, a strength of the modelling 291 approach in its current state is the consistency, transparency, and fully quantitative nature of the 292 auxiliary variable datasets; each collected by sensing equipment, thus avoiding the potential 293 inconsistencies of observations made by multiple geologists in the field. Currently any 'distance to 294 nearest fault' or similar variables would need to be derived from traditional geological maps and 295 consistency would suffer. However, with sufficient spatial resolution there is no reason why 296 structural features such as faults would not be recognisable within the data. To make the best use of 297 such structural information it would become beneficial to use an approach which is capable of 298 learning higher order context (learning textures and spatial patterns, rather than just point

299 properties), perhaps based on artificial neural networks. Such models could potentially learn 300 processes of soil erosion and accumulation (and hydrothermal mobilisation) from spatial context without explicitly being provided with contextual derivatives as input variables. However, such deep 301 302 learning would increase the effective degrees of freedom within each model, and would require 303 more training data (perhaps more than would ever be financially viable) in order to produce reliable 304 results. The combination of quantile regression forests and the auxiliary variables used in this study 305 therefore represent a promising first step forward given the currently available data and the 306 requirement for transparent and interpretable models.

307 Plots of predicted concentrations against measured concentrations from the 10-fold cross validation 308 of the quantile regression forests allow for more detailed visualisation of model quality. The 309 examples of La and Sn (Fig. 3), chosen as they provide insight into the models of both immobile (La) and mobile (Sn) elements, show how the prediction interval (2.5<sup>th</sup> to 97.5<sup>th</sup> forest quantiles) is 310 311 unique for each prediction. The cross validation has shown these prediction intervals to be a 312 remarkably accurate (if slightly conservative) probabilistic estimate for all elements (see Table. 2). 313 This is very useful; even for elements with relatively low prediction accuracies the prediction 314 intervals still provide reasonable upper and lower limits on predictions, which could be used to drive 315 further geochemical sampling of areas that are of interest as a result of their probable geochemical 316 properties.



Fig. 3. Quantile regression forest predicted concentration vs measured concentration scatter plots for La and Sn. For each quantile regression forest prediction the 2.5<sup>th</sup> percentile is shown in blue and the 97.5<sup>th</sup> percentile shown in red; these are percentiles of the distribution of the outputs of the individual decision trees in the forest. The range between the 2.5<sup>th</sup> and 97.5<sup>th</sup> percentiles forms the 95% prediction interval; a measure of the uncertainty associated with each prediction.

322 A comparison of the fit of the predicted values between La and Sn reveals how the fit is deteriorated 323 for the more mobile, highly-skewed, elements; prediction accuracy (and certainty) decreases in the long tail of the data. This is not explicitly due to the data having a skewed distribution, as random 324 forest techniques are scale and transformation invariant. Rather, it is the inevitable result of having 325 326 fewer data points on which to base the learning of the most 'extreme' situations within the context 327 of the auxiliary variables. In this case, these situations are likely to represent relatively rare spikes of 328 localised mineralisation. A geochemical sampling strategy designed around the auxiliary variable 329 feature-space rather than the geographic space would take more samples from the locations of 330 these 'extreme' situations and should improve the learning of the distributions of mobile elements 331 (or any highly skewed target variable).

Table 2
Cross-validated measures of quantile regression forest model quality.

					Samples
	Cross-		Range-	Moran's	in 95%
Target	validated	RMSE	normalised	Lof	prediction
variable		(mg/kg)	DMCE	rosiduals	intorval
	n		RIVISE	residuais	(0/)
<u>۸</u> -	0.00	0.24	0.27	0.000	(%)
Ag	0.00	0.24	0.27	0.000	96.3
AI2U3	0.79	21552	0.10	-0.002	98.2
AS	0.12	87.12	0.25	-0.006	97.7
Ba	0.76	52.57	0.13	0.001	96.1
BI	0.01	4.46	0.11	-0.001	96.8
Br	0.62	26.58	0.10	0.001	96.7
CaU	0.01	14932	0.08	-0.003	97.5
Ca	0.20	0.28	0.24	-0.005	98.4
Ce	0.73	8.85	0.12	0.000	96
C0	0.50	7.15	0.14	-0.006	96.5
Cr	0.41	86.93	0.15	0.001	97.2
Cs	0.17	15.87	0.23	-0.008	96.7
Cu	0.24	34.54	0.22	-0.007	97.7
Fe2O3	0.70	12962	0.14	-0.001	96.7
Ga	0.67	3.57	0.12	-0.003	97.9
Ge	0.19	0.49	0.21	0.011	98.1
Ht	0.27	1.46	0.17	-0.008	97.7
	0.13	7.93	0.18	-0.002	97.4
K2O	0.70	3771	0.11	-0.004	96
La	0.70	5.38	0.12	-0.004	96.5
LOI	0.72	71562	0.08	-0.006	97
MgO	0.53	3610	0.13	-0.006	97.9
MnO	0.25	1233	0.19	0.000	96.3
Mo	0.14	0.92	0.19	-0.004	97
Na2O	0.39	2082	0.17	0.001	98.6
Nb	0.28	4.22	0.17	-0.004	97.9
Nd	0.56	6.60	0.17	-0.005	96.1
Ni	0.46	32.67	0.13	-0.001	97.5
P2O5	0.28	1091	0.21	0.011	98.2
Pb	0.14	41.74	0.24	0.003	98.1
рН	0.48	0.65	0.18	-0.011	97.4
R	0.76	79204	0.09	-0.005	96
Rb	0.67	42.57	0.12	-0.002	96
Sb	0.10	4.86	0.13	0.003	96.3
Sc	0.69	2.85	0.15	-0.002	97.4
Se	0.34	0.49	0.16	0.001	96.8
SiO2	0.61	71748	0.10	-0.005	97.5
Sm	0.12	1.82	0.23	-0.005	98.8
Sn	0.38	77.97	0.26	-0.007	97.2
Sr	0.05	73.40	0.09	-0.002	98.1
Та	0.23	1.19	0.16	-0.001	97
Те	0.00	0.07	0.32	0.001	98.2
Th	0.65	1.69	0.09	0.002	96.7
TiO2	0.49	2153	0.14	-0.005	95.4
TI	0.44	0.37	0.17	0.002	95.6
U	0.22	2.49	0.13	0.000	96
V	0.68	27.58	0.15	-0.005	97
W	0.05	19.25	0.23	0.001	96.7
Y	0.47	5.26	0.18	-0.001	97.2
Zn	0.32	63.29	0.24	-0.001	97.9
ZrO2	0.37	68.71	0.14	-0.010	98.1







335336 Fig. 5. Quantile regression forest prediction interval maps for La and Sn in shallow soils.



337338 Fig. 6. Ordinary kriging predicted concentration maps for La and Sn in shallow soils, for comparison.



340 Fig. 7. Quantile regression forest residuals for La and Sn in shallow soils, interpolated using inverse distance weighting.

341 The geochemical maps produced using the quantile regression forest method have a spatial 342 resolution governed by that of the auxiliary variables. Accordingly, with a resolution of 100 m, these 343 maps are capable of resolving the spatial distribution of the elements in much more detail than 344 traditional inverse distance weighted or ordinary kriged interpolated geochemical maps, which are limited by the spatial density of the geochemical sampling. The increased detail is evident when 345 346 comparing concentration maps produced by quantile regression forests (Fig. 4) and ordinary kriging 347 (Fig. 6). In addition, all quantile regression forest concentration maps are accompanied by 348 uncertainty maps (Fig. 5) in the form of mapped prediction intervals – 95% in the case of this study, 349 but it is possible to map any chosen quantile or interval for each of the quantile regression forest 350 predictions. The quantile regression forest model residual maps (Fig. 7) display the lack of spatial 351 autocorrelation within the residuals in agreement with the Moran's I results (Table 2). Inverse 352 distance weighted interpolation, rather than kriging, was used to visualise the residuals as their 353 variograms exhibited pure nugget, and kriging would therefore have produced maps of flat zero 354 values. This reinforces the assertion that the quantile regression forest models are accounting for the spatial autocorrelation of the element concentrations at the scale of the auxiliary variable grid. 355 356 The quantile regression forest maps for both example elements – La and Sn (Fig. 4) provide insight 357 into the geochemistry of the region at a level of detail never before seen.

358 A traditional geochemical map interpretation would involve qualitative comparison of trends seen in 359 the map with trends seen in other datasets. For example, geochemical maps might be compared 360 with geological maps to try to understand the relationships between bedrock geology and surface geochemistry. The details of south west England's geology are beyond the scope of this paper, but it 361 362 is well summarised by Shail and Leveridge (2009). A traditional interpretation of the quantile 363 regression forest La map (Fig. 4) might conclude that the concentration of La in soil is strongly 364 constrained by the underlying lithology, a relationship which the high resolution quantile regression 365 forest map reveals in detail. Similarly, a traditional interpretation of the quantile regression forest Sn 366 map (Fig. 6) might conclude that the concentration of Sn in soil is strongly controlled by

- 367 hydrothermal mineralisation and as a result has become concentrated in close proximity to the
- 368 granite intrusions, though the relationship is not consistent for all intrusions. However,

369 interpretation of the quantile regression forest models themselves, rather than just the geochemical

370 maps, allows the quality of interpretations of the controls on element distributions to be improved

371 over traditional methods.

#### 372 *4.2 Controls on element distributions*

Considering the relative importance of each auxiliary variable to the prediction of each element is a simple means by which to gain insight into the controls on the distributions of each element. In addition to this, partial dependence plots provide insight into the nature of the relationship between each predictor and the target variable. The end user can use this information to devise better informed interpretations and hypotheses of the controls on an element's distribution.

378 For example, the quantile regression forest model for La concentration finds elevation to be the 379 most important predictor, followed by regional bouguer anomaly, residual bouguer anomaly and 380 radiometric thorium concentration (Fig. 8). The negative correlation between La and elevation at 381 elevations above 200 m indicates a close associated with the granites – which are found outcropping 382 as elevated plateaus at ≤200 m. Furthermore, the association between La and the presence of 383 granites is also evident in the regional bouguer anomaly – whose signal is dominated by the granites 384 - as a sharp transition at around -11 mGal, which represents the granite-country rock contact. As can 385 be expected, the same granite contact is less imposing in the residual bouguer anomaly, which 386 captures fine scale (shallow depth) gravitational variations that are more influenced by other less 387 deep-rooted lithologies in the region. More subtle lithological information in the La map appear to 388 be revealed by the radiometrics data, in particular the relationship between La and Th. The 389 multimodal appearance of this and other partial relationships is an effect of interaction between 390 predictor variables. For example the La–Th relationship appears to fork into two probable trends 391 upwards of 10 ppm of Th. Colouring the points according to elevation reveals that it is an interaction 392 of Th with elevation (and the inversely correlated regional bouguer anomaly) which separates the

upper trend from the lower trend. The lower trend, formed of samples of high elevation and low
bouguer anomaly, represents the distinct relationship between La and Th over granites compared to
the steeper and more linear relationship between La and Th on the surrounding rocks of lower
elevation.

397 In contrast, the quantile regression forest model for Sn concentration finds regional bouguer 398 anomaly, total magnetic intensity (TMI), radiometrics uranium and elevation to be the most 399 important predictors (Fig. 9). The negative correlation between Sn and regional bouguer anomaly 400 can be taken as proxy for the relationship between Sn and granite; generally, Sn values are elevated 401 on and around granite bodies. The gradual transition to the Sn plateau upwards of 10 mGal gives 402 some indication of the mobility of Sn, whose concentrations at the regional scale form gradational 403 rather than sharp boundaries. The relationship between Sn and TMI is complex, but there is a strong 404 negative relationship between Sn concentration and TMI values between -50 and 0 nT, particularly 405 over granite (low regional bouguer anomaly), although it does not extend beyond this range. 406 Similarly, there is a strong positive relationship between Sn and radiometric U between 1.9 and 2.1 407 ppm U which presumably represents the transition onto granite. The broadly negative relationship 408 between Sn and elevation is heavily influenced by interactions. With the help of a regional bouguer 409 anomaly based colour scheme it is apparent that this relationship is relatively weak over the granites, but indicates increased Sn concentrations at lower granite elevations. This may represent 410 411 the fact that, on average, the interiors of the granites have lower Sn concentrations than the 412 perimeters due to differentiation between granite phases, and the influence of hydrothermal 413 processes. The off-granite relationship is stronger, and shows an almost exponential increase in Sn 414 concentrations descending towards sea level from an elevation of about 100 m, above which the 415 influence of elevation on Sn is fairly negligible. This may relate to Sn enrichment of floodplains as a 416 result of sediment transport from mineralised areas.

417

418 4.3 A note on compositions, LOI and the unmeasured 'remainder', R.

419 Despite not implementing compositional data analysis methods (Aitchison, 1986; Egozcue et al., 420 2003; Pawlowsky-Glahn and Buccianti, 2011) to intrinsically ensure that modelled element concentrations sum to 100% at every prediction point (at the cost of computational expense and 421 422 additional complexity to interpretations), we find that the sum of predicted concentrations of 423 measured elements, and the unmeasured 'remainder' (R), fall very close to 100% in the vast majority 424 of situations (Fig. 10). The 95% interval of summed predictions (predicted element concentrations 425 plus predicted remainder concentration) spans from 96.0% to 105.4%. In addition, we find that R has a very close relationship with loss on ignition (LOI): their quadratic relationship could be explained 426 427 by a discrepancy in calibration between the two measurement methods, but it appears that they are 428 essentially two separate measures of the same thing (Fig. 11). The models of LOI and R achieved some of the highest prediction accuracies in the study according to the cross-validated R<sup>2</sup> and 429 430 normalised RMSE metrics (Table 2).



432

433



**Fig. 8.** Variable importance plot and top eight most important partial dependence plots for La, with points coloured according to elevation (the most important predictor).





**Fig. 9.** Variable importance plot and top eight most important partial dependence plots for Sn, with points coloured according to regional bouguer anomaly (the most important predictor).



438 439

Fig. 10. Sum of predicted element concentrations + R.



440

Fig. 11. Relationship between LOI and R in training data. The equation describes a quadratic curve (red line) which fits the
 data with an R<sup>2</sup> of 0.98.

# 443 **5. Conclusions**

444 The implementation of quantile regression forests to map regional soil geochemistry at high 445 resolution (100 m) using only information from auxiliary variables has produced very encouraging 446 results. The major, immobile, elements are modelled with sufficient accuracy to promote the 447 development of fully quantitative geological mapping using remotely sensed data such as those used 448 in this study. Immobile elements are modelled with a lesser degree of accuracy due to a combination 449 of the relative under-sampling of their 'extreme' events (which could be improved with a change in 450 sampling design to target anomalous locations in the context of the available auxiliary variables) and 451 perhaps a lack of relevant information in existing auxiliary variables. Further developments to 452 sampling design strategies, sensing technologies, and auxiliary variable derivatives (or the use of 453 more advanced learners) should be capable of improving the modelling of mobile elements in the 454 future.

455 For now, these models are capable of making an interpretable and uncertainty-aware prediction of
456 the geochemical properties of the soil at any point on the basis of magnetic, gravity, radiometric,

457 spectral and topographic information. The prediction process is similar to the decision making

458 process which might be made by a human, but with the objectivity and accuracy of an optimally self-

459 training algorithm. Allowing the model to consider the spatial dependence of the target variables

460 might gain improvements in some situations, but the Moran's I results of the residuals suggest that

461 the processes controlling the residuals appear to be operating randomly at the scale of the

462 geochemical survey, and so it is the case that we currently do not have sufficient information to

463 explain them.

464 The maps produced by the quantile regression forests are more useful than their spatially

465 interpolated equivalents, providing increased detail, accuracy, interpretability and uncertainty

466 awareness. Accordingly, the use of machine learning methods in conjunction with geophysical,

467 radiometric, spectral and topographic information seems very capable of bringing significant

468 improvements to geological mapping, agriculture, environmental survey and mineral exploration

469 practices, and all the policies that surround them.

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