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Social rearing environment influences dog behavioral development

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### 1 Social rearing environment influences dog behavioral development

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#### 14 **ABSTRACT**

- 15 Early life experiences are known to influence behavior later in life. In dogs,
- 16 environmental influences of early home rearing could be exploited to improve
- 17 the chances of developing adult behavior most suited to the adult environment.
- 18 For working dog organisations, such as Guide Dogs, suitable adult behavior is
- 19 important to ensure dogs can fulfil their role as guides for people with visual
- 20 impairment.
- 21 Here we test the hypothesis that dogs' home rearing environment will influence
- 22 behavioral development. To investigate this hypothesis, carers of potential guide
- 23 dogs (puppy walkers) completed a questionnaire, termed the Puppy Walking
- 24 Questionnaire (PWQ), about the dog's behavior at 5, 8 and 12 months of age. An
- 25 additional 11 questions were answered about the home environment at the last
- 26 assessment. Since no questionnaire existed which measured behavior most
- 27 relevant to Guide Dogs, questions from an existing questionnaire (C-BARQ) were
- 28 combined with additional questions. Thus, a subsidiary aim of the study was to
- 29 test the reliability of the PWQ for measuring behavioral development of potential
- 30 guide dogs.
- The PWQ, scored on a 100mm visual analogue scale, grouped into five new
- 32 scales: Trainability, Distractibility, General Anxiety, Body Sensitivity, and Stair
- 33 Anxiety, with four C-BARQ scales: Excitability, Separation-related behavior,
- 34 Attachment and attention seeking and Energy Level. For each reliable scale,
- 35 multivariate linear regression identified significant predictors from the home
- 36 environmental questions.
- 37 The results suggest that home rearing environment is indeed important for 38 behavioral development: 9 out of 11 environmental variables were significant 39 predictors of behavioral scores. Those environmental variables that appeared 40 most important were social in nature. Dogs were scored as higher in Energy 41 Level, Excitability and Distractibility if they had been raised in a home with 42 children, lower on Energy Level and Distractibility the more experience of puppy 43 walking their carer had, and lower on Separation-related behavior the more they 44 had been able to play with other dogs. These findings have implications for

- 45 matching between dogs' early and later home environments. Follow-up of dogs
- 46 in this study could help to elucidate effects on guiding suitability and matching
- 47 between dog and guide dog owner.

#### 48 **INTRODUCTION**

49 For many species, the period of time before individuals' reach maturity is crucial 50 in developing their future behavior. In dogs, experiences between 3-12 weeks of 51 age (the "socialization period") are known to play a vital role in shaping adult 52 behavioral development and the various effects of experience at this age are well 53 documented (Scott and Fuller, 1965). In humans and rats, experiences during 54 adolescence (the period of sexual maturation; Crone, 2009) can have life-long 55 impacts upon an individual's behavior as the mammalian neural network and 56 endocrine system is still developing during this stage (McCrae et al., 2000, Sisk 57 and Zehr, 2005, Crone, 2009, McCormick and Mathews, 2010). In both working 58 and pet dogs, knowledge of early environmental influences on behavior, after 12 59 weeks of age could assist in matching dogs to later environments. For working 60 dogs, such information could help with the selection of environment, or in 61 predicting and managing future behavior. For non-free-living dogs, the typical 62 rearing environment is a human home environment.

63 The domestic dog's adolescence is thought to occur between 6-9 months of age in males, and 6-16 months in females (Anderson, 1970; Pineda and Dooley, 64 2003), whilst behavioral and social maturity is reached between 12-14 months 65 of age (Overall, 2013). Despite the potential importance of experience upon 66 behavioral development during this stage, little is known about the effects of a 67 68 dog's environment between 3-24 months of age upon the behavior of adult dogs. 69 From the few studies that have been conducted, factors such as hours left 70 unattended has been shown to be associated with behavior scores on a 71 standardized behavior test (Foyer et al., 2013). Additionally, the number of 72 people in the household, prior experience of dog ownership and amount of 73 training experience received was associated with 'trait' scores from a 74 questionnaire (Bennett and Rohlf, 2007). Further research is required in order to 75 confirm these findings, and to dissect the relative influences of genetic and 76 environmental factors on different behavior traits.

Behavioral profiles of working dogs require regular assessment to determine
suitability to training programs, decide upon appropriate training regimes and,

79 for assistance dogs, to match them with their future owners. As such, a greater 80 understanding of how environmental factors influence dog development could 81 be of great value to working dog organizations. Guide Dogs, UK breed the 82 majority of their own dogs (Asher et al., 2013), and have guidelines to try and 83 standardize the amount of social and environmental exposure (socialization and 84 habituation) and training their dogs receive. As such, trainee guide dogs may 85 represent an excellent model for elucidating the relative effects of experience 86 and genetics upon adult personality and behavior.

87 A previous study of guide dog behavior has demonstrated that behavioral 88 questionnaires can be associated with training outcome (Duffy and Serpell, 89 2012). To be of best use to guide dog organisations questionnaires should be 90 able to identify specific individuals with the highest chances of being withdrawn 91 from the training programme and those with the highest chances of successfully 92 completing training (qualifying). To date, there is no questionnaire that can 93 deliver this information for Guide Dogs, UK, and so there is scope for a 94 behavioral questionnaire designed specifically for guide dog behavior, which 95 may be better able to make such distinctions. For Guide Dogs, a crucial decision 96 point for trainee dogs occurs at 12-14 months of age, because the financial 97 investment increases as dogs' progress to a more formal training programme at 98 this age. Volunteers who live with and train a dog for its formative period are 99 able to provide an accurate impression of a dog's likelihood of qualifying as a 100 guide dog (Batt et al., 2009), supporting the rationale for developing a behavioral 101 development questionnaire to be answered by volunteers caring for trainee 102 guide dogs prior to entry to more formal training.

103 The aim of this study was to test the hypothesis that a dog's rearing environment 104 between the ages of 2-12 months of age will influence its behavioral 105 development. A questionnaire method was selected in order to achieve this aim 106 in a manner relevant to the study population of trainee guide dogs. To provide a 107 profile of behavior of most relevance to guide dogs, a combination of existing 108 questions and newly developed questions were required. Thus, a subsidiary aim 109 of this study was to develop a reliable questionnaire to be completed by 110 volunteer trainee guide dog carers (puppy walkers). Specifically, we aimed to

111 test the feasibility, internal reliability, inter-rater reliability, temporal

112 consistency and construct validity of the new questionnaire.

#### 113 **METHODS**

114 A dog behavior questionnaire, referred to as the puppy walker questionnaire 115 (PWQ) was developed for completion by volunteer puppy walkers (PWs). 116 Question items (items) were sourced or created to address specific behavioral 117 traits of relevance to guide dog owners and Guide Dogs training staff. Where 118 possible, items were sourced from previously published questionnaires 119 (Goddard and Beilharz, 1983; Serpell and Hsu, 2001; Hsu and Serpell, 2003; 120 Arata et al., 2010) and a previous PW questionnaire study undertaken by Guide 121 Dogs in 2006/2007 (unpublished data). To address content validity (Taylor and 122 Mills, 2006; Belshaw et al., 2015) the questionnaire was refined based on 123 feedback from a panel of volunteer PWs (n=5) regarding the questionnaires 124 applicability to the behavior of dogs they had cared for previously. Twenty-two 125 of the 59 items in the questionnaire were from a previously validated 126 questionnaire known as the Canine Behaviour and Research Questionnaire (C-127 BARQ) (Serpell and Hsu, 2001; Hsu and Serpell, 2003), which has been validated 128 for use in other studies (Duffy and Serpell, 2008, van den Berg et al., 2010, 129 Nagasawa et al., 2011b, Duffy and Serpell, 2012). The C-BARQ items used were 130 assessed for internal reliability for the Guide Dogs, UK dogs, and further 131 reliability and validity analyses were conducted on the remaining 37 PWQ items. All items were scored using a 100mm long visual analogue scale (VAS). 132

133 SUBJECTS

134 PWs of dogs that turned 5 months of age during October-December, 2012 135 (n=311) were invited to complete the questionnaire, where possible online, with 136 the option to request a paper version by post. Initial invitations were sent when 137 the dogs were 21 weeks of age (1 week before 5 months). An online version of 138 the questionnaire (hosted by SurveyGizmo.com) allowed for pseudo-139 randomisation of the items for each questionnaire (random within different 140 subsections). To achieve variety in item order for the paper questionnaire, three 141 versions were created, each with different (pseudo-random) question orders.

142 The questionnaire was also completed by PWs of dogs that participated in a 143 behavior test (see Harvey et al., 2015 for details). To evaluate inter-rater 144 reliability, when two members of the same household that were responsible for a 145 dog attended the behavioral test, both individuals were asked to complete the 146 questionnaire without conferring. Twenty-one PW dyads were recruited to 147 complete the questionnaire based on our sample size estimation ( $\alpha$ =0.05,  $\beta$ =0.20, 148 minimum - maximum acceptable coefficient = 0.30 - 0.70), according to Walter et 149 al., (1998).

- 150 Invitations to complete questionnaires when the dogs turned 8 and 12 months
- 151 old were again sent by post or email when each dog turned 34 and 51 weeks of
- age, respectively.

153 ETHICS

Participants were contacted with ethical approval from Guide Dogs, UK, and
according to University of Nottingham institutional guidelines. Written informed
consent was gained from each PW and participants were able to withdraw from
the study at any time.

#### 158 SCALE STRUCTURE AND INTERNAL RELIABILITY

159 Internal reliability of C-BARQ and PWQ scales, based on expected groupings of 160 items (expected scales), were assessed using Cronbach's alpha. PWQ items were 161 then analysed via principal components analysis (PCA), at each age in order to 162 confirm expected scale structures or aid identification of improved structures. PCA's were conducted with eigenvalues >1, using varimax rotation based upon a 163 164 correlation matrix, and loadings of more than 0.40 were considered as salient (Budaev, 2010). If an item loaded >0.40 on more than one component (group of 165 166 items identified by PCA) it was removed from the component for which is had 167 the weakest loading. If a component showing a new scale structure was 168 identified in more than one age group, then alpha statistics were calculated and 169 the alpha values compared to those of the expected groupings. The grouping of 170 items (expected or PCA component) that yielded the highest alpha value across 171 the three sample ages was then selected as the final scale structure and mean

- 172 scores were calculated for each scale based upon the items within them (with
- 173 negatively correlated items reversed using "100 minus score"). Alpha values of
- 174 more than 0.6 were considered acceptable (Hsu and Serpell, 2003).

### 175 TEMPORAL CONSISTENCY AND INTER-RATER RELIABILITY

- 176 Temporal consistency of scores were examined by performing Spearman's Rank
- 177 correlations between the 5, 8 and 12 month datasets. This test examined rank
- 178 order consistency and was considered acceptable if significant to p<0.05 and
- 179 with a correlation coefficient above 0.30. This cut-off was chosen because the
- 180 mean level of temporal consistency for dogs less than 12 months of age, as
- 181 revealed by a meta-analysis, is 0.34 (Fratkin et al., 2013).
- 182 Inter-rater reliability of the PWQ scales and miscellaneous items was examined
- 183 using intraclass correlation coefficients (ICC's). ICCs were calculated for each of
- 184 new scale scores and miscellaneous items, using a two-way mixed model with a
- 185 consistency method (Nichols, 1998). Since dogs might be expected to behave
- 186 differently with different handlers (Horn et al., 2013; Kerepesi et al., 2015)
- 187 significant, yet weak to moderate agreement between raters could be expected. A
- 188 minimal acceptable ICC agreement coefficient was therefore a single measure
- 189 ICC of >0.30 significant at a 95% confidence interval.
- 190 CONSTRUCT VALIDITY
- 191 Scores from the 12-month questionnaire were used to assess construct validity.
- 192 Predictions of positive and negative correlations between the PWQ scales and C-
- 193 BARQ scales were made based on the constructs they were designed to assess.
- 194 The C-BARQ scales were included in this analysis to help validate the new scales.
- 195 Spearman's Rank correlations were performed to test expected correlations.
- 196 Each predicted correlation either convergent (positive) or divergent (negative),
- 197 was considered to be an individual hypotheses, which if shown to be correct
- 198 would support the construct validity of the scales (i.e., that they are reflecting the
- 199 construct they were intended to assess). The Improved Bonferroni procedure

- 200 was applied (Simes, 1986) to control for multiple testing, following the
- 201 procedure described in Haccou and Meelis (1992).

### 202 INTERACTIONS WITH REARING ENVIRONMENT

203 When the dogs turned 12 months of age PWs completed an additional 11-item

204 'Environmental Information' survey. The survey requested details about the

205 household in which the dog had been reared, experience of the PW and regular

206 habits relating to the dog such as attendance at puppy training classes (see

supplementary material for a copy of the survey).

208 The association between rearing environment and behavior scores was

- 209 examined for each of the scales from the C-BARQ and the PWQ scales that were
- 210 found to have acceptable levels of internal reliability and temporal consistency (a
- 211 requirement for personality scores). Univariate general linear regression was
- 212 initially applied with each scale score being a dependent variable and each
- 213 variable from the 'Environmental Information' survey included sequentially as a
- 214 fixed effect. Any independent variables that were significantly associated with a
- scale score to p<0.1 were then analysed against the scale score via multivariate

216 linear regression. A backwards stepwise approach was taken, followed by a

217 forwards stepwise approach, using the ANOVA function in R to choose between

218 models in both stages (both approaches were used to test for robustness of the

- 219 models which would be indicated by convergence of results from each
- 220 approach). Breed and sex were included as fixed effects in each final model and

retained if significant to p<0.05. This process was conducted for each scale score.

- Analysis of rearing environment interactions was undertaken in R version 3.0.2
- 223 (R Core Team, 2013; R scripts available on request), with all other analysis
- 224 conducted in SPSS v. 21 (SPSS Inc., Chicago, IL, USA).
- 225

#### 226 **RESULTS**

227 Of the 311 PWs contacted, 192 agreed to participate in the study and completed 228 the questionnaire at least once, which represented a 61% response rate. 229 Including the dogs that participated in the behavior test, a total of 276 (130 230 male/146 female) dogs had at least one completed questionnaire. There were 231 265 questionnaires completed for dogs of 5 months (43% online: 57% on paper), 232 214 on 8-month-old dogs (37%: 63%) and 226 on 12-month-old dogs (37%: 233 63%). The dogs were from eight different breeds or crossbreeds (Sire x Dam): 234 golden retriever x Labrador (n=105); Labrador (n=65), golden retriever (n=30), 235 Labrador x golden retriever crossbreed (n=29), golden retriever x German 236 shepherd dog (n=24), German shepherd dog (n=16), Labrador x golden retriever 237 (n=5), Labrador x Labrador crossbreed (n=2). Age of the dogs at the time of 238 questionnaire completion was: 5.17 months (mean 157 days ± 8 days SD); 8.17 239 months (mean 248 days  $\pm$  7 days SD); and 12.04 months (mean 365 days  $\pm$  12 240 days SD). A total of 224 out of the 226 PWs that completed the 12-month (12M) 241 PWQ also completed and returned the 'Environmental Information' survey. At 242 the time of the 12M PWQ completion 105 dogs (47%) were sexually intact and 243 119 (53%) had been spayed or castrated.

#### 244 SCALE FORMATION AND INTERNAL RELIABILITY

Following the PCA and internal reliability analyses of the PWQ items, only one 245 scale was found to have optimal internal reliability in the expected structure: 246 247 Distractibility (see Supplementary Table 2 for PCA loadings). The six items from 248 the scale designed to assess 'environmental anxieties' (General Anxiety) 249 separated into two components in PCA for each age group. The new component 250 contained the two items relating to anxious or uneasy behavior on stairs, so this 251 was named Stair Anxiety. Two of the four items created to assess 'body 252 sensitivity' loaded together in all three PCA's and met the requirements of 253 acceptable internal reliability so became the scale Body Sensitivity. Two 254 expected scales (items grouped as they were designed to) had best internal 255 reliability when merged (Trainability and Attentiveness in addition to one 256 additional miscellaneous item) (Table 1 & 2).

257 Internal reliability of the C-BARQ scales was acceptable (>0.60) for all scales.

258

#### 259 PWQ TEMPORAL CONSISTENCY AND INTER-RATER RELIABILITY

260 Consistency of scores over time (temporal consistency), as measured by 261 Spearman's correlations, showed that inter-individual consistency was higher 262 than expected for most scales (Table 2). Bivariate correlation coefficients ranged 263 from a minimum of 0.18 (Stair Anxiety comparing 5-12M) to a maximum of 0.66 (Distractibility comparing 5-8M and General Anxiety comparing 2-12M). All 264 265 coefficients were >0.40, excepting those for Stair Anxiety which was least 266 consistent between ages. Consistency was good to high for the majority of the 267 miscellaneous items (see supplementary Table 3), with the strongest 268 correlations comparing 5-8M (mean 0.47) and the weakest comparing 5-12M 269 (mean 0.32).

270

271 Four of the five scales had acceptable inter-rater reliability with single measure

272 ICC coefficients of >0.30 (Table 2). Only Body Sensitivity was below the

273 minimum accepted level for inter-rater reliability. Of the miscellaneous items, 5

- 274 out of 10 achieved acceptable levels of inter-rater reliability (see supplementary
- 275 Table 3).
- 276

277 CONSTRUCT VALIDITY

278 Fourteen out of fifteen predictions made about relationships between scales of

the PWQ and C-BARQ were supported with significant correlations in the

280 predicted direction (see supplementary table 4). All of these supported

correlations had coefficients of above 0.30 and nine of above 0.40.

282

### 283 REARING ENVIRONMENT VS. 12-MONTH SCALE SCORES

Based upon the reliability results discussed above, all of the C-BARQ scales

285 (Attachment and attention seeking, Separation-related behavior, Excitability and

Energy Level) and three of the PWQ scales (Trainability, General Anxiety and

287 Distractibility) were included in this analysis (descriptive statistics for the 11

288 Environmental Information variables are presented in Table 3).

289 For the univariate analysis, all but two of the variables from the Environmental 290 Information survey were associated with the 12M scale scores. The two variables 291 that had no associations with the scores were puppy class attendance and pet 292 dogs owned previously (Table 4). Increasing PW age was associated with dogs 293 with decreased scores for Excitability and Distractibility, and increased scores 294 for Trainability. More children in the household was associated with increased 295 scores on Excitability, Energy Level and Distractibility. Previous puppy walking 296 experience of the PW was associated with reduced scores on Energy Level and 297 Distractibility (and there was a trend towards an association with Excitability), 298 but whether the PW had previously owned pet dogs or not was not associated 299 with any score. Separation-related behavior scores decreased the more the dogs 300 were allowed to play with other dogs, and if the dog was left unattended on a 301 weekend day for between 1-2 hours compared to 0-1 hours. Finally, scores for 302 Distractibility also decreased if the dogs were left unattended on a week or 303 weekend day for between 1-2 hours compared to 0-1 hours. Only one association 304 was found with General Anxiety, for which there was a trend (p<0.1) for scores 305 to decrease for each other dog they shared the household with. No associations 306 were found between the rearing environment variables and scores for 307 Attachment and attention seeking.

308 Multi-collinearity between rearing environment variables occurred for four 309 scales (Excitability, Separation-related behavior, Trainability and Distractibility). 310 Models were run using backwards and then forwards stepwise regressions and 311 convergence between approaches was achieved. Use of the ANOVA function to 312 select the best model with the least number of variables allowed for the 313 identification of the fixed effects that explained the most variance, thus identifying only the most salient variables (Table 5). The multivariate analyses 314 produced five models: Energy Level (3 predictors,  $R^2$  = 8.5%), Excitability (1 315 predictor,  $R^2$ = 3.4%), Separation-related behavior (1 predictor,  $R^2$ =2.3%), 316 317 Trainability (1 predictor,  $R^2$ = 3.0%) and Distractibility (1 predictor,  $R^2$ = 4.4%). 318 Scores on Energy Level increased by 0.12% for each 1mm increase in ratings for 319 frequency of meeting children, decreased by 0.58% for each puppy previously 320 cared for by the dogs PW, and increased by 5.7% if there were other dogs in the

- household. Each child in the household was associated with a 6.2% increase in
- 322 scores for Excitability. For each 1mm increase in ratings for frequency of play
- 323 with other dogs, scores on Separation-related behavior scale decreased by
- 324 0.06%. With regards to PWQ scales, Trainability scores increased by 0.07% for
- 325 each 1mm increase in ratings for frequency of meeting children, and
- 326 Distractibility scores decreased by 0.57% for each puppy previously cared for by
- the dogs PW.
- 328 Breed was significant in two models with the Labrador(sire) x golden
- 329 retriever(dam) scoring an average of 29% (S.E. 9.8, p<0.01) and 20% (S.E. 9.4,
- 330 p<0.05) higher than the golden retriever(sire) x Labrador(dam) for the scales
- 331 Excitability and Distractibility, respectively. Sex, neuter status and sex by neuter
- 332 status interactions did not have a significant effect on any 12M scale score.

E CR

#### 333 Discussion

- In testing the hypothesis that the home rearing environment for dogs will
- influence behavioral development, we found many associations between home
- and behavior. Nine of the eleven environmental variables
- 337 measured were associated with one or more behavioral scales. The
- anion environmental variables with the largest effect size referred to factors of the
- 339 dog's social environment.
- 340 In measuring behavior, it was necessary to develop new questions focused on
- 341 behavior of most importance to Guide Dogs. A new composite questionnaire
- 342 (PWQ) for regular completion by volunteer puppy walkers (PWs) was developed
- 343 for assessing behavior of juvenile guide dogs. In addition to the four scales
- 344 adapted from an already validated questionnaire, the C-BARQ (Excitability,
- 345 Energy level, Attachment and attention seeking, and Separation-related
- behavior; Hsu and Serpell, 2001; Serpell and Hsu, 2003), five new scales were
- 347 identified: General Anxiety, Trainability, Distractibility, Body Sensitivity, and
- 348 Stair Anxiety. Three of these scales (Distractibility, General Anxiety and
- 349 Trainability) reached acceptable levels of internal reliability, inter-rater
- reliability and construct validity. High between individual correlations in these
- 351 scale scores between 5, 8 and 12 months of age (temporal consistency) suggest
- 352 that these scales may be measuring dog personality traits.
- 353 DEVELOPMENT OF QUESTIONNAIRE

The PWQ was developed to be reliable and feasible for routine application.

- Taylor & Mills (2006) state that behavior assessments should be easy and
- efficient to implement, taking less than 30 minutes to complete. The PWQ and
- 357 additional C-BARQ questions take approximately 15-20 minutes to complete and
- require little to no expert knowledge or guidance to complete. Also in accord
- with best practice (Taylor and Mills, 2006; Belshaw et al., 2015) the PWQ was
- 360 developed in consultation with the users of the questionnaire, puppy walkers
- 361 (volunteers who care for trainees guide dogs from 6-8 weeks to 12-14 months of
- 362 age) and the organisation Guide Dogs, UK. The visual analogue scale (VAS), which
- 363 was used to score questions, allows for a wider range of responses than

364 traditional Likert scales. Means of items (questions) produce scale scores which

are easy to interpret based upon their names and the items within them.

366 Together with the C-BARQ questions, these scales provide information on

367 behavior of importance and relevance to Guide Dogs.

368 Three scales were reliable and would be suggested for further use in the PWQ: 369 Distractibility, General Anxiety and Trainability. Two other scales, Body 370 Sensitivity and Stair Anxiety were not reliable. Inter-rater reliability was 371 acceptable for all scales, expect Body Sensitivity, and for the majority of the 372 miscellaneous items. The body sensitivity questions did not group as expected. It 373 is feasible that 'body sensitivity', which is defined as level of responsiveness to 374 tactile stimuli (Murphy, 1998), is a cluster of behavioral responses that have 375 different underlying causes, e.g. fear, excitement, skin disease. This could explain 376 the lack of reliability for these questions. Stair anxiety represented just two 377 questions which grouped together and could have been influenced by

378 experience, explaining the lack of test-retest reliability.

379 The construct validity of the PWQ was very good with 14 out of 15 *a priori* 380 predicted correlations between the scales supported (Belshaw et al., 2015). One 381 correlation that was in the opposite direction to that predicted was the C-BARQ 382 scale Attachment and attention seeking, which was weakly negatively correlated 383 with Trainability. In humans, Attachment Anxiety (characterised by questions 384 such as: "I worry a fair amount about losing my partner") has been found to 385 weakly negatively correlate with self-discipline (Noftle and Shaver, 2006). It is possible that the dimension measured by Attachment and attention seeking 386 387 scores is similar to attachment anxiety in people. The scale did show a positive 388 relationship with Separation anxiety, thus could be indicative of an insecure 389 attachment style (Bowlby, 1969), which has been previously been described for dog-human dyads (Topál et al., 1998). 390

With respect to consistency of behavior over time, coefficients for the five PWQ
scales were good, ranging from a mean of 0.47 for the 5-8 and 5-12 month scores
and 0.60 for the 8-12 month scores. Animal personality can be defined as
individual differences in behavioral responses that demonstrate inter-individual

395 consistency across time and within similar situations (Stamps and Groothuis, 396 2010; Uher, 2011). While consistency of behavior over time is usually weaker in 397 developing animals (due to neurodevelopmental changes), statistically 398 significant rank-order consistency does still occur, which is considered indicative 399 of personality (McCrae et al., 2000; Stamps and Groothuis, 2010; Putnam, 2011; 400 Fratkin et al., 2013). There is evidence of such rank-order consistency here. The 401 levels of consistency found in this study exceed the expected figure of 0.34 402 identified in a meta-analysis of behavior scores for dogs less than 12 months of 403 age (Fratkin et al., 2013). The high level of inter-individual consistency found 404 here lends support to the reliability of this assessment as a measure of dog 405 personality. The PWQ scales General Anxiety and Distractibility were comprised 406 of items describing the same behavior in different contexts or in response to different stimuli. These scales showed high internal reliability, which could be 407 408 considered as evidence of situational consistency. The PWQ scales could fit with 409 proposed standardized categories of dog personality (Jones and Gosling, 2005). 410 The Trainability scale fits with the category 'Responsiveness to training', General 411 Anxiety the category of 'Fearfulness' and Distractibility the category of

412 'Reactivity'.

Considered together our results support the reliability of the PWQ scales, with 413 414 the exception of Body Sensitivity and Stair Anxiety. Further research should 415 focus upon investigating the predictive and concurrent criterion validity of the 416 questionnaire scales and miscellaneous items. The C-BARQ has been shown to be 417 significantly associated with general training outcome in five US guiding agencies, but was unable to identify specific individuals with the highest chances 418 419 of being withdrawn or qualifying (Duffy and Serpell, 2012). It would be 420 interesting to replicate this study with the PWQ scales to look for associations 421 with success in training as a test of predictive criterion validity.

422

### 423 HOME REARING ENVIRONMENT

424 Social variables throughout development including interactions with children

- 425 and other dogs were associated with behavioral scores at 12 months of age.
- 426 Furthermore, previous experience of the PW was associated with scores on

427 Energy Level and Distractibility. Breed, but no neutering or sex effects were428 found on behavioral scores.

429 Increased scores for Energy Level (C-BARQ scale) and Trainability (PWQ) were 430 associated with how often the dogs were allowed to interact with children. 431 Increases in Energy Level were also associated with the presence of other dogs 432 in the household. For Energy Level, we could hypothesise that interactions with 433 children and other dogs may lead to a dog being more playful and energetic (the 434 two parts of Energy Level). The presence of children in the household was 435 associated with higher Excitability scores, which supports this hypothesis. Dogs' 436 are able to synchronize their behavior to that of their human owners (Duranton 437 and Gaunet, 2016) and dog behavior has been shown to be associated with 438 aspects of their owner's personality (Kis et al., 2012) with dogs often perceived 439 as having similar personalities to their owners (Turcsán et al., 2012). Strong 440 social influences on dog behavioral development could be explained by the fact 441 that dogs are one of the few non-human animals that copy the behavior of social 442 partners through a process known as 'automatic imitation' (Range et al., 2011). 443 Automatic imitation is a form of behavioral synchronisation controlled within 444 areas of parietal and premotor cortex known as the "mirror neuron system" 445 (Catmur et al., 2009). The process is thought to promote cooperation and the 446 formation of affiliative bonds (Chartrand and Baaren, 2009). Whether such behavioral synchrony has lasting effects outside of the rearing environment is 447 448 not known. These dogs have not been studied in a different environment where 449 there is more or less access to energetic or excitable activities. Directionality of 450 associations also requires further elucidation. For example, the association 451 between Trainability and frequency of interaction with children could result 452 from more obedient dogs being afforded more opportunity to interact with children. 453

In line with findings from Kubinyi et al. (2009), where 'calmer' dogs were
associated with more experienced dog owners, we found PWs with more
experience in rearing trainee guide dogs scored dogs as lower on Energy and
Distractibility. Since both types of behavior are considered positive for Guide

458 Dogs, it would suggest that guide dog organisations should invest in retaining459 PWs.

460 Lower scores for separation-related behavior were associated with more play 461 with other dogs. The etiology of separation-related disorders is currently poorly 462 understood (Overall, 2013). In addition to potential hereditary factors (King et 463 al., 2000), it is thought to develop because of a mixture of environmental factors, 464 including traumatic or impoverished early experiences (Takeuchi et al., 2001; 465 Flannigan et al., 2001), and the behavior and responsiveness of the owner towards the dog's needs (Konok et al., 2015). There is also evidence that it may 466 be related to other characteristics of the dogs' personality (Konok et al., 2015), 467 and co-morbidity can often be found with other anxiety-related behavior 468 469 disorders (Overall et al., 2001). Living in a multi-dog household has not been 470 shown to reduce the likelihood of a dog having a separation-related disorder 471 (King, 2000, Tiira and Lohi, 2015), however our results support a particular role 472 of interspecific play during rearing on separation-related behavior. It would be 473 interesting to further explore whether rearing environment and interspecific 474 play had longer-term protective effects on the development of separation-related 475 behavior.

No associations between living with other dogs and separation anxiety were 476 477 found in this study, which agrees with other studies (King et al., 2000; Tiira and 478 Lohi, 2015). However, in one study dogs reported as generally 'fearful' have 479 been shown to be more likely to come from single-dog households (Tiira and 480 Lohi, 2015), and dogs that exhibited stereotypic tail-chasing have less companionship of other dogs (Tiira et al., 2012). Dogs who are thunderstorm-481 482 phobic and from single-dog households have also been reported to exhibit 483 slower recovery of the HPA response (as measured by cortisol recovery time) 484 following a simulated thunderstorm (Dreschel and Granger, 2005). In this study 485 there was a trend towards a reduction in scores for General Anxiety with an 486 increase in the number of dogs in the household, which may suggest that living 487 with other dogs may have protective effects against developing anxiety-related 488 behavior.

489 Significant breed differences were present for two scores (Excitability and 490 Distractibility), which suggests that these scales may be heritable. Differences 491 were not between pure breeds, but between F1 cross of Labradors and golden 492 retrievers with different combinations of sire and dam breed. Dogs sired by a 493 golden retriever scored on average 29% lower for Excitability, and 20% lower 494 for Distractibility, than dogs sired by a Labrador. The importance of the sire seen 495 here supports previous work in which effects of maternal genetics upon 496 behavior test scores of adult German shepherd dogs were minimal (Strandberg 497 et al., 2005). Elucidating the effects of the relative breed of the sire and dam on 498 the behavior of F1 crossbreeds could be of relevance to the pet dog owning 499 population in the current climate of "designer" crossbreed dogs.

500 Fifty-three per cent of this population of dogs had been neutered by the time 501 they were 12 months of age, however no behavioral differences were found 502 between neutered or intact dogs of either sex. This result is in contradiction to 503 previous literature in which neutered adult dogs have been reported to be less 504 calm (Kubinyi et al., 2009) and more nervous (Bennett and Rohlf, 2007) than 505 their intact counterparts. This disparity could be explained by differences in the 506 populations considered, which have been observed previously in working dog 507 colonies (Pfaffenberger et al., 1976, Goddard and Beilharz, 1982, 1983) and even 508 between different lines (i.e., gundog, show dog or pet dog) within the same breed (Lofgren at al., 2014). It is possible that behavioral differences as a result of 509 510 neutering had not yet been expressed; behavioral (or social) maturity in dogs is 511 reached between 12-24 months of age (Overall, 2013).

512

### 513 CONCLUSIONS

Associations were identified between home rearing environment and their scores on a behavioral questionnaire. The largest associations were social in nature, which suggests that social factors may be the most important with regards to shaping dog behavior. Results such as these may help Guide Dogs and other working dog organisations to select specific types of home environments for their puppies, which give them the best chance of matching their future environment, or in predicting the future behavioral profile of dogs by recording

- 521 key information about their rearing environment. The questionnaire used here 522 could have utility in monitoring the behavioral development of young dogs. 523 Combining scores from some of the C-BARQ scales with newly developed PWQ 524 scales could give a comprehensive and reliable impression of a dog's behavior. 525 Completed by volunteer PWs, the questions meet the psychometric requirements 526 of reliability and construct validity. Many areas of new research have been 527 highlighted by this study, which would improve understanding of the effects of 528 rearing environments on development of dog behavior. Follow-up of dogs in this 529 study could help to elucidate effects of rearing environment on guiding. 530 suitability and matching between dog and guide dog owner. 531 532 **ACKNOWLEDGEMENTS** We would like to thank all of the Guide Dogs volunteer puppy walkers, and their 533 534 dogs, who participated in this study; without whom this study would not have 535 been possible. 536 537 CONFLICT OF INTEREST STATEMENT
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- 544

### 545 AUTHORSHIP STATEMENT

546 NH conceived and designed the study and data collection tools, collected data,

547 performed data analysis and drafted and revised the paper. PC and SB assisted

548 with design of the data collection tools, collected data and commented on drafts

and revisions of the paper. GE initiated the project, monitored the study and

commented on drafts and revisions of the paper. LA oversaw the study,

551 conceived and designed the study, monitored data collection, directed data

analysis, and drafted and revised the paper.

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	Tables
	ACCEPTED MANUSCRIPT
694	Table 1. Final puppy walker questionnaire scale structures based upon PCA and reliability analysis of
695	original and PCA groupings for dogs scored assigned at three different ages: 5M (n=265); 8M (n=214); 12M
696	(n=226). * Indicates the scale structure was changed from the expected structure due to improved internal
697	reliability. <sup>A</sup> Indicates that the anchors for the 100mm VAS scale were "Really does not describe this dog" to
698	"Really describes this dog" , whilst all remaining items were scored on a frequency scale from "Never" to
699	"Almost Always".

Scale	Item Wording	Direction	Scale
Trainability*	Attention can be attracted easily but it loses interest soon	-	Attentiveness
	Attention can be easily distracted	-	Attentiveness
	Needs obedience commands repeating to get a response	-	Trainability
	Seems like it doesn't listen even if it knows someone is speaking to it	-	Trainability
	Fidgets all the time		Trainability
	Is attentive to you <sup>A</sup>	+	Attentiveness
	Will look at you when you talk to it directly in the home environment	+	Attentiveness
	Is self-controlled and calm <sup>A</sup>	+	Misc.
	Stay's/Wait's' when instructed to	+	Trainability
	Learns new things quickly	+	Trainability
	Will respond immediately to the recall command while off lead	+	Trainability
	Is responsive to/focussed on you whilst playing retrieve games	+	Trainability
Body	Is uneasy with being physically handled/groomed	+	Body Sensitivity
Sensitivity*	Attempts to move away when you start to groom it	+	Body Sensitivity
Distractibility	Pulls (including lunging) towards unfamiliar dogs	+	Distractibility
	Is motivated towards/distracted by food on the ground and or on tables/shelves	+	Distractibility
	Shows interest (attempts to greet, sniffs, wags tail) when directly approached by children or member of the public	+	Distractibility
	Shows interest (attempts to greet, sniffs, wags tail) when passing childr or members of the public	ren +	Distractibility
	Shows interest (attempts to greet, sniffs, wags tail) when encounters ot dogs	her +	Distractibility
General	Is obviously disturbed by loud or unexpected sounds	+	General Anxiety
Anxiety*	Is spooked by odd or unexpected things or objects	+	General Anxiety
	Is anxious or uneasy in new situations	+	General Anxiety
	Backs away from or is reluctant to pass objects on the street (such as collecting boxes, bin bags or children's ride-on toys)	+	General Anxiety
Stair	Appears uneasy on closed stairs	+	General Anxiety
Anxiety*	Appears uneasy on open or unusual stairs	+	General Anxiety
Miscellaneous	Appears uneasy or uncomfortable when putting on Guide Dog equipme (including collars)	INA	Body Sensitivity
	Tucks tail under, flattens ears, whines or trembles when being physical handled/groomed	ly NA	Body Sensitivity
	Attempts to steal food	NA	Misc.
	When settled this dog reacts quickly to disturbances	NA	Misc.
	Is initially excitable (jumps up; barks; coughs etc.), but quickly settles	NA	Misc.
	Likes to carry objects in their mouth	NA	Trainability
	Returns directly to you if startled or frightened	NA	Misc.
	Jumps up on people (stands to place front paws on persons chest/legs)	NA	Misc.
	Plays by itself	NA	Misc.
	Is the first to initiate play with you	NA	Misc.

#### Tables

**Table 2. Reliability statistics for PWQ scales.** Cronbach's alpha statistics are provided for internal reliability at each age and mean across the ages,

 Spearman's correlations between ages are provided for temporal consistency and Intra-Class Correlation coefficients (ICCs) are provided for inter-rater reliability.

		Internal r	eliability		Temporal c	Inter-rater reliability (n=21)		
Scale	<b>5M</b> (n=265)	<b>8M</b> (n=214)	<b>12M</b> (n=226)	Mean	5-8M	8-12M	5-12M	ICC
Body Sensitivity <sup>3</sup>	0.58	0.73	0.67	0.66	0.56**	0.60**	0.46**	0.20
Distractibility <sup>2</sup>	0.75	0.76	0.74	0.75	0.66**	0.61**	0.61**	0.48**
General Anxiety <sup>3</sup>	0.68	0.75	0.75	0.73	0.49**	0.66**	0.55**	0.44*
Stair Anxiety <sup>3</sup>	0.77	0.79	0.89	0.82	0.19*	0.59**	0.18*	0.33*
Trainability <sup>3</sup>	0.71	0.62	0.78	0.70	0.47**	0.52**	0.57**	0.38*

*Note*: Numbers in superscript indicate the final scale structure decision: <sup>1</sup> PCA analysis confirmed the original structure, so original structure retained (did not occur here); <sup>2</sup> PCA or Cronbach's analysis identified a new structure with reduced internal reliability, so original structure retained; <sup>3</sup> PCA or Cronbach's analysis identified a new structure with reduced internal reliability, so original structure retained; <sup>3</sup> PCA or Cronbach's analysis identified a new structure with reduced internal reliability.

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701	Table 3. Demographic characteristics of the 11 items from the Environmental Information survey
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#### 702

(n=224).

Variable		Missing
PW age	Mean ± S.D. = 56 ± 12	3
Other dogs in household	None: 70%, one: 28%, two: 3%	0
Number of adults in household	One: 14%, two: 60%, three: 14%, four: 11%, more than four: 1%	1
Number of children in household	None: 82%, one: 8%, two: 6%, three: 4%	0
Pet dogs previously owned (Y/N)	Yes: 84%, No: 15%	1
Pups walked previously	Mean ± S.D. = 4.5 ± 7.1	3
Attended puppy classes (Y/N)	Yes: 86%, No: 14%	1
Frequency of dog-dog play (0-100)	Mean ± S.D. = 65.96mm ± 28.68mm	4
Child meet frequency (0-100)	Mean ± S.D. = 56.85mm ± 29.68mm	4
Average hours unattended weekend day	<1: 38%. 1-2: 36%, 2-3: 18%, 3-4: 5%, 4-5: 1%, >5: 0%	4
Average hours unattended weekday	<1: 20%. 1-2: 43%, 2-3: 27%, 3-4: 8%, 4-5: 0%, >5: 0%	4

703

704Table 4. Results of univariate analyses of Environmental Information variables against Puppy705Walker Questionnaire and C-BARQ scale scores. Coefficients for each significant variable are given,706as the dependent variable is a continuous measure between 0-100 the coefficient represents the mean707percentage increase or decrease in scale score associated with each unit of the variable in question. '.'708p<0.1, \*p<0.05, \*\*p<0.01, , '-' indicates no significance.</td>

Variable	CBARQ Excitability	CBARQ Energy Level	CBARQ Separation-related behavior	CBARQ Attachment and attention seeking	Distractibility	Trainability	General Anxiety
Age of PW	-0.21*	-	-	-	-0.25*	0.13.	-
Number of other dogs in household	-	5.78*	-	-	-	-	-3.78 .
Number of adults in household	-	-2.47 .	-	-	-	) -	-
Number of children in household	4.13**	4.12*	-	-	3.71*	-	-
Pet dogs previously owned (Y/N)	-	-	-	- 🖌	-	-	-
Number of pups previously walked	-0.31.	-0.48*	-	-	-0.68**	-	-
Puppy class frequency	-	-	-	-	<u> </u>	-	-
Frequency of dog-dog play (0-100)	-	0.10*	-0.06*	-	-	-	-
Child meet frequency (0-100)	-	0.13**	-	-	-	0.08*	-
Unattended weekend day	-	-	-3.70*	-	-8.23*.	-	-
Unattended weekday	-	_	- /	-	-8.11*	-	-

709

710

- 712 **Table 5.** Results of multivariate logistic regression analyses comparing environmental information about
- $713 \qquad \text{the environment a guide dog puppy lives in for the first year of life to scores assigned to them by their}$
- $714 \qquad \text{puppy walker at 12 months of age using the Puppy Walker Questionnaire (PWQ). The scoring scale for the}$
- scores is a 100mm visual analogue scale so the coefficients represent the mean percentage change in the
- score, associated with each unit change in the independent variable. <sup>a</sup> indicates a CBARQ scale and <sup>b</sup>
- 717 indicates a new PWQ scale.

Scale	Mean	Independent variable	Coefficient	SE	Р
Energy Level <sup>a</sup>	71.5	Child meet frequency (0-100)	0.12	0.04	0.005
		Number of other dogs in household	5.66	2.44	0.021
		Number of pups walked previously	-0.58	0.19	0.002
Excitability <sup>a</sup>	27.7	Children in the household (count)	6.20	1.96	0.002
Separation-related behavior <sup>a</sup>	10.3	Frequency of dog-dog play (0-100)	-0.06	0.02	0.015
Distractibility <sup>b</sup>	54.4	Number of pups walked previously	-0.68	0.21	0.001
Trainability <sup>b</sup>	74.0	Child meet frequency (0-100)	0.07	0.03	0.006

#### HIGHLIGHTS

- A composite questionnaire for juvenile guide dog behavior is described and evaluated
- Reliable scoring scales were identified that could represent dog personality 'traits'
- Associations were identified between rearing environment and questionnaire scores
- Factors related to the dog's social environment were most associated with the 'trait' scores