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2	Short title: Stomatal cuticular ledge formation requires FOCL1
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8 9	Formation of the Stomatal Outer Cuticular Ledge Requires a Guard Cell Wall Proline- Rich Protein
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16	Summary:
17 18 19	Plants lacking the guard cell expressed, proline-rich secreted protein FOCL1, are drought tolerant, because they fail to form a stomatal cuticular ledge and produce stomatal pores that are covered by a continuous cuticle.
20	Author Contributions:
21 22 23	J.E.G, A.J.F and L.H. conceived the project, designed the experiments, analysed the data and wrote the article; J.E.G., L.H., A.J.F., J.K.H. and R.S. supervised the experiments; L.H. performed most of the experiments with input from S.A., A.B., M.M., A.M., R.S, K.S and T.D.
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## 32 Abstract

33 Stomata are formed by a pair of guard cells which have thickened, elastic cell walls to 34 withstand the large increases in turgor pressure that have to be generated to open the pore 35 that they surround. We have characterised FOCL1, a guard cell-expressed, secreted protein with homology to hydroxyproline-rich cell wall proteins. FOCL1-GFP localises to the guard 36 37 cell outer cuticular ledge and plants lacking FOCL1 produce stomata without a cuticular 38 ledge. Instead the majority of stomatal pores are entirely covered over by a continuous 39 fusion of the cuticle, and consequently plants have decreased levels of transpiration and 40 display drought tolerance. The focl1 guard cells are larger and less able to reduce the 41 aperture of their stomatal pore in response to closure signals suggesting that the flexibility of 42 guard cell walls is impaired. FOCL1 is also expressed in lateral root initials where it aids lateral root emergence. We propose that FOCL1 acts in these highly specialised cells of the 43 44 stomata and root to impart cell wall strength at high turgor and/or to facilitate interactions 45 between the cell wall and the cuticle. 46

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#### 49 Introduction

50 Plant cell walls typically consist of a network of cellulose, hemicellulose, pectin and lignin, 51 but also contain many structural proteins of unknown function such as hydroxyproline rich 52 glycoproteins (HRGPs) (Lamport et al., 2011). This group of proteins include proline-rich proteins (PRPs), arabinogalactan proteins (AGPs) and extensins. HRGPs are sequentially 53 54 post-translationally modified by proline 4-hydroxylases (P4Hs) converting proline residues to 55 hydroxyproline, and then by O-glycosyltransfeases (GTs) adding sugar moieties to 56 hydroxyproline residues. These post-translational modifications are thought to contribute to 57 the structural and possibly to the intercellular communication properties of the cell wall. 58 Extensins are the best characterised of the plant HRGPs and these are commonly 59 arabinosylated by the HPAT family of GTs before being arabinogalactosylated (Velasquez et 60 al., 2011; Ogawa-Ohnishi et al., 2013). Extensins are cross-linked at tyrosine residues by 61 peroxidases and processed by proteases which insolubilise and lock the extensins into the 62 cell wall structure (Helm et al., 2008; Lamport et al., 2011). Extensins were originally isolated 63 from elongating coleoptiles over 50 years ago (Lamport, 1963) and proposed to be involved 64 in cell wall extensibility, but this has never been functionally confirmed (Lamport et al, 2011). 65 Nonetheless, roles for extensins have been observed in Arabidopsis embryo and root development (Cannon et al., 2008; Velasquez et al., 2011); embryos lacking EXT4 are 66 67 defective with irregular cell size and shape and root hairs lacking EXT6-7, EXT10, and 68 EXT12 show reduced root hair elongation. Similar root hair phenotypes are seen in plants 69 lacking P4H activity due to reduced proline hydroxylation and O-arabinosylation of extensins, 70 suggesting that these post-translationally modified proteins influence root hair growth 71 (Velasquez et al., 2011). There are 51 genes annotated as encoding extensins or extensin-72 like proteins in the Arabidopsis genome (Showalter et al., 2010) and it appears likely from 73 their specific expression patterns that they are involved in a range of growth, developmental 74 and stress responses (Merkouropoulos and Shirsat, 2003), although plants manipulated to 75 produce abnormally high levels of EXT1 appear to develop normally with the exception of 76 having thicker stems (Roberts and Shirsat, 2006). Physiological roles in aerial tissues remain 77 elusive and the failure to identify a function for extensins and indeed other HRGPs in shoots 78 is likely to be due to redundancy within this large gene family, a common problem in plant 79 cell wall protein studies.

The studies of extensins in root hairs described above indicate that it is possible to gain information about their function in a specialised and well-studied cell type. We therefore decided that because of the unique properties of guard cell walls and the tractability of measuring stomatal development and function, it might be possible to identify the function of a cell wall protein that is predominantly expressed in guard cells. Pairs of guard cells 85 surround and adjust the aperture of stomatal pores in response to environmental signals 86 which trigger changes to the turgor pressure of the cells (Kollist et al., 2014). Large turgor 87 changes within guard cells occur over short time scales (typically minutes), with turgor 88 increases causing stomatal opening, and decreases causing closure. Thus in comparison to 89 other cell types, guard cells require particularly strong and elastic cell walls. However, there 90 is currently no genetic evidence of a role for cell wall HRGPs in stomatal function, although 91 individual polysaccharide moieties of the mature guard cell wall are known to be important 92 for pore aperture control as removal of the arabinan component of the guard cell wall or 93 modifying pectin methyl esterification impairs stomatal opening and closing (Jones et al., 2003; Amsbury et al., 2016). 94

95 During leaf epidermal development the division of guard mother cells forms pairs of guard 96 cells. Stomatal pores subsequently form between each guard cell pair but little is known of 97 the processes regulating guard cell wall maturation and stomatal pore formation. 98 Microscopic observations show that the cell walls between adjacent guard cells (which are 99 destined to line each stomatal pore) thicken and separate. The exterior surface of the leaf 100 becomes coated with a waterproof layer of cuticle and an extended ledge or lip forms around 101 each stomatal pore which is known as the outer cuticular ledge (OCL). The exact function(s) 102 of this cuticular ledge are unknown, but it has been proposed to prevent water loss by 103 sealing the pore when the stomate is closed; to prevent water droplets entering when the 104 pore is open; and to tilt its orientation to help open and close the stomatal pore (Fricker and 105 Wilmer, 1996; Zhao and Sack, 1999; Kozma and Jenks, 2007). No specific proteins have yet 106 been localised to the OCL. We report here that Arabidopsis thaliana plants lacking an OCL-107 localised gene product annotated (by TAIR www.arabidopsis.org) as an 'extensin-like 108 protein', have larger stomata, show defects in stomatal closure, and most notably possess a 109 malformed outer cuticular ledge that forms a fused cuticular layer over the stomatal pores. 110 Hence we have named this protein Fused Outer Cuticular Ledge or FOCL1. In addition to its 111 roles in stomata, we also report that FOCL1 influences lateral root emergence. Our results 112 therefore provide a link between a secreted proline-rich protein and its function in the cell 113 walls of specific plant cell types.

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### 115 Results

## 116 FOCL1 has features of hydroxyproline rich cell wall glycoproteins

117 The predicted amino acid sequence encoded by Arabidopsis gene *At2g16630*, named here

- as FOCL1, contains a putative signal sequence suggesting that it is secreted, and a proline-
- rich domain with several motifs typical of HPRGs; including eight proline-valine motifs which

- are normally hydroxylated and four repeated triple proline residues that are most likely post-
- translationally modified (Kieliszewski and Lamport, 1994; Menke et al., 2000) (Fig. 1A and
- Supplemental Fig. 1). Nonetheless, the FOCL1 protein is not a classical extensin as it lacks
- 123 the characteristic conserved serine-polyproline repeats and the YXY or VYX domains
- required for tyrosine intermolecular cross-linking (Kieliszewski and Lamport, 1994).
- 125 However, FOCL1 may be inter-molecularly bonded by tyrosine residues in a different
- sequence context, as seen for HRGP, PRP10 (Chen et al., 2015). FOCL1 orthologues occur
- across a wide range of plant species but the closest homologue of *FOCL1* in Arabidopsis,
- encodes a protein of unknown function with only 24% identity. At2g20515 has similarity with
- the C-terminus of FOCL1 but lacks the N-terminal and central proline-rich regions of FOCL1
- 130 (Supplemental Fig. 1).
- 131 FOCL1 also shows conservation with an atypical AGP known as AGP31; both possessing
- 132 distinctive tandem proline-rich PKVPVISPDPPA/TTLPP domains (Showalter et al., 2010; Liu
- and Mehdy, 2007) (Supplemental Fig. 2). As proline residues of the AGP31 proline-rich
- domain are known to be hydroxylated and glycosylated (Hijazi et al., 2012), it is likely that
- this is also the case for the conserved domain in FOCL1. However, FOCL1 and AGP31 have
- 136 lower proline content than many HRGPs and are therefore unlikely to have very high levels
- 137 of post-translational glycosylation. Thus FOCL1 resembles a hydroxylated proline-rich,
- 138 structural cell wall protein but it is neither a classical extensin nor a typical AGP.
- BLAST analysis revealed homology of the FOCL1 N-terminus with 'Pollen Ole e 1 allergen and extensin family' proteins but these lack the C-terminal domain and proline rich region
- present in FOCL1 (not shown), suggesting that FOCL1 might be a chimeric protein with a
- Pollen Ole e 1 extensin-like domain at the N-terminus, a proline-rich AGP31-like tandem
- repeat in the middle of its sequence, and an At2g20515-like domain at the C-terminus.

# 144 FOCL1 is expressed in guard cells and lateral root primordia

- 145 Published transcriptome data indicate that *FOCL1* is strongly expressed in guard cell
- 146 protoplasts and in roots, and that expression levels are lower in root than in shoot tissue
- 147 (Zimmerman et al., 2005; Winter et al., 2007; Yang et al., 2008). We examined *FOCL1*
- 148 expression patterns using plants expressing the  $\beta$ -glucuronidase gene under the control of
- the DNA region upstream of the *FOCL1* coding sequence (*pFOCL1:GUS*). GUS expression
- 150 was predominantly observed in immature and mature guard cells (Fig. 1B and C). Staining
- 151 was not present in guard cell precursors (such as guard mother cells) suggesting that
- 152 FOCL1 is not directly involved in the formation or patterning of stomata during shoot
- development. Staining was also seen in emerged lateral roots (Fig. 1D) and developing
- primordia. Together these results suggested that FOCL1 is an HRGP which could potentially

function in the cell walls during guard cell maturation and function, and during lateral rootdevelopment.

## 157 Plants lacking FOCL1 have large stomata

158 Two independent Arabidopsis lines with T-DNA insertions 200bp apart in the third exon of 159 the FOCL1 gene were isolated and named focl1-1 and focl1-2 (Supplemental Fig. 3A). 160 Expression of the FOCL1 transcript was not detectable by RT-PCR of homozygous focl1-1 161 plants with primers spanning the insertion site (Supplemental Fig. 3B) but a product was 162 seen in *focl1-2* with primers upstream of the insertion site, suggesting a truncated protein 163 could be produced. focl1-1 and focl1-2 plants were both smaller than wild-type, with reduced 164 rosette width at bolting. Growth of focl1-1 plants was more severely affected than focl1-2 165 plants, and these were smaller and paler than *focl1-2* (Supplemental Fig. 4). As we had 166 observed strong expression of FOCL1 in guard cells we examined the leaf surfaces of these 167 plants using epidermal imprints. Both focl1-1 and focl1-2 showed significant increases in 168 abaxial stomatal index (SI) in the experiment shown in Fig 1E due to a significant decrease 169 in the number of pavement cells. However we observed no consistent alteration in stomatal 170 density in replicated experiments, no clustering of stomata, or arrested precursor cells as 171 often seen in stomatal developmental mutants (e.g. Hunt and Gray, 2009). Instead, we 172 observed an unusual phenotype; in both imprints and in cleared images of whole leaves 173 focl1 stomata were obviously larger than normal, and had a pore that appeared to be 174 different to wild-type (Fig. 1, F-I). Measurement of stomatal dimensions confirmed significant 175 increases in width and length of foc/1-1 guard cell pairs; on the abaxial and adaxial leaf 176 surfaces foc/1-1 stomata were 31% and 34% larger than wild-type stomata when their area 177 was calculated as an ellipse (Fig. 1J). To confirm that both the reduced rosette growth and 178 larger stomata were caused by lack of FOCL1, focl1-1 and focl1-2 mutations were 179 complemented by transformation with a genomic fragment containing the wild-type FOCL 180 gene with an N-terminally fused GFP (focl1-1pFOCL1:GFP-FOCL1) or C terminally fused 181 MYC tag (focl1-2pFOCL1:FOCL-MYC1). This GFP-FOCL1 protein rescued rosette growth 182 and returned stomatal complex sizes to wild-type values in both mutant backgrounds 183 (Supplemental Fig. 4, A-B, 5A-B). 184 FOCL1 is involved in the formation of stomatal pore outer cuticular ledges

To investigate *focl1* stomatal morphology in detail we examined leaf surfaces using cryoSEM on 3 week old plants. This revealed that in immature 'rounded' stomates the pore is covered by a cuticular layer, which appears to tear to form the outer cuticular ledge and to reveal and surround the pore as the guard cells lengthen and mature. In contrast, *focl1* stomatal pores remained covered-over, or occluded by what appears to be an extension of the cuticle and 190 do not form an outer cuticular ledge around the pore (Figs. 2A-F). Further SEM analysis 191 showed that even after fixation and dehydration the majority (approx. 90%) of *focl1* pores on 192 mature leaves and stems remain occluded (Supplemental Fig. 6) with a minority of stomata 193 forming a slit-like opening (Fig. 2, and Supplemental Fig. 7). To confirm that the numerous 194 occluded stomatal pores were not an artefact of electron microscopy we imaged the 195 epidermal surface topography of several stomates from fresh leaf tissue using both vertical 196 scanning interferometry (VSI; Fig. 3, A and B) and atomic force microscopy (AFM; Fig. 3, C 197 and D; Supplemental Fig. 8). These two techniques which physically probe the surface of an 198 object to measure height differences both confirmed that focl1 stomatal pores are covered 199 by what appears to be a continuous layer of cuticle. Furthermore light microscopy of stained 200 cross-sections of stomata also revealed a continuous 'fused' cuticular ledge formed between 201 the edges of the two guard cells surrounding the pore (Fig. 3, E-H).

202 Staining with the lipophilic stain Nile red, revealed a sharp discrete cuticular ledge

surrounding the outer edge of wild-type stomatal pores, attached to the guard cells (Fig. 4A).

204 In *focl1* stomates this staining was more diffuse and spread across the whole pore area (Fig.

4B). To further investigate the chemical nature of this lipophilic material covering the

stomatal pores, we used Raman microscopy. The wild-type and *focl1-1* guard cells produced

similar Raman spectra when central regions of the cells distant from the ledge were

analysed (Fig. 4, C and F). Peaks of wavelength 2840 and 2880, indicative of epicuticular

209 waxes (Greene and Bain, 2005), were observed in the cuticular ledge region of wild-type

guard cells (Fig. 4D). Similar peaks in the spectra were observed after analysis of spots in

the middle of the occluded *focl1-1* pore (Fig. 4G) whereas the spectrum over the wild-type

212 pore aperture area did not show peaks at these wavelengths (Fig. 4E). Thus it appears that

213 guard cells lacking FOCL1 are able to produce epicuticular wax material but are unable to

214 properly form a cuticular ledge around their stomatal pores, and consequently the cuticle

forms a continuous layer across the pore.

# FOCL1 protein localises to the outer cuticular ledge of guard cells

217 To investigate whether FOCL1 is a secreted cell wall protein as predicted by its sequence, 218 and whether it could act in the formation of the guard cell cuticular ledge, we examined the 219 subcellular localisation of the FOCL1 protein. To do this we analysed the expression of a 220 FOCL1-GFP fusion protein in vivo (in focl1-2 plants transformed with the promoter and 221 coding region of FOCL1 in frame with a C-terminal GFP tag). The results shown in Figs. 5A 222 and B indicate that the fluorescent fusion protein accumulates specifically in the cuticular 223 ledge of guard cells, further indicating that FOCL1 is secreted from guard cells and acts 224 directly in the formation of the cuticular ledge.

225

### 226 Lack of FOCL1 impairs stomatal aperture control and transpiration

227 We tested whether the fused stomatal cuticle phenotype of *focl1* mutants would affect the 228 ability of plants to carry out gas exchange. To assess transpiration, plants were grown at 229 high humidity and kept well-watered (in a propagator with a lid). Their leaf surface 230 temperatures were monitored by infrared thermography, which is a proxy measure of 231 transpiration rate. On average mature leaves of FOCL1 mutants were approximately 1°C 232 warmer than control plants and remained hotter for at least 2.5 hours after humidity was 233 reduced (by removal of the propagator lid) suggesting a reduced level of transpiration and 234 evaporative cooling in the focl1 plants (Fig. 6, A and B). The focl1 plants retained their 235 warmer temperature throughout the experiment whilst the wild-type plants slowly adjusted to 236 the less humid environment by reducing their level of transpiration and eventually increasing 237 their temperature to a similar level to that of the mutants (presumably by closing their 238 stomatal pores). Leaf porometry measurements on well-watered unperturbed plants also 239 confirmed a substantially reduced level of stomatal conductance from *focl1* leaves (Fig. 6C) 240 which is consistent with the observation that *focl1* stomata are partially or completely 241 occluded by a covering of cuticle (Fig. 2 and Fig. 3). We confirmed that the reduced 242 transpiration phenotype was due to loss of FOCL1 by showing that leaf temperatures were 243 returned to wild-type levels when focl1-1 or focl1-2 were complemented with the wild-type 244 gene (in focl1-1pFOCL1:FOCL-MYC1 or focl1-1pFOCL1:GFP-FOCL1 or focl1-245 2pFOCL1:FOCL-MYC1; Supplemental Fig. 9).

246 We next explored whether the alterations in the morphology of *focl1* stomata and their 247 cuticles affected their ability to close their pores in response to environmental stimuli. To 248 investigate the effect of the lack of FOCL1 on stomatal aperture control we measured 249 stomatal pores from isolated epidermal strips following incubation with 10µM ABA (a plant 250 stress hormone that triggers stomatal closure). All pores in the field of view were measured 251 as it was not possible to tell under light microscopy whether they were covered-over or not. 252 Although the *focl1* stomata closed to some extent in response to ABA, they were unable to 253 close as fully as wild-type stomata and the width and areas of their pore apertures remained 254 significantly larger (Fig. 6, D-F). To take account of the increased stomatal complex size in 255 focl1 in these experiments, we calculated the relative reductions in pore width and area; in the presence of ABA wild-type stomatal pore width and area decreased by 90% and 91% but 256 257 foc/1-1 stomatal pore width and area decreased by only 54% and 42% respectively. Thus, it 258 appears that loss of FOCL1 leads to impaired guard cell movement. However, despite their 259 impaired ABA-inducible stomatal closure, focl1 plants wilted less readily than wild-type when 260 water was withheld for 7 days, presumably because of their occluded stomata and reduced

261 level of transpiration. In these experiments both *focl1* lines displayed drought tolerance,

showing no visible signs of water stress whereas the wild-type plants were unable to recover

when re-watered (Fig. 6G).

## 264 FOCL1 acts during lateral root emergence and influences root architecture

265 A detailed study of *pFOCL1:GUS* roots indicated that *FOCL1* is expressed at a very early 266 stage of lateral root development. Lateral roots originate from lateral root founder cells 267 located opposite xylem pole pericycle cells. FOCL1 is expressed soon after division of the 268 founder cells (Fig. 7A). GUS expression is first seen in stage II primordia (Peret et al., 2009) 269 and then continues throughout the further stages of lateral root primordia development 270 (stages III to VII) and emergence (Fig. 7A). FOCL1 expression appeared to be specifically 271 associated with the developing and emerging lateral root primordia and no staining was 272 observed in the surrounding or the overlying cells of the parent root prior to emergence. 273 As FOCL1 is expressed in early root development we explored whether focl1-1 and focl1-2 274 mutants had defects in lateral root primordia development and emergence. Lateral root 275 numbers, density, primary root lengths and lateral root stages were measured in 11 day old 276 seedlings. As shown in Fig. 7, C-E, there was a significant reduction in primary root length, 277 lateral root number and lateral root density in *focl1* seedlings compared to wild-type. To 278 further explore if this defect was due to defects in lateral root growth rate or in lateral root 279 initiation and/or emergence, roots were cleared and all stages of lateral root primordia 280 scored. The results shown in Fig. 7F indicate that lateral root development was significantly 281 delayed in focl1-1 at stages IV and V. These are the stages when a series of anticlinal and 282 periclinal divisions produce a dome shape structure that protrudes through the cortex 283 towards the epidermal layer prior to emergence. These data indicate that the FOCL1 protein 284 is required for the growth of early lateral root primordia through the parent root.

285

#### 286 Discussion

#### 287 FOCL1 is a putative cell wall structural protein

288 Plants produce many non-enzymatic proteins that are believed to influence the structure and 289 mechanical properties of their cell walls. However, despite extensive study, the function of 290 most of these proteins remains elusive. We have characterised a putative Arabidopsis cell 291 wall structural protein which is required for the correct functioning of guard cells and lateral 292 root initials. The expression of FOCL1 in these discrete cell types of the epidermis and root 293 suggests that this protein is required to create the particular cell wall properties associated 294 with their specific functions. The FOCL1 protein has a predicted signal sequence and 295 proline-rich region typical of cell wall HRGPs (Kieliszewski and Lamport, 1994). The 296 deduced protein sequence bears limited similarity to extensins except for several potentially 297 hydroxylated proline residues which are conserved with the proline rich domain of AGP31 298 (Supplemental Fig. 2). Thus, FOCL1 is not an extensin and appears to be the only member 299 of a distinct subgroup of Arabidopsis HRGPs. The proline-rich sequence suggests that 300 FOCL1 most likely interacts with other cell wall components through its primary structure or 301 through specific post-translational modifications of hydroxyproline residues. Through these 302 interactions it may guide the assembly of new cell wall material, or it may be involved in 303 maintaining the structure and rigidity of the cell wall.

# 304 Role and structure of the stomatal outer cuticular ledge

305 The guard cell wall and its extracellular matrix have an important and specialised role in the 306 functioning of stomata and in preventing plant desiccation (Jones et al., 2003). We show that 307 FOCL1 is localised in the guard cell outer cuticular ledge and that plants lacking FOCL1 308 have their stomata occluded by a continuous layer of cuticle formed from a fused outer 309 cuticular ledge. The retarded growth of these plants is most likely explained by reduced  $CO_2$ 310 entry and carbon assimilation, although it is possible that the delayed development of their 311 root initials may also contribute to poor seedling establishment. The timing of FOCL1 312 expression during guard cell maturation (Fig. 1) and the relatively normal structure of 313 stomates beneath the *focl1* cuticle suggest that OCL formation occurs after guard mother 314 cell division and pore formation. This indicates that the *focl1* guard cells may have a defect 315 in the framework or assembly of the cell wall which normally sculpts the cuticular ledge into a 316 distinct elliptical shape (Fig. 2). This defective cell wall is also likely to be the reason for the 317 increased size of focl1 stomata; turgor pressure is probably exerting a force to inflate the 318 guard cells that is normally restrained in wild-type guard cells by their more rigid cell wall 319 framework. It is possible, but less likely, that larger stomata could be due to reduced 320 intercellular CO<sub>2</sub> concentration (Ci) resulting from abrogated stomatal function. Low C<sub>i</sub> has

been associated with an increase in stomatal complex size but this is normally linked to a decrease in stomatal density (Franks and Beerling, 2009) and *focl1* showed an increase in stomatal index and no difference in density, suggesting that it is most likely due to an impairment in guard cell wall function. In line with this proposal, we also found that the *focl1* stomata were impaired in their ability to close (Fig. 6). This is most likely due to a defect in the guard cell walls and may be indicative of a lack of elasticity in the rather large *focl1* guard cells.

328 The stomatal OCL has been little studied and FOCL1 is the only protein known to be 329 localised to this structure. Mutant plants that are unable to synthesise cutin, such as *lacs2*, 330 have diminished cuticular ledges and increased transpiration rates, indicating a probable role 331 in preventing water loss (Li et al., 2007; Macgregor et al., 2008). In contrast, plants lacking 332 FOCL1 have the opposite phenotype: an overgrowth of the cuticular ledges associated with 333 reduced transpiration, suggesting that FOCL1 defines the extent of the OCL in guard cells. 334 The OCL is an extension of the guard cell wall derived from the middle lamella which 335 contains unesterified pectins and glycans (Majewska-Sawka et al., 2002; Merced and 336 Renaglia, 2014; Wilson et al., 2015; Amsbury et al., 2016). Plant cuticles are anchored to 337 cell walls by extended pectic lamellae, and can be released by pectinase or cellulase treatment (Jeffree, 2006). As the proline-rich region of FOCL1 is likely to be decorated with 338 339 pectic sidechains containing galactose and arabinose (Hijazi et al., 2012) it is possible that 340 the post-translationally modified FOCL1 protein normally interacts with pectin or cutin in the 341 OCL where it is located (Fig. 5B). Thus FOCL1 could be required to facilitate interactions 342 between the guard cell wall and the cuticle that are necessary for OCL formation (Jeffree, 343 2006).

344 FOCL1 is involved in lateral root development.

345 Plants lacking FOCL1 show defects in primary root and lateral root development. However, 346 in our experiments *pFOCL1:GUS* staining was not consistently observed in the primary root 347 (Fig. 7) and it is possible that reduced primary root growth is related to the smaller size of 348 focl1 plants due to their covered-over stomata, or that additional FOCL1 promoter regions 349 are required for primary root expression. Nonetheless, the specific GUS expression pattern 350 in developing and emerged lateral roots and lateral root defects in focl1 plants indicate that 351 FOCL1 has a direct effect on lateral root development. The lateral root emergence process 352 is thought to involve a separation of overlying cortex and epidermal cells along their middle 353 lamella. Indeed, cell wall modifications have previously been shown to play a role in lateral 354 root development (Swarup et al., 2008). Several genes encoding cell wall remodelling 355 enzymes show specific expression in the cells overlaying new lateral root primordia and are 356 induced by auxin, which plays a key role in initiation, emergence, and elongation of lateral

357 roots (Swarup et al., 2008, Voss et al., 2015). It is unlikely that FOCL1 is directly involved in 358 this cell separation process though as its expression is restricted to developing lateral root 359 primordia and is never detected in the outer tissues. Interestingly the reduced cutin levels in 360 the lacs2 mutant cause both a defective OCL and increased lateral root formation 361 (Macgregor et al., 2008) which may be related to an altered root cuticle, or indirectly related 362 to the increased transpiration in these mutants. Thus the *focl1* root phenotype, like the *focl1* 363 occluded stomata phenotype, might also result from a defective relationship between the cell 364 wall and the cuticle.

365 Our experimental results indicate that FOCL1 is not required for lateral root initiation but is 366 required for the development of lateral root primordia prior to emergence (Peret et al., 2009). 367 During this period the lateral root initial cells of the pericyle divide periclinally and expand 368 radially, whilst the endodermal cell layer overlaying the primordium separates to allow the 369 lateral root to expand and protrude through into the cortical layer. The process by which the 370 lateral root passes through these cell layers is poorly understood but is believed to involve 371 both biomechanical forces and cell wall modifications (Geldner, 2013). Indeed it has recently 372 been suggested that a build-up in turgor pressure within the cells of the primordium through 373 the regulation of water flux by aquaporin activity and auxin, enables the lateral root to extend 374 and force itself through the overlying cell layers (Peret et al., 2012). Thus it appears possible 375 that in lateral root primordia, and in guard cells, FOCL1 could provide the cell wall strength 376 that allows cells to withstand the high turgor pressures required to expand and to fulfil their 377 function. Alternatively FOCL1 could be involved in guiding and directing newly synthesised 378 components into the cell wall that are required for cellular expansion and function.

In conclusion we propose that FOCL1 is specifically required for the function of lateral root tip cells and guard cells by playing a role in assembling or strengthening the cell wall, and in anchoring it to the developing cuticle. As it appears that the same protein has been recruited to fulfil a function in the walls of cell types with two very different functions, *focl1* mutants provide a new tool for the study of HRGPs. We hope that future studies of *focl1* roots and stomata may reveal the precise role of a plant proline-rich cell wall protein.

385

## 386 Materials and Methods

# 387 Plant Materials

388 *Arabidopsis thaliana* plants were grown on a 9hr day (200  $\mu$ mol m<sup>-2</sup>s<sup>-1</sup> light, 22°C), 15 hr

- night (16°C) cycle at 60% humidity. T-DNA insertion lines WiscDsLoxHs053\_08G (*focl1-1;*
- Woody et al., 2007) and SK5131 (focl1-2; Robinson et al., 2009) were obtained from NASC,
- 391 Nottingham UK. Plants were confirmed as homozygous for the insertion by PCR verification

- 392 with primers WiscDsLoxHs053\_08G ,5'-gagccatcagcttgttctcac-3', 5'-tgttcatgtccctctggaatg-3
- 393 or SK5131 5'-gcttccaccattgcctcaaa-3', 5'-tgttcatgtccctctggaatg-3'. To confirm lack of, or
- truncated, *FOCL1* transcript RT-PCR was carried out. RNA was extracted with Spectrum
- 395 RNA kit (Sigma-Aldrich) and 2µg converted to cDNA with Maxima H Minus reverse
- transcriptase (Thermo Fisher Scientific). cDNAs were diluted five-fold and *FOCL1* transcript
- 397 amplified using primers foclf1 5'-gcttcaggtcctgcacagaaa-3', foclr1 5'- tctgcaggtcccggaattag-3'
- 398 and foclr2 5'-acaaaaagaacttggctgaactgg-3'. ACT3 was amplified as loading control using 5'-
- 399 ctccggcgacttgacagagaag-3' and 5'-ggaggatggcatgaggaagaga-3'.

# 400 Histochemical GUS staining

- 401 *pFOCL1:GUS* gene construct was produced by PCR amplifying 2kb upstream from the
- 402 FOCL1 translation start site with primers 5'-tgtatgataattcgagctacgattctaggcgcaaaag-3', 5'-
- 403 agaaagctgggtcggagcaataaagaagaagaagaagaagaac-3' and combined by Gibson cloning (Gibson
- 404 et al., 2009) into *pBGWFS7* (Karimi et al., 2002) containing the upstream region of *EPF*2
- 405 (Hunt and Gray, 2009) which was then removed by digestion with Sacl and Ascl. The
- 406 plasmid was transformed into Agrobacterium GV3101 by freeze/thaw, and plants
- transformed by the floral dip method (Clough and Bent, 1999). Transformants were selected
- 408 by spraying with Basta (Liberty, Agrevo). Histochemical staining for GUS activity was carried
- 409 out on leaves of T1 seedlings in 50 mM potassium phosphate, 1mM potassium ferrocyanide,
- 410 1 mM potassium ferricyanide, 0.2% Triton X-100, 2 mM 5-bromo-4-chloro-3-indolyl-β-d-
- 411 glucuronic acid, and 10 mM EDTA after vacuum infiltration at 37°C. Leaves were
- decolorized in 70% ethanol, cleared in 80% chloral hydrate and images captured with an
- 413 Olympus BX51 microscope connected to a DP51 digital camera using Cell B software.
- 414 Expression pattern shown was typical of several independently transformed lines. GUS
- staining in the roots was performed on 11 day old roots as described previously (Lucas et
- 416 al., 2012).

# 417 Genetic Complementation

- 418 *pFOCL1:FOCL1-GFP* was generated by amplifying genomic DNA with primers
- 419 5'-tgtatgataattcgagctacgattctaggcgcaaaag-3', 5'-
- 420 agaaagctgggtcggagcaataaagaagaagaagaagaaac-3' and combined via Gibson cloning into
- 421 *pMDC107* previously cut with Xbal and Ascl. *pFOCL1:FOCL1-MYC* was generated by
- 422 cutting *pFOCL1:FOCL1-GFP* with KpnI and SacI. The MYC tag from *pCTAPa* (Rubio et al,
- 423 2005) was amplified using the primers 5'-tggtacctaacagcgggttaattaac-3' and 5'-
- 424 tgaacgatcggggaaattcg-3'and the product digested with KpnI and SacI and ligated into a
- similarly cut *pFOCL1:FOCL-GFP* to create *pFOCL1:FOCL-MYC*. The plasmid was

- transformed into Agrobacterium strain GV3101 by freeze thaw by floral dip method and
- 427 selected on 0.5 x MS plates containing 5mg/L hygromycin.
- 428 *pFOCL1:GFP-FOCL1* was generated by overlapping PCR using primers 5'-
- 429 taaaacgacggccagtgccaacgattctaggcgcaaaag-3', 5'-ctttactcatggtggctaagcagagaac-3', 5'-
- 430 ttacaccatttttgtatagttctaccatgcc-3', 5'-actatacaaaaatggtgtaaccggatatg-3' and 5'-
- 431 cgatcggggaaattcgagctttgctgagcgttgatgtg-3. The products were ligated into pJET1.2 by blunt
- 432 ended cloning then excised using Xhol and Xbal. The digested product was ligated into
- 433 pMDC99 cut with Sall and Spel and transformed into *focl1-1* as above.

## 434 Stomatal density, size and aperture measurements

435 Stomatal density was taken from fully mature leaf surfaces (3 areas per leaf) using nail

- 436 varnish imprints from dental resin impressions (Impression plus, TryCare) and mounted
- 437 directly onto slides. Images were recorded using an Olympus DX51 light microscope. To
- 438 analyse stomatal complex size, images from imprints (3 areas per leaf, at least 10 stomata
- 439 per plant) were measured using Line tool in Image J. Stomatal complex size was calculated
- 440 using the formula  $area = \pi ab$  where *a* is the guard cell pair short radius and *b* the long 441 radius.
- 442 The control of stomatal apertures was analysed using leaf abaxial epidermis (Webb and
- 443 Hetherington, 1997). Strips of epidermis were taken from leaves of five to six week-old
- 444 plants (3–5 leaves of each genotype) using tweezers and then floated on resting buffer (10
- 445 mM MES, pH 6.2) for 10 minutes. Strips were transferred to opening buffer (10 mM MES, 50
- 446 mM KCL, pH 6.2) in the light (300  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>), aerated with CO<sub>2</sub>-free air and maintained at
- 447 20°C for 2 hours. To investigate the effect of ABA on stomatal aperture, opening buffer was
- supplemented with 10µM ABA. Pore widths and lengths were recorded from at least 100
- stomata for each treatment. Pore area was calculated as above.

## 450 Microscopy and cell surface analyses

451 For cryo-scanning electron microscopy (cryo-SEM), excised leaves were placed flat on a 452 brass stub, stuck down with cryo glue consisting of a 3:1 mixture of Tissue-Tec (Scigen 453 Scientific, USA) and Aquadag colloidal graphite (Agar Scientific, Stansted, UK) and plunge 454 frozen in liquid nitrogen with vacuum applied. Cryo fracture leaf samples were placed vertically in recessed stubs held by cryo glue. Frozen samples were transferred under 455 456 vacuum to the prep chamber of a PT3010T cryo-apparatus (Quorum Technologies, Lewes, 457 UK) maintained at -145°C. Surface ice was removed using a sublimation protocol consisting 458 of -90°C for 3 min. For cryo fracture, no sublimation was carried out and instead a level 459 semi-rotary cryo knife was used to randomly fracture the leaf. All samples were sputter

460 coated with platinum to a thickness of 5 nm. Samples were then transferred and maintained

- 461 cold, under vacuum into the chamber of a Zeiss EVO HD15 SEM fitted with a cryo-stage.
- SEM images were captured using a gun voltage of 6 kV, I probe size of 460 pA, a SE
- 463 detector and a working distance of 5 to 6mm.

464 Scanning electron microscopy (SEM) specimens were fixed overnight in 3% glutaraldehyde, 465 0.1M sodium cacodylate buffer, washed in 0.1M sodium cacodylate buffer and secondary 466 fixed in 2% aqueous osmium tetroxide 1 hr before dehydrating through 50-100% ethanol 467 series 30 mins each and drying over anhydrous copper sulphate. Specimens were critically 468 point dried using  $CO_2$  as the transitional fluid then mounted with sticky tabs on 12.5mm 469 diameter stubs, and coated in an Edwards S150B sputter coater with approximately 25 - 30470 nm of gold. Specimens were viewed using a Philips SEM XL-20 at accelerating voltage of 471 20kv. For atomic force microscopy (AFM) 28 day old leaves were excised and fixed to glass 472 slides using Provil Novo before submerging under a drop of water and imaging with an 473 Asylum MFP-3D (Oxford Instruments Co., Santa Barbara, California) using contact mode. 474 Height and deflection images were obtained with triangular silicon nitride probes (Bruker 475 SNL10, nominal spring constant 0.35N/m) using Asylum instrumentation software by 476 scanning at 2Hz on contact mode with set point 1V. 477 Vertical scanning interferometry (VSI) was carried out on abaxial surfaces of fully expanded 478 leaves, with leaf held flat by pressing on to double sided tape using a Wyko NT9100 surface 479 Profiler and images were analysed on Vision 4.10. For light microscopy stem samples (~1cm 480 lengths from the bases of branches of mature plants) were fixed in 4% (w/v) formaldehyde in 481 PEM buffer (0.1M PIPES, 2mM EGTA, 1mM MgSO4, adjusted to pH7) by vacuum infiltration 482 then dehydrated in an ethanol series (30min each at 30%, 50%, 70%, 100% EtOH) and infiltrated with LR White Resin (London Resin Company) diluted in ethanol (45min each at 483 484 10%, 20%, 30%, 50%, 70% & 90% resin then 3x8h+ at 100%). Samples were stood

- 485 vertically in gelatine capsules filled with resin and polymerised > 5 days at  $37^{\circ}$ C.  $3\mu$ m
- 486 sections were cut using a Reichert-Jung Ultracut E ultramicrotome, stained with Toluidine
- 487 Blue, visualised using an Olympus BX51 microscope, and images captured using Cell B
- software. Epidermal peels were stained by adding a drop of 1ng/µl Nile red in 50% DMSO
- and imaged by fluorescence microscopy with an Olympus DX51 microscope using 460-490

excitation, 510-550 emission and 505 dichroic mirror. FOCL-GFP images were captured asabove, with a 1s exposure time.

## 492 Raman Spectroscopy

Raman microscopy was performed using a Renishaw InVia system fitted with a 532nm laser
and a 2400 lines/mm grating. Fresh leaf sample blocks (5x5mm) were attached to aluminium
slides using carbon tape and Raman 2D mapping was carried out using a 100x objective

- 496 with a 1 s/pixel exposure time, 3x accumulation. Spectral range was set at 2439 to 3324
- 497 (centre 2900) Raman shift (cm<sup>-1</sup>). Data were analysed using Renishaw WiRE software, with
- 498 scans being obtained across stomatal regions of interest from at least 3 independent
- 499 biological samples.

# 500 Transpiration measurements

- 501 Transpiration rates were measured using a porometer (Decagon Devices) with 3
- 502 measurements taken per plant from 4 plants of each genotype. Only *focl1-2* was studied as
- 503 *focl1-1* leaves were too small to insert into the porometer chamber. Infrared thermography
- 504 was used as a proxy measure of evaporative cooling from transpiration. 8 week old plants
- were kept under a propagator lid for 24hrs before analysis. The lid was removed 4hrs into
- the photoperiod and images captured with a FLIR SC660 thermal imaging camera and
- 507 analysed using ThermaCAM Researcher Professional 2.9. For each image the mean
- temperature from spot readings from the centre of 3 fully expanded leaves from 6 plants of
- each genotype was calculated and a mean temperature per plant used for statisticalanalyses.

# 511 Root growth analysis

- 512 Seedlings were grown vertically on 0.5 x MS plates and number of emerged lateral roots and
- 513 primary root lengths were recorded at 9, 10 and 11 days. Roots were then cleared (Peret et
- al, 2012) and mounted in 50% glycerol and stages of lateral root primordia were determined
- 515 using a Leica DMRB microscope.

# 516 Statistical analysis.

517 Unpaired t-tests were performed using Microsoft Excel.

518

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- 524 Foundation.

# 525 Supplemental Data

- 526 Fig. S1. Alignment of FOCL1 amino acid sequence with orthologues from wheat, and
- 527 *Physcomitrella patens* and with closest Arabidopsis homologue, At2g20515.
- 528 Fig. S2. Alignment of deduced amino acid sequences of FOCL1 and AGP31.

- 529 Fig. S3. Insertion positions and expression of *focl1-1* and *focl1-2*.
- 530 Fig. S4. Rosette widths of *focl1-1* and *focl1-2*.
- 531 Fig. S5. Complementation of *focl1* restores stomatal complex size to wild type.
- 532 Fig. S6. Wide view of abaxial epidermis of mature leaves of Col-0 and *focl1-2*.
- 533 Fig. S7. SEM of foc/1-1 stomate showing partial opening
- 534 Fig. S8. Deflection images for two stomata from Col-0 and *focl1-1*.
- 535 Fig. S9. Complementation of foc/1-1 and foc/1-2 restores leaf temperature to wild type.
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641

#### 642 Fig. legends

Fig. 1. FOCL1 encodes a proline-rich protein, is expressed in guard cells and roots and 643 644 affects stomatal index and stomatal complex size. (A) Domain structure of the FOCL1 645 protein to illustrate positions of proline valine and triple proline motifs typical of HPRGs. 646 Potentially hydroxylated prolines are indicated in green (PV context) or red (PPP context). 647 SP = signal peptide (B-D) Histochemical staining of 2 week old Arabidopsis seedlings expressing pFOCL:GUS. (B) Immature leaf, (C) developing epidermis, (D) developing lateral 648 649 root. (E) Stomatal index and pavement cell density of abaxial surfaces of fully expanded 650 leaves. n = 7-9 plants, means of 3 areas from 1 leaf of each plant were compared. 651 Representative experiment of 3 independent experiments is shown. (F and G) Images of 652 epidermal imprints of adaxial leaf surfaces and (H and I) cleared tissue of mature leaves. 653 Scale bars (B) 500μm, (C) 10μm, (D) 250μm, (F and G) 5μm, (H and I) 10μm. (J) Stomatal 654 length and width and area. n = 4-7 plants, means of measurements from at least 10 655 stomates from one leaf of each plant were compared \*= significant statistical difference from 656 Col-0, p<0.05, Error bars: SD.

657

Fig. 2. FOCL1 is required for formation of the stomatal outer cuticular ledge. Cryo-SEM 658 659 images of wild-type Col-0 (A, C, E) and focl1-1 (B, D, F) stomata at different stages of 660 development reveal the occlusion of mature *foc/1-1* stomata by a membranous cuticle. (A 661 and B) Both WT and focl1-1 developing stomates in have a plug of material in the pore 662 between guard cells. (C) In larger wild-type stomata this material appears to be torn apart to 663 reveal the stomatal pore, whereas in *focl1-1* stomata (D) the pore remains generally 664 occluded, although some tearing to reveal a subtending pore is visible. (E) In mature WT 665 stomata a cuticular ridge bordering the central pore is formed. (F) In mature focl1-1 stomata 666 the central pore can remain totally blocked by the membranous cuticular material. gc = 667 guard cell. Bars A, B =  $4\mu$ m; C, D =  $5\mu$ m; E, F =  $6\mu$ m.

668

669 Fig. 3. focl1 mutants have fused outer cuticular ledges. (A and B) Abaxial surfaces of wild-

670 type Col-0 and *focl1* stomates imaged by VSI. Depth is indicated in nm. (C and D) AFM

671 deflection images of stomates. (E and F) Transverse sections of stem epidermis stained with

Toluidine blue. Position of outer cuticular ledges (ocl) indicated by arrows. (G and H),

Adaxial leaf epidermis. Scale bars A, B, E and F =  $5\mu$ m; C, D, G & H =  $10\mu$ m). p = stomatal

pore, ocl = outer cuticular ledge; gc = guard cell; op = occluded pore.

675

676 Fig. 4. A lipid rich cuticle extends across the pore of focl1 stomata. (A) Wild-type Col-0 and 677 (B) focl1-1 stomatal surfaces imaged by fluorescent microscopy after staining with Nile red 678 (which fluoresces green). (C to G) Col-0 (C, D, E) and focl1-1 stomatal surfaces (F,G) were 679 imaged using Raman spectroscopy over a range of wavelengths from c. 2400 to 3300nm. 680 Maps were obtained across stomata and point scans (indicated by cross-hairs) shown for 681 different regions. Point scans taken from the guard cell surface (C,F), the wild-type cuticular 682 ledge (D) and from the centre of the pore region (E,H). Maps were taken from at least 3 683 independent biological samples, with similar results obtained in each case. Scale bar A, B = 684  $5\mu m; C-G = 6 \mu m.$ 

685

Fig. 5. FOCL1-GFP localises to the cuticular ledge. Seedlings of T2 lines of *focl1-2*expressing *pFOCL1:FOCL1-GFP* were analysed by epifluorescence microscopy. Wild-type
Col-0 samples showed weak auto-fluorescence (A) compared to complemented *focl1-2*plants (B) where FOCL1-GFP signal is largely restricted to the OCL in developing (right) and
mature guard cell (left). Scale bar = 15 μm.

691

Fig. 6. foc/1 mutants have impaired transpiration and stomatal aperture control. (A) Infrared 692 693 thermal images of representative mature Col-0 and *focl1* plants taken at start of experiment. 694 (B) Time course of mean leaf temperature recorded by infrared thermography after reduction 695 in humidity. focl1-1 and focl1-2 had similar temperatures throughout and are virtually 696 indistinguishable on this graph. n = 6 plants of each genotype with measurements from 3 697 leaves of each plant. (C), Leaf porometry measurements of Col-0 and focl1-2 stomatal 698 conductance. n = 4 (1 leaf from 4 plants of each genotype). \*= significant statistical 699 difference from Col-0, p<0.01. (D and E) measurements of Col-0 and focl1-1 stomatal pore 700 widths (D), and calculated pore areas (E) following incubation with 10µM ABA. Bars with 701 identical letters are not statistically different, p<0.05. n= >100 stomata. Error bars: SD. Data 702 from one independent experiment is shown; a replicated experiment showed similar results 703 (F) Representative images of stomata from (D and E). Scale bar:  $10\mu m$ . (G) Representative 704 images of 8 week old plants under drought conditions after water was withheld for 7 days 705 then rewatered for 3 days.

706

**Fig. 7.** FOCL1 affects root growth. (A) *GUS* expression pattern in *pFOCL1:GUS* roots.

Lateral root emergence stages are indicated with Roman numerals. PR = primary root. Scale

bars: 20μm. (B), images of seedlings 8 days after transfer to light for root growth analysis.

710 Scale bar: 1cm. (C-E) Measurements of roots 11 days after light transfer: (C) lateral root

- density; (D) number of lateral root branches per seedling; (E) primary root lengths. n=12
- seedlings. A typical experiment from 3 independent replicates is shown, each experiment
- showing a similar result (F) proportion of lateral roots at each stages of primordial
- development. \*= statistically significant from Col-0, p<0.05. n= 7 (Col-0) or 8 (*focl1-1*). Error
- 715 Bars: SE





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