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**Identifying patient and practice characteristics associated with patient-reported experiences of safety problems and harm: a cross-sectional study using a multilevel modelling approach.**

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## ABSTRACT

**Objective:** To identify patient and family practice characteristics associated with patient-reported experiences of safety problems and harm.

**Design:** Cross-sectional study combining data from the individual postal administration of the validated Patient Reported Experiences and Outcomes of Safety in Primary Care (PREOS-PC) questionnaire to a random sample of patients in family practices (response rate =18.4%) and practice level data for those practices obtained from NHS Digital. We built linear multilevel multivariate regression models to model the association between patient (clinical and sociodemographic) and practice level (size and case-mix, human resources, indicators of quality and safety of care, and practice safety activation) characteristics, and outcome measures.

**Setting:** General practices distributed across five regions in the North, Centre and South of England.

**Participants:** 1,190 patients registered in 45 practices purposefully sampled (maximal variation in practice size and levels of deprivation).

**Main outcome measures:** Self-reported safety problems, harm, and overall perception of safety.

**Results:** Higher self-reported levels of safety problems were associated with younger age of patients (beta coefficient 0.15) and lower levels of practice safety activation (0.44). Higher self-reported levels of harm were associated with younger age (0.13) and worse self-reported health status (0.23). Lower self-reported healthcare safety was associated with lower levels of practice safety activation (0.40). The fully adjusted models explained 4.5% of the variance in experiences of safety problems, 8.6% of the variance in harm, and 4.4% of the variance in perceptions of patient safety.

**Conclusions:** Practices' safety activation levels and patients' age and health status are associated with patient-reported safety outcomes in English family practices. The development of interventions aimed at improving patient safety outcomes would benefit from focusing on the identified groups.

## INTRODUCTION

The growing interest in patient safety in primary care is fully justified for a number of reasons.<sup>1</sup> It has been estimated that the rate of patient safety incidents in primary care ranges from 2-3 incidents for every 100 consultations,<sup>2</sup> and that around 4% of these incidents may be associated with severe harm (including long-term physical or psychological effects or death).<sup>2</sup> In English general (family) practices (with around 750,000 GP consultations each day) this would translate into 600-900 patients being severely harmed every day. There is some evidence that between 45% and 76% of these incidents could be prevented.<sup>3</sup>

Despite the increasing awareness of the magnitude of this problem, the knowledge base about patient safety in the primary care context is still limited and mostly focused on understanding the nature of safety problems and their frequency. Evidence about the factors that can determine their occurrence is, however, very sparse.<sup>4</sup> An increased risk of experiencing safety problems and harm may be associated with both patient clinical characteristics (such as the complexity<sup>5</sup> of their conditions or of their therapeutic regimes) and practice characteristics (such as staffing or the practice meeting other criteria for quality of care). This information would be crucial for the development of interventions to reduce avoidable harm. Practice level predictors of patient safety outcomes could help target interventions aimed at improving patient safety in practices, while individual clinical predictors would help us identify patient groups particularly susceptible to benefitting from targeted interventions.

Previous studies have suggested that adverse drug events are more frequent in the elderly<sup>6</sup> and in patients following more complex drug regimens.<sup>7</sup> Errors in diagnosis and treatment have been associated with patients' ethnicity, educational attainment, and health status.<sup>8</sup> Similarly, it has been observed that smaller practices, those with a higher proportion of elderly patients, and those located in areas with higher levels of social deprivation are at increased risk of adverse events.<sup>9</sup>

However, there are limitations with the available evidence. Previous studies have not simultaneously examined patient and practice factors associated with safety events. The extent to which the occurrence of safety problems is due to patient characteristics or to practice characteristics is largely unknown. Surveys of patients about the healthcare received from their practices generate data that have a hierarchical or multilevel structure (patients "nested" within practices). Analysis of this type of data should therefore use multilevel modelling approaches that take appropriate account of the clustered nature of the data and enable exploration of the sources of variation at each level. This is important because different practices are likely to attract patients with particular characteristics. A further limitation of available evidence is that most of the research

in the area of primary care patient safety has relied on information supplied by healthcare professionals, and the views of patients themselves have been seldom taken into account.<sup>10</sup> Evidence suggests that patients are sensitive to, and able to recognise, a range of problems in healthcare delivery,<sup>11-14</sup> some of which are not identified by traditional systems of healthcare monitoring.<sup>15 16</sup> Some studies have been based on patient -reported information, but were limited by the lack of valid and reliable patient-centred instruments to capture patients' experiences and outcomes of patient safety.<sup>17</sup> A patient-centred tool to measure patient safety in general practices – the Patient Reported Experiences and Outcomes of Safety in Primary Care (PREOS-PC) – has been recently developed and validated.<sup>18</sup> It allows for a comprehensive assessment of patient perceptions, experiences and outcomes of patient safety in primary care.

The aim of this study was to explore the extent to which factors at the level of the practice and the patient are associated with safety problems and harm as reported by patients themselves.

## **METHODS**

### **Study design and participants**

We recruited practices in five regions in the North, Centre and South of England, using purposeful sampling to ensure variation in terms of list size and levels of deprivation. Data on patient-reported patient safety measures and on patient characteristics was obtained using a cross-sectional survey.<sup>18</sup> The survey was sent in June 2014 to a computer generated random sample of 150 registered patients (18 years old or older) at each participating practice with a covering letter and a pre-paid return envelope. Ethical approval was granted by Nottingham Research Ethics Committee (Reference 13/EM/0258; July 2013). The survey included the PREOS-PC questionnaire,<sup>18</sup> the EuroQOL 5D-5L,<sup>19</sup> and questions about patient sociodemographic and clinical characteristics described in more detail below.

Practice level characteristics were obtained from the NHS Digital (Health & Social Care Information Centre).<sup>20</sup> We also collected information on professional safety climate with the PC SafeQuest<sup>21</sup> from 30 practices.

### **Dependent variables**

We built predictive models for three outcomes indicative of different aspects of patient safety: 1) experiences of patient safety problems (errors); 2) harm; and 3) overall perceptions of patient safety in the practice. We measured these with relevant scales from the PREOS-PC instrument relating to patient perceptions and experiences of the safety of their primary healthcare over the past 12 months. Details of the three scales are provided in Online Appendix 1.<sup>18</sup> In short, experiences of safety problems were measured with a 11 item scale (Cronbach's  $\alpha = 0.75$ ) capturing information about whether or not the patients experienced specific types of safety problems (response categories being "No"; "Only once"; "Yes, more than once"). Harm was measured with a four item scale (Cronbach's  $\alpha = 0.89$ ) capturing information about whether or not the patients experienced specific types of harm (response categories being "Not at all" ; "Hardly any" ; "Yes, somewhat"; "Yes, a lot"; "Yes, extreme"). Overall perception of patient safety was measured with a single item visual analogue scale ranging from 0 (completely unsafe) to 10 (completely safe). Scale scores were calculated as the percentage of the maximum score achievable over all items combined, with scores ranging from 0 to 100. For multi-item scales, where responses were missing for more than 50% of the items the whole scale was scored as missing; otherwise a score was derived based on the available items without any imputation.

### **Practice-level independent variables**

Practice-level data obtained from NHS Digital (Health & Social Care Information Centre)<sup>20</sup> included data on size (number of registered patients); case-mix (proportion of patients aged above 65); long term condition caseload (derived by summing the registers for all conditions in the Quality and Outcomes Framework (QOF) and dividing by list size); rurality (based on population density of the practice postcode); social deprivation (based on practice postcode and estimated using the Index of Multiple Deprivation 2010);<sup>22</sup> workforce (number of GPs; proportion of male GPs; proportion of GPs aged below 35; proportion of GPs aged above 50); and an independent estimate of the quality of care provided by the practice based on the QOF overall score.<sup>23</sup>

In addition, a measure of patient safety activation at the practice level was included by calculating practice mean scores on an 11- item PREOS-PC scale measuring the degree to which practices create an adequate environment to ensure the delivery of safe healthcare (Online Appendix 1). Professional safety climate was measured by the PC SafeQuest.<sup>21</sup>

### **Patient-level independent variables**

Patient characteristics were collected as part of the postal questionnaire. Sociodemographic variables included age, gender, ethnicity, educational attainment, occupational status, being native to the UK, and speaking English as a first language. Clinical variables included a measure of self-reported health status (EQ-5D-5L),<sup>19</sup> number of long term conditions, and number of medications currently taken. We also included two measures of practice utilisation: times seen a GP during the last 12 months, and years registered with the GP surgery. Finally we included a measure of patient activation in respect to safety, a two-item PREOS-PC scale measuring the extent to which patients are proactively involved in trying to prevent medical errors and avoidable harm (Online Appendix 1).

### **Statistical analyses**

Linear multilevel (patients within practices) multivariate regression models, undertaken using the Stata Xtmixed command (StataCorp LP, College Station, TX), were used to investigate relationships between each of the three dependent variables and patient and practice characteristics, using practice as a random effect in all models and accounting for the clustering of patients within practices. For each outcome an initial series of univariate analyses were conducted to examine the relationship with each independent variable in turn. Next, all variables found to have a univariate

relationship with the outcome at  $\alpha = 10\%$  (to avoid premature exclusion) were entered together into a multilevel multivariate regression analysis, using  $\alpha = 5\%$  as the threshold for statistical significance in these multivariate models. Variance inflation factors were computed to check for multicollinearity between the included variables. Scores for all continuous variables (dependent and independent) were standardized in order to facilitate interpretation of the regression coefficients as standardized beta coefficients. Patient scores on all three outcomes demonstrated highly skewed distributions (see Online Appendix 2). Therefore, to check the robustness of our findings to non-normality and non-constant variance, the significance of the predictor variables in our final multivariate models were validated using non-parametric bootstrapped percentile-based p-values, based on bootstrap samples of 10,000.<sup>24</sup>

As a final step we calculated variance partition coefficients for each outcome. Variance partition coefficients represent the proportion of total variance in an outcome that is due to differences occurring at each level. In other words, a high coefficient at practice level indicates that more of the variation in the model is due to differences between practices than between patients. We first calculated variance partition coefficients in a model with random intercepts at the practice level and no explanatory variables (raw coefficients) then after adjustment for patient characteristics statistically significant in our final models (patient adjusted coefficients), and finally after adjustment for characteristics of the practice and patient that were statistically significant in our final models (fully adjusted coefficients).

We included patients in the multilevel models only if they had complete data on all the explanatory variables. However, the number of patients providing data for each outcome varied, so comparisons between the different outcomes should be made with caution. In a sensitivity analysis we reran the final multilevel models using multiple imputation to impute missing values across the full set of cases. A maximum of 20% of data was missing for any given variable. We used multivariate chained equations in STATA version 13 to generate 10 imputed datasets and then ran STATA's Xtmixed command on each imputed data set in the same manner as we had before (see above), and pooled the results across the 10 analyses.



## RESULTS

### Sample characteristics

A total of 45 practices were recruited. Information about their characteristics is reported in Table 1. In comparison to the overall characteristics of all English practices, the participating practices were on average larger (mean list size 8,744 v 7,041) and had a slightly higher proportion of non-White patients (18.8% v 15.9%), but were very similar with respect to gender balance (female participants 50.6% versus 49.1%), proportion of older patients (patients aged above 65 16.5% versus 15.3%), and deprivation (Index of Multiple Deprivation<sup>22</sup> score 25.5 versus 24.0)<sup>25</sup>

[Table 1 about here]

A total of 1,244 patients completed and returned the PREOS-PC survey (response rate =18.4%).<sup>18</sup> 54 patients were excluded where the respondent had not visited their GP practice in the last 12 months. Compared to the overall characteristics of all patients registered in the 45 participating practices, the 1,190 included respondents were more likely to be female (59% versus 51%), aged ≥65 (39% versus 15%) and of “white” ethnicity (91% versus 82%) (see Table 1).

Average scores for patient safety outcomes (with high scores indicating high levels of safety) were very high for both experiences of safety problems and harm (>90%) and also high for overall perceptions (>85%) (Table 2).

[Table 2 about here]

### Univariate analysis

In the univariate analyses four patient-level factors (age, health status, speaking English as a second language, and patient activation) were consistently associated with all three outcomes, whilst gender, educational attainment, and time registered in the practice were not statistically associated with any outcome (Online Appendix 3). Only one practice level variable (practice activation) emerged as a consistent predictor of all three outcomes, whilst practice deprivation was associated with both experiences of patient safety events and overall rating of patient safety (Online Appendix 4). No associations ( $\alpha = 10\%$  or less) were observed for any other practice-level factor.

## Multivariate analyses

The results of the linear multilevel multivariate analyses are summarised in Table 3 and below. Variance inflation factors for the included variables in all cases were below a conservative threshold of 4.0,<sup>26</sup> indicating that multicollinearity was low.

Higher scores on experiences of safety problems (indicating lower frequency of safety problems and therefore safer healthcare) were associated ( $p \leq 0.05$ ) with a higher practice activation score (beta coefficient=0.438) and with increasing age (0.150). Higher harm scores (indicating lower frequency and severity of harm and therefore safer healthcare) were associated with better patient health status (0.229) and increasing age (0.139). Higher scores on patients' overall perception of safety (indicating a perception of safer healthcare) in their practices were associated at the practice level with higher practice activation scores (0.400).

[Table 3 about here]

The fully adjusted models explained 4.5% of the variance for experiences of safety problems, 8.5% for harm, and 4.4 % for overall perception of patient safety (Table 4). Practice characteristics were the only contributor to the explained variance for overall perception of patient safety, whereas patient characteristics were the only contributor to the explained variance for harm. Practice and patient characteristics had a similar level of contribution to the explained variance for experiences of safety problems.

[Table 4 about here]

In our sensitivity analyses, results from the multiple imputation using data from all 1,190 respondents yielded similar results to the main analysis (Online Appendix 5). The only differences were that with multiple imputation a lower level of patient activation was significantly associated with healthcare being rated as safer on all three outcome measures; whereas seeing a GP more than five times was associated with lower scores on experiences of safety problems but not associated with harm scores.

### **Post-hoc examination of Practice Activation**

To potentially inform the development of interventions to improve patient-reported safety outcomes, we conducted a number of post-protocol analyses focused on Practice Activation (which had emerged as a particularly relevant predictor of patient-reported safety outcomes in our main analyses). This included: i) examination of the association between Practice Activation score and other practice characteristics (Online Appendix 6), and ii) examination of the association between Practice Activation individual items, and our three safety outcome measures (Online Appendix 7).

Lower practice activation scores were significantly associated with higher practice deprivation levels (beta coefficient=-0.116); whereas no statistically significant associations were observed with the rest of practice characteristics examined. The individual items of the Practice Activation scale most strongly associated with our three safety outcomes included: i) communication about tests and treatments (beta coefficients=0.89 for “Experiences of safety problems”, and 0.95 for “Overall perception of patient safety”); ii) addressing patients’ safety concerns (0.84, 0.65, and 0.93 for “Experiences of safety problems”, “Harm”, and “Overall perception of patient safety”, respectively), and; iii) helping to arrange/organize healthcare (0.84, 0.62, and 0.86, respectively).

## **DISCUSSION**

In this study we used multi-level modelling to investigate predictors of patients' perceptions of patient safety and experiences of safety problems and harm in English general practices. We identified some patient characteristics (age and self-reported health status) associated with patient-reported experiences of safety problems and harm. One practice characteristic (safety activation) was associated with patient-reported experiences and with overall patient perception of patient safety. Patient and practice characteristics had a similar contribution to explained variance for our three outcome measures.

### **Strengths and limitations**

This is the first large-scale study examining predictors of patient safety in general practices as perceived by patients. Patients' perceptions and experiences were measured using valid and reliable scales. By taking account of the hierarchical nature of the data, our study has provided estimates of the influence of practice and patient-related characteristics on patient-perceived patient safety that are likely to be more realistic than those from earlier single-level analyses.

Our study has some limitations. First, the overall response rate was low (18.4%), with some subgroups being particularly underrepresented, most notably younger and male. This may have resulted in non-response bias, particularly if response propensity was associated with the outcomes being collected<sup>27</sup>, though other factors, such as under-response by younger males, may have mitigated against this. In this study, patients who experienced a safety event may have been more inclined to respond to the questionnaire, resulting in overestimation of overall rates of safety problems and harms. Given the low response rate, one important consideration is whether the predictive factors we identified could simply be artefacts of non-response. Key predictive variables of higher (i.e. better) outcome scores were older age, better health status, and higher patient-reported practice activation. For non-response to account for these relationships, would require individuals in the converse subgroups (younger, in poorer health, and/or with a lower opinion about practice safety activation) to be less likely to respond when they regard outcomes to be good. Our response rate was lower amongst younger people, and it is conceivable that the younger individuals who responded were more likely to have experienced a safety event, thus lowering the mean outcome scores for this subgroup. To also account for our other results in this way would require the same to be true for individuals in poorer health or with a low opinion of safety activation at their practice, though such relationships are less obvious.

A second limitation was the need to exclude patients with missing data on explanatory variables, such that only around two thirds of patients were included in the analyses, which may introduce bias if data are not missing completely at random. To some extent, we overcame this limitation by using multiple imputation in our sensitivity analyses. However, the loss of data reduced the statistical power to detect differences, as did the skewed nature of the outcome variables. Third, this study is based on patient-reported information, and no review of medical records was performed, so no comparison against actual adverse events was possible. However some of the outcomes examined in this study are best reported by patients, both for processes (e.g. communication with patients and coordination between professionals), and for harm (e.g. pain, harm to mental health). Fourth, this is a cross-sectional study, and reverse causality is plausible in some instances. Longitudinal studies are needed to confirm our findings. Finally, our measures included as “safety problems” a broad range of problematic experiences that are not always considered to involve safety as such. Missed appointments or lack of communication or coordination between providers can be seen as contributory factors to safety incidents rather than safety problems per se, but we deliberately treated these as safety problems because evidence from qualitative studies suggest that they represent areas of major concern for patients.<sup>28-34</sup>

### **Interpretation of findings and comparison with previous literature**

Identifying practice characteristics associated with a higher risk of safety problems and harm is necessary because it allows the development of targeted interventions aimed at improving patient safety in practices. In this study we observed that patients registered in practices where safety activation levels were reported to be higher, were less likely to report experiences of safety problems and perceived their practices as safer. This association has strong face validity, and also supports the construct validity of the scales. Increasing the levels of practice activation for patient safety (which seem to be particularly necessary in those practices with higher levels of deprivation, according to our post-protocol analyses) appears as a promising target for developing interventions to reduce medical errors and avoidable harm. In particular, communication of the expectations and results for tests and treatments to patients, helping to arrange/organize healthcare, and acknowledging patient concerns appear to be the areas of practice activation most related to safety outcomes. Interventions focused on those areas are particularly promising.

Identifying clinical characteristics that expose patients to a higher risk of safety events is important for identifying groups of patients who can benefit from targeted interventions. In our study, poorer self-reported health status was associated with increased likelihood of reporting harm.

Poorer health status may increase the risk of harm because of: a) a higher exposure to healthcare (needed to tackle their increased health problems); and b) a higher vulnerability to harm (i.e. a lower threshold may be needed to trigger harm in patients with poorer health). Also, given the cross-sectional nature of this study a reversed association is also plausible (i.e. patients may have poorer health as a result of the harm suffered). Finally, given that we used a measure of harm based on patient-reported information, it is also possible that patients with poor health status could have perceived iatrogenesis even when it did not exist – i.e., when their poor health status simply progressed.

Identifying patient sociodemographic characteristics associated with patient-reported safety outcomes may help to identify differential reporting, which is useful for interpreting results from the administration of self-report instruments. In our study, only age was associated with our patient safety outcome measures. It has been previously reported that elderly patients are more likely to report a favourable perception of care,<sup>35-40</sup> and that -independent of the actual care received- older patients are generally more accepting and more reluctant than younger patients to make negative judgements.<sup>41-43</sup> Although empirical evidence about the potential reasons for this 'age effect' is lacking, a number of suggestions have been made, including that frail elderly patients often see themselves as a burden on their families and society and might feel they are not deserving of attention,<sup>39</sup> or that older patients are less inclined to question what they are told than younger patients.<sup>40</sup> A failure to adjust practice safety levels for patients' age could result in systematic misrepresentation of the performance of practices that provide for particular patient groups. This would be a particular concern where comparison across providers using self-reported measures is linked to financial incentives, as practices working in challenging circumstances could be further disadvantaged by loss of investment. However a real difference in safety levels based on patients' age cannot be ruled out. Some studies have suggested that older patients may be treated in a 'more thorough and responsive manner' than younger patients,<sup>44-46</sup> which would minimize the risk of safety incidents in this group. If that was the case (i.e. lower scores reported by younger patients reflect lower safety levels), then adjusting for patients' age would mean that inequitable healthcare provision is not detected. Further research is needed to understand whether the observed age difference is due to differential reporting or to actual differences in healthcare provision.

## Conclusions

Using a multilevel approach we found that patients in practices where the level of safety activation was perceived to be lower were more likely to report less safe healthcare. Patients with poorer health status were more likely to report harm, and younger patients were more likely to report experiences of safety problems and harm. The development of interventions aimed at improving patient-reported safety outcomes would benefit from focusing on the identified groups of patients and practices.

## FOOTNOTES

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**Transparency:** The lead author affirms that this manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained.

**Data sharing:** PREOS-PC data is available under request to the study guarantor.

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**Table 1.** Characteristics of participating practices and patients

<b>Practice characteristics</b>		<b>Mean (SD)</b>
Registered patients		8744 (6288)
Patients aged >65 (%)		16.48 (6.01)
Number of GPs		5.5 (3.1)
GPs aged ≥50 (%)		20.3 (24.9)
GPs aged ≤ 35 (%)		11.44 (14.12)
Male GPs (%)		53.7 (20.6)
Deprivation*		25.5 (12.8)
Rurality		
	Urban (≥10,000)	40 (89%)
	Towns and villages (<10,000)	5 (11%)
Long-term condition caseload		0.61 (0.15)
QOF score‡		975.6 (30.8)
Safety climate †		5.2 (0.5)
<b>Patient clinical characteristics</b>		<b>N (%)</b>
Time registered in the practice		
	>5 years	958 (82.87%)
	2-5 years	100 (8.65%)
	<2 years	98 (8.48%)
Number of long term conditions		
	0	299 (26.51%)
	1	317 (28.10%)
	2-3	359 (32.97%)
	>3	153 (14.05%)
Number of medications		
	0	299 (27.46%)
	1-2	306 (28.10%)
	3-4	218 (20.02%)
	>4	266 (24.43%)
Health status (EQ-5D)		0.778 (0.238)
<b>Patient sociodemographic characteristics</b>		
Age		
	18-34	126 (11.33%)
	35-64	548 (49.29%)
	≥65	438 (39.39%)
Gender		
	Male	472 (40.76%)
	Female	686 (59.24%)
Ethnicity		
	White	1034 (91.02%)
	Other ethnic group	102 (8.98%)
Education		
	Degree or degree equivalent and above	390 (34.91%)
	Other qualifications	511 (45.75%)
	No qualifications	216 (19.34%)
Economically active		
	Yes	570 (51.35%)
	No	540 (48.65%)

N, number of participants; SD, standard deviation; GP: General Practitioners

\* Measured using the Index of Multiple Deprivation 2010<sup>22</sup> [theoretical score ranges from 1 (most deprived area) to 100 (least deprived area)]

‡Quality and outcomes framework overall score achieved in the financial year 2012/2013 [theoretical score ranges from 0 (lowest quality) to 1000 (highest quality)]

† Safety climate (PC-SafeQuest) total score [theoretical score ranges from 1 (lowest perceived practice safety) to 7 (highest perceived practice safety)]

**Table 2.** Outcome measures of patient safety at the patient and practice level.

Outcome	Theoretical range of scale	Patient scores			Aggregated practice scores		
		n	Mean (sd)	Range	N	Mean (sd)	Range
Experiences of safety problems	0 (worst)-100 (best)	1171	95.19 (9.40)	27.8; 100	45	94.88 (2.58)	86.82; 99.44
Harm	0 (worst)-100 (best)	1084	94.95 (18.88)	0; 100	45	94.52 (4.84)	71; 100
Overall perceptions of patient safety	0 (worst)-100 (best)	1139	86.01 (16.77)	0; 100	45	85.36 (5.73)	64; 94.41

n, number of participating patients; N, number of participating practices; sd, standard deviation.

**Table 3.** Summary of multivariate analyses between explanatory variables and patient-reported experiences of safety problems, harm, and overall rating of patient safety

	Experiences of safety problems (N=818)	Harm (N=863)	Overall perceptions of patient safety (N=962)
Practice characteristics	Coefficient (95% CI)	Coefficient (95% CI)	Coefficient (95% CI)
Practice deprivation¶	0.046 (-0.022; 0.114)	N/A	0.007 (-0.041; 0.055)
Practice activation	0.438 (0.235; 0.640)*** †	0.036 (-0.174; 0.245)	0.400 (0.246; 0.554)*** †
<b>Patient clinical characteristics</b>			
Health status (EQ5D)	0.023 (-0.076; 0.122)	0.229 (0.100; 0.358)** †	0.050 (-0.035; 0.135)
Number of long term conditions	-0.087 (-0.202; 0.028)	-0.055 (-0.162; 0.051)	N/A
Number of medications	0.026 (-0.082; 0.134)	0.036 (-0.058; 0.130)	N/A
Patient activation	†	†	†
Not Proactive	1	1	1
Proactive	-0.638 (-0.814; -0.461)	-0.474 (-0.624; -0.323)	-0.516 (-0.655; -0.377)
Unknown	0.090 (-0.021; 0.201)	-0.008 (-0.085; 0.068)	-0.008 (-0.142; 0.126)
Times seen a GP	†	*	N/A
0-5	1	1	-
>5	-0.165 (-0.332; 0.003)	-0.123 (-0.281; 0.034)	-
<b>Patient sociodemographic characteristics</b>			
Age (years)	0.150 (0.055; 0.245)** †	0.125 (0.027; 0.223)** †	0.054 (-0.005; 0.114)
UK born		N/A	
Yes	1	-	1
No	-0.009 (-0.364; 0.346)	-	0.024 (-0.227; 0.275)
English as a second language			
No	1	1	1
Yes	0.111 (-0.481; 0.703)	0.075 (-0.315; 0.464)	0.296 (-0.055; 0.648)
Ethnicity		N/A	
Non-white	1	-	1
White	0.140 (-0.343; 0.624)	-	-0.081 (-0.404; 0.243)
Economically active		N/A	N/A
Yes	1	-	-
No	0.033 (-0.138; 0.204)	-	-

N/A, not applicable (independent variable not included in the multivariate model due to  $p > 0.1$  in the univariate analyses). The following variables were excluded all the three multivariate models (due to  $p > 0.1$  in the univariate analyses): gender; educational attainment; time registered in the practice; proportion of patients aged  $> 65$  in each practice; rurality index of the practices; number of GPs per practice; proportion of male GPs in each practice; proportion of GPs aged  $< 35$  in each practice; QOF score of each practice; long-term condition caseload in each practice.

¶ Measured using the Index of Multiple Deprivation 2010<sup>22</sup> [theoretical score ranges from 1 (most deprived area) to 100 (least deprived area)]

\* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ ; †: statistically significant ( $p < 0.05$ ) under multiple imputation sensitivity analysis.



**Table 4.** Variance explained by patient and practice characteristics

<b>Outcome</b>	<b>Total variance</b>	<b>% of variance explained</b>
<b>Experiences of safety problems</b>		
Unadjusted	88.5	-
Adjusted for patient characteristics	86.4	2.4%
Adjusted for patient and practice characteristics	84.5	4.5%
<b>Harm</b>		
Unadjusted	246.2	-
Adjusted for patient characteristics	225.1	8.6%
Adjusted for patient and practice characteristics	225.1	8.6%
<b>Overall perception of patient safety</b>		
Unadjusted	273.2	-
Adjusted for patient characteristics	273.2	0.0%
Adjusted for patient and practice characteristics	261.2	4.4%

**Online Appendix 1.** Patient-reported scales used in the measurement of patient safety in primary care

**A – PREOS-PC SCALES USED AS DEPENDENT VARIABLES**

**Experiences of safety problems (Cronbach's  $\alpha = 0.75$ )**

Thinking about the healthcare you have received in your GP surgery in the last 12 months, do you believe you had any problem related to ... (No; Only once; More than once)

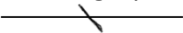
- Diagnosis of your problems? (e.g. wrong diagnosis)
- The medication prescribed or given to you at your GP surgery? (e.g. receiving a medication that was meant for a different patient)
- Other treatments prescribed or administered at your GP surgery? (such as minor surgery, or acupuncture)
- Vaccines prescribed or administered at your GP surgery? (e.g. receiving a vaccine that you already knew you were allergic to)
- Blood tests and other laboratory tests ordered or performed at your GP surgery? (e.g. the test results being misplaced)
- Diagnostic and monitoring procedures other than blood and laboratory tests (such as an ear examination, or biopsy, etc.) ordered or performed at your GP surgery? (e.g. not receiving a procedure when needed)
- Communication between you and the healthcare professionals in your GP surgery? (e.g. not receiving the information you needed about your health problems or healthcare)
- Communication and co-ordination between the healthcare professionals in your GP surgery? (e.g. important information about your healthcare not being passed between the healthcare professionals)
- Communication and co-ordination between professionals in your GP surgery and other professionals outside of the GP surgery? (e.g. a letter being missing from a hospital consultant)
- Your appointments? (e.g. not getting an appointment when you needed one)
- Your health records? (e.g. your health records not being available when needed)

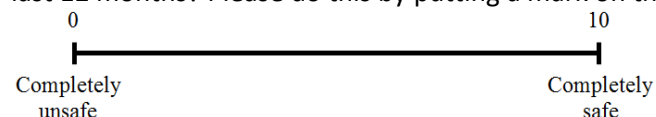
**Harm (Cronbach's  $\alpha = 0.89$ )**

Do you think you have experienced any of the following types of harm as a result of the healthcare provided in your GP surgery in the last 12 months? (Not at all; Hardly any; Yes, somewhat; Yes, a lot; Yes, extreme)

- Pain
- Harm to your physical health
- Harm to your mental health
- Increased limitations in doing your usual social activities

**Overall perceptions of patient safety**

On a scale of 0-10, how safe do you think the healthcare you received in your GP surgery was in the last 12 months? Please do this by putting a mark on the line below like this: 



**B – PREOS-PC SCALES USED AS PREDICTORS**

**Practice activation for patient safety (Cronbach's  $\alpha = 0.89$ )**

Thinking about the healthcare you have received in your GP surgery in the last 12 months, in general how often did you feel that your GP(s)... (Always; Often; Sometimes; Rarely; Never; Not applicable)

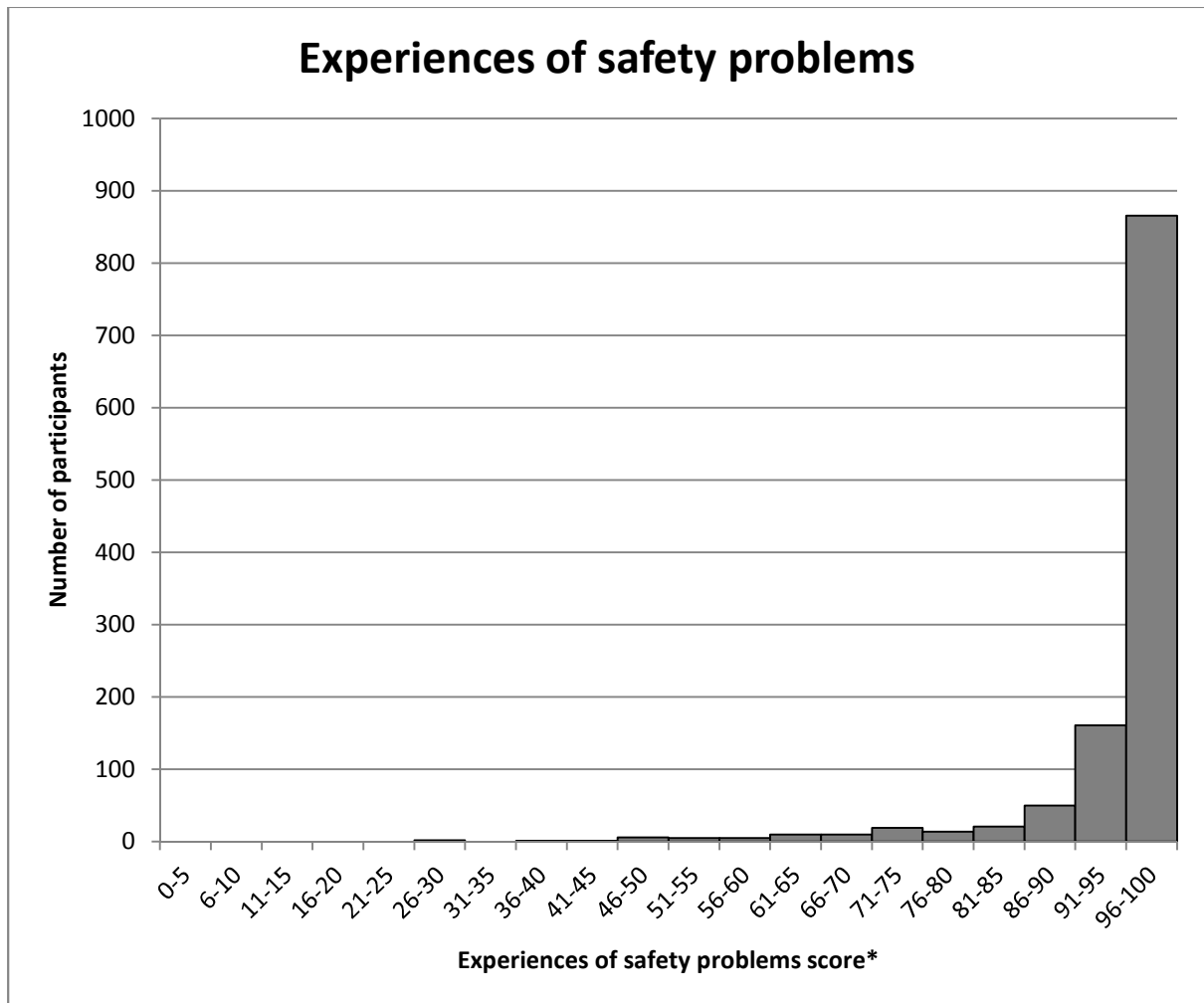
- Was (were) available when you needed to see or talk to them?
- Gave you enough time to say what you wanted to say and to ask questions?
- Encouraged you to talk about any concerns about your healthcare?
- Explained your tests and treatments in a way you could understand?
- Told you about what side effects of your treatments to watch for?
- Took your concerns seriously?
- Helped you to arrange/organise the right type of care (referrals, follow-up, etc.)?
- Had access to relevant information when needed (medical history, test results, etc.)?
- Seemed to be aware of the recommendations for care from other professionals treating you?
- Seemed to work well together with the other professionals in the practice?
- Thinking about the healthcare you have received in your GP surgery in the last 12 months, to what extent would you agree that delivering safe care was a top priority for your GPs, nurses and other staff in your GP surgery? [Strongly agree; Agree; Neither agree nor disagree; Disagree; Strongly disagree; I don't know]

**Patient activation for patient safety (Cronbach's  $\alpha = 0.80$ )**

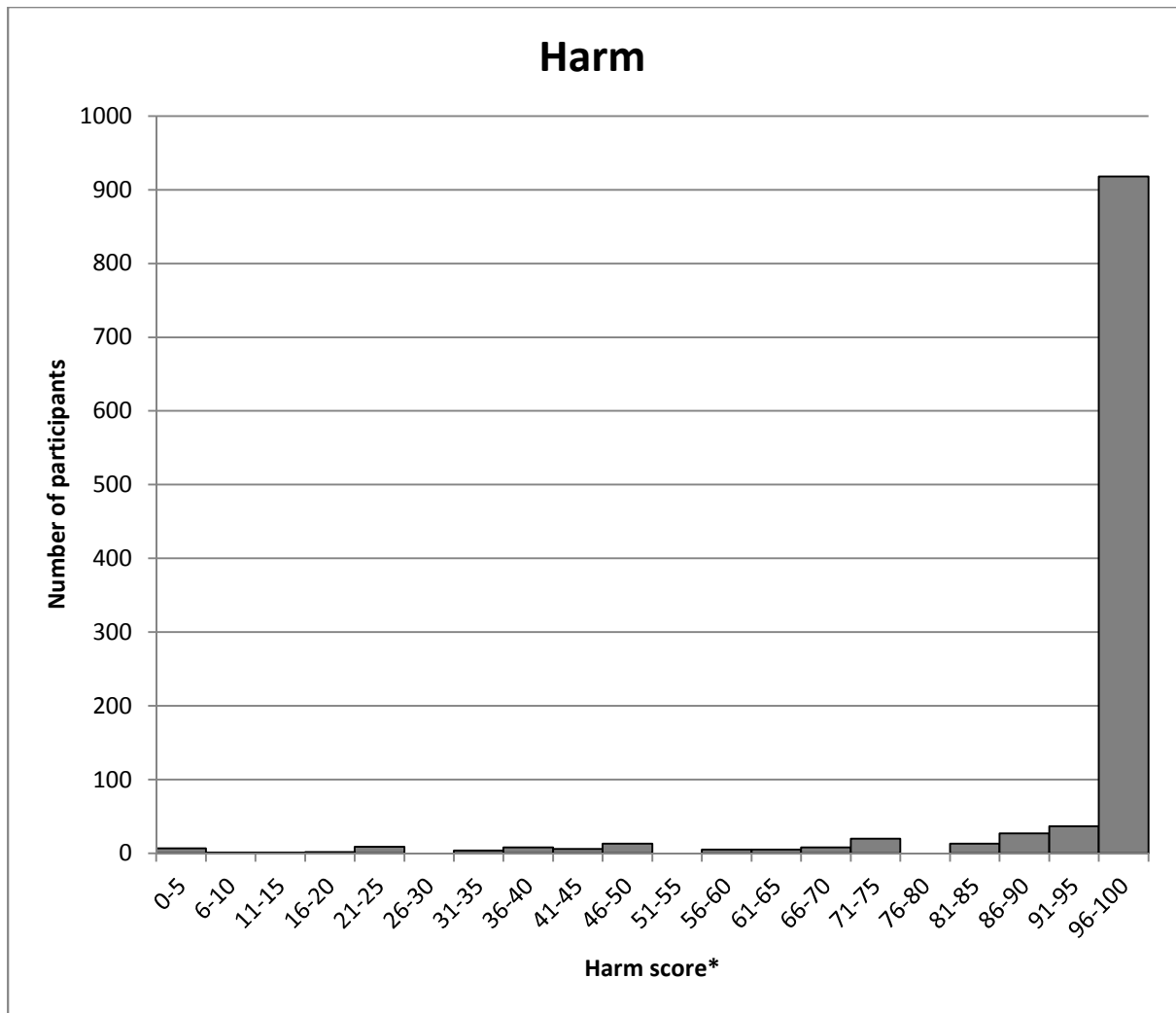
Thinking about the healthcare you have received in your GP surgery in the last 12 months, how often did you ... (Always; Often; Sometimes; Rarely; Never; Not applicable)

- Raise a concern to your GPs, nurses or other staff in your GP surgery when you thought something was wrong with your healthcare?
- Make a suggestion to your GPs, nurses or other staff in your GP surgery when you thought something could be done to improve the service provided?

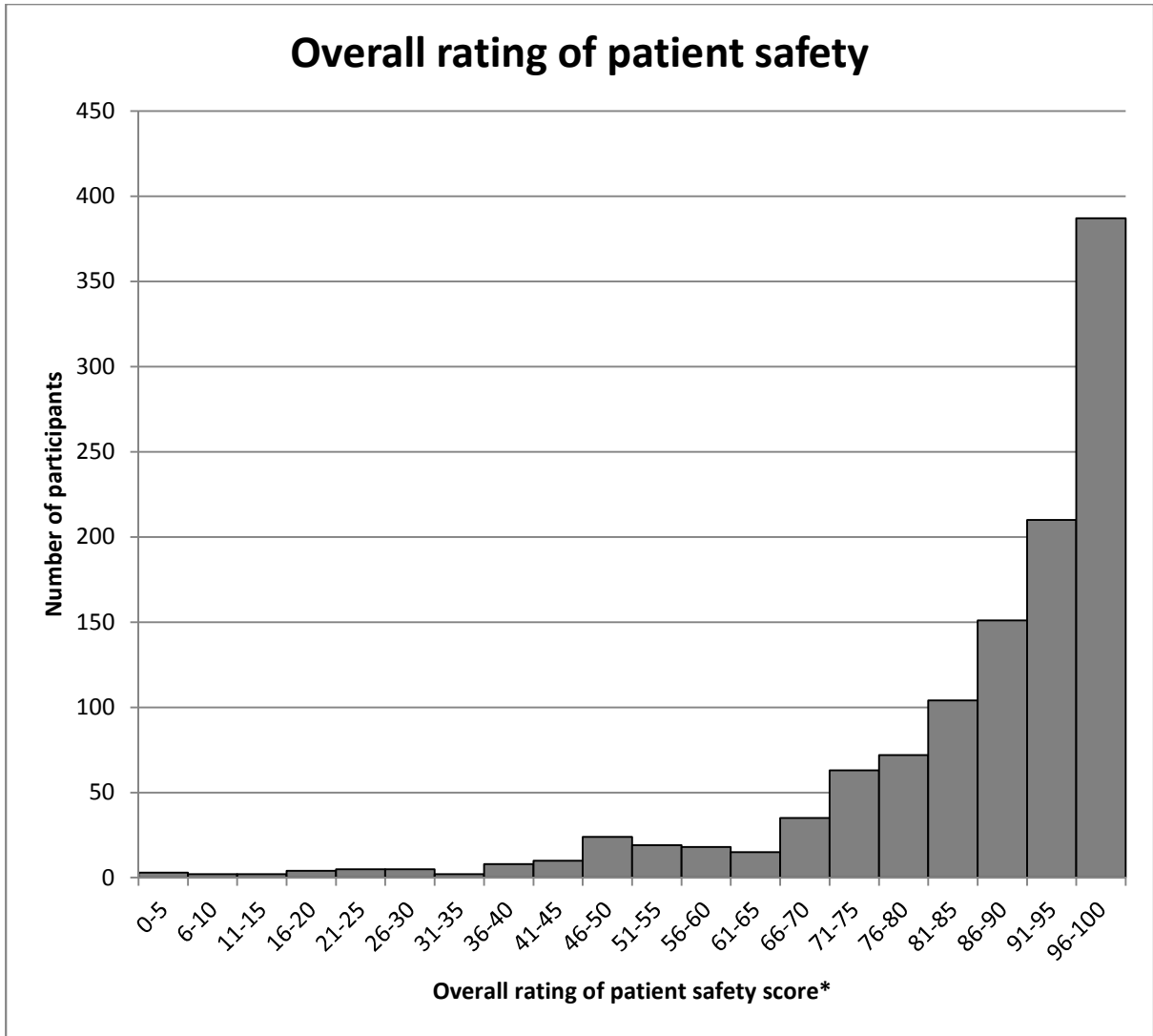
**Online Appendix 2.** Score distribution of the three different measures of safety: experience of safety problems, harm, and overall perceptions of patient safety



\*Higher “Experiences of safety problems” score indicates lower frequency of safety problems, and therefore safer healthcare.



\*Higher "Harm" score indicates lower frequency and severity of harm, and therefore safer healthcare.



\*Higher "Overall rating of patient safety" score indicates perception of safer healthcare.

**Online Appendix 3.** Relation between patient characteristics and each outcome (univariate regression tests)

Categorical variables	Experiences of safety problems			Harm			Overall rating of patient safety		
	N (%)	Mean score (SD)	P $\eta$	N (%)	Mean score (SD)	P $\eta$	N (%)	Mean score (SD)	P $\eta$
Gender			0.525			0.189			0.618
Male	466 (41%)	95.42 (9.47)		417 (40%)	94.19 (17.82)		444 (40%)	86.31 (16.63)	
Female	673 (59%)	95.08 (9.38)		635 (60%)	95.56 (14.34)		663 (60%)	85.97 (16.75)	
UK born			0.024			0.324			0.006
Yes	1037 (91%)	95.42 (8.94)		968 (90%)	95.24 (15.28)		1018 (91%)	86.58 (16.46)	
No	100 (9%)	92.55 (13.15)		102 (10%)	93.06 (20.37)		100 (9%)	80.74 (18.16)	
English as a second language			0.022			0.091			0.002
Yes	76 (7%)	91.45 (14.14)		80 (7%)	90.69 (23.69)		78 (7%)	78.63 (18.57)	
No	1061 (93%)	95.47 (8.86)		990 (93%)	95.40 (14.99)		1040 (93%)	86.69 (16.32)	
Educational attainment			0.290			0.327			0.599
Degree or equivalent	394 (36%)	95.11 (9.67)		378 (36%)	94.77 (16.77)		380 (35%)	85.84 (17.35)	
Other qualifications	506 (46%)	95.1 (9.43)		480 (46%)	95.53 (14.27)		495 (46%)	86.61 (16.04)	
No qualifications	209 (19%)	95.95 (8.34)		187 (18%)	95.97 (14.34)		209 (19%)	86.36 (16.11)	
Ethnicity			0.001			0.286			0.004
White	1024 (92%)	95.54 (8.90)		959 (91%)	95.24 (15.51)		1008 (91%)	86.79 (16.25)	
Non-white	95 (8%)	91.72 (13.03)		94 (9%)	93.81 (17.43)		94 (9%)	78.79 (19.53)	
Economically active			0.018			0.503			0.540
Yes	577 (53%)	94.73 (9.86)		548 (53%)	95.00 (15.71)		555 (51%)	85.81 (16.51)	
No	520 (47%)	95.94 (8.43)		482 (47%)	95.50 (15.38)		525 (49%)	86.52 (16.69)	
Times seen a GP			0.000			0.000			0.367
1-5	716 (%)	95.87 (7.90)		714 (68%)	97.08 (11.06)		737 (%)	86.77 (15.70)	
>5	353 (%)	93.01 (12.12)		340 (32%)	91.43 (21.14)		364 (%)	85.59 (17.42)	
Time registered in the practice			0.122			0.147			0.423
>5 years	949 (83%)	95.37 (9.09)		881 (82%)	95.15 (15.53)		939 (84%)	86.28 (16.89)	
2-5 years	98 (9%)	95.27 (8.99)		97 (9%)	96.80 (12.90)		91 (8%)	86.27 (15.63)	
<2 years	95 (8%)	93.3 (12.24)		94 (9%)	92.86 (19.26)		94 (8%)	84.64 (15.61)	
Patient activation			0.000			0.000			0.000
Not Proactive	569 (52%)	96.53 (6.38)		556 (52%)	97.62 (9.82)		585 (52%)	89.03 (13.52)	
Proactive	340 (31%)	90.35 (13.84)		341 (32%)	88.16 (23.88)		358 (32%)	79.26 (20.76)	
Unknown	185 (17%)	98.06 (4.09)		181 (17%)	99.40 (3.56)		190 (17%)	89.53 (12.69)	
<b>Continuous variables</b>	<b><math>\beta^{\dagger}</math></b>		<b>P<math>\eta</math></b>	<b><math>\beta^{\dagger}</math></b>		<b>P<math>\eta</math></b>	<b><math>\beta^{\dagger}</math></b>		<b>P<math>\eta</math></b>

Age (years)	0.136	0.000	0.063	0.076	0.074	0.013
Health related quality of life (EQ5D)	0.141	0.000	0.262	0.000	0.077	0.054
Number of medications	-0.059	0.035	-0.119	0.002	0.034	0.222
Number of long term conditions	-0.095	0.008	-0.152	0.000	0.018	0.590

¶ Univariate *P* Value; † Regression Coefficient Beta; sd, standard deviation.



**Online Appendix 4.** Relation between practices characteristics and each outcome (univariate regression tests)

Categorical variables	N (%)	Experiences of safety problems		Harm		Overall rating of patient safety	
		Mean score (SD)	P¶	Mean score (SD)	P¶	Mean score (SD)	P¶
Rurality			0.817		0.450		0.792
Urban ≥ 10K	40 (89%)	95.12 (9.39)		94.84 (16.26)		85.95 (16.77)	
Town and Village < 10K	5 (11%)	95.5 (9.49)		95.46 (14.08)		86.27 (16.82)	
Continuous variables	mean (sd)	β†	P¶	β†	P¶	β†	P¶
QOF score‡	975.6 (30.8)	0.027	0.357	-0.001	0.988	0.026	0.493
Deprivation*	25.5 (12.8)	-0.078	0.029	-0.039	0.144	-0.106	0.011
Safety climate↓	5.2 (0.5)	0.016	0.761	0.045	0.374	0.043	0.399
Practice activation	82.8 (6.7)	0.597	0.000	0.250	0.014	0.631	0.000
Registered patients	8744 (6288)	0.023	0.470	-0.003	0.912	0.016	0.678
Patients aged >65 (%)	16.48 (6.01)	0.019	0.699	0.034	0.172	0.035	0.472
Number of GPs per practice	5.5 (3.1)	-0.001	0.972	-0.024	0.322	-0.024	0.551
Male GPs (%)	53.7 (20.6)	-0.008	0.811	0.021	0.305	-0.013	0.745
GPs aged ≥50 (%)	20.3 (24.9)	-0.037	0.273	0.025	0.147	-0.036	0.408
GPs aged ≤35 (%)	11.44 (14.12)	-0.009	0.824	-0.006	0.785	0.006	0.835
Long-term condition case load	0.61 (0.15)	-0.038	0.270	-0.013	0.622	-0.019	0.631

¶ Univariate P Value; † Regression Coefficient Beta; sd, standard deviation;

‡Quality and outcomes framework overall score achieved in the financial year 2012/2013 [theoretical score ranges from 0 (lowest quality) to 1000 (highest quality)]

\* Measured using the Index of Multiple Deprivation<sup>22</sup> [theoretical score ranges from 1 (most deprived area) to 100 (least deprived area)]

↓ Safety climate (PC-SafeQuest) total score [theoretical score ranges from 1 (lowest perceived practice safety) to 7 (highest perceived practice safety)].

Analysis based on 30 practices only.

**Online Appendix 5.** Relation between potential explanatory variables and patient-reported experiences of safety problems, of harm, and overall rating of patient safety [multivariate sensitivity analysis based on Multiple Imputation analysis; N=1,190]

	Experiences of safety problems	Harm	Overall perceptions of patient safety
Practice characteristics	Coefficient (95% CI)	Coefficient (95% CI)	Coefficient (95% CI)
Practice deprivation¶	0.033 (-0.025; 0.089)		-0.006 (-0.050; 0.038)
Practice activation	0.400 (0.252; 0.549) ***	0.048 (-0.142; 0.238)	0.474 (0.305; 0.643)***
<b>Patient clinical characteristics</b>			
Health status (EQ5D)	0.059 (-0.015; 0.134)	0.182 (0.074; 0.289)**	0.043 (-0.036; 0.122)
Number of long term conditions	-0.048 (-0.125; 0.030)	-0.047 (-0.157; 0.063)	N/A
Number of medications		0.010 (-0.084; 0.105)	N/A
Patient activation	***	***	***
Not Proactive	1	1	1
Proactive	-0.531 (-0.678; -0.385)	-0.471 (-0.600; -0.342)	-0.484 (-0.624; -0.342)
Unknown	0.095 (-0.009; 0.199)	0.010 (-0.086; 0.106)	0.002 (-0.130; 0.135)
Times seen a GP	*		N/A
0-5	1	1	-
>5	-0.163 (-0.318; -0.007)	-0.102 (-0.259; 0.054)	-
<b>Patient sociodemographic characteristics</b>			
Age (years)	0.124 (0.043; 0.205)	0.098 (0.015; 0.181)	0.054 (-0.008; 0.117)
UK born		N/A	
Yes	1	-	1
No	0.009 (-0.271; 0.289)	-	0.000 (-0.308; 0.309)
English as a second language		N/A	
No	1	-	1
Yes	-0.011 (-0.423; 0.401)	-	0.138 (-0.286; 0.562)
Ethnicity		N/A	
Non-white	1	-	1
White	0.027 (-0.334; 0.388)	-	-0.008 (-0.318; 0.303)
Economically active		N/A	N/A
Yes	1	-	-
No	0.101 (-0.052; 0.253)	-	-

N/A, not applicable (independent variable not included in the multivariate model due to  $p > 0.1$  in the univariate analyses). The following variables were excluded all the three multivariate models (due to  $p > 0.1$  in the univariate analyses): gender; time registered at the practice; educational attainment; proportion of patients aged  $>65$  in each practice; rurality index of the practices; number of GPs per practice; proportion of male GPs in each practice; proportion of GPs aged  $<35$  in each practice; QOF score of each practice; time registered in the practice; long-term condition caseload in each practice.

¶ Measured using the Index of Multiple Deprivation 2010<sup>22</sup> [theoretical score ranges from 1 (most deprived area) to 100 (least deprived area)]

\* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

**Online Appendix 6.** Relation between “Practice Activation” score and other practice characteristics [summary of univariate regression model at the practice level (n=45 practices)]

Practice characteristics	Coefficient (95% CI)
QOF score‡	0.044 (-0.065; 0.153)
Deprivation† ¶	-0.116 (-0.214; -0.0178)
Safety climate †	0.004 (-0.158; 0.164)
Registered patients	0.013 (-0.083; 0.109)
Patients aged >65 (%)	0.0525 (-0.048; 0.152)
Rurality	0.022 (-0.346; 0.391)
Number of GPs per practice	-0.034 (-0.138; 0.070)
Male GPs (%)	-0.04 (-0.113; 0.105)
GPs aged ≤35 (%)	0.021 (-0.089; 0.133)
GPs aged ≥50 (%)	-0.041 (-0.159; 0.077)
Long-term condition case load	0.001 (-0.096; 0.984)

† P<0.05;

‡ Quality and outcomes framework overall score achieved in the financial year 2012/2013 [theoretical score ranges from 0 (lowest quality) to 1000 (highest quality)]

¶ Measured using the Index of Multiple Deprivation 2010<sup>22</sup> [theoretical score ranges from 1 (most deprived area) to 100 (least deprived area)]

† Safety climate (PC-SafeQuest) total score [theoretical score ranges from 1 (lowest perceived practice safety) to 7 (highest perceived practice safety)]. Analysis based on 30 practices only.

**Online Appendix 7.** Relation between the individual items included in the “Practice Activation” scale and patient-reported experiences of safety problems, of harm, and overall rating of patient safety [summary of univariate regression model at the patient level\*]

	<b>Experiences of safety problems</b>	<b>Harm</b>	<b>Overall perceptions of patient safety</b>
<b>Practice activation scale individual items</b>	<b>Coefficient (95% CI)</b>	<b>Coefficient (95% CI)</b>	<b>Coefficient (95% CI)</b>
Was available when needed?	0.70 (0.59; 0.80)	0.39 (0.30; 0.48)	0.61 (0.51; 0.72)
Gave you enough time?	0.80 (0.66; 0.93)	0.43 (0.28; 0.57)	0.84 (0.69; 0.99)
Encouraged you talk about concerns?	0.68 (0.56; 0.79)	0.42 (0.29; 0.55)	0.70 (0.59; 0.80)
Explained tests/treatments?	0.89 (0.75; 1.04)	0.55 (0.40; 0.70)	0.95 (0.82; 1.08)
Told you about side effects?	0.64 (0.53; 0.74)	0.50 (0.34; 0.58)	0.60 (0.48; 0.72)
Took your concerns seriously?	0.84 (0.71; 0.96)	0.65 (0.50; 0.79)	0.93 (0.80; 1.06)
Helped you to arrange/organise care?	0.84 (0.69; 0.99)	0.62 (0.46; 0.79)	0.86 (0.72; 1.00)
Had access to information?	0.85 (0.71; 0.99)	0.60 (0.45; 0.76)	0.95 (0.81; 1.01)
Aware of the recommendations from other professionals?	0.74 (0.59; 0.88)	0.59 (0.44; 0.74)	0.79 (0.65; 0.94)
Worked well together with other professionals?	0.81 (0.64; 0.97)	0.57 (0.45; 0.69)	0.72 (0.58; 0.86)

\* Given their highly skewed distribution, the responses categories of all the items in the “Practice Activation” scale were dichotomized as follows: always/not applicable vs never/rarely/sometimes/often.