

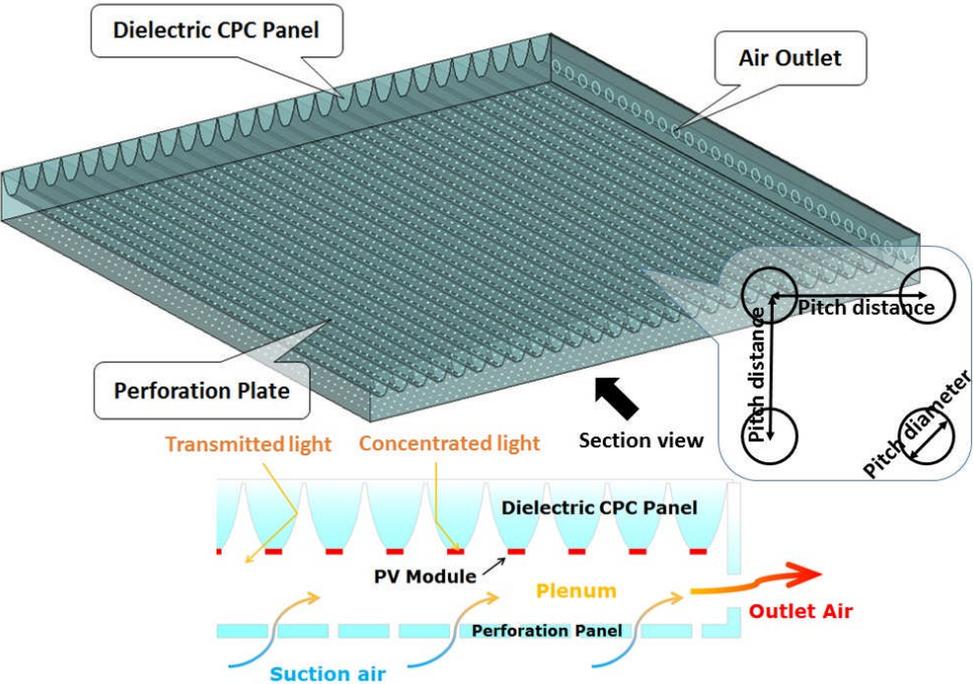
1 A study on incorporation of transpired solar collector in a novel  
2 multifunctional PV/Thermal/Daylighting (PV/T/D) panel  
3

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9

10 **Abstract**

11 When a transparent dielectric compound parabolic concentrator (CPC) PV panel is applied as  
12 a skylight in atrium, heat rejection from the PV cells results in both low electrical conversion  
13 efficiency and unwanted heat to the atrium in summer, which usually causes a common issue  
14 of overheating or increased cooling load for façade and atrium buildings. This paper  
15 introduces a novel multifunctional PV/Thermal/Daylighting (PV/T/D) system by incorporating  
16 a transpired solar collector with the dielectric CPC panel. The thermal performance of system  
17 was investigated through simulations by computational fluid dynamics (CFD) software and  
18 experiments. Parametric studies were conducted to evaluate the effects on the thermal  
19 performance by different design criteria such as approach velocity, plenum height, pitch and  
20 diameter of perforation, porosity and solar radiation level. The experiments were taken under  
21 both indoor solar simulator and outdoor real sky conditions. Results show that the designed  
22 PV/T/D system could largely remove the heat generated on the PV cells so that the higher PV  
23 operation efficiency could be achieved. In addition, the design of transparent perforation  
24 plate underneath the dielectric CPC panel could largely reduce the heat flux to the atrium  
25 space so that the cooling load of atrium could be largely reduced.

26 **Graphical abstract**



27

28

29 **Highlights**

- 30
- A multifunctional PV/Thermal/Daylighting panel is proposed.
  - 31 • The transpired solar collector largely reduce heat gain to the building interior.
  - 32 • The thermal performance was investigated by simulation and experiment.
  - 33 • Parametric studies focusing on the effects on thermal performance were conducted.
  - 34 • The thermal efficiency of this system could range between 40% and 85%.

35

36 **Keywords:**

37 Multifunctional PV/Thermal/Daylighting; miniature dielectric CPC panel; transpired solar  
38 collector; CFD simulation; experimental study.

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## 1. Introduction

44 In order to utilise solar radiation efficiently and reduce the usage of expensive PV material,  
45 solar concentrators are usually used to be integrated with the photovoltaic/thermal (PV/T)  
46 system. Compound parabolic concentrator (CPC) belonging to nonimaging optics has been  
47 regarded as a highly potential and appealing option for solar energy concentration and  
48 illumination since mid-1960s (Baranov, 1965, Baranov, 1966, Ploke, 1967, Baranov, 1967,  
49 Hinterberger, 1966a, Hinterberger, 1966b, Ploke, 1969, Baranov and Melnikov, 1966). Based  
50 on its specific optical structure, CPC has the abilities to both concentrate solar radiation onto  
51 its base for PV application and transmit it through its profile for daylighting application, in  
52 respect to the incident angle compared to its acceptance angle. Due to its simple construction,  
53 it is much easier incorporated in building integrated PV/T (BiPV/T). Thus, it has been widely  
54 applied in photovoltaic (PV) in the past fifty years. Its potential in daylighting control when it  
55 is used as a skylight and building facade has been discovered in recent years as well. However,  
56 when the sunlight is concentrated onto the solar cell attached to the base of a CPC, the  
57 concentrated heat could also result in the increase of PV cell temperature. Lots of studies  
58 indicated that the output power of PV cell is significantly influenced by the operating  
59 temperature: one Kelvin increase in operating temperature could cause 0.4%-0.65% power  
60 reduction (Radziemska, 2003). Reducing the operating temperature of the PV cell attached to  
61 CPC would be crucial in CPC applications.

62 In the past few decades, the technologies to remove the excessive heat from the PV module  
63 developed quickly. The most promising technology is using the cooling fluid such as water or  
64 air to extract heat from PV to open loop or closed loop configuration. The extracted heat could  
65 be reused for space heating, ventilation or domestic hot water by either direct or indirect  
66 means (Athienitis et al., 2011). This type of system is named as hybrid photovoltaic/thermal  
67 (PV/T) system. Normally, PV has the ability to convert 6-16% solar energy into electricity at  
68 the temperature of 25°C, the remaining 80% of incident solar radiation could be used as heat  
69 (Zondag, 2008). Therefore, the PV/T system is regarded as a high-efficiency solar technology  
70 due to the dual benefits of simultaneously increasing solar electricity conversion efficiency  
71 and comprehensive utilization of solar thermal energy. The total solar energy conversion ratio  
72 of PV/T system could reach 60-80% for different system designs (Naewngerndee et al., 2011,  
73 Chow et al., 2009, Bergene and Løvvik, 1995). Among various PV/T systems, the air-based PV/T  
74 system using air as a working fluid was the most popular one being studied at the early stage  
75 of PV/T technology research, which is due to its easy set-up and low cost on both construction  
76 and operation (Abdul Hamid et al., 2014).

77 Several studies have investigated the performances of various CPC-PV/T systems. Garg and  
78 Brogren (Garg and Adhikari, 1999, Brogren et al., 2001) explored the CPC-PV/T system with  
79 the concentration ratio of 3 and 4; results showed that its thermal and electricity outputs were  
80 related to the solar collector length, mass flow rate of air, solar cell density, optical properties  
81 of the glazing, reflector, absorber and so forth. Sun and Shi (Sun and Shi, 2010) designed and  
82 tested the performance of a single-pass PV/T system integrating CPC. There were fins attached  
83 to the back side of PV panel to speed up the heat transfer to the air in chamber. It was found  
84 that the maximum short circuit current was higher than twice of the current of standard PV  
85 panel. Li et al. took some preliminary researches on a CPC-BiPV/T system with novel static

86 incorporated lens-walled CPC (Li et al., 2014, Guiqiang et al., 2014). It was found that the  
87 average optical efficiency was up to 83% when the incident lights were within the half  
88 acceptance angle.

89 The design of a novel CPC-PV/T system that will be introduced in this paper was inspired by  
90 the unglazed transpired solar air collector (UTC) which is a well-recognised solar air heating  
91 technology. The example applications of UTC include pre-heating ventilation air and heating  
92 air for crop drying (Arulanandam et al., 1999). It has been manufactured and widely used for  
93 commercial purposes. The efficiency could reach as high as 70% in real application. In recent  
94 years, the novel UTC system integrated with PV or PV/T system was developed and evaluated  
95 by several researchers (Athienitis et al., 2011, Naveed et al., 2006). Compared to other types  
96 of solar heater, UTC has two main advantages. Firstly, heat loss from the absorber surface  
97 could be minimised due to homogenous suction of air through the perforations, which has  
98 been **proved theoretically in a previous research** (Kutscher et al., 1993). Secondly, the initial  
99 cost of the system could be reduced as there is no need for a glazing cover, and the  
100 combination of high thermal efficiency and low cost of solar collector makes the UTC system  
101 achieve the economic performance of 2-10 years payback period (Hall et al., 2011). The state  
102 of art review on various research literatures on the performance of UTC system showed that  
103 the most critical parameters influencing the UTC system efficiency include absorptivity and  
104 emissivity of absorber, surface approach velocity (the volume flow rate per unit area of entire  
105 surface), the width of the plenum, pitch layout and porosity, wind velocity and so forth (Shukla  
106 et al., 2012). The relationship between these factors and UTC performance is well investigated  
107 by researchers using experimental and numerical methods (Leon and Kumar, 2007, Badache  
108 et al., 2013).

109 A dielectric CPC panel integrating PV for skylight application was proposed in our previous  
110 study (Yu et al., 2014). This paper will investigate a heat recovery system for the CPC-PV panel  
111 to achieve multifunctional PV/Thermal/Daylighting (PV/T/D) applications. The concentrated  
112 heat on the PV cell attached on the base of dielectric CPC could result in both low electrical  
113 conversion efficiency and transmission of unwanted heat into the atrium in summer. Except  
114 generating electricity by PV and providing daylight to atrium, three objectives were put  
115 forward for this study according to the level of priority:

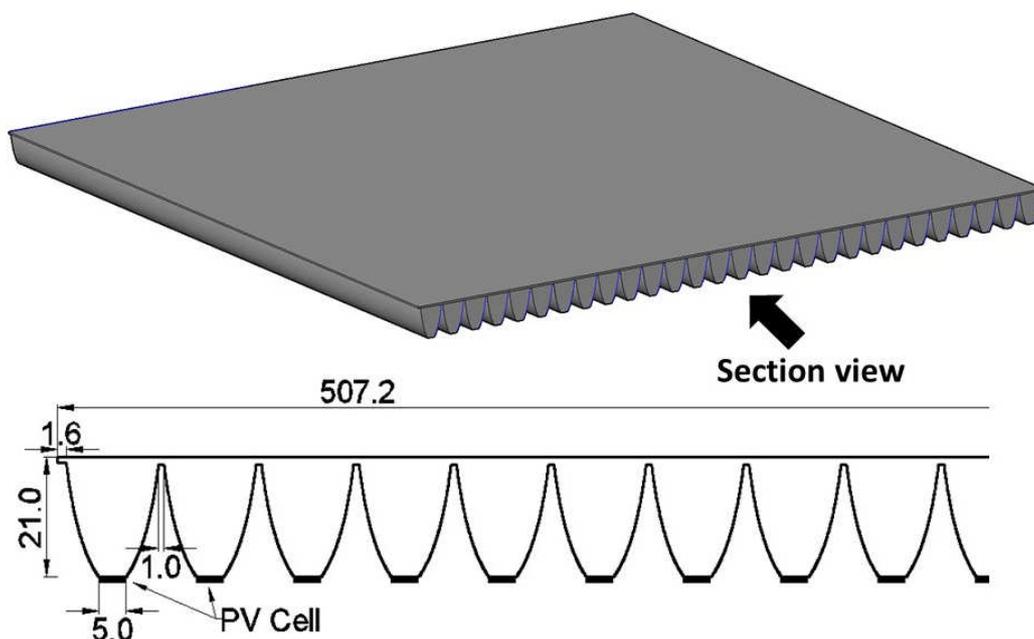
- 116 1) Prevent the convection heat gain from roof panel to atrium space so that the indoor  
117 thermal comfort could be guaranteed.
- 118 2) Reduce the operating temperature of PV cell attached to the base of dielectric CPC panel  
119 to improve its electrical efficiency.
- 120 3) Utilise the removed heat for thermal application such as drying food, air or water  
121 preheating.

122 The design of the whole PV/T/D system will be introduced first in this paper. Then the thermal  
123 performance of it will be investigated numerically with the aid of computational fluid  
124 dynamics (CFD) software. The heat transfer and air flow through the system are modelled by  
125 using commercial software (FLUENT). The parametric studies would be taken to evaluate the  
126 influence by some design parameters on the PV/T/D system in terms of several design criteria  
127 such as approach velocity, plenum height, pitch and perforation dimension, porosity and solar

128 radiation level. Finally, experimental results obtained under solar simulator and real sky would  
129 be presented to confirm the feasibility of the designed PV/T/D system.

## 130 2. System design

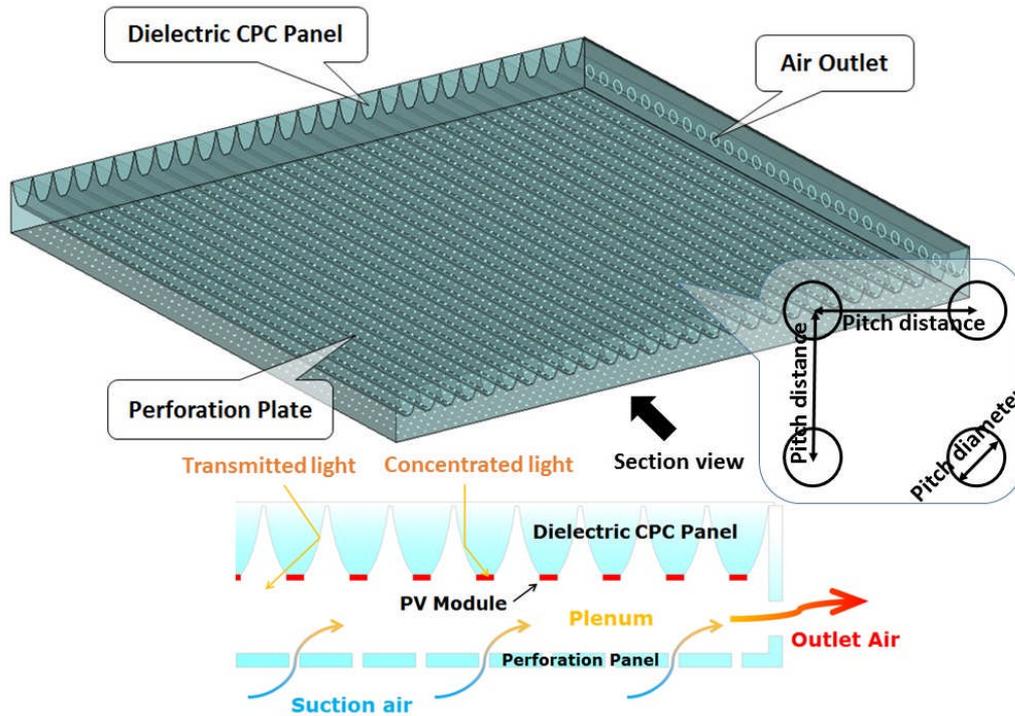
131 The concentrator applied in this study was dielectric compound parabolic concentrator (dCPC)  
132 which was investigated in our previous research (Yu et al., 2014). It could concentrate a  
133 portion of solar radiation onto the PV cell on its the base and meanwhile transmit the rest for  
134 daylighting. Fig. 1 demonstrates the 3D view and section view of the CPC panel which is a  
135 507.2mm\*500mm square panel consisting of 28 mini dielectric CPC rods of 21mm high. It is  
136 worth to mention that the design of the 1.6mm wide overhang is for the convenience of  
137 installation on the integrating box during the experiment test. The whole panel is made of  
138 clear acrylic.



139

140 Fig. 1. 3D view and section view (part) of dielectric CPC panel (unit: mm)

141 The basic design of the PV/T/D system with dielectric CPC panel is illustrated in Fig. 2: a  
142 transparent acrylic sheet with many circular perforations is attached with some distance to  
143 the base of dielectric CPC panel to form the plenum for this system. An exhaust fan is installed  
144 in the air outlet to provide required suction during operation. The concentrated heat on the  
145 PV cells at the base of dielectric CPC panel is partially transferred to the plenum air that could  
146 be exhausted and used for thermal application. At the same time, the temperature of PV cells  
147 could be reduced to improve its efficiency; and most importantly, the heat flow from the  
148 dielectric CPC panel to atrium space could be reduced. The dimensions of this PV/T/D system  
149 are listed in Table 1 in detail. The pitch distance of the perforation plate is the closest distance  
150 between the adjacent perforations. Similar to the dielectric CPC panel, the perforation panel  
151 and side walls are also made of acrylic with high light transmittance to guarantee the daylight  
152 transmission.



153

154

Fig. 2. Schematic diagram of the perspective and sectional view of PV/T/D system

155

Table 1. Dimensions of dielectric PV/T/D system

<b>Dimensions of CPC panel</b>	Dimension
Width of CPC panel (including 1.6mm*2 overhangs)	507.2mm
Length of CPC panel	500mm
Height of CPC panel	21mm
Number of mini dielectric CPC rods in the panel	28
Dimension of each dielectric CPC rod:	
<i>Front aperture width;</i>	18mm
<i>Base aperture (PV) width;</i>	5mm
<i>Length;</i>	500mm
<i>Conjunction gap between CPC rods</i>	1mm
Width of PV cell under each dielectric CPC rod	5mm
Geometrical concentration ratio	3.6
Refractive index of acrylic	1.49
Inner half acceptance angle	14.48°
<b>Dimensions of transpired solar collector</b>	
Width and length of air plenum	500mm*504mm
Height of air plenum	30-60mm <sup>+</sup>
Pitch dimension of perforation plate	4.5-18mm <sup>+</sup>
Pitch diameter of perforation plate	0.75-3mm <sup>+</sup>
Thickness of perforation plate and side walls	6mm
Number of Air outlet	28
Diameter of air outlet	4-5mm
*these value are due to be determined during parametric study.	

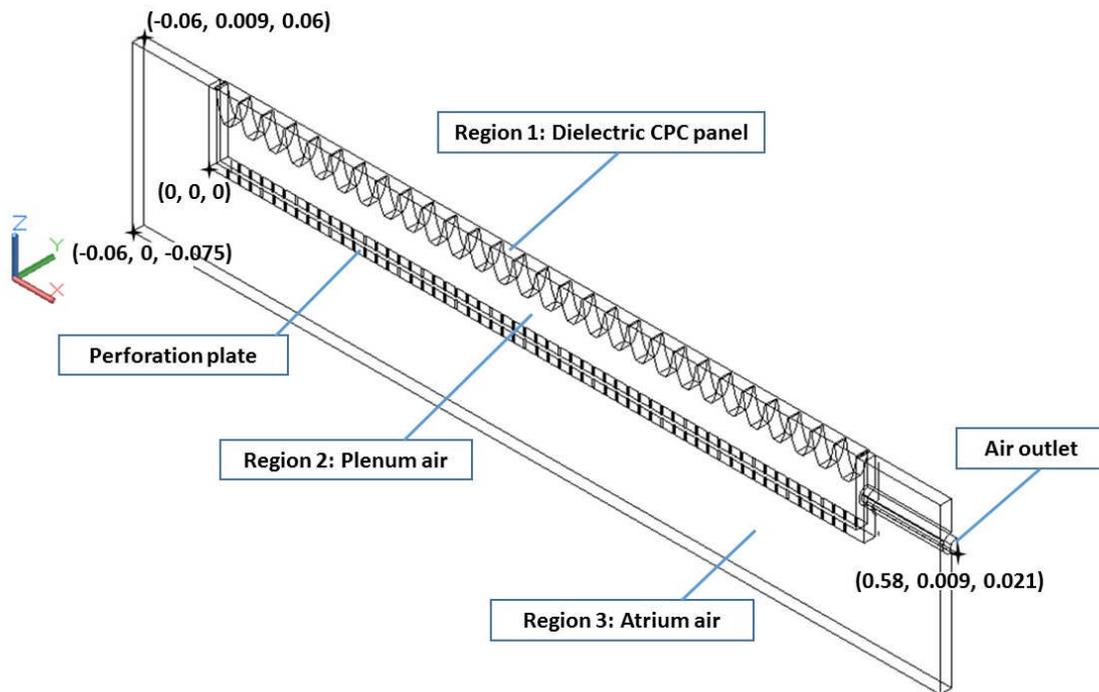
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### 3. Methodology

#### 3.1 Computational modelling

##### 3.1.1 Geometry model and boundary conditions

159 Due to the limitation of computer process speed and capability, and to ensure the quality of  
 160 mesh and accuracy of the results, a reduced system domain was sketched with symmetry  
 161 boundary conditions to reflect the thermal and flow characteristics of the whole system. The  
 162 reduced system domain built in ANSYS DesignModeler is shown in Fig. 3. The domain of  
 163 numerical simulation has three regions. Region 1 is the solid region for dielectric CPC panel,  
 164 whose upper surfaces are exposed to the ambient air; Region 2 and 3 are the fluid regions for  
 165 plenum air and atrium air respectively, and these two regions are separated by the perforation  
 166 plate. The materials used in each region and their thermophysical properties are listed in Table  
 167 2. The Meshing component contained in the ANSYS Workbench Package was used to generate  
 168 the mesh. The automatic meshing method (combines Tetrahedrons & Sweep based on  
 169 complexity of the geometry) is applied to split the geometry into a number of cells. For the  
 170 sizing control, the function of Curvature and Proximity was used as the geometry contained  
 171 both curved and narrow surface. A large number of cells are required to be placed within the  
 172 slots where the temperature and velocity gradients are expected to be significant (Badache et  
 173 al., 2013). The minimum cell size was determined by the perforation diameter in each case.



174

175 Fig. 3. Simplified system domain of PV/T/D system in the ANSYS DesignModeler

176

Table 2. Properties of materials used in each region

	Region 1	Region 2 and 3
Material	Acrylic glass	Air
Density (kg/m <sup>3</sup> )	1180	1.225 (Boussinesq)
Thermal conductivity (W/m·K)	0.2	0.0242
Specific heat (J/kg·K)	1470	1006.43
Viscosity (kg/m·s)	/	1.79×10 <sup>-5</sup>

177

178 Referring to Fig. 3, the boundary conditions for numerical simulation are presented as follows:

- 179 • At the plane  $z=-0.075$ , a pressure inlet boundary was set to allow for the entrainment of  
180 air at a temperature of 300K. The gauge pressure is set to be 30Pa to ensure a  
181 homogeneous flow and temperature distribution over the perforation plate. Cao et al.  
182 (Cao S et al., 1993) found that a distance  $x_{\infty}=2L$  was large enough to ensure that the  
183 effectiveness was independent of  $x_{\infty}$ , where L is the pitch distance. In this case, the L is 9-  
184 18mm and the distance  $x_{\infty}$  of 75mm is large enough so that the boundary would not  
185 interfere with the numerical solution.
- 186 • Plane  $x=0.58$  is set as the velocity outlet with the velocity being set to match the desired  
187 approach velocity, and the direction is set to be parallel to x direction.
- 188 • Plane  $y=0$  and  $y=0.009$  are specified as symmetry.
- 189 • The heat source (PV cells) was specified on the base of dielectric CPC panel, which was  
190 assumed that the solar radiation is at the rate of  $600-1000\text{W}/\text{m}^2$ ; and the dielectric CPC  
191 had the concentration ratio of 3.6 and the optical efficiency of 80%. Thus the heat rate on  
192 the PV module is  $1728-2880\text{W}/\text{m}^2$ . As the base of dielectric CPC panel is recognised as the  
193 interface between solid and fluid, the thermal setting for this boundary was set as coupled  
194 walls with the thickness of 0.001m and the heat generation rate of 1,728,000-  
195 2,880,000 $\text{W}/\text{m}^3$ .

### 196 3.1.2 Energy balance equations

197 The heat flow mechanism in this system is shown in Fig. 4. The heat transfer by radiation was  
198 not considered as it is negligible compared with convective heat transfer in the system. In  
199 order to simplify the modelling process, the PV cells attaching to the base of dielectric CPC are  
200 treated as the heat source. The heat generated by PV cells is transferred by conduction  
201 through the dielectric CPC and by convection to plenum air. The heat transfer occurring at  
202 dielectric CPC panel involves the convection from CPC to the ambient air through its top wall  
203 and the convection from CPC to the plenum air through the side walls of CPC rods. Heat is  
204 transferred from the plenum air to the perforation plate by convection. Finally there is  
205 convective heat transfer from perforation plate to atrium air and the air in perforation holes.

206 The steady-state conservation of mass equation, momentum equation, and energy equation  
207 are used as governing equations in the numerical simulation. As to the solution methodology,  
208 the governing equations were solved numerically by the widely used FLUENT software. The  
209 convergence criteria were set to be  $10^{-5}$  for the mass and momentum equations, and  $10^{-6}$   
210 for the energy equation. The required output could be obtained directly from the results using  
211 the FLUENT post processing software.

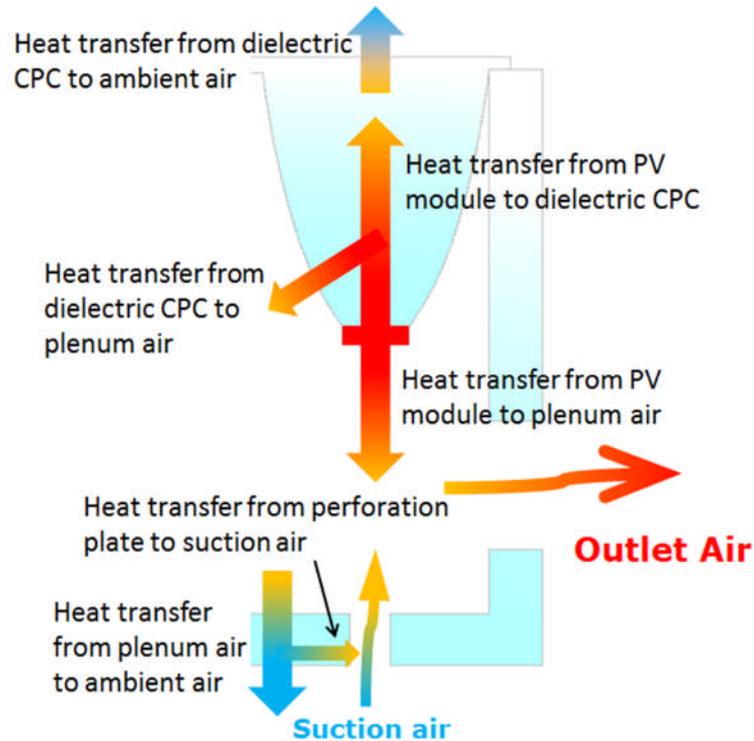


Fig. 4. Heat transfer in the system

212

213

### 214 3.1.3 Assumptions

215 A number of assumptions were made in formulating this model as follows:

- 216 • Flow model:

217 Previous numerical studies on the perforation plate suggested that given sufficient suction, turbulent transition would not occur and laminar flow assumption is sufficient to model the flow appropriately (Collins and Abulkhair, 2014). However, this assumption was **not** suitable for modelling air flow around the perforation plate, for the present model, the air motion in the plenum and atrium might show rather complex behaviour involving both laminar and turbulence (Badache et al., 2013). It is not possible to apply both laminar and turbulence models in a single computational domain. Therefore, the Re-Normalization Group (RNG)  $k - \epsilon$  turbulence model, which is quite frequently used for indoor air simulation involving both laminar and turbulence conditions, was applied in this model (Fuliotto et al., 2010).

- 227 • Fluid properties:

228 Since the temperature differences experienced in the system are relatively large, the Boussinesq approximation was used to account for the density variation, other thermophysical properties of the fluid are kept constant (Badache et al., 2013).

- 231 • Air flow through the perforation plate:

232 A pressure drop of 30Pa between Region 2 and 3 had been set to ensure a homogeneous flow and temperature distribution over the perforation plate. Minimised reversal flow through the perforation plate could be guaranteed under this pressure drop (Leon and Kumar, 2007).

235

### 236 3.1.4 Parameters input and output

237 Earlier studies on UTC have shown that the key parameters influencing the efficiency of UTC  
238 collector include perforation diameter, approach velocity, solar radiation, wind speed and so  
239 forth (Leon and Kumar, 2007). Since the working principle of current dielectric CPC air heater  
240 is similar to UTC, the input variants selected for investigation include: a) Plenum height; b)  
241 approach velocity (suction velocity); c) solar radiation; d) porosity; e) pitch distance and  
242 perforation diameter. It is important to mention that the key parameters influencing the  
243 efficiency of UTC collector is the approach velocity, which normally varies from 0.01-0.05m/s  
244 (Leon and Kumar, 2007). A parametric study was conducted to evaluate the influences of  
245 above parameters on the thermal performance of dielectric CPC air heater. Table 3  
246 summarized the input parameters and the ranges of values used in this study.

247 Table 3. Parameter input of PV/T/D system in CFD

Input parameter	Range
Plenum height	10-30mm
Approach velocity	0.01-0.05m/s
Solar radiation	600-1000W/m <sup>2</sup>
Porosity	0.5%-2%
Pitch (rectangular)	4.5mm-18mm
Perforation diameter	0.75mm-3mm
Pressure drop across the perforation plate	30Pa
Ambient and atrium temperature	300K

248

249 The output parameters include: a) air temperature rise; b) heat recovery efficiency; c) PV  
250 surface temperature; d) distribution of heat flux. The heat recovery efficiency is used to  
251 evaluate the thermal performance of this system, which is defined as the ratio of heat removal  
252 or recovery delivered air flow to the absorber solar radiation by PV cells on the base of  
253 dielectric CPC. Therefore:

$$254 \quad \eta_{air, heater} = \dot{m}_{air, out} * c_{p, air} (T_{out} - T_{atrium}) / A_{PV} I_{PV} \quad (1)$$

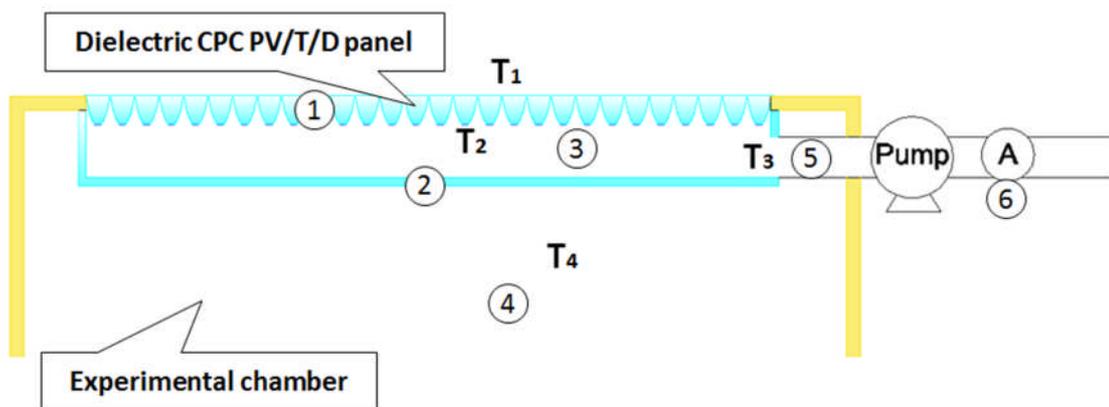
255 where  $\eta_{air, heater}$  is the thermal efficiency of PV/T/D system;  $\dot{m}_{air, out}$  is the mass flow rate  
256 of sucked air;  $c_{p, air}$  is the specific heat of air;  $T_{atrium}$  is the temperature of air in atrium;  
257  $T_{out}$  is the temperature of outlet air;  $A_{PV}$  is the area of PV cells attached on the base of  
258 dielectric CPC panel;  $I_{PV}$  is the absorbed solar radiation on the PV cells;

### 259 3.2 Experiment under simulated sky

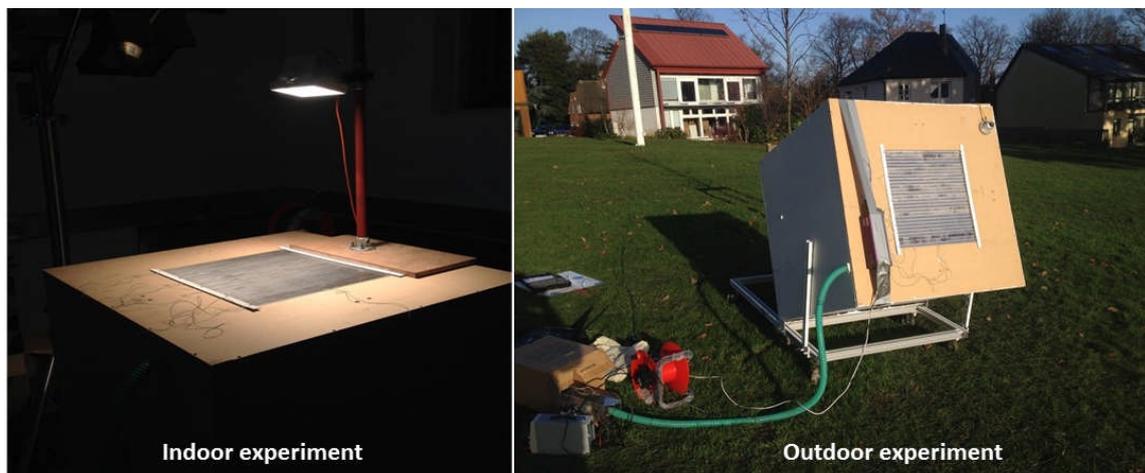
260 The prototype of PV/T/D system was tested on the photometric integrator, which is a  
261 convenient method to measure light transmission ratio. It is regulated by British standard BS  
262 EN 13032-1:2004+A1:2012 (BSI, 2005). It has been widely applied to assess the performances  
263 of optical equipment and luminaires by Building Research Establishment Ltd. (BRE) (Littlefair  
264 and Graves, 2008, Littlefair and Ticleanu, 2012, Howlett, 2015). The integrator was a wooden  
265 cubic chamber. The vertical layout of the system is schematically sketched in Fig. 5. The system  
266 used in experiment has same structure and dimensions introduced in Table 1 and Fig. 2. One

267 of the typical dimensions of perforation plate was chosen in test. The perforation plate in test  
 268 was made of 6mm thick transparent acrylic sheet, on which 3080 (55\*56) slots were equally  
 269 distributed, and the slots were manufactured by laser cutting with an accuracy of  $\pm 0.1\text{mm}$ . It  
 270 had the pitch of 9mm and the perforation diameter of 1.5mm. The porosity of it was 2.16%.  
 271 Both indoor and outdoor experiments were taken in this study.

272 The indoor experimental rigs include three parts: a) a solar simulator providing constant  
 273 parallel solar radiation (not shown in the sketch) (the uniformity of the solar radiation over  
 274 the plate is within  $\pm 3\%$ ); b) an extraction fan drawing the air through the PV/T/D system at a  
 275 certain flow rate; c) some meters including one pyranometer ( $\pm 2\%$  in uncertainty) to measure  
 276 the solar radiation; one anemometer (accuracy of  $\pm 3\%$  and  $\pm 0.15\text{m/s}$ ) to measure the air  
 277 velocity at outlet; several K-type thermocouples ( $\pm 1.5^\circ\text{C}$  in accuracy) which are connected to  
 278 the automatic data acquisition system; d) a PV/T/D prototype which consists of dielectric CPC  
 279 panel, transparent perforation plate and air gap (plenum). The outdoor experiment was taken  
 280 on a sunny day in Nottingham, UK ( $53^\circ\text{N}$ ,  $1.2^\circ\text{W}$ ) by the same experimental rig. The  
 281 experimental integrator was tilted in a certain angle such that the dielectric CPC panel could  
 282 directly face the sunlight. Fig. 6 shows the photos of indoor and outdoor experiments.



283 Fig. 5. Vertical layout of the experimental set-up: 1) dielectric CPC panel; 2) perforation  
 284 plate; 3) plenum; 4) chamber interior; 5) air outlet; 6) anemometer.  
 285



286  
 287 Fig. 6. Experimental rig for indoor and outdoor testing

288 The experiment was designed to measure the delivered air temperature, PV surface  
 289 temperature, the heat recovery efficiency under certain solar radiation ( $I_r$ ) and given air flow  
 290 rate ( $\dot{m}$ ). The thermocouples were arranged as follows: one thermocouple was attached to  
 291 the dielectric CPC panel surface to measure its surface temperature ( $T_1$ ); one thermocouple  
 292 ( $T_2$ ) was attached to the back of one PV cell to measure its temperature; the delivered air  
 293 temperature was measured by thermocouple  $T_3$  which is located at air outlet; and the  
 294 chamber air temperature was measured by thermocouple  $T_4$ . Therefore, the heat recovery  
 295 efficiency from experiment  $\eta_{experiment}$  could be calculated as follows:

296 
$$\eta_{experiment} = \dot{m} * c_{p, air} (T_3 - T_4) / A_{PV} I_{PV} \quad (2)$$

297 Where  $\dot{m}$  is the mass flow rate of sucked air;  $c_{p, air}$  is the specific heat of air;  $A_{PV}$  is the area  
 298 of PV cells, which is  $0.005m * 0.5m * 28$  in the current case;  $I_{PV}$  is the incident solar radiation  
 299 on the PV cells, which could be measured through the measurement of the short circuit  
 300 current of the PV cells with and without the CPC, and in this case, the measured effective  
 301 concentration ratio was 1.8 so that  $I_{PV}$  is 1.8 times of the solar radiation level on the dielectric  
 302 CPC surface.

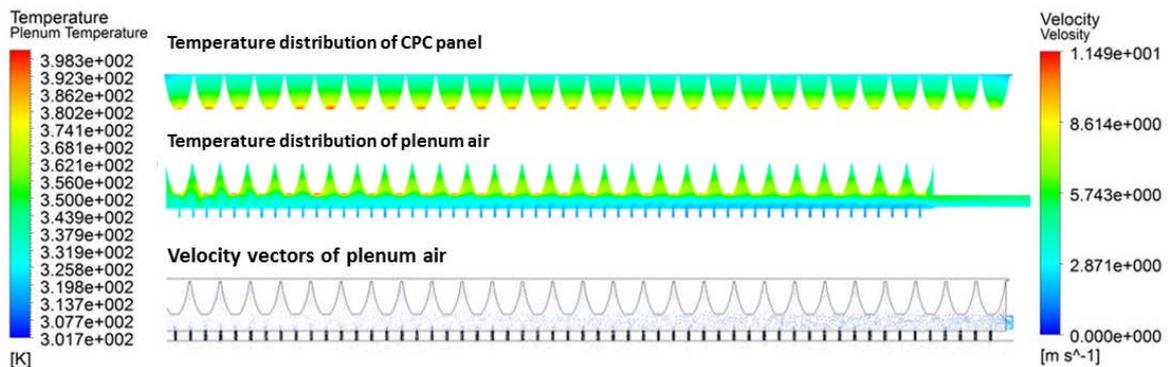
303 During the experiment, the air was drawn from the interior experimental chamber by circular  
 304 pipe line which is connected to the extraction fan. The speed of the fan (air flow rate) was  
 305 controlled by its voltage input.

## 306 4. Results and discussion

### 307 4.1 CFD simulations

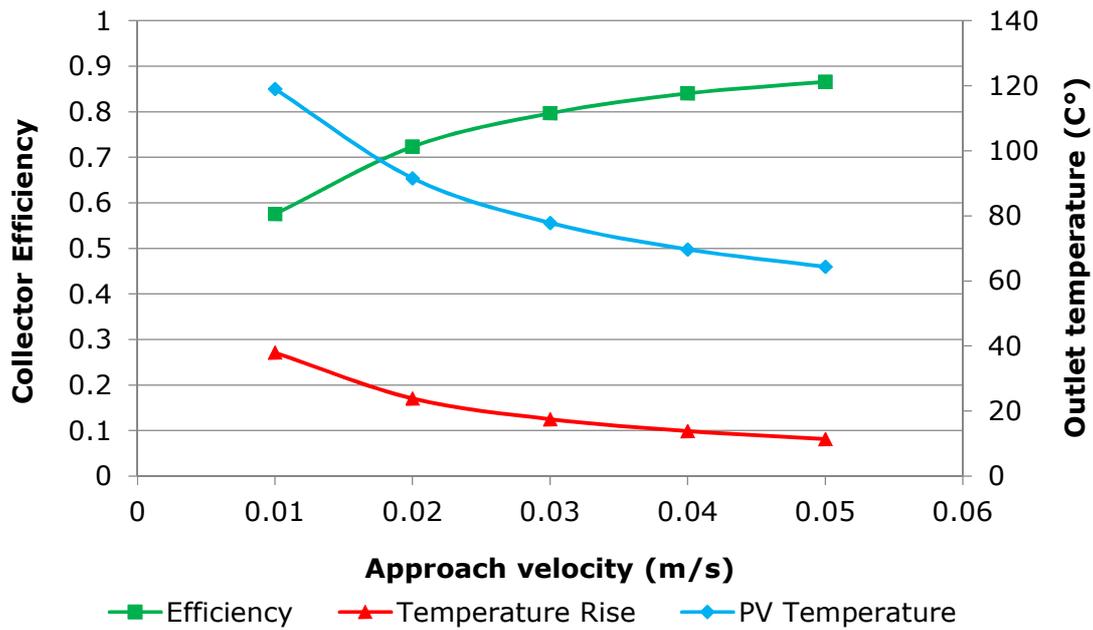
#### 308 4.1.1 Flow characteristics

309 An example of the temperature distributions and flow characteristics in the modelled regions  
 310 are illustrated in Fig. 7. The constant inputs parameters of Fig. 7 are as follows: plenum height:  
 311 10mm; perforation diameter: 1.5mm; pitch distance: 9mm; perforation porosity: 2%; solar  
 312 radiation:  $1000W/m^2$ ; approach velocity: 0.01m/s. The parametric studies will be presented  
 313 in the following sections. The criteria investigated in parametric studies are approach velocity,  
 314 plenum height, pitch and perforation diameter, porosity, and solar radiation.



315  
 316 Fig. 7. Example of flow characteristics in PV/T/D system by CFD  
 317  
 318  
 319

320 **4.1.2 Effects of approach velocity**



321

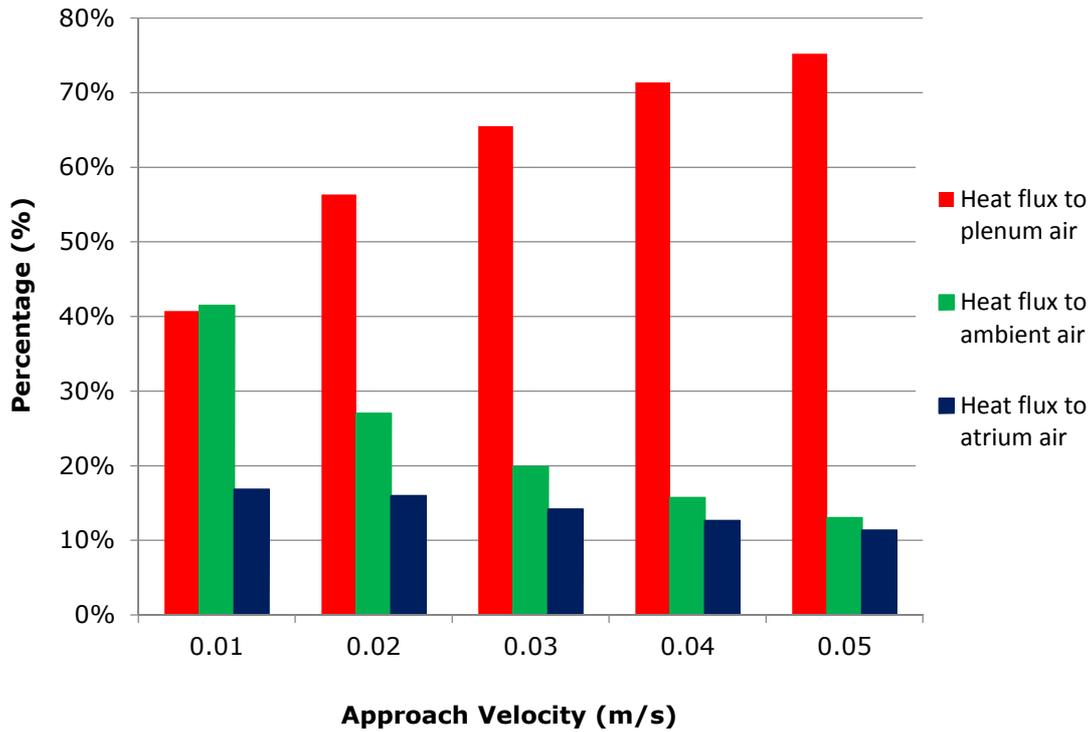
322 Fig. 8. Effects of approach velocity on the temperature of PV cell, outlet temperature rise  
323 and thermal efficiency of the system

324 Fig. 8 represents the influence of approach velocity on the thermal collector efficiency, outlet  
325 temperature rise and PV operating temperature under fixed solar radiation, perforation and  
326 plenum dimension. The outlet air temperature rises and PV temperature decreases with rising  
327 approach velocities. The opposite trend is found for the thermal collector efficiency. Given the  
328 solar radiation of  $1000\text{W/m}^2$ , perforation diameter of 1.5mm, pitch distance of 9mm and  
329 plenum height of 10mm, the heat recovery efficiency increases rapidly when the approach  
330 velocity changes from 0.01m/s to 0.03m/s, and tends to be nearly constant after 0.03m/s. The  
331 results are generally consistent with earlier study of UTC collector by Kutscher et al (Kutscher  
332 et al., 1993). The thermal efficiency of collector could be as high as above 80% for the designed  
333 PV/T/D system. It seems that the higher approach velocity is preferred to obtain higher  
334 thermal efficiency and lower PV operating temperature; however, more power input for  
335 suction blower is required to meet the target approach velocity. A comprehensive trade-off  
336 for the velocity determination is required.

337 The concentrated heat on the PV cells would be transferred to three destinations, which are  
338 ambient air through the upper surface of dielectric CPC panel, plenum air then to air outlet,  
339 and atrium air through the perforation plate. Fig. 9 further illustrated the proportion of heat  
340 transfer in each destination. It could be found that the heat flux to the plenum air dominate  
341 among three heat transfers. Additionally, its percentage increases with the rising approach  
342 velocity. As the air flow rate is proportional to the approach velocity, increasing in approach  
343 velocity means linear increasing of the air flow rate in plenum. The effect of convection heat  
344 transfer to the plenum would be enhanced due to the increased air flow rate, and  
345 consequently the heat flux to the ambient air and atrium air would be reduced. However,  
346 there seems to be no obvious reduction in heat flux to atrium air with the changing approach  
347 velocity.

348 It should be further mentioned that the amount of heat flux to atrium air would be taken back  
349 to the plenum by suction air due to the suction effect of the perforation plate. Thus the actual

350 heat flux to the atrium should subtract the heat that is taken back to the plenum. According  
 351 to the previous researches, for perforation plate, if a minimum pressure drop of 25Pa and a  
 352 minimum approach velocity of 0.02m/s are provided, the convective heat loss could be  
 353 neglected since the convective boundary layer is continuously sucked off (Kutscher, 1994). It  
 354 can be found that almost all the heat flow through the perforation plate could be drawn back  
 355 to the plenum and the amount of heat flow down to the atrium space could be small and  
 356 neglected, which achieve the first design objective of the PV/T/D system, i.e., minimising solar  
 357 heat gain.

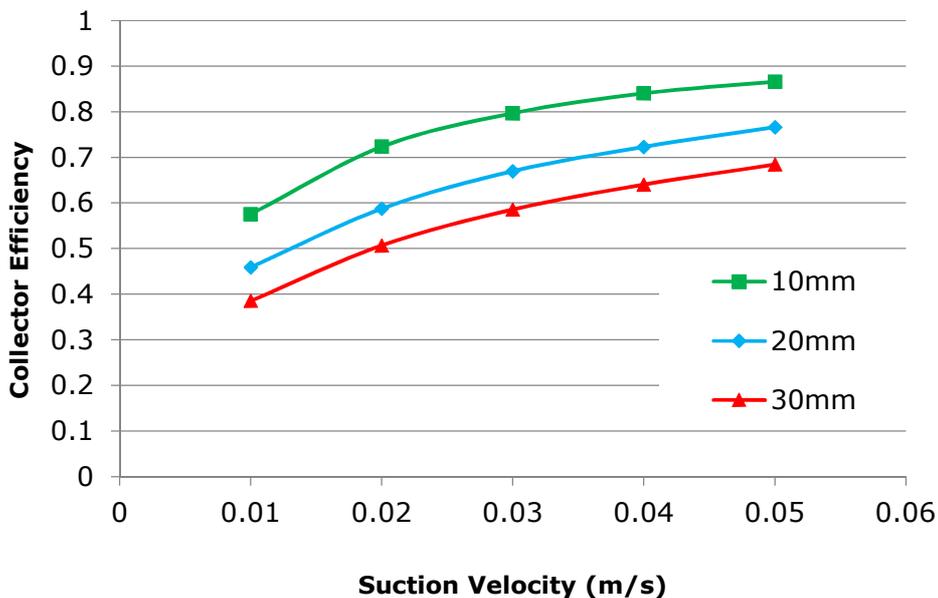


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Fig. 9. Proportion of heat transfer to each destination

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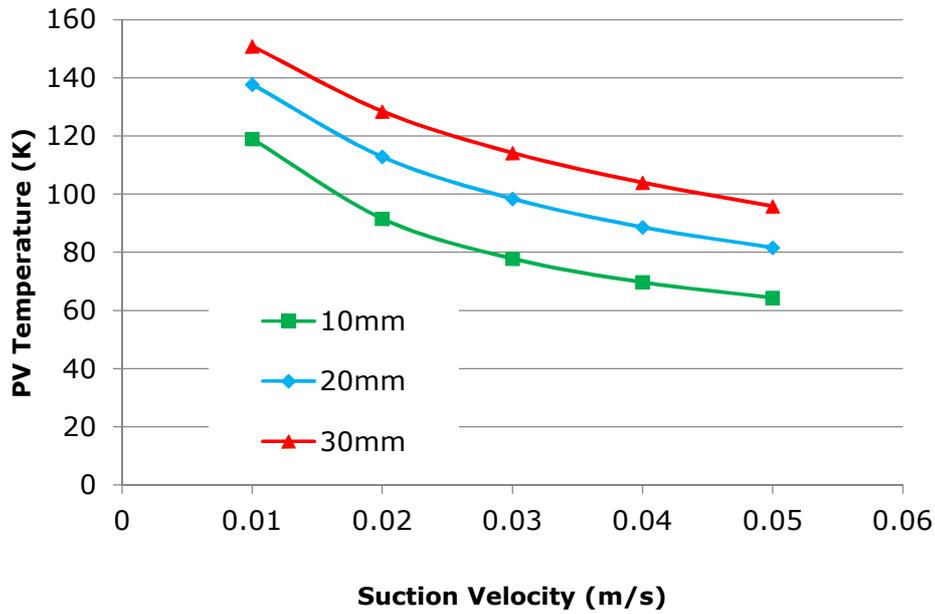
360 **4.1.3 Effects of plenum height**



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Fig. 10. Effect of plenum height on collector efficiency

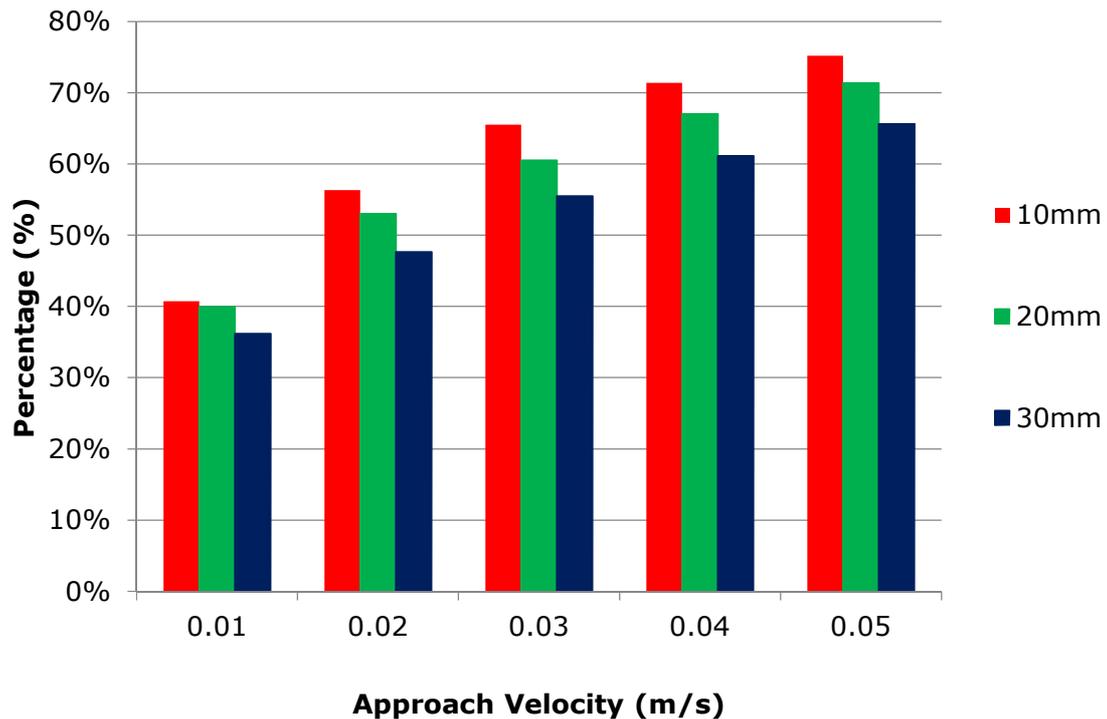


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Fig. 11. Effect of plenum height on the PV temperature

365 According to Figs. 10 and 11, it could be observed that the plenum height has a significant  
366 influence on the thermal efficiency and PV operating temperature. Smaller plenum height  
367 seems to be preferred as it could result in higher temperature rise and thermal collector  
368 efficiency, and lower PV operating temperature under fixed approach velocity. This  
369 phenomenon could be explained that smaller distance between the perforation plate and PV  
370 module could result in more air flowing through the bottom of PV module and enhance the  
371 convective heat transfer to the plenum air. This could be further proved by Fig. 12. Larger  
372 plenum height could cause lower proportion of convective heat transfer to the plenum air.  
373 However, the fraction pressure drop also needs to be considered when deciding the plenum  
374 height (Leon and Kumar, 2007).



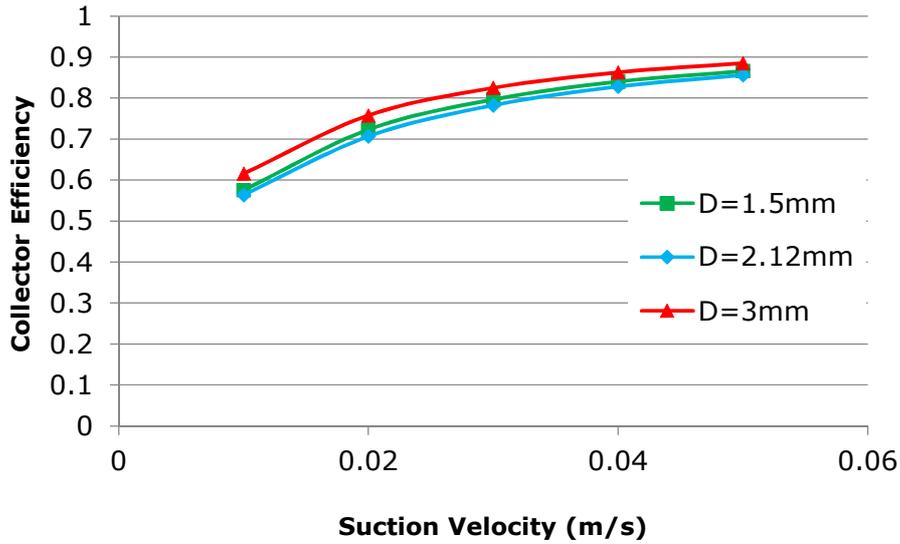
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Fig. 12. Percentage of heat flux to plenum air at different plenum heights

#### 377 4.1.4 Effects of pitch and perforation diameter

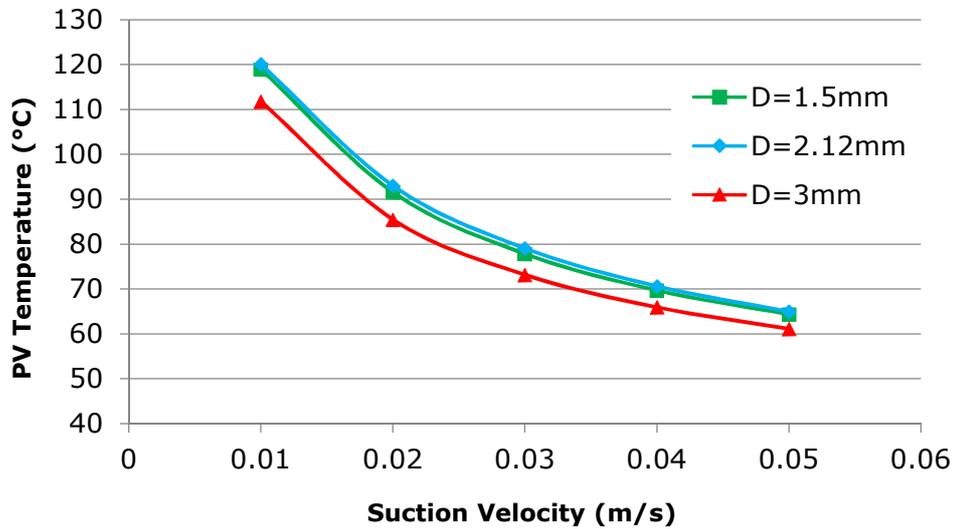
378 The effects of pitch and corresponding perforation diameter on the CPC-PV/T/D system  
 379 performance were investigated and their results were illustrated in Figs. 13 and 14. Under the  
 380 same porosity and pitch layout, the **pitch** perforation diameter has slight influence on the  
 381 collector performance and PV surface temperature. Changing the pitch from 9mm to 18mm  
 382 (and a corresponding change in perforation diameter from 1.5mm to 3mm) could result in  
 383 only 1-3% increase of thermal collector efficiency and 5-10°C drop of PV surface temperature.  
 384 These results are different from the findings of Leon and Kumar's research, who concluded  
 385 that perforation diameter had significant effects on the thermal performance of the UTC  
 386 system (Leon and Kumar, 2007). It could be explained as that the perforation plate in UTC acts  
 387 as the solar absorber where the heat transfer occurred on the front surface, in the hole and  
 388 on the back of the plate, any slight change in perforation plate dimension (pitch and  
 389 perforation diameter) would significantly influence its performance; while for the current  
 390 CPC-PV/T/D system, the PV cell at the base of dielectric CPC is regarded as heat source, as a  
 391 result, changes in pitch and perforation diameter has only slight or even rare influence on the  
 392 thermal performance of the system.



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Fig. 13. Effect of pitch-perforation diameter on the collector efficiency



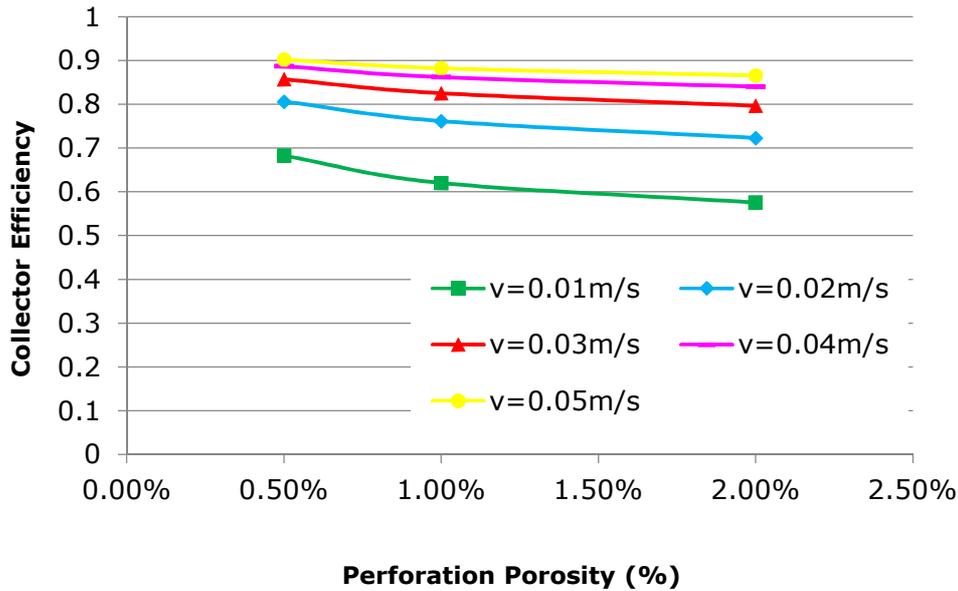
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Fig. 14. Effect of pitch-perforation diameter on the PV surface temperature

#### 397 4.1.5 Effects of perforation plate porosity on efficiency and PV Temperature

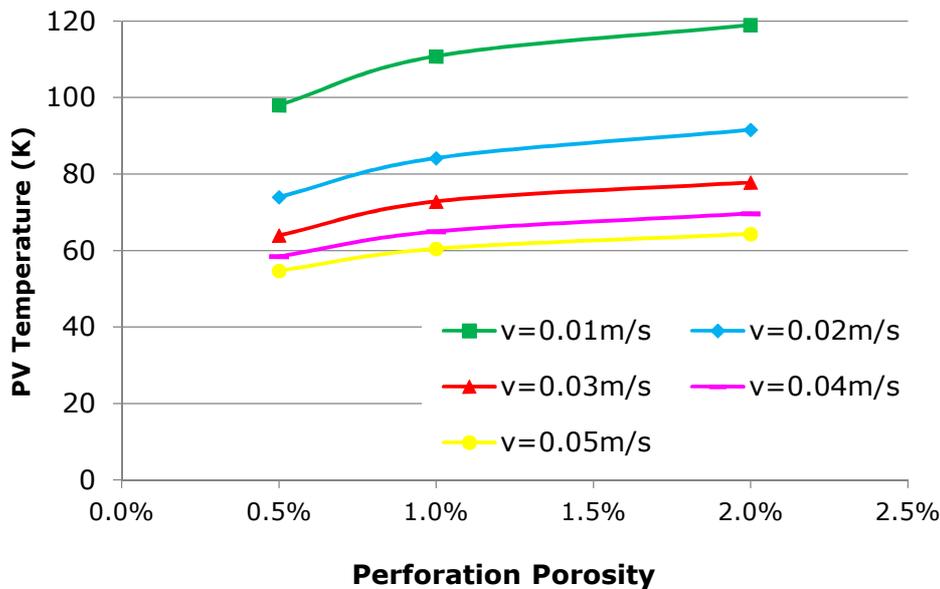
398 Under constant perforation diameter (1.5mm), the influence of perforation porosity on the  
 399 thermal collector efficiency and PV operating temperature is insignificant: 150% (from 0.5%  
 400 to 2%) increase in porosity could result in only 4.20%-18.61% decrease in thermal collection  
 401 efficiency and 14.95%-19.15% increase in PV operating temperature under studied approach  
 402 velocities (Figs. 15 and 16). It is consistent with Leon's results on the influence of porosity on  
 403 the thermal efficiency of UTC collector (Leon and Kumar, 2007). In addition, the significance  
 404 of changing porosity on the thermal efficiency and PV temperature would decrease under  
 405 larger approach velocity.



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Fig. 15. Effect of perforation porosity on collector efficiency



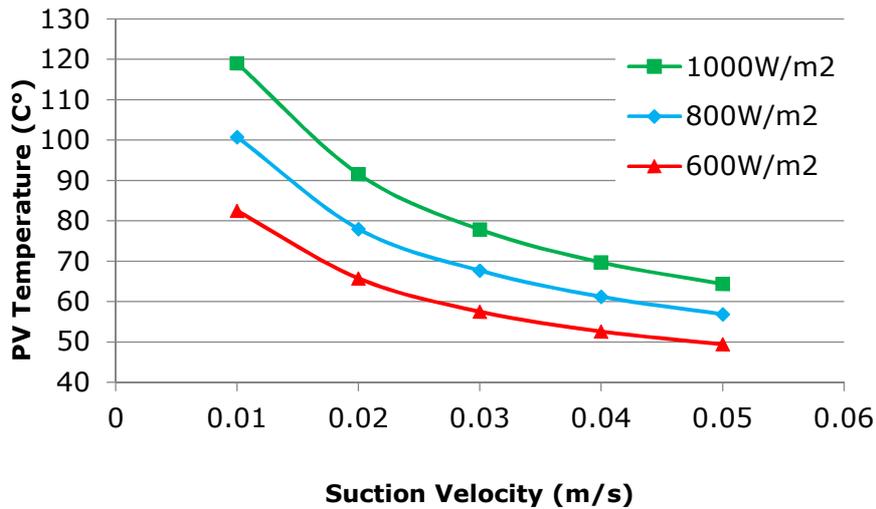
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Fig. 16. Effect of perforation porosity on the PV surface temperature

#### 4.1.6 Effects of solar radiation

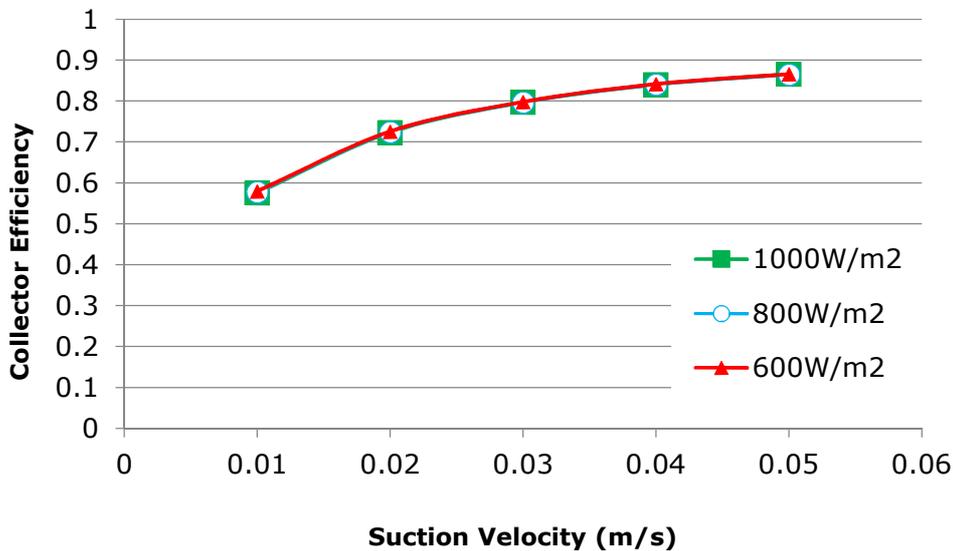
411 The influences of the solar radiation on the PV temperature and collector efficiency were  
 412 investigated and demonstrated in Figs. 17 and 18. PV operating temperature would  
 413 dramatically decrease with the reduction of solar radiation. The amount of temperature drop  
 414 depends on the approach velocities. However, the thermal collector efficiency keeps constant  
 415 under different solar radiation levels as presented in Fig. 18, showing that the solar thermal  
 416 collector efficiency for this PV/T/D system is independent of the solar radiation level. These  
 417 results are consistent with the finding of Leon and Kumar (Leon and Kumar, 2007).



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Fig. 17. Effect of solar radiation on the PV temperature



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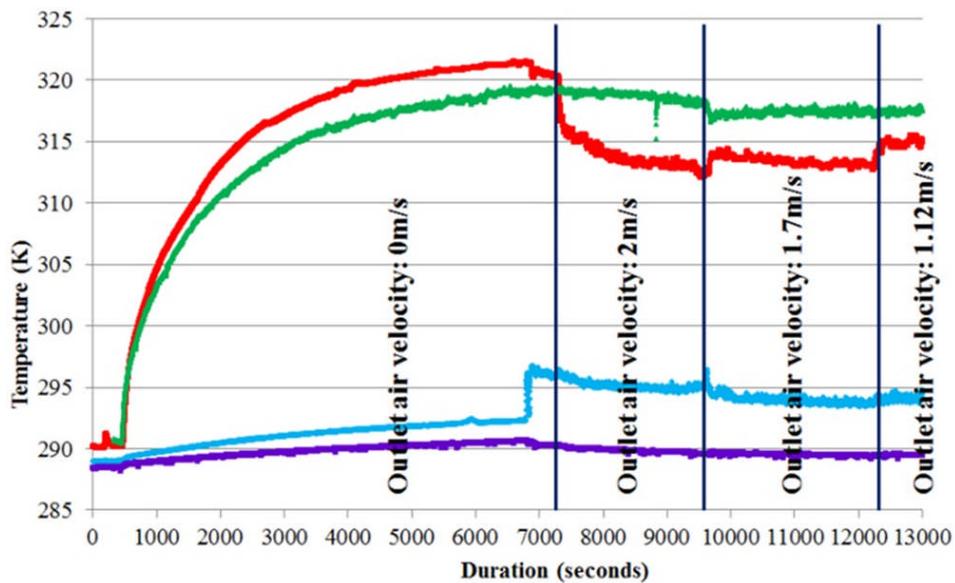
421

Fig. 18. Effect of solar radiation on the collector efficiency

#### 422 4.2 Experiment under solar simulator and real sky

423 The preliminary indoor testing was made under constant solar radiation level of 705.85W/m<sup>2</sup>  
 424 provided by the solar simulator. Fig. 19 demonstrates the results of indoor experiment. At first,  
 425 the extraction fan was turned off. The PV absorbed the solar radiation and its temperature  
 426 consistently increased until the steady state temperature of about 321K (47.85°C) was  
 427 reached. During the same period, the air inlet and outlet temperature also increased but not  
 428 as dramatically as the PV and CPC surface temperature. At about 6900 seconds, the extraction  
 429 fan was turned on and adjusted to the speed at which the air volume flow rate of 0.0128m<sup>3</sup>/s  
 430 (corresponding approach velocity of 0.0512m/s) was achieved, the PV temperature would  
 431 dramatically decrease to 313K, and the air outlet temperature would increase from 291K to  
 432 295K. This phenomenon showed that the proposed PV/T/D prototype has the ability to  
 433 transfer the heat rejected by PV cells to the plenum air and deliver it to the air outlet;  
 434 meanwhile, PV efficiency could be enhanced due to its low surface temperature. Afterwards,  
 435 the fan speed was decreased twice to investigate the effects of the suction air velocity on the  
 436 PV/T/D system and chamber. Clear changes in PV surface temperature could be observed in

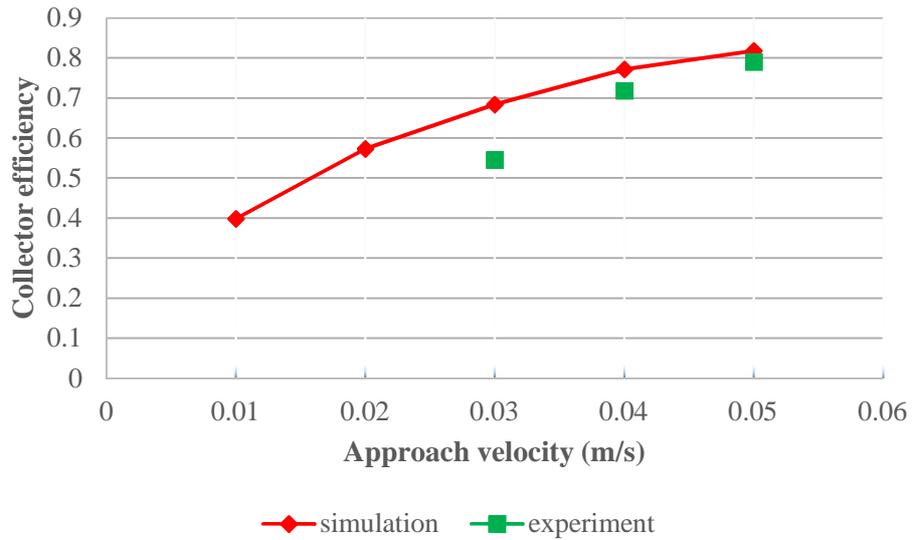
437 the results. Most importantly, it could also be observed that the air temperature in the  
 438 environmental chamber (air inlet temperature) could keep constant, especially after the  
 439 suction fan was turned on, which showed that little rejected heat was transferred to the  
 440 environmental chamber so that the design aim of the CPC-PV/T/D system was achieved.



441 ● Air outlet temperature ● PV Temperature ● CPC surface temperature ● Air inlet temperature

442 Fig. 19. Results of Indoor experiment (solar radiation:  $705.85\text{W/m}^2$ )

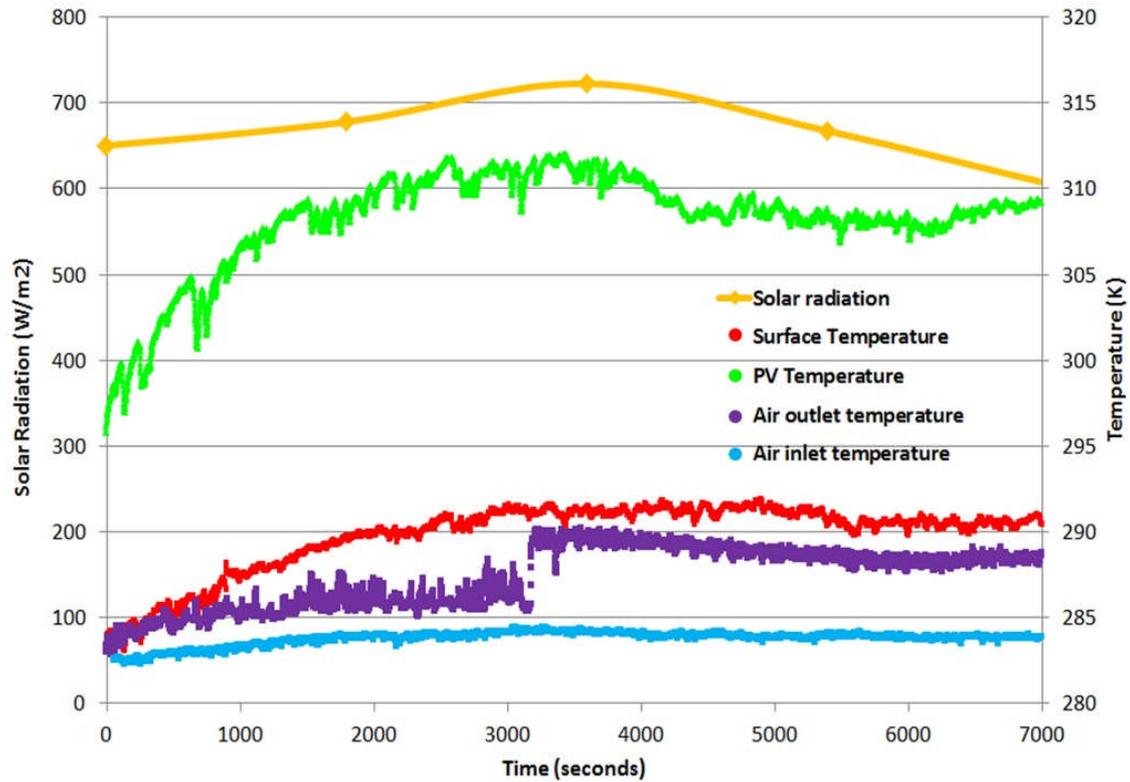
443 The indoor test results were also used to calculate the heat recovery efficiency. In order to  
 444 compare the simulation and experiment results, the ambient temperature of simulation was  
 445 adjusted to 288K to keep consistent with the experiment condition. The results and their  
 446 comparison with the simulated results by CFD were shown in Fig. 20. It could be observed that  
 447 the simulated collector efficiencies were higher than the measured ones. The deviation is  
 448 relatively larger as the approach velocity is small. When the approach velocity is increased to  
 449 0.05m/s, the two results are quite close. One main reason for the deviation may be due to the  
 450 simple assumption of constant heat transfer coefficient on the top of CPC panel. However,  
 451 both simulation and experiment results provide similar tendencies about the relationship  
 452 between approach velocity and collector efficiency. Apart from the measuring errors in  
 453 experiment, the assumptions made for material properties and the boundary condition  
 454 settings in CFD simulation were also the reasons that cause the differences. The results could  
 455 verify the CFD simulations to some extent.



456

457 Fig. 20. Comparison of heat recovery efficiency between indoor testing and simulation  
 458 results

459 The outdoor experiment repeated the similar procedure in the indoor test and the results are  
 460 shown in Fig. 21. It could be found that the solar radiation level during testing was about 600-  
 461 700W/m<sup>2</sup>, which was close to the one provided by solar simulator during indoor testing. The  
 462 results illustrate that the PV temperature could only reach about 37°C under steady state  
 463 condition, this is due to the low ambient temperature of 8°C and high wind speed of 2m/s on  
 464 the outdoor testing day. In addition, it is important to mention that because the experiment  
 465 was taken in winter, and the wind was strong and its speed was unstable during the test, the  
 466 surface temperature of PV bounced up and down during the whole test. However, the general  
 467 tendency of the temperature variation on PV cell was still available to demonstrate the effects  
 468 by PV/T/D system. Therefore, the convective heat loss on the dielectric CPC panel exterior  
 469 surface is much higher than that under the indoor testing condition (15°C and no wind). This  
 470 could be proved by the difference of CPC surface temperature between indoor and outdoor  
 471 testing results. However, similar to the indoor testing results, a clear increase in air outlet  
 472 temperature and decrease in PV surface temperature could also be observed when the air  
 473 suction fan was turned on.



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Fig. 21. Results of outdoor experiment

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## 5. Conclusion

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The air-based heat recovery system with perforation plate integrating dielectric CPC panel (PV/T/D system) was designed in this paper, which was inspired by the unglazed transpired solar air collector (UTC). The main aim of the design is, firstly and most importantly, to prevent heat rejection of PV cells on the base of CPC panel from transferring to the building interior; secondly, to remove heat rejection of PV cells so that the PV cell efficiency could be increased with lower surface temperature; and lastly, to collect and reutilise the rejected heat for other thermal application. As this system was designed as a skylight, it is expected to achieve multiple functions which are PV, thermal and daylighting. The designed PV/T/D system has been studied numerically using CFD simulation and experimentally under solar simulator and real sky condition.

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The parametric study by CFD simulations has shown that different design parameters such as approach velocity, plenum height, pitch and perforation diameter, perforation porosity and solar radiation level could affect the collector thermal efficiency, PV cell temperature, temperature rise of collected air, and the amount of heat transferred to the atrium space, although the significances of the influences vary with different parameters. A good balance between the studied parameters in terms of air temperature rise, thermal collector efficiency and PV operating temperature needs to be established to achieve the best performance of PV/T/D system. For experiments, both indoor and outdoor tests have shown that the main design objective of working as a heat recovery system could be achieved in the real condition. The simulation and experiment results present that the thermal efficiency of heat recovery system could range from 40% to 85%, depending on different approach velocities and system geometries. And most importantly, this heat recovery system could largely reduce the amount

499 of heat transferring from PV cells in the PV/T/D roof panel to the building interior so that the  
500 effects on cooling load by heat rejection of PV cells could be largely mitigated.

501

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