

1 **Sampling effects on the quantification of sodium content in infant formula using laser**
2 **induced breakdown spectroscopy (LIBS)**

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18 **Abstract**

19 In the present work, laser-induced breakdown spectroscopy (LIBS) was employed to predict
20 the sodium content of infant formula (IF) over the range of 0.5–4 mg Na/g. Calibration
21 models were built using partial least squares regression (PLS), correlating the LIBS spectral
22 data with reference Na contents quantified by atomic absorption spectroscopy (AAS). The
23 aim of this study was to demonstrate the ability of LIBS as a rapid tool for quantifying
24 sodium in IF, but also to explore strategies concerning the acquisition of measurements with
25 LIBS. A range of different pre-processing techniques, measuring depths (repetition of laser
26 shots) and accumulations were evaluated in terms of PLS performance. The best calibration
27 model was developed using the third-layer spectra normalised by the H I 656.29 nm emission
28 line, yielding a coefficient of determination (R^2) of 0.93, and root-mean-square errors
29 (RMSE) of 0.37 and 0.13 mg/g for cross-validation and validation, respectively.

30

31 **Industrial relevance**

32 Improving productivity and robustness of manufacturing processes, yet satisfying increasing
33 concerns and strict regulations on the quality and safety of infant products could be achieved
34 through the introduction of optical analytical techniques with real-time capabilities during
35 processing. In this paper, LIBS is proposed as a potential cost-effective screening tool that
36 can provide fast elemental composition analysis of IF. Specifically, the application of LIBS
37 and multivariate data analysis for predicting sodium content over a range in conformity with
38 regulatory guidelines is discussed in this work.

39

40 **Keywords**

41 LIBS; Infant formula; Sodium; Partial least squares regression; sampling

42 **1. Introduction**

43 Infant formula (IF) is an industrially produced food intended as a substitute for breast milk.
44 IFs are typically based on cow's milk, and followed by several adjustments and addition of
45 ingredients in order to bring the composition closer to that of human milk (Blanchard, Zhu, &
46 Schuck, 2013). Infancy is a crucial period of growth and development, hence IF's
47 composition (e.g. fat, proteins, minerals, etc.) and manufacturing practices are strictly
48 regulated by national authorities to ensure the safety and nutrient profile of infant formula
49 products (Jiang, 2014; Montagne, Van Dael, Skanderby, & Hugelshofer, 2009).
50 Sodium is an essential mineral; it is the main cation in extracellular fluid playing a vital role
51 in the regulation of osmolarity, acid-base equilibrium, active transport across cells and
52 membrane potential (Guo, 2014). Although a minimum intake is indispensable for healthy
53 functioning, an excessive consumption of sodium in the human diet is related to higher blood
54 pressure and an increased risk of developing cardiovascular diseases (Masotti, Erba, De Noni,
55 & Pellegrino, 2012; Tamm, Bolumar, Bajovic, & Toepfl, 2016). With regard to infancy,
56 studies have also associated an excessive sodium intake with increased blood pressure in the
57 later stages of life, indicating that blood pressure may track with age (Campbell et al., 2014;
58 John et al., 2016).
59 Conventional well-established methods for mineral analysis in infant formula include atomic
60 absorption spectroscopy (AAS), inductively coupled plasma optical emission spectroscopy
61 (ICP-OES) and inductively coupled plasma mass spectroscopy (ICP-MS) (Poitevin, 2016).
62 These methods, despite their high sensitivity and accuracy, generally require time-consuming
63 and laborious sampling procedures and the use of chemical reagents such as acids and gases,
64 as well as an associated high cost of consumables (e.g. argon) (Wu & Sun, 2013).
65 Laser-induced breakdown spectroscopy (LIBS) is an analytical technique based on optical
66 emission spectroscopy in which laser pulses are employed as the excitation source to

67 vaporise, atomise and ionise a small part of the target's material. As a result, plasma arising
68 from the sample surface is generated from which photons are released from the excited
69 species in the plasma returning to their ground state levels of energy which can be analysed
70 with spectrometers to infer the elemental composition of the sample (Cremers & Radziemski,
71 2013). LIBS, yet recent in the area of food analysis, has gained remarkable popularity in the
72 last few years with an increase in the number of publications and extensive reviews
73 concerning food samples (Maria Markiewicz-Keszycka et al., 2017; Sezer, Bilge, & Boyaci,
74 2017). The advantages that LIBS offers compared to the conventional methods are its speed,
75 a relatively low cost, little to no sample preparation and elemental surface mapping
76 capabilities (Dixit et al., 2017; Kim, Kwak, Choi, & Park, 2012). Further attractive features
77 include: remote sensing, as it constitutes an entirely optical technique, and suitability for on-
78 /at-line applications, altogether allowing the technology to be considered a potential process
79 analytical technology (PAT) for qualitative and quantitative chemical analysis (Cullen,
80 Bakalis, & Sullivan, 2017) (for PAT literature the reader is referred to: Misra et al., 2015; van
81 den Berg et al., 2013). Nonetheless, LIBS also has limitations or drawbacks, especially
82 concerning quantitative analyses. Some of these limitations include signal fluctuations on a
83 shot-to-shot basis (Tognoni & Cristoforetti, 2016) and difficulties in establishing good
84 calibration curves due to strong matrix effects (Ferreira et al., 2010; Lei et al., 2011). Several
85 publications evaluating and discussing strategies with the goal of overcoming such problems
86 can be found in the literature (dos Santos Augusto, Barsanelli, Pereira, & Pereira-Filho, 2017;
87 El Haddad, Canioni, & Bousquet, 2014; Jantzi et al., 2016).

88 In this study, LIBS and multivariate data analysis with partial least squares regression (PLS)
89 was employed to predict the sodium content of IF samples. In order to provide for reference
90 Na contents, atomic absorption spectroscopy (AAS) was used. The aim of this study was to
91 demonstrate the ability of LIBS as a rapid screening tool for quantifying sodium over a range

92 relevant to IF manufacturing, offering a means for industries to rapidly verify target mineral
93 contents. Furthermore, strategies concerning the acquisition of measurements with LIBS were
94 explored, namely the repetition of laser shots on a single location. Such an approach
95 examines the impact of measuring the inner layers of the sample and, whether to accumulate
96 laser shots or use the spectra collected from a single layer.

97 **2. Material and methods**

98 *2.1. Sample preparation*

99 Commercial powdered IF and follow-on formulas (formulas intended for children over 6
100 months of age) were acquired from a local supermarket in Dublin, Ireland. Lactose (α -lactose
101 monohydrate $\geq 99\%$) and sodium chloride ($\text{NaCl} \geq 99\%$) were purchased from Sigma
102 Aldrich (St. Louis, MO, USA).

103 Samples with varying content of sodium were prepared by blending IF with sodium chloride
104 or lactose, whether the goal was to increase or decrease the sodium content in the mix. In
105 total, 7 samples were obtained, including one sample which consisted only of IF. The
106 selected range of sodium was approx. from 0.5 to 4 mg/g (concentrations corresponding to
107 the lowest and highest Na content samples, respectively). This range was intended to cover
108 the regulatory sodium levels provided by the Codex Alimentarius Commission (Codex,
109 2007). Constituents of the mixtures (IF, NaCl and lactose) and follow-on formulas were
110 ground and pre-mixed using a laboratory blender (8011G, Waring Laboratory Science, CT,
111 USA) equipped with rotatory stainless-steel blades for 2 minutes to ensure there were no
112 aggregates occurring in the powders, with the goal of improving subsequent blending
113 performance. Dry mixing was then carried out using a laboratory V-mixer (FTLMV-1L&,
114 Filtra Vibracion S.L., Spain) for 20 minutes. In order to ensure reproducibility, two
115 independent batches were prepared (batch 1 and batch 2). Each batch was composed of the
116 aforementioned 7 samples divided into: 5 calibration samples (referred to as C1–C5),

117 employed for PLS modelling, and 2 validation samples (V1, V2) to test the robustness of the
118 models. In addition to these validation samples, 2 different follow-on formula brand samples
119 (V3, V4) were used to assess the ability of the calibrations for predicting mineral content in
120 infant products with different formulations.

121 For LIBS analysis, samples were pelletized by pressing approx. 400 mg of each sample using
122 a manual hydraulic press fitted with a 13 mm pellet die (Specac Ltd., UK) at 10 tons for 3
123 minutes. Pellets were prepared in triplicates (3 replicates per sample), giving a total number
124 of 48 pellets. The two batches of samples were measured on different days.

125 *2.2. Atomic absorption spectroscopy (AAS)*

126 AAS was selected as the reference method for sodium quantification in IF mixtures. Na
127 contents were established using a Varian 55B AA spectrometer (Varian, United States)
128 following the standard method 985.35 for mineral determination in IF of the AOAC (Official
129 Methods of Analysis of AOAC International) with slight modifications. Approximately 1.5 g
130 of each sample was transferred to a crucible in triplicates (3 replicates). Crucibles were
131 placed on a hot plate and heated until smoking ceased. Organic matter was then decomposed
132 by dry ashing in a muffle furnace at 525°C for 4 h. Ashes were dissolved in 50 mL 1 M nitric
133 acid. A further dilution step was required to bring concentrations within the linear range of
134 the instrument (0–1 ppm).

135 Calibration curves were established by using aqueous standards prepared from a commercial
136 sodium stock solution (Sodium standard for AAS – 1,000 mg/L, Sigma-Aldrich). Sodium
137 absorbance was measured at 589 nm with a slit width of 0.5 nm. All replicates and batches
138 were measured on different days.

139 *2.3. LIBS instrumentation and measurements*

140 *2.3.1. Instrument set-up*

141 LIBS spectra were recorded using a LIBSCAN-150 system (Applied Photonics Ltd, UK)
142 described in a previous publication (X. Cama-Moncunill et al., 2017). The system was fitted
143 with a 150 mJ Q-switched Nd:YAG laser (Ultra, Quantel laser, MT, USA) operating at 1064
144 nm and a pulse duration of 5 ns, coupled to six fibre-optic spectrophotometers (AvaSpec,
145 Avantes spectrometers, Netherlands) covering the wavelength range of 181–904 nm.
146 Moreover, the system was equipped with a miniature CCD camera which enabled the
147 monitoring of the measurements.

148 For the experiments, plasma emission was analysed with a delay time of 1.27 μ s and an
149 integration time of 1.1 ms. The laser was operated with a firing repetition rate of 1 Hz.

150 *2.3.2. Sampling method*

151 Pellets were measured individually using a sample chamber equipped with a three-axis
152 translation stage (Applied Photonics Ltd, UK) which facilitated the acquisition of spectra at
153 multiple locations of the pellet surface, that is, 100 locations following a 10 \times 10 grid pattern.
154 Spectral acquisition was carried out by recording 5 consecutive laser shots (depth
155 measurements) at each of the 100 locations, giving a total number of 500 measurements per
156 pellet. Data resulting from these consecutive laser shots can be considered as spectra
157 corresponding to 5 different layers of the pellets, i.e. the repetitive firing of the laser at the
158 same location causes the ablation of the outer material penetrating and allowing to measure
159 deeper into the sample (Cremers & Radziemski, 2013).
160 Spectral data collected from the 5 laser shots were stored separately in order to assess the best
161 layer from which to build the sodium quantification model, and to allow subsequent
162 comparison between accumulated and non-accumulated laser shots.

163 2.4. *Data analysis*

164 Data analysis was performed with R (R Core Team, 2014) using the R package “pls” (Mevik,
165 Wehrens, & Liland, 2015) for conducting PLS (partial least squares regression), as well as
166 other in-house functions.

167 Firstly, the average of the LIBS spectra collected at multiple locations was calculated for
168 each layer, resulting in 5 spectra per pellet. Data was then divided into a training data set
169 (N=30) and a test set (N=12), additionally the follow-on formula extra validation samples
170 (N=6) were tested. Prior to PLS modelling, combinations of different pre-processing
171 techniques and normalisation methods were applied to the spectra with the aim of reducing
172 the signal fluctuations due to extraneous sources of variability and to minimize any matrix
173 effects (Sobron, Wang, & Sobron, 2012). Specifically, the techniques explored were: baseline
174 correction (R package “baseline”), second derivative and standard normal variate (SNV).
175 Spectral normalisation using other approaches, including normalisation by an internal
176 standard and the Euclidean norm, were also explored.

177 PLS calibration models using the different pre-processing techniques were developed for
178 each of the 5 layers of the pellets. The performance of each model was evaluated by the
179 leave-one-out root-mean-square error of cross-validation (RMSECV) technique, as well as
180 the root-mean-square error of prediction (RMSEP). The wavelength range used for the
181 modelling was limited to 560–825 nm since this region encompassed the main Na emission
182 lines, while decreasing the total number of variables that do not contain useful peaks
183 (Moncayo, Manzoor, Rosales, Anzano, & Caceres, 2017).

184 In order to provide for a comparison between the accumulated and non-accumulated shots,
185 spectra corresponding to the different layers were summated to one another so that 2, 3, 4 and
186 5 accumulations were obtained. PLS modelling of the accumulated spectra was then carried

187 out, and their resulting performances were compared to those of the single-layer-spectra
188 models.
189 The limit of detection was computed according to the pseudounivariate approach (LOD_{pu}) for
190 PLS models as proposed in a publication elsewhere (Allegrini & Olivieri, 2014) in
191 accordance with IUPAC official recommendations. LOD_{pu} calculation was performed as
192 shown in Eq. 1.

$$193 \quad LOD_{pu} = \frac{3.3}{S_{pu}} \left[\left(1 + h_{0 \min} + \frac{1}{I} \right) var_{pu} \right]^{1/2} \quad (1)$$

194 where S_{pu} is the slope of the pseudounivariate line, $h_{0 \min}$ is the minimum leverage when the
195 analyte concentration is zero, I the number of samples employed for calibration, and var_{pu} is
196 the variance of the regression residuals.

197 **3. Results and discussion**

198 *3.1. AAS*

199 In AAS, the accuracy of the results relies heavily upon the calibration curve established from
200 reference standard solutions of the desired element. Good calibration curves were obtained
201 rendering values for the coefficient of determination ($R^2 \geq 0.99$). Sodium contents of the IF
202 samples determined with AAS, expressed in mg/g, are shown in Table 1.

203 *3.2. LIBS spectral features*

204 An initial exploratory analysis of the LIBS spectra was conducted in order to determine the
205 principal differences among the samples studied. For comparison purposes, the averaged
206 spectra of pellets corresponding to the lactose-IF mixture (C1, approx. 0.5 mg Na/g), pure IF
207 (C2, approx. 1.3 mg Na/g) and the sodium chloride-IF mixture (C5, approx. 3.7 mg Na/g) are
208 shown in Fig. 1. In the figure, several of the most important spectral lines of elements
209 occurring in the spectra can be seen. The main element emission lines in the spectra were
210 identified using the NIST database (Kramida, Ralchenko, Reader, & NIST ASD team, 2016).
211 These emission lines included: C I 247.86 nm, Ca II 393.37; 396.85 nm, Ca I 422.67; 558.88;

212 612.22; 616.22 nm, H I 656.29 nm, N I 744.23; 746.83 nm, K I 766.49; 769.90 nm, O I
213 777.19 nm and Na I 589.05; 589.59 nm. Moreover, three Na I lines were identified at 568.26,
214 568.82 and 819.48 nm. Other possible Na lines in the spectra were discarded and not
215 considered for quantitative analysis since the intensities at these wavelengths were marginal,
216 which is consistent with the NIST guidelines for sodium.

217 *3.3. Multivariate analysis with PLS*

218 PLS is a method for predicting a quantitative response (i.e. sodium content), stored in a
219 matrix Y, from numerous predictor variables (i.e. spectral data), stored in a matrix X. In order
220 to do so, it decomposes simultaneously the two matrices into new variables, known as factors
221 or latent variables (LV), in such a way that they explain as much as possible of the covariance
222 between X and Y. A multivariate linear model is then fitted using the latent variables to
223 predict the quantitative response (Abdi, 2010).

224 PLS modelling has been demonstrated to successfully develop quantitative calibration models
225 from LIBS spectral data of food samples in previous publications (Andersen, Frydenvang,
226 Henckel, & Rinnan, 2016; Bilge et al., 2016; M. Markiewicz-Keszycka et al., 2018). In the
227 present study, PLS was employed to build the calibration models for the determination of
228 sodium content by correlating the pre-processed LIBS spectra in the wavelength range of
229 560–825 nm to the reference Na contents extracted from AAS analysis.

230 *3.3.1. PLS modelling: performance of sampling methods and spectral pre-processing*

231 As previously mentioned, different techniques and normalisation methods were explored as
232 pre-processing techniques of the spectra prior to modelling. To this end, various calibrations
233 were developed using the approaches detailed in section 2.4. A summary of PLS
234 performances for these calibrations can be found in Table 2 (for brevity, this table only
235 includes some of the most relevant models). The criterion followed for establishing an
236 optimum number of LVs for each model considered a low value of RMSECV (root-mean-

237 square error of cross-validation) with a low number of LVs to avoid overfitting. In order to
238 determine the best calibration for quantifying sodium content in IF samples, both RMSECV
239 and RMSEP (root-mean-square error of prediction) were used.

240 With regards to pre-processing techniques, the best performances were obtained for
241 normalised spectra with SNV, Euclidean norm and normalisation using the H I at 656.29 nm
242 and Ca I at 422.6 nm emission lines as internal standards. All the methods above yielded
243 similar results for calibration (Table 2): e.g. the third-layer-spectra models (measurement
244 depth: 3) using these pre-processing techniques rendered values of almost 0.94 for the
245 coefficient of determination (R^2). These models also provided similar results for root-mean-
246 square errors of cross-validation and prediction: third-layer-spectra models yielded values of
247 approx. 0.37 mg/g for RMSECV and values in the range of approx. 0.13–0.16 mg/g for
248 RMSEP. Other techniques such as baseline correction or normalisation with other internal
249 standards (C I at 247.9nm and K I at 766.4 nm) provided good calibrations and reasonable
250 validation performances. However, the RMSEP values were slightly higher than those
251 obtained with SNV, Euclidean, H I 656.29 nm or Ca I 422.6 nm. Second derivative pre-
252 processing was found not to be effective for calibration showing low values of R^2 and R_{CV}^2
253 (coefficient of determination for cross-validation), as well as high values of root-mean-square
254 errors (RMSE, RMSECV).

255 Regarding the modelling of layers or depth measurements, it was observed that the third-layer
256 spectra exhibited the best results regardless of the pre-processing techniques used. The first
257 and second layers, while providing a good calibration, showed performances considerably
258 lower for cross-validation and validation. The fourth and fifth layers exhibited an overall
259 good performance, but with lower R^2 values and higher RMSECV and RMSEP as compared
260 to the third layer. The effect of measuring deeper into the sample on spectral quality, and as a
261 mechanism to avoid surface contamination has been previously investigated (R. Cama-

262 Moncunill et al., 2017). Similarly, in this publication PLS models were developed for
263 different layers of the samples with the aim of quantifying copper and iron contents in infant
264 formula premixes (blends used in IF manufacturing which are designed to contain specified
265 nutrients). The authors observed that PLS performances, especially with regard to validation,
266 improved as the measuring depth increased. In the present study, this trend was also
267 observed, however, finding an optimum at the third measurement depth. It is worth noting
268 that depending on the laser energy and sample type, the optimum number of shots on the
269 same location may change substantially since these parameters affect the laser-material
270 interaction, for instance the size of the crater formed or the amount of ablated mass (Tognoni
271 & Cristoforetti, 2016).

272 Table 2 also shows the performances for some of the PLS models developed with the
273 accumulated spectra. In this regard, the modelling of accumulated spectra only proved to
274 yield notable better performances for the first two laser shots as compared to applying PLS
275 separately on these layers. A larger number of accumulations did not provide better models
276 than using the third-layer-spectra alone. In several publications, authors chose to accumulate
277 spectra as a means to mitigate signal fluctuations (Maria Markiewicz-Keszycka et al., 2017).
278 The fact that, in this work, accumulating spectra did not considerably improved the results
279 may be due to an already high sampling number (average of 100 locations) along with an
280 optimum of 3 laser shots, the first two of which ablate away the surface which may have been
281 contaminated.

282 Considering both pre-processing and sampling method, the best performing PLS model to
283 predict sodium content was the third-layer spectra which had been normalised using the H I
284 emission line at 656.29 nm.

285 3.3.2. Validation of the selected calibration model

286 The hydrogen-normalised third-layer-spectra model was used as the calibration to perform
287 sodium content predictions. Fig. 2 (a) shows the values of RMSECV for each LV of this
288 model. A number of 3 LVs was selected as further factors did not result in a notable
289 improvement in terms of RMSECV while, at the same time, the quality of the predictions for
290 the validation set decreased, indicating that a higher number of LVs could result in overfitting
291 of the model. The first 3 main LVs explained approximately 95.7% of the total spectral
292 variance.

293 Fig. 2 (b) shows the loading values for the first factor of the PLS model in the wavelength
294 range assessed. One main sodium (Na I) emission line at 589.59 nm contributed to the
295 loading values. Other Na I spectral lines were the doublet at 568.26 and 568.82 nm, and the
296 emission line at 819.48 nm. These spectral lines had a relatively small contribution as
297 compared to the sodium doublet at around 589 nm. Negative loading values were only
298 observed for nitrogen (N I 744.23 and 746.83 nm) and oxygen (O I 777.19 nm), both
299 elements showing minor values.

300 The PLS model exhibited an R^2 of 0.93 for the calibration. With regards to cross-validation,
301 an R_{CV}^2 value of 0.886 and an RMSECV of 0.373 mg/g were obtained, indicating a reasonable
302 fit and accuracy of the calibration. The validation of the PLS model was carried out by
303 predicting the Na contents of 2 samples not included in the training set with the aim of
304 evaluating the robustness of the model. The model exhibited a good prediction accuracy as
305 indicated by a high R_p^2 (coefficient of determination for the validation set) of 0.967 and a
306 RMSEP value of 0.129 mg Na/g. Fig. 3 shows the PLS calibration curve with the predicted
307 values for the validation set. To further evaluate the closeness of the predictions to the actual
308 values of concentration, the relative error (RE) was calculated as reported elsewhere (Câmara
309 et al., 2017). The RE value of the validation set was 7.22%.

310 Additionally, Na contents for 2 follow-on formulas were also predicted in order to explore
311 the model's response to different formulations of infant products. In this case, the predictions
312 were not as accurate as the validation set, giving a RE value of 23.32%. However, this result
313 may indicate that the model can provide reasonable predictions even with a certain degree of
314 variability in the raw materials.

315 As mentioned before, the best performance was given by spectra collected after 3 laser shots.
316 To further investigate why the third layer provided better results, sodium content was
317 predicted, in this case, for each location in the 10×10 measuring grid. In order to do so, the
318 raw spectral data acquired from sample V2, chosen as a point close to the centre of the
319 calibration curve, was normalised by the hydrogen emission line without averaging the data
320 of multiple locations, i.e. obtaining 500 pre-processed spectra instead of 5. Na contents were
321 subsequently predicted employing the coefficients extracted from the PLS model. Fig. 4
322 shows a schematic representation of the V2 pellet displaying sodium content in each spatial
323 position for the first 3 measurement depths. The same intensity scale for the three
324 measurements was implemented to allow comparison. It can be observed that the predictions
325 for the third layer, Fig.4(c), provided a more homogeneously distributed sodium within the
326 analysed area.

327 The limit of detection of the model was estimated by following the pseudounivariate
328 approach as described in Eq. 2. The LOD value corresponding to the calibration model was
329 1.11 mg/g.

330 **4. Conclusions**

331 LIBS was successfully applied for quantifying sodium over a range in conformity with the
332 product's regulatory guidelines, hence, demonstrating the feasibility of the technique as a
333 potential screening tool for IF manufacturing. Multivariate analysis with PLS was applied to
334 spectral data processed by a range of different pre-processing techniques, measuring depths

335 and accumulations. The resulting calibration models were compared in terms of PLS
336 performance: coefficients of determination and root-mean-square errors; for calibration (R^2 ,
337 RMSEC), leave-one-out cross-validation (R^2_{CV} , RMSECV) and validation (R^2_p , RMSEP). The
338 best PLS calibration was obtained using the third-layer spectra normalised by the H I
339 emission line at 656.29 nm, yielding a R^2 of 0.93 and a R^2_{CV} of 0.886. When performing
340 validation of this model, the resulting R^2_p and RMSEP values were 0.967 and 0.129 mg Na/g
341 respectively, proving its ability to accurately predict samples not included in the calibration
342 set.

343 In this study, accumulation of the spectra on the same spot did not notably improve the
344 performances of the PLS models as compared to using the third layer alone. Furthermore,
345 chemical mapping with PLS of the analysed area (100 measurements in a 10×10 grid pattern)
346 showed that sodium was more homogeneously distributed than for the first two layers. These
347 results suggested that conditioning the surface of the pelletized sample, while keeping a low
348 number of shots on the same spot, can provide a good predictive accuracy without the need of
349 large sampling numbers.

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490

491 **Table 1**

492 Sodium contents in milligrams per gram of samples corresponding to calibration (C1–C5)

493 and validation (V1–V4) determined by AAS.

Sample	Constituents	Batch 1	Batch 2	Extra validation
		Na content (mg/g) ^a	Na content (mg/g) ^a	Na content (mg/g) ^a
C1	IF + lactose	0.48 ± 0.05	0.54 ± 0.03	–
C2	IF	1.40 ± 0.21	1.34 ± 0.07	–
C3	IF + NaCl	2.11 ± 0.11	2.07 ± 0.02	–
C4	IF + NaCl	2.78 ± 0.16	2.72 ± 0.07	–
C5	IF + NaCl	3.69 ± 0.54	3.74 ± 0.18	–
V1	IF + lactose	0.93 ± 0.06	0.98 ± 0.06	–
V2	IF + NaCl	2.22 ± 0.04	2.48 ± 0.21	–
V3	follow-on	–	–	1.18 ± 0.04
V4	follow-on	–	–	2.38 ± 0.36

494 ^a Contents expressed as mean ± standard deviation of three replicates.

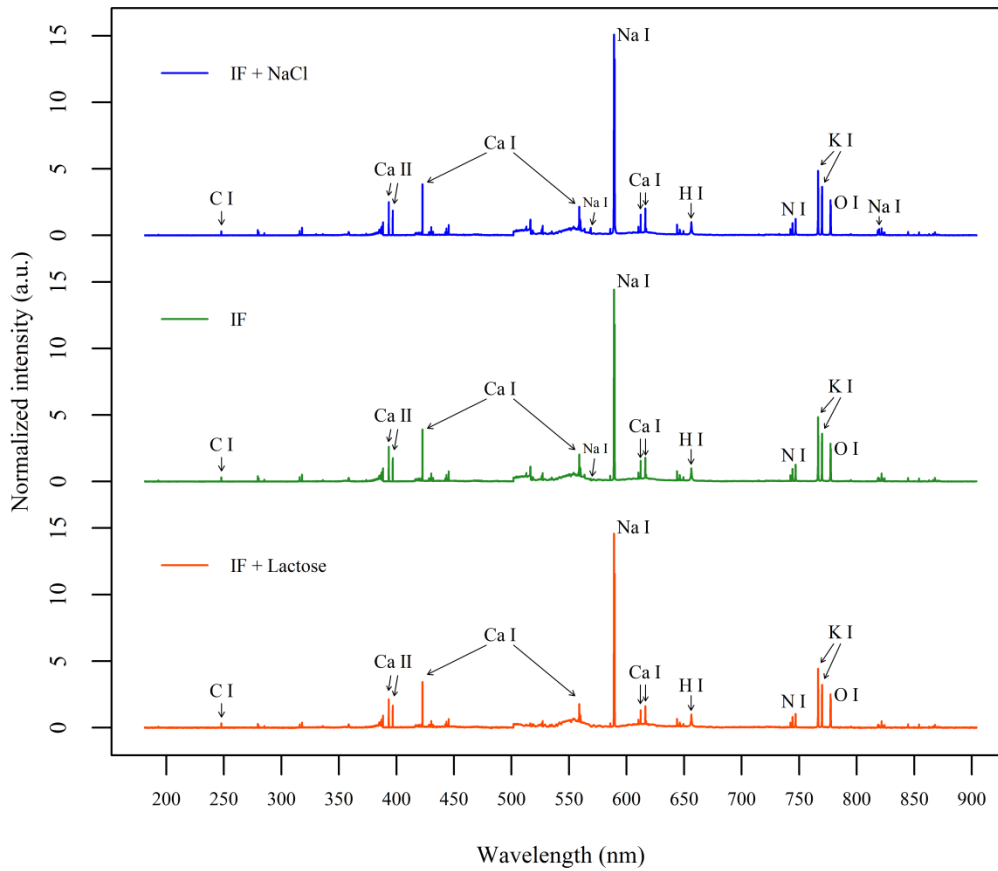
495 **Table 2**

496 Summary of performances for the PLS models developed using different sampling methods
 497 and pre-processing techniques.

Experiment	Depth	Pre- processing	Calibration			Cross-validation		Validation	
			LVs	R ²	RMSEC	R _{CV} ²	RMSECV	R _p ²	RMSEP
Single layer	3	None	3	0.851	0.426	0.771	0.529	0.904	0.218
Single layer	1	H I 656.3	3	0.899	0.352	0.822	0.465	0.612	0.438
Single layer	2	H I 656.3	3	0.856	0.419	0.776	0.523	0.498	0.498
Single layer	3	H I 656.3	3	0.930	0.291	0.886	0.373	0.967	0.129
Single layer	4	H I 656.3	3	0.879	0.384	0.786	0.511	0.915	0.205
Single layer	5	H I 656.3	3	0.824	0.463	0.665	0.639	0.914	0.207
Accumulations	4 (0 ^a /4 ^b)	H I 656.3	3	0.931	0.290	0.884	0.377	0.914	0.206
Accumulations	5 (0 ^a /5 ^b)	H I 656.3	3	0.916	0.320	0.856	0.419	0.935	0.179
Accumulations	4 (1 ^a /3 ^b)	H I 656.3	3	0.924	0.287	0.872	0.366	0.940	0.213
Single layer	3	Ca I 422.6	3	0.937	0.276	0.893	0.361	0.966	0.131
Single layer	3	C I 247.9	3	0.923	0.306	0.876	0.389	0.894	0.229
Single layer	3	K I 766.4	3	0.942	0.266	0.911	0.330	0.908	0.213
Single layer	3	SNV	3	0.938	0.276	0.888	0.369	0.945	0.164
Single layer	4	SNV	3	0.917	0.318	0.851	0.426	0.866	0.258
Single layer	5	SNV	2	0.865	0.405	0.816	0.473	0.911	0.210
Accumulations	4 (0 ^a /4 ^b)	SNV	2	0.879	0.384	0.840	0.442	0.849	0.274
Accumulations	5 (0 ^a /5 ^b)	SNV	2	0.881	0.382	0.841	0.441	0.897	0.225
Accumulations	4 (1 ^a /3 ^b)	SNV	2	0.878	0.389	0.831	0.446	0.888	0.312
Single layer	3	Euclidean	3	0.938	0.274	0.889	0.367	0.950	0.157
Single layer	4	Euclidean	2	0.871	0.397	0.824	0.463	0.889	0.234
Single layer	5	Euclidean	2	0.865	0.405	0.816	0.474	0.910	0.211
Accumulations	4	Euclidean	2	0.879	0.383	0.839	0.443	0.811	0.306
Accumulations	5	Euclidean	2	0.881	0.381	0.841	0.441	0.874	0.250

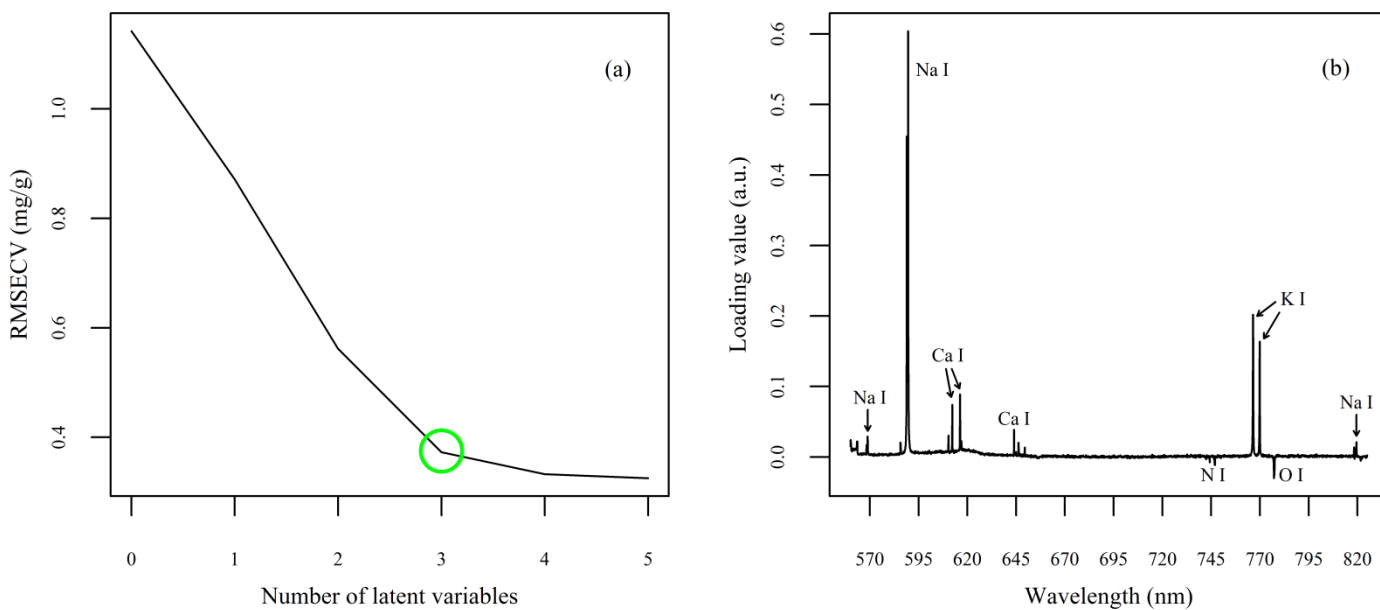
498 ^a Number of conditioning shots.499 ^b Number of accumulated spectra.

500 **Figure 1**

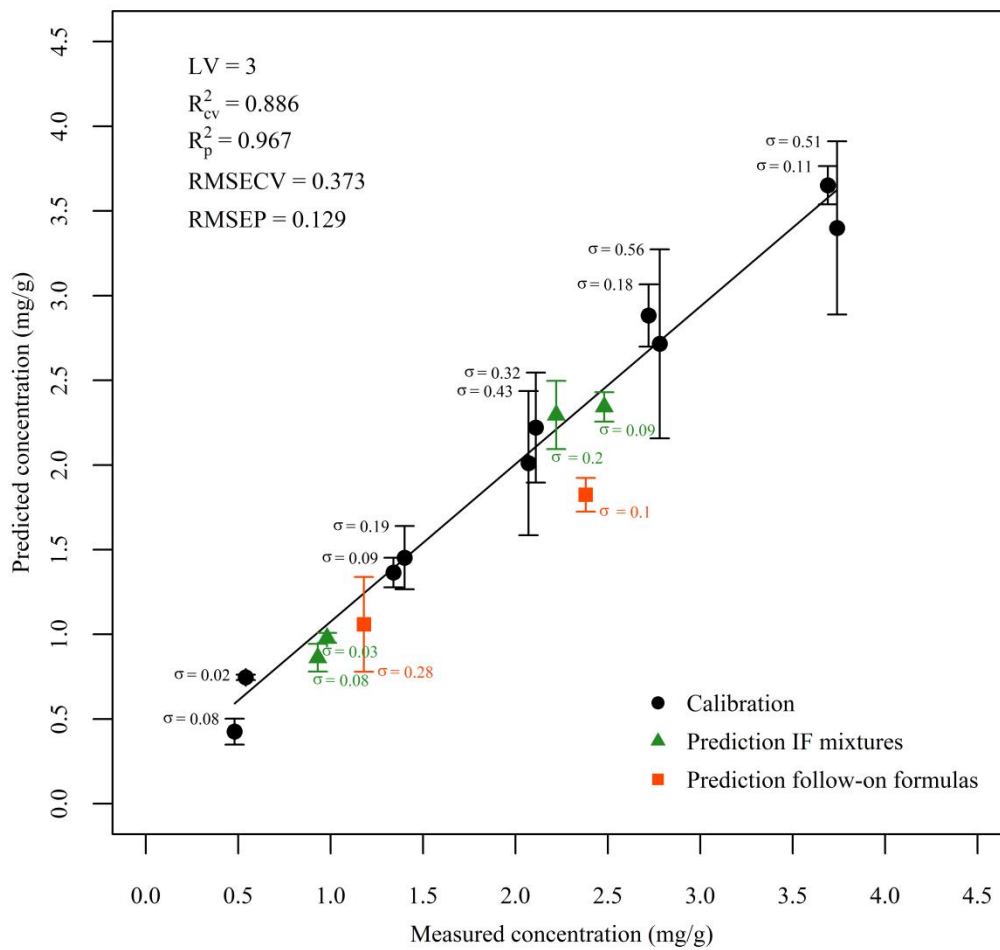


501 **Fig. 1.** Averaged spectra corresponding to, from top to bottom, the sodium chloride -IF mixture
502 at approx. 3.7 mg Na/g, the pure IF sample at approx. 1.3 mg Na/g and the sodium lactose-IF
503 mixture at approx. 0.5 mg Na/g. Spectra are vertically offset for illustration purposes.

504 **Figure 2**

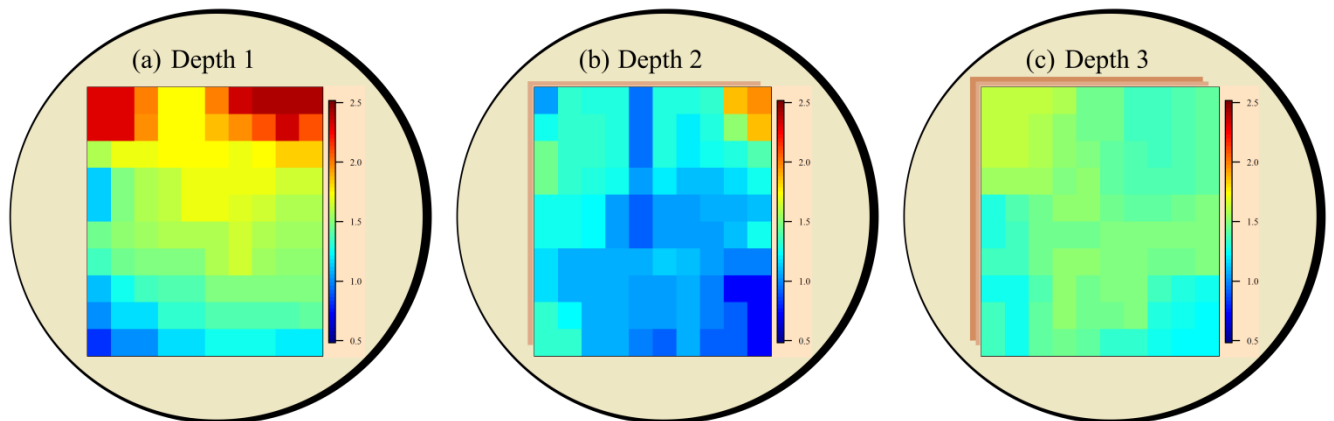


505 **Fig. 2.** (a) RMSECV (root-mean-square error of cross-validation) for each number of PLS
506 factors or latent variables. (b) Loading values of each wavelength for the first latent variable.



508 **Fig. 3.** PLS calibration model developed using the third-layer spectra and normalised by the
 509 H I 656.29 emission line showing predicted Na contents for the validation and follow-on
 510 formulas sets. Standard deviation values (σ) are expressed in mg/g.

511 **Figure 4**



512 **Fig.4.** Predicted sodium maps for the validation sample at 2.48 mg/g of sodium for the first
513 three depths: (a) first layer, (b) second layer, (c) third layer. The same intensity scale was
514 implemented for the three samples to facilitate comparison.