1 Title Page

- 2 Title: Optimising Endocrine Therapy in Postmenopausal Women with Advanced Breast
- 3 Cancer.
- 4
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- 11

12 Abstract

13 Hormone receptor-positive breast cancer is commonly treated with endocrine therapy; 14 however, overtime cancer cells can develop endocrine resistance. This review aims to 15 document combination therapy and sequential therapy in the use of endocrine agents and 16 targeted agents. By conducting two systematic searches using 4 databases: Cochrane 17 Library, MEDLINE, EMBASE, and Web of Science. A total of 26 studies that covered 18 combination therapy were obtained and included for the review. 14 were phase III 19 documenting combinations of mechanistic target of rapamycin (mTOR), phosphoinositide-3-20 kinase (PI3K), vascular endothelial growth factor receptor (VEGFR), human epidermal 21 growth factor receptor 2 (HER2), and cyclin dependent kinase 4/6 (CDK4/6) inhibitors. The 22 remaining studies were of phase II nature that reported combinations involving inhibitors in 23 mTOR, endothelial growth factor receptor (EGFR), CDK4/6, and tyrosine kinase inhibitor 24 (TKI). Interesting findings in inhibitor combinations involving; CDK4/6, mTOR and PI3K

suggest clinical activity that can overcome endocrine resistance. On the other hand, there
were 0 studies that covered sequential therapy. Overall findings showed that combination
therapy improved treatment efficacy over monotherapy in postmenopausal patients with
hormone-receptor positive advanced breast cancer. Inevitably, the benefits are
accompanied with increased toxicity. To optimise endocrine therapy, further research into
combinations and effective patient selection will need to be defined. Additionally, this
review warrants future studies to explore sequential therapy.

32

33 Introduction

Endocrine therapy (ET) is often used as first line treatment in patients with hormone 34 35 receptor positive (HR+) breast cancer and preferred to chemotherapy when there are no 36 signs of visceral crisis (Reinert and Barrios 2015). In terms of efficacy, ET improves 37 progression-free-survival (PFS), time to progression (TTP), objective response rate (ORR) and 38 clinical benefit response (CBR), while possessing a favourable toxicity profile when 39 compared to chemotherapy. Although, the therapeutic action of ET is slower than 40 chemotherapy, the duration of response in ET is more sustainable with longer-term survival 41 benefits (Cheung 2007). Recent data from the FALCON trial observed significant 42 improvements in not just PFS and TTP but also overall survival (OS) for postmenopausal 43 patients with endocrine naïve, HR+ locally advanced/metastatic breast cancer (LABC/MBC) 44 when treated with fulvestrant 500mg, as opposed to anastrozole 1mg (Robertson, et al. 45 2016). All HR+ breast cancer can be represented with the presence of oestrogen receptor 46 (ER) and/or progesterone receptor (PR) (Cheung 2007). The Americain Soceity of Clinical 47 Oncology/College of American Pathologists recommended HR+ tumours be defined as 48 having at least 1% of tumour nuclei stained positively for either ER or PR on

immunohistochemistry (Hammond, et al. 2010). Unfortunately, patients with ER+ breast
cancer are susceptible to risks of progressive disease (PD) or develop endocrine resistance
(Dixon 2014). As a result, investigations in modalities of ET agents have been thorough and
produced a wide-range of ET options for patients to use.

53 A greater understanding in cancer biology has shown that ESR1 mutation is associated with 54 mechanisms of endocrine resistance, especially to tamoxifen and fulvestrant (Jeselsohn, et 55 al. 2015). About 15-20% of ER+ LABC/MBC were shown to have ESR1 mutation, with 56 increased frequencies detected in patients with multiple ET exposure. Research into 57 biochemical pathways associated with proliferation has identified that cross-talk between signalling pathways can activate ERs, despite conventional ER pathways being blocked or 58 59 inactivated (Dixon 2014; Pietras 2006). For instance, cross-talk between ER and specific 60 pathways such as the phosphoinositide 3-kinase /v-akt murine thymoma viral oncogene 61 /mammalian target of rapamycin (PI3K/AKT/mTOR) can result in continued proliferation of 62 the cancer cells and hence develop resistance to ET (Dixon 2014). Targeted therapy agents 63 (TA) are designed to interfere with specific targets that are involved with growth. Often TA 64 act on specific molecular targets to achieve blockade of cell proliferation and potential 65 cross-talks between the ER mediated pathway and other signalling pathways. Most TA are 66 categorised by their molecular target (see Table 1). Accordingly, the concomitant use of TA 67 with other cancer therapeutics can potentially further increase treatment efficacy and 68 overcome endocrine resistance (Pietras 2006). However, combination therapy is prone to a 69 greater toxicity profile when compared to monotherapy. Hence, an alternative would be the 70 sequential application of ET and TA, which is expected to lessen the toxicity profile of these 71 regimen. In sequential therapy, the patient will be exposed to only one toxicity profile at 72 once rather than two during combination therapy. From figure 1, it was of interest if

73 sequential application of an ET agent (blue) and TA (red) will produce similar efficacy when 74 compared to combination therapy (green). Another interesting comparison of these 75 treatments would be to compare the results of different sequencing pattern in sequential 76 ET (in this case treatment B and C). Henceforth, this was the definition of combination 77 therapy and sequential therapy in this review. 78 An ever-growing arsenal of anticancer agents requires knowledge in optimal application for 79 clinicians and patients to make informed decisions regarding therapeutic strategies. The aim 80 was to assimilate methodologies and conclusions of randomised control trials (RCTs) 81 investigating the benefits/limitations of combination and sequential therapy of ET/TA. 82 83 **Methods** 84 This systematic review was conducted by electronic searches to include relevant phase II/III 85 RCTs that have reviewed the application of ET and TA in combination therapy or sequential 86 therapy. Relevant literatures were screened for their title, followed by evaluation of 87 abstracts befitting the selection criteria. Lastly, availability of full articles and abstracts in 88 eligible literature were reviewed. Two separate searches were performed in parallel to 89 accommodate the aims of the review. 90 A comprehensive search was performed with multiple databases: Medline, EMBASE,

91 Cochrane Library and Web of Science. Both searches included 'endocrine therapy',

92 'hormone', 'advanced breast cancer', 'metastatic' and 'postmenopausal'. Additional search

93 terms: 'combination', 'plus', 'add' and 'together' were incorporated into the search for

94 combination therapy. Whereas, search terms: 'sequential', 'switch', 'concurrent', and

95 'concomitant' were included for the sequential therapy search. Cross-referencing of

96 relevant literature was also conducted to expand the literature search. Conference abstracts

97	were also considered for screening, to include on-going studies for review. The search was
98	limited to English language and RCTs that investigated combinations or sequential
99	applications of ET and TA in postmenopausal patients with HR+ advanced/metastatic breast
100	cancer in phase II/III. The search was carried out from 1998 onwards, because trastuzumab
101	was approved by the Food and Drug Administration on this year (Roche and Ingle 1999). The
102	Critical Appraisal Skill Programme (CASP) RCT checklist was used for critical appraisal of
103	founded studies.
104	
105	Inclusion criteria
106	ET combination with TA
107	Sequential use of ET with TA
108	Primary interest of ET agents includes:
109	 Selective Oestrogen Receptor Modulators (SERMs): tamoxifen
110	 Steroidal third-generation Aromatase Inhibitors (AIs): exemestane
111	 Non-steroidal third-generation Als: anastrozole or letrozole
112	 Selective Oestrogen Receptor Downregulators (SERDs): fulvestrant
113	• Study title must be a RCT that report any of the following molecular TA with ET:
114	• HER2 inhibitors
115	 mTOR inhibitors
116	 CDK4/6 inhibitors
117	• VEGFR inhibitors
118	• EGFR inhibitors
119	 PI3K inhibitors
120	○ TKIs

121	• Study must offer full text or abstract that provide details in:
122	 Background/Introduction
123	 Methods
124	o Results
125	 Discussion/Conclusion
126	HR+ breast cancer may include:
127	• ER+, PR+, HER2+
128	• ER+, PR+, HER2-
129	• ER+, PR-, HER2-
130	• ER+, PR-, HER2+
131	• ER-, PR+, HER2+
132	• ER-, PR+, HER2-
133	Study must recruit postmenopausal patients or in addition to premenopausal patients
134	• Prior chemotherapy was acceptable in abstract screening of RCTs
135	
136	Exclusion criteria
137	• Keywords "chemotherapy" or "radiotherapy" stated in title or in combination with ET
138	• Combination of ET agents (SERDs, AIs, SERMs)
139	"Premenopausal" or "Early breast cancer" stated in title
140	Study solely on premenopausal patients
141	Non-human studies
142	Neo-adjuvant studies
143	

144

Primary outcome

The primary objective was to evaluate the effectiveness of combination therapy and sequential therapy in optimising ET. The optimisation of ET will be measured by observed improvements in PFS, ORR, TTP, CBR and overall survival (OS). Remarks of overcoming endocrine resistant will also be considered.

149

150 Secondary outcome

The benefits and limitations of combination therapy and sequential therapy were evaluated.
Parameters included: quality of life (QoL), toxicity and cost-effectiveness will also be
considered.

154 It was hypothesised that combination therapy was a more suitable option to optimising ET 155 when compared to sequential therapy in terms of improving treatment efficacy and 156 overcoming endocrine resistance.

157

158 <u>Results</u>

159 **Combination therapy search**

160 From Figure 2, an initial detection of **2866** articles from the 4 databases. A final total of **26**

161 studies was achieved, after removal of duplicates, title and abstract screening according to

162 the inclusion and exclusion criteria stated in methods.

163

164 From Table 2, there are 9 studies addressing ET/mTOR, 3 ET and CDK4/6, 1 study addressing

165 ET/PI3K, 3 studies addressing ET/HER2, 2 studies addressing ET/VEGFR, 5 studies addressing

166 ET/EGFR, and 3 studies addressing ET/TKI combinations. 2 studies had CBR as their primary

167 endpoint and the rest of the studies had PFS.

168

169 ET combinations with mTOR inhibitors (phase III/II)

170 The combination of exemestane and everolimus was well documented in the international, 171 phase 3, multicentre, randomised, double-blind, placebo-controlled trial: BOLERO-2 172 (Baselga, et al. 2012; Burris, et al. 2013a; Burris, et al. 2013b; Piccart, et al. 2012; Yardley, et 173 al. 2013). The targeted population consisted of postmenopausal women with HR+, HER2-174 locally ABC or MBC whom experienced PD from letrozole or anastrozole. Eligible patients 175 were randomised in a blind manner at a 2:1 ratio for the experimental arm (25mg/day 176 exemestane and 10mg/day oral everolimus) or matching placebo. The investigation in 177 BOLERO-2 showed significant improvements in PFS and other efficacy parameters (see Table 178 8). These improvements in efficacy were also maintained in patients with visceral disease, 179 elderly and of Asian ethnicity. Thus, the everolimus/exemestane combination represents an 180 improvement in managing a wider population of postmenopausal women with HR+, HER2-181 ABC. Furthermore, BOLERO-2 is the only study that reported QoL. Burris et al. reported 182 similar baseline global health status score in treatment and placebo regimen (64.7 vs 65.3) 183 (Burris et al. 2013b). The similar outcome of QoL further supports the use of everolimus with ET. 184

185 Despite BOLERO-2 advocated the benefits of using mTOR inhibitor, contrasting finding in PFS 186 was observed in the HORIZON study (Wolff, et al. 2013). This study involved investigation in 187 the use of letrozole in combination with the oral mTOR inhibitor temsirolimus. This 188 combination failed to improve PFS (8.9 vs 9.0 months), ORR (27% vs 27%) and OS. Moreover, 189 a raised toxicity profile in the combination arm resulted in more grade 3/4 AEs (37% vs 24%). 190 However, it was speculated that the contrasting findings in both trials were due to key 191 differences in eligible patient characteristics (Wolff et al. 2013). For instance, HORIZON 192 excluded patients with prior AI exposure within 12 months, whereas eligible patients in

193 BOLERO-2 required progression from a non-steroidal AI during or within 12 months. This 194 speculation highlights the significance of patient selection to determining the success of the 195 treatment regimen. Interestingly, it was noted in the HORIZON study observed an improved 196 PFS (9.0 vs 5.6 months) limited to patients aged \leq 65 treated with the combination 197 letrozole/temsirolimus rather than in patients aged \geq 65 (8.5 vs 10.1 months). This finding 198 suggests that temsirolimus activity may favour the younger population over the older 199 population (Wolff et al. 2013). Again, this proposal accentuates the importance of patient 200 selection for treatment success.

201 From the open-labelled RCT (TAMRAD) that investigated the tamoxifen/everolimus

202 combination. An interesting finding in CBR suggested possible reversal of ET resistance and

203 subsequent improvements. Overall CBR at 6 months was 61% vs 42%. Moreover,

204 improvements in CBR were consistent in patients with secondary resistance (74% vs 48%)

and in patients with primary resistance (46% vs 38%). Similar findings in TTP (14.8 vs 5.5

206 months) was more prominent in patients with secondary resistance as oppose to those with

207 primary resistance (5.4 vs 3.8 months) (Bachelot, et al. 2012). Therefore, this combination

208 may benefit patients with AI-resistance MBC. However, this trial was relatively small with a

total of 111 patients and may be prone to bias. Small imbalances between groups'

210 performance status were notable (Bachelot et al. 2012). Hence this study was confirmed

only for hypothesis generating and warrant further study into this area (Bachelot et al.

212 2012).

213

214 ET combinations with CDK4/6 inhibitor (phase III/II)

215 Positive results were observed when novel CDK4/6 inhibitor palbociclib was added to ET.

216 From table 3, PALOMA-2 (letrozole/palbociclib) and PALOMA-3 (fulvestrant/palbociclib)

217 have shown improvements in efficacy parameters. In both PALOMA-2 and PALOMA-3,

218 significant improvements in PFS, ORR and CBR were reported. In terms of toxicity,

219 neutropenia (79.5% vs 6.3%) was evident when palbociclib was added. Nonetheless,

220 PALOMA-2 confirmed the significant clinical benefits and safety of using

221 palbociclib/letrozole to treat postmenopausal patients whom had no prior systemic therapy

for their ER+, HER2- ABC (Finn, et al. 2016a; Finn, et al. 2016b).

223 From PALOMA-3, patients with HR+, HER2- MBC were randomised in a double-blind manner

to fulvestrant (500mg, intramuscular injections on days 1 and 15 of cycle one and then on

day 1 of each 28-day cycle) and palbociclib or placebo (125mg/day oral for 3 weeks,

followed by 1 week off in a 28-day cycle). Although, this trial recruited both pre- and

227 postmenopausal women, premenopausal women were treated with goserelin (LHRH

agonist) to induce postmenopausal status. Significant improvements in PFS (9.5 vs 4.6

229 months), ORR (66% vs 15%) and CBR (67% vs 40%) were observed. The benefits of

230 palbociclib/fulvestrant in PFS compared to fulvestrant/placebo were consistent irrespective

231 of the degree of HR expression, PIK3CA mutation, ET resistance and ethnicity. These findings

propose the possibility of re-sensitising endocrine sensitivity in ET resistant tumours by

targeting of CDK4/6. Common toxicities include: neutropenia, leukopenia, fatigue and

anaemia were observed in ET/palbociclib arms. These haematological changes should be

235 considered during patient selection for this therapeutic strategy. Endocrine monotherapy

236 had limited efficacy in patients with PD from prior ET, proposing a need for further

237 investigations into the effective use of combination regimens to overcome this problem

238 (Cristofanilli, et al. 2016).

239

240 ET combinations with PI3K inhibitors (phase III)

241 BELLE-2 was a randomised, double-blinded, placebo-controlled phase III trial that 242 investigated the addition of buparlisib to fulvestrant. Overall promising results were 243 observed; with PFS, ORR and CBR all being improved in the experimental arm. The toxicity 244 profile of the addition of buparlisib seems to be associated with liver function; with increase 245 in alanine aminotransferase (26% vs 1% and aspartate aminotransferase (18% vs 3%). 246 Hence, the use of buparlisib in patients with poor liver function should be cautioned. 247 Interestingly, Baselga J et al. reported that buparlisib significantly improved median PFS, 248 ORR and CBR in patients with PIK3CA mutant ctDNA but the same activity was not observed 249 in patients without the mutation. Furthermore, patients characterised with PIK3 mutated 250 tumours are associated with endocrine-resistant HR+, HER2- ABC (Baselga, et al. 2016). This 251 proposes the possibility that the targeting of PI3K pathway may be an area to explore for 252 overcoming endocrine resistance.

253

254 ET combinations with HER2 inhibitors (phase III)

255 Positive results of adding HER2 inhibitor to ET was shown in the TAnDEM study 256 (anastrozole/trastuzumab) and in a phase III study that investigated letrozole in 257 combination with lapatinib (Burstein, et al. 2014; Johnston, et al. 2009; Kaufman, et al. 258 2009). PFS and CBR were greatly enhanced, with a doubling of PFS was seen in both studies 259 (see Table 3). However, the increase in PFS did not correlate with OS. More AEs were 260 reported in the combination arm in both studies. Moreover, an increase in cardiac events 261 (14 vs 2) was observed in anastrozole/trastuzumab when compared to anastrozole alone. 262 Johnston et al. also discussed the problem of ET resistance in HR+, HER2+ breast cancer and 263 concluded that the addition of lapatinib did not delay disease progression with letrozole in

264 endocrine-sensitive tumours. In general, the studies concur that addition of HER2 inhibitors 265 to ET in HR+, HER2+ breast cancer can prolong chemoprevention and increase ET efficacy. 266 CALGB 40302 was a randomised, double-blinded, placebo-controlled phase III study that 267 investigated the fulvestrant/lapatinib combination. Conversely, there was a lack of 268 improvement in clinical outcomes. Though, it was noted that PFS was improved in patients 269 with HER2+ tumours (5.9 vs 3.3 months) as oppose to HER2- tumours (4.1 vs 3.8 months) 270 when lapatinib was added. However, this study had a small number of HER2+ cases (18%) 271 with the majority being HER2- tumours (81%). Hence, this could be a limitation of the study 272 that patient recruitment could have been amended to include more HER2+ cases to 273 maximise activity of the HER2 inhibitor. Although the experimental regimen was generally 274 tolerable, there were more AEs and treatment discontinuation caused from the raised 275 toxicity. Overall, CALGB 40302 concluded that lapatinib did not significantly improve clinical 276 benefits when added to fulvestrant (Burstein et al. 2014).

277

278 ET combinations with VEGFR inhibitor (phase III)

279 From table 3, the CALGB 40503 (letrozole with bevacizumab) and LEA study

280 (letrozole/fulvestrant with bevacizumab), reported of contrasting findings in PFS. According

to the CALGB 40503 study, the addition of bevacizumab to letrozole improved PFS (20.2 vs

15.6 months) when compared to the placebo arm. Moreover, ORR (69% vs 49%) and CBR

283 (80% vs 62%) exhibited similar improvements from the addition of bevacizumab. However,

the significant improvement in PFS, ORR and CBR did not correlate with OS (47.2 vs 43.9

285 months) (Dickler, et al. 2016). Similar improvements in PFS (19.3 vs 14.4 months), ORR (41%

vs 22%) and CBR (77% vs 66%) were observed in the LEA study. However, the difference in

287 PFS was not statistically significant: the hazard ratio of the combination arm vs ET alone was

288 0.83 (p=0.126) (Martin, et al. 2015). Unsurprisingly, bevacizumab combinations were

associated with increased AEs; mainly hypertension and proteinuria. The LEA study reported

of deaths in the bevacizumab arm that seem to be associated with conditions that may have

been worsened from the hypertensive side-effects (Martin et al. 2015). As a result, patients

with hypertensive conditions should avoid the use of bevacizumab.

293 One of the limitations of the LEA study was the lack of comparison of letrozole and

fulvestrant when in combination with bevacizumab. All the data assimilated was grouped

295 together either as ET/bevacizumab and ET alone. Further sub-groups within

296 ET/bevacizumab to compare letrozole/bevacizumab and fulvestrant/bevacizumab would

297 have provided more information on optimal application of bevacizumab to ET.

298

299 ET combinations with EGFR inhibitor (phase II)

300 Marked advantage in PFS was reported when gefitinib was added to anastrozole in

301 comparison to placebo (see Table 4) (Cristofanilli, et al. 2010; Valero, et al. 2009).

302 Improvement in PFS was also observed in the study of tamoxifen in combination with

303 gefitinib. For this trial, patients were split into two groups: stratum 1 (PD after tamoxifen)

and 2 (PD during/after AI). PFS was only improved in stratum 1 (10.9 vs 8.8 months), but not

in stratum 2 (5.7 vs 7.0 months). The significant improvement of PFS in stratum 1 suggests

306 possible endocrine re-sensitisation when gefitinib was added to an ET (tamoxifen, in this

307 case) that was previously used (Osborne, et al. 2011). A sub-analysis of PFS in patients with

- 308 prior ET therapies (11.2 vs 7.1 months) and ET naïve (20.2 vs 8.4 months) was observed
- 309 using gefitnib/anastrozle vs placebo arm (Cristofanilli et al. 2010). These findings suggest a
- 310 potential role of overcoming ET resistance from using gefitinib. On the other hand,
- 311 Tryfonidis et al. argued that the toxicity profile (mainly skin and gastrointestinal related) of

312 gefitinib resulted in premature therapy interruption in 33% of patients. Additionally, the PFS 313 rate at 1 year was only 35% for combination arm and 32% for placebo arm (Tryfonidis, et al. 314 2016). Hence, the use of gefitinib was not supported in a risk/benefit point of view. Carlson 315 et al. echoed similar opinion in further trials of combinations of gefitnib with 316 anastrozole/fulvestrant, despite modest findings in anti-tumour activities (Carlson, et al. 317 2012). Overall PFS comparison seemed similar (5.3 vs 5.2 months in anastrozole and 318 fulvestrant arms respectively) but in patients who had prior chemotherapy, a significant 319 deterioration in PFS was seen in the fulvestrant/gefitinib arm (2.6 months) (Tryfonidis et al. 320 2016). Although it was unexplained why these changed were observed, it can be inferred 321 that prior treatment can have an impact on future treatments.

322

323 ET combinations with TKI (phase II)

324 The general consensus toward TKI/ET combinations seem negative. Johnston et al. reported 325 a 3 arms trial of anastrozole (1mg/day) in combination with AZD8931 at 20mg (twice daily), 326 40mg (twice daily) or placebo. Although PFS (13.8 vs 14.9 vs 10.9 months) was increased, it 327 was statistically insignificant (see Table 4) (Johnston, et al. 2016). This therapeutic strategy 328 does not seem to enhance ET responsiveness and was generally associated with a greater 329 toxicity profile when compared to ET alone. Wright et al. reported that the addition of 330 dasatinib to fulvestrant did not improve PFS (6.0 months vs 5.3 months), CBR and OS. In 331 fact, CBR (28.0% vs 32.7%) and OS (17.0 vs 21.7 months) seemed to worsen with 332 dasatinib/fulvestrant when compared to placebo (Wright, et al. 2011). This may suggest that a worse safety profile and patient tolerability could potentially influence the patient's QoL 333 334 and ultimately OS. Finally, in the fulvestrant/dovitinib study, an improvement in PFS (10.9 vs 335 5.5 months) was observed. Though only limited to patients with FGF pathway-amplified

breast cancer in fulvestrant/dovitinib vs placebo arm respectively. Contrastingly, patients
without FGF-pathway-amplification gained no effect from the addition of dovitinib (5.5 vs
5.5 months), other than the increased toxicity associated in combination therapy (Musolino,
et al. 2017). This discovery highlights the importance of patient selection by identifying
cancer biology to maximise treatment prognosis.

341

342 Sequential therapy search

From figure 3, an initial detection of **901** articles. A final total of **0** studies was identified, after removal of duplicates, title and abstract screening according to the inclusion and exclusion criteria stated in methods. Therefore, the search for relevant literature in the sequential application of ET and TA was unsuccessful.

347

348 Discussion

This review aimed to explore options for the optimisation of ET with TA by methods of combination therapy or sequential therapy. From assimilating relevant studies, it was clear that combination therapy is investigated more thoroughly than sequential therapy. The identification of benefits and limitations in both combination and sequential therapy was not met due to the absence of literature available in sequential therapy. The result of 0 articles warrants the need of future investigation in this area. It was hypothesised that combination therapy would be the better option in optimising ET.

356 Most combinations of ET and TA have yielded extremely promising results, notably in

- enhancing treatment efficacy (PFS, ORR and CBR). The classes of TA reviewed in this
- 358 systematic review included: mTOR inhibitors, EGFR inhibitors, TKI, CDK4/6 inhibitors, VEGFR
- 359 inhibitors, PI3K inhibitor, and HER2 inhibitors. Most treatment combinations were effective

360 in treating patients with HR+, HER2- ABC/MBC. Evidently, the best combination arms 361 included CDK4/6 inhibitor, PI3K inhibitor and mTOR inhibitors in treating this population. 362 These combinations seem to optimise ET by producing significant improvements in PFS, CBR 363 and ORR, regardless of patients' treatment history and overcoming endocrine resistant. The 364 additional benefits from combination therapy were associated with an increase in toxicity. 365 This was a common trend in all included studies. Consequently, combination therapy may 366 prove difficult in patients whom do not tolerate these regimens, for instance in the elderly 367 population. All studies documented the toxicity profile of the combination against the comparison arm. 368

369 However, it was unknown how these toxicities may have impacted the patient being

370 treated. Most studies had stated that one of the main reasons for patient discontinuation

371 was related to treatment toxicity. Data in these areas should identify treatment tolerability,

372 patients' QoL and financial feasibility for sustainable treatment. Therefore, clinicians will be

373 provided with a better understanding on the ideal application of ET and TA.

374 Throughout the review, it was evident that some combinations (TKI, EGFR and VEGFR) failed

to produce any benefits over ET alone. Differences in study design seemed to be the most

376 likely explanation for contrasting findings in RCTs with similar experimental arms. Most RCTs

377 used methods such as: double-blinding, placebo-controlled, and 2-arm trial. Although some

378 RCTs deviated from this and employed an open-label approach and the absent of placebo.

379 Hence those RCTs may be of lower power than those that used the double-blinding and

380 placebo-control methods to minimise chances of bias.

381

382 Patient selection

It was implied that the importance of patient selection seemed to influence treatment
prognosis. From assimilating relevant study findings, this review suggests that patient
selection can be categorised into 3 main areas: patient characteristics, cancer biology and
pharmacology.

387

388 Patient Characteristics:

389 Patient characteristics such as age have shown to influence drug efficacy. In the HORIZON 390 study, temsirolimus produced PFS benefits in younger patients as opposed to older patients 391 (Wolff et al. 2013). Thus, the use of SERMs and SERDs in combination to temsirolimus may 392 exhibit greater benefit in selected younger patients than using AIs which are restricted to 393 the postmenopausal population. However, it should be reminded that not all 394 postmenopausal patients are of the older population. Younger patients can obtain the 395 postmenopausal status via oophorectomy or the use of a luteinising hormone releasing 396 hormone agonist. Another aspect to consider in older patients would be treatment 397 tolerability. From the LEA study, details of patients' deaths were reported in the 398 bevacizumab arm (n=8) (Martin et al. 2015). Some deaths were associated with conditions 399 that may have been exacerbated from the hypertensive side effects. Further inspection, 400 revealed that the patient age ranged from 53-82 years old and 5 out of 8 patients had 401 hypertension as baseline co-morbidity (Martin et al. 2015). Therefore, specific co-402 morbidities in individual patients should be considered when selecting regimens. As 403 evidently different classes of TA are associated with specific toxicities: palbociclib 404 (neutropenia), bevacizumab (hypertension), trastuzumab (cardiac events), and EGFR 405 inhibitors (skin and gastrointestinal).

406

407 Cancer Biology:

408 The identification of specific targets can broaden the options for therapeutic strategies. For 409 instance, the use of dovitinib (TKI that inhibits FGF pathways) in combination with 410 fulvestrant was shown to significantly improve PFS in patients with FGF pathway-amplified 411 breast cancer (10.9 vs 5.5 months) when compared to the placebo arm. Whereas, patients 412 without FGF pathway amplification did not benefit from the dovitinib/fulvestrant 413 combination (5.5 vs 5.5 months) (Musolino et al. 2017). Burstein et al. also reported greater 414 improvement in PFS and ORR, when the HER2 inhibitor lapatinib was added to fulvestrant in 415 patients with HER2+ status than in those with HER2- (Burstein et al. 2014). These findings 416 support the importance of patient selection, by identifying cancer biology to maximise 417 treatment success.

418

419 **Pharmacology:**

420 Pharmacology was another factor that should be considered during patient selection for 421 suitable therapeutic strategy. It was clear from the findings in this systematic review, that 422 prior therapy can influence treatment prognosis. This was evident in studies of ET/EGFR 423 combinations, whereby prior ET or chemotherapy had caused dramatic changes in 424 treatment outcome. In the phase II study that investigated the anastrozle/gefitinib 425 combination, Cristofanilli et al. reported an exploratory post hoc subset analysis of patients 426 with endocrine naïve and prior ET. An all-round improvement in PFS was observed in both 427 subset. But, the data seem to suggest superior benefits in PFS for patients with endocrine 428 naïve (20.2 months) in contrast to patients who had prior ET (11.2 months) (Cristofanilli et 429 al. 2016). From these findings, it was confirmed that endocrine monotherapy had limited 430 efficacy in patients with PD from prior ET, proposing a need for further investigations into

the effective selection of combination regimens to overcome this problem. Furthermore,
this proposes that the use of combination therapy in a first line setting may benefit those
with naïve treatment. Although, some combinations (CDK4/6, PI3K, EGFR, and mTOR) have
shown activity to overcome ET resistance in patients with prior ET exposure. Yet it was
unspecified if the number of prior therapies may further diminish the outcome in
combination therapy. Hence this may be another area to be for future investigations.

437

438 Overcoming resistant:

439 One of the criteria for optimising ET in this review was to overcome ET resistance. This 440 question was met in findings from phase III PALOMA-3 and BELLE-2 studies suggesting that 441 targeting CDK4/6 and PI3K hold the most promise. This was supported by in vitro evidence 442 suggesting cancer cells that have developed ET resistance remain dependent on cyclin D1 443 and CDK4 for proliferation. Similarly, pre-clinical evidence has identified a potential cause of 444 endocrine resistance via cross-talk between ER and PI3K pathways (Milani, et al. 2014). 445 Additional findings from phase II ET combinations with gefitinib and everolimus suggested 446 signs of delaying ET resistance or re-sensitising tumours with ET resistance promise 447 (Bachelot et al. 2012; Tryfonidis et al. 2016). This prompts further research into overcoming 448 ET resistance by targeting these pathways.

449

450 Sequential application

There was evidently a lack of knowledge about the sequential application of ET and TA. This review has identified areas that combination therapy has failed to impress and a new approach in optimal application of specific target agents was needed. For instance, the activity of gefitinib with ET has suggested effects of delaying ET resistance. But in a combination setting, the regimen seemed to only increase toxicity while retaining similar
efficacy seen in endocrine monotherapy (Tryfonidis et al. 2016). Hence the sequential
application of these agents could be a feasible alternative. A predicted decrease in toxicity
would provide a more tolerable profile for patients. This will be important for management
of the elderly population where tolerability may be an issue. Classes of TA such as TKIs,
VEGFR inhibitors and HER2 inhibitors when in combination created unfavourable tolerability
in patients. Therefore, those classes of agents may benefit from this sequential approach.

463 Limitations

The term "targeted agents" was narrowly defined to fit the feasibility of generating this systematic review. Several agents were excluded from this review included: proteasome inhibitors and farnesyltransferase inhibitors. Moreover, combination therapy was strictly defined to only include 2 agent combinations and excluding studies that have explored the feasibility of more than 2 agent combinations such as triple combinations. Thus, this review does not reflect the true potential depth of combination therapy and diversity of TA available for optimising ET.

The method in selecting papers was rigorously determined by the presence of specific
keywords. Studies that were excluded solely based on title alone, may have contained
relevant information in the abstract or within the full text. Thus, there was the possibility
that relevant studies were missed.

Furthermore, many trial status were "on-going" or "results pending", this resulted in a
narrow range of agents being incorporated into this review. This was especially evident in
the attempt of including novel agents that targeted the PI3K pathway. Consequently, the
protocol was amended to allow inclusion of abstracts to generate a wider pool of agents

479	and subsequent findings. However, limited information was provided in the abstracts when
480	compared to full text. This was evident during analysis of study design and results.
481	
482	Conclusion
483	Combination of ET and TA have proven to be effective at improving treatment efficacy over
484	monotherapy in postmenopausal patients with HR+ ABC/MBC. However, not all
485	combinations are adding benefit to ET and some are only increasing the toxicity profile.
486	Indisputably, tolerability of toxicity in combination therapy of the elderly population possess
487	an issue in patient management. As a result, this may be an opportunity for sequential
488	therapy of ET and TA to be explored in this specific population.
489	
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- 644

645 **Table/Figure Legends:**

- 646 **Table 1.** Some targeted therapy agents that have been used in treating breast cancer in
- 647 *combination with other forms of cancer treatment.*
- 648 Table 2. Summary of included phase II/III studies that address combination of ET and TA
- 649 (mTOR inhibitors; CDK4/6 inhibitors; PI3K inhibitor; HER2 inhibitors; VEGFR inhibitor; EGFR
- 650 inhibitor and TKI). Figures with * and ** represent figures from the same study.
- **Table 3.** Summarised findings of different parameters from each phase III studies. The table
- 652 is formatted as followed: (*experimental arm vs comparative arm*). Regarding toxicities
- 653 column, selected toxicity was chosen by availability from study and prevalence.
- 654 * The changing of unit will be stated in the cell
- 655 ctDNA = circulating tumour DNA
- 656 Hr = Hazard ratio
- 657 OR = Odd ratio
- 658 **p = p-value**
- **Table 4.** Summarised findings in different parameters from each phase II studies. The table is
- 660 formatted as followed: (experimental arm vs comparative arm). Regarding toxicities
- 661 *column, selected toxicity was chosen by availability from study and prevalence.*
- 662 * change of unit will be stated in the cell
- 663 Hr = Hazard ratio
- 664 OR = Odds ratio
- 665 **p = p-value**

666

- **Figure 1.** A hypothetical comparison of combination therapy (Treatment A) and sequential
- 668 therapy (Treatment B and C).
- 669 **ET** = Endocrine therapy agent
- 670 **TA** = Targeted agent
- 671 **ET/TA** = Combination of endocrine therapy agent and targeted agent
- 672 **Blocked arrow** = Duration of effective treatment from ET/TA
- 673 **Dashed arrow** = Duration of effective treatment from ET
- 674 **Straight arrow** = Duration of effective treatment from TA
- **Figure 2.** A flow diagram displaying the study selection process that addressed for
- 676 combinations of ET with targeted agents adapted from PRISMA (Moher, et al. 2009)
- **Figure 3.** A flow diagram displaying the study selection process that addressed for sequential
- 678 use of ET with targeted agents adapted from PRISMA (Moher et al. 2009)