1	Modelling silicon supply during the Last Interglacial (MIS 5e) at Lake Baikal
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13	Abstract
14	Limnological reconstructions of primary productivity have demonstrated its response over Quaternary
15	timescales to drivers such as climate change, landscape evolution and lake ontogeny. In particular,
16	sediments from Lake Baikal, Siberia, provide a valuable uninterrupted and continuous sequence of
17	biogenic silica (BSi) records, which document orbital and sub-orbital frequencies of regional climate
18	change. We here extend these records via the application of stable isotope analysis of silica in diatom

ιPI 19 opal ( $\delta^{30}$ Si<sub>diatom</sub>) from sediments covering the Last Interglacial cycle (Marine Isotope Stage [MIS] 5e; c. 20 130 to 115 ka BP) as a means to test the hypothesis that it was more productive than the Holocene. 21  $\delta^{30}$ Si<sub>diatom</sub> data for the Last Interglacial range between +1.29 to +1.78‰, with highest values between c. 22 127 to 124 ka BP (+1.57 to +1.78%). Results show that diatom dissolved silicon (DSi) utilisation, was 23 significantly higher (p=0.001) during MIS 5e than the current interglacial, which reflects increased 24 diatom productivity over this time (concomitant with high diatom biovolume accumulation rates [BVAR] 25 and warmer pollen-inferred vegetation reconstructions). Diatom BVAR are used, in tandem with 26  $\delta^{30}$ Si<sub>diatom</sub> data, to model DSi supply to Lake Baikal surface waters, which shows that highest delivery 27 was between c. 123 to 120 ka BP (reaching peak supply at c. 120 ka BP). When constrained by 28 sedimentary mineralogical archives of catchment weathering indices (e.g. the Hydrolysis Index), data 29 highlight the small degree of weathering intensity and therefore representation that catchment-30 weathering DSi sources had, over the duration of MIS 5e. Changes to DSi supply are therefore attributed

- to variations in within-lake conditions (e.g. turbulent mixing) over the period, where periods of both high productivity and modelled-DSi supply (e.g. strong convective mixing) account for the decreasing trend in  $\delta^{30}$ Si<sub>diatom</sub> compositions (after c. 124 ka BP).
- 34

### 35 Key words

- 36 Eemian, Kazantsevo, diatoms, silicon isotopes, Siberia, palaeoproductivity
- 37

# 38 1. Introduction:

39 Primary productivity is a key ecosystem function synthesizing organic matter. In deep lakes production 40 is usually dominated by phytoplankton. Over long timescales, primary production is controlled by a 41 number of external and internal drivers such as climate change, landscape evolution and lake ontogeny. 42 Species composition also has an important influence on productivity-diversity relationships (e.g. Dodson 43 et al., 2000). On Quaternary timescales palaeoproductivity may be estimated using a number of different 44 techniques, including palaeoecological (e.g. diatom analysis) biogeochemical (e.g. biogenic silica or 45 pigment analysis) or stable isotope approaches. Palaeoproductivity records allow us to test key 46 hypotheses related to climate variability, including differences between interglacial periods, which may 47 act as analogues to a future warming world. One of the most studied interglacials is the Last Interglacial, 48 a possible analogue for a future, warmer Earth (although in terms of orbital configuration, this 49 comparison is imperfect).

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51 The Last Interglacial, corresponding to Marine Isotope Stage (MIS) 5e (130 - 115 ka BP; PAGES, 2016; 52 Railsback et al., 2015), is often referred to as the Eemian in Western European continental records, or in 53 Siberia, the Kazantsevo. In order to more fully understand the nature, duration and synchroneity of MIS 54 5e across the globe, the comparison of independent continental and oceanic climate records are needed. 55 Lake Baikal, Siberia (103°43'-109°58'E and 51°28'-55°47'N; Figure 1) provides a key uninterrupted, 56 continental sedimentary archive, which spans at least the past 20 million years (Williams et al., 2001), to 57 which further Eurasian continental records (e.g. loess sequences) can be compared (Prokopenko et al., 58 2006). Lake Baikal is the world's deepest and most voluminous lake (23, 615 km<sup>2</sup>) with a catchment of 59 over 540, 000 km<sup>2</sup>. Its mid-latitude location in central Asia means that the lake is highly continental

- 60 (Lydolph, 1977), and sensitive to obliquity- and precessional-driven forcing (Short et al., 1991), which
- has allowed an astronomically tuned climate record for the entire Pleistocene (Prokopenko et al., 2006).
- 62
- 63 Figure 1.
- 64 Map of Lake Baikal and its catchment with core CON-01-603-2 drilling location identified.



68 Prokopenko et al. (2001) argued that biogenic silica (BSi) records from Lake Baikal register regional 69 climatic fluctuations (e.g. glacial-interglacial cycles) and are linked to incoming solar radiation (hereafter 70 insolation) forcing, via heat balance exchanges within the lake (e.g. Prokopenko et al., 2006; Prokopenko 71 et al., 2001). At sub-orbital frequencies, BSi concentration may be related to regional climate change, 72 linked to teleconnections with shifting Atlantic Meridional Overturning Circulation (e.g. Karabanov et 73 al., 2000). On orbital timescales, Lake Baikal BSi records are interpreted as a palaeoproductivity proxy 74 (Mackay, 2007; Prokopenko et al., 2006; Prokopenko et al., 2001). Seasonal phytoplankton succession 75 at Lake Baikal today is influenced by the timing of ice-off (end of May-June) and ice-on (after October), 76 which promote a period of rapid diatom growth via upper water column turbulent mixing (Popovskaya, 77 2000). The thermal regime of Lake Baikal in spring and autumn periods is therefore very important in 78 regulating diatom bloom development, together with the availability of dissolved silicon (DSi) (Panizzo 79 et al., in review; Popovskaya et al., 2015). While these productivity proxies (e.g. BSi, in tandem with 80 diatom assemblages) can provide an insight into variations in limnological characteristics (e.g. length of 81 growing season, lake turnover) over previous glacial-interglacial cycles, they do not provide the ability 82 to quantitatively assess variations between within-lake, versus catchment, delivery of nutrients (namely 83 DSi). We aim to address this in this study, via the use of silicon stable isotope geochemistry to reconstruct 84 such changes over the Last Interglacial.

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86 There are three stable isotopes of silicon (Si: <sup>28</sup>Si, <sup>29</sup>Si and <sup>30</sup>Si), which fractionate during almost all low-87 temperature processes of the continental and oceanic silicon cycles, highlighting their value as a 88 geochemical tracer. Variations in the isotope abundances (e.g. <sup>30</sup>Si/<sup>28</sup>Si [although previously more 89 commonly <sup>29</sup>Si/<sup>28</sup>Si]) are reported via the delta notation ( $\delta^{30}$ Si), when compared to a known standard 90 reference material (e.g. NBS 28). Records of  $\delta^{30}$ Si composition of waters and diatom opal ( $\delta^{30}$ Si<sub>DSi</sub> and 91  $\delta^{30}$ Si<sub>diatom</sub> respectively) from Lake Baikal have demonstrated the clear relationship between diatom 92 biomass and nutrient availability (Panizzo et al., in review; Panizzo et al., 2017; Panizzo et al., 2016), 93 pointing to  $\delta^{30}$ Si<sub>diatom</sub> as a proxy for surface water DSi utilisation. This is because DSi (in the form of 94 silicic acid [Si(OH)4]) is a key nutrient for diatom uptake and growth (Martin-Jezequel et al., 2000). 95 During biomineralisaton diatoms discriminate against the heavier isotopes (<sup>29</sup>Si and <sup>30</sup>Si) over the lighter 96  $(^{28}Si)$ , which leads to the preferential isotopic enrichment of the residual solution (in this case, the 97 dissolved phase:  $\delta^{30}$ Si<sub>DSi</sub>) in the heavier isotopes. This in turn leaves a clear biological imprint on the

98 isotopic composition of BSi (De La Rocha et al., 1997). The per mille fractionation or enrichment factor 99 (termed  ${}^{30}\varepsilon_{\text{uptake}}$ ) between both phases is considered to be between c. -1.1 and -1.6% (estimated from 100 freshwater systems; Alleman et al., 2005; Opfergelt et al., 2011; Panizzo et al., 2016; Sun et al., 2013) 101 and be independent of temperature,  $pCO_2$  and nutrient availability (De La Rocha et al., 1997; Fripiat et 102 al., 2011; Milligan et al., 2004; Varela et al., 2004) some in-vitro studies on oceanic diatoms have 103 suggested a species dependent  ${}^{30}\varepsilon_{uptake}$  effect (Sutton et al., 2013). While this final attestation remains in 104 dispute, in the case of Lake Baikal *in-situ* estimations of diatom  ${}^{30}\varepsilon_{untake}$  are c. -1.6%, derived from 105 calculations of seasonal BSi (Panizzo et al., 2016). A final important consideration is the preservation of 106 the  $\delta^{30}$ Si<sub>diatom</sub> in surface sediments, where it is estimated that only c. 1% of total diatom valves are 107 preserved in Lake Baikal (Ryves et al., 2003). Despite this being a pervasive issue at this site, Panizzo et 108 al. (2016) demonstrate the absence of any diatom dissolution associated  $^{30}\varepsilon$  (as per earlier studies by 109 Demarest et al., 2009) and therefore validate the application of  $\delta^{30}$ Si<sub>diatom</sub> reconstructions from lake 110 sediments.

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112 On the basis of the above discussion and earlier work at Lake Baikal (Panizzo et al., In review; Panizzo et al., 2017; Panizzo et al., 2016), we propose that  $\delta^{30}Si_{diatom}$  sedimentary records can act as a tracer of 113 114 past diatom nutrient uptake. In addition, we apply silicon isotope geochemistry from Lake Baikal 115 sediments as a means to explore, in more detail, the catchment and within-lake constraints on silicon 116 cycling (via the application of independent diatom productivity proxies), as a means to understand how 117 climate has impacted nutrient supply, productivity and export at Lake Baikal over MIS 5e. Our objectives 118 are to provide, firstly, an overview of  $\delta^{30}$ Si<sub>diatom</sub> signatures in MIS 5e and determine if diatom utilisation 119 was higher than the current interglacial. Secondly, to reconstruct palaeo-nutrient supply of DSi in Lake 120 Baikal surface waters over the course of the Last Interglacial. In particular, we compare these parameters 121 with existing palaeolimnolgical proxies the better to reconstruct variations in nutrient availability and 122 diatom uptake, as a response to prevailing orbital and climatological changes. Finally we devise a new 123 interpretive model to best describe intra-Last Interglacial variability at Lake Baikal.

124

125 2. Materials and methods:

126 2.1. Core collection

127 Core CON-01-603-2 was collected on the Continent Ridge, north basin, of Lake Baikal in July 2001 at 128 the location of 53°57′ N, 108°54′ E (Figure 1). The core was collected from a water depth of 386 m 129 using a piston corer, with full details provided by Demory et al. (2005a); Demory et al. (2005b) Charlet 130 et al. (2005). Detailed summaries on CON-01-603-2 core collection and chronology (radiocarbon and 131 palaeomagnetism) can be found therein. Sample resolution represents c. 200 years for the majority of the 132 record, although this increases to c. 400 years between 118 ka to 116 ka BP.

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Here we present the methods for this new data set of  $\delta^{30}$ Si<sub>diatom</sub> alone, although reference is also made to existing datasets of  $\delta^{18}$ O<sub>diatom</sub> (Mackay et al., 2013), diatom biovolume accumulation rates (BVAR) (Rioual and Mackay, 2005), catchment weathering indices (e.g. sediment clay mineralogy; Fagel and Mackay, 2008) and pollen-derived vegetation biome reconstructions (Tarasov et al., 2005; derived from the pollen reconstructions of Granoszewski et al., 2005) from the same core (Figures 3,4).

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## 140 2.2. Silicon isotope preparation and analysis

141 A total of 16 samples for  $\delta^{30}$ Si<sub>diatom</sub> analyses were selected across an existing  $\delta^{18}$ O<sub>diatom</sub> record (Mackay 142 et al., 2013) from sediment core CON-01-603-2. Samples underwent preparation to remove high episodes 143 of contamination (namely  $Al_2O_3$ ) via more vigorous cleaning (of the exisiting diatom opal from Mackay 144 et al., 2013), including heavy density separation and organic material oxidation (as per methods outlined 145 in Morley et al., 2004). Prior to isotopic analysis, all samples were visually inspected via a Zeiss Axiovert 146 40 C inverted microscope, while X-ray fluorescence (XRF) analyses were also conducted in order to 147 verify, quantitatively, their purity. All samples demonstrated no visual contamination (e.g. clay) and 148 quantitative estimations via XRF are <1% (with sample Al<sub>2</sub>O<sub>3</sub>/SiO<sub>2</sub> <0.01).

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Alkaline fusion (NaOH) of cleaned diatom opal and subsequent ion-chromatography (via cation exchange methods; BioRad AG50W-X12) followed methodologies outlined by Georg et al. (2006), with further analytical and methodological practices mentioned in Panizzo et al. (2016). Samples were analysed in wet-plasma mode using the high mass-resolution capability of a ThermoScientific Neptune Plus MC-ICP-MS (multi collector inductively coupled plasma mass spectrometer) at the British Geological Survey. A minimum of two analytical replicates were made per sample. Full analytical methods are detailed in Panizzo et al. (2017; 2016), including practices applied to minimize instrument 157induced mass bias and drift (e.g. Cardinal et al., 2003; Hughes et al., 2011). Full procedural blank158compositions from MC-ICP-MS analyses were 31 ng compared to typical fusion amounts of 3390 ng159and differed from sample compositions by < 0.5%. Using the worst-case scenario (i.e. calculated using160the sample with the lowest Si concentration) this level of blank could result in a potential shift in sample161composition by < 0.04%. All blank measurements therefore demonstrated an insignificant effect relative162to the typical < 0.11% propagated sample uncertainties (Table 1) and no correction for procedural blank163was made.

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All uncertainties are reported at 2 sigma absolute (Table 1), and incorporate an excess variance derived from the NBS 28 reference material, which was quadratically added to the analytical uncertainty of each measurement.  $\delta^{29}$ Si and  $\delta^{30}$ Si were compared to the mass dependent fractionation line to which all samples comply (Figure 2). Long term (~ 2 years) reproducibility and machine accuracy are assessed via analyzing the Diatomite secondary standard and data agree with the published values: Diatomite = +1.24‰ ± 0.18‰ (2 SD, n=244) (consensus value of +1.26‰ ± 0.2‰, 2 SD; Reynolds et al., 2007).

171

172 Figure 2.

173 Three-isotope plot ( $\delta^{29}$ Si vs  $\delta^{30}$ Si) for all silicon isotope data (n=16) presented in this manuscript, with 174 data falling within analytical uncertainty of the mass-dependent fractionation line (dashed); in good

agreement with the kinetic fractionation of Si of 0.5092 (Reynolds et al., 2007).



196 its application here.  $\delta^{30}Si_{diatom}$  is the isotopic composition of diatom opal at any given time interval and

197  $^{30}\varepsilon_{uptake}$  is set at -1.6‰, as discussed in Section 1 (Panizzo et al., 2017; Panizzo et al., 2016).

198

In addition to simply quantifying past DSi surface utilisation via diatom biomineralisation, here we aim to reconstruct palaeo-nutrient supply in Lake Baikal. As independent diatom productivity indicators (e.g. BVAR) are also available from core CON-01-603-2, (Rioual and Mackay, 2005), an estimate of DSi supply can be made by constraining  $\delta^{30}$ Si<sub>diatom</sub> compositions by the net export of BSi to sediments (e.g. as a function of export production or nutrient demand; Horn et al., 2011). This application has been seen in oceanic settings as a method to constrain better, reconstructions of nutrient supply, when coupled with other algal productivity indicators (Horn et al., 2011).

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207 
$$DSi Supply = \frac{F_{BVAR}^{sample} / F_{BVAR}^{120.5 ka}}{\frac{9}{DSi} Consumed} / \frac{9}{DSi} Consumed} Eq. 3$$

208

 $F_{BVAR}^{sample}$  is the flux of BVAR in sediments and  $\% DSi_{consumed}^{sample}$  is the percentage of the DSi consumed by 209 diatoms (in the sediment record).  $F_{BVAR}^{120.5 ka}$  and  $\% DSi_{consumed}^{120.5 ka}$  are defined as the sample with the greatest 210 211 modelled supply in the MIS 5e record (at c. 120.5 ka BP; Table 1). We apply the use of BVAR here (over 212 %BSi) as we argue this reflects more realistically the DSi demand of diatoms. Diatom BVAR take into 213 consideration diatom size (e.g. volume) and cell concentration, and so the amount of DSi biomineralised 214 in the valve (refer to Rioual and Mackay, 2005, for full explanation of calculation). Diatom dissolution 215 (defined as the percentage of pristine valves, of those preserved within the Lake Baikal record; Rioual 216 and Mackay, 2005; Table 1) across the MIS 6 to MIS 5d record is also consistently <23%, which supports 217 the application of the proxy for modelling palaeo-DSi supply; i.e. ruling out that dominant BVAR 218 changes over this time over this record are a function of diatom dissolution. BSi records on the other 219 hand represent bulk biogenic opal in sediments, which has evaded remineralisation (e.g. Ryves et al., 220 2003) and may not be exclusively diatomaceous in origin (e.g. catchment derived amorphous silica). 221 Zonation of Figure 4 and the discussion surrounding the conceptual model at Lake Baikal (Section 4.2; 222 Figure 5) is based on the Diatom Assemblage Zonations (DAZ) defined by Rioual and Mackay (2005).

223

224 **3. Results:** 

- 225 The data set presented here starts at the end of Termination 2 (c. 132 ka BP, n=1) through to the transition 226 from MIS 5e to MIS 5d at c. 116 ka BP. The resolution of sampling is at the millennial-scale, c. every 227 850 years. All  $\delta^{30}$ Si<sub>diatom</sub> data range between +1.23 and +1.78‰ (0.17‰ 1SD of all final data, n=16; 228 Table 1). Lowest  $\delta^{30}$ Si<sub>diatom</sub> compositions are seen at c. 132.1 ka BP (+1.23 ± 0.09‰, n=1; Table 1), 229 during zone MIS 6. Highest values (between  $\pm 1.77 \pm 0.08\%$  and  $\pm 1.48 \pm 0.11\%$ , n=7; Table 1) are 230 demonstrated in early MIS 5e (c. 127.4 and 123.0 ka BP), with a progression to lower values (c.  $1.47 \pm$ 231 0.1% and  $+1.30 \pm 0.10$ , n=8; Table 1) between c. 122.0 and 116.1 ka BP (Figure 3). There is one episode 232 of lower signatures, outside of the general MIS 5e decreasing trend, between c. 127.4 and 126.8 ka BP, 233 where values fall to  $+1.46 \pm 0.1\%$  (at c. 126.8 ka BP). 234 235 The linear approximation (via open system/steady state modelling) of DSi supply is portrayed in Table 236 1 and Figure 4. Percentage results are relative to the sample that has the highest modelled supply in the
- record (e.g. 100% at c. 120.5 ka BP; Table 1). Results show an average c. 70% supply (range between c. 64 and c. 100% over the period of MIS 5e) (e.g. c. 30% less supply that at 120.5 ka BP) after the termination of the previous glacial MIS 6 (Figure 4). There is a step increase in modelled supply during MIS 5e, after c. 124.9 ka, which is coincident with the continued decreasing trend in  $\delta^{30}$ Si<sub>diatom</sub> signatures
- and estimated %DSi utilisation over the course of the Last Interglacial (Figure 4).
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- 243

- 245 Table 1
- 246  $\delta^{30}$ Si<sub>daitom</sub> and  $\delta^{29}$ Si<sub>daitom</sub> data (n=16) reported for the period 132.15 ka BP and 116.16 ka BP, with respective 2 sigma absolute analytical errors (‰). Sample names are provided
- 247 in tandem with the modelled respective ages (ka BP) and mid-sediment sampling depth (CON-01-603-2). Data are presented with published total biovolume (millions  $\mu$ m<sup>-3</sup>
- 248 cm<sup>-2</sup> year<sup>-1</sup>) and % diatom dissolution index (Rioual and Mackay, 2005) data. The modelled open system %DSi utilisation and %DSi Supply (including maximum and minimum
- 249 modelled likelihood errors) for each sample are also given.

Sample Name	Mid- sediment depth (cm)	Dating profile (ka BP)	δ <sup>30</sup> Si <sub>diatom</sub> (‰)	±2 sigma absolute (‰)	δ <sup>29</sup> Si <sub>diatom</sub> (‰)	±2 sigma absolute (‰)	Biovolume (millions μm <sup>-3</sup> cm <sup>-2</sup> year <sup>-1</sup> )	% Valve dissolution index	Modelled DSi Utilisation (%)	± Likelihood error (%)	Modelled DSi Supply (%)	± Likelihood error (%)
EEM_12	613	116.16	+1.29	0.09	+0.66	0.04	0.27	15	73	11	9	1
EEM_14	617	117.17	+1.33	0.11	+0.66	0.05	0.18	13	75	11	6	1
EEM_18	625	118.00	+1.40	0.09	+0.73	0.04	1.81	13	79	10	59	8
EEM_20	629	118.42	+1.36	0.10	+0.67	0.04	1.51	12	76	11	50	8
EEM_22	633	118.84	+1.47	0.10	+0.77	0.05	1.39	21	83	11	43	6
EEM_26	641	119.68	+1.31	0.09	+0.68	0.05	1.05	18	74	11	36	6
EEM_30	649	120.53	+1.39	0.11	+0.72	0.04	3.06	15	78	11	100	16
EEM_37	663	122.00	+1.47	0.10	+0.76	0.05	2.21	16	83	11	68	9
EEM_42	673	123.05	+1.48	0.11	+0.80	0.06	1.23	9	84	11	38	5
EEM_48	685	124.32	+1.57	0.08	+0.81	0.06	2.12	16	90	9	61	7
EEM_51	691	124.95	+1.66	0.08	+0.84	0.05	1.56	8	95	9	42	4
EEM_54	697	125.58	+1.69	0.08	+0.85	0.06	1.01	6	97	9	27	3
EEM_58	705	126.42	+1.78	0.11	+0.91	0.06	1.55	10	102	11	39	4
EEM_60	709	126.85	+1.46	0.10	+0.72	0.05	1.02	23	82	11	32	4
EEM_62	713	127.44	+1.77	0.08	+0.90	0.05	0.47	15	102	9	12	1
EEM_73	735	132.15	+1.23	0.09	+0.63	0.05	0.11	13	68	10	4	1



#### 254 Figure 3.

255 Stratigraphic plot displaying  $\delta^{18}O_{\text{diatom}}$  (%) from Mackay et al. (2013) (note that data before c. 128 ka BP are not plotted due to contamination issues outlined by the authors), 256  $\delta^{30}$ Si<sub>diatom</sub> (%) with respective analytical errors, modelled %DSi utilisation (95% confidence intervals shown) from this dataset (open system model), total diatom biovolume 257 accumulation rates (BVAR) (millions µm<sup>-3</sup> cm<sup>-2</sup> year<sup>-1</sup>) (Rioual and Mackay, 2005), % valve dissolution index (defined as the percentage of pristine valves, of those preserved 258 within in the record; Table 1) (Rioual and Mackay, 2005), dominant diatom species BVAR (thousands/millions µm<sup>-3</sup> cm<sup>-2</sup> year<sup>-1</sup>) (Rioual and Mackay, 2005), Chlorophyll 259 a/TOC data (µg/mg C; Fietz et al., 2007) and insolation at 55°N (W m<sup>-2</sup>) for the summer solstice and winter, spring (dashed) equinoxes. All sediment core proxies presented 260 are derived from core CON-01-603-2 (Figure 1).

### 262 4. Discussion

### 263 4.1. $\delta^{30}$ Si<sub>diatom</sub> signatures during MIS 5e

264 The main focus of this discussion spans the MIS 5e period, although one data point of the record is 265 derived from the MIS 6 glacial (before c. 130 ka BP; Table 1, Figure 3). The ranges of values presented 266 here (from sediments collected from the North Basin; Figure 1) (+1.23 to +1.78 $\pm$  0.17%; Table 1) encompass mean modern day south basin surface sediment  $\delta^{30}$ Si<sub>diatom</sub> signatures (+1.23‰ ± 0.08 1 SD; 267 268 Panizzo et al., 2016), especially the MIS 6 value. The  $\delta^{30}$ Si<sub>diatom</sub> data presented over MIS 5e (in particular 269 c. 127.4 ka BP to c. 116 ka BP) displays an overall decreasing trend concomitant, and significantly 270 correlated with, the decrease in June (solstice) insolation (at  $55^{\circ}N$ ) ( $r^2=0.53$ , p=0.001). However, there 271 is an absence of correlation between  $\delta^{30}$ Si<sub>diatom</sub> and insolation (at 55°N) records of each spring and autumn 272 equinoxes (Figure 3) or winter solstice (data not shown). Furthermore, Last Interglacial  $\delta^{30}$ Si<sub>diatom</sub> values 273 (between  $\pm 1.30 \pm 0.10\%$  and  $\pm 1.77 \pm 0.08\%$ ; Table 1) are significantly higher than Holocene  $\delta^{30}$ Si<sub>diatom</sub> 274 compositions (Panizzo et al, unpublished data) derived from sediment cores across all three Lake Baikal 275 basins (p=0.001, via a Kruskal Wallis test).

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277 Palaeoecological records in the Lake Baikal suggest that the climate was warmer and wetter during the 278 Last Interglacial than the Holocene (Tarasov et al., 2007), which in turn may account for the higher 279  $\delta^{30}$ Si<sub>diatom</sub>-inferred utilisation over this period (Figure 3). Given the significantly higher  $\delta^{30}$ Si<sub>diatom</sub> 280 signatures for MIS 5e we can interpret this as a period of either higher utilisation of DSi by diatoms (e.g. 281 enhanced productivity) and/or a weakened supply of nutrients to the surface (e.g. reduced convective 282 mixing or catchment derived nutrients). These arguments will be discussed further in the following 283 section, in conjunction with other climate and productivity indicators from Lake Baikal during MIS 5e.

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#### Figure 4.

Summary diagram of  $\delta^{30}$ Si<sub>diatom</sub> (‰) with respective analytical errors, modelled %DSi utilisation and estimated %DSi supply (with 95% confidence intervals), both constrained

by BVAR. S/I ratios and the Hydrolysis Index (note reverse axis) (Fagel et al., 2005), along with dominant catchment biome scores (Tarasov et al., 2005) and summer solstice

insolation at 55°N (W m<sup>-2</sup>) are also displayed. Lines correspond to the time transition from MIS 6 to MIS 5e and MIS 5d. Shaded areas correspond to the interpretation of lake

nutrient cycling as described in Section 4.2 and Figure 5 (defined as the DAZ of Rioual and Mackay, 2005).



Figure 5. A schematic nutrient-productivity model for the Lake Baikal upper water column (including surface waters to the MMD), during the Last Interglacial. Three
 interpretive periods are identified (Section 4.2) for MIS 5e and a description of the dominant drivers of upper water column nutrient availability (e.g. catchment versus within lake) are provided. A summary of the dominant palaeoecological characteristics of these periods is also provided (based on Figures 3, 4), along with the main climatic forcing
 (e.g. insolation).



## 302 4.2. A conceptual model of diatom responses to altering DSi supply during the Last Interglacial

303 A hydrodynamic-insolation model for the Lake Baikal BSi signal was proposed by Prokopenko et al. 304 (2001), where two models were put forward for diatom productivity during either interglacial (high 305 insolation and high BSi) or glacial (low insolation and low BSi) stages. However, as intra-Last 306 Interglacial climate variability has been demonstrated (including cooling; Karabanov et al., 2000; 307 atmospheric circulation and hydrological regime changes in the catchment; Mackay et al., 2013; and 308 changes in primary productivity; Rioual and Mackay, 2005), we here propose a more sensitive 309 interpretation via the application of diatom BVAR (Section 2.3; Figure 5). This revised nutrientproductivity model reflects the variation captured in both diatom utilisation and nutrient (DSi) supply 310 311 over the course of MIS 5e (Figure 4), which was otherwise not accounted for in earlier models (e.g. 312 Prokopenko et al, 2001).

313

314 For the purpose of this discussion, we consider the delivery of nutrients (DSi) from both within-lake 315 (upwelling) and catchment derived processes. The Hydrolosis Index (HI) (Figure 4) of Fagel and Mackay 316 (2008) can be used to examine catchment weathering in Lake Baikal as a function of climatic conditions, 317 parent rock type and catchment topography (Fagel and Boes, 2008). Higher values (>5) therefore indicate 318 a greater presence of secondary minerals (e.g. increased weathering), while lower values are indicative 319 of primary mineral clay sources in sediments (e.g. reduced catchment weathering). Meanwhile, 320 smectite/illite rations (S/I) are indicative of increased chemical weathering (>1) or increased physical 321 catchment weathering (<1), with illite being defined as one parent mineral endmember for the site (Fagel 322 and Mackay, 2008). In terms of silicon geochemistry, chemical weathering of silicate rocks and minerals 323 are attributable to the DSi load of rivers and ultimately lakes and oceans (e.g. Stumm and Wollast, 1990) 324 however physical erosion, controlled by climate, soil formation and catchment vegetation, can also play 325 an important role in deriving continental DSi fluxes (Gaillardet et al., 1999). Under low erosion rates, 326 weathering is regarded to be supply-limited; so that clay mineral formation is higher (than primary 327 mineral dissolution), which will reduce DSi fluxes (relative to parent material)(e.g. low DSi/[Na+K]\*; 328 Fontorbe et al., 2013; Frings et al., 2015; Hughes et al., 2013) and preferentially discriminate against the heavy isotopes (indicative of higher river  $\delta^{30}Si_{DSi}$  signatures). This interpretation is referred to as 329 330 incongruent weathering (refer to the comprehensive discussion of Frings et al., 2016 and references 331 therein). The opposite scenario (kinetic-limited or more congruent weathering) occurs under higher

332 physical erosion rates (e.g. low weathering intensity [W/D]; Bouchez et al., 2014), where the rapid 333 removal of material and low riverine/sedimentary residence times reduces the accumulation of secondary 334 mineral phases (high DSi/[Na+K]\*, higher DSi fluxes and lower river  $\delta^{30}$ Si<sub>DSi</sub> signatures).

335

336 Quantitative catchment reconstructions of palaeo-weathering fluxes and DSi inflow compositions to 337 Lake Baikal are limited here due to the absence of catchment or riverine endmembers (from MIS 5e). 338 The overall need to expand silicon isotope continental paleo-weathering reconstructions has been 339 highlighted by Frings et al. (2016), although the greatest interest to date centers on quantifying river 340  $\delta^{30}$ Si<sub>DSi</sub> signature variation to oceans (e.g. continental export) over glacial-interglacial cycles. Given that 341 the global river  $\delta^{30}$ Si<sub>DSi</sub> signatures exported to the ocean, between glacial-interglacial cycles, are 342 modelled to be only small e.g. estimated globally to increase only c.  $0.2 \pm 0.25\%$  since the Last Glacial 343 Maximum following a reduction in weathering congruency (Frings et al., 2016) it is probable that intra-344 Eemian variability of weathering regimes also has a small impact on altering Lake Baikal source waters 345 over this time. However, we here use the HI and S/I ratio of Fagel and Mackay (2008) as an independent 346 palaeo-weathering proxy to explore this argument and constrain any catchment derived sources of DSi 347 for diatom biomineralisation.

348

Three descriptive zones (derived from the DAZ of Rioual and Mackay. 2005; shaded in Figure 4) are 349 350 applied to examine variations in  $\delta^{30}$ Si<sub>diatom</sub> over the Last Interglacial, as a response to regional climate 351 changes and insolation forcing (Figure 5). We propose that while catchment changes (e.g. biome shifts 352 and weathering rates) may have played a role in regulating catchment DSi supply into Lake Baikal waters 353 (via rivers) over the course of MIS 5e (Figure 4), these act as more mediated responses. Rather we 354 propose that, as today, within-lake processes (reduced lake ice duration and increased turbulent, 355 convective mixing) are more rapid responses to, and therefore act as, the dominant driver in controlling 356 surface waters nutrient change over this time. Below we present a palaeoecological interpretation of the 357 three descriptive zonations (for MIS 5e alone), to which we propose this new interpretation of diatom 358 and nutrient responses over this period (Figure 5).

359

360 *4.2.1. Early MIS 5e high nutrient demand period (c. 128-124 ka BP):* 

361 The increase to higher  $\delta^{30}$ Si<sub>diatom</sub> signatures in MIS 5e (after c. 127.4 ka BP) occurs at peak summer 362 insolation and is also coincident with the increase in diatom BVAR (Rioual and Mackay, 2005) and BSi 363 records (derived from different composite cores from the Academician Ridge; Prokopenko et al., 2006) 364 and later (after c. 126 ka BP) Chlorophyll-a (Figure 3). Mackay et al. (2013) interpret  $\delta^{18}O_{diatom}$  data to 365 reflect a period of increased river discharge to Lake Baikal, in response to regional warming (increased 366 pollen-inferred precipitation and temperatures; Tarasov et al., 2007; Tarasov et al., 2005), a weaker 367 Siberian High (Velichko et al., 1991) and teleconnections with the North Atlantic (lowest global ice 368 volume; Kukla et al., 2002; and warmer North Atlantic sea surface temperatures; Oppo et al., 2006). 369 Apart from a brief reduction in  $\delta^{30}$ Si<sub>diatom</sub> signatures to +1.46‰ (± 0.10 2 sigma) at c. 126.8 ka BP, values 370 otherwise remain high during this period.

371

372 Both HI and S/I ratios are low after c. 128 ka BP (after a decreasing trend at the start of MIS 5e; Figure 373 4), which is indicative of physical (over chemical) weathering processes dominating in the catchment, 374 with limited secondary mineral formation in soils (e.g. low weathering intensity and higher proportion 375 of primary minerals in lake sediments) (Fagel and Mackay, 2008). During this period, these conditions 376 are concomitant with high summer insolation (Figures 4; 5) and an increase taiga biome scores, indicative 377 of a warming climate (Tarasov et al., 2007; Tarasov et al., 2005). Although the low S/I ratios (the lowest 378 in the record during this period) highlight changes in sediment clay mineralogy, which are a result of soil 379 destabilization in the catchment (Fagel and Mackay, 2008), the low HI is indicative of a low weathering 380 intensity regime (with probable low fractionation potential of river waters). This interpretation compares 381 well with BVAR-modelled DSi supply, which is among the lowest of the whole record (40-90% less 382 than peak supply at c. 120.5 ka BP; Table 1). Taken together these data suggest that the magnitude of 383 change to catchment DSi source waters was not great enough to alter considerably, pelagic source waters, 384 so that the high  $\delta^{30}Si_{diatom}$  signatures are driven more strongly by diatom biomineralisation.

385

386 During the "high nutrient demand period" (c. 128 to 124 ka BP), spring blooming species *Stephanodiscus*387 *formosus* and *Stephanodiscus grandis* (the latter which contributes the greatest to diatom BVAR; Figure
388 3) also increase, along with other *Aulacoseira baicalensis* and *Aulacoseira skvortzowii* species (Rioual
and Mackay, 2005). Although these *Stephanodiscus* species are today extinct, based on modern
analogues, Rioual and Mackay (2005) attribute them to be slow growing due to their large size, tolerant

of low light conditions with a high phosphorus and moderate silica demand, associated today with long deep convective spring mixing (up tp 300 m; Shimaraev et al., 1993). These data point to the interpretation of enhanced nutrient exchange in surface waters at the beginning of MIS 5e, and a productive initial spring diatom bloom, dominated by the high phosphorus, moderate DSi, nutrient demand *Stephanodiscus* species (Figures 3,4). With low-modelled DSi supply over this period (including from catchment sources),  $\delta^{30}$ Sidiatom compositions become more enriched with an overall switch to higher diatom productivity (BVAR; Figures 3, 4) and DSi utilisation, following MIS 6.

398

## 399 4.2.2. Mid-MIS 5e high nutrient supply period (c. 124-120 ka BP)

400 Estimated DSi utilisation is low after c. 124 ka BP, suggesting more nutrient rich conditions, concomitant 401 with the decreasing trend in  $\delta^{30}$ Si<sub>diatom</sub> signatures and step shift in higher diatom BVAR (Figure 4). This 402 trend also follows the decreasing summer insolation and  $\delta^{18}O_{diatom}$  compositions (Figures 3, 4), although 403 the catchment is composed of a stable taiga biome (Figure 4; Tarasov et al., 2007; Tarasov et al., 2005). 404 Clay mineralogy (S/I ratio) during this zone continues to suggest conditions indicative of physical (over 405 chemical) weathering, with sediments dominated by primary mineral sources (low HI; Figure 4) and 406 therefore low chemical weathering in the catchment over this period. We interpret the record therefore 407 to point to a continued low weathering intensity (Section 4.2.1). As Lake Baikal catchment conditions 408 appear relatively stable during this zone (based on pollen and clay mineralogy) but modelled DSi supply 409 increases (Figure 4), which we suggest is due to within-lake DSi sources (e.g. increased mixing) being 410 more important in driving lower  $\delta^{30}$ Si<sub>diatom</sub> signatures (i.e. increased supply versus reduced diatom 411 uptake) rather than an increased catchment derived source of DSi (e.g. of lower  $\delta^{30}Si_{DSi}$  composition).

412

Estimated supply increases during this period (c. 124 to 120 ka BP) reaching the time of highest modelled supply (100%) at 120.5 ka BP (Table 1), concomitant with highest diatom BVAR and increased Chlorophyll-*a* concentrations (Fietz et al., 2007) (Figure 3). The increase in diatom BVAR is again attributed to the increase in *S. grandis* species (Rioual and Mackay, 2005), which proportionally dominates diatom biovolumes over MIS 5e. We propose (based on modern-analogue diatom ecology) a shift towards a deeper mesothermal maximum depth (MMD; Figure 5), concomitant with a deeper spring mixing layer compared to the previous period. This will account for the increase in DSi supply to surface 420 waters and therefore some of the lowest  $\delta^{30}$ Si<sub>diatom</sub> compositions in the reconstruction, despite increased 421 diatom productivity.

422

# 423 4.2.3 Low nutrient demand period and the transition to MIS 5d (after 120 ka BP)

424 After c. 120.4 ka BP Rioual and Mackay (2005) document a notable change in individual diatom species 425 BVAR at Lake Baikal, from the large-celled Stephanodiscus species to smaller celled Cyclotella species, 426 especially Cyclotella minuta (Figures 3; 5). C. minuta can tolerate relatively high summer surface water 427 temperatures (e.g. during stratification), so that when autumnal mixing begins they are among the first species to bloom (Jewson et al., 2015). These species changes are concomitant with a stepwise decrease 428 429 in total diatom BVAR, which points to a decrease in overall diatom productivity in Lake Baikal (Figure 430 3). Decreasing  $\delta^{30}$ Si<sub>diatom</sub> compositions and modelled DSi utilisation may further corroborate this 431 reduction in productivity, leading to the interpretation of reduced DSi demand (due to both reduced 432 productivity and the prevalence of smaller diatom species) (Figure 5). Overall we propose conditions 433 less favorable for larger spring blooming species (e.g. S. grandis). In particular, overall reduced 434 productivity is attributed to weaker spring convective mixing, the breakdown in thermal driven 435 stratification and a reduction in the overall growing season (increased ice cover duration) consistent with 436 the move to cooler conditions in the region (Figure 5).

437

438 Superimposed on these trends is a minimum in  $\delta^{18}O_{diatom}$  compositions between c. 120.5 and 119.7 ka 439 BP (Figure 3), which Mackay et al. (2013) attribute to a cold perturbation in the Lake Baikal region (an 440 increase in Siberian High intensity; Tarasov et al., 2005) with increased snowmelt contributions and a 441 reduction in primary productivity (Fietz et al., 2007; Prokopenko et al., 2006; Rioual and Mackay, 2005). 442 Similarly,  $\delta^{30}$ Si<sub>diatom</sub> signatures also show a small (although within analytical uncertainty) decline, which 443 could be reflecting reduced diatom productivity during this cold event and therefore low DSi uptake (and 444 low modelled DSi supply) (Figures 4, 5). Interestingly, S/I ratios and HI increase after c. 120 ka BP 445 (Figure 4), which points to an increase in chemical weathering (intensity) in the Lake Baikal catchment 446 (e.g. towards supply-limited weathering regimes, indicative of higher river  $\delta^{30}$ Si<sub>DSi</sub>), although as there 447 are no large changes in  $\delta^{30}$ Si<sub>diatom</sub> compositions after this time, we again suggest that isotopically altered source waters to the lake have not had a confounding impact in driving  $\delta^{30}Si_{diatom}$  signatures after this 448 449 time.

After c. 117.2 ka BP benthic diatom species increase in relative abundance (Rioual and Mackay, 2005).
This, along with a sharp fall in diatom BVAR and Chlorophyll-*a* concentrations (Fietz et al., 2007),
points to a reduction in pelagic productivity indicative of a switch to a much colder climate after this
time, coincident with a continued decline in summer insolation, a shift to increased steppe biomes scores
(Figure 4) and reduced mean summer temperatures (Tarasov et al., 2007; Tarasov et al., 2005), all while

ice sheet growth occurred in the Northern Hemisphere (Kukla et al., 2002).

457

456

### 458 5. Conclusions

459 Here we present the first application of  $\delta^{30}$ Si<sub>diatom</sub> in the palaeorecord at Lake Baikal and present it as a 460 proxy for both nutrient availability and demand over the Last Interglacial (MIS 5e). Overall, diatom 461 productivity is significantly higher in MIS 5e compared to the Holocene. In tandem with other published 462 productivity indicators from core CON-01-603-2, data point to an early interglacial stage of high DSi 463 demand by diatoms, although low nutrient conditions, in response to regional climate warming, 464 catchment vegetation and weathering regime changes. After c. 124 ka BP data suggest a move to higher 465 nutrient supply, although we attribute this to an increase in spring convective mixing based on overall 466 reconstructions of a stable Lake Baikal catchment (e.g. weathering indices and vegetation). We propose 467 complex within-lake conditions over the duration of MIS 5e, based on the variability in diatom nutrient 468 uptake and surface water nutrient availability (e.g. driven by changes in lake ice duration and turbulent 469 convective mixing). Unlike the earlier interpretative palaeoproductivity models based on BSi data alone, 470 we derive a more nuanced reconstruction highlighting that more caution should be taken to understand 471 fully the mechanisms at play during both inter- and intra-interglacial/glacial climates. This will better 472 inform the sensitivity and response of Lake Baikal to climate change both in the past and under future 473 anthropogenic and climate pressures.

474

475

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