

1 **Off-design performance modelling of a Solar Organic Rankine Cycle integrated**
2 **with pressurized hot water storage unit for community level application**

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9

10 **Abstract**

11 Solar organic Rankine cycle (ORC) has advantages over common PV systems in view of the
12 flexible operation even if solar radiation is unavailable. However, at present the dynamic
13 performance of solar ORC with respect to the off-design behaviour of storage unit, expander,
14 pump and heat exchanger is rarely reported. This paper investigates a medium-temperature
15 solar ORC system characterized by evacuated flat-plate collectors and pressurised water
16 storage unit. The main aim of the study is to investigate the performance of the system with
17 consideration of transient behaviour of the thermal storage unit which results in off-design
18 operation of other components. The other aim is adjusting the power output according to
19 electricity demand throughout a day. The heat storage unit is analysed using one-dimensional
20 temperature distribution model. A transient simulation model is developed including pump
21 and expander models. To meet the electrical demands of different periods, the mass flow rate
22 of heat source is adjusted for controlling the evaporation temperature. Moreover, sliding
23 pressure operation control strategy of the ORC is implemented to meet variable heat source
24 temperature. A 550 m² solar collector area and a 4 meters diameter and 7 meters height
25 pressurized water cylinder are used in simulation. Produced work is controlled and the results
26 are matched with the demands. Produced work from the expander under the given conditions
27 are 47.11 kWh in day time, 70.97 kWh in peak period and 31.59 kWh after midnight.

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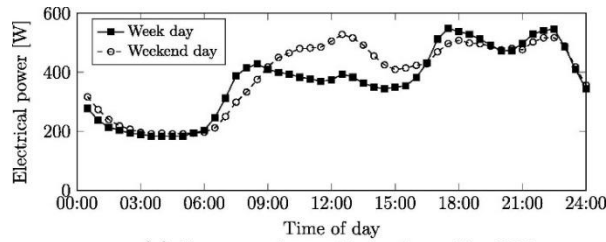
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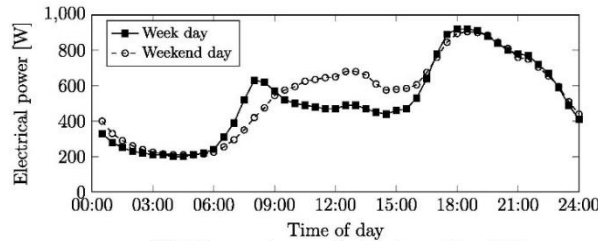
36 **1. Introduction**

37 Renewable energy technologies have received specific worldwide attention, especially in
38 developed countries. Although fossil fuels will undoubtedly remain the most dominant
39 energy source over the next decades, special attention must be given to the provision of
40 cleaner, more secure and sustainable energy sources, as strongly supported by public opinion.
41 This trend has established renewable technologies as a necessary participant in energy
42 production with an exponential growth in recent years in this sector. Solar energy has been
43 defined as one of the most promising type of renewable energy sources. Solar-based energy
44 systems are not only used for electricity generation but also applicable in various energy
45 demanding systems such as refrigeration, desalination, hydrogen production and
46 improvement of indoor environmental conditions [1].

47 In most parts of the world, electricity is the most important, sought after energy source for
48 residential consumers. Electricity can be easily converted to other energies and household
49 appliances need it in order to work. These factors make electricity the most demanded
50 energy. Electricity suppliers provide the demand but their supply is not stable during the day.
51 Previous studies have been conducted to specify and model the hourly demands [2],[3]. The
52 magnitude of this demand may differ from country to country but the general trend is quite
53 similar for all houses [4],[5]. Fig. 1 shows as an example of the 24h domestic electricity
54 demand of a dwelling in the UK [6]. To find a sustainable solution, PV cells have been used
55 for years and expected to have a significant share in the upcoming electric generation systems
56 [7]. However, as it is nature, electricity generation is intermitted with environmental factors
57 and it needs solar irradiance absolutely. As seen from Fig. 1, peak demand occurs in the
58 evening when there is no or significantly less residual solar irradiance. As a solution, the
59 electricity can be stored in Lithium batteries but these come at a substantial cost and
60 difficulty in quantifying its operational benefits for the grid [6],[8]. Therefore, storing the
61 heat in a medium which is collected by solar collectors, then using it as a heat source for the
62 ORC is appropriate given that ORC technology has in recent years become a promising
63 technology for converting heat into electricity [9].



(a) Summer domestic load profile, UK.



(b) Winter domestic load profile, UK.

Fig. 1. Domestic load profile in UK [6]

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67 Many works have been done on solar ORC, generally parabolic through collectors have been
 68 preferred: Wang et al. [10] examined the off-design behaviour of the solar ORC under
 69 variation of the environment temperature and thermal oil mass flow rates of vapour generator.
 70 They concluded lower environment temperature could improve the performance. Chacartegui
 71 et al. [11] analysed a 5MW parabolic trough plant with ORC power block and thermal
 72 storage. They presented off-design and cost analysis and findings indicate that the investment
 73 cost for direct thermal energy storage systems is a 17% lower than the investment cost for
 74 indirect storage system. Tzivanidis et al. [12] conducted a parametric analysis of a solar ORC
 75 plant by using parabolic trough collectors to be optimize the system according to energy and
 76 financial considerations. Their results suggest that increasing the total collecting area reduces
 77 the solar thermal efficiency. Also flat plate collectors have been used in solar ORC systems.
 78 Wang et al. [13] prepared an experimental rig to compare two collector types and they found
 79 overall power generation efficiency was 4.2% for evacuated solar collectors and about 3.2%
 80 for flat plate solar collectors. Wang et al. [14] studied a solar-driven regenerative solar ORC
 81 with flat plate collector to compare working fluids. Their results show that R245fa and R123
 82 are the most suitable working fluids due to higher system performance at low operation
 83 pressure. Freeman et al. [6] examined an integrated thermal energy storage for a domestic-
 84 scale solar combined heat and power system to match to the end-user demands by using
 85 evacuated flat plate collectors. They concluded that Phase Change Materials for latent
 86 thermal-energy storage were shown to provide a greater power-output from the system for a
 87 smaller equivalent storage volume than water.

88 Studies on the dynamic performance of solar ORC system are rare but in a fast rising trend
89 [15],[16],[17]. However, transient performance of solar ORC in comprehensive consideration
90 of the off-design behaviour of thermal storage unit, expander, pump and heat exchangers has
91 not been reported yet. It is still needed to clarify how flexible a solar ORC system can operate
92 and how it can fulfil the consumers' peak demand.

93 The objective of this paper is to provide a comprehensive model of the off-design analysis
94 based on fulfilment of end user demand during the day by controlling the operation
95 parameters. Several sub-models are included in the analysis:

- 96 • The ORC is modelled with consideration of the expander and pump behaviour
97 alongside variations in operating conditions, such as isentropic efficiencies and
98 working fluid mass flow rate.
- 99 • Sliding pressure operation strategy is implemented to allow and control the electricity
100 production under varying heat source temperature.
- 101 • Transient heat storage unit is modelled with considering the thermocline behaviour. It
102 is analysed using a one-dimensional temperature distribution model.
- 103 • To satisfy the electricity demand and conserve the heat in the storage, mass flow rate
104 of water is controlled at different periods. Therefore, the system operates and is
105 analysed at off-design conditions.

106

107 **2. System description**

108 The examined system in this study is shown in Fig. 2. The system is comprised of three sub-
109 systems, namely, the collectors, water storage tank and ORC block. The collectors, storage
110 medium and expander were carefully selected on the following basis:

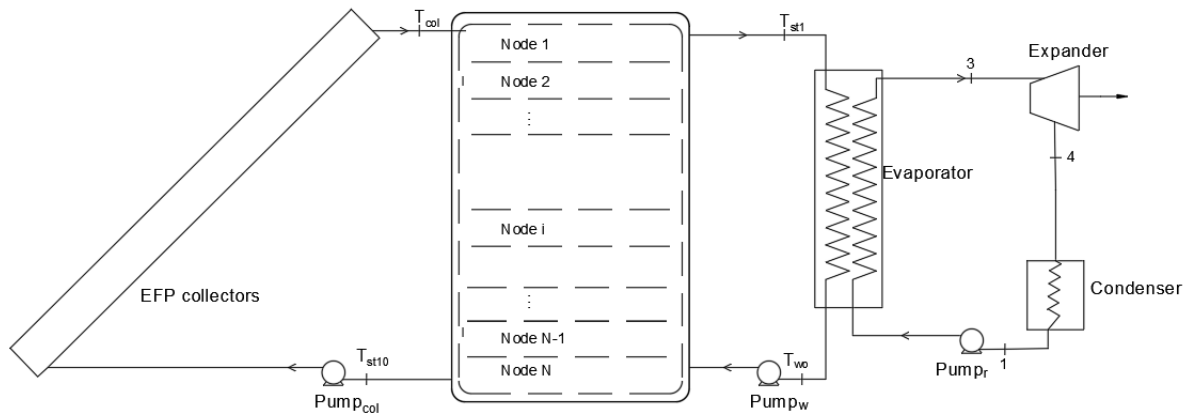
111 Evacuated flat plate collectors are chosen for heat collection. Using evacuated flat plate
112 collectors has advantages over other types of collectors, for example, parabolic trough
113 collectors in power generation plants. They do not need a sun tracking system and evacuated
114 types can be used not only in countries where direct beam is available, but also on a grand
115 scale. Their performance is quite good even under the conditions of low radiation and low
116 ambient temperature compared to conventional flat plate collectors so there is a potential for
117 use in winter. Therefore, evacuated type collectors are a good candidate for the power
118 generation plants with a storage unit.

119 In large scale solar thermal electricity generation systems, there are many alternative
120 materials for thermal storage, namely, molten salts, thermal oils and water. It is suggested
121 that molten salt is the best choice for thermal storage in high temperature operations ($>400^{\circ}\text{C}$)
122 [18]. Thermal oil is also promising in the temperature range between 300°C and 400°C , for
123 lower operating temperature, water can be properly used because water has good thermal
124 properties and has a much lower cost compared to other fluids [19]. In the present study, the
125 temperature range of the operation which is below 150°C makes water a proper storage
126 media. The working fluid which is pressurized water remains in liquid phase in all cases
127 while operating with 5 bar pressure [20].

128 Working fluid in the ORC plays an important role because it is related to thermal
129 performance and economics of the power plant. A number of researchers studied the effect of
130 the working fluid selection on system performance [21],[22]. R245fa is a very common and
131 effective working fluid for low temperature solar systems according to some theoretical
132 analyses. Its performance has been investigated especially in small scale systems with
133 commonly using a scroll expander [9],[23], [24].

134 The scroll type expander was selected as an expansion device in the present study because it
135 is particularly well adapted to small-scale Rankine cycle applications that are lower than 25
136 kWe power output. Also, it offers major advantages such as low rotational speeds, reliability
137 and robustness (less number of moving parts), and the ability to handle high pressure ratio
138 [25].

139 Fig. 2 illustrates the examined system. High performance evacuated flat-plate collectors are
140 used for heating the water which comes from the bottom of the tank (T_{st10}) by converting
141 solar radiation to heat and filling the tank to the topside (T_{col}). In Section 4.1, the equations
142 and specifications of collectors are given. Water is used as the heat transfer fluid instead of
143 thermal oil because of its more favourable thermal properties and its ability to be directly
144 discharged into the tank without heat exchangers. The water storage tank has two inlet and
145 two outlet; usage of these ports depends on the working periods, the analysis and related
146 equations as given in Section 4.2. Lastly, the ORC block working principle is clarified in
147 Section 4.3.



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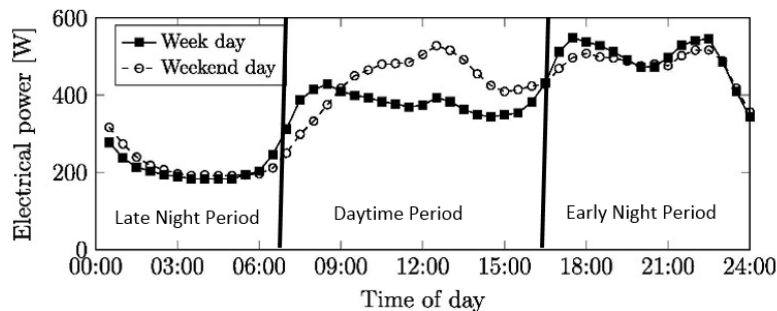
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Fig. 2. Schematic view of the system

150

151 3. Methodology

152 The examined system in this study should provide the required average electricity needed for
 153 an average house in a small community. According to the reference [6], 24h of a day are
 154 divided into three different time periods in the present study, as shown in Fig. 3. By including
 155 the electricity generator efficiency, the approximate required work outputs per house should
 156 be minimum 0.5 kW for the day time, 0.75 kW for the early night and 0.3 kW for the late
 157 night period. The peak energy demand is observed in the early night period so the design
 158 conditions of the system are selected by considering the higher electricity demand. The
 159 relevant explanations will be given in Section 5.1.



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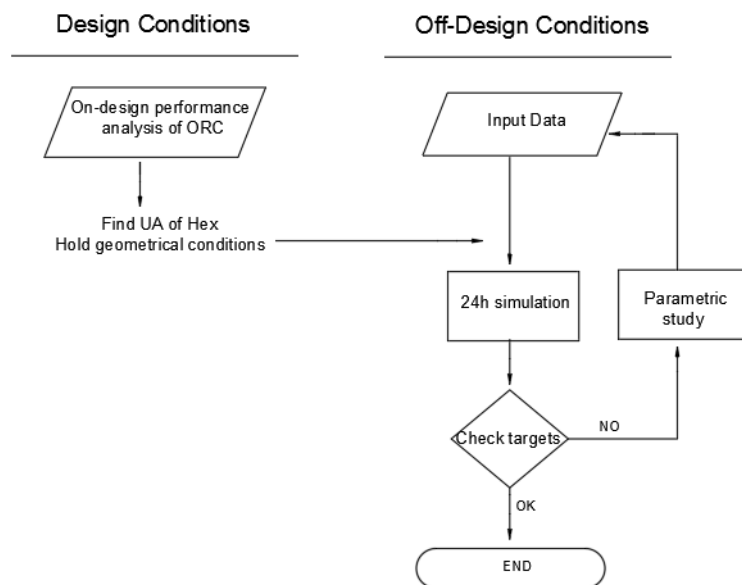
Fig. 3. Three periods in a day [6]

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163 In this paper, firstly the ORC working conditions will be determined using design parameters
 164 subjected to performance characteristics of the expander [26]. Condensing temperature can be

165 found by ambient temperature but evaporating temperature depends completely on heat
 166 source temperature, so analysis should be conducted with heat source temperature which is
 167 not constant during the day (charging and discharging). The effect of variation of heat source
 168 temperature requires some control methods in the model, as the ORC alone cannot prevent
 169 the unstable trend. According to conventional Rankine cycles, there are two types of
 170 operating control strategies suggested in the literature, namely, constant pressure and sliding
 171 pressure operations. Hu et al. [27] explained and compared the control strategies in their
 172 paper. Fu et al. [28] investigated the effect of heat source temperature on the system
 173 performance by using sliding pressure operation strategy. They considered economizer
 174 performance which only includes single phase heat transfer. However, in the present study,
 175 the evaporator is also taken into consideration to determine evaporating temperature of the
 176 ORC.

177 Fig. 4 shows the outline of the processes in this paper. A general methodology of the analysis
 178 of off-design performance is implemented [29]. As a first step, the ORC is designed for on-
 179 design conditions. Since the most critical and higher electricity requiring period is at early
 180 night, design of the heat exchangers will be conducted according to this period. Then off-
 181 design performance will be investigated for other periods by using previously dimensioned
 182 heat exchangers. Lastly, parametric study will be conducted to determine proper water
 183 storage tank size and number of collectors.



184

185

Fig. 4. Flowchart of processes in the paper

186

187 **4. Mathematical modelling**

188 **4.1. Solar collector modelling**

189 The solar collector chosen for this study is the TVP SOLAR HT-Power, high efficiency
190 evacuated flat plate collector, already evaluated for its potential in ORC systems by Freeman
191 et.al [6], [22] and Calise et.al [30]. In the aforementioned studies diathermic oil was used as
192 a working fluid, however, in this study pressurized water is used as a heat transfer fluid for
193 reasons explained in previous sections. Modelling of the evacuated flat-plate collector
194 follows the same assumptions as in the reference [22], so the efficiency of the solar collector
195 can be given by:

$$\eta_c = \eta_0 \cdot K_\theta - c_1 \frac{\bar{T} - T_{am}}{G} - c_2 \frac{(\bar{T} - T_{am})^2}{G} \quad (1)$$

196

197 Where the collector parameters are taken from [30] which are $\eta_0=0.82$, $K_\theta=0.91$, $c_1=0.399$,
198 $c_2=0.0067$. η_0 is solar collector zero-loss efficiency, K_θ is incident angle modifier, c_1 and c_2
199 are collector heat loss coefficients. The quantity of solar radiation absorbed by the collector
200 array is equal to the enthalpy increase of the working fluid:

$$\dot{Q}_{col} = \eta_{col} \cdot A_{col} \cdot G = \dot{m}_{cw} \cdot c_{pcw} \cdot (T_{col} - T_{stN}) \quad (2)$$

201

202 Where t_{col} and t_{stN} indicate the collector outlet water temperature and water return
203 temperature from the tank, respectively.

204

205 **4.2. Water storage tank modelling**

206 Solar collectors are coupled with the water storage tank and its modelling is described in this
207 section. One of the important components in the system is the water storage tank because it is
208 used as the heat source for the ORC. Its energy capacity, which is related to its volume,
209 determines the energy storage level in the system and affects the temperature gradient of the
210 tank. A number of studies have investigated the thermal stratification in water storage tanks
211 and have analysed from 1D to 3D models [31]. Generally, 1D models have used
212 experimentally or CFD based correction factors. So in the present study, as the most

213 acceptable approach, the isothermal mixing zone methodology is used for simulations. The
 214 cylinder volume is divided into a number of equal elements to obtain temperature distribution
 215 in the storage tank [32], and the node of each element can be seen in Fig. 2. In every control
 216 volume, an energy balance equation can be written considering the heat loss to the
 217 environment. By solving all the energy balance equations simultaneously, temperature
 218 distribution inside the tank can be determined. The following equations give the energy
 219 balances. These equations have already been used in previous studies [33],[34],[35]. Further,
 220 in this study ten node mixing zones are used. Eq. (3) is the energy balance for the first node,
 221 Eq. (4) is the energy balance for the internal node ‘‘i’’ and Eq. (5) for the last node.

$$M_{st1} \cdot c_{pw} \cdot \frac{\partial T_{st1}}{\partial t} = \dot{m}_{cw} \cdot c_{pcw} \cdot (T_{col} - T_{st1}) + \dot{m}_w \cdot c_{pw} \cdot (T_{st2} - T_{st1}) - U_t \cdot A_{st1} \cdot (T_{st1} - T_{am}) \quad (3)$$

222

$$M_{st(i)} \cdot c_{pw} \cdot \frac{\partial T_{st(i)}}{\partial t} = \dot{m}_{cw} \cdot c_{pcw} \cdot (T_{st(i-1)} - T_{st(i)}) + \dot{m}_w \cdot c_{pw} \cdot (T_{st(i+1)} - T_{st(i)}) - U_t \cdot A_{st(i)} \cdot (T_{st(i)} - T_{am}) \quad (4)$$

223

$$M_{stN} \cdot c_{pw} \cdot \frac{\partial T_{stN}}{\partial t} = \dot{m}_{cw} \cdot c_{pcw} \cdot (T_{st(N-1)} - T_{stN}) + \dot{m}_w \cdot c_{pw} \cdot (T_{wo} - T_{stN}) - U_t \cdot A_{stN} \cdot (T_{stN} - T_{am}) \quad (5)$$

224

225 Where \dot{m}_{cw} and \dot{m}_w indicate water mass flowrate coming from collector and evaporator
 226 respectively. T_{wo} is the water temperature coming from the evaporator to the tank bottom
 227 node. U_t indicates the thermal loss coefficient of the well-insulated tank as $0.8 \text{ W m}^{-2}\text{K}^{-1}$ [35].
 228 The tank has a cylindrical shape with diameter d_{st} and height L , and the outer areas of nodes
 229 are given in equations as below:

$$A_{st1} = \frac{\pi d_{st}^2}{4} + \frac{\pi d_{st} L}{N} \quad (6)$$

$$A_{st(i)} = \frac{\pi d_{st} L}{N} \quad (7)$$

230 and the last node:

$$A_{stN} = \frac{\pi d_{st}^2}{4} + \frac{\pi d_{st} L}{N} \quad (8)$$

231 The static mode of the storage tank means there are no external forced flows entering or
 232 leaving the tank. Therefore, conduction heat transfer between the nodes should be considered.
 233 Heat loss to the environment also creates thermal stratification in the tank, as fluids near the
 234 wall are cooled due to heat loss and these lower temperature fluids, which have lower
 235 density, go through the bottom of the tank. This phenomenon has been previously studied by
 236 other researchers [36], [37]. Armstrong et.al [38] investigated the influence of the wall
 237 material specification on de-stratification and showed that thermal conduction of the wall
 238 material has a strong influence on this. Cruickshank et.al [37] formulated the energy balance
 239 equation when there are no flows entering or exiting the tank:

240

$$\begin{aligned} M_{st(i)} \cdot c_{pw} \cdot \frac{\partial T_{st(i)}}{\partial t} & \quad (9) \\ & = \frac{(k + \Delta k) A_{c(i)}}{\Delta x_{i+1 \rightarrow i}} \cdot (T_{st(i+1)} - T_{st(i)}) + \frac{(k + \Delta k) A_{c(i)}}{\Delta x_{i-1 \rightarrow i}} \cdot T_{st(i-1)} - T_{st(i)} - U_t \cdot A_{st(i)} \\ & \quad \cdot (T_{st(i)} - T_{am}) \end{aligned}$$

241

242 Where $\Delta x_{i+1 \rightarrow i}$ and $\Delta x_{i-1 \rightarrow i}$ are a center-to-center distance between nodes, k and Δk are the
 243 thermal conductivity of water and the de-stratification conductivity. Newton [39] derived
 244 empirically of this conduction term Δk using tank wall lateral area A_{lwall} :

245

$$\Delta k = k_{wall} + \frac{A_{lwall}}{A_{c(i)}} \quad (10)$$

246

247 **4.3. Organic Rankine cycle**

248 The organic Rankine cycle (ORC) mainly consists of refrigerant pump, evaporator, expander
 249 and condenser. The system schematic can be seen in Fig. 2. The refrigerant enters the pump
 250 as a saturated liquid '1' at condensing pressure, then its pressure is increased by pump to the

251 evaporating pressure level '2'. Evaporating pressure depends on the heat source temperature
 252 and the ORC working strategy which will be explained in Section 4.4. Next component of the
 253 ORC is evaporator where the heat is supplied from the water storage, at the outlet of the
 254 evaporator, the fluid phase is saturated vapour '3'. Then it goes into the expander. The
 255 expander produces work and decreases fluid pressure to condensing pressure and finally, the
 256 refrigerant enters the condenser at point '4'. To indicate the state points, a T-s diagram of the
 257 ORC cycle is given in Fig. 5. In following subsections, every component of the ORC is
 258 modelled to simulate system with varying conditions.

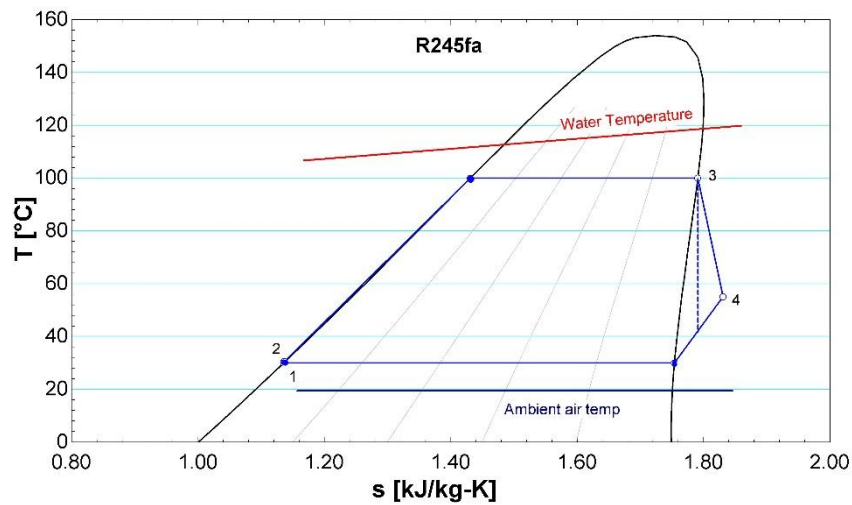


Fig. 5. T-s diagram of ORC

4.3.1. ORC pump modelling

The pump isentropic efficiency is not constant as the discharge pressure and mass flow rate vary with evaporation temperature. Quoilin et al [40] have used some empirical equations for modelling their dynamic ORC system. The same equations are followed, so the isentropic pump efficiency is defined as Eq. (11) and the pump empirical equation is Eq. (12)

$$\eta_{pump} = \frac{h_{2s} - h_1}{h_2 - h_1} \quad (11)$$

$$\eta_{pump} = a_0 + a_1 \cdot \log(X_p) + a_2 \log(X_p)^2 + a_3 \log(X_p)^3 \quad (12)$$

X_p is the pump capacity fraction, which is given by:

$$X_p = \frac{\vartheta_{su} \cdot \dot{m}_r}{\dot{V}_{su,p,max}} \quad (13)$$

269 The overall pump efficiency is therefore:

270

$$\eta_{overall} = \eta_{me,p} \cdot \eta_{pump} \quad (14)$$

271 The relevant parameters in Eqs. (12), (13) and (14) are listed in Table 1.

272 Table 1. Pump model parameters

$\dot{V}_{su,p,max}$	0.25 l/s	$\eta_{me,p}$	0.9
a_0	0.93	a_1	-0.11
a_2	-0.2	a_3	-0.06

273

274 **4.3.2. Expander modelling**

275 The expander is the most critical component in low-capacity ORC systems. In this study, a
 276 scroll type expander was decided to use. According to the literature search, there are some
 277 models are available from several applications. An air scroll expander is selected and
 278 empirical equations taken from ref. [23] were used. In selected study, empirical equations
 279 depend on three parameters: inlet pressure of the expander, pressure ratio and rotational
 280 speed. To obtain a generic non-dimensional performance curve of the expander, input
 281 variables were carefully selected by the authors, and only ambient heat losses were
 282 disregarded. According to the expander model, isentropic efficiency and filling factor are
 283 defined in Eq. (15) and Eq. (16).

$$\eta_{exp} = \frac{\dot{W}_{shaft}}{\dot{m}_r(h_{su} - h_{ex,s})} \quad (15)$$

284

$$\phi = \frac{\dot{m}_r v_{su}}{\dot{V}_s} \quad (16)$$

285

286 With three parameters, isentropic efficiency and filling factor can be found from empirical
 287 expressions[23]:

$$\eta_{exp} = y_{max} \cdot \sin \left(\xi \cdot \arctan \left(B \cdot (r_p - r_{p,0}) - E \cdot \left(B \cdot (r_p - r_{p,0}) - \arctan \left(B \cdot (r_p - r_{p,0}) \right) \right) \right) \right) \quad (17)$$

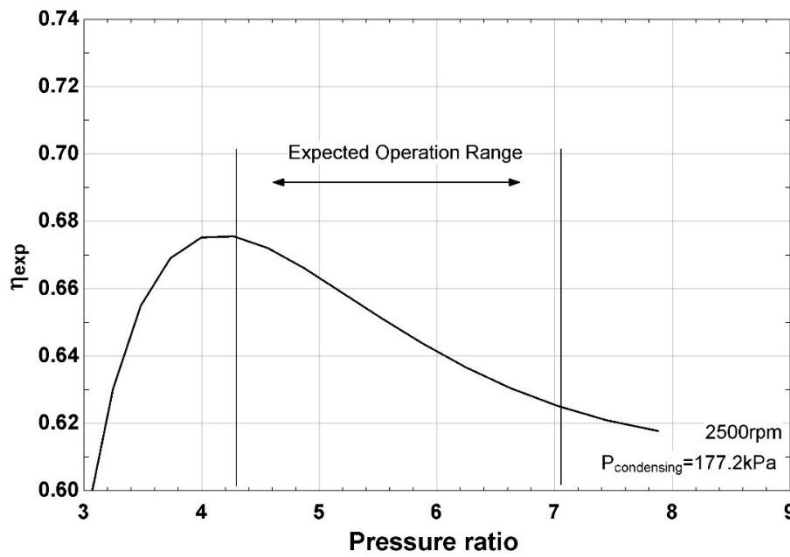
$$\phi = \phi_n - b_0 \cdot \ln \left(\frac{N_{rot}}{3000} \right) + b_1 \cdot r_p^* + b_2 \cdot p^* \quad (18)$$

$$B = \frac{\delta}{\xi y_{max}} \quad (19)$$

$$E = \frac{B \cdot (r_p - r_{p,0}) - \tan \left(\frac{\pi}{2\xi} \right)}{B \cdot (r_p - r_{p,0}) - \arctan \left(B \cdot (r_p - r_{p,0}) \right)} \quad (20)$$

288

289 Where each of the parameters can be expressed as a polynomial function of the non-
 290 dimensional rotational speed and pressure [41]. Explanations of the parameters and constants
 291 and derivation of equations can be taken from the given ref. [23]. Fig. 6 gives the expander
 292 efficiency variation with pressure ratio for the given conditions. It is seen that pressure ratio
 293 between the expander inlet and outlet has an influence on expander isentropic efficiency. The
 294 condensing pressure or temperature is related with the ambient temperature so environmental
 295 changes also affect the system performance. However, in this study, it is taken as constant
 296 condensing temperature at 30°C, which will be explained in Section 5.1. Expander
 297 performance depends on the evaporating pressure which is related with the temperature of
 298 heat source. In order to obtain higher performance from the expander, the evaporating
 299 temperature is controlled between 80°C and 100°C. The expected working range of the
 300 expander under given conditions is also shown in Fig. 6.



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Fig. 6. Expander efficiency curve

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4.4. Heat exchanger modelling and control strategy

In the ORC block, two heat exchangers are used for different purposes. For neglecting pressure losses and making the study more practical, double pipe heat exchangers are selected for this study, as chosen by various other authors [40], [42], [43] for the same reasons. To find the effectiveness of the heat exchangers, the effectiveness-NTU method was implemented in the analysis. Some equations are used [44],[45],[46] to find heat transfer coefficients for single and two phase states in the literature. This study uses Gnielinski equation where the fluids exist in a single state (liquid water, pure liquid and pure vapor R245fa), as given in Eqs. (21) and (22), which are used and defined in Ref.[47] for turbulent flow.

$$h = \frac{\left(\frac{f}{8}\right)(Re - 1000)Pr}{1 + 12.7\left(\frac{f}{8}\right)^{0.2}(Pr^{2/3} - 1)}\left(\frac{k}{d}\right) \quad (21)$$

$$f = (0.79 \ln Re - 1.64)^{-2} \quad (22)$$

When boiling of the refrigerant R245fa takes place, fluid is in two-phase state (saturated mixture). For boiling in the evaporator, the Kenning-Cooper correlation in Eq. (23) is used as given by Sun and Mishima [48] based on their findings.

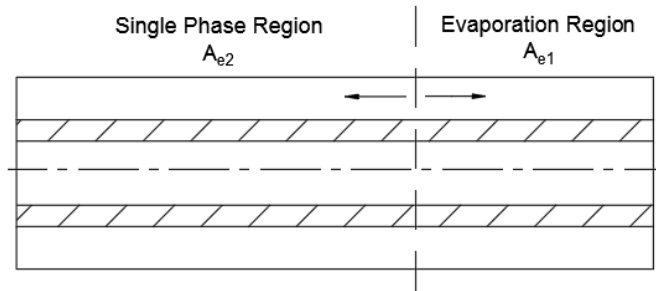
$$h_b = [1 + 1.8X^{-0.87}]0.023Re_l^{0.8}Pr_l^{0.48}(k/d) \quad (23)$$

Where X is the Martinelli factor which is given from vapour quality x:

$$X = \left(\frac{1-x}{x}\right)^{0.9} \left(\frac{\rho_v}{\rho_l}\right)^{0.5} \left(\frac{\mu_l}{\mu_v}\right)^{0.1} \quad (24)$$

322

323 The most important heat exchanger unit in the ORC block is the evaporator. The pressure
 324 control strategy is closely related to adjusting the evaporation temperature. The evaporator
 325 includes two regions in one exchanger such as single phase and evaporating regions. The
 326 refrigerant temperature is increased to the desired level in the single phase region and then
 327 the phase is changed into saturated vapour in the evaporating region. A schematic view of the
 328 evaporator is given in Fig. 7. In off-design operation, total length of the evaporator has to be
 329 constant but regions may differ according to heat source conditions. The evaporator uses hot
 330 water flow from the heat storage tank as a heat source. This means the source temperature
 331 cannot stand constant because the storage tank temperature will fall during the operation
 332 period. To calculate the evaporating temperature when heat source temperature varies, the
 333 sliding pressure control method is used in analyses.



334

335 Fig. 7. Schematic of evaporator single and two-phase regions boundary

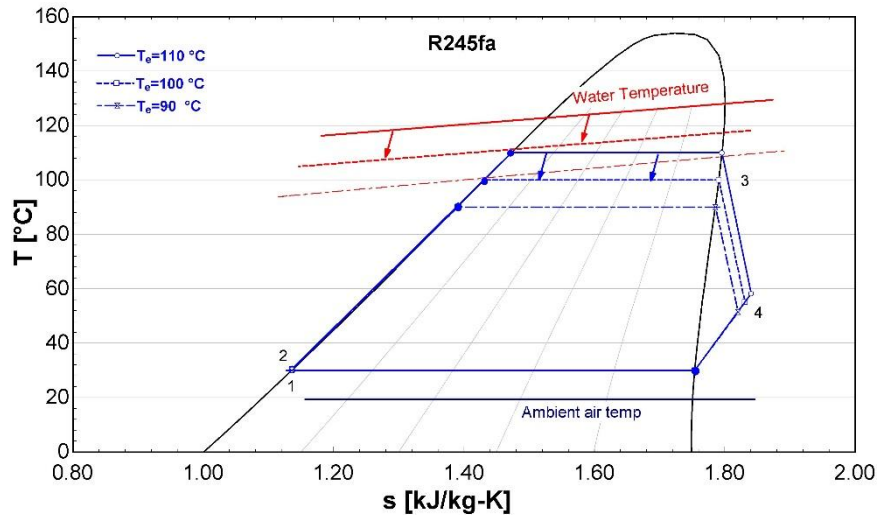
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337 The T-s diagram related with variable evaporation temperature is given in Fig. 8. Variation of
 338 evaporation temperature results with variable work output of the expander. It also effects the
 339 rejected heat from the heat source so it will be used in analysis to get balanced energy
 340 conversion with providing energy demands. Once the dimensions of the evaporator are
 341 determined according to design conditions, sliding pressure control procedure is applied to
 342 find the evaporating temperature in off-design conditions. This control strategy follows; area
 343 of the evaporating region and evaporating temperature are assumed by the user. The heat
 344 transfer coefficients are found according to given conditions then the effectiveness-NTU
 345 method is applied into the evaporating region until a proper evaporating temperature is found.
 346 Proper temperature is found by comparing the assumed parameters' effectiveness and new
 347 effectiveness. Difference between assumed and calculated values is continues to iteration
 348 until difference would be smaller than a certain value. After satisfying the evaporating side,
 349 the area of the single phase region is found. The same procedure is followed and if the state is

350 not convincing the loop returns to beginning and the area of the evaporation region is altered.

351 The related flow chart showing the procedure is given in Fig. 9.

352



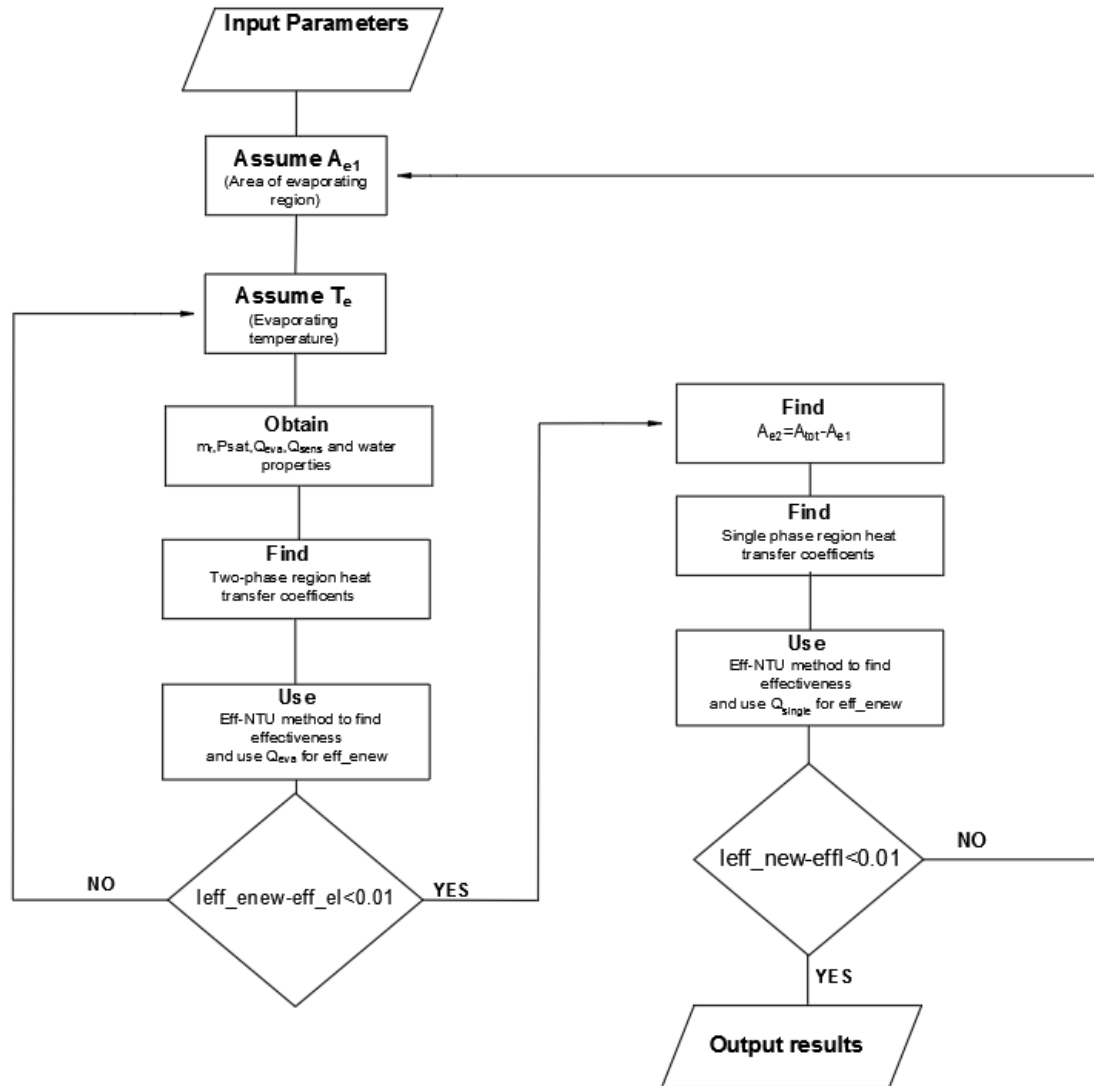
353

Fig. 8. Sliding pressure operation

354

355

356 The other heat exchanger device in the ORC block is condenser. It is used for rejecting heat
357 from refrigerant to the environment; water or air cooled condensers are available in the
358 literature but in this study the air cooled condenser was used because small scale solar
359 cogeneration system condensing loads are not at high levels, and also using the air cooled
360 condenser is more practical. The heat load of the condenser depends on the inlet condition of
361 the refrigerant it also depends on evaporating temperature, expander efficiency. However,
362 performance investigation of the condenser is not within the scope of this study because heat
363 load can be easily adjustable by fan speeds.



364

365

Fig. 9. Flow chart of off-design sliding pressure operation

366

367 5. Results and discussions

368

In the analysis, Engineering Equation Solver (EES) was used for obtaining the thermal
 369 properties of the fluids. Regarding the following methodology of the transient states, the
 370 initial temperatures in all subsystems with the exception of node temperatures in the tank,
 371 have been set as equal to the ambient temperature. The equations given in Section 4.2 are
 372 used in the developed program which is written in the software MATLAB. The differential
 373 items in the storage tank modelling are discretized according to Eq. (25). This method solves
 374 the quasi-steady problem in every time step and time interval is selected as 1 minute. In every

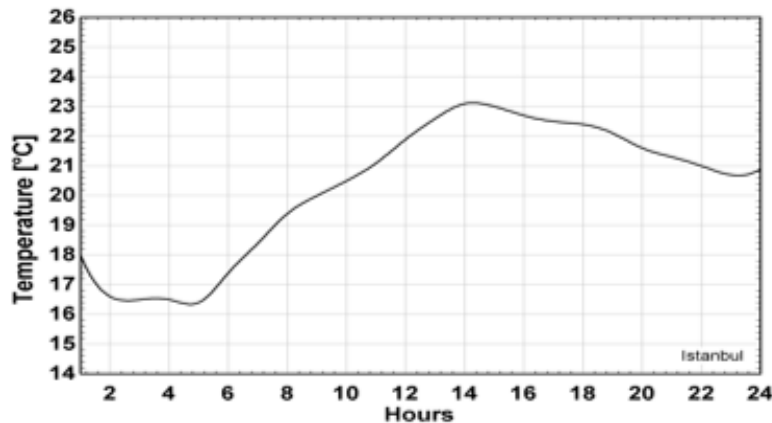
375 time step, the produced work output, mass flow rates, fluid temperatures and available solar
376 energy are calculated to assess the performance.

$$\frac{\partial T_{st}}{\partial t} = \frac{T_{st}^{t+\Delta t} - T_{st}^t}{\Delta t} \quad (25)$$

377

378 **5.1. Design conditions of ORC**

379 In order to evaluate the system performance, firstly, design conditions need to be determined.
380 Since condensing temperature depends on the ambient temperature in air cooled condenser,
381 the ambient air temperature has an influence on the design conditions selection. Fig. 10
382 shows hourly ambient air temperature variation during a typical day in June in Istanbul. The
383 ambient temperature has a slight variation during the day and mean temperature is around
384 20°C. Therefore, the condensing temperature is selected as 30°C. According to the
385 specifications of the selected expander model with constant condensing temperature, the
386 ORC behaviour, by varying evaporating temperature is presented in Fig. 11. Since the heat
387 storage unit is a finite source, it is important to select the matched requirements according to
388 Fig. 3 as a design point for avoiding excessive consumption of this finite source.



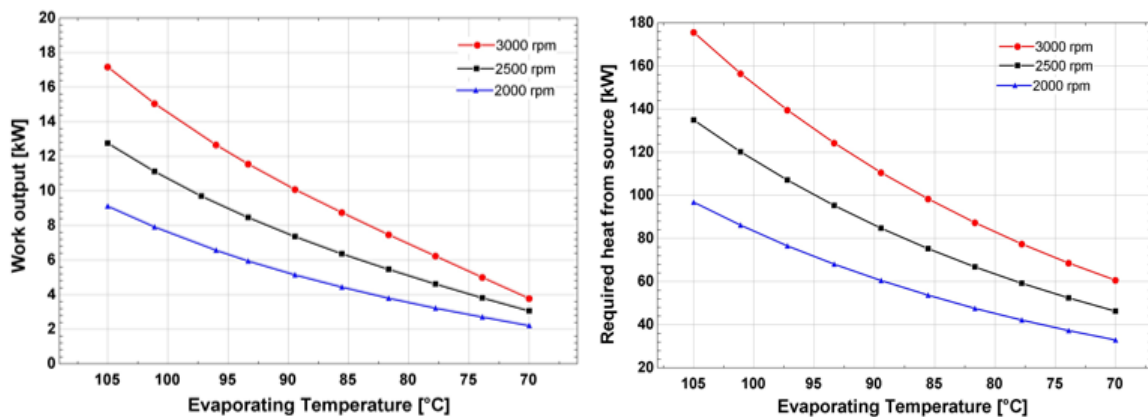
389

390 Fig. 10. Ambient temperature variation profile in Istanbul

391

392 After selecting the design condensation temperature of 30°C, the design evaporation
393 temperature should be corresponding to the built-in pressure ratio. However, the electricity
394 demand needs to be considered for the peak period so the expander needs to operate at higher
395 pressure ratio. Due to characteristic of the scroll expander, operation at higher pressure ratio

396 has a slightly lower performance than operation at built-in ratio. It can be acceptable because
 397 peak period covers only 30% of the day, at the rest of the day, the expander operates with
 398 high performance. To meet the electricity demand for twelve dwellings at early night period,
 399 the expander speed is selected as 2500 rpm and evaporating temperature as 96 °C. As a result
 400 of these selections, work output and extracted heat from the water tank are expected to be 9.3
 401 kW and 103.2 kW, respectively. Given these conditions the evaporator needs to be
 402 dimensioned to predict the performance in all day simulation which refers to off-design
 403 conditions. The temperature of the water tank will go down by time, especially at night, and
 404 as a result the heat source temperature will not be constant. The heat exchanger has been
 405 designed using Eqs. (21)-(24) on which Fig. 12 has been based. It shows total length of the
 406 evaporator is dependent upon design inlet temperature and this length increases with lowering
 407 of the temperature. It should, however, be noted that these plots are drawn for 96 °C of
 408 evaporating temperature and when water inlet and evaporating temperatures approach, the
 409 required length of the heat exchanger will normally increase. It is expected that the heat
 410 source temperature which is the water tank temperature is around 130 – 100 °C during
 411 operating times, thus, water inlet temperature is selected as 110 °C for design conditions.

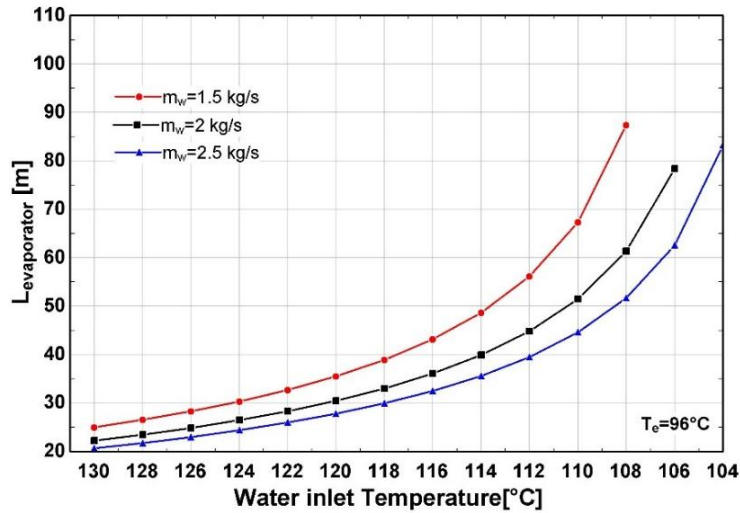


412
 413 Fig. 11. Effect of evaporating temperature on work output and required heat for evaporation
 414

415 The other parameter affecting the evaporator length is the mass flow rate of the water. A
 416 higher mass flow rate has the positive effect of shrinking the dimensions. However, it should
 417 be considered that higher mass flow rates can destroy the thermocline in the water storage
 418 tank. Therefore, water mass flow rate is selected as 2 kg/s as a design parameter which leads
 419 to an evaporator of 51 m. The effect of water mass flow rate on the system performance will

420 be discussed in detail in a later section. Selected design parameters are summarised in Table
 421 2.

422



423

424 Fig. 12. Variation of the design length of the evaporator with water inlet temperature

425

426 Table 2. Selected design conditions

Work output: 9.5 kW	Heat from source: 103.2 kW
Evaporating temperature: 96 °C	Expander speed: 2500 RPM
Condensing Temperature: 30 °C	m_w : 2 kg/s
Water inlet Temperature: 110°C	Evaporator length: 51 m
Evaporator water side, d_o : 0.3 m	Evaporator refrigerant side, d_i : 0.012 m

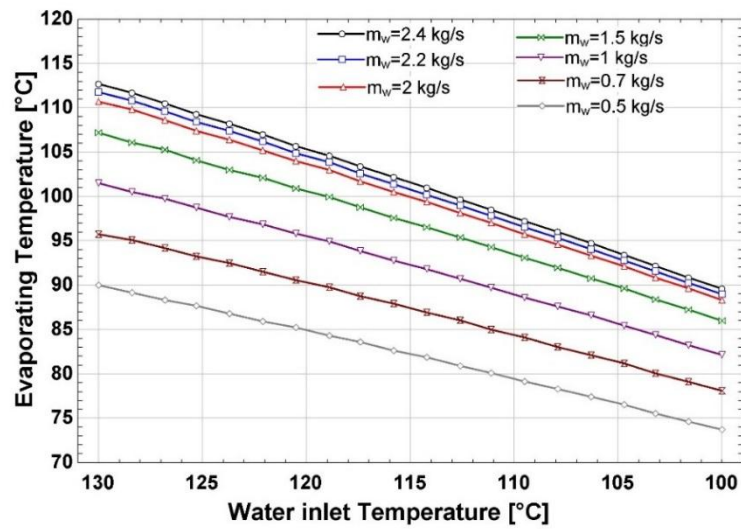
427

428 5.2. Off-design conditions

429 Before simulating the whole system, the reaction of the heat exchangers when the system
 430 operates at off-design conditions is investigated. Firstly, the effect of water inlet temperature
 431 originating from top of the tank needs to be analysed. Furthermore, its effect also depends on
 432 mass flow rate. Fig. 13 shows the effect of the water inlet on evaporating temperature with
 433 various mass flow rates. And this analysis originates the controlling the power output
 434 methodology. Since the design conditions are 96°C and 110 °C of evaporating and water inlet
 435 temperatures, respectively, the heat exchanger has been dimensioned to satisfy these
 436 conditions. Sliding pressure operation control strategy is applied according to the flow chart
 437 in Fig. 9. This method is also applied in order to compare different water mass flow rates. It
 438 is observed that the evaporating temperature decreases when using lower water mass flow

439 rate. The lower evaporating temperature yields both lower work output and lower extracted
 440 heat from the finite source. Fig. 14 shows the effect of the water inlet temperature on work
 441 output and ORC thermal efficiency. The ORC thermal efficiency has an important influence
 442 on the system metrics and as such, should be considered in the off-design performance.
 443 However, in this case, conservation of stored heat is important for early night period
 444 operation. A mass flow rate of 2 kg/s has a higher work output and efficiency but using this
 445 flow results in more extracted heat from the source. Therefore, a mass flow rate of 0.5 kg/s
 446 can be selected for day time and late night periods. It is seen from Fig. 14 that 0.5 kg/s mass
 447 flow rate is proper to fulfil the demand when the inlet temperature is between 120°C and
 448 105°C.

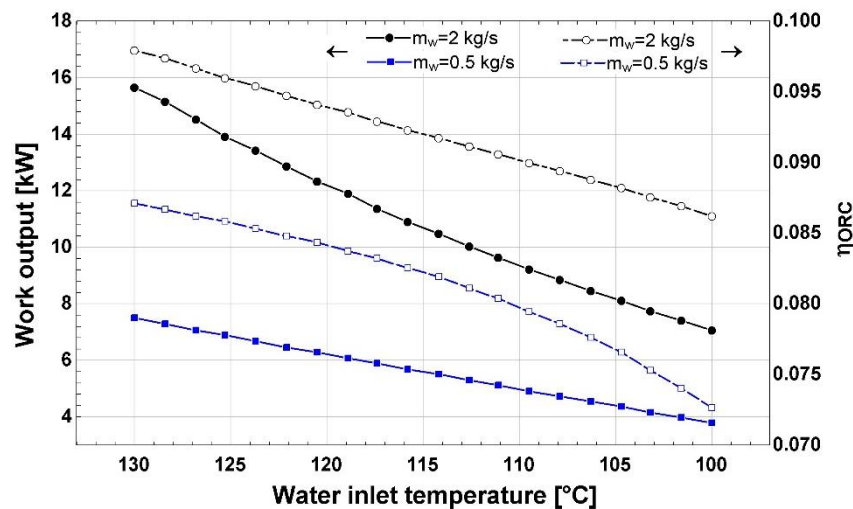
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450

451 Fig. 13. Effect of water inlet temperature on evaporating temperature at different water mass
 452 flow rates.

453

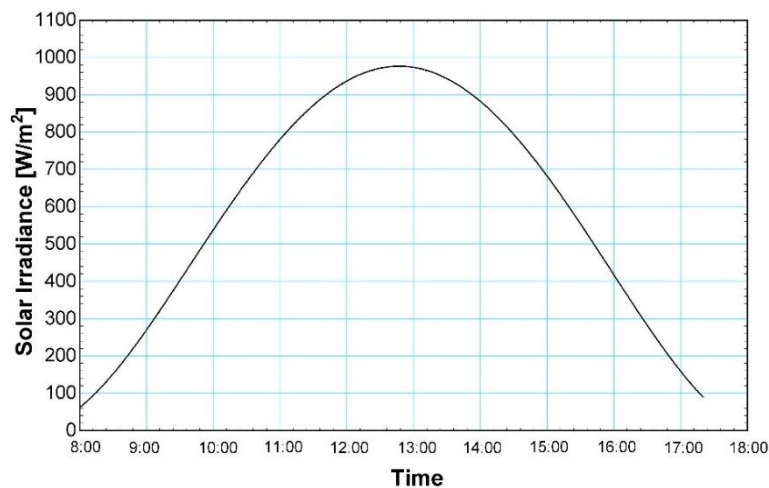


454

455 Fig. 14. Effect of water inlet temperature on work output and thermal ORC efficiency for
456 $m_w=2$ kg/s and $m_w=0.5$ kg/s

457 5.3. Daily performance simulations

458 In order to provide a performance assessment of the system, solar collector array and heat
459 storage dimensions needs to be determined. The system is simulated for a clear day, relatively
460 good solar irradiance but shorter day time which is presented in Fig. 15. The present system
461 is analysed for a small community level application; it is chosen for twelve dwellings, so the
462 area of solar collectors can be selected between 400 m^2 and 600 m^2 . To observe good results
463 550 m^2 is chosen, which equates to 300 collectors. Electricity demand reaches peak level in
464 early night period and this peak demand claims approximately 10 kW output for 7 hours.
465 Therefore, the system requires quite a large heat storage unit. According to a preliminarily
466 assessment of the system, pressurized water tank volume should be higher than 70 m^3 . Since
467 thermocline phenomena is considered in the present model, dimensions of the pressurized
468 tank have an influence on the performance. Whilst thermocline is affected by many factors,
469 this study only considers the one-dimensional temperature distribution model. The storage
470 tank is selected as a cylinder with a diameter of 4 meter and height of 7 meter.



471

472 Fig. 15. Irradiance profile during a selected day

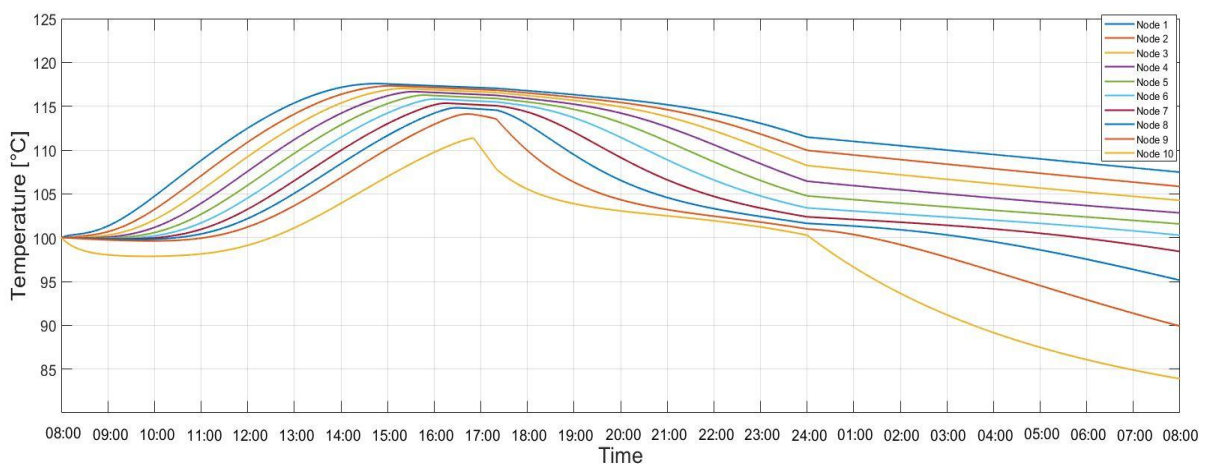
473

474 The system operation is based on the following strategy: day time period starts at 08:00, the
475 collector pump runs and solar heat is stored in the tank, meanwhile the ORC produces
476 electricity. Collector water mass flow rate is selected as 0.02 kg/s per collector and is taken
477 from the data sheet and the total mass flow rate poured into the tank is determined by the

478 number of collectors. The ORC side water mass flow rate is chosen as 0.5 kg/s to match
 479 electricity demand in the designed heat exchanger. Moreover, this prevents excessive use of
 480 the heat source. Day time period ends at 17:15 when solar irradiance is not sufficient and
 481 peak demand period starts. This period covers the main target of the study and ends at 24:00.
 482 Only ORC works and water mass flow rate are set at 2.4 kg/s to satisfy the excessive demand
 483 by reaching higher evaporation temperature. The last period is late night period from 24:00 to
 484 08:00. During this period, the water mass flow rate is switched to 0.5 kg/s again as
 485 production of a high amount of electricity is not required.

486 According to Fig. 13 and Fig. 14, it is expected that the tank temperature, especially the first
 487 node temperature, should be higher than 100°C both to provide the required production and to
 488 avoid low expander performance. Otherwise, the performance of the expander will be
 489 degraded significantly, as shown by the characteristic curve in Fig. 6. Therefore, initial tank
 490 temperature is selected as 100°C for simulations. One of the important aspect is selection of
 491 on-off criterion. To provide operation at the same conditions for other days the stop criterion
 492 has to be defined. The late night period production can be dispensable to conserve the stored
 493 heat in the tank for next day. It is found that when stop criterion is assigned as a condition in
 494 simulation it produces good results. Middle node of the tank, fifth node, is selected as stop
 495 consideration. When the temperature of the middle node reaches the initial condition, the
 496 working fluid pump is shut off and the tank is subjected to static mode only cooling until
 497 08:00.

498



499

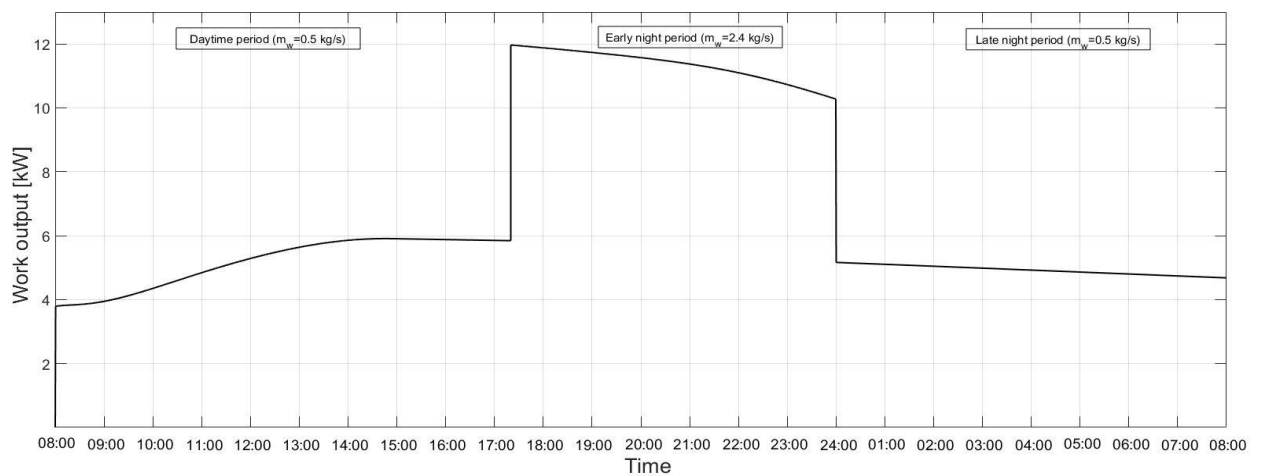
500 Fig. 16. Temperature distribution in the tank during first simulation

501

502 One of the most important issues for the daily simulation is the selection of the initial
503 temperature in the tank. According to previous sections, it can be concluded that temperature
504 levels have an influence on the work output. Since selecting a proper initial tank temperature
505 is significant for the results, it is required to eliminate this uncertain situation. Otherwise, it
506 results in over- or underestimation of the work output.

507 In order to determine the reasonable initial condition, a number of simulations need to be
508 conducted until initial and final temperatures reaching a stable level in the simulation. After
509 finishing the first simulation, the second simulation's initial conditions are selected as the
510 previous one's final temperatures. This iteration continues until the initial temperatures are
511 matched with the final temperatures. Normally, the temperature gradient in the water tank is
512 not the same at all levels; however, as a starting point, it is assumed that the initial
513 temperature is 100°C for all nodes. After applying the control strategy described in the
514 previous sections, Fig. 16 is plotted and it shows temperature distribution in the tank during
515 the first 24 hours, and Fig. 17 shows work output results for the first 24 hours. Although the
516 first node temperature is higher than in the early night period between 11:00 and 17:00,
517 produced work is quite lower because of controlling of the evaporation temperature by mass
518 flow rate. 0.5 kg/s mass flow rate is used in day time and late night periods, whereas 2.4 kg/s
519 mass flow rate is used in early night period in all simulations. It is also shown that work
520 generation is not ended for this day because the fifth node temperature does not reach the
521 initial temperature and stop criterion can not be activated.

522



523

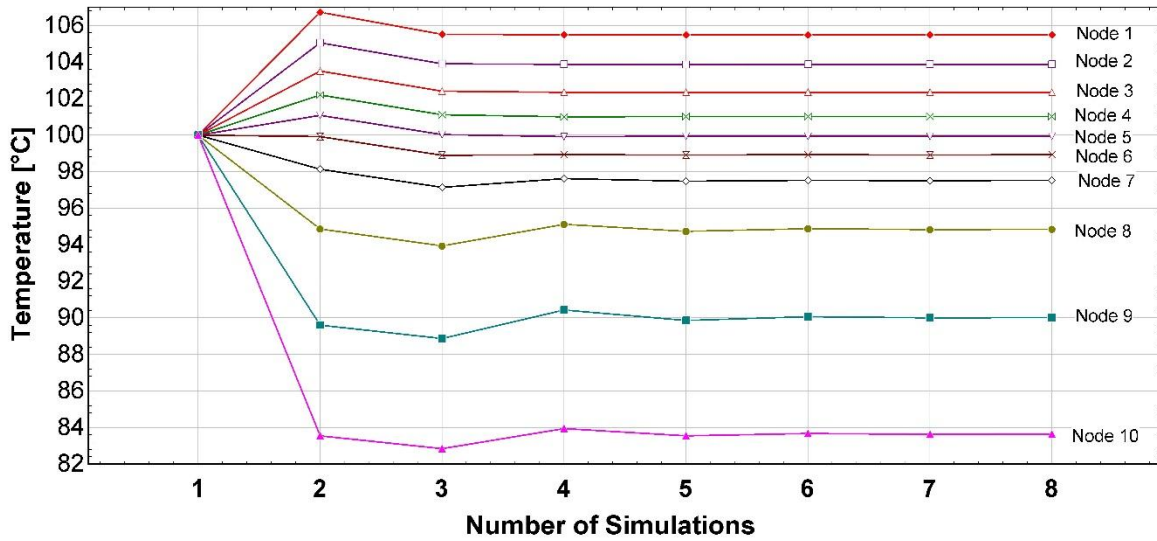
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Fig. 17. Produced work during first simulation

525

526 According to temperature distribution, it is observed that the last node temperature has a
527 different trend compared to other nodes. The reason can be explained with the temperature of
528 the water outlet from the evaporator which is discharged into the last node of the tank. This
529 colder fluid decreases the last node's temperature. However, its influence is quite insufficient
530 to the other nodes because it has a very low mass flow rate compared to the tank volume. In
531 the early night period, water mass flow rate is increased, which leads to an increase in the
532 temperature of the water outlet from the evaporator. As a result of these, the degree of
533 thermocline in the tank decreases. However, it is increased again by the lower flow rate in the
534 late night period.

535 Fig. 16 and Fig. 17 show the first simulation results which are based on the assumption of the
536 same initial temperatures for all nodes in the tank. Using final temperatures as the next
537 simulation's initials, eight simulations have been conducted and temperature variations of the
538 initial temperatures are given in Fig. 18. By the 8th simulation, temperatures become a stable
539 level, which means inlet and final temperatures are same. It can be said that all of the useful
540 solar heat charged to the tank are used for driving the ORC and the rest are transferred to the
541 ambient as heat losses. To explain in more detailed, Fig. 19 is plotted. It shows power outputs
542 in certain simulations. It can be seen that the cumulative work outputs are stabilized by the 8th
543 simulation. It is likely because the 8th simulation is more realistic for the selected typical day
544 so it is chosen as a reference day of the present study. In the third simulation, work output
545 falls dramatically, which can be explained by the assigned stop criterion. In that simulation,
546 the stop criterion is activated because temperature of the middle node falls to 100 °C at and
547 the work generation is interrupted to conserve the stored heat in the tank. It is seen that stored
548 heat from the third simulation is consumed in the fourth simulation and meets the demanded
549 electricity. It can be predicted that using a stop criterion, the system can balance itself for the
550 following simulations with fluctuated during late night period production.

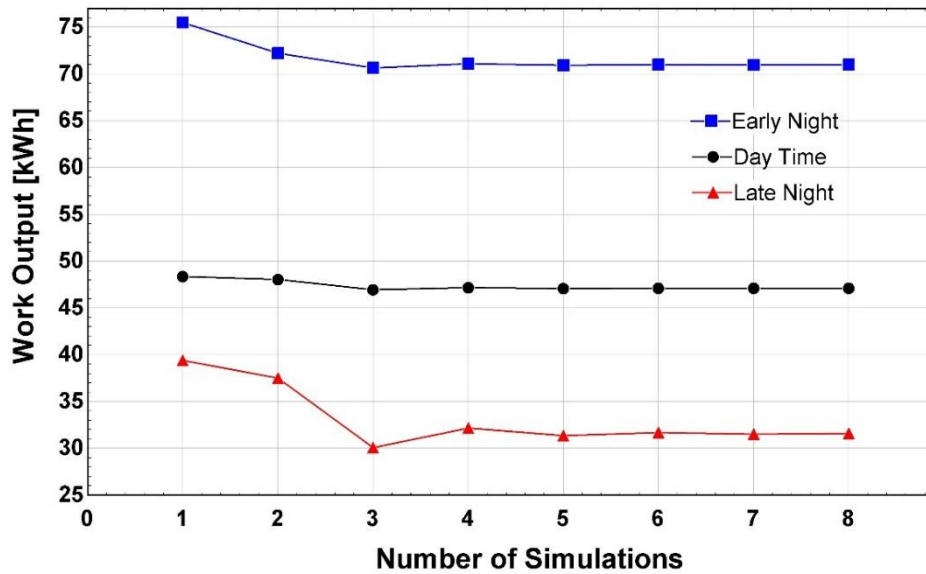


551

552

Fig. 18 Variations of initial temperature with the number of repetitive simulations for the given conditions

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554

555

Fig. 19 Variation of work output with number of repetitive simulations

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557

558

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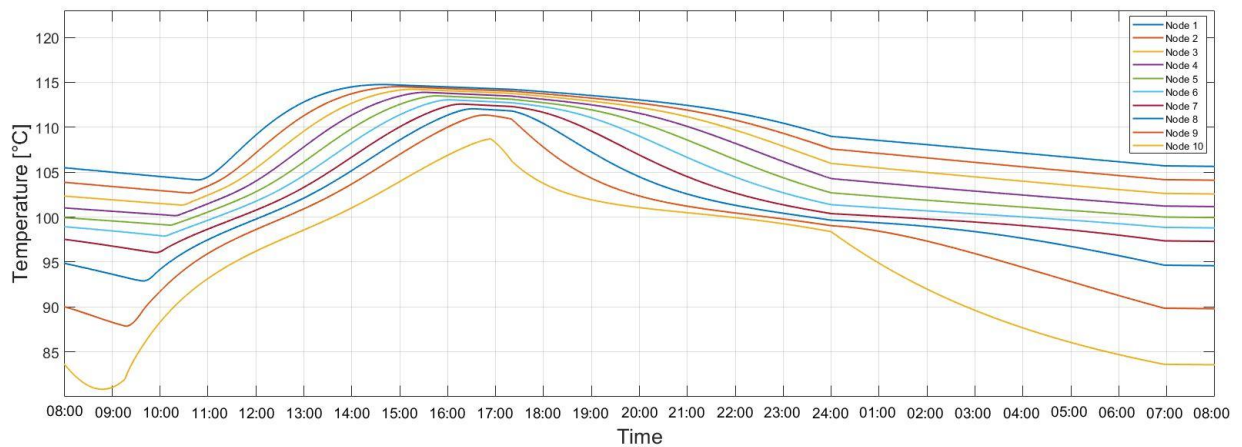
561

After determination of the initial temperatures, the system is ready for the investigation. Fig. 20 shows the temperature distribution in the tank in hours. An interesting trend is observed between 08:00 and 10:45. Although collector output is discharged into the first node, during the first half hour this only affects the last node. Later, other nodes are affected and finally, it gets mixed with the first node at 10:45. The reason for this trend is density difference. At the

562 beginning, collector outlet temperature is only matched with the last node, however, later its
563 temperature increases and systems operate as usual. The same phenomenon can be seen
564 between 15:00 and 17:00 for all simulations.

565 The rest of the day has a similar trend with the Fig 16. The only difference is the period
566 between 07:00 am and 08:00 am. During the last one hour, the system is switched to the static
567 mode. It means the tank is only subjected to heat loss to the ambient.

568

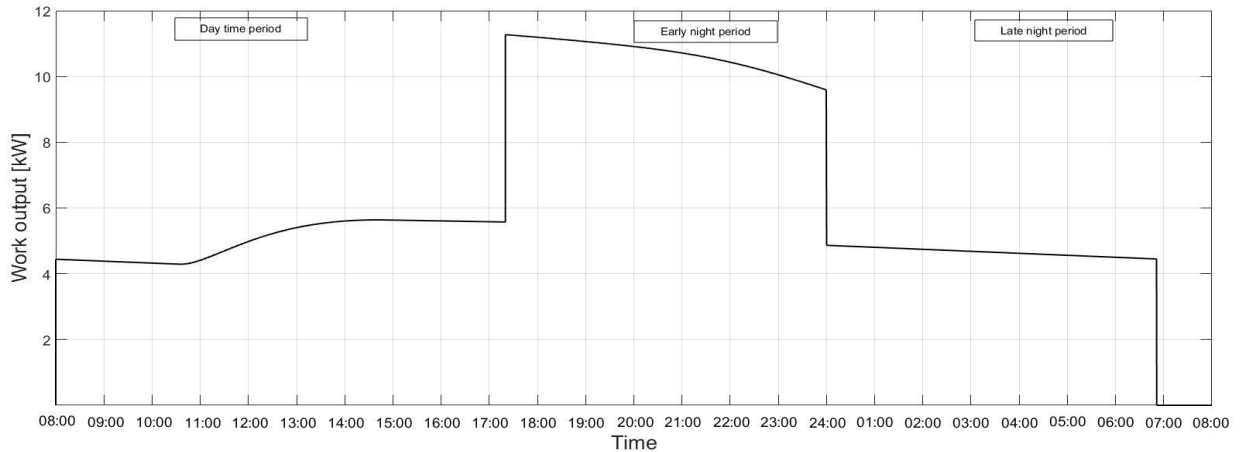


569

570 Fig. 20. Temperature distribution in the tank during 24 hours

571

572 Fig. 21 shows the work output of the system during 24 hours. The trend is quite similar with
573 the Fig. 17 but during the first two hours, the production is higher and more stable compared
574 to the Fig. 17. One of the reasons is the temperature difference. Previously, all temperatures
575 were assumed as 100°C. However, the first node temperature is determined as nearly 105°C,
576 which results in a higher work output. Also, stable generation comes from the steady first
577 node temperature which is already explained in the Fig. 20. Moreover, it can be seen that
578 work production is interrupted at 07:00 am because temperature of the middle node falls to
579 100 °C. The stop criterion is activated at that time, the work generation is interrupted to
580 conserve the stored heat in the tank.



581

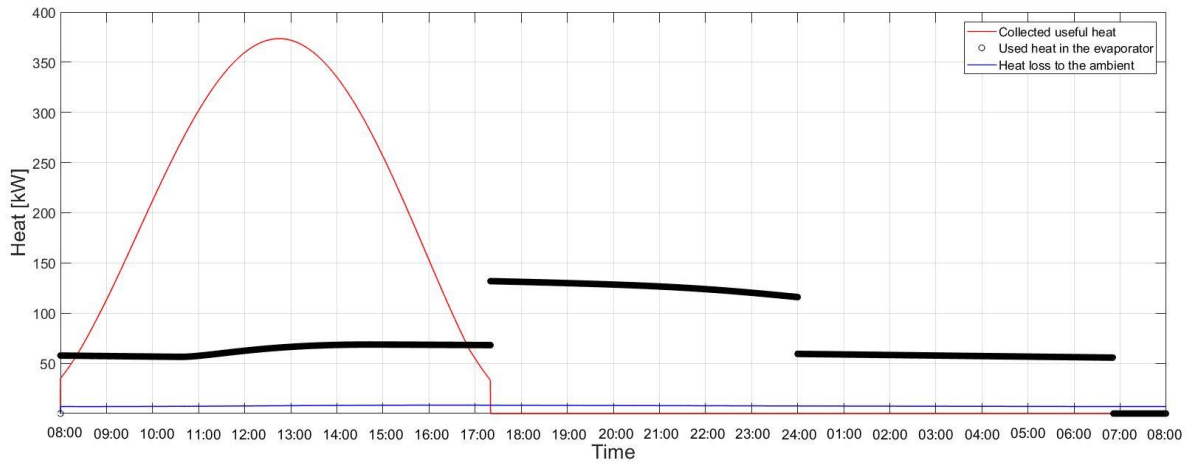
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Fig. 21. Work output of the ORC during 24 hours

583

584 Fig. 22 shows variation of the collected useful heat from the solar collectors, rejected heat for
 585 the ORC and heat loss to the ambient by time. The heat loss varies between 7.15 kW and 8.22
 586 kW. These values are quite low compared to amount of collected heat. Evacuated flat plate
 587 collector's efficiency reaches maximum value of 0.68 during the operation. The amount of
 588 collected useful heat peaks at 12:40 and about 367 kW. The consumed heat for driving the
 589 ORC varies in different periods. During the day time period, it increases because water
 590 temperature of the first node is getting higher with higher solar irradiance. Then, it falls
 591 slightly as first node temperature is decreasing. During the early night period, evaporating
 592 temperature is increased. As a result of this increment, the consumed heat increases. In the
 593 late night period, the evaporating temperature is controlled for the purpose of decreasing it
 594 again, and it yields to lower heat ejection from the water tank. Fig. 22 also shows that all the
 595 useful collected energy is discharged during the simulation. This result makes the study more
 596 accurate because it eliminate the stored or excessive use of the energy in the tank. The initial
 597 temperatures has been chosen properly to avoid over-or underestimation of the work output
 598 for given typical conditions.

599



600

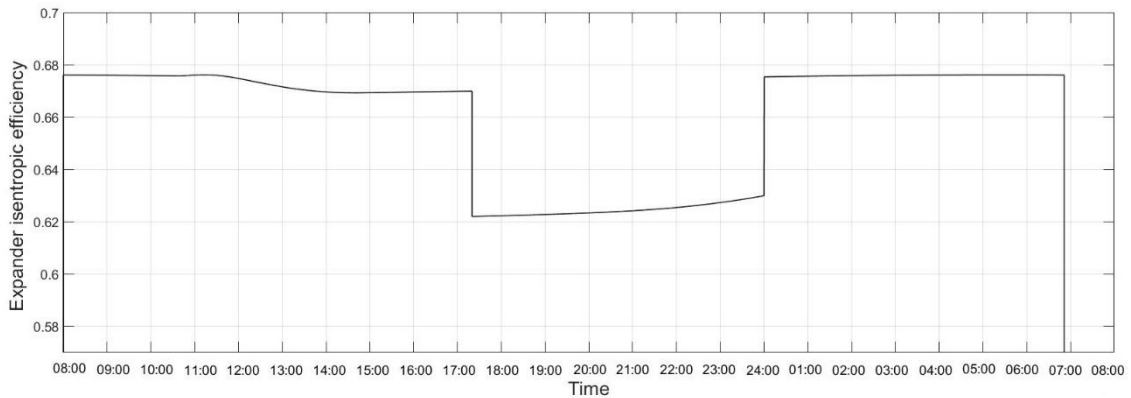
601

Fig. 22. All heat to which the tank exposed

602

603 To evaluate the off-design performance of the system, performance of the expander during
 604 the second day has been analysed and it is shown in Fig. 23a. During the daytime and late
 605 night periods, the isentropic efficiency of the expander varies slightly. Referring to the Fig. 6,
 606 since expander operation pressure difference range at these periods are close to expander
 607 design pressure ratio (low evaporating temperature despite higher water temperature during
 608 these periods), its performance is higher. However, during the peak period, it falls below 0.63
 609 because evaporating temperature is forced to increase by the present model for controlling the
 610 expander output. According to off-design performance of the expander, this control strategy
 611 looks proper because peak period takes only 7 of 24 hours, remaining hours system operates
 612 at the very close range of the expander's maximum performance.

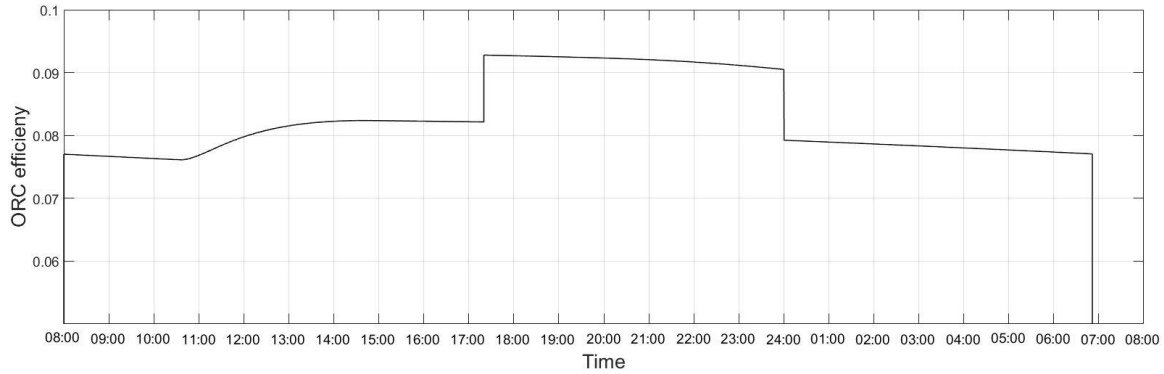
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614

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a)



b)

Fig. 23. a) Expander isentropic efficiency. b) ORC efficiency during 24 hours

616
617
618
619

620 ORC efficiency is also useful metric for evaluation of the system performance. It is related
621 with some parameters but in the present study, main factor is evaporating temperature which
622 is higher during the peak time period. Fig. 23b shows the ORC efficiency during second day.
623 In the other periods the evaporating temperature is forced to decrease by the present model.
624 The main purpose is to avoid using the heat source excessively and of course to meet the
625 demand. The efficiency variation is observed between 0.076 and 0.092.

626

6. Conclusions

627

628 In this study, a research into off-design performance of a solar ORC system integrated with a
629 compressed water heat storage unit has been conducted based on fulfilment of the end user
630 variable demand during the day from the point of view of control strategies. The analysed
631 system combining the evacuated flat plate collector and the heat storage unit to provide all
632 day power generation offers promising results. The heat storage unit has been analysed using
633 a one-dimensional temperature distribution model to represent the thermocline phenomena.
634 However, it is known that lots of parameters affect the thermocline, so a more complex
635 model may result in more accurate findings. Nonetheless, there is no doubt that this
636 simplified stratification model gives more realistic results than the fully mixed uniform
637 model. Moreover, a proper initial tank temperature distribution has been determined by
638 repeating simulation several times in order to conduct a proper daily simulation analysis
639 under given conditions.

640 The present paper has shown that power output can be adjusted by controlling the mass flow
 641 rate of the circulation water and it is possible to meet electricity demand at night. The ORC
 642 has been successfully simulated at variable heat source temperature by use of sliding pressure
 643 control strategy. Throughout the simulation, the power output was ranged from 4.3 to 5.7 kW
 644 in the daytime, 9-11.2 kW at early night and 4.7-4.3 kW at late night via adjustment of water
 645 mass flow rate in the evaporator of ORC. And there is no significant degradation in expander
 646 performance during the adjustment.

647

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 650 grant (H2020-MSCA-IF-2015-703746).

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657 **Nomenclature**

A	Area, m ²	Subscripts	
c_1	Heat loss term, W m ⁻² K ⁻¹	am	Ambient
c_2	Heat loss term, W m ⁻² K ⁻²	b	boiling
c_p	Specific heat, J kg ⁻¹	col	Collector
d_{st}	Water tank diameter, m	cw	Water in collector
Δk	De-stratification conductivity, W m ⁻¹ K ⁻¹	e	Evaporating
G	Solar irradiance, W m ⁻²	ex	Exhaust
h	Heat transfer coefficient, W m ⁻² K ⁻¹	e_1	Evaporating region
k	Thermal conductivity, W m ⁻¹ K ⁻¹	e_2	Single phase region
K_θ	Incident angle modifier	me	Mechanical
L	Water tank height, m	r	Refrigerant
\dot{m}	Mass flow rate, kg s ⁻¹	st	Storage
M	Mass, kg	stN	Last node
N	Total node number	v	Vapour
Pr	Prandtl number	w	Water
Re	Reynolds number	wo	Water out from evaporator
r_p	Pressure ratio	su	Supply

\bar{T}	Mean temperature, °C	t	Tank
T	Temperature, °C		
U	Overall heat transfer coefficient, $\text{W m}^{-2}\text{K}^{-1}$	Greek letters	
\dot{V}_s	Swept volume, $\text{m}^3 \text{s}^{-1}$	η	Efficiency
x	Vapour quality	ϕ	Filling factor
X_p	Pump capacity fraction	ρ	Density, kg m^{-3}

658

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