

1 **Emergy Analysis for Transportation Fuels Produced from Corn Stover in China**

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10 **ABSTRACT:** In order to provide more useful information for the decision makers in
11 China to implement sustainable energy policies and to identify which region in China
12 is most suitable to build the biofuel production plants for fast pyrolysis and
13 hydroprocessing of corn stover, the present study has evaluated the production
14 efficiency and sustainability of large-scale transportation fuel production via fast
15 pyrolysis and hydroprocessing of corn stover in China using Emergy analysis approach.
16 Both the hydrogen production scenario (i.e. oil hydroprocessing using the hydrogen
17 derived from bio-oil reforming) and the hydrogen purchase scenario (i.e. oil
18 hydroprocessing using the hydrogen purchased from market) in three regions of China
19 (Northeast China Plain (NECP), North China Plain (NCP) and Shaanxi Province (SXP))
20 have been investigated. The results have shown that maize production, and fast
21 pyrolysis and hydroprocessing are the two biggest emergy input stages of the biofuel
22 production system. The comparison of the emergy indices of all of the six cases

23 investigated indicates that the hydrogen purchase scenario in NCP is the best biofuel
24 production case due to its second best sustainability and the second highest production
25 efficiency. In comparison to bioethanol from cassava chips and wheat and biodiesel
26 from *Jatropha curcas* L, the hydrogen purchase scenario in NCP is also the most
27 sustainable plan for a biofuel production plant in China. As water, fertilizer and
28 hydrogen are the three biggest energy inputs in this case, improvements on the water
29 management, fertilizer management and hydrogen production technology have been
30 discussed. In order to further increase the efficiency and sustainability of the hydrogen
31 purchase scenario in NCP, some of the necessary efforts required from the relevant
32 sectors have also been put forward based on the results of the energy analysis.

33 **Keywords: Energy analysis; corn stover; fast pyrolysis and hydroprocessing;**
34 **sustainability; biofuel production**

35 **1. Introduction**

36 With the rapid fossil fuel depletion, energy shortage and growing concerns on
37 environmental pollution all over the world, biofuels are playing an increasingly
38 important role as a renewable substitute for fossil-based fuels for transportation ([Li et al., 2015](#); [Pereira and Ortega, 2010](#)). There are many feasible pathways including
39 various thermochemical (e.g. gasification) and biochemical processes (e.g.
40 fermentation) to derive transportation fuels from biomass. Compared with gasification
41 and biochemical processes, biomass-to-liquid transportation fuel production via fast
42 pyrolysis followed by hydroprocessing has some advantages including its commercial
43 feasibility in the near future, the high level of technology development and the low
44

45 capital and operating cost ([Anex et al., 2010](#)). Biomass fast pyrolysis and bio-oil
46 upgrading have been intensively researched and developed over the past decade, and
47 were recently reviewed by Bridgewater ([Bridgewater, 2012](#)) and Elliott ([Elliott, 2013](#)).

48 The first generation liquid biofuels, which are derived from food crops such as
49 cereals, sugar crops and oil seeds, have already become mature commercial market
50 products. However, they have many issues such as compromising food security, high
51 production and processing cost and large life cycle CO₂ emissions when considering
52 land-use change ([Sims et al., 2010](#); [Liang et al., 2013](#)). The second generation liquid
53 biofuels from non-food biomass feedstocks such as cereal straw, sugarcane bagasse,
54 and forest residues are considered much more sustainable and are being produced at a
55 continuously growing rate as a result of the supports from governments around the
56 world. Corn stover is a valuable biomass with considerable potential for producing the
57 second generation biofuels in most countries with maize production. A number of
58 investigations have already focused on the techno-economic and environmental
59 assessment of biofuel production from corn stover ([Anex et al., 2010](#); [Saini et al., 2015](#);
60 [Han et al., 2013](#); [Zhang et al., 2014](#); [Kauffman et al., 2011](#)). China is one of the largest
61 maize production countries and produces about 154Mt/year corn stover ([Wang et al.,](#)
62 [2013](#)) which represents an enormous potential for the production of transportation
63 biofuels. Therefore, corn stover is considered as one of the best biomass feedstocks for
64 the second generation biofuel production in China.

65 When planning a biofuel supply network, the decision-makers should consider not
66 only the economic efficiency and environmental performance of the industrial process

67 but also its long term sustainability. The evaluation of sustainability can be used to
68 provide insight for the development of an industrial-scale production system that will
69 not severely or irreversibly damage the nature environment. Multi-criteria decision-
70 making methodology investigating economic performances, environmental issues and
71 social concerns was often used for evaluating sustainability of industrial systems in
72 previous literature (Ren et al., 2015b; Ren et al., 2016a; Ren et al., 2016b; Yang and
73 Chen, 2014). However, over the recent years, the emergy methodology has been proved
74 to be the most direct and apparent method to represent the essence of sustainability of
75 an industrial system, and be able to estimate all flows of energy, materials, information,
76 services, and currencies on the common basis of “solar energy” (Yang et al., 2010;
77 Baralet al., 2016; Chen et al., 2016). In addition, emergy analysis can identify the
78 balance between the socio-economic development and natural environment and can
79 make comparisons and comprehensive analysis of all flows from ecosystems and
80 industrial systems (Ju and Chen, 2011; Zhang and Chen, 2017). A number of previous
81 studies had described in detail the emergy analysis of the first generation liquid biofuels
82 and the results had indicated that the biofuels generated from soybean (Ren et al.,
83 2013;Cavalett and Ortega, 2010; Ren et al., 2015a), rapeseed (Ren et al., 2013;Ren et
84 al., 2015a), sunflower (Ren et al., 2013;Spinelli et al., 2012; Spinelli et al., 2013; Ren
85 et al., 2015a) and rice (Lu et al., 2012) did not have good sustainability in long term.
86 So far, few have used emergy analysis to evaluate the second generation liquid biofuels
87 produced by fast pyrolysis and hydroprocessing and hence the sustainability of these
88 biofuels has not been fully explored.

89 The aim of this study is to investigate the feasibility and sustainability of the
90 transportation fuel production system via fast pyrolysis followed by hydroprocessing
91 from the maize field residue(corn stover) in three main maize production regions
92 (Northeast China Plain (NECP), North China Plain (NCP) and Shaanxi Province
93 (SXP))of China. The region which is most suitable for the biofuel production from corn
94 stover among the three studied regions in China has been identified using the emergy
95 indices. Some efficacious strategic measures have been put forward to promote the
96 sustainable development of transportation fuel production via fast pyrolysis followed
97 by hydroprocessing. The results and suggestions of the present study can provide some
98 useful information for government to formulate energy policies that can promote large-
99 scale transportation biofuel production using corn stover in China.

100 **2. Material and method**

101 In this section, how to apply emergy methodology to the system of biofuel
102 production via fast pyrolysis followed by hydroprocessing is first introduced and then
103 the six cases to be analyzed are identified and selected and finally the three main stages
104 of the biofuel production (maize production, corn stover collection and transportation,
105 fast pyrolysis and hydroprocessing) in the six cases are described.

106 **2.1 Emergy analysis**

107 Emergy methodology was introduced to provide a method of assessing different
108 systems by Odum H. T. (Odum, 1996) and is usually used to evaluate the sustainability
109 of industrial systems (Yang et al., 2010; Baral et al., 2016; Chen et al., 2016; Park et al.,
110 2016).The biofuel production system in this study has three main stages: maize

111 production, corn stover collection and transportation, fast pyrolysis and
112 hydroprocessing. According to the procedure of emergy analysis, the first step is to
113 define the boundaries of the system, describe and create an emergy flows' diagram as
114 shown in Fig. 1, then, to classify and account for all energy inputs and outputs to create
115 the table of emergy analysis. All inputs and outputs are in the units of J, kg or \$. Emergy
116 flows are classified according to their sources as renewable environmental resources
117 (R), non-renewable environmental resources(N), organic assistant energy (F_R), and
118 inorganic assistant energy (F_N).Then, the original data of all inputs are converted to
119 emergy by multiplying relevant transformities (Odum, 1996). The emergy yield (Y)
120 represents the output of the total emergy produced by the given system. Finally, the
121 relevant emergy indices are calculated by using all emergy flows to evaluate the overall
122 sustainability of the process and used for the comparison with other technologies (Cruz
123 and Nascimento, 2012). Emergy indices related to the sustainability metrics, selected
124 to evaluate the biofuel production from corn stover, are presented in Table 1.

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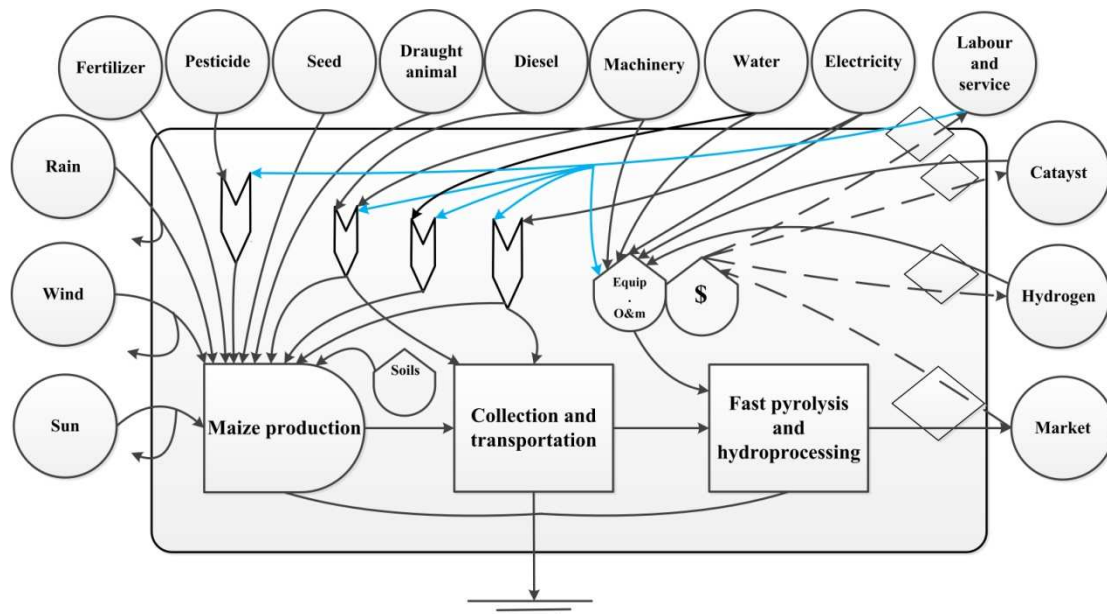


Fig.1 Emery flow diagram of bio-fuels from corn stover

Table 1 Emery indices used for the analysis of the biofuel production system from corn stover

Index	Formula	References using the metrics	Description
Total emery use(U)	$U=R+N+F_R+F_N$	Zhang et al., 2014; Takahashi and Ortega, 2010; Cruz and Nascimento, 2012	The total emery is used to support the whole production system
Transformity(Tr)	$Tr=U/output$	Zhang et al., 2014; Takahashi and Ortega, 2010; Cruz and Nascimento, 2012	It measures how much emery it takes to generate one unit of output
Emery yield ratio(EYR)	$EYR=U/(F_R+F_N)$	Tao et al., 2013; Zhang et al., 2014; Takahashi and Ortega, 2010	It is a measure of the ability of a production system to explore and make locally nature resource by investing outside resource
Environmental loading ratio(ELR)	$ELR=(N+F_N)/(R+F)$	Tao et al., 2013; Zhang et al., 2014; Liang et al., 2013	The ratio of all nonrenewable resource to renewable resource indicating the pressure that the production system places on the local environment
Emery sustainability index(ESI)	$ESI=EYR/ELR$	Zhang et al., 2014; Cruz and Nascimento, 2012; Liang et al., 2016	It is a comprehensive measure of the yield efficiency and environmental loading, indicating the sustainability of the system
Emery/dollar ratio(EDR)	$EDR=emery/GNP$	Tao et al., 2013; Yang et al., 2010	The total emery use divided by GNP in a specific region and specific year, can be used to value the purchasing power of the money

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132 **2.2 Identification of the cases for the energy analysis**

133 Six cases to be analyzed have been identified and selected for the energy analysis and
134 are shown in Table 2. Two kinds of biofuel production plants in each region are
135 considered, i.e. the hydrogen production scenario case (Case 1 - NECP, Case 3 - NCP
136 and Case 5 - SXP) and the hydrogen purchase scenario case (Case 2 - NECP, Case 4 -
137 NCP and Case 6 - SXP). Each case contains three stages: maize production, corn stover
138 collection and transportation, and fast pyrolysis and hydroprocessing. The chain of the
139 biofuel production can be described as follows: corn stover is first collected after maize
140 harvest and then is transported to a biofuel production plant, where it is used to produce
141 biofuels via the fast pyrolysis and hydroprocessing process. The stages of maize
142 production and corn stover collection and transportation are region-dependent whereas
143 the stage of fast pyrolysis and hydroprocessing which can be either the hydrogen
144 production scenario or the hydrogen purchase scenario is assumed to be the same for
145 all three regions. The data of maize production are specific to the three different regions
146 (NECP, NCP and SXP) in China with the details shown in Section 2.3. The stage of
147 corn stover collection and transportation is assumed to be the same for both cases of
148 each region with further details given in Section 2.4. The biofuel production plants have
149 been modeled to consume the same amount of corn stover feedstock (2000 metric tons
150 per day) per year in all cases ([Wright et al., 2010](#)). The hydrogen production scenario
151 cases (Case 1, Case 3 and Case 5) involve large-scale pyrolysis with oil
152 hydroprocessing using hydrogen derived from bio-oil reforming, whereas the hydrogen
153 purchase scenario cases (Case 2, Case 4 and Case 6) use off-site generation of hydrogen

154 for oil hydroprocessing. The details of these two scenarios are further described in
 155 Section 2.5.

156 2.3 Maize production

157 **Table 2 Six cases of biofuels production via fast pyrolysis and hydroprocessing of corn stover**

	Stage 1	Stage 2	Stage 3
Case 1	Maize production in NECP	Collection and transportation	Hydrogen production scenario
Case 2	Maize production in NECP	Collection and transportation	Hydrogen purchase scenario
Case 3	Maize production in NCP	Collection and transportation	Hydrogen production scenario
Case 4	Maize production in NCP	Collection and transportation	Hydrogen purchase scenario
Case 5	Maize production in SXP	Collection and transportation	Hydrogen production scenario
Case 6	Maize production in SXP	Collection and transportation	Hydrogen purchase scenario

158 NECP, NCP and SXP are the three main regions for maize production in China.
 159 All input data and maize yields for the maize production systems in NECP, NCP and
 160 SXP come from Zhang et al. (Zhang et al., 2005), Li et al. (Li and Yan, 2012) and Wang
 161 (Wang, 2011), respectively. Each biofuel plant is assumed to process 2000 dry metric
 162 ton/day corn stover using common thermochemical conversion facilities. The online
 163 time of the plant is assumed to be 350 days/year (Wright et al., 2010). Therefore, the
 164 plant needs 700000t dry corn stover every year. Based on the maize yields and the field
 165 residue indices of the three regions, the land areas of maize production are calculated,
 166 then, all of the total input data related to sunlight, rain, wind, net top soil loss, machinery,
 167 electricity, diesel, fertilizer, pesticide, irrigation water, labor, seeds, draught animal in
 168 three regions can be calculated as shown in Table 3. The emergy dollar ratio (EDR)

169 (Table 1) used in this study is taken as $5.87E+12$ sej/\$ according to [Yang et al., 2010](#).

Table 3Emergy analysis of stage of maize production

	Item (J)	Class	Northeast China Plain (NECP) (5.95E+04ha)				North China Plain (NCP) (9.42E+04ha)				Shaanxi province (SXP) (1.53E+05ha)			
			Transformity ^a (sej/unit)	References	Emergy (sej)		Transformity ^a (sej/unit)	References	Emergy (sej)		Transformity ^a (sej/unit)	References	Emergy (sej)	
					Case 1	Case 2			Case 3	Case 4			Case 5	Case 6
1	Sunlight(J)	R	1.00E+00	Zhang et al., 2005	1.74E+18	1.74E+18	1.00E+00	Li and Yan, 2012	4.68E+18	4.68E+18	1.00E+00	Wang, 2011	5.84E+19	5.84E+19
2	Rain(chemical potential)(J)	R	1.54E+04	Zhang et al., 2005	2.04E+19	2.04E+19	1.54E+04	Li and Yan, 2012	1.39E+19	1.39E+19	1.54E+04	Wang, 2011	2.54E+20	2.54E+20
3	Rain(potential energy)(J)	R	8.89E+03	Zhang et al., 2005	2.04E+19	2.04E+19	1.82E+04	Li and Yan, 2012	6.25E+19	6.25E+19	8.89E+03	Wang, 2011	4.88E+20	4.88E+20
4	Wind(J)	R	1.50E+03	Li and Yan, 2012	9.51E+18	9.51E+18	1.50E+03	Li and Yan, 2012	3.07E+19	3.07E+19	1.50E+03	Wang, 2011	3.36E+18	3.36E+18
5	Net top soil loss(J)	N	6.25E+04	Zhang et al., 2005	3.36E+18	3.36E+18	6.25E+04	Li and Yan, 2012	3.17E+19	3.17E+19	6.25E+04	Wang, 2011	1.26E+20	1.26E+20
6	Machinery (kg)	F _N	6.70E+09	Zhang et al., 2005	4.38E+18	4.38E+18	6.70E+09	Li and Yan, 2012	1.19E+19	1.19E+19	6.70E+09	Wang, 2011	4.25E+19	4.25E+19
7	Electricity(J)	F _N	1.70E+05	Zhang et al., 2005	0.00E+00	0.00E+00	1.70E+05	Li and Yan, 2012	2.46E+18	2.46E+18	0.00E+00	Wang, 2011	0.00E+00	0.00E+00
8	Diesel fuel(J)	F _N	6.60E+04	Zhang et al., 2005	7.51E+18	7.51E+18	6.60E+04	Li and Yan, 2012	1.83E+19	1.83E+19	6.60E+04	Wang, 2011	6.47E+19	6.47E+19

9	N (g)	F _N	4.62E+09	Zhang et al., 2005	5.07E+19	5.07E+19	2.30E+09	Li and Yan, 2012	1.17E+20 b	1.17E+20 ^b	4.62E+09	Wang, 2011	5.85E+19	5.85E+19
10	P(g)	F _N	1.78E+10	Zhang et al., 2005	9.69E+19	9.69E+19	-		-	-	1.78E+10	Wang, 2011	5.89E+19	5.89E+19
11	K(g)	F _N	2.96E+09	Zhang et al., 2005	8.19E+18	8.19E+18	-		-	-	2.96E+09	Wang, 2011	2.24E+19	2.24E+19
12	Manure(organic fertilizer)(g)	F _R	2.70E+06	Zhang et al., 2005	1.51E+18	1.51E+18	-		-	-	2.80E+09	Wang, 2011	2.54E+20	2.54E+20
13	Compound fertilizer(g)	F _N	2.80E+09	Zhang et al., 2005	1.12E+19	1.12E+19	-		-	-	2.80E+09	Wang, 2011	1.33E+19	1.33E+19
14	Pesticide(g)	F _N	1.62E+09	Zhang et al., 2005	1.29E+18	1.29E+18	1.48E+10	Li and Yan, 2012	6.32E+18	6.32E+18	1.62E+09	Wang, 2011	1.08E+19	1.08E+19
15	Irrigation water(m ³)	F _R	-	-	-	-	1.56E+12	Li and Yan, 2012	5.19E+20	5.19E+20	-	-	-	-
16	Labor(h)	F _R	3.80E+05	Zhang et al., 2005	1.65E+19	1.65E+19	1.12E+12	Li and Yan, 2012	3.88E+19 c	3.88E+19 ^c	3.80E+05	Wang, 2011	5.01E+21	5.01E+21
17	Draught animal(J)	F _R	1.46E+05	Zhang et al., 2005	1.68E+18	1.68E+18	-		-	-	-		-	-
18	Seeds(J)	F _R	2.00E+05	Zhang et al., 2005	1.33E+19	1.33E+19	1.96E+10	Li and Yan, 2012	2.73E+19 d	2.73E+19 ^d	2.00E+05	Zhang et al., 2005	1.70E+19	1.70E+19

171 a. The emery baseline was upgraded to the value of 15.2E+24 seJ/year (Brown and Ulgiati, 2010). However, alltransformities in this study were
172 calculated based on the emery baseline value of the 9.44E+24seJ/year (Odum, 1996). This is due to easy comparison of the results in other study
173 using the same emery baseline.

174 b. This item includes nitrogen, phosphorus, potash fertilize and compound fertilize. Unit of this item is J not g.

175 c, d. Seed and labor, considering the economic value, are taken as purchased resource. Unit of this item is J not g.

176

177 2.4 Corn stover collection and transportation

178 The baling pattern described by [Cao et al. \(2012\)](#) is adopted as the crop residue
179 collection method. The equipment used for the residue collection consists of stover
180 pick-up machine, baler, shredder, forklift truck and scraper. All inputs of collection
181 including machinery, electricity, diesel and labor are presented in [Table 3 \(Liu et al.,
182 2011\)](#). The formula used to calculate the average haulage distance of corn stover is
183 described by equation (1) ([Kauffman et al., 2011](#)):

$$184 \quad D = 0.4789 \sqrt{\frac{S}{100Yd}} \quad (1)$$

185 where D, in km, is the average haulage distance; S, in tons, is the amount of annual
186 feedstock input; Y, in tons per hectare, is the biomass yield; d is the crop density and
187 is assumed to be uniform and constant (0.20).

188 The average haulage distance in three regions (NECP, NCP, SXP) is calculated to
189 be 26.1km, 33.2km and 41.9km, respectively. Using the truck transportation energy
190 density of 1.12MJ/ (t*km) ([Yang, 2011](#)), the total diesel consumed by trucks in each
191 biofuel plant of the three regions is found to be 2.04E+13J, 2.60 E+13J, 3.28
192 E+13J, respectively. The driver service is not considered here. The energy inputs of
193 transportation in three regions are included in Table 4.

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Table 4 **Emergy analysis of stage of collection and transportation**

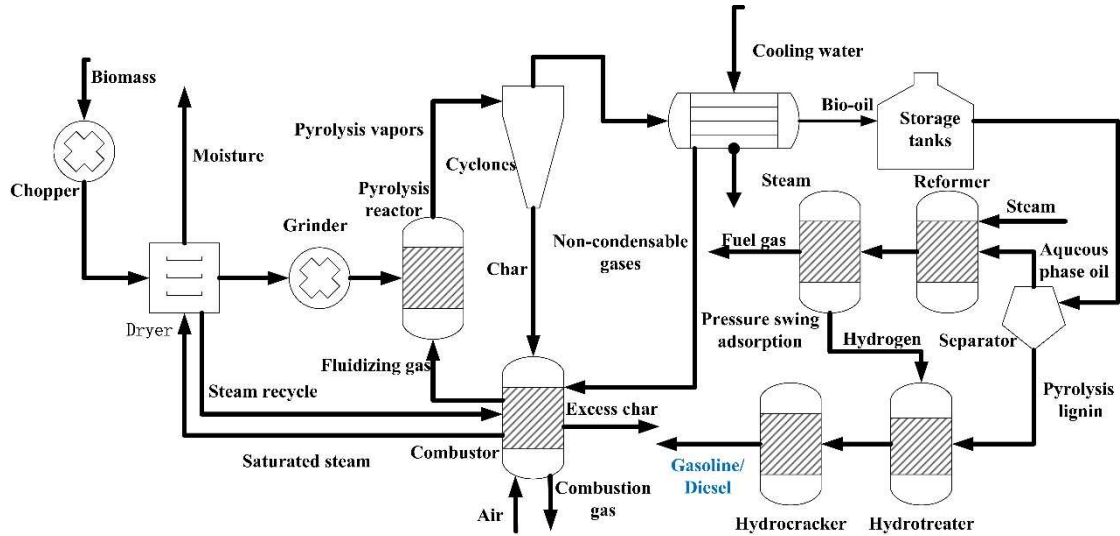
Item (J)	Class	Transformity(s ej/unit)	References	Emergy (sej)					
				Case 1	Case 2	Case 3	Case 4	Case 5	Case 6
Collection									
Machinery(\$)	F _N	5.87E+12	Yang et al., 2010	3.79E+16	3.79E+16	3.79E+16	3.79E+16	3.79E+16	3.79E+16
19									
Electricity (J)	F _N	1.70E+05	Zhang et al., 2005	2.18E+15	2.18E+15	2.18E+15	2.18E+15	2.18E+15	2.18E+15
20									
Diesel (J)	F _N	6.60E+04	Zhang et al., 2005	2.19E+18	2.19E+18	2.19E+18	2.19E+18	2.19E+18	2.19E+18
21									
Labor(h)	F _R	1.10E+12	Li and Yan, 2012	1.38E+18	1.38E+18	1.38E+18	1.38E+18	1.38E+18	1.38E+18
22									
Transportation									
Diesel (J)	F _N	6.60E+04	Zhang et al., 2005	1.35E+18	1.35E+18	1.72E+18	1.72E+18	2.17E+18	2.17E+18
23									

200 **2.5 Fast pyrolysis and hydroprocessing**

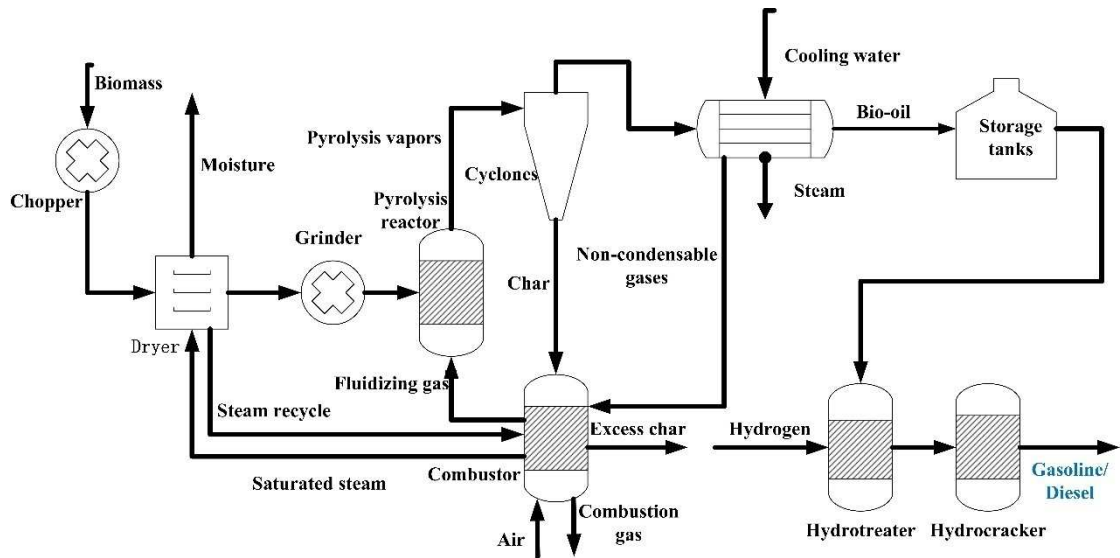
201 Fast pyrolysis is a thermochemical pathway that can be used to transform biomass
 202 to three main parts: bio-oil, bio-char, and non-condensable gases. In this study, corn
 203 stover is subjected to fast pyrolysis followed by hydroprocessing based on the model
 204 built by Wright et al (Wright et al., 2010). Figure 2 shows the process diagrams of the
 205 hydrogen production scenario and the hydrogen purchase scenario. Each process

206 consists of eight steps: chopping/grinding, drying, pyrolysis, cleanup, oil collection,
207 storage, combustion, hydroprocessing. The detailed process can be described as follows:
208 corn stover with 25% moisture content is first dried to 7% moisture content by the dryer
209 and ground to 3-mm-diameter by the chopper and grinder and then it is fed to a fluidized
210 bed pyrolysis reactor. The pyrolysis reactor operates at 480°C and atmospheric pressure.
211 In the pyrolysis process, vapors exiting the pyrolysis reactor, solids containing mostly
212 bio-char particles are removed by cyclones and sent to the combustor to provide heat
213 for the drying and fast pyrolysis. Excess solids consisting of char are considered as a
214 co-product. While the vapors from the cyclone outlet are condensed in the heat
215 exchangers and the condensable gases turn into liquid bio-oil, which can be stored in
216 the storage tanks prior to upgrading. In order to provide heat for fast pyrolysis, non-
217 condensable gases from the heat exchanger outlet are sent to the pyrolysis reactor for
218 combustion. Similar to the method used in the petroleum industry, the upgrading
219 process is hydrotreating and hydrocracking. The hydrogen production scenario uses
220 more equipment including the separator, reformer and pressure swing adsorption as
221 shown in Fig 2 (a) to generate the required hydrogen, while the hydrogen purchase
222 scenario uses the merchant hydrogen for upgrading (Fig.2 (b)). The main difference
223 between the hydrogen production scenario and the hydrogen purchase scenario is the
224 source of hydrogen. Compared with the purchase scenario, the hydrogen production
225 scenario needs more investment for the separator, reformer and pressure swing
226 adsorption as shown in Fig 2 (a). In addition, a part of the bio-oil in the hydrogen
227 production scenario is used to produce H₂ and hence the yield of bio-fuels is reduced.

228 All input data related to the stage of fast pyrolysis and hydroprocessing including labor,
 229 electricity machinery, catalyst, water and hydrogen are included in Table 5.
 230



(a) Hydrogen production scenario



(b) Hydrogen purchase scenario

Fig.2 Process diagrams of the hydrogen production scenario and hydrogen purchase scenario

Table 5 Emergy analysis of stage of fast pyrolysis and hydroprocessing

Item (J)	Class	References	Emergy (sej)
			(sej/unit)

				Case 1	Case 2	Case 3	Case 4	Case 5	Case 6	
24	Electricity(J)	F_N	1.70E+05	Li and Yan, 2012	6.58E+ 19	5.59E+ 19	6.58E+ 19	5.59E+ 19	6.58E+ 19	5.59E+1 9
25	Labor (\$)	F_R	5.87E+12	Yang et al., 2010	1.04E+ 19	1.04E+ 19	1.04E+ 19	1.04E+ 19	1.04E+ 19	1.04E+1 9
26	Plant construction (\$)	F_N	5.87E+12	Yang et al., 2010	6.22E+ 19	4.68E+ 19	6.22E+ 19	4.68E+ 19	6.22E+ 19	4.68E+1 9
27	Catalyst (\$)	F_N	5.87E+12	Yang et al., 2010	1.04E+ 19	1.04E+ 19	1.04E+ 19	1.04E+ 19	1.04E+ 19	1.04E+1 9
28	Water(m ³)	F_R	1.54E+12	Martin et al, 2006	3.19E+ 19	0 19	3.19E+ 19	0 19	3.19E+ 19	0 0
29	Hydrogen (\$)	F_N	5.87E+12	Yang et al., 2010	0 2010	1.39E+ 20	0 20	1.39E+ 20	0 0	1.39E+2 0
30	Solid disposal (\$)	F_N	5.87E+12	Yang et al., 2010	1.04E+ 19	1.04E+ 19	1.04E+ 19	1.04E+ 19	1.04E+ 19	1.04E+1 9
31	Insurances and taxes (\$)	F_N	5.87E+12	Yang et al., 2010	2.10E+ 19	1.46E+ 19	2.10E+ 19	1.46E+ 19	2.10E+ 19	1.46E+1 9
32	Maintenance (\$)	F_N	5.87E+12	Yang et al., 2010	2.79E+ 19	1.94E+ 19	2.79E+ 19	1.94E+ 19	2.79E+ 19	1.94E+1 9
33	Biofuel (J)	Y			2.11E+ 15	7.74 E+15	2.11E+ 15	7.74 E+15	2.11E+ 15	7.74 E+15

240 **3. Results**

241 **Table 6 Emergy indicators of six cases.**

Index	Case 1	Case 2	Case 3	Case 4	Case 5	Case 6
Total emergy use(U/(sej))	4.53E+20	5.48E+20	1.15E+21	1.24E+21	6.38E+21	6.48E+21
Transformity(Tr/(sej/J))	2.15E+05	7.08E+04	5.44E+05	1.61E+05	3.02E+06	8.37E+05
Emergy yield ratio(EYR)	1.055	1.045,	1.080	1.073	1.106	1.105
Environmental loading ratio(ELR)	5.64	7.42	0.73	0.89	0.10	0.12
Emergy sustainability index(ESI)	0.19	0.14	1.47	1.21	10.69	9.16

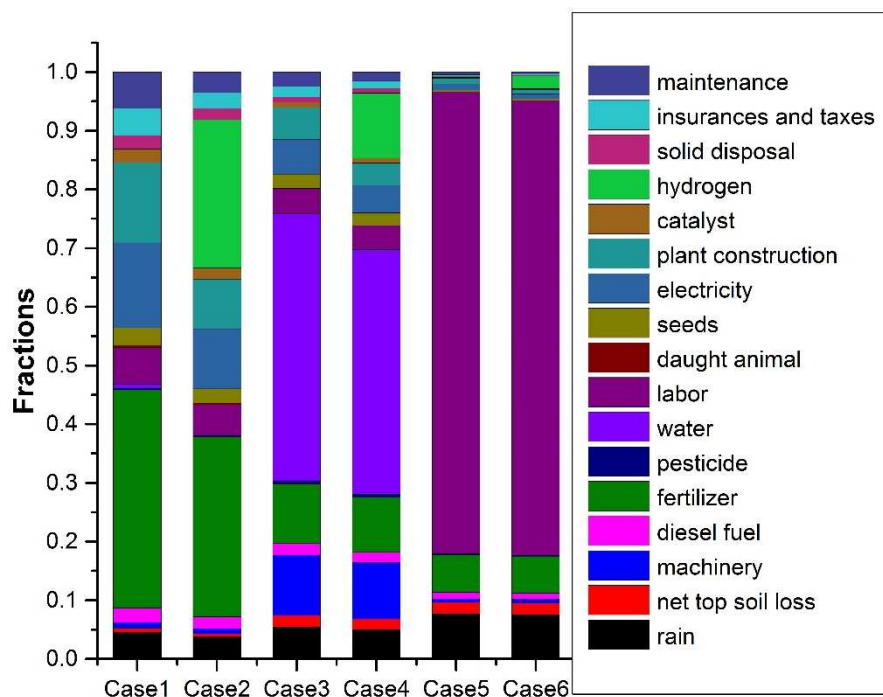
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243 **3.1 Emergy inputs of the selected six cases**

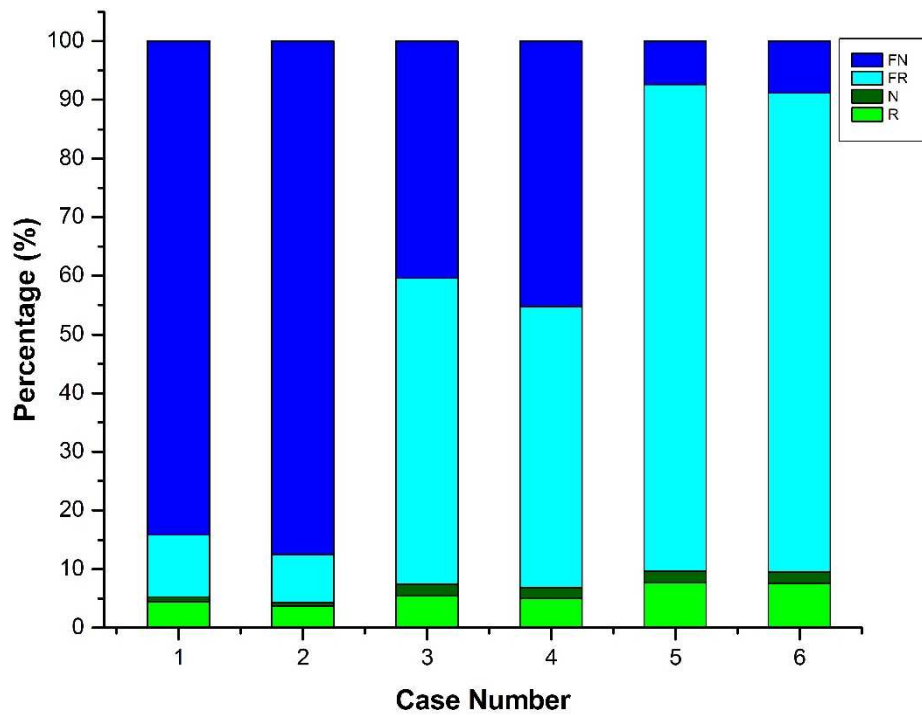
244 As shown in Table 6, the total emergy uses (U) of the six cases are 4.53E+20sej,
 245 5.48E+20sej, 1.15E+21sej, 1.24E+21sej, 6.38E+21sej and 6.48E+21sej, respectively.
 246 Compared with the fields in NECP and in NCP, the fields in SXP are poorer and need
 247 more materials and energy inputs to produce the same quantity of corn stover. The
 248 distributions of all emergy inputs of the six cases are presented in detail in Figure 3. In
 249 NECP, the three biggest emergy input flows for Case 1 are fertilizer, electricity and plant
 250 construction (37.2%, 14.5% and 13.7%, respectively), whereas for Case 2 they are
 251 fertilizer, hydrogen and electricity (30.7%, 25.3% and 10.2%, respectively). In NCP,
 252 the three biggest emergy input flows for Case 3 are water, diesel fuel and fertilizer
 253 (45.4%, 10.4% and 10.2%, respectively) but for Case 4, they are water, hydrogen and

254 diesel fuel (41.7%, 11.1% and 9.6%, respectively). In SXP, the three biggest energy
255 input flows for both Case 5 and Case 6 are labor, rain and fertilizer (78.7%, 7.7%, 6.4%
256 for Case 5 and 77.5%, 7.5%, 6.3% for Case 6, respectively). These results indicate that
257 when stakeholders/decision-makers want to introduce policies and measures aiming to
258 reduce the resource inputs and increase the sustainability of a corn stover-based biofuel
259 system, they need to pay more attention to the required input flows of fertilizer, water,
260 diesel fuel and hydrogen. The proportions of the four categories (R, N, F_N and F_R) of
261 energy inputs to the corn stover-based biofuel systems are shown in Fig.4. In each
262 region, the percentages of the four categories are almost identical for the two different
263 scenarios. In NECP, F_N, the inorganic assistant energy, is the biggest category of energy
264 input, contributing 84.2% (Case 1) and 87.5% (Case 2) of the total energy input, and
265 followed by F_R (organic assistant energy), R (renewable environmental resources) and
266 N (non-renewable environmental resources) which contribute 10.6%, 4.5% and 0.7%
267 to the total energy input in Case 1, and 8.2%, 3.7% and 0.6% in Case 2. However, in
268 both NCP and SXP, F_R is the biggest category of energy input accounting for 52.2%
269 (Case 3), 48.0% (Case 4), 81.7% (Case 5) and 83.0% (Case 6), respectively. In NCP,
270 F_N, R and N are the 2nd, 3rd and 4th biggest category of the energy input, accounting for
271 40.4%, 5.4% and 1.9% (Case 3), and 45.2%, 5.4% and 1.8% (Case 4). In SXP, the 2nd,
272 3rd and 4th biggest category of the energy input are R, F_N and N for Case 5, accounting
273 for 7.7%, 7.4% and 2.0%, but for Case 6, they are F_N, R and N which account for 8.8%,
274 7.5% and 1.9% of the total energy input. A large amount of inorganic assistant energy
275 (F_N) in NECP has contributed to lower sustainability of the biofuel production systems

276 in this region. Fig.4 also shows that similar to other industrial systems the major inputs
 277 of the resources to the biofuel production systems come from economy (F_N and F_R) for
 278 all 6 cases. As it can be seen from Fig.5, the stage with the biggest energy input for all
 279 of the 6 cases considered except Case 2 is maize production (Case 1 - 52.3%, Case 3 -
 280 80.8%, Case 4 - 74.9%, Case 5 - 96.6%, Case 6 - 95.2%). This indicates the agriculture
 281 phase is responsible for the highest fraction of resources used in the whole production
 282 system, which is in agreement with biodiesel production from sunflower and other
 283 oleaginous crops (Spinelli et al., 2012; Spinelli et al., 2013; Takahashi and Ortega,
 284 2010). For Case 3, fast pyrolysis and hydroprocessing is the biggest energy input stage,
 285 followed by maize production. The second biggest energy input stage for all of the 6
 286 cases except Case 3 is fast pyrolysis and hydroprocessing. The results shown in Fig. 5
 287 also indicate that the energy inputs due to collection and transportation are negligible
 288 for all 6 cases.



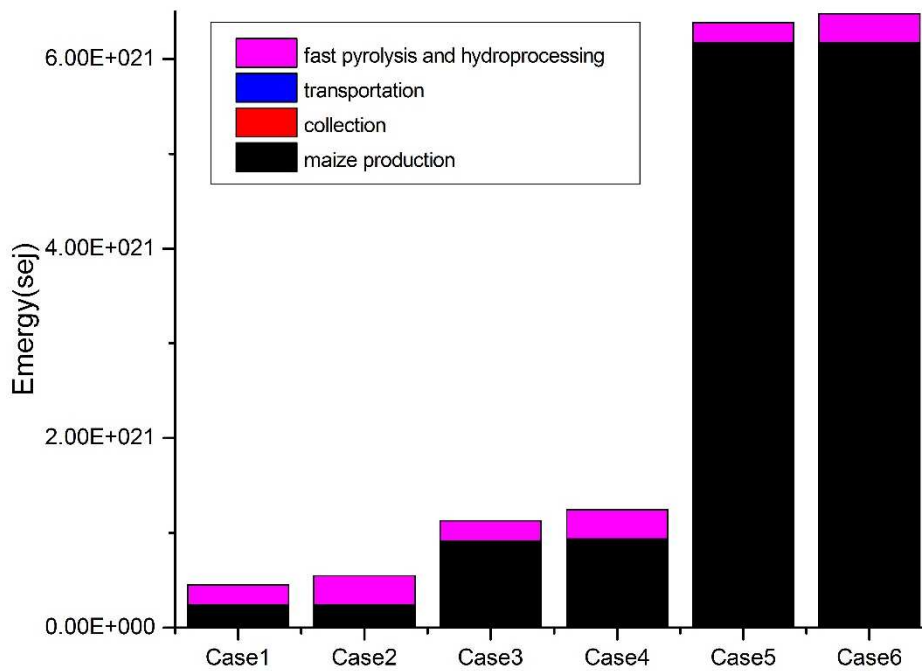
289 **Fig. 3 The distribution of each energy input to the selected six cases**
 290



292

293 **Fig. 4 The proportion of four categories of energy inputs to the total energy**
 294 **input of the selected six cases**

295



296

297 **Fig. 5 Energy inputs during four stages of the selected six cases**

298

299 **3.2 Energy indices**

300 Four energy based indicators (Tr, EYR, ELR and ESI) of the 6 cases are calculated. The

301 details about these energy based indicators are discussed below.

302 **3.2.1 Transformity (Tr)**

303 Transformity can measure how much emergy it takes to generate one unit of output
304 and the efficiency of the system. In other words, a process with a lower transformity
305 value but with the same output means more efficient as the same amount of energy
306 inputs results in greater productions or services, or the same quantity of productions or
307 services needs less energy inputs (Odum, 1988; Zhang et al., 2014; Goh and Lee, 2010).

308 As shown in Table 6, the Tr values of the 6 cases are $2.15E+05$ sej/J, $7.08E+04$ sej/J,
309 $5.44E+05$ sej/J, $1.61E+05$ sej/J, $3.02E+06$ sej/J, and $8.37E+05$ sej/J, respectively. The
310 ranking from high to low efficiency follows the order of Case 2, Case 4, Case 1, Case
311 3, Case 6 and Case 5. The high efficiency of Case 2 was expected mainly due to its high
312 corn stover yields each year (11.8t/ha). All of these Tr values except that of Case 2 are
313 higher than those of coal ($6.71E+04$ sej/J), natural gas ($8.05E+04$ sej/J) and crude oil
314 ($9.07E+04$ sej/J) (Odum, 1996). Therefore, the results of the emergy analysis indicate
315 that the fossil fuels made by nature are more efficient than the biofuels produced by
316 human. Compared with other biofuels seen in Table 7, Case 2 is also more efficient than
317 ethanol from cassava chips and sugarcane, and biodiesel from soybean, sunflower and
318 cotton. However, it has no advantage over biodiesel from canola and oil palm. Case 2
319 can be more competitive if the issue of food security affecting the biodiesel production
320 from canola and oil palm is taken into account. Therefore, it can be concluded that the
321 biofuel production via fast pyrolysis and hydroprocessing of corn stover can be one of
322 the best biofuel production routes.

Table 7 Comparison of the Tr value of Case 2 with those of other biofuels

biofuels	Tr (sej/J)	References
Ethanol from sugarcane	1.86E+05 -3.15E+05	Cavalett and Ortega, 2010
Biodiesel from sunflower	2.31E+05 -2.78E+05	Cavalett and Ortega, 2010
Biodiesel from soybean	3.18E+05	Cavalett and Ortega, 2010
Ethanol from cassava chips	1.10E+05	Yang, 2011
Biodiesel from canola	4.37E+04	Takahashi and Ortega, 2010
Biodiesel from oil palm	2.39E+04	Takahashi and Ortega, 2010
Biodiesel from cotton	1.56E+06	Takahashi and Ortega, 2010
Case 2	7.08E+04	This study

3.2.2 Emery yield ratio (EYR)

EYR is a useful indicator to reflect the ability of a process or system to explore locally available resources by investing purchased inputs. Indeed, EYR is analogous to Hill's energy return on energy invested (EROI) ([Goh and Lee, 2010](#)) and is a return on emery invested through purchased inputs. The higher the EYR value, the greater the system yield per purchased input emery ([Chen et al., 2006](#); [Tao et al., 2013](#); [Wang et al., 2014](#)). As seen in Table 6, the EYR values of the 6 cases are all within the range of between 1.045 and 1.106 (Case1-6: 1.055, 1.045, 1.080, 1.073, 1.106, and 1.105) and similar to other biofuels ([Ren et al., 2013](#); [Yang, 2011](#); [Yang et al., 2010](#)). The EYR values of Case 2 and Case 5 are the lowest and the highest, indicating that Case 5 has the highest production efficiency.

3.2.3 Environmental loading ratio (ELR)

336 ELR is an index with regard to ecosystem stress from production. The higher the
337 ELR value, the more non-renewable resources are consumed and the greater the load
338 on environment. Generally, production systems can be divided into three grades: low
339 environment impacts, $ELR \leq 2$; moderate environment impacts, $2 < ELR < 10$; large
340 environment impacts, $ELR \geq 10$ (Zhang et al., 2014; Wang et al., 2014). Shown in Table
341 6, the ELR values of the 6 cases are 5.64, 7.42, 0.73, 0.89, 0.10 and 0.12, respectively
342 and therefore, Case 1 and Case 2 belong to the category of moderate environment
343 impacts, while Case 3-6 belong to the category of low environment impacts. The ELR
344 calculated in Case 2 is the highest, indicating it requires the most intensive non-
345 renewable energy input and has the highest environmental stress among the 6 cases.
346 The lowest value of ELR in Case 5 represents that there is plenty space for future
347 development.

348 **3.2.4 Energy sustainability index (ESI)**

349 ESI is an aggregated indicator for measuring the sustainability of a process (Zhang
350 et al., 2014; Brown and Ulgiati, 1997). Systems with high yields and low environmental
351 loads have high ESI values (Brown and Ulgiati, 2002). If the ESI value of a system is
352 less than 1, the system consumes large energy input from economy for it to be
353 maintained and is not going to be sustainable in the long run. If the ESI value of a
354 system is between 1 and 10, the system has development vigor and potential. If the ESI
355 value of a system is above 10, the system is considered undeveloped (Zhang et al., 2014;
356 Brown and Ulgiati, 1997; Brown and Ulgiati, 2002). As seen in Table 6, the ESI values
357 of the 6 cases are 0.19, 0.14, 1.47, 1.21, 10.69 and 9.16. Therefore, Case 1 and Case 2

358 belong to the high-consumption economic system, Case 3, Case 4 and Case 6 have
359 excellent sustainability but Case 5 is considered undeveloped. Base on the values of
360 ESI, NCP(for both Case 3 and Case 4) is regarded as the best region in China to build
361 plants for producing biofuels from corn stover.

362 **4. Discussion**

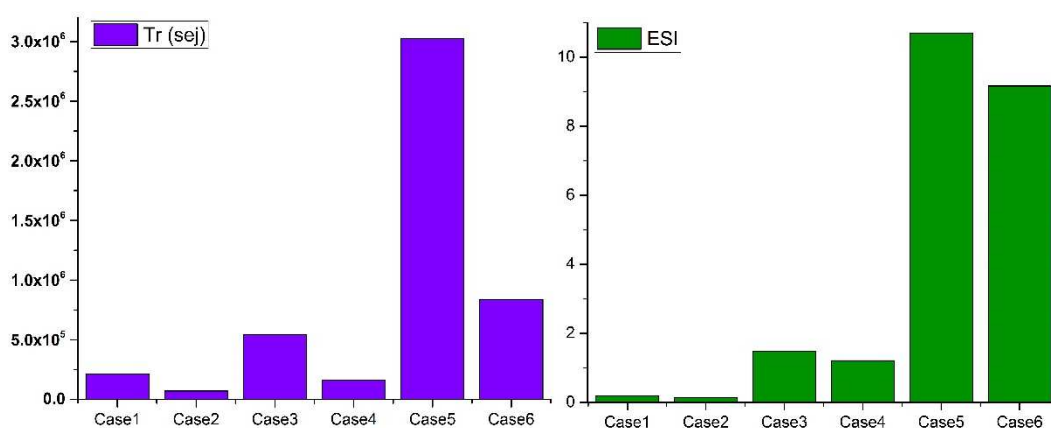
363 **4.1 Comparison of the 6 cases**

364 The Tr value usually measures the production efficiency of a process, and ESI,
365 calculated as the ratio between EYR and ELR, is always used to evaluate sustainability
366 of a system. Therefore, which region is most suitable for building a biofuel production
367 plant can be firstly identified by comparing values of Tr and ESI of the 6 cases. As
368 shown in Fig. 6, Case2 is the most efficient, but their sustainability is not acceptable.
369 As the maize-maize continuous cropping system dominates in NECP ([Zhang et al.,](#)
370 [2015](#)), large amounts of purchased inputs such as fertilizer and water are required to
371 maintain high maize yields. The biofuel production systems in NECP are highly
372 developed “consumer” oriented economies, therefore, NECP is not the most suitable
373 region for constructing plants to produce biofuels. Case 5 and Case 6 in SXP have
374 relatively low efficiency, and hence have great potential to improve. Maize production
375 in SXP consumes a lot of labor, indicating that agricultural mechanization level is low.
376 In order to make the whole producing system more sustainable in SXP, more
377 agricultural machinery should be used to improve the production efficiency. Case 3 and
378 Case 4 in NCP exhibit strong economic viability as well as excellent sustainability, and
379 their production efficiencies are moderate. Therefore, NCP is the best region for

380 building plants to utilize the crop residue.

381 The yields of bio-oil from fast pyrolysis in all cases are the same (Wright et al.,
382 2010). However, in the hydrogen production scenario (Case 1, Case 3 and Case 5), part
383 of the bio-oil is used for producing hydrogen, therefore, the yield of bio-gasoline and
384 bio-diesel ($2.11E+15$ J) is much lower than that of the hydrogen purchase scenario cases
385 (Case 2, Case 4 and Case 6) ($7.74E+15$ J). In each region, the Tr value of the hydrogen
386 purchase scenario is much lower than that of the hydrogen production scenario,
387 indicating that more products are obtained with the same amount of emergy input to the
388 production system. Therefore, in any of the three main maize production regions, the
389 hydrogen purchase scenario is always more efficient than the hydrogen production
390 scenario. Consequently, the hydrogen purchase scenario in NCP (Case 4) is the best
391 choice to produce transportation fuels from corn stover due to its second sustainability
392 and second highest production efficiency.

393



394

395 **Fig.6 Tr and ESI of the corn stover-based biofuel systems**

396

397 4.2 Comparison with other biofuel production pathways in China

398 In Italy, literature have focused on biofuels from sunflower, microalgae and

399 microalgae (Spinelli et al., 2012; Spinelli et al., 2013; Cruz and Nascimento, 2012;
400 Bastianoni et al., 2008), and in Brazil, research and development have centered on the
401 production of biofuels from oleaginous crops providing raw material such as vegetable
402 oil and soybean (Cavalett and Ortega, 2010), due to the abundantly available such kinds
403 of feedstock in these two countries. But in China, there are a lot of wheat, maize, rice,
404 cassava and other agricultural residues that can be used as feedstock for the production
405 of biofuels. Emergy analyses of biofuels from wheat, cassava chips *Jatropha curcas* L
406 in China were reported by Ju and Chen (2011), Dong et al. (2013) and Yang (2011) and
407 the results are summarized in Table 8. Compared with other biofuel production
408 pathways in China, Case 4 has the best sustainability and the second highest production
409 efficiency. Although bioethanol from cassava chips has the highest production
410 efficiency, Case 4 still has most potential for industrialization as the cassava production
411 system competes for land and water that are used for food and fiber production.
412 Therefore, Case 4 can be considered as the best biofuel production pathway in China
413 based on the emergy analysis of this study and previous work of others (Yang, 2011;
414 Ju and Chen, 2011, Dong et al., 2013).

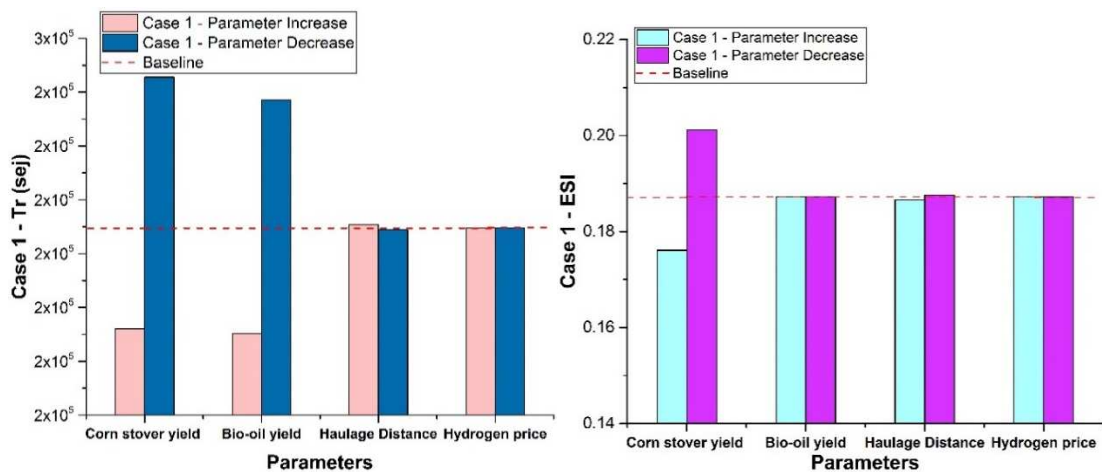
415 **Table8 Comparison of Tr and EIS of liquid biofuels in China**

liquid biofuels	Feedstock	Reference	Tr (sej/J)	ESI
bioethanol	cassava chips	Yang, 2011	1.10E+05	0.63
biodiesel	<i>jatropha curcas</i> L	Ju and Chen, 2011	3.95E+05	0.364
bioethanol	wheat	Donget al., 2013	2.77E+05	0.31
Case 4	corn stover	This work	1.58E+05	1.21

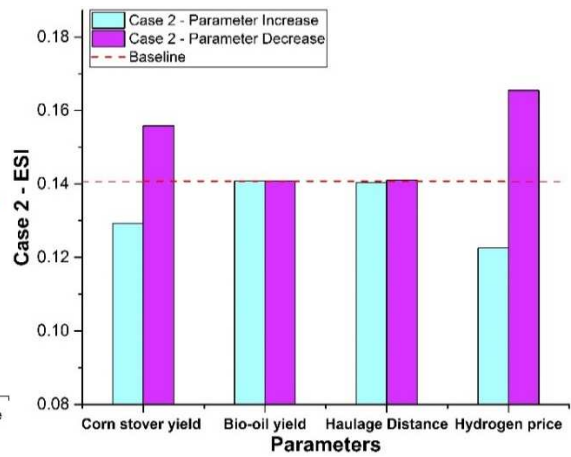
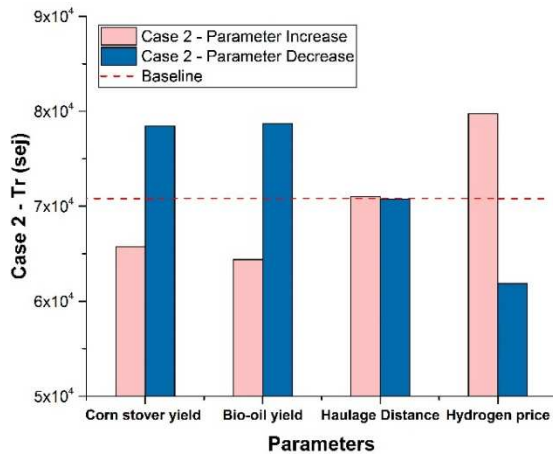
Case 4 (varying parameters)	corn stover	This work	1.38E+5-	1.10 -1.41
			1.87E+5	

416 **4.3 Sensitivity analysis**

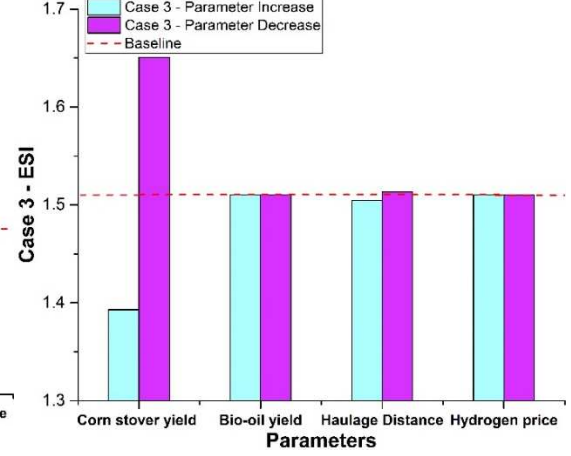
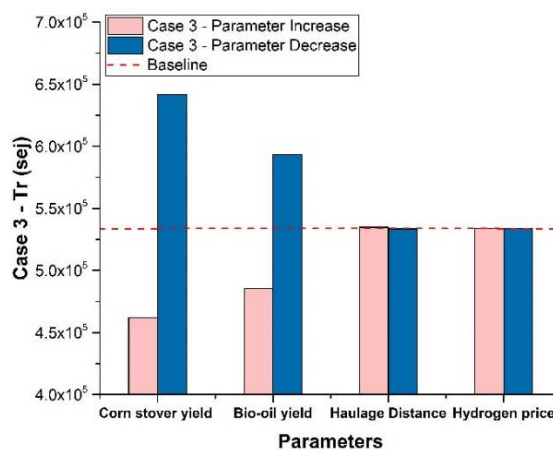
417 Uncertainties or changes with the characteristic factors of a whole production
418 system affect the efficiency and sustainability of the biofuel production system. For
419 example, the corn stover yield varies with the climate in different years and bio-oil yield
420 changes with the operating conditions during the pyrolysis process in each period. The
421 average haulage distance depends on the distance between the biomass production site
422 and the biofuel production plant. Hydrogen price changes according to supply and
423 demand of the market. Therefore, sensitivity analyses on Tr and ESI of the 6 cases have
424 been conducted by separately changing 4 parameters: corn stover yield by $\pm 20\%$, bio-
425 oil yield by $\pm 10\%$, average haulage distance by $+100\%$ and -50% and hydrogen price
426 by $\pm 50\%$ from the baseline values.



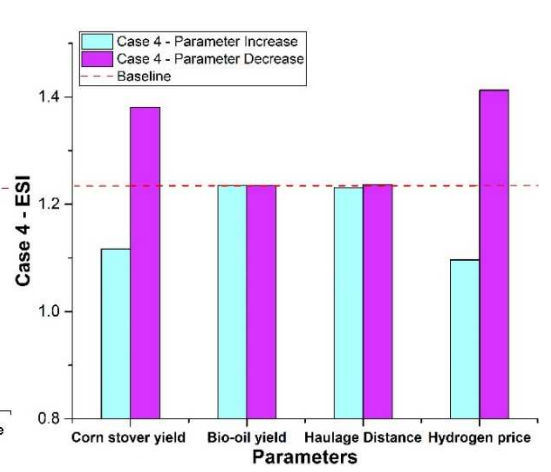
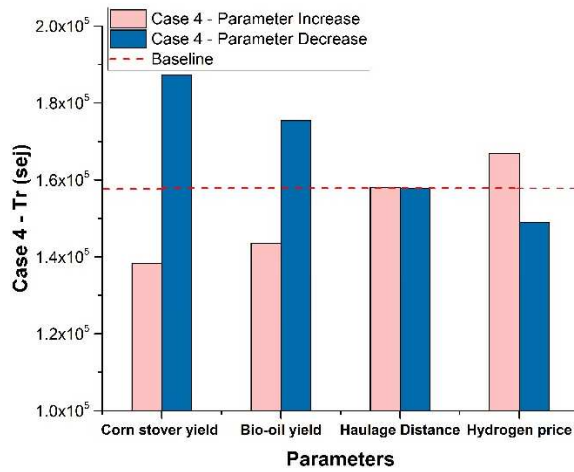
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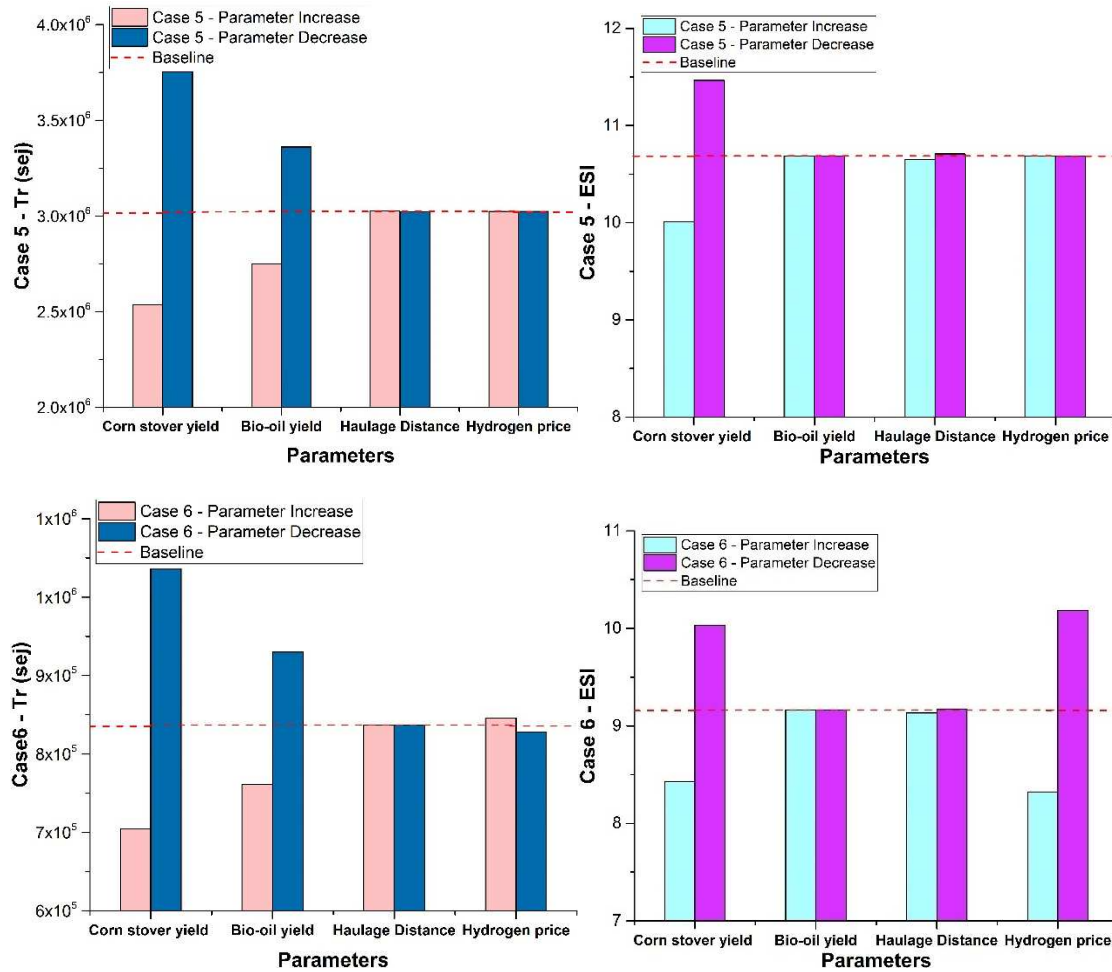


Fig. 7 Results of sensitivity analysis

431

432

433

434 The results of sensitivity analysis are shown in Fig.7. It can be seen that corn stover
 435 yield has the greatest impact on Tr values in all cases except Case 2. For Case 2, the
 436 most important parameter affecting Tr value is hydrogen price. Corn stover yield also
 437 has the greatest impact on ESI values in the hydrogen production scenario cases (Case
 438 1, Case 3 and Case 5). Hydrogen price is the most important parameter affecting ESI
 439 values of the hydrogen purchase scenario cases (Case 2, Case 4 and Case 6). Bio-oil
 440 yield is the second most influential parameter on Tr values for all 6 cases but it has no
 441 effect on the sustainability of any of the biofuel production systems (i.e. ESI of each
 442 system). Biomass average haulage distance has little influence on the Tr and ESI values
 443 of any system – the variations of Tr and ESI values resulted from the haulage distance

444 change is well below 1%.The results of sensibility analysis indicate that more attention
445 should be given to the improvement of corn stover yield and hydrogen price in order to
446 achieve better production efficiency and sustainability of the biofuel production system.
447 Further, Case 4 with the four parameters varying within the specified ranges still
448 acquires the second best production efficiency and the second best sustainability among
449 all 6 cases, with Tr varying from 1.38E+5 to 1.87E+5sej and ESI varying from 1.10 to
450 1.41.Comparing with other biofuel production pathways in China (Table 5), it still
451 achieves the best sustainability and the second highest production efficiency. Therefore,
452 it can be concluded that Case 4 is expected to beth the best case for the biofuel production
453 plant in China even the main characteristic factors of the production plant may differ
454 from their baseline values considered in this study.

455 **4.4Improvement measures**

456 Based on the results of the emergy input analysis and emergy indices of the biofuel
457 production systems, the hydrogen purchase scenario in NCP (Case 4) is considered to
458 be the best choice for biofuel production using corn stover in China. Maize production
459 and fast pyrolysis and hydroprocessing are the two major stages in Case 4, with 74.9%
460 and 24.6% of total emergy inputs. In addition, these two processes have significant
461 influences on the corn stover yield and bio-oil yield, respectively. Therefore, there are
462 large amounts of emergy inputs on water, fertilizer and hydrogen, meaning that the
463 production efficiency and sustainability of the production system can be enhanced by
464 improving water and fertilizer management and hydrogen production technology.

465 The soil condition and climate in NCP are suitable for growing winter wheat and

466 summer maize as a double-crop system. The total energy inputs to the fields per year
467 support for both wheat growing and maize growing. Therefore, all measures to reduce
468 irrigation water and fertilizer used for both wheat growing and maize growing in NCP
469 are briefly discussed below.

470 **4.4.1 Water management**

471 The optimal amounts of irrigation water for winter wheat and summer maize are
472 186mm, 161mm, 99mm and 134mm, 88mm, 0mm in the dry, normal, and wet seasons
473 in NCP (Sun et al., 2010). According to the season precipitation and characteristics of
474 grain growing, irrigation schedules are regulated to be suitable for grain production
475 (Sun et al., 2006; Zhang et al., 2003). One to three times of irrigation (each 75-80mm)
476 is optimal for NCP, and pre-sowing irrigation of winter wheat is needed for achieving
477 high yield and high water use efficiency. However, excessive irrigation may not
478 improve the grain yields (Hu et al., 2010; Zhao et al., 2015). In addition, straw mulching
479 with wheat and maize can reduce soil evaporation and improve water-use efficiency,
480 and consequently reduce the application of irrigation water and improve the grain
481 production (Zhang et al., 2003). Finally, promotion of water-saving incentives, efficient
482 water-saving technologies and enforcement of sustainable water manage policies can
483 also be used to improve water use efficiency (Hu et al., 2010).

484 **4.4.2 Fertilizer management**

485 Commonly, on average, 170 kg N /ha, 32 kg P /ha, and 130g K /ha for wheat,
486 189kg N /ha, 34 kg P /ha, and 212g K /ha for maize are required to achieve the
487 productivity of wheat (6.9t/ha) and maize (8.3t/ha) in NCP (Wang et al., 2010). Compost

488 fertilizers with low transformity result in higher grain yields ([Zhao et al., 2013](#)). Organic
489 fertilizers also have lower transformity and can improve soil fertility and quality ([Miao
490 et al., 2011](#)). Therefore, compost fertilizers and Organic fertilizers can be used to
491 replace chemical fertilizers to improve sustainability of the system. According to soil
492 tests, yield targets, all nutrients including N, P, and K should be applied at the optimum
493 rates before sowing to avoid the grain yield gap, which can reduce N and P inputs in
494 the areas with nutrients over applied, balance the soil nutrient levels and improve
495 nutrients use efficiency ([He et al., 2009](#)). Further, appropriate information and
496 knowledge on improving the use efficiency of nutrients must be provided to the millions
497 of farmers by the central and/or local administrative departments of agriculture in China
498 ([Liu et al., 2011](#); [Huang et al., 2012](#)).

499 **4.4.3 Hydrogen production technology**

500 Natural gas steam reforming is the most common hydrogen production method
501 and meets around 50% of the global hydrogen demand, while 30% of hydrogen
502 production comes from oil reforming, with 18% from coal gasification, 3.9% from
503 water electrolysis and 0.1% from other resources ([Muradov and Vezirolu, 2005](#); [Dincer
504 and Acar, 2015](#)). Most of hydrogen in commercial use today is produced from fossil
505 fuels due to its low cost and efficient purification but it is also associated with some
506 shortcomings such as high capital, operation and maintenance cost and non-renewable.
507 Hydrogen production methods from renewable resources such as water and biomass are
508 fast developing. In this study, the hydrogen production scenario from corn stover has
509 no production efficiency advantage over the hydrogen purchased scenario, indicating

510 that the hydrogen production technology from corn stover is not a better way to produce
511 hydrogen than those commercially available on the market at present. Maybe hydrogen
512 production methods from other biomass (not corn stover) are better than natural gas
513 steam reforming. Like an ecological food chain, the more energy transformation
514 hierarchies are, the more solar energy input to maintain the “consumer” in the highest
515 hierarchy, resulting in a higher solar transformity (Howard, 1988). Therefore, hydrogen
516 from biophotolysis, photofermentation and photoelectrolysis should have lower
517 transformities due to directly decomposing water by sunlight. However, it is generally
518 accepted that solar energy-based hydrogen production methods will be unlikely to yield
519 significant reduction in economic cost in the near future (Muradov and Veziroglu, 2005;
520 Dincer and Acar, 2015). With the advance of these hydrogen production technologies
521 the biofuel production system from corn stover will become more efficient and
522 sustainable.

523 **4.5 Policy implications**

524 The biofuel production system via the fast pyrolysis and hydroprocessing of corn stover
525 is a complex process involving many sectors such as agriculture, transport sector,
526 industrial sector, scientific research institutes and technology developer. Collaborative
527 efforts and supports from these sectors are needed to promote this biofuel production
528 method for large scale industrial application, for example:

529 (1)The agriculture department of the government needs to collect enough information
530 about maize growing and wheat growing. The annual statistical data about the amount
531 of fertilizer and water needed for achieving optimal maize yield and wheat yield are

532 used for predicting the best amounts of fertilizer and water needed for the next year. The
533 farmers can easily get these useful information through lessons organized by local
534 government or other public media. In addition, the government should encourage large
535 scale farms instead of individual family farms as large farms are conducive to fertilizer
536 and water management. Government can set up subsidies and tax exemption to
537 encourage relevant companies to sign an agreement with farmers to purchase corn
538 stover collected from the field instead of abandoning corn stover as waste or being
539 burned in the field. These actions can increase farmers' income and improve farmers'
540 enthusiasm for collecting corn stover.

541 (2) Some actions need to be taken by the transport sector, for example, to modify current
542 pipelines, pumping stations, and vehicles to make them suitable for the biofuels from
543 corn stover. Subsidies and tax exemption can be set up to promote drivers and haulage
544 companies to use biofuel from corn stover, which can increase biofuels' market
545 competitiveness.

546 (3) Industrial sector can promote the use of biofuels produced from corn stover, for
547 example, by encourage manufacturers to design and manufacture equipment
548 compatible with the biofuel.

549 (4) Scientific research institutes and technology developers can improve the efficiency
550 and sustainability of the biofuel, for example, through improving biofuel yield and
551 developing more efficient solar energy-based hydrogen production methods such as
552 biophotolysis, photofermentation and photoelectrolysis.

553 **4.6 Limits and drawbacks**

554 In this study, the emergy approach is used to evaluate the production efficiency
555 and sustainability of the whole biofuel production system. However, there are still some
556 limits and drawbacks:

557 (1) The data of maize production stage are collected from different open literature
558 sources. Therefore, there are some inconsistencies among the data sources, for example,
559 the data collected in different regions in China are in different years. In addition, the
560 data only reflect the maize production in a particular year, while the average data over
561 five years or more in one region are expected to be more suitable for evaluating the
562 production efficiency and sustainability of the whole biofuel production system in this
563 region.

564 (2) The data of fast pyrolysis and hydroprocessing are adopted from the simulation
565 results using software Aspen plus ([Wright et al., 2010](#)) as there is no real large-scale
566 plant producing biofuels from corn stover. The influence of the difference between the
567 simulation plant data and the real plant data is not clear but can be significant.

568 (3) The differences in hydrogen prices between three regions are ignored as many
569 factors influence the prices including the supply and demand to the market during the
570 operation period of each biofuel plant.

571 **5. Conclusions**

572 The present study has evaluated the efficiency and sustainability, using emergy
573 analysis, of two biofuel production scenarios (the hydrogen production scenario and the
574 hydrogen purchase scenario) via fast pyrolysis and hydroprocessing of corn stover in
575 three main maize production regions in China: NECP, NCP and SXP. The analysis of

576 the energy input structure has shown that the maize production stage has the biggest
577 energy input, while the fast pyrolysis and hydroprocessing stage has the second biggest
578 energy input, for all 6 selected cases. Most of the inputs come from non-renewable
579 resources from economy. Four biggest energy input flows of the whole biofuel
580 production system are fertilizer, water, hydrogen and electricity and hence must be
581 given more attention in order to improve the efficiency and sustainability of the biofuel
582 production system. Among the 6 cases considered, the hydrogen purchase scenario in
583 NCP, i.e., Case 4 is the best plan for the corn stover-based biofuel production system
584 due to the combination of its second best sustainability and second best production
585 efficiency. In addition, Case 4 is also found to be a better biofuel production pathway
586 comparing with other liquid biofuel production routes in China such as bioethanol from
587 cassava chips and wheat, and biodiesel from *jatropha curcas* L. According to the results
588 of sensitivity analysis, the corn stover yield has the greatest impact on the sustainability
589 and production efficiency of the hydrogen production scenario while for the hydrogen
590 purchase scenario the most influential parameter is the hydrogen price. The importance
591 and potential measures of improving water management, fertilizer management and
592 hydrogen production technology and policy applications to make the hydrogen
593 purchase scenario in NCP (Case 4) more efficient and sustainable have been highlighted
594 and put forward for the attention of the relevant sectors and stakeholders of this
595 important biofuel production system. The results of this study can serve as useful guide
596 to the future research, development and industrial application of biofuel production
597 from corn stover in China and other parts of the world.

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