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8	Shape-related characteristics of age-related differences in subcortical structures
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33	led by Dr. Denise Park, and distributed through INDI (Mennes et al., 2013) and NITRC
34	(Kennedy et al., 2016).

#### 35 Abstract 36 OBJECTIVES: With an increasing aging population, it is important to gain a better 37 understanding of biological markers of aging. Subcortical volume is known to differ with 38 age; additionally considering shape-related characteristics may provide a better index of 39 age-related differences in subcortical structure. Recently fractal dimensionality has been 40 shown to be more sensitive to age-related differences, but this measure is borne out of mathematical principles, rather than quantifying a neurobiologically relevant 41 42 characteristic directly. We considered four distinct measures of shape and how they relate 43 to aging and fractal dimensionality: surface-to-volume ratio, sphericity, long-axis curvature. and surface texture. METHODS: Structural MRIs from two samples, with a 44 45 combined sample size of over 600 healthy adults across the adult lifespan, were used to 46 measure age-related differences in the structure of the thalamus, putamen, caudate, and 47 hippocampus. For each structure, volume and fractal dimensionality were calculated, as 48 well as each of the four distinct shape measures. These measures were then examined in 49 their utility in explaining age-related variability in brain structure. RESULTS: The four 50 shape measures were able to account for 80-90% of the variance in fractal 51 dimensionality, indicating that these measures were sensitive to the same shape 52 characteristics. Of the distinct shape measures, surface-to-volume ratio was the most 53 sensitive aging biomarker. CONCLUSION: Though volume is often used to characterize 54 inter-individual differences in subcortical structures, our results demonstrate that 55 additional measures can be useful complements to volumetry. Our results indicate that 56 shape characteristics of subcortical structures are useful biological markers of healthy 57 aging. 58 59 **Keywords:** structural MRI; brain morphology; fractal dimensionality; volume; thalamus; caudate: radiomics 60

#### 62 1. Background and Objectives

63 As the world's aging population continues to increase, it is important to gain a better understanding of biological markers of aging. A variety of markers have been found to be 64 65 useful in this regard—including epigenetic, physiological, neuroanatomical, and 66 cognitive measures (Bae et al. 2013; Chen et al., 2015; Hannum et al., 2013; Horvath, 67 2013; Reagh & Yassa, 2017; Salthouse, 2011; Small et al., 2011; Walhovd et al., 2011). 68 With respect to the brain, it is well established that there are age-related differences in the 69 volume of subcortical structures (Allen et al., 2005; Goodro et al., 2012; Inano et al., 70 2013; Long et al., 2012; Potvin et al., 2016; Raz et al., 2005; Tamnes et al., 2013; 71 Walhovd et al., 2005, 2011; Yang et al., 2016). However, it is important to acknowledge 72 that volume is a summary statistic of the three-dimensional segmented structure and that 73 it may be neglecting other facets of the structure that also vary with age, such as 74 morphological (i.e., shape-related) characteristics. More directly, it is relatively unlikely 75 that volumetric changes in subcortical structures would change without concurrent 76 changes in the shape of the structure—that is, for a structure to maintain the same general 77 form and merely 'scale' in size. As such, any inter-individual characteristic associated 78 with volumetric differences, such as aging or neurodegenerative diseases, would likely be 79 identified by simultaneously considering both volumetric and morphological properties 80 (additional measures, such as neuropsychological tests and genetic risk factors would also 81 be beneficial). It is an open question, however, as to what measure could be used along 82 with volume to characterize these morphological properties, which are also neurobiologically relevant. Here we sought to examine the sensitivity of different 83

84	morphological measures in indexing healthy age-related differences in subcortical
85	structures and serving as more robust neuroanatomical markers of aging.
86	A recent study by Madan and Kensinger (2017a) suggested that fractal
87	dimensionality, a measure of structural complexity, might be such a measure. In their
88	study, fractal dimensionality indexed age-related differences better than volume,
89	corrected for intracranial volume (i.e., ICV-corrected). Fractal dimensionality measures
90	the volumetric properties across different spatial scales (i.e., resolution; see Figures 1 and
91	2 of Madan & Kensinger, 2016), allowing for a scale invariant calculation of
92	morphological characteristics. This measure was found to be generally more sensitive to
93	age-related variability in the subcortical structures than volume—it has been
94	demonstrated to be a useful mathematical approach to characterizing complex structures
95	in many domains (Di Ieva et al., 2014, 2015; Lopes & Betrouni, 2009). However, it is
96	unlikely that fractal dimensionality is directly related to neuroanatomical changes-that
97	is, the brain is not changing in fractal dimensionality with age, but rather that there are
98	not-yet-understood systematic changes that fractal dimensionality is sensitive to
99	detecting. If we accept that subcortical structures vary in volume in relation to aging, one
100	must consider how this occurs within the brain as constrained by biology. If the thalamus
101	is decreasing in volume due to age atrophy, it cannot simply 'scale' in-place while
102	keeping the same relative shape. First, subcortical structures share boundaries with other
103	structures—gaps do not appear throughout the brain due to these volumetric decreases—
104	so the shape of structures must be inter-related. Second and relatedly, it is likely that the
105	large-scale structural properties of these subcortical structures must also change in their
106	broad curvature.

Examining the differences in explained variability  $(R^2)$  reported in Madan and 107 108 Kensinger (2017a, Figure 2) for volume and fractal dimensionality, as well as the 109 relationship between volume and fractal dimensionality (Madan and Kensinger, 2017a, 110 Figure 5) it appears that fractal dimensionality is particularly beneficial, beyond volume, 111 in measuring age-related differences in the structure of the thalamus, putamen, caudate. 112 and hippocampus (see Figure 1 for visualizations of these structures). Here we consider 113 four measures that would each be indexed by fractal dimensionality, but would not be 114 detected by volume: surface-to-volume ratio, sphericity, long-axis curvature, and surface 115 texture. Each of these discretizes shape-related information based on the relative scale of 116 potential structural complexity characteristics.

(1) The *ratio of surface area to volume* can be used as a coarse measure of a
structure's compactness and has long been used in characterizing the properties of 3D
structures (i.e., stereology) (Lewis, 1976; Weibel et al., 1966). This ratio value will be
relatively small for compact structures, but will be markedly larger for a structure that is
more flattened or otherwise spread out.

(2) *Sphericity*, a measure of how closely a shape resembles a sphere, measured as
the ratio of the surface area of a sphere with the same volume as the structure, relative to
the actual surface area of the structure (Wadell, 1932, 1935; Wentworth, 1933).

(3) *Long-axis curvature* was measured by first determining the 'mean meridian', a
curved line that went through the central mass of the structure, and has a long-standing

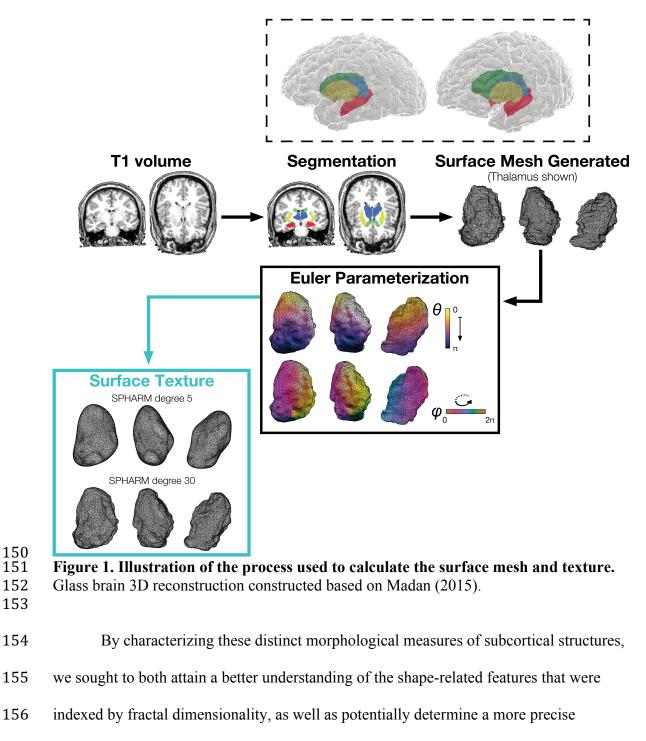
127 history in the characterization of biological structures (Blum, 1973; Yushkevich et al.,

128 2006, 2007). Long-axis curvature was operationalized as the ratio between the lengths of

a curved line (spline) that travels along the mean meridian of the structure, connecting the

most extended ends of the structure and traveling through the central mass of the
structure, and a line that connects the two ends of the structure using the shortest straightline distance.

133 (4) A remaining morphological feature is the *surface texture* or roughness of the 134 structure. This measure would correspond higher-frequency in the structure's shape and 135 has previously been investigated in relation to fractal dimensionality in other fields of 136 research (e.g., Gårding, 1988; Lespessailles et al., 2006; Lopes et al., 2011; Pentland, 137 1985; Sarker & Chaudhuri, 1992; Thomas et al., 1999). Here we quantified the surface 138 texture of a structure by reconstructing the subcortical structure's topological frequency 139 using spherical harmonics (SPHARM) (Chung et al., 2008; Gerig et al., 2001a,b; Madan 140 & Kensinger, 2017b; Shen et al., 2007, 2009), based on Fourier series mathematics. 141 SPHARM has also been related to the fractal dimensionality of brain structures (Madan 142 & Kensinger, 2017b; Yotter et al., 2011). By comparing the surface area between 143 SPHARM surfaces with differing maximum numbers of degrees we can measure the 144 surface texture (roughness) of structures. This ratio is essentially a comparison between 145 the surface area of a smoothed version of the structure that nonetheless captures the 146 global shape, relative to the surface area of a mesh that does capture the nuances and 147 local features of the structure. The difference between these two sets of coordinates 148 effectively represents a 'displacement map' (Blinn, 1978; Lee et al., 2000).



- 157 measure of morphology that is further sensitive to as a neuroanatomical marker of aging.
- 158 Here we evaluated these measures in explaining age-related variability in brain structure,
- and their relation to fractal dimensionality, using two open-access magnetic resonance

- imaging (MRI) datasets with a combined sample size of over 600 healthy adults acrossthe lifespan.
- 162
- 163 **2. Research Design and Methods**
- 164 *2.1. Datasets*

165 Sample 1 (OASIS) consisted of 314 healthy adults (196 females), aged 18-94, from the

166 publicly available Open Access Series of Imaging Studies (OASIS) cross-sectional

167 dataset (Marcus et al., 2007; http://www.oasis-brains.org). Participants were recruited

- 168 from a database of individuals who had (a) previously participated in MRI studies at
- 169 Washington University, (b) were part of the Washington University Community, or (c)
- 170 were from the longitudinal pool of the Washington University Alzheimer Disease
- 171 Research Center. Participants were screened for neurological and psychiatric issues; the
- 172 Mini-Mental State Examination (MMSE) and Clinical Dementia Rating (CDR) were
- administered to participants aged 60 and older. To only include healthy adults,
- 174 participants with a CDR above zero were excluded; all remaining participants scored 25
- 175 or above on the MMSE. Multiple T1 volumes were acquired using a Siemens Vision 1.5
- 176 T with a MPRAGE sequence; only the first volume was used here. Scan parameters were:
- 177 TR=9.7 ms; TE=4.0 ms; flip angle=10°; voxel size=1.25×1×1 mm. Volumetric and
- 178 fractal dimensionality analyses from the OASIS dataset were previously reported in
- 179 Madan and Kensinger (2017a).
- 180

181 Sample 2 (DLBS) consisted of 315 healthy adults (198 females), aged 20-89, from wave

182 1 of the Dallas Lifespan Brain Study (DLBS), made available through the International

183 Neuroimaging Data-sharing Initiative (INDI; Mennes et al., 2013) and hosted on the the

- 184 Neuroimaging Informatics Tools and Resources Clearinghouse (NITRC; Kennedy et al.,
- 185 2016) (http://fcon 1000.projects.nitrc.org/indi/retro/dlbs.html). Participants were
- 186 screened for neurological and psychiatric issues. No participants in this dataset were
- 187 excluded. All participants scored 26 or above on the MMSE. T1 volumes were acquired
- using a Philips Achieva 3 T with a MPRAGE sequence. Scan parameters were: TR=8.1
- ms; TE=3.7 ms; flip angle= $12^{\circ}$ ; voxel size= $1 \times 1 \times 1$  mm. See Kennedy et al. (2015) and
- 190 Chan et al. (2014) for further details about the dataset.
- 191
- 192 2.2. Segmentation and volumetric analyses
- 193 All structural MRIs were processed using FreeSurfer 5.3.0 on a machine running CentOS
- 194 6.6 (Fischl, 2012; Fischl & Dale, 2000; Fischl et al., 2002). FreeSurfer's standard
- 195 pipeline was used (i.e., recon-all). Segmented volumes from all participants were
- 196 visually inspected but no manual edits were made. Data from two additional participants
- 197 were excluded from Sample 1 (OASIS) due to poor reconstructions; none were excluded
- 198 from Sample 2 (DLBS). Visual inspections were conducted using Mindcontrol
- 199 (Keshavan et al., in press).
- FreeSurfer's segmentation procedure produces labels for the subcortical structures
  within a common segmentation volume (Fischl et al., 2002, 2004). Volumes for
- 202 subcortical structures were obtained directly from FreeSurfer. Validation studies have
- shown that this automated segmentation procedure corresponds well with manual tracing
- 204 (e.g., Fischl et al., 2002; Keller et al., 2012; Lehmann et al., 2010). FreeSurfer has been
- 205 used in a large number of studies investigating age-differences in subcortical structures

206 (e.g., Inano et al., 2013; Long et al., 2012; Madan & Kensinger, 2017a; Potvin et al.,

207 2016; Tamnes et al., 2013; Walhovd et al., 2005, 2011; Yang et al., 2016). Intracranial

volume (ICV) was also estimated using FreeSurfer (Buckner et al., 2004), which has also

been shown to correspond well with manual tracing (Sargolzaei et al., 2015).

210

211 2.3. Fractal dimensionality (FD) analyses

212 The complexity of each structure was calculated using the calcFD toolbox (Madan &

213 Kensinger, 2016; <u>http://cmadan.github.io/calcFD/</u>). This toolbox calculates the 'fractal

dimensionality' of a three-dimensional (3D) structure, and is specifically designed to use

215 intermediate files from the standard FreeSurfer analysis pipeline, here

216 aparc.a2009s+aseg.mgz. The toolbox has previously been used with parcellated

217 cortical and subcortical structure, as well as validated using test-retest data (Madan &

218 Kensinger, 2016, 2017a,b).

219 We use fractal dimensionality as a measure of the complexity of a 3D structure, 220 i.e., a subcortical structure. Unlike volume, which corresponds to the 'size' of any 3D 221 structure, fractal dimensionality measures shape information and is scale invariant 222 (Madan & Kensinger, 2016, 2017a). In other words, two structures of the same shape 223 could be different in size and still have the same fractal dimensionality. In fractal 224 geometry, several approaches have been proposed to quantify the 'dimensionality' or 225 complexity of natural structures; the approach here calculates the Minkowski-Bouligand 226 or Hausdorff dimension (Kennedy & Lin, 1986; Mandelbrot, 1967). See Madan and 227 Kensinger (2016, 2017a) for further details on applying fractal dimensionality to 228 characterize cortical and subcortical structures.

### 230 2.4. Morphological measures of interest

231 A series of steps were necessary to calculate the four shape measures used here. The 232 voxel-based segmented structure was read into MATLAB from FreeSurfer's 'aseg' 233 volume. The triangulated surface mesh ('isosurface') for each subcortical structure was 234 then estimated using the marching cubes algorithm (Lorenson & Cline, 1987). The mesh 235 was subsequently smoothed and re-parameterized relative to a sphere using an isotropic 236 heat diffusion algorithm, as implemented by Chung (Chung 2013, 2014; Chung et al., 237 2008, 2010), over five iterations. A first-order ellipsoid was then fit to the surface 238 vertices to determine a registration of the structure to standardized orientation—rather 239 than being oriented based on native space (Cong et al., 2014; Huang et al., 2007; Shen et 240 al., 2007, 2009), by means of a principal components analysis. The orientation of each 241 structure was then rotated such that the long-axis corresponded to the major axis of the 242 first-order ellipsoid. With the structure parameterized relative to a sphere, the vertex 243 coordinates of the mesh were parameterized consistently with Euler angle conventions, 244 with  $\theta$  (theta) corresponding to the position relative to the poles of sphere  $[0, \pi]$  (akin to 245 latitude) and  $\varphi$  (phi) corresponding to the position along the equator [0,  $2\pi$ ] (akin to 246 longitude), as shown in Figure 1. This re-parameterization of a 3D closed surface to a 247 sphere was conducted consistently with prior work (Brechbühler et al., 1995; Chung et 248 al., 2008; Shen & Makedon, 2006; Staib & Duncan, 1996). As noted earlier, the poles of 249 the coordinates were defined based on the long-axis of the structure, irrespective of the 250 orientation of the structure within the brain, based on the fitted first-order ellipsoid (see 251 Shen & Makedon, 2006).

For three of the measures (all except for sphericity), values were subsequently
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253 log-transformed. Additionally, since potential hemispheric differences were not of

interest here, measures were averaged into a single value per structure and individual,

collapsing across hemisphere.

256

257 2.4.1. Surface-to-volume ratio (SV). Here we simply divided the surface area of the
258 constructed surface mesh of the structure by the volume of the structure as a coarse
259 measure of the compactness of the structure.

260

2.4.2. Sphericity (Sph). The ratio of the surface area of a sphere with the same volume as
the structure, relative to the actual surface area of the structure (Wadell, 1935), defined
as:

$$\Psi = \frac{\pi^{\frac{1}{3}} (6V)^{\frac{2}{3}}}{S}$$

264

where V represents the volume, S represents the surface area, and  $\Psi$  (Psi) represents the structure's sphericity.

267

268 **2.4.3. Long-axis curvature (LAc).** A three-dimensional (3D) smoothing spline was fit to 269 the mean vertex coordinates of the structure, based on grouping vertices into 50 bins, 270 with bins based on percentiles of  $\theta$  values. The length of this spline in 3D space served as 271 the length of the mean meridian of the structure. A second line was calculated as the 272 straight line between the start and end points of the spline. As such, if the points along the 273 mean-meridian spline lay perfectly along this straight line, the structure would have no 274 long-axis curvature.

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# 276 **2.4.4. Surface texture (Tex).**

277 Using the Euler angle parameterization of the structure, we computed a weighted 278 spherical harmonics (SPHARM) representation to characterize the structure across 279 different topological frequencies (Chung 2013, 2014; Chung et al., 2008, 2010). This 280 approach also attenuates the Gibbs phenomenon (ringing artifact) that is otherwise 281 introduced by fitting Fourier series to discontinuous data. Here we calculated the surface 282 texture as the ratio between the surface areas of a detailed mesh that includes high-283 frequency topological properties (maximum SPHARM degree 30) and a relatively 284 smooth surface that only characterizes low-frequency topology (maximum SPHARM) 285 degree 5). A degree of 5 was selected as an appropriate threshold for low-frequency 286 shape characteristics based on the surfaces examined in prior studies (Chung, 2013; 287 Chung et al., 2008; Madan & Kensinger, 2017b). Examples of these two representations 288 for the thalamus of a representative young adult are shown in Figure 1. 289 290 2.5. Data analyses 291 Age differences in the subcortical structures was first assessed using regression models 292 examining the relationships between age and volume (or fractal dimensionality) of the

structure, with the amount of variance explained (i.e.,  $R^2$ ) and Bayesian Information

294 Criterion (*BIC*) as the model fitness statistic. A spline regression was used as Fjell et al.

295 (2010, 2013) demonstrated that age-related differences in structural measures are not

explained well by linear and quadratic models. A smoothing spline regression was used

297 (smoothing parameter set to 0.1), and in the case of several structural measures (i.e., the

298	'Shape' model, described below), a multiple smoothing-spline regression procedure was
299	used, as implemented in the Prism toolbox (Madan, 2016). All regression models
300	reported controlled for the main effect of sex. All regressions with age were conducted
301	such that the age was the dependent variable, rather than the independent variable (i.e.,
302	unlike Madan & Kensinger, 2017a; Walhovd et al., 2011). The 'Shape' model is the
303	result of a multiple spline regression including the four distinct shape measures: surface-
304	to-volume ratio (SV), sphericity (Sph), long-axis curvature (LAc), and shape texture
305	(Tex). A set of regression models combining measures across all four subcortical
306	structures was also included to provide both an over-arching set of regression models
307	across the structures, as well as show the independence vs. collinearity of the age-related
308	differences across structures.
309	Volume was ICV-corrected prior to conducting the regression analyses. ICV-
310	corrected measurements were calculated as the residual after the measure was regressed
311	for ICV (as in Madan & Kensinger, 2017a; Walhovd et al., 2011). All shape measures—
312	fractal dimensionality, surface-to-volume ratio, sphericity, long-axis curvature, and shape
313	texture—are scale invariant and thus were not ICV-corrected.
314	For each regression model, we report both $R^2$ , with age (or fractal dimensionality)
315	as the dependent measure, as well as the Bayesian Information Criterion (BIC). BIC is a
316	model fitness index that includes a penalty based on the number of free parameters
317	(Schwarz, 1978). Smaller BIC values correspond to better model fits. By convention, two
318	models are considered equivalent if $\Delta BIC < 2$ (Burnham & Anderson, 2004). As $BIC$
319	values are based on the relevant dependent variable, $\Delta BIC$ values are reported relative to
320	the best-performing model (i.e., $\Delta BIC = 0$ for the best model considered).

321	For the models explaining age-related variability, since they all have the same
322	dependent variable, $\Delta BIC$ values can be compared across all subcortical structures and
323	measures. However, for the models with a subcortical structure's fractal dimensionality
324	(FD) as the dependent measure, the $\Delta BIC$ values <i>cannot</i> be compared directly. Best-
325	fitting models for each structure (thalamus, putamen, caudate, hippocampus, combined),
326	sample (OASIS, DLBS), and dependent variable (age, FD) are shown in bold in Table 1.
327	Equivalent $R^2$ and $\Delta BIC$ values for models that include more than one measure
328	indicate Prism algorithm (based on relevance vector regression [RVR]; Tipping, 2000)
329	selected the same subset of measures, based on the inherent feature selection (i.e.,
330	automatic relevance determination) in RVR. E.g., for the regression models with FD as
331	the dependent variable, if volume was a relatively good predictor, models that included
332	volume along with a shape measure could be based only on the volume measure after the
333	feature selection. As such, these models will all yield an identical output as the volume-
334	only model, since the additional measure was removed. In these cases, only the simpler
335	model is shown in bold in Table 1.

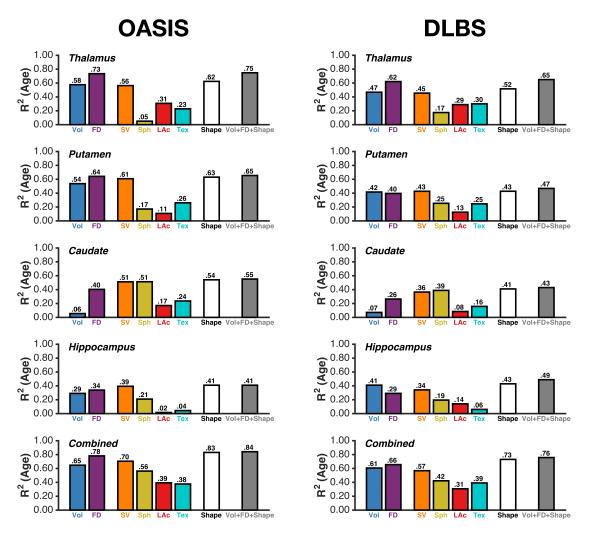
## 337 **3. Results**

Figure 2 and Table 1 show how well each of the morphological measures was able to index age-related differences in the subcortical structures. Surprisingly, the most coarse shape measure included here, surface-to-volume ratio (SV), performed the best out of the four distinct shape measures. Moreover, the aggregate 'Shape' model that included all four of the shape measures generally performed only slightly better than the surface-tovolume ratio alone. In both samples, the surface-to-volume ratio explained more agerelated variability in brain structure than fractal dimensionality for the caudate and
hippocampus. Regression models including shape measures as well as volume (see Table
1), further demonstrate that shape-related characteristics were beneficial measures of agerelated differences in subcortical structure beyond volumetry.

348 Sphericity performed more poorly than surface-to-volume ratio in nearly all cases, 349 despite being closely related measures. Relatedly, the long-axis curvature performed 350 more poorly than expected, together indicating that shape information related to the 351 elongation of the structure is not particularly useful in understanding age-related 352 differences in subcortical structure. Higher-frequency spatial information, i.e., shape 353 texture, also did not seem be very informative either, despite artifactual reasons that it 354 may have been useful (e.g., head motion would lead to smoother estimates of segmented 355 structures, older adults are known to have increased head motion; see Madan & 356 Kensinger, 2016, for a more detailed discussion).

357 When the four distinct shape measures were combined with fractal dimensionality 358 and volume (the gray bar), gains were relatively small relative to fractal dimensionality 359 alone. However, this result is in-line with the primary goal of the study-to better 360 characterize the structural properties that fractal dimensionality was sensitive to, using 361 more interpretable measures of a structure's shape. In this vein we were successful, the 362 aggregate Shape model accounted for 80-90% of the variance in fractal dimensionality in 363 all cases (i.e., for each subcortical structure and sample; see Table 1). The principle 364 contributor in explaining age-related variability in fractal dimensionality was the surface-365 to-volume ratio measures, convergent with this measure being the most sensitive to age-366 related differences, of the four shape measures.

367 With regards to individual subcortical structures, we found that fractal 368 dimensionality continued to be indicative of age-related differences in thalamus, even 369 beyond the distinct shape measures considered here. Age-related differences in the two 370 structures with the most elongation, the caudate and hippocampus, were not particularly 371 well explained by any of the shape measures. At least, however, the shape measures did 372 provide a significant improvement over volume, which was relatively unaffected by age. 373 Smoothing spline fits for volume, fractal dimensionality, and surface-to-volume ratio are 374 shown in Figure 3. These spline fits show that many middle-age adults have comparable 375 volume and fractal dimensionality-for the caudate and hippocampus-to young adults, 376 which is likely related to the poorer age-related differences observed here.



379 Figure 2. Variance explained in age, for each of the structures, morphological

- **measures, and samples considered**. 'Shape' is an aggregate of SV, Sph, LAc, and Tex.
- 381 Key: Vol, volume; FD, fractal dimensionality; SV, surface-to-volume ratio; Sph,
- sphericity; LAc, long-axis curvature; Tex, shape texture; see Table 1 for additional detailsand comparisons.
- 384

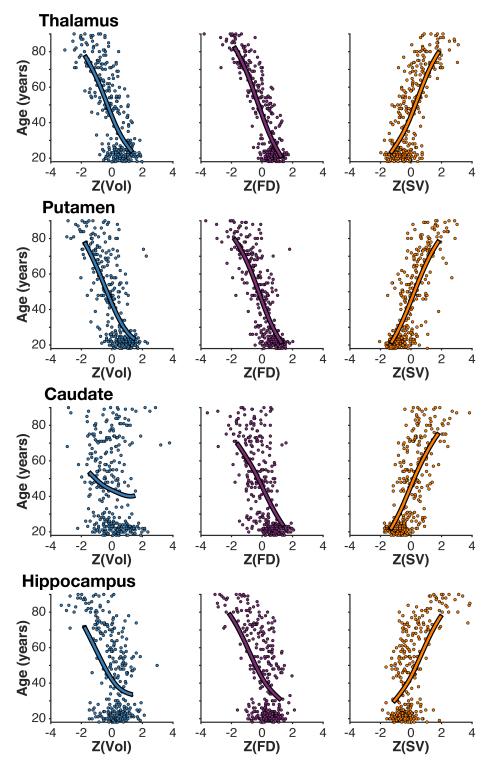


Figure 3. Smoothing spline age-structure fits for the OASIS sample. X-axis values
represent z-scored volume (Vol), fractal dimensionality (FD), and surface-to-volume
ratio (SV). Each dot represents an individual.

		Measures		A			FD			
			<b>_O</b> A	ASIS	DI	LBS	_OA	SIS	DI	LBS
Structure	Model	Vol Sph LAc Tex	$R^2$	∆BIC	$R^2$	∆BIC	$R^2$	∆BIC	$R^2$	∆BI
Thalamus	Individual Measures									
	Volume (Vol)	×	.58	254	.47	193	.73	164	.82	1
	Fractal Dimensionality (FD)	×	.73	107	.62	86	_	_	_	_
	Surface-to-Volume (SV)	×	.56	264	.45	201	.84	0	.82	
	Sphericity (Sph)	×	.05	507	.17	331	.10	542	.28	43
	Long-Axis Curvature (LAc)	×	.31	408	.29	284	.36	434	.20	42
	Surface Texture (Tex)	×	.23	408	.29	284	.30	452	.32 .44	30
	Surface Texture (Tex)		.23	442	.50	219	.52	452	.44	5
	Individual Measures + Volume	~ ~	74	111	(2	00				
	Fractal Dimensionality (FD)	× ×	.74	111	.62	90	_	-	_	-
	Surface-to-Volume (SV)	× ×	.63	216	.50	181	.84	0	.82	
	Sphericity (Sph)	× ×	.58	254	.48	191	.73	164	.82	
	Long-Axis Curvature (LAc)	× ×	.60	244	.50	177	.73	164	.82	
	Surface Texture (Tex)	× ×	.58	255	.50	182	.73	164	.82	
	Shape [SV+Sph+LAc+Tex]	× × × ×	.62	228	.52	180	.84	0	.82	
	Vol + FD + Shape	* * * * * *	.75	108	.65	84	_	_	-	_
Putamen	Individual Measures									
	Volume (Vol)	×	.54	282	.42	222	.78	128	.90	
	Fractal Dimensionality (FD)	×	.64	201	.40	233	_	_	_	_
	Surface-to-Volume (SV)	×	.61	230	.43	216	.85	0	.91	
	Sphericity (Sph)	×	.17	465	.25	300	.17	549	.37	5
	Long-Axis Curvature (LAc)	×	.11	488	.13	349	.21	532	.29	6
	Surface Texture (Tex)	×	.26	429	.25	303	.31	490	.51	5
	Individual Measures + Volume									
	Fractal Dimensionality (FD)	××	.64	205	.42	226				
		x x					-	-	-	_
	Surface-to-Volume (SV)		.63	221	.45	210	.85	0	.91	
	Sphericity (Sph)	××	.54	286	.44	213	.78	128	.90	
	Long-Axis Curvature (LAc)	× ×	.54	282	.42	222	.78	128	.90	
	Surface Texture (Tex)	× ×	.55	282	.43	222	.78	128	.90	
	Shape [SV+Sph+LAc+Tex]	× × × ×	.63	222	.43	222	.85	0	.91	
	Vol + FD + Shape	* * * * * *	.65	207	.47	204	-	-	-	_
Caudate	Individual Measures									
	Volume (Vol)	×	.06	506	.07	368	.60	421	.71	1
	Fractal Dimensionality (FD)	×	.40	361	.26	295	_	-	_	_
	Surface-to-Volume (SV)	×	.51	298	.36	249	.89	0	.84	
	Sphericity (Sph)	×	.51	297	.39	236	.51	480	.37	4
	Long-Axis Curvature (LAc)	×	.17	465	.08	364	.29	599	.15	5
	Surface Texture (Tex)	×	.24	428	.16	319	.38	571	.27	5
	Individual Measures + Volume									
	Fractal Dimensionality (FD)	××	.41	364	.27	296	_	_	_	_
		x x	.52				.89	- 0	.84	
	Surface-to-Volume (SV)	× ×		302	.37	252				1
	Sphericity (Sph)		.51	297	.39	236	.60	421	.71	1
	Long-Axis Curvature (LAc)	× ×	.18	469	.11	361	.60	421	.71	1
	Surface Texture (Tex)	× ×	.24	428	.17	321	.60	434	.71	2
	Shape [SV+Sph+LAc+Tex]	× × × ×	.54	280	.41	220	.90	14	.85	
	Vol + FD + Shape	× × × × × ×	.55	273	.43	209				

		Measures	Age		FD			
			OASIS	DLBS	OASIS	DLBS		
Structure	Model	Vol FD Sph LAc Tex	$R^2 \ \Delta BIC$	$R^2 \Delta BIC$	$R^2 \ \Delta BIC$	$R^2 \ \Delta BIC$		
Hippocampus	Individual Measures							
	Volume (Vol)	×	.29 416	.41 225	.73 153	.87 9		
	Fractal Dimensionality (FD)	×	.34 394	.29 283				
	Surface-to-Volume (SV)	×	.39 366	.34 260	.84 0	.87 0		
	Sphericity (Sph)	×	.21 450	.19 323	.35 431	.41 485		
	Long-Axis Curvature (LAc)	×	.02 519	.14 344	.00 567	.00 649		
	Surface Texture (Tex)	×	.04 510	.06 372	.11 530	.31 533		
	Individual Measures + Volume							
	Fractal Dimensionality (FD)	× ×	.34 397	.42 227				
	Surface-to-Volume (SV)	× ×	.39 372	.41 229	.84 0	.87 0		
	Sphericity (Sph)	× ×	.32 410	.42 227	.73 153	.87 9		
	Long-Axis Curvature (LAc)	× ×	.29 416	.43 221	.73 153	.87 9		
	Surface Texture (Tex)	× ×	.29 416	.41 225	.73 153	.87 9		
	Shape [SV+Sph+LAc+Tex]	× × × ×	.41 370	.43 232	.84 0	.87 0		
	Vol + FD + Shape	* * * * * *	.41 370	.49 203				
Combined	Individual Measures							
(measures from	Volume (Vol)	×	.65 214	.61 115				
all 4 subcortical	Fractal Dimensionality (FD)	×	.78 58	.66 68				
structures)	Surface-to-Volume (SV)	×	.70 153	.57 139				
	Sphericity (Sph)	×	.56 281	.42 230				
	Long-Axis Curvature (LAc)	×	.39 384	.31 287				
	Surface Texture (Tex)	×	.38 382	.39 235				
	Individual Measures + Volume							
	Fractal Dimensionality (FD)	× ×	.79 55	.71 35				
	Surface-to-Volume (SV)	× ×	.78 76	.69 49				
	Sphericity (Sph)	× ×	.71 172	.68 66				
	Long-Axis Curvature (LAc)	× ×	.70 186	.65 103				
	Surface Texture (Tex)	× ×	.68 191	.66 78				
	Shape [SV+Sph+LAc+Tex]	× × × ×	.83 32	.73 32				
	Vol + FD + Shape	× × × × × ×	.84 <b>0</b>	.76 0				

(Table 1 continued)

391

392 Table 1. Hierarchical regression analysis of age and fractal dimensionality, for each

**393** of the structures and morphological measures considered. Best-fitting models for

ach structure (thalamus, putamen, caudate, hippocampus, combined), sample (OASIS,

395 DLBS), and dependent variable (age, FD) are shown in **bold**.

#### **396 4. Discussion and Implications**

397 Fractal dimensionality appears to be a structural measure high in reliability (Madan & 398 Kensinger, 2017b), sensitive to age-related differences (Madan & Kensinger, 2016, 399 2017a), as well as useful in differentiating individuals with a variety of psychiatric and 400 neurological disorders relative to healthy controls (de Miras et al., in press; King et al., 401 2010; Nenadic et al., 2014; Sandu et al., 2008; Thompson et al., 2005). However, this 402 measure is borne out of mathematical principles, rather than quantifying a 403 neurobiologically relevant biomarker directly. Here we compared the sensitivity of fractal 404 dimensionality to age-related differences in healthy adults with four distinct shape-related 405 measures that are more biologically relevant than fractal dimensionality: surface-to-406 volume ratio, sphericity, long-axis curvature, and surface texture. Though our results 407 demonstrate that these other shape-related measures are able to explain most of the same 408 variance as fractal dimensionality, we nonetheless suggest that fractal dimensionality is 409 the more useful *single* measure, as it simultaneously accounts for these shape-related 410 characteristics and also works as a general purpose measure of structural complexity (see 411 Madan & Kensinger, 2016). Nonetheless, the current results indicate that surface-to-412 volume ratio is also a particularly useful biological marker of age-related differences in 413 subcortical structures and should be considered in future studies of age-related structural 414 differences. These results lay the foundation for future *ex vivo* histological research to 415 examine how aging effects the microstructure of subcortical structures. 416 Here we demonstrate that shape-related measures can be used as robust biological 417 markers of aging using a computational neuroanatomy framework. While fractal 418 dimensionality performed well, the four distinct measures of shape-related characteristics

419 were also sensitive to age, particularly surface-to-volume ratio. Furthermore, the current

420 approach is in-line with the emerging literature on 'radiomics' (Adduru et al., in press;

421 Gillies et al., 2016; Lambin et al., 2012, in press; Parekh & Jacobs, 2016; Yip & Aerts,

422 2016), the use of high-throughput automatic quantitative imaging analyses to calculate

423 structural features related to the shape of brain structures from radiological images, as

- 424 well as further demonstrates the benefits of open-access data for brain morphology
- 425 research (see Madan, 2017, for an in-depth discussion). The current findings clarify the
- 426 age-related differences in the shape, not just volume, of subcortical structures in the brain
- 427 and provide strong evidence for additional biological markers of aging.

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