1	Title: Engineering substrate promiscuity in halophilic alcohol dehydrogenase ( <i>Hv</i> ADH2) by
2	<i>in silico</i> design
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# 23 Abstract

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25 An alcohol dehydrogenase from the halophilic archaeon Haloferax volcanii (HvADH2) has 26 been engineered by rational design to broaden its substrate scope towards the conversion of 27 a range of aromatic substrates, including flurbiprofenol, that is an intermediate of the nonsteroidal anti-inflammatory drug, flurbiprofen. Wild-type HvADH2 showed minimal activity 28 29 with flurbiprofenol (11.1 mU/mg). A homology model of HvADH2 was built and docking 30 experiments with this substrate revealed that the biphenyl rings of flurbiprofenol formed strong interactions with residues F85 and F108, preventing its optimal binding in the active 31 32 site. Mutations at position 85 however did not increase activity. Site directed mutagenesis at 33 position F108 allowed the identification of three variants showing a significant (up to 2.3-fold) enhancement of activity towards flurbiprofenol, when compared to wild-type HvADH2. 34 Interestingly, F108G variant did not show the classic inhibition in the presence of (R)-35 36 enantiomer when tested with rac-1-phenylethanol, underling its potential in racemic 37 resolution of secondary alcohols.

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### 39 Abbreviations

ADH (alcohol dehydrogenase); F-MBA (fluoro-methyl benzyl alcohol), 2-Phe-1-prop (2-phenyl-1propanol), 4-Phe-2-But (4-phenyl-2-butanol); WT (wild-type); *Hv*ADH2 (ADH2 from *Haloferax volcanii*)

# 44 Introduction

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Enzymes are appealing as a 'green' adjunct to chemical synthesis of pharmaceutical building 46 blocks because of their broad specificity, enantioselectivity and ability to work under process 47 48 conditions [1]. Found in all three domains of life, alcohol dehydrogenases (ADHs) are members of the oxidoreductase family, which catalyze the interconversion of primary and/or 49 secondary alcohols into aldehydes and ketones, respectively [2]. A recent review details the 50 51 staggering applications of ADHs in the production of pharmaceutical building blocks [3]. Codexis described the evolution of Lactobacillus kefir ADH towards the enantiopure 52 53 intermediate of the anti-depressant, (S)-duloxetine, with yields as high as 150 g/L [4].

Many additional examples of enzyme engineering applied to ADHs, which have led to variants 54 55 suitable for industrial applications, have been reported in the literature [5-7]. Sequence alignments of protein families identify potential 'hot-spots' for mutagenesis as non-conserved 56 57 positions; they are then further probed by homology modelling and *in silico* docking [8]. A site-directed mutagenesis strategy has applied for redesigning substrate specificity in 58 59 glutamate dehydrogenase from Halobacterium salinarum [9]. The substitutions K89L, A163L 60 and S367A converted this enzyme into a dehydrogenase accepting L-methionine, L-norleucine and L-norvaline as substrates. Biocatalytic strategies employing ADHs have already been 61 reported to produce 2-arylpropionic acids and the corresponding derivatives [10-13]. 62 Hyperthermophilic SsADH-10 from Sulfolobus solfataricus was applied to the enzymatic 63 64 reduction and racemization of 2-arylpropionaldehydes [14].

In a previous study, *Hv*ADH2 from *Haloferax volcanii* (wild-type, WT) showed an unusually broad substrate specificity, with good activity with medium-chain alcohols, modest activity with secondary alcohols and also significant activity with benzyl alcohol [15-17]. Later studies into the solvent tolerance and immobilization of *Hv*ADH2 prompted a deeper investigation of the substrate scope of *Hv*ADH2 [18-19]. *Hv*ADH2 showed some activity with flurbiprofenol in a low concentration salt buffer to facilitate solubility.

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# 73 **Results and discussion**

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#### 75 HvADH2 Homology model and docking analysis

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77 Previous characterization of HvADH2 showed that the enzyme has a broad substrate scope because it can accept medium-chain alcohols, has modest activity with secondary alcohols 78 79 and retained 50% activity with the aromatic substrate, benzyl alcohol [17]. The potential of HvADH2 to reduce prochiral aromatic ketones was also investigated and it was found that 2-80 phenylpropionaldehyde was readily accepted (S7 Fig.). The model of the 3D structure of 81 82 HvADH2 was obtained by the SWISS-MODEL web-based server [20, 21] using the formaldehyde dismutase from Pseudomonas putida (PDB: 2dph) as the template (27% 83 sequence identity with HvADH2) [22]. The quality of the HvADH2 homology model was 84 assessed using the software ERRAT [23]: 30.1% of the protein structure model could be 85 rejected at a 95% confidence level (as compared to a threshold of 5% rejection for a high 86

quality model), but these (less accurate) regions are located on the protein surface and do
not affect the overall conformation and substrate binding at the active site (S1 Fig.). The
quality of the model was assessed by several bioinformatic tools that confirmed it as a reliable
model (see supplementary materials, S2 Fig.).

91 The NAD<sup>+</sup> cofactor and the conserved catalytic Zn<sup>2+</sup> ion were modelled into the *Hv*ADH2 92 model based on their position and conformation observed in the structure of the 93 formaldehyde dehydrogenase from *Pseudomonas putida* (PDB: 1kol, 26% sequence identity 94 with *Hv*ADH2) [24]. *Hv*ADH2 possesses the typical Rossmann binding motif found in NAD-95 binding enzymes.

Docking studies with the secondary aromatic alcohol, (S)-1-phenylethanol, ((S)-1-PheOH) 96 97 allowed the identification of the residues involved in the substrate binding at the active site of HvADH2. Two phenylalanine residues in position 85 and 108 (F85 and F108), at the top of 98 99 the active site, were identified as being important for substrate binding. These residues, 100 together with G294, form a hydrophobic pocket for the bulky aromatic ring of the substrate: 101 in particular, F108 participates in  $\pi$ - $\pi$  stacking interactions with the aromatic ring of (S)-1-PheOH. The polar hydroxyl group of the substrate is located in a polar region of the active site 102 lined by E49, D144 and S40 which forms a H-bond with oxygen of (S)-1-PheOH (Fig. 1). 103 104 HvADH2 showed a specific activity of 1200 mU/mg with (S)-1-PheOH under standard assay 105 conditions (while with 10 mM benzyl alcohol, 1 mM NADP<sup>+</sup>, 50 mM glycine-KOH, pH 10.0, the 106 activity was 2300 mU/mg). The aspecific van der Waals interactions provided by the two Phe 107 residues could explain the promiscuous activity of *Hv*ADH2 on aromatic substrates.

Although flurbiprofenol has significantly increased bulk in the side chain, the docking analysis 110 111 shows that the mode of binding is very similar to (S)-1-PheOH. This is because the additional aryl ring of the ligand is placed at the active site entrance, in contact with bulk solvent. The 112 hydroxyl group of the substrate is still within H-bonding distance to S40 and is 3.7 Å from the 113 114 active site Zn<sup>2+</sup> ion. The activity of purified wild-type *Hv*ADH2 toward 1 mM *rac*-flurbiprofenol 115 (2 M KCl, 30% MeOH to facilitate solubility) is 11.1 mU/mg. The racemic mixture of this alcohol 116 was used since it was produced by the synthetic procedure employed (ESI section 5). Based 117 on the HvADH2-substrate complex model, this >100-fold drop in specific activity relative to 118 (S)-1-PheOH could be explained by non-optimal positions of the reactive carbon atom of the substrate and the C4 of the cofactor NAD<sup>+</sup> (Fig. 1C). Mutagenesis of F85 and F108 (i.e., 119 substitution with a smaller residue) could result in a shorter distance between these two 120 121 reactive atoms, thus affecting the catalytic activity of the enzyme by facilitating hydride 122 transfer.

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Fig. 1 Three-dimensional model of *Hv*ADH2 active site in complex with different docked ligands. A) Complex with (*S*)-1-PheOH. B) LigPlot analysis of the interactions between docked (*S*)-1-PheOH and HvADH2 model. The substrate is in purple. Hydrophobic contacts are shown as dark red arches. C) Complex with (*S*)-flurbiprofenol. D) Complex with (*S*)-flurbiprofenol, surface representation. The ligands are represented as white sticks or spheres. The NAD<sup>+</sup> cofactor is in yellow and  $Zn^{2+}$  is represented as a pink sphere. Important residues are shown

131 in green; the  $\alpha$ C of G294 is shown as a sphere. The  $\pi$ - $\pi$  staking interactions are shown by 132 dotted lines and H-bonds by dashed lines.

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135 Sequence alignment analysis

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137 Bio-prodict 3DM database was used for the alignment of over 14000 sequences (HvADH2 no. 138 D4GP73) to determine the conservation degree of the two identified phenylalanine residues 139 (top 9 homologues shown in Fig. 2) [25]. Across the alignment, the amino acid distribution at 140 position 88 (numbering is per the 3DM database corresponding to F85 in HvADH2) was 17% phenylalanine, 16% proline and 28% was a gap. Position i1e (corresponding to F108) was less 141 conserved in the alignment since it was found in only a limited pool of 400 sequences: the 142 143 percentage of phenylalanine residues at this position was 9% (39% lysine, 21% arginine, and 144 9% phenylalanine with no gaps). Both positions seem to show a low degree of conservation pointing to a role in definition of the enzyme's substrate preference: both positions were 145 146 subjected to mutagenesis to investigate their role in substrate binding.

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Fig. 2 Sequence alignment of HvADH2 with top 9 homologues. 3KRT: putative crotonyl CoA
 reductase from Streptomyces coelicolor. 1KOL: Formaldehyde dehydrogenase from
 Pseudomonas putida. 2DPH: Formaldehyde dismutase from Pseudomonas putida. 1HF3: liver
 alcohol dehydrogenase from Equus caballus. 1H2B alcohol dehydrogenase from Aeropyrum

pernix. 4A10: 2-octenoyl-CoA carboxylase reductase from Streptomyces sp. JS360. 2CDB:
glucose dehydrogenase from Sulfolobus solfataricus. 1F8F: benzyl alcohol dehydrogenase
from Acinetobacter calcoaceticus. 1MAO: glutathione-dependent formaldehyde
dehydrogenase from Homo sapiens.

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Site-directed mutagenesis of F85 and simultaneous site saturation of F85 and
 F108

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To investigate the significance of F85, four mutations were investigated: a conservative F85Y 162 163 variant as well as more challenging F85A, F85V and F85R substitutions. F85Y was the only variant which retained activity and was tested with a range of substrates, including 164 flurbiprofenol. Activities were determined for HvADH2 wild-type and F85Y variant crude 165 lysates; substrate concentration was fixed at 10 mM for benzyl alcohol and enantiopure 166 167 molecules or 20 mM for racemic ones. No activity was detected with flurbiprofenol and the variant enzyme. The reference 100% activity with BzOH and wild-type HvADH2 refers to 247 168 169 mU/mg whereas 280 mU/mg with F85Y. In all cases, activity for F85Y variant was lower 170 compared to wild-type: F85Y retained 50% activity with (S)-1-PheOH, whereas wild-type 171 retained 73%. F-MBA was poorly accepted by both wild-type (17%) and F85Y (9%). While 2-Phe-1-Prop was an excellent substrate for wild-type (98%), activity dropped with F85Y (16%). 172 Wild-type activity with 4-Phe-2-But was 53% compared to just 8% with F85Y. 173

Site-saturation mutagenesis was also performed at both sites, F85 and F108, simultaneously 174 and screened for activity with flurbiprofenol (ESI page 4). From multiple rounds of screening, 175 176 a double variant identified as F85AF108G was isolated as the best hit, and the substrate scope 177 was further investigated. Docking (S)-flurbiprofenol with F85AF108G showed the correct conformation of the distal phenyl ring. Activity of HvADH2 F85AF108G in the crude extract 178 was 30% higher with flurbiprofenol when compared to wild-type but when purified, this 179 180 activity diminished and was therefore deemed a false positive. Since site directed and site 181 saturation of F85 did not yield an improved variant, we focused on F108.

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### 183 Site directed mutagenesis of F108 and screening with flurbiprofenol

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185 Mutations at position F108 were evaluated initially by *in silico* modelling and docking with (S)flurbiprofenol. HvADH2 variants F108A, F108G, F108L, F108P, F108V, F108W, and F108Y were 186 modelled and tested in silico for affinity with (S)-flurbiprofenol, the main differences in 187 188 theoretical binding energy are reported in Table ES.2. F108V was predicted as the best variant because of its ability to accommodate the biphenyl moiety of (S)-flurbiprofenol (S9 Fig. A-B). 189 In silico results obtained with F108W, F108Y, F108P, and F108L variants were also indicative 190 of improved binding. F108A substitution (S9 Fig. C-D) appeared to open the binding pocket 191 192 and allow the distal aryl ring to point towards bulk solvent, which was not optimal in the wild-193 type enzyme.

All these variants were engineered by site directed mutagenesis, expressed and purified (ESI page 10, small scale expression and purification) and activities are reported in Table 1.

Contrary to the indications gathered from the docking, F108A and F108G showed no activity 196 197 with rac-flurbiprofenol, whereas F108W, which introduces more steric bulk into the active site, was slightly more active than WT (13.8 vs. 11.1 mU/mg). The specific activity of F108Y 198 199 variant (the most conservative substitution) on the latter compound was virtually identical to 200 WT HvADH2. The F108L variant (docking shown in Fig. 3) showed the highest specific activity of 25.4 mU/mg, a 2.3-fold increase in activity compared to WT enzyme. To investigate if a 201 non-conserved mutation would be beneficial, a methionine variant was prepared (F108M). 202 203 While F108M HvAFH2 was active with EtOH (1103 mU/mg), it did not show activity towards 204 flurbiprofenol.

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**Table 1.** Activity of purified wild-type and F108 variants of *Hv*ADH2 with *rac*-flurbiprofenol.

Specific activity (mU/mg protein)
$11.1\pm0.2$
0
0
$\textbf{16.2}\pm\textbf{0.1}$
$\textbf{13.8}\pm\textbf{0.3}$
$\textbf{25.4} \pm \textbf{0.2}$
$11.7\pm0.3$
0
b.d.

207 *b.d. = below detection* 

Fig. 3 F108L docked (F85 blue spheres and L108 purple spheres; NAD<sup>+</sup>, yellow sticks) with (S)-flurbiprofenol (white spheres), surface view. The distance from the hydroxyl oxygen to the catalytic zinc (O-Zn) is 4.4 Å, and the distance from the substrate  $\alpha$ -carbon to the C4 of the nicotinamide ring ( $\alpha$ C-C4), is 5.9. In order to clarify the structure-function relationships modulating HvADH2, purified F108G variant and wild-type HvADH2 were assayed with BzOH, rac-1-PheOH, (S)-1-PheOH and (R)-1-PheOH (Fig. 4). Even if F108G HvADH2 was not active with flurbiprofenol, the dramatic change induced in the active site yielded a fully folded protein with 40% activity with respect to the WT, i.e. 800 mU/mg with 10 mM benzyl alcohol (see ESI for details about the expression and purification). Fig. 4 Substrate specificity of purified F108G variant compared to WT HvADH2. Buffer conditions: 4 M KCl, 50 mM Gly-KOH, pH 10.0. Remarkably, F108G showed an increase in activity with rac-1-PheOH with respect to the WT (680 and 460 mU/mg respectively). However, with optically pure (S)-1-PheOH, WT was clearly

more active (1200 mU/mg), whereas F108G maintained almost unaltered activity (620 230 mU/mg). To investigate if the opposite enantiomer was accepted as substrate, (R)-1-PheOH 231 232 was tested with both WT and F108G HvADH2 but showed negligible activity (70 and 50 233 mU/mg, respectively). Addition of 10 mM of (R)-1-PheOH to the standard reaction mixture (10 mM benzyl alcohol) reduced the WT HvADH2 specific activity down to 840 mU/mg (in 234 comparison to the 2,300 mU/mg of the original one), while, in the case of the F108G variant, 235 236 the activity was virtually unaffected. Docking of (R)-1-PheOH to wild-type HvADH2 shows a 237 clear interaction between the aromatic side chain of the substrate and F108 (Fig. 5A) which 238 is not present in the variant harbouring F108G (Fig. 5B). On closer inspection of the docking, 239 in the wild-type model, the distance between the reactive carbon atom of the substrate and the C4 of the cofactor NAD<sup>+</sup> is 5.7 Å. This distance is shortened to 4.2 Å in the F108G model. 240 The experimental evidence together with the *in silico* predictions strongly suggest that 241 242 removal of the bulky side-chain from F108 in the glycine variant creates a cavity in the active 243 site. This space could allow the binding of the preferred enantiomer while still housing the (R)-1-PheOH without hampering catalytic efficiency of the enzyme in the presence of a 244 245 racemic mixture.

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**Fig. 5** Docking of (R)-1-PheOH (white spheres). A) Catalytic site of the WT enzyme (F85 and F108 green spheres, NAD<sup>+</sup>, yellow sticks) shows stabilization of the substrate aromatic ring by F108. The distance from the hydroxyl oxygen to the catalytic zinc (O-Zn) is 4.6 Å, and the distance from the substrate  $\alpha$ -carbon to the C4 of the nicotinamide ring ( $\alpha$ C-C4), is 5.7 Å. B)

252	Catalytic site of the F108G variant. The distance from the hydroxyl oxygen to the catalytic zinc
253	(O-Zn) is 2.5 Å, and the distance from the substrate $lpha$ -carbon to the C4 of the nicotinamide
254	ring (αC-C4), is 4.0 Å.
255	
256	
257	Experimental
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259	Expression and purification of wild-type and variants of HvADH2 in Haloferax
260	volcanii
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262	The transformation, production, purification and identification of wild-type and variants of
263	HvADH2 were performed as described previously [16, 17]. Small scale expression and
264	purification are detailed in the ESI page 8.
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266	Enzyme assays
267	
268	Enzyme activity was assayed as production of the NADPH cofactor detected at 340 nm,
269	measured in intervals of 1 min for 20 min at 50 $^\circ$ C (Epoch 2 microplate reader, BioTek, Bad
270	Friedrichshall, Germany; 96 Well Clear Flat Bottom UV-Transparent Microplate Corning®,
271	3635). All kinetic assays were performed in triplicate. The blank was treated by adding the
272	storage buffer (3 M KCl, 100 mM Tris-HCl, pH 8.0) instead of enzyme.
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#### HvADH2 Homology modelling

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The web-based server SWISS-MODEL was used to build the model of HvADH2 with a GMQE 276 277 score of 0.68 [20, 21]. The details for the docking and inspection are mentioned in the supporting information (S1 File). 278 279 280 **HvADH2** Mutant generation 281 282 The *adh2* gene harboured in the pTA963 plasmid was mutated using the QuikChange 283 284 Lightning Multi Site-Directed Mutagenesis Kit provided by Agilent Technologies®. Details of the PCR reaction and the oligonucleotide primers are detailed in the supporting information 285 286 (S1 File and S1 Table). 287 Conclusions 288 289 290 Rational design coupled with molecular modelling were applied here for the generation of several site directed variants of HvADH2. Building a homology model of HvADH2 allowed the 291 identification of two phenylalanine residues, at position 85 and 108, which were proposed to 292 be critical residues for the binding of 1-PheOH due to  $\pi$ - $\pi$  stacking interactions. Docking (S)-293 flurbiprofenol (an intermediate for the non-steroidal anti-inflammatory drug, flurbiprofen) 294 14 into the wild-type *Hv*ADH2 model showed the unfavourable conformation of the distal arylring due to the interactions with the two Phe residues.

297 F85 appears critical for the stabilization in the binding pocket of small aromatic substrates, 298 whereas the absence of the side chain of F108 facilitates the binding of secondary aromatic 299 alcohols (i.e., 1-PheOH). Site saturation mutagenesis was performed at both sites to make a small, diverse library. However, several rounds of screening failed to identify an improved 300 301 variant. The best hit, F85AF108G lost all activity after purification. It was then decided to 302 perform site directed mutagenesis at each site, independently of each other. F85 tolerated only conservative mutations such as F85Y which was active with all tested substrates albeit 303 304 less than the WT HvADH2. Sequence alignments confirmed that F108 was indeed a less conserved position and site directed mutagenesis was performed following *in silico* modelling 305 306 and docking to predict improved variants. Among the generated single point variants, F108W, 307 F108Y and F108L accepted flurbiprofenol with enhanced activity compared to wild-type 308 HvADH2; specifically, F108L had a 2.3-fold improvement in activity. The F108G variant showed 309 surprisingly no activity with flurbiprofenol while retaining 40% of the WT activity with benzyl 310 alcohol. Further testing of this variant with *rac*-1-PheOH indicated that the enzyme performed significantly better than the WT possibly due to the larger binding site created. (R)-1-PheOH 311 is not a substrate for the glycine variant nor for the WT *Hv*ADH2. This compound is a strong 312 313 competitive inhibitor of the latter; whereas it is not able to bind to the F108G variant possibly 314 due to the key role of F108 in stabilising the aromatic moiety of the substrate. In silico docking and site directed mutagenesis were successfully applied to improve enzymatic activity with a 315 316 bulky, aromatic substrate which was poorly accepted by the wild-type enzyme. This model-

- 317 guided mutagenesis was performed on a protein for which the closest structural analogue
- had less than 30% similarity in the sequence, underlying the power of this technique.

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# 393 Supporting information

- 394 **S1 File**
- 395 S1 Table Mutant primer sequences
- 396 S1 Fig. Quality of the HvADH2 model. Regions of the structure that can be rejected at the
- 397 95% and 99% confidence level are represented in yellow and red respectively.
- 398 S2 Fig. Verified 3D plot of HvADH2 model.
- S2 Table (S)-flurbiprofenol docking energies (kcal/mol) determined by the simulation
   software Autodock Vina.
- 401 S1 Scheme Esterification of flurbiprofen and reduction to the corresponding alcohol
- 402 S3 Fig. <sup>1</sup>H NMR spectrum of ethyl 2-(2-fluoro-[1,1'-biphenyl]-4-yl)propanoate.
- 403 S4 Fig. <sup>13</sup>C NMR spectrum of ethyl 2-(2-fluoro-[1,1'-biphenyl]-4-yl)propanoate.
- 404 S5 Fig. <sup>1</sup>H NMR spectrum of 2-(2-fluoro-biphenyl-4-yl)-propan-1-ol.
- 405 **S6 Fig. <sup>13</sup>C NMR spectrum of 2-(2-fluoro-biphenyl-4-yl)-propan-1-ol.**

406 S7 Fig. HvADH2 substrate specificity with aromatic ketones at pH 8.0 and 10.0. Substrate
407 concentration was fixed at 10 mM in 4 M KCl, 50 mM glycine buffer, pH 10.0.

- S8 Fig. SDS-PAGE gel of HvADH2 variants purification on a Ni-NTA mini-column. Lane 1:
  broad range protein marker Precision Plus Kaleidoscope, (10-250 kDa); Lane 2: WT; Lane 3:
  F108Y; Lane 4; F108L Lane 5; F108W.
- 411 S9 Fig A-D. Docking analysis of (S)-flurbiprofenol to F108x HvADH2 variants. Panel A: Docking of (S)-flurbiprofenol to F108V HvADH2; panel B: surface view of panel A. The distance from 412 the hydroxyl oxygen to the catalytic zinc (O-Zn) is 4.3 Å, and the distance from the substrate 413  $\alpha$ -carbon to the C4 of the nicotinamide ring ( $\alpha$ C-C4), is 6.7; panel C: docking of (S)-414 415 flurbiprofenol to F108A HvADH2; panel D: surface view of panel C. F85 is represented in purple spheres and F108 by lilac spheres, NAD<sup>+</sup> by yellow sticks and (S)-flurbiprofenol by white 416 spheres. The distance from the hydroxyl oxygen to the catalytic zinc (O-Zn) is 4.8 Å, and the 417 418 distance from the substrate  $\alpha$ -carbon to the C4 of the nicotinamide ring ( $\alpha$ C-C4), is 7.1.
- S10 Fig. SDS-PAGE analysis of HvADH2 F108G purification from *Haloferax volcanii* strain
  H1325. Lane 1: broad range protein marker P7702S, (2-212 kDa); Lane 2: crude lysate; Lane
  3-10: eluted fractions 1-8 respectively. The band corresponding to F108G is indicated by the
  arrow.
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